# Welcome to CS103!

- Two Handouts
  - Course Information
  - Syllabus
  - (Also available online if you'd like!)
- Today:
  - Course Overview
  - Introduction to Set Theory
  - The Limits of Computation

# Key Questions in CS103

- What problems can you solve with a computer?
  - Computability Theory
- Why are some problems harder to solve than others?
  - Complexity Theory
- How can we be certain in our answers to these questions?
  - Discrete Mathematics

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#### Course Website

# http://cs103.stanford.edu

#### "Prerequisite"

# CS106A

There aren't any math prerequisites for this course - high-school algebra should be enough:

#### **Recommended** Reading





#### **Online Course Notes**



## Grading



40% Assignments
15% Midterm I
15% Midterm II
30% Final Exam

# CS103A

- This quarter, we are piloting **CS103A**, a new, one-unit add-on course to CS103.
- Provides extra review and practice with the material from CS103 and covers general problem-solving techniques useful in discrete math.
- Meets for two hours each week (Tuesdays, 6PM – 8PM, plus extra time at the end if you need it).
- Enrollment is limited this quarter (it's a pilot course); sorry about that!

#### Let's Get Started!

#### Introduction to Set Theory

"CS103 students"

"All the computers on the Stanford network" "Cool people"

"The chemical elements"

"Cute animals"

"US coins"







The empty set contains no elements. = Ø We use this symbol to denote the empty set.



#### Are these equal to one another?



#### Are these equal to one another?

#### Membership



Is



in this set?

#### Membership



### Set Membership

• Given a set S and an object x, we write

#### $x \in S$

if x is contained in *S*, and

#### *x* ∉ *S*

otherwise.

- If  $x \in S$ , we say that x is an *element* of S.
- Given any object x and any set S, either  $x \in S$  or  $x \notin S$ .

#### Infinite Sets

- Some sets contain *infinitely many* elements!
- The set  $\mathbb{N} = \{0, 1, 2, 3, ...\}$  is the set of all the *natural numbers*.
  - Some mathematicians don't include zero; in this class, assume that 0 is a natural number.
- The set Z = { ..., -2, -1, 0, 1, 2, ... } is the set of all the *integers*.
  - Z is from German "Zahlen."
- The set  $\mathbb{R}$  is the set of all *real numbers*.
  - $e \in \mathbb{R}, \pi \in \mathbb{R}, 4 \in \mathbb{R}, \text{etc.}$

# Describing Complex Sets

• Here are some English descriptions of infinite sets:

"The set of all even numbers."

"The set of all real numbers less than 137." "The set of all negative integers."

 To describe complex sets like these mathematically, we'll use *set-builder notation*.

#### **Even Natural Numbers**

#### $\{ n \mid n \in \mathbb{N} \text{ and } n \text{ is even } \}$



## Set Builder Notation

- A set may be specified in *set-builder notation*:
  - { x | some property x satisfies }
- For example:
  - $\{ \ r \mid r \in \mathbb{R} \text{ and } r < 137 \}$
  - $\{ n \mid n \text{ is an even natural number } \}$
  - $\{ S \mid S \text{ is a set of US currency } \}$
  - { *a* | *a* is cute animal }

# Combining Sets

A



$$A = \{ 1, 2, 3 \}$$
$$B = \{ 3, 4, 5 \}$$

4



$$A = \{ 1, 2, 3 \}$$
$$B = \{ 3, 4, 5 \}$$

B



Union A U B { 1, 2, 3, 4, 5 }

$$A = \{ 1, 2, 3 \} \\ B = \{ 3, 4, 5 \}$$



Intersection  $A \cap B$  $\{3\}$ 

 $A = \{ 1, 2, 3 \}$  $B = \{ 3, 4, 5 \}$ 



Difference A - B { 1, 2 }

 $A = \{ 1, 2, 3 \}$  $B = \{ 3, 4, 5 \}$ 



Difference A \ B { 1, 2 }

 $A = \{ 1, 2, 3 \}$  $B = \{ 3, 4, 5 \}$ 



Symmetric Difference  $A \Delta B$  $\{ 1, 2, 4, 5 \}$ 

 $A = \{ 1, 2, 3 \}$  $B = \{ 3, 4, 5 \}$ 





 $A \Delta B$
#### Venn Diagrams



#### Venn Diagrams for Three Sets



#### Venn Diagrams for Four Sets



#### Venn Diagrams for Five Sets



Venn Diagrams for Seven Sets<br/>http://moebio.com/research/sevensets/

#### Subsets and Power Sets

#### Subsets

- A set *S* is a **subset** of a set *T* (denoted  $S \subseteq T$ ) if all elements of *S* are also elements of *T*.
- Examples:
  - { 1, 2, 3 }  $\subseteq$  { 1, 2, 3, 4 }
  - $\mathbb{N} \subseteq \mathbb{Z}$  (every natural number is an integer)
  - $\mathbb{Z} \subseteq \mathbb{R}$  (every integer is a real number)

## What About the Empty Set?

- A set S is a **subset** of a set T (denoted  $S \subseteq T$ ) if all elements of S are also elements of T.
- Are there any sets *S* where  $\emptyset \subseteq S$ ?
- Equivalently, is there a set *S* where the following statement is true?

#### "All elements of Ø are also elements of S"

• Yes! In fact, this statement is true for every choice of S!

#### Vacuous Truth

• A statement of the form

#### "All objects of type P are also of type Q"

is called *vacuously true* if there are no objects of type *P*.

- Vacuously true statements are true by definition. This is a convention used throughout mathematics.
- Some examples:
  - All unicorns are pink.
  - All unicorns are blue.
  - Every element of  $\emptyset$  is also an element of S.



## What is $\wp(\emptyset)$ ?

## **Answer**: {Ø}

Remember that  $\emptyset \neq \{\emptyset\}$ !

Cardinality

### Cardinality

- The *cardinality* of a set is the number of elements it contains.
- If S is a set, we denote its cardinality by writing |S|.
- Examples:
  - $|\{a, b, c, d, e\}| = 5$
  - $|\{\{a, b\}, \{c, d, e, f, g\}, \{h\}\}| = 3$
  - $|\{1, 2, 3, 3, 3, 3, 3\}| = 3$
  - $|\{ n \in \mathbb{N} \mid n < 137 \}| = 137$

### The Cardinality of $\ensuremath{\mathbb{N}}$

- What is  $|\mathbb{N}|$ ?
  - There are infinitely many natural numbers.
  - $|\mathbb{N}|$  can't be a natural number, since it's infinitely large.
- We need to introduce a new term.
- Let's define  $\aleph_0 = |\mathbb{N}|$ .
  - No is pronounced "aleph-zero," "alephnought," or "aleph-null."

# Consider the set $S = \{ n \mid n \in \mathbb{N} \text{ and } n \text{ is even } \}$ What is |S|?

#### How Big Are These Sets?



### **Comparing Cardinalities**

- *By definition,* two sets have the same size if their elements can be paired off with no elements remaining.
- The intuition:



### **Comparing Cardinalities**

- *By definition,* two sets have the same size if their elements can be paired off with no elements remaining.
- The intuition:



#### Infinite Cardinalities



 $|S| = |\mathbb{N}| = \aleph_0$ 

#### Infinite Cardinalities



Pair nonnegative integers with even natural numbers. Pair negative integers with odd natural numbers.

#### **Important Question**

Do all infinite sets have the same cardinality?



**|S| <** \$\$(S)



 $S = \{a, b, c, d\}$  $\wp(S) = \{$ Ø.  $\{a, b\}, \{a, c\}, \{a, d\}, \{b, c\}, \{b, d\}, \{c, d\}$  $\{a, b, c\}, \{a, b, d\}, \{a, c, d\}, \{b, c, d\}, \{c, d\},$ {**a**, **b**, **c**, **d**}

 $|S| < |\wp(S)|$ 

# If S is infinite, what is the relation between |S| and $|\wp(S)|$ ?

#### Does $|S| = |\wp(S)|$ ?

If  $|S| = |\wp(S)|$ , we can pair up the elements of S and the subsets of S without leaving anything out.

What would that look like?









	$X_0$	<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>	<i>X</i> <sub>5</sub>	•••
X <sub>0</sub>	Y	Ν	Y	Ν	Y	N	•••
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••
X <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••
<i>X</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••
X <sub>4</sub>	Y	Ν	Ν	Ν	Ν	Y	•••
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••
• • •	•••	•••	•••	•••	•••	•••	•••

	$X_0$	$ x_1 $	$X_2$	$X_3$	$X_4$	$X_5$	• • •	
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••	
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	
$X_2$	Ν	Ν	Ν	Ν	Y	Ν	•••	
<i>X</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••	١
$x_4$	Y	Ν	Ν	Ν	Ν	Y	•••	
$X_{5}$	Y	Y	Y	Y	Y	Y	•••	
• • •	•••	•••	•••	•••	•••	•••	•••	

Which row in the table is paired with this set?

**Y N N N Y** ...

	$X_0$	<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>x</i> <sub>4</sub>	<i>X</i> <sub>5</sub>	•••	
x <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••	
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	
<i>x</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••	
<i>x</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••	Flip all Y's to N's and vice-
$X_4$	Y	Ν	Ν	Ν	Ν	Y	•••	versa to get a
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••	
• • •	•••	•••	•••	•••	•••	•••	•••	
	Ν	Y	Y	Y	Y	N	•••	

	$X_0$	$X_1$	x <sub>2</sub>	<i>x</i> <sub>3</sub>	<i>x</i> <sub>4</sub>	<i>x</i> <sub>5</sub>	•••	
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••	-
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	-
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••	-
<i>X</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••	
$X_4$	Y	Ν	N	Ν	Ν	Y	•••	
$X_{5}$	Y	Y	Y	Y	Y	Y	•••	
• • •	•••	•••	•••	•••	•••	•••	•••	
	Ν	Y	Y	Y	Y	N		

nich row in the able is paired vith this set?

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	$X_0$	<i>x</i> <sub>1</sub>	x <sub>2</sub>	<i>x</i> <sub>3</sub>	<i>x</i> <sub>4</sub>	<i>x</i> <sub>5</sub>	•••
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••
<i>x</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••
$X_4$	Y	Ν	Ν	Ν	Ν	Y	•••
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••
• • •	•••	•••	•••	•••	•••	•••	•••

Which row in the table is paired with this set?

**N Y Y Y Y N** ...

	$X_0$	$X_1$	$X_2$	<i>X</i> <sub>3</sub>	$X_4$	$X_5$	•••
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••
<i>X</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••
<i>X</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••
$X_4$	Y	Ν	Ν	Ν	Ν	Y	•••
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••
•••	•••	•••	•••	•••	•••	•••	•••

Which row in the table is paired with this set?

**N Y Y Y Y N** ...
	$X_0$	$X_1$	x <sub>2</sub>	<i>x</i> <sub>3</sub>	x4	x <sub>5</sub>	•••	
<i>X</i> <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••	
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••	
<i>X</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••	۷
$X_4$	Y	Ν	Ν	Ν	Ν	Y	•••	L
$X_{5}$	Y	Y	Y	Y	Y	Y	•••	
• • •	•••	•••	•••	•••	•••	•••	•••	
	N	V	Y	V	V	N		

nich row in the able is paired vith this set?

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	$X_0$	<i>x</i> <sub>1</sub>	$X_2$	<i>X</i> <sub>3</sub>	$X_4$	<i>x</i> <sub>5</sub>	•••
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••
<i>X</i> <sub>3</sub>	Ν	Y	Ν	N	Y	Ν	•••
$X_4$	Y	Ν	N	Ν	Ν	Y	•••
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••
•••	•••	•••	•••	•••	•••	•••	•••

Which row in the table is paired with this set?

**N Y Y Y N** ...

	$X_0$	x <sub>1</sub>	x <sub>2</sub>	<i>x</i> <sub>3</sub>	x4	<i>x</i> <sub>5</sub>	•••
$X_0$	Y	Ν	Y	N	Y	Ν	•••
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••
$X_2$	Ν	Ν	Ν	Ν	Y	Ν	•••
$X_{3}$	Ν	Y	Ν	Ν	Y	Ν	•••
$X_4$	Y	N	Ν	N	N	Y	•••
$X_{5}$	Y	Y	Y	Y	Y	Y	•••
•••	•••	•••	•••	•••	•••	•••	•••

Which row in the table is paired with this set?

**N Y Y Y Y N** ...

	$X_0$	<i>x</i> <sub>1</sub>	x <sub>2</sub>	<i>x</i> <sub>3</sub>	<i>x</i> <sub>4</sub>	<i>x</i> <sub>5</sub>	•••	
$\boldsymbol{x}_0$	Y	Ν	Y	Ν	Y	Ν	•••	
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	-
<i>X</i> <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••	-
<i>X</i> <sub>3</sub>	N	Y	Ν	Ν	Y	Ν	•••	Whic
$X_4$	Y	Ν	N	Ν	N	Y	•••	wi <sup>*</sup>
<i>X</i> <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••	
• • •	•••	•••	•••	•••	•••	•••	•••	
	Ν	Y	Y	Y	Y	N	•••	

h row in the ple is paired th this set?

Y N Y Y Y

								_
	$X_0$	$X_1$	$X_2$	$X_3$	$X_4$	$X_5$	•••	
X <sub>0</sub>	Y	Ν	Y	Ν	Y	Ν	•••	
<i>x</i> <sub>1</sub>	Y	Ν	Ν	Y	Y	Ν	•••	
x <sub>2</sub>	Ν	Ν	Ν	Ν	Y	Ν	•••	-
<i>x</i> <sub>3</sub>	Ν	Y	Ν	Ν	Y	Ν	•••	W
<i>x</i> <sub>4</sub>	Y	Ν	Ν	Ν	Ν	Y	•••	
x <sub>5</sub>	Y	Y	Y	Y	Y	Y	•••	
•••	•••	•••	•••	•••	•••	•••	•••	
								-

Which row in the table is paired with this set?

**N Y Y Y Y N** ...

# The Diagonalization Proof

- No matter how we pair up elements of *S* and subsets of *S*, the complemented diagonal won't appear in the table.
  - In row *n*, the *n*th element must be wrong.
- No matter how we pair up elements of *S* and subsets of *S*, there is *always* at least one subset left over.
- This result is *Cantor's theorem*: Every set is strictly smaller than its power set:

If S is a set, then  $|S| < |\wp(S)|$ .

### Infinite Cardinalities

• By Cantor's Theorem:

$$\begin{split} |\mathbb{N}| < |\wp(\mathbb{N})| \\ |\wp(\mathbb{N})| < |\wp(\wp(\mathbb{N}))| \\ |\wp(\wp(\mathbb{N}))| < |\wp(\wp(\wp(\mathbb{N})))| \\ |\wp(\wp(\wp(\mathbb{N})))| < |\wp(\wp(\wp(\wp(\mathbb{N}))))| \end{split}$$

- Not all infinite sets have the same size!
- There is no biggest infinity!
- There are infinitely many infinities!

What does this have to do with computation?

#### "The set of all computer programs"

#### "The set of all problems to solve"

# Where We're Going

- A *string* is a sequence of characters.
- We're going to prove the following results:
  - There are *at most* as many programs as there are strings.
  - There are *at least* as many problems as there are sets of strings.
- This leads to some *incredible* results we'll see why in a minute!

# Where We're Going

A *string* is a sequence of characters.

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This leads to some *incredible* results – we'll see why in a minute!

# Strings and Programs

- The source code of a computer program is just a (long, structured, well-commented) string of text.
- All programs are strings, but not all strings are necessarily programs.



#### **|Programs| ≤ |Strings|**

- There is a connection between the number of sets of strings and the number of problems to solve.
- Let S be any set of strings. This set S gives rise to a problem to solve:

Given a string w, determine whether  $w \in S$ .

Given a string w, determine whether  $w \in S$ .

• Suppose that *S* is the set

 $S = \{ "a", "b", "c", ... "z" \}$ 

• From this set *S*, we get this problem:

Given a string *w*, determine whether *w* is a single lower-case English letter.

Given a string w, determine whether  $w \in S$ .

• Suppose that *S* is the set

 $S = \{ "0", "1", "2", ..., "9", "10", "11", ... \}$ 

 From this set *S*, we get this problem:
Given a string *w*, determine whether *w* represents a natural number.

Given a string w, determine whether  $w \in S$ .

• Suppose that *S* is the set

 $S = \{ p \mid p \text{ is a legal Java program } \}$ 

 From this set S, we get this problem:
Given a string w, determine whether w is a legal Java program.

- Every set of strings gives rise to a unique problem to solve.
- Other problems exist as well.



#### **|Sets of Strings| ≤ |Problems|**

# Where We're Going

- A *string* is a sequence of characters.
- We're going to prove the following results:
  - There are *at most* as many programs as there are strings.
  - There are *at least* as many problems as there are sets of strings. ✓
- This leads to some *incredible* results we'll see why in a minute!

Every computer program is a string.

So, the number of programs is at most the number of strings.

From Cantor's Theorem, we know that there are more sets of strings than strings.

There are at least as many problems as there are sets of strings.

**|Programs| ≤ |Strings| < |Sets of Strings| ≤ |Problems|** 

#### There are more problems to solve than there are programs to solve them.

#### |Programs| < |Problems|

## It Gets Worse

- Using more advanced set theory, we can show that there are *infinitely more* problems than solutions.
- In fact, if you pick a totally random problem, the probability that you can solve it is *zero*.
- More troubling fact: We've just shown that *some* problems are impossible, but we don't know *which* problems are impossible!

We need to develop a more nuanced understanding of computation.

# Where We're Going

- What makes a problem impossible to solve with computers?
  - Is there a deep reason why certain problems can't be solved with computers, or is it completely arbitrary?
  - How do you know when you're looking at an impossible problem?
  - Are these real-world problems, or are they highly contrived?
- How do we know that we're right?
  - How can we back up our pictures with rigorous proofs?
  - How do we build a mathematical framework for studying computation?

#### Next Time

- Mathematical Proof
  - What is a mathematical proof?
  - How can we prove things with certainty?