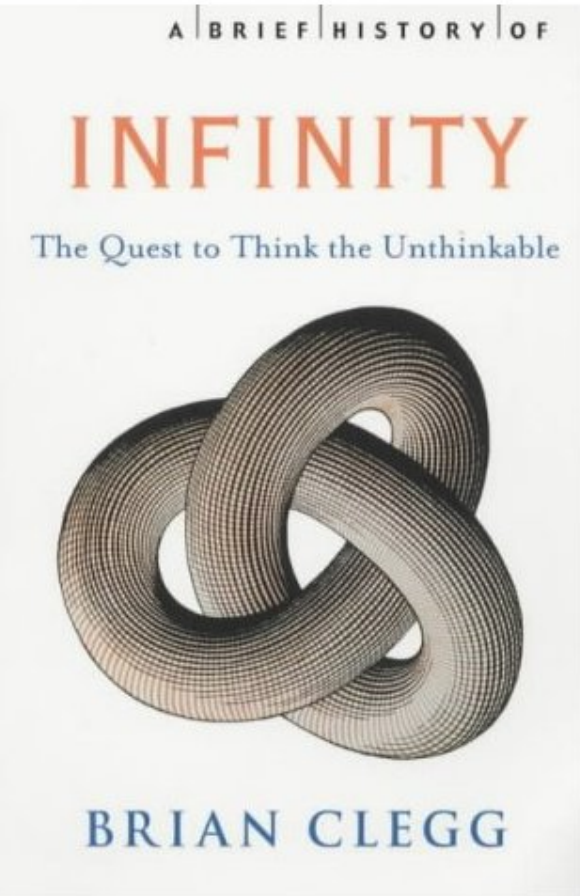
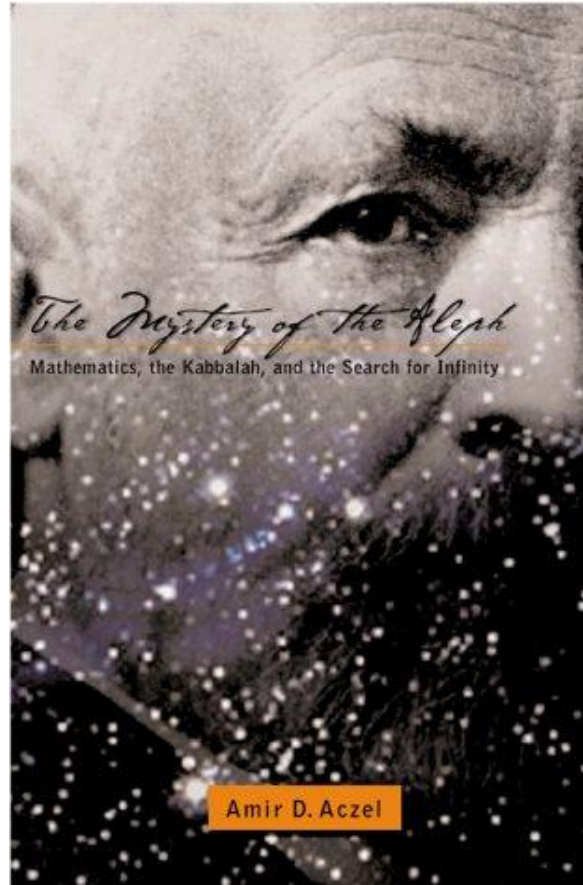


Direct Proofs

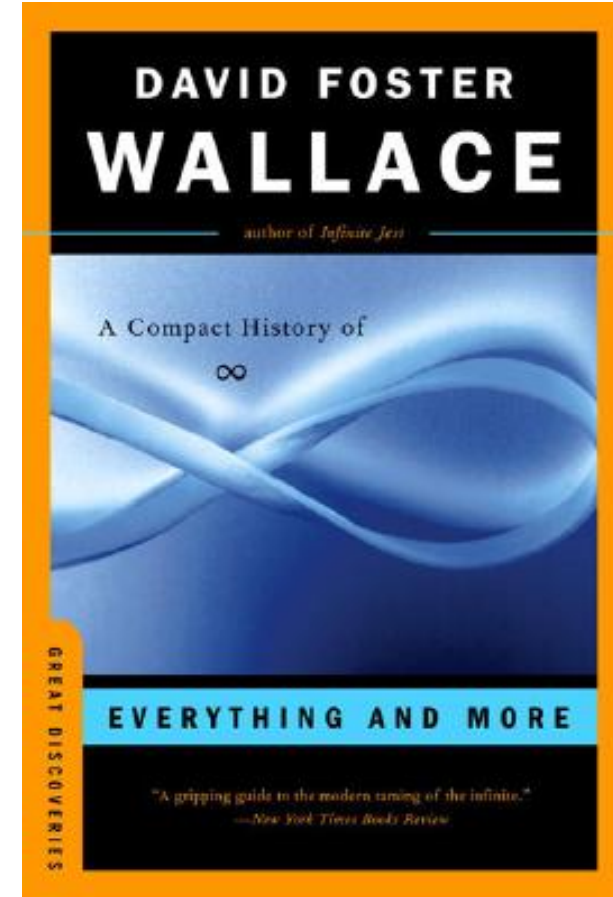
Recommended Reading



*A Brief History of
Infinity*



*The Mystery of the
Aleph*



Everything and More

Recommended Courses

Math 161: Set Theory

Outline for Today

- What is a Proof?
- Direct Proofs
- Universal and Existential Statements
- Extended Example: XOR

What is a Proof?

A *proof* is an argument that demonstrates why a conclusion is true.

A ***mathematical proof*** is an argument that demonstrates why a mathematical statement is true.

*54·43. $\vdash :: \alpha, \beta \in 1 . \supset : \alpha \cap \beta = \Lambda . \equiv . \alpha \cup \beta \in 2$

Dem.

$\vdash . *54·26 . \supset \vdash :: \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . x \neq y .$

[*51·231] $\equiv . \iota'x \cap \iota'y = \Lambda .$

[*13·12] $\equiv . \alpha \cap \beta = \Lambda$ (1)

$\vdash . (1) . *11·11·35 . \supset$

$\vdash :: (\exists x, y) . \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . \alpha \cap \beta = \Lambda$ (2)

$\vdash . (2) . *11·54 . *52·1 . \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that $1 + 1 = 2$.

*54·43. $\vdash :: \alpha, \beta \in 2 = \Lambda . \equiv . \alpha \cup \beta \in 2$

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$\vdash . (1) \dots 11:35 . \supset$

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From this proposition it will follow, when a certain operation has been defined, that 1 +

Structure of a Mathematical Proof

- Despite what you might think, mathematical proofs are not supposed to be jumbles of dense symbols.
- Good mathematical proofs read like argumentative essays that happen to use math to convey their arguments.
- Typically, proofs begin with a set of assumptions, combine those assumptions together in a series of steps, and ultimately arrive at the conclusion.
- They're best explored by example.

Two Quick Definitions

- An integer n is **even** if there is some integer k such that $n = 2k$.
 - This means that 0 is even.
- An integer n is **odd** if there is some integer k such that $n = 2k + 1$.
- We'll assume the following for now:
 - Every integer is either even or odd.
 - No integer is both even and odd.

Our First Direct Proof

Theorem: If n is an even integer, then n^2 is even.

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Proof: Let n be an even integer.

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Since n is even, there is some integer k such that $n = 2k$.

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Theorem: If n is an even integer, then n^2 is even.

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This means that $n^2 = (2k)^2$

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This means that $n^2 = (2k)^2 = 4k^2 = 2(2k^2)$.

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This means that $n^2 = (2k)^2 = 4k^2 = 2(2k^2)$.

From this, we see that there is an integer m (namely, $2k^2$) where $n^2 = 2m$.

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Therefore, n^2 is even.

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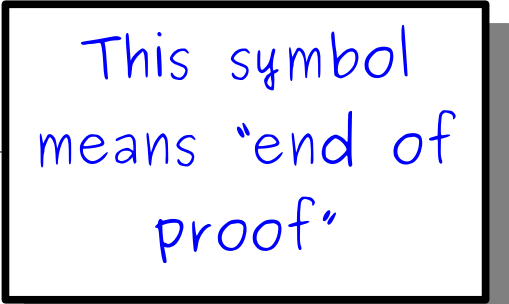
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This means that $n^2 = (2k)^2 = 4k^2 = 2(2k^2)$.

From this, we see that there is an integer m (namely, $2k^2$) where $n^2 = 2m$.

Therefore, n^2 is even. 



This symbol means "end of proof"

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Theorem: If n is an even integer, then n^2 is even.

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Theorem: If n is an even integer, then n^2 is even.

Proof: Let n be an even integer.

Since n is an even integer, there is an integer k such that $n = 2k$.

This means that $n^2 = (2k)^2 = 4k^2$.

From this we see that n^2 is a multiple of 4 (name it m).

Therefore, n^2 is even.

To prove a statement of the form

“If P , then Q ”

Assume that P is true, then show that Q must be true as well.

Our First Direct Proof

Theorem: If n is an even integer, then n^2 is even.

Proof: Let n be an even integer.

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Theorem: If n is an even integer, then n^2 is even.

Proof: Let n be an even integer.

Since n is even, there is some integer k such that $n = 2k$.

This means that

From this, we
can write n as
 m (namely, $2k$)

Therefore, n^2

This is the definition of an even integer. When writing a mathematical proof, it's common to call back to the definitions.

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m
Th

Notice how we use the value of k that we obtained above. Giving names to quantities, even if we aren't fully sure what they are, allows us to manipulate them. This is similar to variables in programs.

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Therefore, n^2 is even. ■

Our ultimate goal is to prove that n^2 is even. This means that we need to find some m such that $n^2 = 2m$. Here, we're explicitly showing how we can do that.

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Since n is even, there is some integer k such that $n = 2k$.

This means

From this we get
 m (name

Hey, that's what we were trying to show! We're done now.

Therefore, n^2 is even. ■

That wasn't so bad! Let's do another one.

Some Helpful Set Theory

- Set equality is defined as follows:

If A and B are sets, then $A = B$ precisely when every element of A is an element of B and vice-versa.

- In practice, this definition is a bit tricky to work with.
- It's often easier to use the following result to show that two sets are equal:

**For any sets A and B ,
if $A \subseteq B$ and $B \subseteq A$, then $A = B$.**

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How do we prove
that this is true for
any choice of sets?

Proving Something Always Holds

- Many statements have the form

For any x , [some-property] holds of x .

- Examples:

For all integers n , if n is even, n^2 is even.

For any sets A and B , if $A \subseteq B$ and $B \subseteq A$, then $A = B$.

For all sets S , $|S| < |\wp(S)|$.

Everything that drowns me makes me wanna fly.

- How do we prove these statements when there are (potentially) infinitely many cases to check?

Arbitrary Choices

- To prove that some property holds true for all possible x , show that no matter what choice of x you make, that property must be true.
- Start the proof by making an ***arbitrary choice*** of x :
 - “Let x be chosen arbitrarily.”
 - “Let x be an arbitrary even integer.”
 - “Let x be an arbitrary set containing 137.”
 - “Consider any x .”
- Demonstrate that the property holds true for this choice of x .

Theorem: For any sets A and B , if $A \subseteq B$ and $B \subseteq A$, then $A = B$.

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We're showing here that regardless of what A and B you pick, the result will still be true.

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To prove a statement of the
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“If P , then Q ”

Assume that **P** is true, then show
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Theorem: For any sets A and B , if $A \subseteq B$ and $B \subseteq A$, then $A = B$.

Proof: Let A and B be arbitrary sets where $A \subseteq B$ and $B \subseteq A$.

Because $A \subseteq B$, if we take an arbitrary $x \in A$, we know that $x \in B$.

Theorem: For any sets A and B , if $A \subseteq B$ and $B \subseteq A$, then $A = B$.

Proof: Let A and B be arbitrary sets where $A \subseteq B$ and $B \subseteq A$.

Because $A \subseteq B$, if we take an arbitrary $x \in A$, we know that $x \in B$. Similarly, since $B \subseteq A$, if we take an arbitrary $x \in B$, we'll see that $x \in A$ as well.

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Therefore, every element of A is an element of B and every element of B is an element of A . Therefore, by definition of set equality, we see that $A = B$. ■

An Incorrect Proof

Theorem: If A and B are sets, then $A \subseteq A \cap B$.

Proof: Consider two arbitrary sets, say, $A = \emptyset$ and $B = \mathbb{N}$. Since \emptyset is a subset of every set and $A = \emptyset$, we see that $A \subseteq A \cap B$. Since our choices of A and B were arbitrary, we conclude that if A and B are any sets, then $A \subseteq A \cap B$. ■

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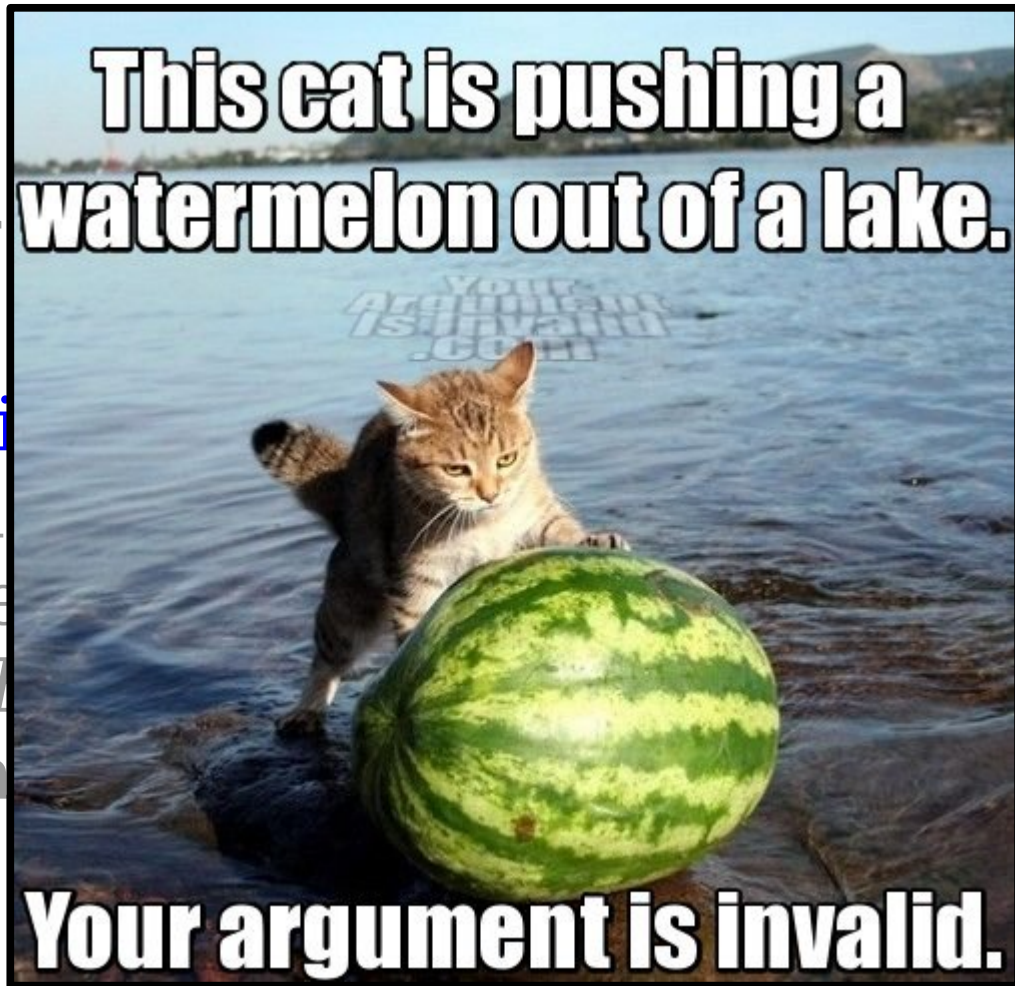
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adjective /'ärbi,trerē/

1. Based on random choice or personal whim, rather than any reason or system - *“his mealtimes were entirely arbitrary”*
2. *(of power or a ruling body)* Unrestrained and autocratic in the use of authority - *“arbitrary rule by King and bishops has been made impossible”*
3. *(of a constant or other quantity)* Of unspecified value

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Use this
definition...



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...not this
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Use this
definition...

To prove something is true for all x ,
don't choose an x and base the proof
off of your choice.

Instead, leave x unspecified
and show that no matter what x is,
the specified property must hold.

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Consider any arbitrary $x \in A \cap B$. This means that $x \in A$ and $x \in B$.

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Another Incorrect Proof

Theorem: If $A \subseteq B$, then $A \subseteq A \cap B$.

Proof: We assume $A \subseteq B$. Let $x \in A$, then

by our assumption, $x \in B$. Therefore, $x \in A \cap B$. This

shows that $A \subseteq A \cap B$. In fact, $x \in A$, as

**MY HAIR IS A BIRD
YOUR ARGUMENT IS INVALID**

If you want to prove that P implies Q ,
assume P and prove Q .

Don't assume Q and then prove P !

An Entirely Different Proof

Theorem: There exists a natural number $n > 0$ such that the sum of all natural numbers less than n is equal to n .

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An Entirely Different Proof

Theorem: **There exists** a natural number $n > 0$ **such that** the sum of all natural numbers less than n is equal to n .

This is a fundamentally different type of proof that what we've done before. Instead of showing that every object has some property, we want to show that some object has a given property.

Universal vs. Existential Statements

- A ***universal statement*** is a statement of the form

For all x , [some-property] holds for x .

- We've seen how to prove these statements.
- An ***existential statement*** is a statement of the form

There is some x where [some-property] holds for x .

- How do you prove an existential statement?

Proving an Existential Statement

- We will see several different ways to prove an existential statement.
- Simple approach: Just go and find some x where the property is true.
 - In our case, we need to find a positive natural number n such that that sum of all smaller natural numbers is equal to n .
 - Can we find one?

An Entirely Different Proof

Theorem: There exists a natural number $n > 0$ such that the sum of all natural numbers less than n is equal to n .

An Entirely Different Proof

Theorem: There exists a natural number $n > 0$ such that the sum of all natural numbers less than n is equal to n .

Proof: Take $n = 3$.

The three natural numbers smaller than three are 0, 1, and 2.

Notice that $0 + 1 + 2 = 3$.

Therefore, three is a natural number greater than zero equal to the sum of all smaller natural numbers. ■

Time-Out for Announcements!

Piazza

- We now have a Piazza site for CS103.
- Sign in to www.piazza.com and search for the course CS103 to sign in.
- Feel free to ask us questions!
- ***Use the site to find partners for the problem sets!***
- You can also email the staff list with questions: cs103-spr1415-staff@lists.stanford.edu .



Valerie Taylor on Diversity in CS



Valerie Taylor

Lecture by Professor Taylor
Thursday, April 2
Hewlett 201 @ 4pm

Reception and Group Discussion
Gates 211 and 219 @ 5pm

Valerie Taylor is the Senior Associate Dean for Academic Affairs and Royce E. Wisenbaker Professor at the Texas A&M School of Computer Science and Engineering. She has worked tirelessly to promote diversity in CS, and is frequently a speaker at the Richard Tapia and Grace Hopper Conferences.

Join DiversityBase and the CS Department to hear her take on what we can do to increase diversity in computing fields. Afterwards, at we will have a reception where we can discuss actions we can take at Stanford (over delicious food, of course!)

CS + Social Good Board

- Interested in making a difference at the intersection of technology and social good? Apply for the CS+SG Board!
- Application online at
<https://docs.google.com/a/stanford.edu/forms/d/1HrceGe5uE5AgeXiZqIiyZGgcVqvik7pjztrjCwYTPfE/viewform?c=0&w=1>
- Applications due on April 10.

Back to CS103!

Extended Example: **XOR**

Logical Operators

- A **bit** is a value that is either 0 or 1.
- The set $\mathbb{B} = \{0, 1\}$ is the set of all bits.
- A **logical operator** is an operator that takes in some number of bits and produces a new bit as output.
- Example: the **logical not** operator, denoted $\neg x$, flips 0s to 1s and vice-versa:

$$\neg 0 = 1$$

$$\neg 1 = 0$$

Logical XOR

- The **exclusive OR** operator (called **XOR** for short) operates on two bits and produces 0 if the bits are the same and 1 if they are different.
 - Since XOR operates on two values, it is called a **binary operator**.
- We denote the XOR of a and b by $a \oplus b$.
- Formally, XOR is defined as follows:

$$0 \oplus 0 = 0$$

$$0 \oplus 1 = 1$$

$$1 \oplus 0 = 1$$

$$1 \oplus 1 = 0$$

Fun with XOR

- The XOR operator has numerous uses throughout computer science.
 - Applications in cryptography, data structures, error-correcting codes, networking, machine learning, etc.
- XOR is useful because of four key properties:
 - XOR has an *identity element*.
 - XOR is *self-inverting*.
 - XOR is *associative*.
 - XOR is *commutative*.

Identity Elements

- An ***identity element*** for a binary operator \star is some value z such that for any a :

$$a \star z = z \star a = a$$

Identity Elements

An *identity element* for a binary operator \star is some value z such that **for any a :**

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Identity Elements

An *identity element* for a binary operator \star is some value z such that **for any a** :

$$a \star z = z \star a = a$$

In math-speak, the term
“**for any a** ” is synonymous
with “for every a ” or
“**for every possibly choice of a .**”
It does not mean
“**for some specific choice of a .**”

Identity Elements

- An ***identity element*** for a binary operator \star is some value z such that for any a :

$$a \star z = z \star a = a$$

- Example: 0 is an identity element for +:

$$a + 0 = 0 + a = a$$

- Example: 1 is an identity element for \times :

$$a \times 1 = 1 \times a = a$$

Theorem: 0 is an identity element for \oplus .

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Proof: We will prove that for any $b \in \mathbb{B}$ that $b \oplus 0 = b$ and that $0 \oplus b = b$.

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Proof: We will prove that for any $b \in \mathbb{B}$ that $b \oplus 0 = b$ and that $0 \oplus b = b$. To do this, consider an arbitrary $b \in \mathbb{B}$.

Theorem: 0 is an identity element for \oplus .

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This is called a **proof by cases** (alternatively, a **proof by exhaustion**) and works by showing that the theorem is true regardless of what specific outcome arises.

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In a proof by cases, after demonstrating each case, you should summarize the cases afterwards to make your point clearer.

Case 2:

$$b \oplus 0 = b$$

$$= b$$

$$= b$$

In both cases, we find $b \oplus 0 = 0 \oplus b = b$.

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In both cases, we find $b \oplus 0 = 0 \oplus b = b$. Thus 0 is an identity element for \oplus . ■

Self-Inverting Operators

- A binary operator \star with identity element z is called ***self-inverting*** when for any a , we have

$$a \star a = z$$

- Is $+$ self-inverting?
- Is $-$ self-inverting?
 - Tricky tricky: minus doesn't have an identity element, so it can't be self-inverting.

XOR is Self-Inverting

Theorem: \oplus is self-inverting.

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Case 1: $b = 0$. Then $b \oplus b = 0 \oplus 0$

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In both cases we have $b \oplus b = 0$, so \oplus is self-inverting. ■

Associative Operators

- A binary operator \star is called ***associative*** when for any a , b and c , we have

$$a \star (b \star c) = (a \star b) \star c$$

- Is $+$ associative?
- Is $-$ associative?
- Is \times associative?

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Proof: Consider any $a, b, c \in \mathbb{B}$. We will prove that $a \oplus (b \oplus c) = (a \oplus b) \oplus c$. To do this, we consider two cases:

Case 1: $c = 0$.

Case 2: $c = 1$.

Theorem: \oplus is associative.

Proof: Consider any $a, b, c \in \mathbb{B}$. We will prove that $a \oplus (b \oplus c) = (a \oplus b) \oplus c$. To do this, we consider two cases:

Case 1: $c = 0$. Then we have that

$$a \oplus (b \oplus c) = a \oplus (b \oplus 0)$$

Case 2: $c = 1$.

Theorem: \oplus is associative.

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Case 1: $c = 0$. Then we have that

$$\begin{aligned} a \oplus (b \oplus c) &= a \oplus (b \oplus 0) \\ &= a \oplus b \end{aligned} \quad (\text{since } 0 \text{ is an identity})$$

Case 2: $c = 1$.

Theorem: \oplus is associative.

Proof: Consider any $a, b, c \in \mathbb{B}$. We will prove that $a \oplus (b \oplus c) = (a \oplus b) \oplus c$. To do this, we consider two cases:

Case 1: $c = 0$. Then we have that

$$\begin{aligned} a \oplus (b \oplus c) &= a \oplus (b \oplus 0) \\ &= a \oplus b && \text{(since } 0 \text{ is an identity)} \\ &= (a \oplus b) \oplus 0 && \text{(since } 0 \text{ is an identity)} \end{aligned}$$

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Case 2: $c = 1$. Then we have that

$$\begin{aligned} a \oplus (b \oplus c) &= a \oplus (b \oplus 1) \\ &= ? \end{aligned}$$

When You Get Stuck

- When writing proofs, you are bound to get stuck at some point.
- When this happens, it can mean multiple things:
 - What you're proving is incorrect.
 - You are on the wrong track.
 - You're on the right track, but you need to prove an additional result to get to your goal.
- Unfortunately, there is no general way to determine which case you are in.
- You'll build this intuition through experience.

Where We're Stuck

- Right now, we have the expression

$$a \oplus (b \oplus 1)$$

and we don't know how to simplify it.

- Let's focus on the $(b \oplus 1)$ part and see what we find:
 - $0 \oplus 1 = 1$
 - $1 \oplus 1 = 0$
- It seems like $b \oplus 1 = \neg b$. Could we prove it?

Relations Between Proofs

- Proofs often build off of one another: large results are almost often accomplished by building off of previous work.
 - Like writing a large program – split the work into smaller methods, across different classes, etc. instead of putting the whole thing into `main`.
- A result that is proven specifically as a stepping stone toward a larger result is called a *lemma*.
- Our result that $b \oplus 1 = \neg b$ serves as a lemma in our larger proof that \oplus is associative.

Lemma 1: For any $b \in \mathbb{B}$, we have $b \oplus 1 = \neg b$.

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Lemma 1: For any $b \in \mathbb{B}$, we have $b \oplus 1 = \neg b$.

Proof: Consider any $b \in \mathbb{B}$. We consider two cases:

Case 1: $b = 0$. Then

$$b \oplus 1 = 0 \oplus 1$$

Case 2: $b = 1$.

Lemma 1: For any $b \in \mathbb{B}$, we have $b \oplus 1 = \neg b$.

Proof: Consider any $b \in \mathbb{B}$. We consider two cases:

Case 1: $b = 0$. Then

$$\begin{aligned} b \oplus 1 &= 0 \oplus 1 \\ &= 1 \end{aligned}$$

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Proof: Consider any $b \in \mathbb{B}$. We consider two cases:

Case 1: $b = 0$. Then

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In both cases, we find that $b \oplus 1 = \neg b$, which is what we needed to show.

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Theorem: \oplus is associative.

Proof: Consider any $a, b, c \in \mathbb{B}$. We will prove that $a \oplus (b \oplus c) = (a \oplus b) \oplus c$. To do this, we consider two cases:

Case 1: $c = 0$. Then we have that

$$\begin{aligned} a \oplus (b \oplus c) &= a \oplus (b \oplus 0) \\ &= a \oplus b && \text{(since } 0 \text{ is an identity)} \\ &= (a \oplus b) \oplus 0 && \text{(since } 0 \text{ is an identity)} \\ &= (a \oplus b) \oplus c \end{aligned}$$

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Lemma 2: For any $a, b \in \mathbb{B}$, we have $a \oplus \neg b = \neg(a \oplus b)$.

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Lemma 2: For any $a, b \in \mathbb{B}$, we have $a \oplus \neg b = \neg(a \oplus b)$.

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Theorem: \oplus is associative.

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Commutative Operators

- A binary operator \star is called ***commutative*** when the following is always true:

$$a \star b = b \star a$$

- Is $+$ commutative?
- Is $-$ commutative?

Theorem: \oplus is commutative.

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Proof: Consider any $a, b \in \mathbb{B}$.

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$$x = a \oplus b$$

$$x \oplus b = (a \oplus b) \oplus b$$

$$x \oplus b = a \oplus (b \oplus b) \quad (\text{since } \oplus \text{ is associative})$$

$$x \oplus b = a \oplus 0 \quad (\text{since } \oplus \text{ is self-inverting})$$

$$x \oplus b = a \quad (\text{since } 0 \text{ is an identity of } \oplus)$$

$$x \oplus (x \oplus b) = x \oplus a$$

$$(x \oplus x) \oplus b = x \oplus a \quad (\text{since } \oplus \text{ is associative})$$

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$$b \oplus a = x \oplus (a \oplus a) \quad (\text{since } \oplus \text{ is associative})$$

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This means that $a \oplus b = x = b \oplus a$. Therefore, \oplus is commutative.

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$$b \oplus a = x$$

The only properties of \oplus that we used here are that it is associative, has an identity, and is self-inverting. This same proof works for any operator with these three properties!

Binary operators that have this property give rise to **boolean groups** (but you don't need to know that for this class).

This means that $a \oplus b = x$ and $b \oplus a = x$. Therefore, \oplus is commutative. ■

Application: *Encryption*

Bitstrings

- A ***bitstring*** is a finite sequence of zero or more 0s and 1s.
- Internally, computers represent all data as bitstrings.
 - For details on how, take CS107 or CS143.

Bitstrings and \oplus

- We can generalize the \oplus operator from working on individual bits to working on bitstrings.
- If A and B are bitstrings of length n , then we'll define $A \oplus B$ to be the bitstring of length n formed by applying \oplus to the corresponding bits of A and B .
- For example:

$$\begin{array}{r} 110110 \\ \oplus 011010 \\ \hline 101100 \end{array}$$

Encryption

- Suppose that you want to send me a secret bitstring M of length n .
- You should be able to read the message, but anyone who intercepts the secret message should not be able to read it.
- How might we accomplish this?

\oplus and Encryption

- In advance, you and I share a randomly-chosen bitstring K of length n (called the **key**) and keep it secret.
- To send me message M secretly, you send me the string $C = M \oplus K$.
 - C is called the **ciphertext**.
- To decrypt the ciphertext C , I compute the string $C \oplus K$. This is

$$\begin{aligned} C \oplus K &= (M \oplus K) \oplus K \\ &= M \oplus (K \oplus K) \\ &= M \end{aligned}$$

An Example

PUPPIES

M	01010000010101010101000001010000010010010100010101010011
K	11011100101110111100010011010101111001101111011111000010
C	10001100111011101001010010000101101011111011001010010001

€î"…©² ‘

An Example

€î”...©² ‘

C	10001100111011101001010010000101101011111011001010010001
K	11011100101110111100010011010101111001101111011111000010
M	01010000010101010101000001010000010010010100010101010011

PUPPIES

An Example

€î”...©² ‘

C	10001100111011101001010010000101101011111011001010010001
K?	11000000101000011101100011000011111011101111101111011101
M?	01001100010011110100110001000110010000010100100101001100

LOLFAIL

Some Caveats

- This scheme is insecure if you encrypt multiple messages using the same key.
 - Good exercise: Figure out why this is!
- This scheme guarantees security if the key is random, but it isn't tamperproof.
 - Good exercise: Figure out why this is!
- General good advice: ***never implement your own cryptography!***
- Take CS255 for more details!

Next Time

- **Indirect Proofs**
 - Proof by contradiction.
 - Proof by contrapositive.