

# Regular Expressions

Recap from Last Time

# Regular Languages

- A language  $L$  is a **regular language** if there is a DFA  $D$  such that  $\mathcal{L}(D) = L$ .
- **Theorem:** The following are equivalent:
  - $L$  is a regular language.
  - There is a DFA for  $L$ .
  - There is an NFA for  $L$ .

# Language Concatenation

- If  $w \in \Sigma^*$  and  $x \in \Sigma^*$ , then  $wx$  is the **concatenation** of  $w$  and  $x$ .
- If  $L_1$  and  $L_2$  are languages over  $\Sigma$ , the **concatenation** of  $L_1$  and  $L_2$  is the language  $L_1L_2$  defined as

$$L_1L_2 = \{ wx \mid w \in L_1 \text{ and } x \in L_2 \}$$

- Example: if  $L_1 = \{ a, ba, bb \}$  and  $L_2 = \{ aa, bb \}$ , then

$$L_1L_2 = \{ aaa, abb, baaa, babb, bbaa, bbbb \}$$

# Language Exponentiation

- If  $L$  is a language over  $\Sigma$ , the language  $L^n$  is the concatenation of  $n$  copies of  $L$  with itself.
  - Special case:  $L^0 = \{\varepsilon\}$ .
- The ***Kleene closure*** of a language  $L$ , denoted  $L^*$ , is defined as

$$L^* = \{ w \mid \exists n \in \mathbb{N}. w \in L^n \}$$

- Intuitively, all strings that can be formed by concatenating any number of strings in  $L$  with one another.
- Example: if  $L = \{ a, bb \}$ , then

$$L^* = \{ \varepsilon, a, bb, aa, abb, bba, bbbb, aaa, aabb, abba, abbbb, bbaa, bbabb, bbbba, bbbbbb, \dots \}$$

# Closure Properties

- **Theorem:** If  $L_1$  and  $L_2$  are regular languages over an alphabet  $\Sigma$ , then so are the following languages:
  - $\bar{L}_1$
  - $L_1 \cup L_2$
  - $L_1 \cap L_2$
  - $L_1L_2$
  - $L_1^*$
- These properties are called **closure properties of the regular languages**.

New Stuff!

# Another View of Regular Languages



# Rethinking Regular Languages

- We currently have several tools for showing a language is regular.
  - Construct a DFA for it.
  - Construct an NFA for it.
  - Apply closure properties to existing languages.
- We have not spoken much of this last idea.

# Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
  - Start with a small set of simple languages we already know to be regular.
  - Using closure properties, combine these simple languages together to form more elaborate languages.
- *A bottom-up approach to the regular languages.*

# Regular Expressions

- ***Regular expressions*** are a way of describing a language via a string representation.
- Used extensively in software systems for string processing and as the basis for tools like grep and flex.
- Conceptually, regular languages are strings describing how to assemble a larger language out of smaller pieces.

# Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol  $\emptyset$  is a regular expression that represents the empty language  $\emptyset$ .
- For any  $a \in \Sigma$ , the symbol  $a$  is a regular expression for the language  $\{a\}$ .
- The symbol  $\epsilon$  is a regular expression that represents the language  $\{\epsilon\}$ .
  - **Remember:**  $\{\epsilon\} \neq \emptyset!$
  - **Remember:**  $\{\epsilon\} \neq \epsilon!$

# Compound Regular Expressions

- If  $R_1$  and  $R_2$  are regular expressions,  $R_1R_2$  is a regular expression for the *concatenation* of the languages of  $R_1$  and  $R_2$ .
- If  $R_1$  and  $R_2$  are regular expressions,  $R_1 \cup R_2$  is a regular expression for the *union* of the languages of  $R_1$  and  $R_2$ .
- If  $R$  is a regular expression,  $R^*$  is a regular expression for the *Kleene closure* of the language of  $R$ .
- If  $R$  is a regular expression,  $(R)$  is a regular expression with the same meaning as  $R$ .

# Operator Precedence

- Regular expression operator precedence:

$(R)$

$R^*$

$R_1R_2$

$R_1 \cup R_2$

- So **ab\*cUd** is parsed as **((a(b\*))c)Ud**

# Regular Expression Examples

- The regular expression **trickUtreat** represents the regular language { **trick**, **treat** }.
- The regular expression **boo\*** represents the regular language { **boo**, **booo**, **boooo**, ... }.
- The regular expression **candy!(candy!)\*** represents the regular language { **candy!**, **candy!candy!**, **candy!candy!candy!**, ... }.

# Regular Expressions, Formally

- The **language of a regular expression** is the language described by that regular expression.
- Formally:
  - $\mathcal{L}(\varepsilon) = \{\varepsilon\}$
  - $\mathcal{L}(\emptyset) = \emptyset$
  - $\mathcal{L}(\mathbf{a}) = \{\mathbf{a}\}$
  - $\mathcal{L}(R_1R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
  - $\mathcal{L}(R_1 \cup R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$
  - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
  - $\mathcal{L}((R)) = \mathcal{L}(R)$

Worthwhile activity: Apply this recursive definition to

**$a(b \cup c)((d))$**

and see what you get.



# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid w \text{ contains } 00 \text{ as a substring} \}$

$(0 \cup 1)^*00(0 \cup 1)^*$

11011100101  
0000  
11111011110011111

# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
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$\Sigma^*00\Sigma^*$

11011100101  
0000  
11111011110011111

# Designing Regular Expressions

Let  $\Sigma = \{0, 1\}$

Let  $L = \{ w \in \Sigma^* \mid |w| = 4 \}$

The length of  
a string  $w$  is  
denoted  $|w|$

# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid |w| = 4 \}$

$\Sigma\Sigma\Sigma\Sigma$

0000  
1010  
1111  
1000

# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid |w| = 4 \}$

$\Sigma^4$

**0000**  
**1010**  
**1111**  
**1000**

# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } 0 \}$

$1^*(0 \cup \varepsilon)1^*$

11110111

111111

0111

0

# Designing Regular Expressions

- Let  $\Sigma = \{0, 1\}$
- Let  $L = \{ w \in \Sigma^* \mid w \text{ contains at most one } 0 \}$

**1\*0?1\***

**11110111**

**111111**

**0111**

**0**

# A More Elaborate Design

- Let  $\Sigma = \{ \mathbf{a}, \mathbf{.}, \mathbf{@} \}$ , where **a** represents “some letter.”
- Let's make a regex for email addresses.

**aa\*(.aa\*)\*@aa\*.aa\*(.aa\*)\***

**cs103@cs.stanford.edu**

**first.middle.last@mail.site.org**

**barack.obama@whitehouse.gov**



# A More Elaborate Design

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**a<sup>+</sup> (.aa<sup>\*</sup>)<sup>\*</sup>@aa<sup>\*</sup>.aa<sup>\*</sup>(.aa<sup>\*</sup>)<sup>\*</sup>**

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# A More Elaborate Design

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**a<sup>+</sup>** **(.a<sup>+</sup>)<sup>\*</sup>** **@** **a<sup>+</sup>.a<sup>+</sup>** **(.a<sup>+</sup>)<sup>\*</sup>**

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# A More Elaborate Design

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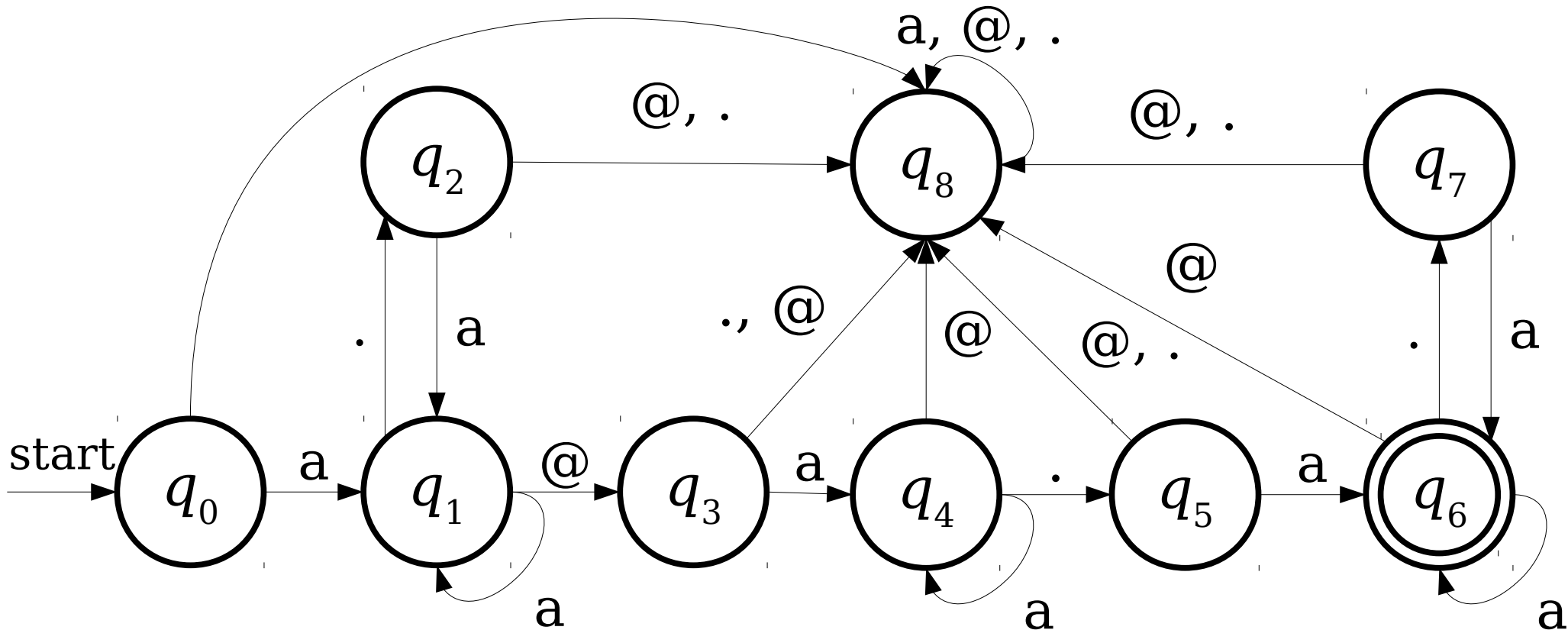
$\mathbf{a^+ (.a^+)^* @ a^+ (.a^+)^+}$

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# Regular Expressions are Awesome

$a^+ (.a^+)^* @ a^+ (.a^+)^+$

@, .



# Shorthand Summary

- $R^n$  is shorthand for  $RR \dots R$  ( $n$  times).
  - Edge case: define  $R^0 = \varepsilon$ .
- $\Sigma$  is shorthand for “any character in  $\Sigma$ .”
- $R?$  is shorthand for  $(R \cup \varepsilon)$ , meaning “zero or one copies of  $R$ .”
- $R^+$  is shorthand for  $RR^*$ , meaning “one or more copies of  $R$ .”

**Time-Out for Announcements!**

# Problem Sets

- Problem Set Five was due at 3:00PM today.
  - Want to use late days? Submit by Monday at 3:00PM.
- Problem Set Six goes out today. It's due next Friday at 3:00PM.
  - Play around with DFAs, NFAs, regular expressions, and properties of regular languages.
  - ***Please use our online tools to design and submit your automata and regexes.*** They're really, really useful!

# Mental Health Tea

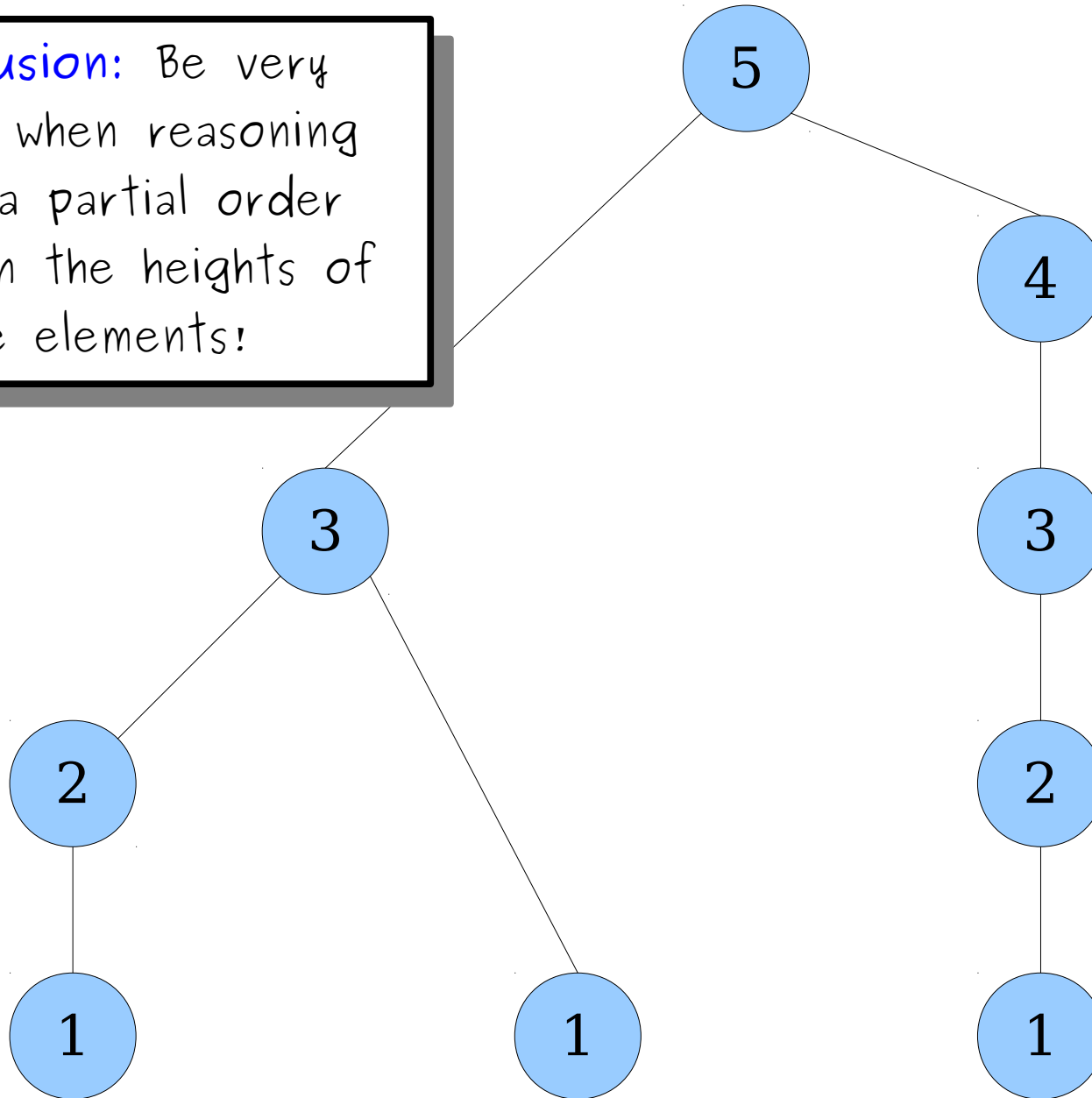
- DiversityBase is holding a Mental Health Tea event next Wednesday, February 17, at 8:00PM in the Kimball Lounge.
- Want to destress a bit? Like tea and cookies? Feel free to show up!
- They recommend bringing a fun mug if you happen to have one.



# PS4: Common Mistakes

# Let's Talk Hasse Diagrams

**Conclusion:** Be very careful when reasoning about a partial order based on the heights of the elements!



# Let's Talk Hasse Diagrams

1

$\frac{3}{4}$

$\frac{1}{2}$

$\frac{1}{4}$

$\frac{1}{8}$

0

What does the Hasse diagram for the  $<$  relation over  $\mathbb{R}$  look like?

***There are no lines in this Hasse diagram!***

# Let's Talk Hasse Diagrams

0

$\frac{1}{8}$

$\frac{1}{4}$

$\frac{1}{2}$

$\frac{3}{4}$

1

What does the Hasse diagram for the  $>$  relation over  $\mathbb{R}$  look like?

It's exactly the same as the Hasse diagram for  $<$  over  $\mathbb{R}$ !

*Conclusion:* It's not safe to reason about a strict order purely by talking about its Hasse diagram.

***There are no lines in this Hasse diagram!***

Your Questions

# “Ultimately, which do you think is more important: career or love? Professional life or personal life?”

In some sense I think this question is like this one: who should you love more, your spouse(s), your child(ren), or your parent(s)? The correct answer is “you should love all of them.”

I think that the real question is how best to strike a balance between your personal life and professional life. From experience, you do not want to get into a position where you're ignoring everyone around you to purely focus on your job. You also don't want to let your personal commitments disablingly interfere with your career. There's a lot of public conversation about employers creating environments that are amenable to new parents, and there's a lot of private conversations about how couples and families will find a way to manage competing priorities. I don't think anyone has a good answer for how to do this right.

Back to CS103!

# The Power of Regular Expressions

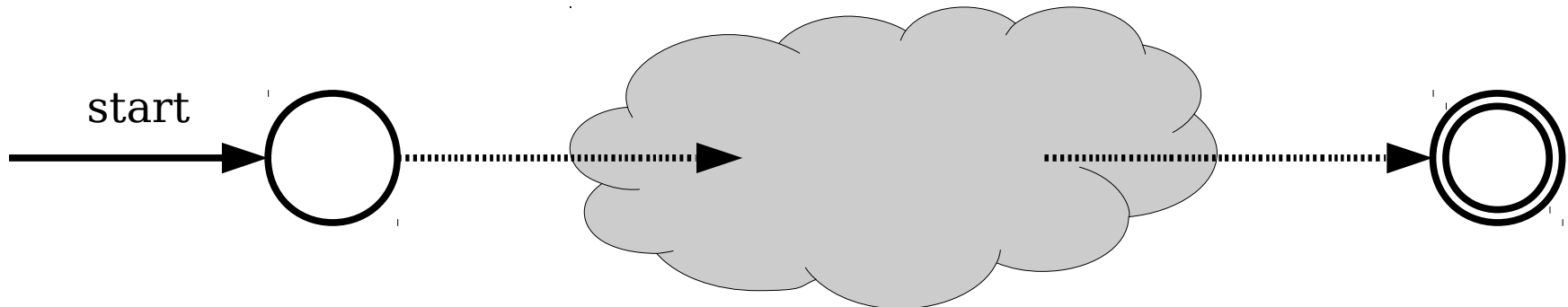
***Theorem:*** If  $R$  is a regular expression, then  $\mathcal{L}(R)$  is regular.

***Proof idea:*** Show how to convert a regular expression into an NFA.



# Thompson's Algorithm

- **Thompson's algorithm** is an algorithm for converting any regular expression into an NFA.
- **Theorem:** For any regular expression  $R$ , there is an NFA  $N$  such that
  - $\mathcal{L}(R) = \mathcal{L}(N)$
  - $N$  has exactly one accepting state.
  - $N$  has no transitions into its start state.
  - $N$  has no transitions out of its accepting state.



# Thompson's Algorithm

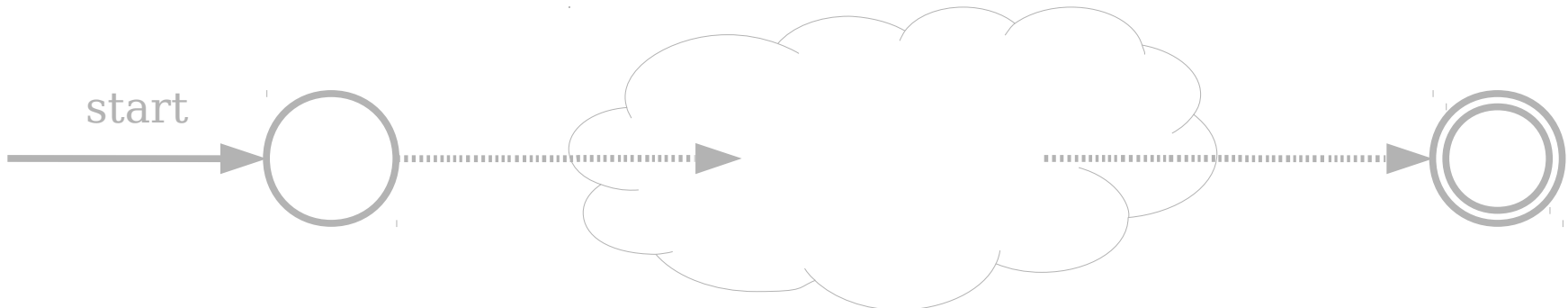
*Thompson's algorithm*  
converting any regular expression

**Theorem:** For any regular expression  $R$   
is an NFA  $N$  such that

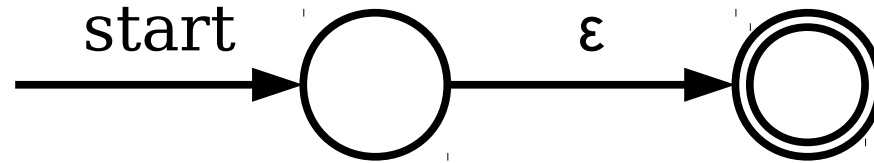
$$\mathcal{L}(R) = \mathcal{L}(N)$$

These are stronger requirements than are necessary for a normal NFA. We enforce these rules to simplify the construction.

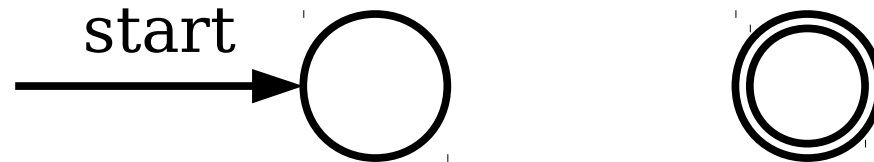
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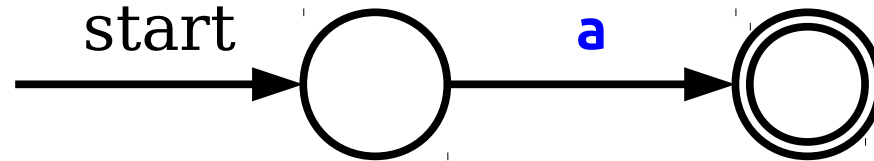
# Base Cases



Automaton for  $\epsilon$

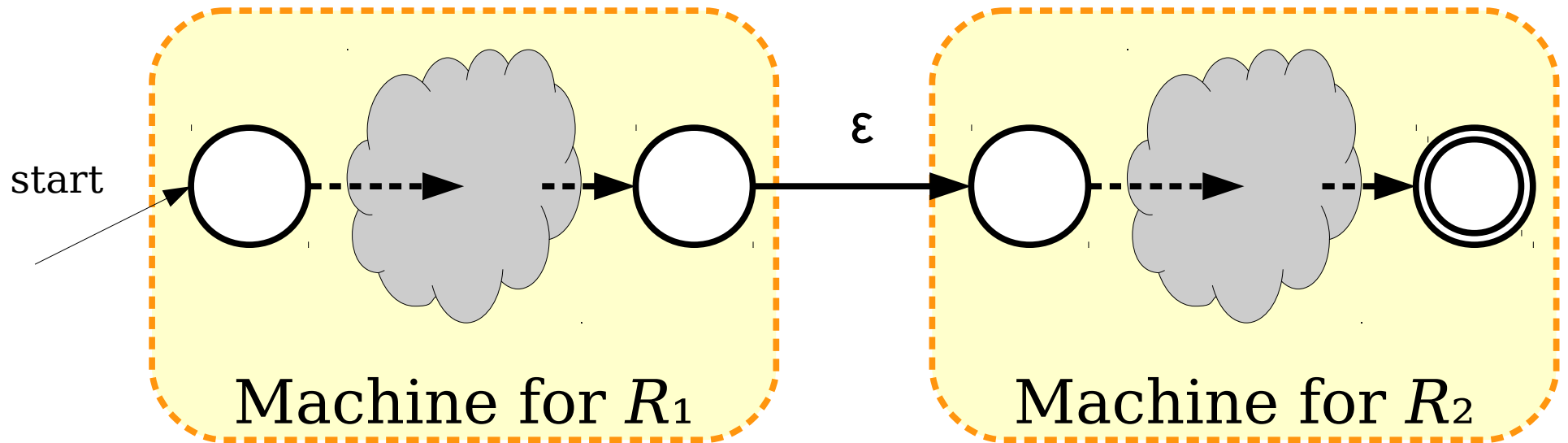


Automaton for  $\emptyset$

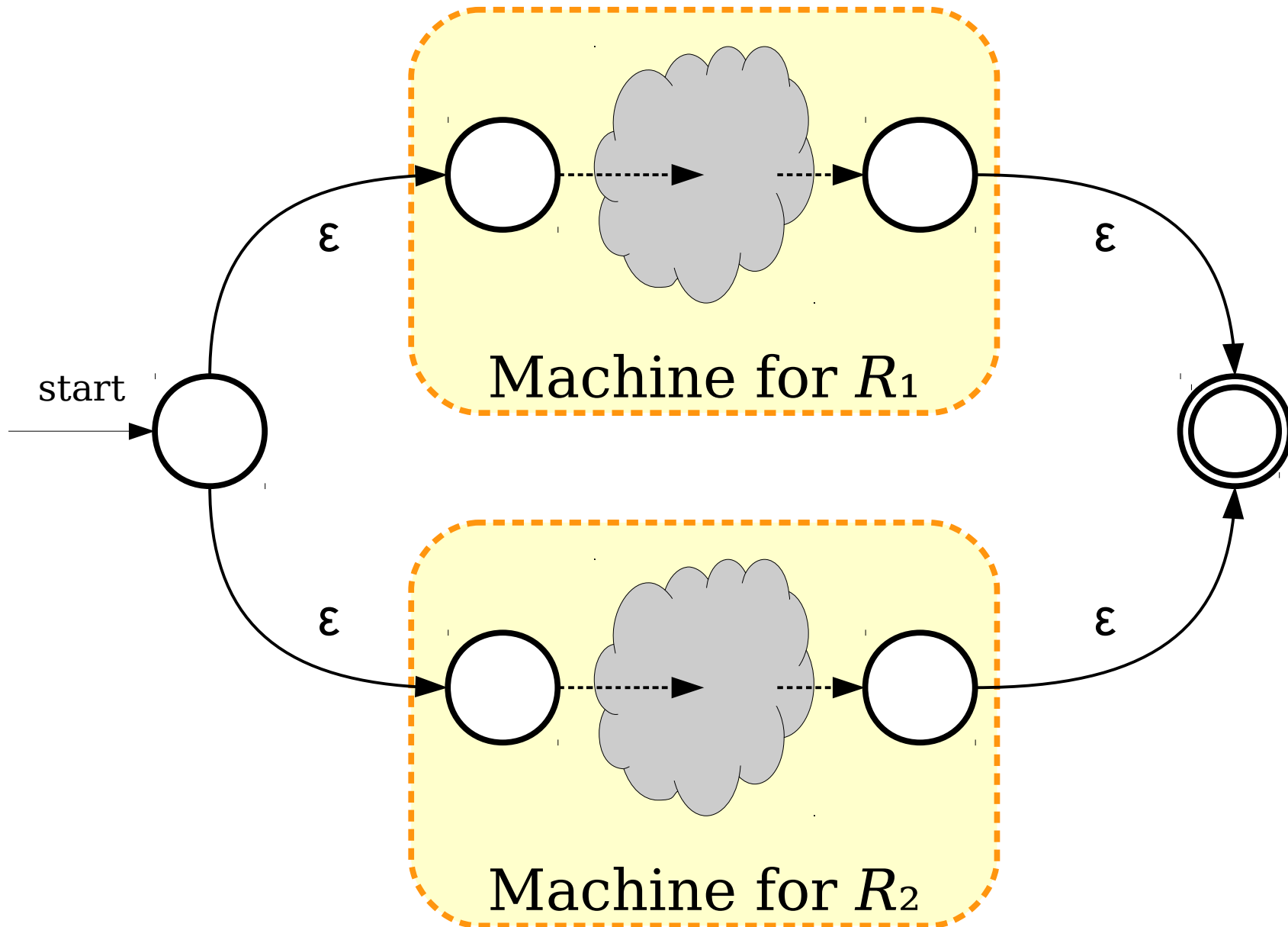


Automaton for single character **a**

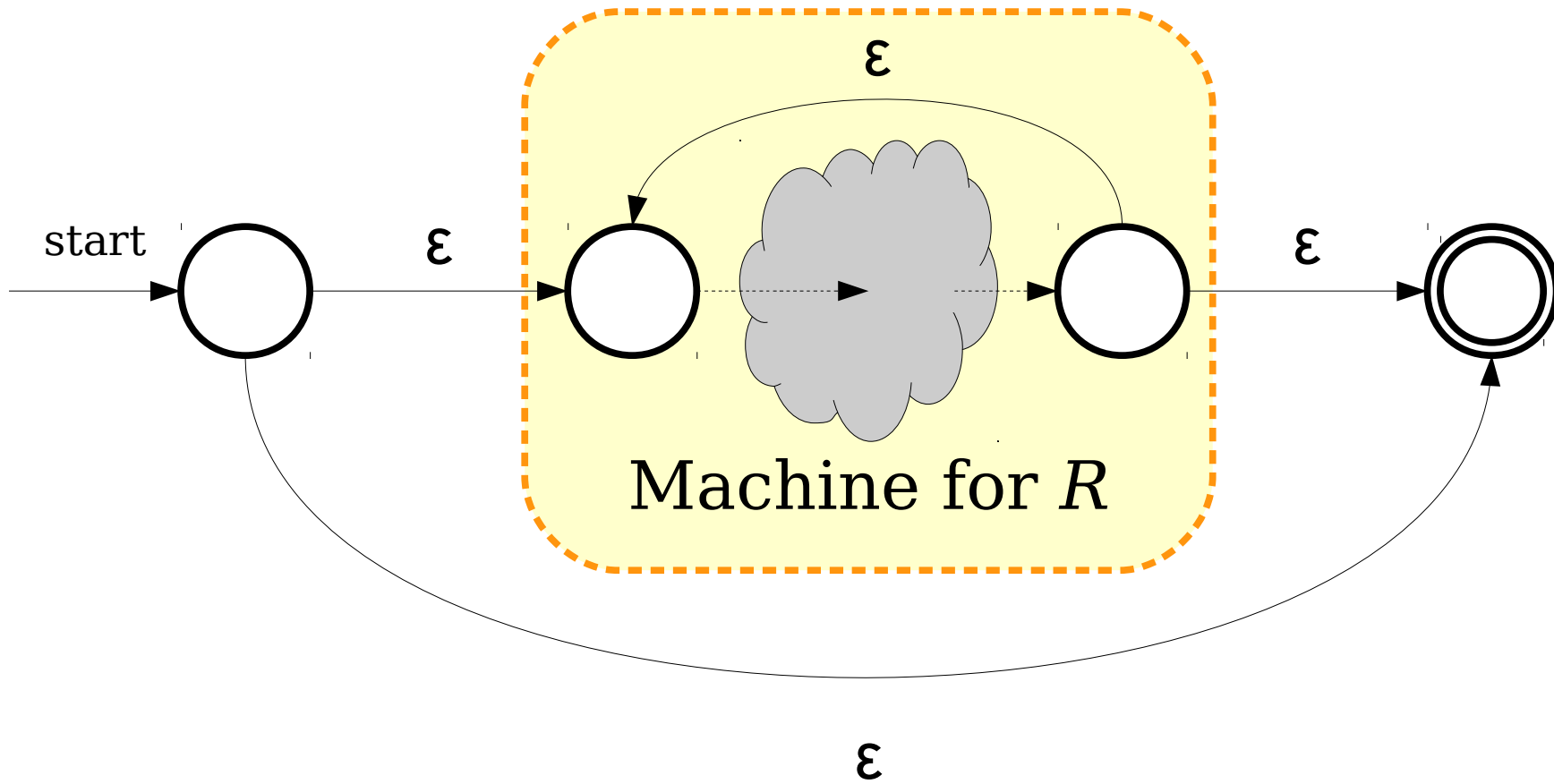
# Construction for $R_1R_2$



# Construction for $R_1 \cup R_2$



# Construction for $R^*$



# Why This Matters

- Many software tools work by matching regular expressions against text.
- One possible algorithm for doing so:
  - Convert the regular expression to an NFA.
  - (Optionally) Convert the NFA to a DFA using the subset construction.
  - Run the text through the finite automaton and look for matches.
- This is actually used in practice! The compiled matching automata run extremely quickly.

# The Power of Regular Expressions

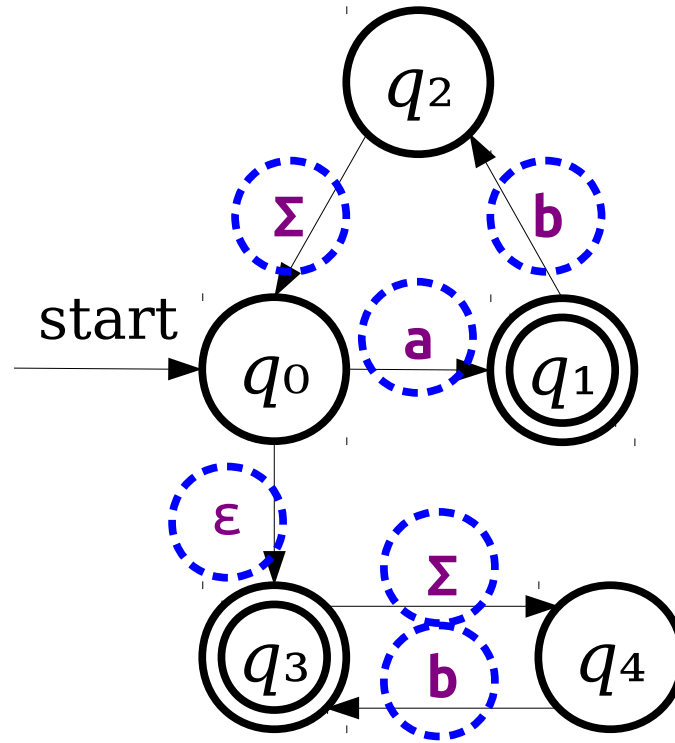
***Theorem:*** If  $L$  is a regular language, then there is a regular expression for  $L$ .

***This is not obvious!***

***Proof idea:*** Show how to convert an arbitrary NFA into a regular expression.

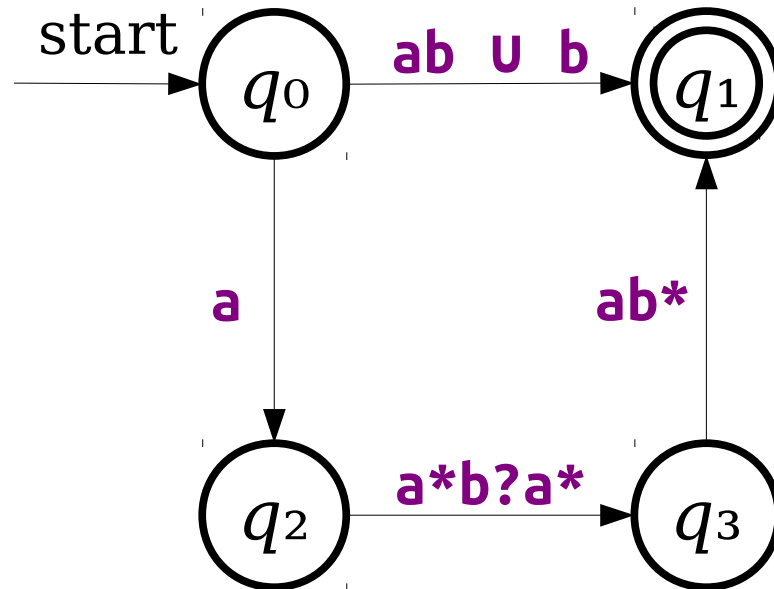


# Generalizing NFAs



These are all regular expressions!

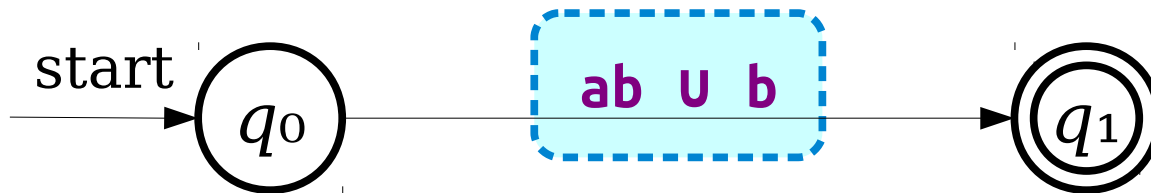
# Generalizing NFAs



Note: Actual NFAs aren't allowed to have transitions like these. This is just a thought experiment.

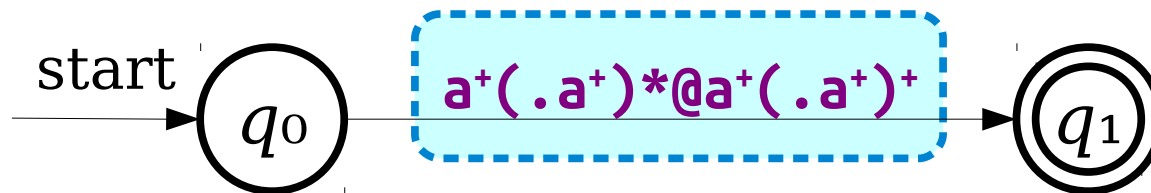
***Key Idea 1:*** Imagine that we can label transitions in an NFA with arbitrary regular expressions.

# Generalizing NFAs



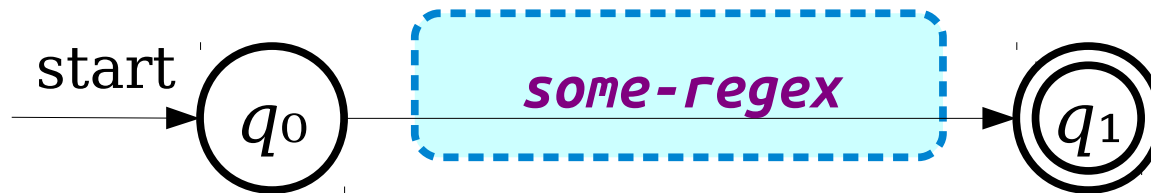
Is there a simple regular expression for the language of this generalized NFA?

# Generalizing NFAs



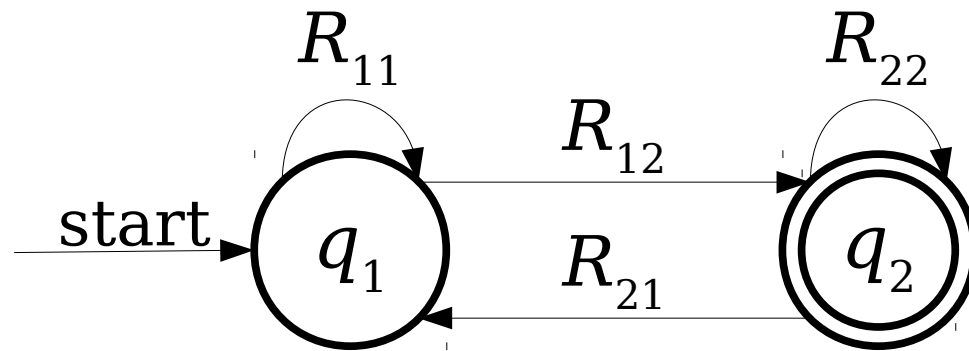
Is there a simple regular expression for the language of this generalized NFA?

**Key Idea 2:** If we can convert an NFA into a generalized NFA that looks like this...



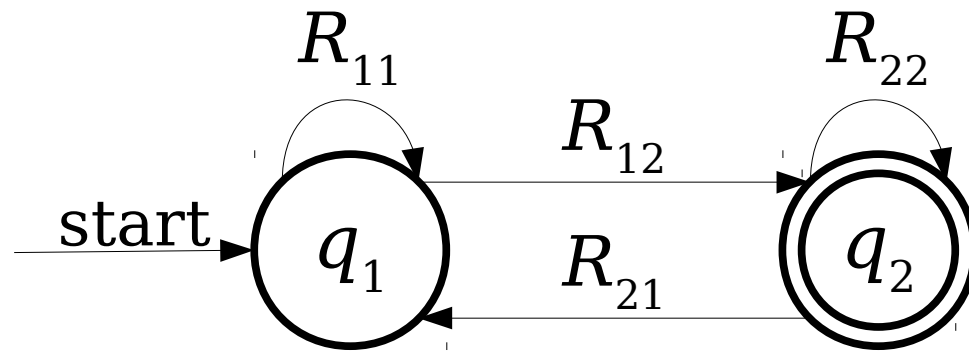
...then we can easily read off a regular expression for that NFA.

# From NFAs to Regular Expressions



Here,  $R_{11}$ ,  $R_{12}$ ,  $R_{21}$ , and  $R_{22}$  are arbitrary regular expressions.

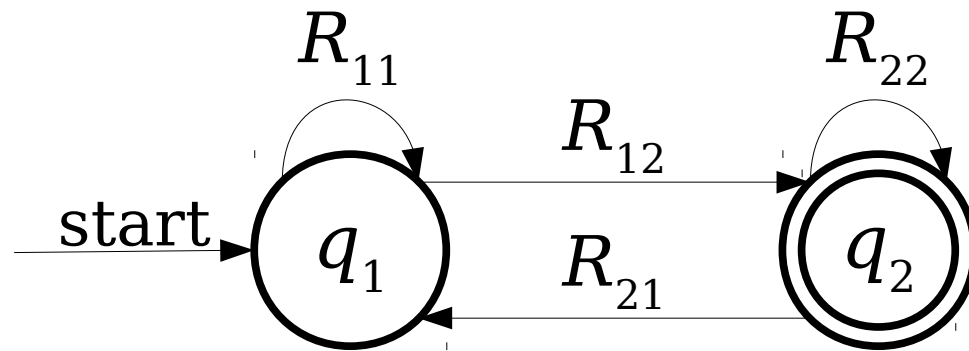
# From NFAs to Regular Expressions



Question: Can we get a clean regular expression from this NFA?



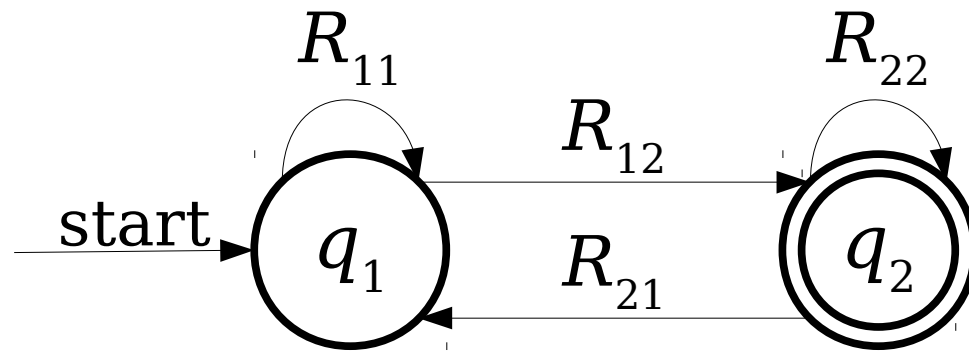
# From NFAs to Regular Expressions



Key Idea 3: Somehow transform this NFA so that it looks like this:

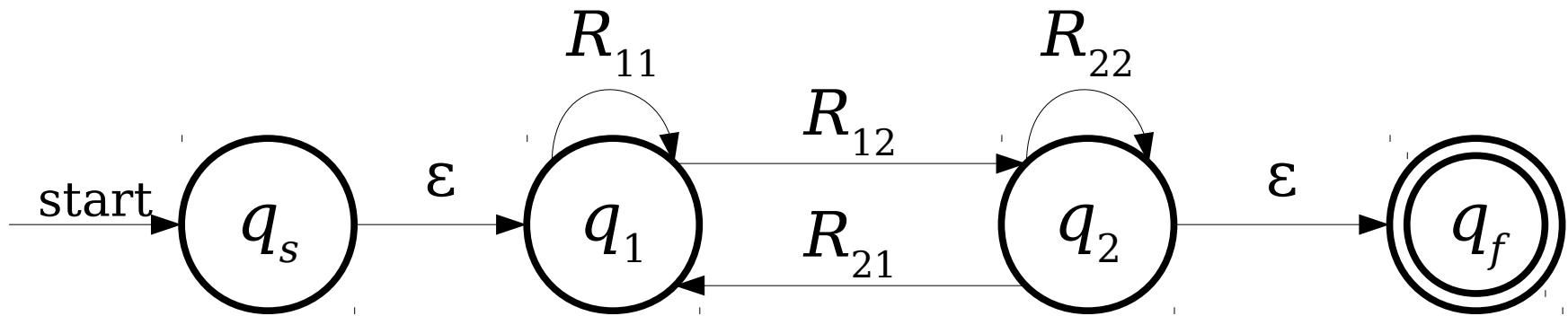


# From NFAs to Regular Expressions

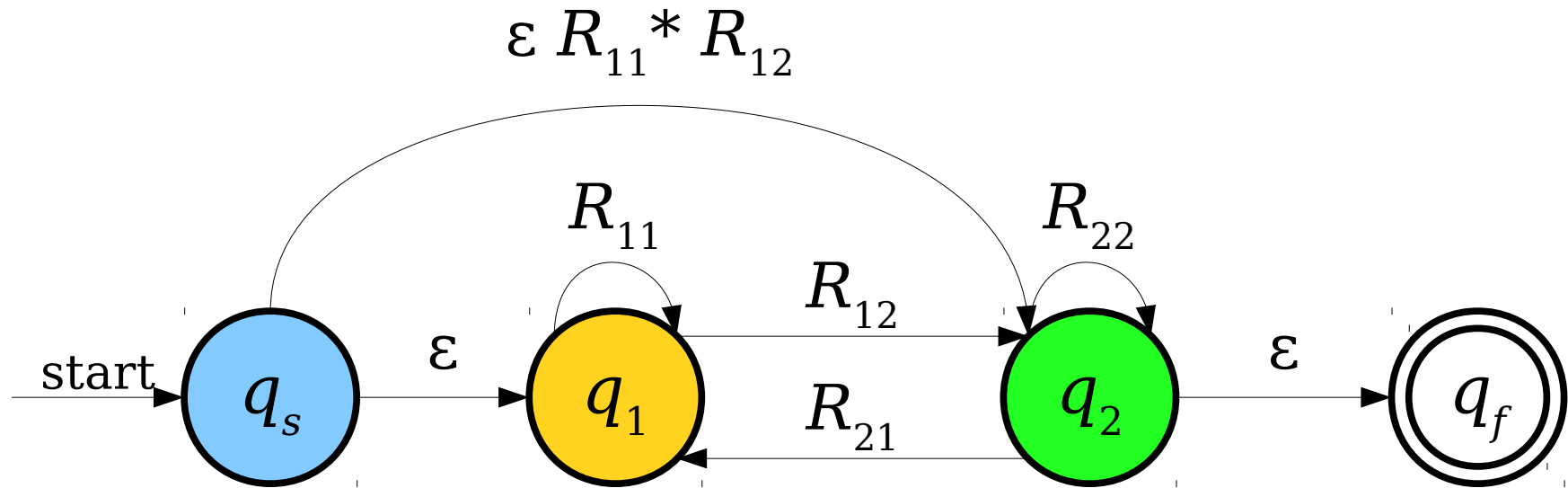


The first step is going to be a bit weird...

# From NFAs to Regular Expressions

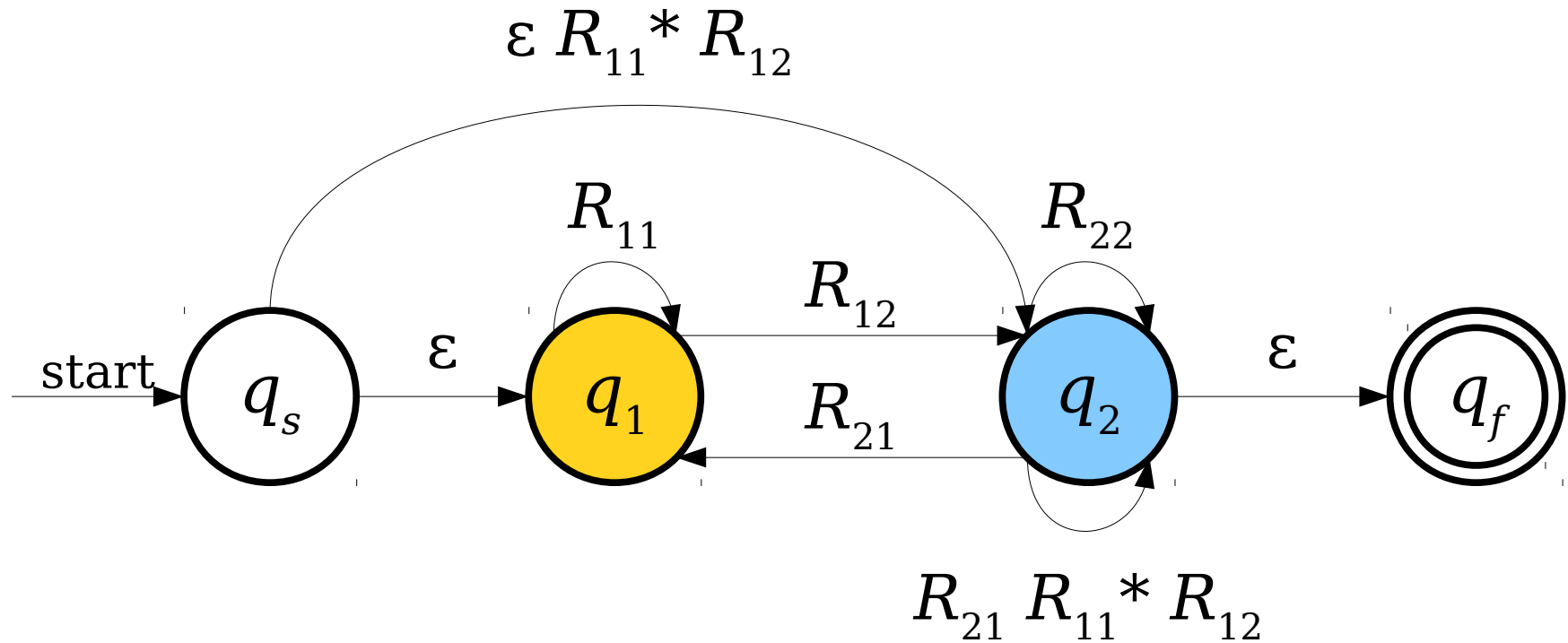


# From NFAs to Regular Expressions

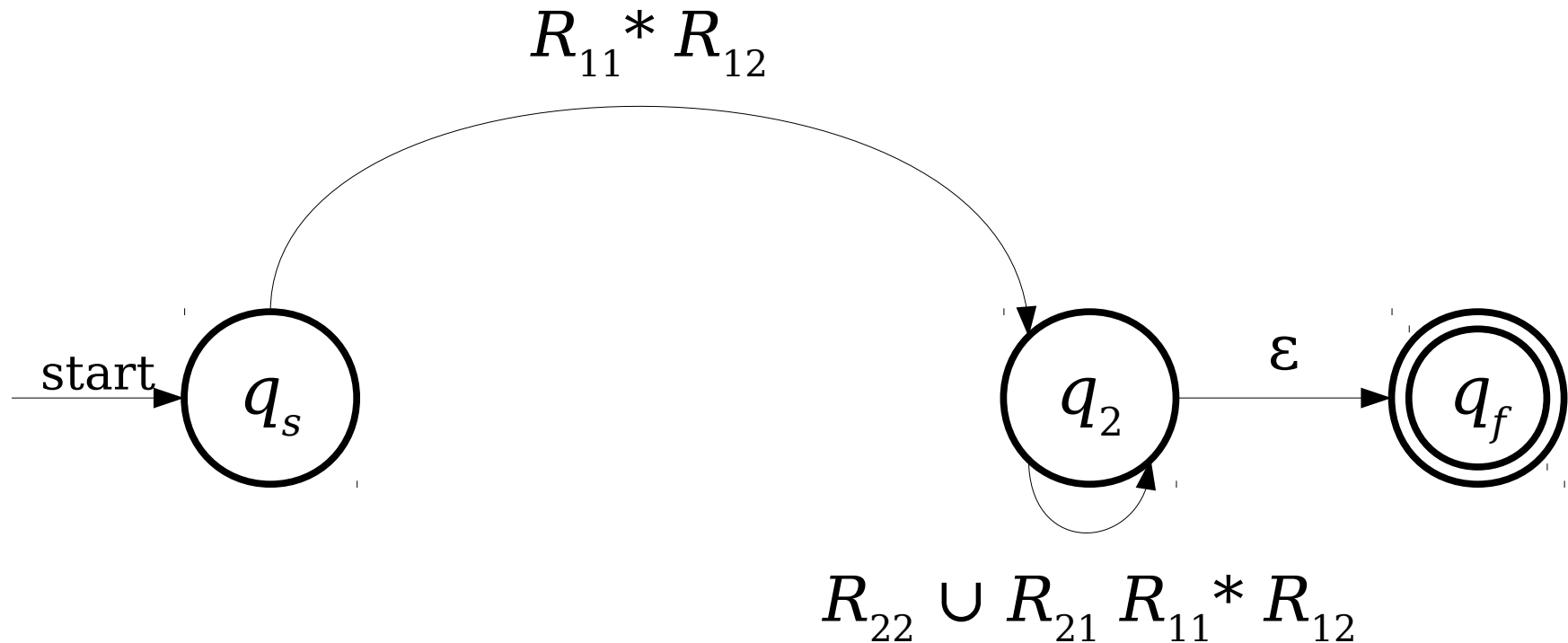


Note: We're using **concatenation** and **Kleene closure** in order to skip this state.

# From NFAs to Regular Expressions

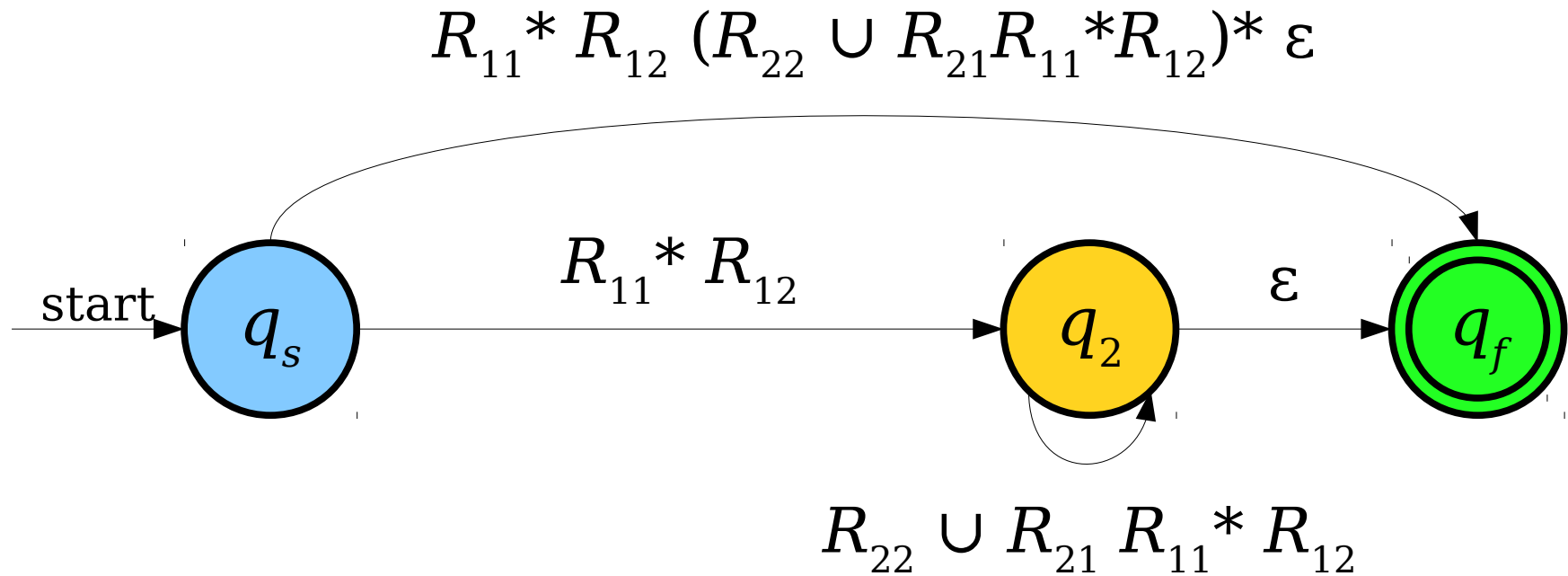


# From NFAs to Regular Expressions

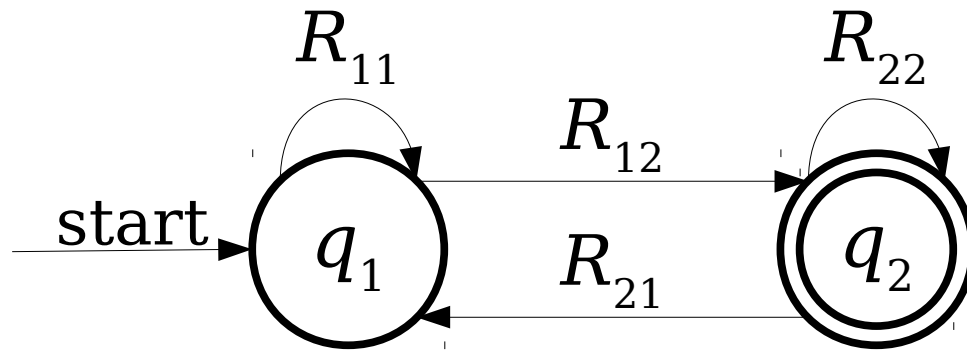
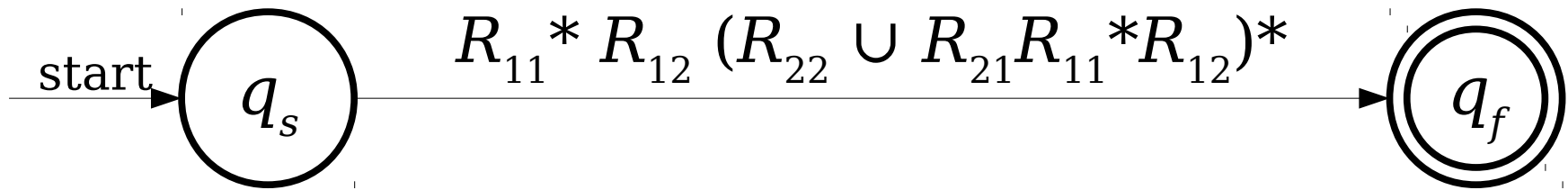


Note: We're using **union** to combine these transitions together.

# From NFAs to Regular Expressions



# From NFAs to Regular Expressions





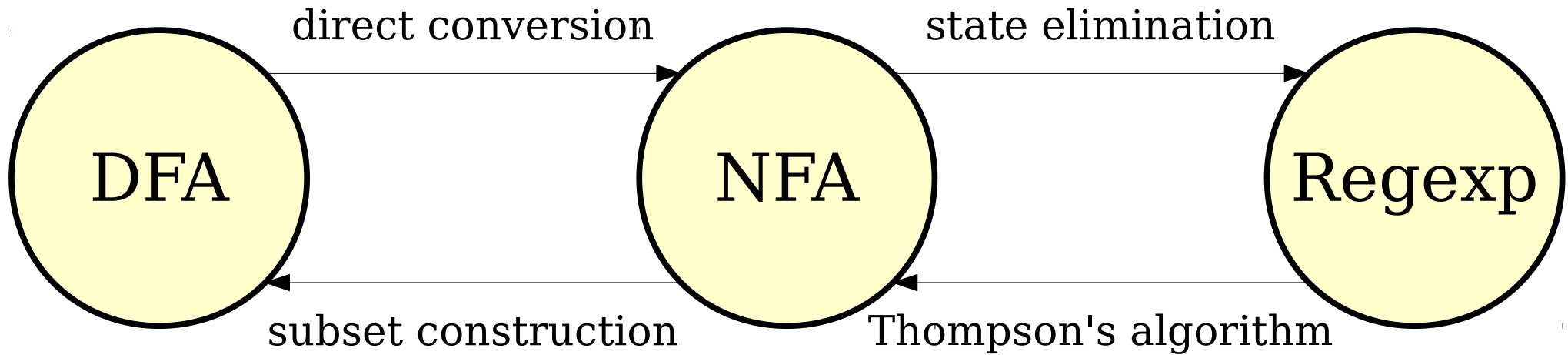
# The Construction at a Glance

- Start with an NFA  $N$  for the language  $L$ .
- Add a new start state  $q_s$  and accept state  $q_f$  to the NFA.
  - Add an  $\varepsilon$ -transition from  $q_s$  to the old start state of  $N$ .
  - Add  $\varepsilon$ -transitions from each accepting state of  $N$  to  $q_f$ , then mark them as not accepting.
- Repeatedly remove states other than  $q_s$  and  $q_f$  from the NFA by “shortcutting” them until only two states remain:  $q_s$  and  $q_f$ .
- The transition from  $q_s$  to  $q_f$  is then a regular expression for the NFA.

# Eliminating a State

- To eliminate a state  $q$  from the automaton, do the following for each pair of states  $q_0$  and  $q_1$ , where there's a transition from  $q_0$  into  $q$  and a transition from  $q$  into  $q_1$ :
  - Let  $R_{in}$  be the regex on the transition from  $q_0$  to  $q$ .
  - Let  $R_{out}$  be the regex on the transition from  $q$  to  $q_1$ .
  - If there is a regular expression  $R_{stay}$  on a transition from  $q$  to itself, add a new transition from  $q_0$  to  $q_1$  labeled  $(R_{in}(R_{stay})^*R_{out})$ .
  - If there isn't, add a new transition from  $q_0$  to  $q_1$  labeled  $(R_{in}R_{out})$
- If a pair of states has multiple transitions between them labeled  $R_1, R_2, \dots, R_k$ , replace them with a single transition labeled  $R_1 \cup R_2 \cup \dots \cup R_k$ .

# Our Transformations



**Theorem:** The following are all equivalent:

- $L$  is a regular language.
- There is a DFA  $D$  such that  $\mathcal{L}(D) = L$ .
- There is an NFA  $N$  such that  $\mathcal{L}(N) = L$ .
- There is a regular expression  $R$  such that  $\mathcal{L}(R) = L$ .

# Why This Matters

- The equivalence of regular expressions and finite automata has practical relevance.
  - Tools like `grep` and `flex` that use regular expressions capture all the power available via DFAs and NFAs.
- This also is hugely theoretically significant: the regular languages can be assembled “from scratch” using a small number of operations!

# Next Time

- **Applications of Regular Languages**
  - Answering “so what?”
- **Intuiting Regular Languages**
  - What makes a language regular?
- **The Myhill-Nerode Theorem**
  - The limits of regular languages.