Nonregular Languages

Recap from Last Time

Theorem: The following are all equivalent:

- \cdot L is a regular language.
- · There is a DFA *D* such that $\mathscr{L}(D) = L$.
- · There is an NFA N such that $\mathscr{L}(N) = L$.
- · There is a regular expression R such that $\mathscr{L}(R) = L$.

New Stuff!

Why does this matter?

Buttons as Finite-State Machines:

http://cs103.stanford.edu/tools/button-fsm/





https://blog.codecentric.de/en/2016/07/handling-ios-app-states-state-machine/

What exactly is a finite-state machine?

































The Model

- The computing device has internal workings that can be in one of finitely many possible configurations.
 - Each *state* in a DFA corresponds to some possible configuration of the internal workings.
- After each button press, the computing device does some amount of processing, then gets to a configuration where it's ready to receive more input.
 - Each *transition* abstracts away how the computation is done and just indicates what the ultimate configuration looks like.
- After the user presses the "done" button, the computer outputs either YES or NO.
 - The *accepting* and *rejecting* states of the machine model what happens when that button is pressed.

Computers as Finite Automata

- My computer has 12GB of RAM and about 150GB of hard disk space.
- That's a total of 162GB of memory, which is 1,391,569,403,904 bits.
- There are "only" 21,391,569,403,904 possible configurations of the memory in my computer.
- You could in principle build a DFA representing my computer, where there's one symbol per type of input the computer can receive.

A Powerful Intuition

- Regular languages correspond to problems that can be solved with finite memory.
 - At each point in time, we only need to store one of finitely many pieces of information.
- Nonregular languages, in a sense, correspond to problems that cannot be solved with finite memory.
- Since every computer ever built has finite memory, in a sense, nonregular languages correspond to problems that cannot be solved by physical computers!

Finding Nonregular Languages

Finding Nonregular Languages

- To prove that a language is regular, we can just find a DFA, NFA, or regex for it.
- To prove that a language is not regular, we need to prove that there are no possible DFAs, NFAs, or regexes for it.
 - *Claim:* We can actually just prove that there's no DFA for it. Why is this?
- This sort of argument will be challenging. Our arguments will be somewhat technical in nature, since we need to rigorously establish that no amount of creativity could produce a DFA for a given language.
- Let's see an example of how to do this.

A Simple Language

• Let $\Sigma = \{a, b\}$ and consider the following language:

$E = \{ a^n b^n \mid n \in \mathbb{N} \}$

E is the language of all strings of n a's followed by n b's:

 $\{ \epsilon, ab, aabb, aaabbb, aaaabbbb, ... \}$

A Simple Language $E = \{a^n b^n \mid n \in \mathbb{N} \}$

How many of the following are regular expressions for the language *E* defined above?

> a*b* (ab)* ε U ab U a²b² U a³b³

Another Attempt

- Let's try to design an NFA for $E = \{ a^n b^n \mid n \in \mathbb{N} \}.$
- Does this machine work?



Another Attempt

- Let's try to design an NFA for $E = \{ a^n b^n \mid n \in \mathbb{N} \}.$
- How about this one?



Another Attempt

• Let's try to design an NFA for

 $E = \{ a^n b^n \mid n \in \mathbb{N} \}.$

• What about this?



We seem to be running into some trouble. Why is that?
Let's imagine what a DFA for the language $\{ a^n b^n \mid n \in \mathbb{N} \}$ would have to look like.

Can we say anything about it?







What happens if q_n is...

...an accepting state? ...a rejecting state?



What happens if q_n is...

...an accepting state? We accept **aabbbb** $\notin E!$...a rejecting state?



What happens if q_n is...

...an accepting state? ...a rejecting state? We accept **aabbbb** $\notin E!$ We reject **aaaabbbb** $\in E!$

What's Going On?

- As you just saw, the strings a^4 and a^2 can't end up in the same state in *any* DFA for $E = \{a^n b^n \mid n \in \mathbb{N}\}$.
- Two proof routes:
 - Direct: The states you reach for a⁴ and a² have to behave differently when reading b⁴ in one case it should lead to an accept state, in the other it should lead to a reject state. Therefore, they must be different states.
 - Contradiction: Suppose you do end up in the same state. Then a⁴b⁴ and a²b⁴ end up in the same state, so we either reject a⁴b⁴ (oops) or accept a²b⁴ (oops).
- Powerful intuition: Any DFA for E must keep a⁴ and a² separated. It needs to remember something fundamentally different after reading those strings.

This idea – that two strings shouldn't end up in the same DFA state – is fundamental to discovering nonregular languages.

Let's go formalize this!

- Let *L* be an arbitrary language over Σ .
- Two strings $x \in \Sigma^*$ and $y \in \Sigma^*$ are called **distinguishable relative to** L if there is a string $w \in \Sigma^*$ such that exactly one of xw and yw is in L.
- We denote this by writing $x \not\equiv_L y$.
- In our previous example, we saw that $a^2 \not\equiv_E a^4$.
 - Try appending **b**⁴ to both of them.
- Formally, we say that $x \not\equiv_L y$ if the following is true:

 $\exists w \in \Sigma^*. (xw \in L \leftrightarrow yw \notin L)$

- **Theorem:** Let *L* be an arbitrary language over Σ . Let $x \in \Sigma^*$ and $y \in \Sigma^*$ be strings where $x \not\equiv_L y$. Then if *D* is **any** DFA for *L*, then *D* must end in different states when run on inputs *x* and *y*.
- Proof sketch:







• Let's focus on this language for now:

 $E = \{ a^n b^n \mid n \in \mathbb{N} \}$

Lemma: If $m, n \in \mathbb{N}$ and $m \neq n$, then $a^m \not\equiv_E a^n$.

Proof: Let a^m and a^n be strings where $m \neq n$. Then $a^m b^m \in E$ and $a^n b^m \notin E$. Therefore, we see that $a^m \not\equiv_E a^n$, as required.

A Bad Combination

- Suppose there is a DFA *D* for the language $E = \{a^n b^n \mid n \in \mathbb{N}\}.$
- We know the following:
 - Any two strings of the form a^m and a^n , where $m \neq n$, cannot end in the same state when run through D.
 - There are infinitely many pairs of strings of the form a^m and aⁿ.
 - However, there are only *finitely many* states they can end up in, since *D* is a deterministic *finite* automaton!
- What happens if we put these pieces together?

Theorem: The language $E = \{ a^n b^n \mid n \in \mathbb{N} \}$ is not regular. **Proof:** Suppose for the sake of contradiction that *E* is regular.

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Proof: Suppose for the sake of contradiction that *E* is regular. Let *D* be a DFA for *E*, and let *k* be the number of states in *D*. Consider the strings a^0 , a^1 , a^2 , ..., a^k . This is a collection of k+1 strings and there are only *k* states in *D*.

Proof: Suppose for the sake of contradiction that E is regular. Let D be a DFA for E, and let k be the number of states in D. Consider the strings a^0 , a^1 , a^2 , ..., a^k . This is a collection of k+1 strings and there are only k states in D. Therefore, by the pigeonhole principle, there must be two distinct strings a^m and a^n that end in the same state when run through D.

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Our lemma tells us that $a^m \not\equiv_E a^n$, so by our earlier theorem we know that a^m and a^n cannot end in the same state when run through D.

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Our lemma tells us that $\mathbf{a}^m \not\equiv_E \mathbf{a}^n$, so by our earlier theorem we know that \mathbf{a}^m and \mathbf{a}^n cannot end in the same state when run through D. But this is impossible, since we know that \mathbf{a}^m and \mathbf{a}^n do end in the same state when run through D.

We have reached a contradiction, so our assumption must have been wrong.

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We have reached a contradiction, so our assumption must have been wrong. Therefore, E is not regular.

We're going to see a simpler proof of this result later on once we've built more machinery. If (hypothetically speaking) you want to prove something like this in the future, we'd recommend not using this proof as a template.

What Just Happened?

- We've just hit the limit of finitememory computation.
- To build a DFA for $E = \{ a^n b^n \mid n \in \mathbb{N} \}$, we need to have different memory configurations (states) for all possible strings of the form a^n .
- There's no way to do this with finitely many possible states!

Where We're Going

- We just used the idea of *distinguishability* to show that no possible DFA can exist for some language.
- This technique turns out to be pretty powerful.
- We're going to see one more example of this technique in action, then generalize it to an extremely powerful theorem for finding nonregular languages.

More Nonregular Languages

Another Language

• Consider the following language L over the alphabet $\Sigma = \{a, b, \stackrel{?}{=}\}$:

$$EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$$

- EQ is the language all strings consisting of the same string of a's and b's twice, with a = symbol in-between.
- Examples:
 - $ab \stackrel{?}{=} ab \in EQ$ $bbb \stackrel{?}{=} bbb \in EQ$ $\stackrel{?}{=} \in EQ$ $ab \stackrel{?}{=} ba \notin EQ$ $bbb \stackrel{?}{=} aaa \notin EQ$ $b \stackrel{?}{=} \notin EQ$

Another Language

$$EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$$

• This language corresponds to the following problem:

Given strings $x, y \in \{a, b\}^*$, does x = y?

- Justification: x = y happens if and only if $x \stackrel{?}{=} y \in EQ$.
- Is this language regular?

$$EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$$

- Intuitively, any machine for EQ has to be able to remember the contents of everything to the left of the ² so that it can match them against the contents of the string to the right of the ².
- There are infinitely many possible strings we can see, but we only have finite memory to store which string we saw.
- That's a problem... can we formalize this?





...an accepting state? ...a rejecting state?





• Let's focus on this language for now:

 $EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$

Lemma: If $x, y \in \{a, b\}^*$ and $x \neq y$, then $x \not\equiv_{EQ} y$.

Proof: Let *x* and *y* be two distinct, arbitrary strings from {a, b}*. Then we see that $x \stackrel{?}{=} x \in EQ$ and $y \stackrel{?}{=} x \notin EQ$, so we conclude that $x \not\equiv_{EQ} y$, as required. ■
Theorem: The language $EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$ is not regular.

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- **Theorem:** The language $EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$ is not regular.
- **Proof:** Suppose for the sake of contradiction that EQ is regular. Let D be a DFA for EQ and let k be the number of states in D. Consider any k+1 distinct strings in $\{a, b\}^*$.

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Time-Out for Announcements!

Midterm Exam Logistics

- The next midterm is *Monday, November 12th* from 7:00PM 10:00PM. Locations are divvied up by last (family) name:
 - A-L: Go to **Bishop Auditorium**.
 - M-Z: Go to **Cubberley Auditorium**.
- The exam focuses on Lecture 06 13 (binary relations through induction, inclusive) and PS3 – PS5. Finite automata onward is *not* tested.
 - Topics from earlier in the quarter (proofwriting, first-order logic, set theory, etc.) are also fair game, but that's primarily because the later material builds on this earlier material.
- The exam is closed-book, closed-computer, and limitednote. You can bring a double-sided, $8.5'' \times 11''$ sheet of notes with you to the exam, decorated however you'd like.

Douit be afraid to take a deep breath & smile! Cuz you got this i

Practice Midterm Exam

- We'll be holding a practice midterm exam *tonight* from 7PM 10PM in 320-105.
- The practice midterm exam is composed of what we think is a good representative sample of older midterm questions from across the years. It's probably the best indicator of what you should expect to see.
- Course staff will be on hand to answer your questions.
- Can't make it? We'll release the practice exam and solutions online. Set up your own practice exam time with a small group and work through it under realistic conditions!

Other Practice Materials

- We've posted five practice midterms to the course website, with solutions.
 - We'll post the practice exam from this evening a little bit later, bringing the total to six.
- There's also Extra Practice Problems 2, plus all the CS103A materials.
- Need more practice? Let us know and we'll see what we can do!

Your Questions

"What did you wish you had done at Stanford but didn't get the chance to do?"

so many things, but two stand out:

I never took a creative writing class.
 I didn't do SLE.

I've been playing catch-up ever since!

"What if you don't enjoy the material in 103, but see the value of it- is CS still right for you?"

"I've enjoyed CS 103 far more than any other CS class, and since it's not a programming class, it makes me question whether I should in fact be a CS major."

> I'd say that CS103 is "part of a balanced breakfast." The techniques you're learning and ways of thinking you're developing are amazingly useful. If you love them, great: Keep exploring and see what else you like. You might find the intersection of theory and practice really exciting. If you're not a huge fan, no worries! What you're learning will be really valuable in the future, even if it isn't your primary focus.

Back to CS103!

Comparing Proofs

- **Theorem:** The language $E = \{ a^n b^n \mid n \in \mathbb{N} \}$ is not a regular language.
- **Proof:** Suppose for the sake of contradiction that *E* is regular. Let *D* be a DFA for *E* and let *k* be the number of states in *D*.

Consider the strings a^0 , a^1 , a^2 , ..., a^k . This is a collection of k+1 strings and there are only k states in D. Therefore, by the pigeonhole principle, there must be two distinct strings a^m and a^n that end in the same state when run through D.

Our lemma tells us that $\mathbf{a}^m \not\equiv_E \mathbf{a}^n$. By our earlier theorem we know that \mathbf{a}^m and \mathbf{a}^n cannot end in the same state when run through D. But this is impossible, since we know that \mathbf{a}^m and \mathbf{a}^n do end in the same state when run through D.

We have reached a contradiction, so our assumption must have been wrong. Therefore, E is not regular.

Theorem: The language $EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$ is not a regular language.

Proof: Suppose for the sake of contradiction that *EQ* is regular. Let *D* be a DFA for *EQ* and let *k* be the number of states in *D*.

Consider any k+1 distinct strings in $\{a, b\}^*$. These are k+1 strings and there are only k states in D. By the pigeonhole principle, there must be two distinct strings x and y from this group that end in the same state when run through D.

Our lemma tells us that $x \not\equiv_{EQ} y$. By our earlier theorem we know that x and y cannot end in the same state when run through D. But this is impossible, since specifically chose x and y to end in the same state when run through D.

We have reached a contradiction, so our assumption must have been wrong. Therefore, EQ is not regular.

Theorem: The language L = [fill in the blank] is not a regular language.

Proof: Suppose for the sake of contradiction that *L* is regular. Let *D* be a DFA for *L* and let *k* be the number of states in *D*.

Consider [some k+1 specific strings.] This is a collection of k+1 strings and there are only k states in D. Therefore, by the pigeonhole principle, there must be two distinct strings x and y that end in the same state when run through D.

[Somehow we know] that $x \not\equiv_L y$. By our earlier theorem we know that x and y cannot end in the same state when run through D. But this is impossible, since we know that x and y must end in the same state when run through D.

We have reached a contradiction, so our assumption must have been wrong. Therefore, L is not regular.

Theorem: The language L = [fill in the blank] is not a regular language.

Proof: Suppose for the sake of contradiction that L is regular.
Let D be a DFA for L and let k be the number of states in D.

Consider [some k+1 specific strings.] This is a collection of k+1 strings and there are only k states in D. Therefore, by the pigeonhole principle, there must be two distinct strings x and y that end in the same state when run through D.

[Somehow we know] that $x \not\equiv_L y$. By our earlier theorem we know that x and y cannot end in the same state when run through D. But this is impossible, since we know that x and y must end in the same state when run through D.

We have reached a contradiction, so our assumption must have been wrong. Therefore, *L* is not regular.

Theorem: The language L = [regular language.

For any number of states k, we need a way to find k+1 strings so that two of them get into the same state...

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[Somehow we know] that $x \neq_L y$. By our earlier theorem we know that x and y cannot end in the same state when run through *D*. But this is impossible, since we know that *x* and y must end in the same state when run through D.

We have reached a contradiction, s ... and all those strings need have been wrong. Therefore, L is r to be distinguishable so that

we get a contradiction.

Imagine we have an infinite set of strings S with the following property:

 $\forall x \in S. \ \forall y \in S. \ (x \neq y \rightarrow x \not\equiv_{T} y)$

What happens?

For any number of states k, we need a way to find k+1 strings so that two of them get into the same state...

et *k* be the number of states in

D.

Consider [some *k*+1 specific strings.] This is a collection of k+1 strings and there are only k states in D. Therefore, by the pigeonhole principle, there must be two distinct strings x and y that end in the same state when run through D.

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The Myhill-Nerode Theorem

Theorem: Let *L* be a language over Σ . If there is a set $S \subseteq \Sigma^*$ with the following properties, then *L* is not regular:

- *S* is infinite (that is, *S* contains infinitely many strings).
- The strings in *S* are *pairwise distinguishable relative to L*. That is,

 $\forall x \in S. \ \forall y \in S. \ (x \neq y \rightarrow x \not\equiv_L y).$

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$$\forall x \in S. \ \forall y \in S. \ (x \neq y \rightarrow x \not\equiv_L y).$$

If you pick any two
strings in s that aren't
equal to one another...

Proof: Let *L* be an arbitrary language over Σ . Let $S \subseteq \Sigma^*$ be an infinite set of strings with the following property: if $x, y \in S$ and $x \neq y$, then $x \not\equiv_L y$. We will show that *L* is not regular.

Suppose for the sake of contradiction that L is regular. This means that there must be some DFA D for L. Let k be the number of states in D. Since there are infinitely many strings in S, we can choose k+1 distinct strings from S and consider what happens when we run D on all of those strings. Because there are only k states in D and we've chosen k+1 strings from S, by the pigeonhole principle we know that at least two strings from S must end in the same state in D. Choose any two such strings and call them x and y.

Because x and y are distinct strings in *S*, we know that $x \not\equiv_L y$. Our earlier theorem therefore tells us that when we run *D* on inputs x and y, they must end up in different states. But this is impossible – we chose x and y precisely because they end in the same state when run through *D*.

We have reached a contradiction, so our assumption must have been wrong. Thus L is not a regular language.

Using the Myhill-Nerode Theorem

Theorem: The language $E = \{ a^n b^n \mid n \in \mathbb{N} \}$ is not regular.

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- **Theorem:** The language $E = \{ a^n b^n \mid n \in \mathbb{N} \}$ is not regular.
- **Proof:** Let $S = \{ a^n \mid n \in \mathbb{N} \}$. This set is infinite because it contains one string for each natural number. Now, consider any strings a^n , $a^m \in S$ where $a^n \neq a^m$. Then $a^n b^n \in E$ and $a^m b^n \notin E$. Consequently, $a^n \not\equiv_E a^m$. Therefore, by the Myhill-Nerode theorem, *L* is not regular. ■

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So pick the set $S = \{a, b\}^*$.

Notice that S isn't a subset of EQ. That's okay: we never said that S needs to be a subset of EQ!

- **Theorem:** The language $EQ = \{ w \stackrel{?}{=} w \mid w \in \{a, b\}^* \}$ is not regular.
- **Proof:** Let $S = \{a, b\}^*$. This set contains infinitely many strings. Now, consider any *x*, *y* ∈ *S* where $x \neq y$. Then $x \stackrel{?}{=} x \in EQ$ and $y \stackrel{?}{=} x \notin EQ$. Consequently, $x \not\equiv_{EQ} y$. Therefore, by the Myhill-Nerode theorem, *EQ* is not regular.

Approaching Myhill-Nerode

- The challenge in using the Myhill-Nerode theorem is finding the right set of strings.
- General intuition:
 - Start by thinking about what information a computer "must" remember in order to answer correctly.
 - Choose a group of strings that all require different information.
 - Prove that those strings are distinguishable relative to the language in question.

Tying Everything Together

- One of the intuitions we hope you develop for DFAs is to have each state in a DFA represent some key piece of information the automaton has to remember.
- If you only need to remember one of finitely many pieces of information, that gives you a DFA.
 - *You can formalize this!* If we have time, we'll see this later this quarter. If not, and you're curious, take CS154!
- If you need to remember one of infinitely many pieces of information, you can use the Myhill-Nerode theorem to prove that the language has no DFA.

Where We Stand

Where We Stand

- We've ended up where we are now by trying to answer the question "what problems can you solve with a computer?"
- We defined a computer to be DFA, which means that the problems we can solve are precisely the regular languages.
- We've discovered several equivalent ways to think about regular languages (DFAs, NFAs, and regular expressions) and used that to reason about the regular languages.
- We now have a powerful intuition for where we ended up: DFAs are finite-memory computers, and regular languages correspond to problems solvable with finite memory.
- Putting all of this together, we have a much deeper sense for what finite memory computation looks like – and what it doesn't look like!

Where We're Going

- What does computation look like with unbounded memory?
- What problems can you solve with unbounded-memory computers?
- What does it even mean to "solve" such a problem?
- And how do we know the answers to any of these questions?

Next Time

- Context-Free Languages
 - Context-Free Grammars
 - Generating Languages from Scratch