

# Huffman, YEAH!

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# Overview

- Brief History Lesson
- Step-wise Assignment Explanation
- Starter Files, Debunked

# What is Huffman Encoding?

- File compression scheme
- In text files, can we decrease the number of bits needed to store each character?

Intuition:

File 1

"ataata"

SMALLER

File 2

"CS106B is the best class  
ever, we love computer  
science!"

LARGER

# ASCII TABLE

Decimal	Hexadecimal	Binary	Octal	Char	Decimal	Hexadecimal	Binary	Octal	Char	Decimal	Hexadecimal	Binary	Octal	Char
0	0	0	0	[NULL]	48	30	110000	60	0	96	60	1100000	140	`
1	1	1	1	[START OF HEADING]	49	31	110001	61	1	97	61	1100001	141	a
2	2	10	2	[START OF TEXT]	50	32	110010	62	2	98	62	1100010	142	b
3	3	11	3	[END OF TEXT]	51	33	110011	63	3	99	63	1100011	143	c
4	4	100	4	[END OF TRANSMISSION]	52	34	110100	64	4	100	64	1100100	144	d
5	5	101	5	[ENQUIRY]	53	35	110101	65	5	101	65	1100101	145	e
6	6	110	6	[ACKNOWLEDGE]	54	36	110110	66	6	102	66	1100110	146	f
7	7	111	7	[BELL]	55	37	110111	67	7	103	67	1100111	147	g
8	8	1000	10	[BACKSPACE]	56	38	111000	70	8	104	68	1101000	150	h
9	9	1001	11	[HORIZONTAL TAB]	57	39	111001	71	9	105	69	1101001	151	i
10	A	1010	12	[LINE FEED]	58	3A	111010	72	:	106	6A	1101010	152	j
11	B	1011	13	[VERTICAL TAB]	59	3B	111011	73	;	107	6B	1101011	153	k
12	C	1100	14	[FORM FEED]	60	3C	111100	74	<	108	6C	1101100	154	l
13	D	1101	15	[CARRIAGE RETURN]	61	3D	111101	75	=	109	6D	1101101	155	m
14	E	1110	16	[SHIFT OUT]	62	3E	111110	76	>	110	6E	1101110	156	n
15	F	1111	17	[SHIFT IN]	63	3F	111111	77	?	111	6F	1101111	157	o
16	10	10000	20	[DATA LINK ESCAPE]	64	40	1000000	100	@	112	70	1110000	160	p
17	11	10001	21	[DEVICE CONTROL 1]	65	41	1000001	101	A	113	71	1110001	161	q
18	12	10010	22	[DEVICE CONTROL 2]	66	42	1000010	102	B	114	72	1110010	162	r
19	13	10011	23	[DEVICE CONTROL 3]	67	43	1000011	103	C	115	73	1110011	163	s
20	14	10100	24	[DEVICE CONTROL 4]	68	44	1000100	104	D	116	74	1110100	164	t
21	15	10101	25	[NEGATIVE ACKNOWLEDGE]	69	45	1000101	105	E	117	75	1110101	165	u
22	16	10110	26	[SYNCHRONOUS IDLE]	70	46	1000110	106	F	118	76	1110110	166	v
23	17	10111	27	[ENG OF TRANS. BLOCK]	71	47	1000111	107	G	119	77	1110111	167	w
24	18	11000	30	[CANCEL]	72	48	1001000	110	H	120	78	1111000	170	x
25	19	11001	31	[END OF MEDIUM]	73	49	1001001	111	I	121	79	1111001	171	y
26	1A	11010	32	[SUBSTITUTE]	74	4A	1001010	112	J	122	7A	1111010	172	z
27	1B	11011	33	[ESCAPE]	75	4B	1001011	113	K	123	7B	1111011	173	{
28	1C	11100	34	[FILE SEPARATOR]	76	4C	1001100	114	L	124	7C	1111100	174	
29	1D	11101	35	[GROUP SEPARATOR]	77	4D	1001101	115	M	125	7D	1111101	175	}
30	1E	11110	36	[RECORD SEPARATOR]	78	4E	1001110	116	N	126	7E	1111110	176	~
31	1F	11111	37	[UNIT SEPARATOR]	79	4F	1001111	117	O	127	7F	1111111	177	[DEL]
32	20	100000	40	[SPACE]	80	50	1010000	120	P					

# What is Huffman Encoding?

48 characters

ataata -> 01100001 01110100 01100001 01100001 01110100 01100001

a                      a                      a                      a

➡ What if we could represent '**a**' in fewer than 8 bits?

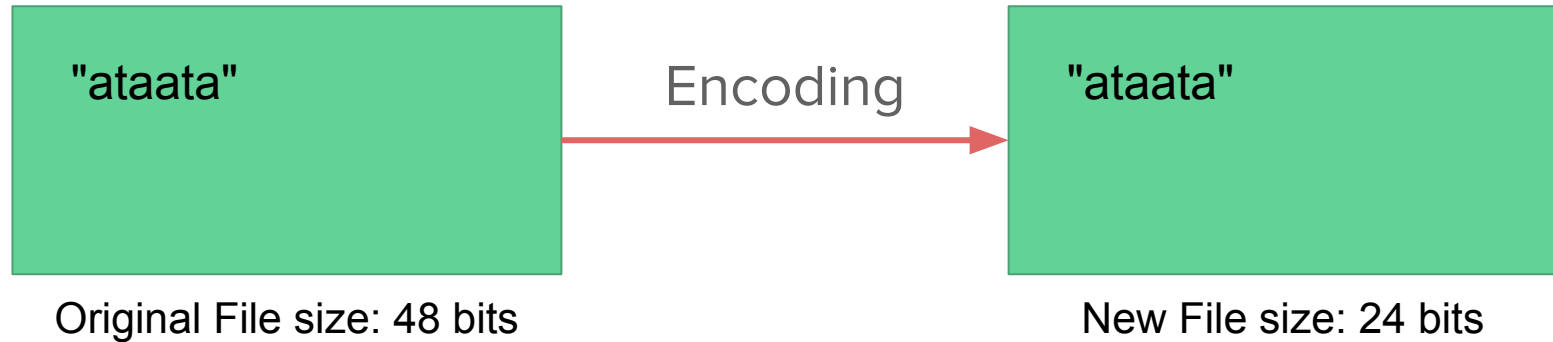
# What is Huffman Encoding?

→ Let's arbitrarily use **01** to represent 'a'

ataata -> 24 characters!  
┌───────────────────────────────────┐  
01 01110100 01 01 01110100 01  
└──┘ └──┘ └──┘ └──┘  
a a a a

**This is much shorter!**

# What is Huffman Encoding?



How do we scale this to all characters, not just '**a**' ?

# Huffman Encoding

Uses variable lengths for different characters to take advantage of their relative frequencies.

Char	ASCII value	ASCII (binary)	Hypothetical Huffman
' '	32	00100000	10
'a'	97	01100001	0001
'b'	98	01100010	01110100
'c'	99	01100011	001100
'e'	101	01100101	1100
'z'	122	01111010	00100011110



# Huffman Encoding is a 5 Step Process

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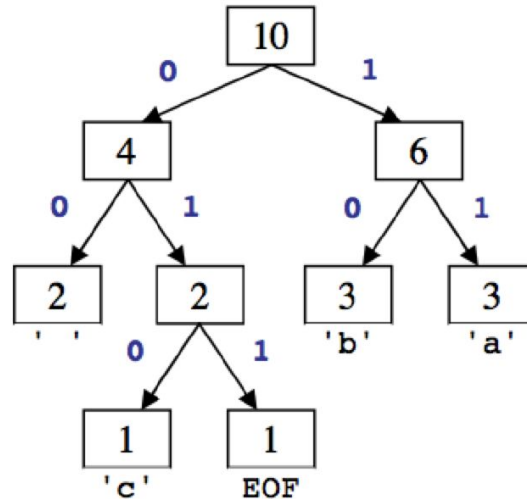
# Huffman Tree

file.txt

bac aab b



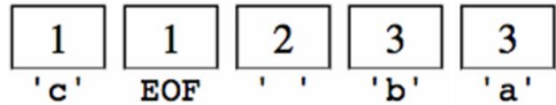
Frequencies: {' ':2, 'a':3, 'b':3, 'c':1, EOF:1}



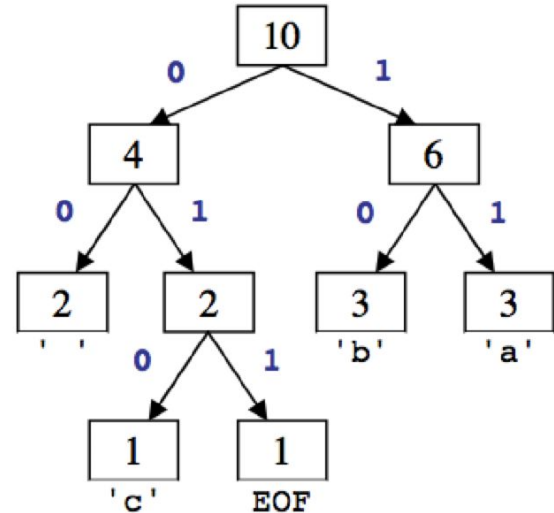
1. Count occurrences of each char in file

{ ' ':2, 'a':3, 'b':3, 'c':1, EOF:1 }

2a. Place chars, counts into priority queue



2b. Use PQ to create Huffman tree →



3. Write logic to free the tree!

4. Traverse tree to find (char → binary) encoding map

{ ' ':00, 'a':11, 'b':10, 'c':010, EOF=011 }

5. Convert to binary (For each char in file, look up binary rep in map)

11 10 00 11 10 00 010 1 1 10 011 00

## Step 1: Count Occurrences

"bac aab a"

Frequencies: { ' ' : 2, 'b' : 3, 'a' : 3, 'c' : 1, **EOF** : 1 }

## Step 1: Count Occurrences

**Map<int, int> buildFrequencyTable(istream& input)**

Takes as input an istream containing the file to compress, returns a Map<int, int> associating each character in the file with its frequency.

"bab aab c"  { ' ': 2, 'b': 3, 'a': 3, 'c': 1, EOF : 1 }

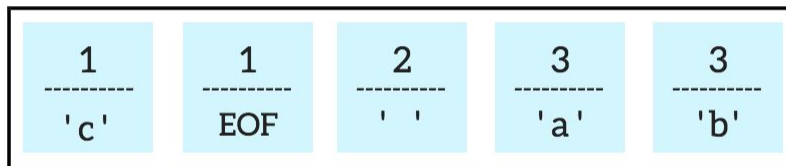
## Step 2a: Sort Characters By Frequency

**Key Idea:** Use a PQueue of Huffman Nodes to sort characters based on their frequency.

{ ' ' : 2, 'b' : 3, 'a' : 3, 'c' : 1, **EOF** : 1 }



PQUEUE:



first

last

## Step 2a: Sort Characters By Frequency

What is a Huffman Node? Struct provided in the starter code

```
HuffmanNode* {  
    int character;           // character being represented by this node  
    int count;              // number of occurrences of that character  
    HuffmanNode* zero;      // 0 (left) subtree (nullptr if empty)  
    HuffmanNode* one;       // 1 (right) subtree (nullptr if empty)  
}
```

## Step 2a: Sort Characters By Frequency

```
HuffmanNode* {  
    int character;  
    int count;  
    HuffmanNode* zero;  
    HuffmanNode* one;  
}
```

→ The character field has type "int", but you should just think of it as a char.

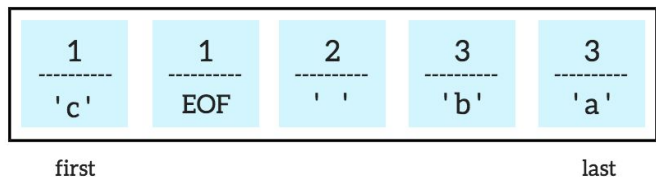
It has three possible values:

- ◆ **char** value - regular old character.
- ◆ PSEUDO\_EOF - represent the pseudo-eof value
- ◆ NOT\_A\_CHAR - represents something that's not a character



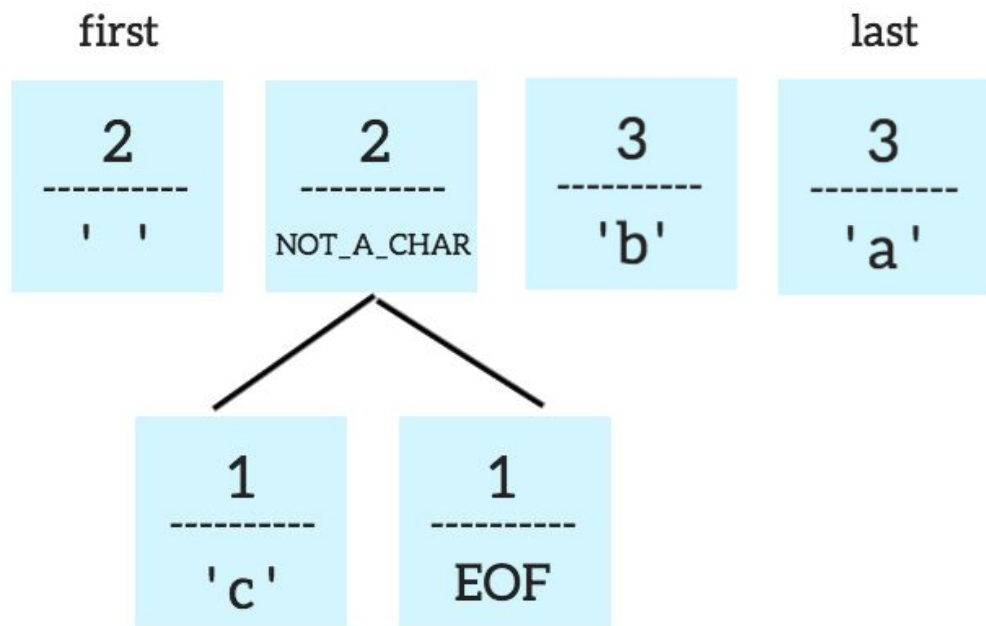
## Step 2b: Build a binary Tree using the PQueue

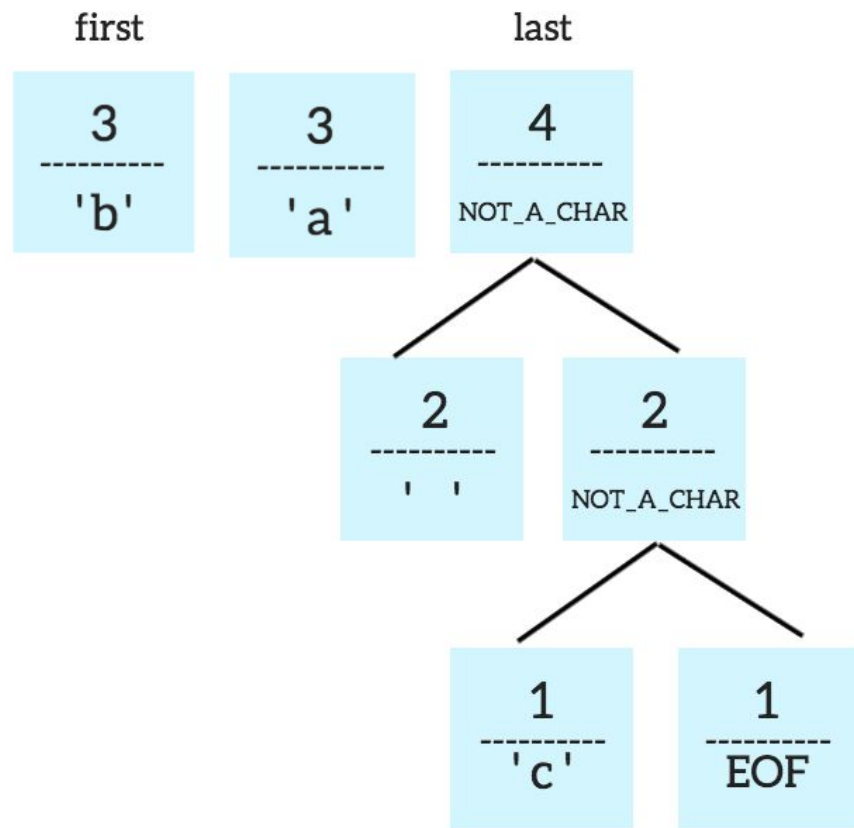
PQUEUE:

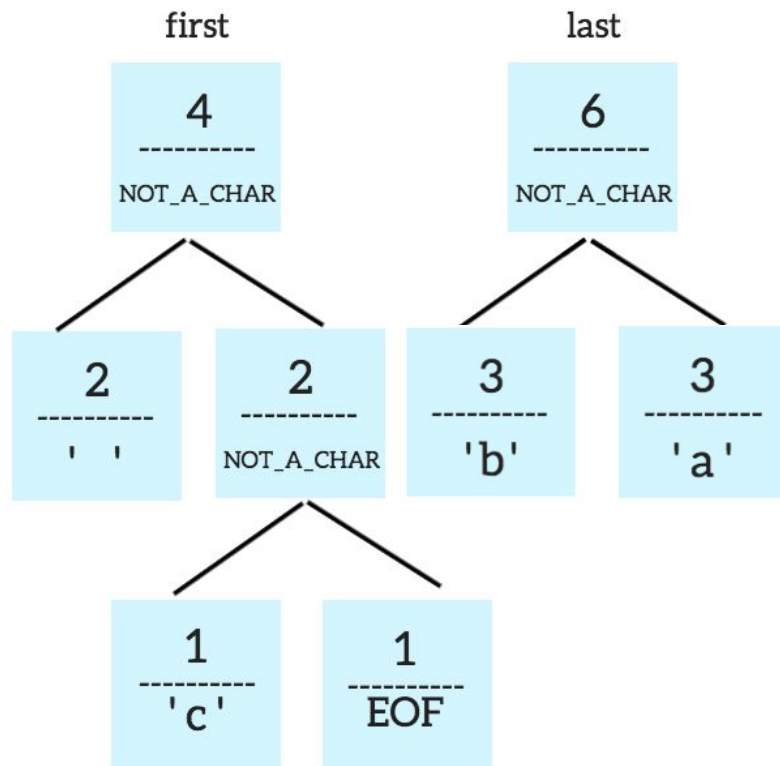


Procedure:

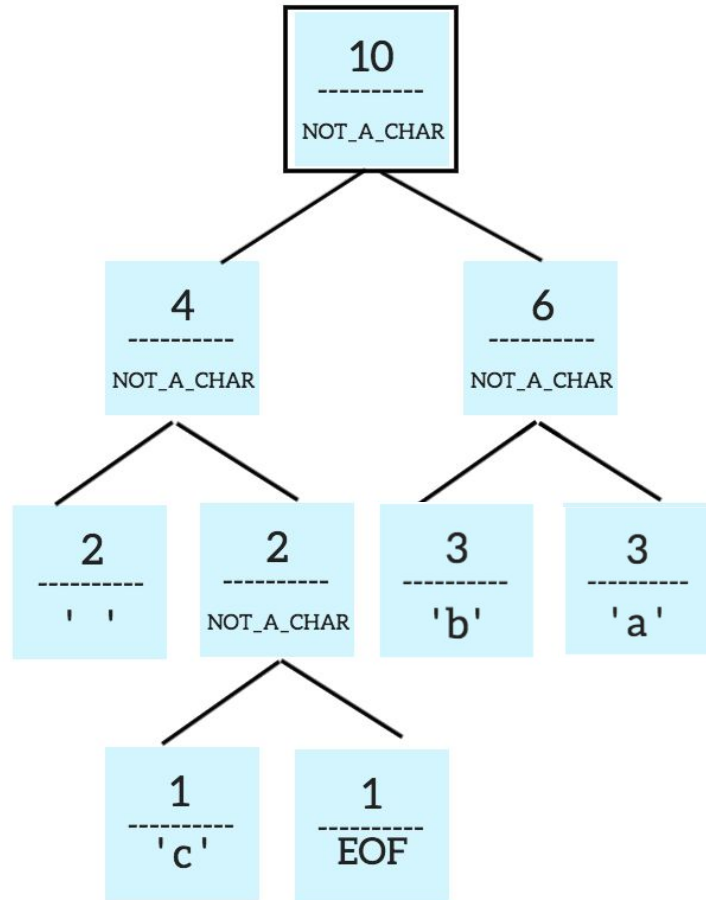
1. Remove two nodes from the front of the queue
2. Create a new node, whose frequency is their sum, and whose character field is NOT\_A\_CHAR
3. Add the two dequeued nodes as children of this new node.
  - a. First dequeued is left child
  - b. Second dequeued is right child
4. Reinsert the parent node into the PQueue
5. Repeat until the queue contains only tree root.







PQUEUE:

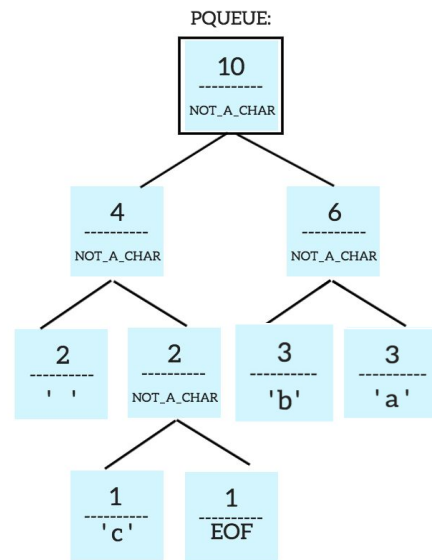


## Step 2b: Build a binary Tree using the PQueue

**HuffmanNode\*** buildEncodingTree(Map<int, int> freqTable)

Takes map of frequencies as input, returns the HuffmanNode\* pointing to the root of the encoding tree.

{ ' ': 2, 'b': 3, 'a': 3, 'c': 1, **EOF** : 1 }



## Step 3: Use Tree to Determine Encodings

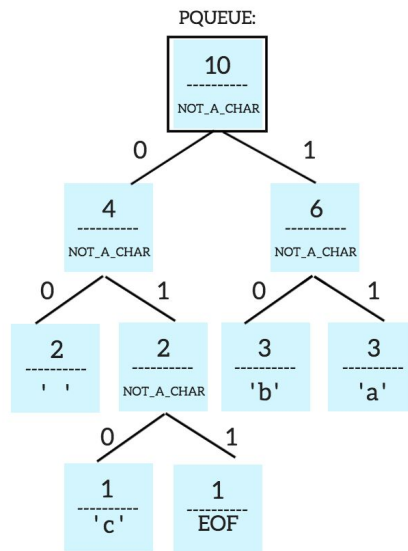
The Huffman Tree tells you the encodings to use for each character.

Example: 'b' is **10**

Example: 'c' is **010**

Hint: Create an "encoding map", Map<int,string> mapping characters to their new encodings

map = { ' ' : 00, 'a' : 11, 'b' : 10, 'c': 010, **EOF** : 011 }



## Step 4: Encode the File

```
void encodeData(istream input,  
                Map<int, string> encodingMap,  
                ostream output)
```

Takes as input an **istream** of text to compress, a **Map** associating each character to the bit sequence to use to encode it, then writes everything to the **ostream**.



## Step 4: Encode the File

`obitstream`: Writes one bit at a time to output.

```
void writeBit(int bit)
```

Writes a single bit (must be 0 or 1)

- `obitstream` also contains the members from `ostream`.
  - open, read, write, fail, close

## Step 4: Convert to binary

- Based on the preceding tree, we have the following encodings:  
{' ':00, 'a':11, 'b':10, 'c':010, EOF:011}
  - The text "ab ab cab" would be encoded as:

char	'a'	'b'	' '	'a'	'b'	' '	'c'	'a'	'b'	EOF
binary	11	10	00	11	10	00	010	11	10	011

- Overall: **1110001110000101110011**, (22 bits, ~3 bytes)

byte	1	2	3
char	a b a	b c a	b EOF
binary	<u>11</u> <u>10</u> <u>00</u> <u>11</u>	<u>10</u> <u>00</u> <u>010</u> <u>1</u>	<u>1</u> <u>10</u> <u>011</u> <u>00</u>

That's all for compression!

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# Step 5: How about Decompressing?

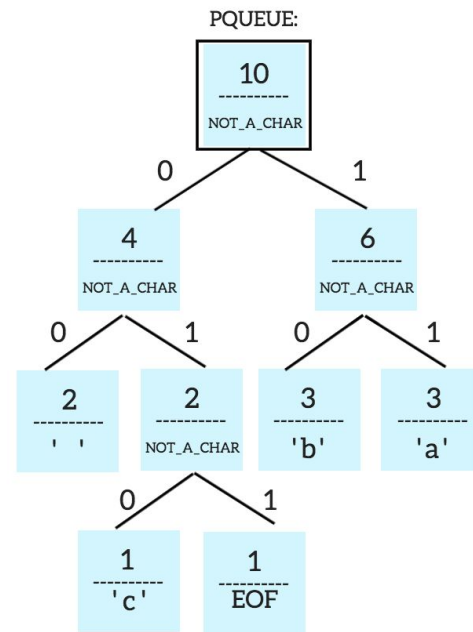
Wait, don't you need delimiters??

**1011010001101011011** → 1011010001101011011  
b a c \_ a c a

Procedure:

- Read one bit at a time
- If 0, go left, if 1, go right.
- If you reach a leaf, print out the character that maps to the bits you read. Then, go back to the root of the tree.

Output: bac aca



## Step 5: How about decompressing?

For a given file, how do we know what the mapping is?

→ **We include the mapping in the file.**

$$\{32:2, 97:3, 98:3, 99:1, 256:1\}$$

You can easily read/write a map to streams using the << and >> operators.

Header: When you write your compressed file, write the contents of the map into the **obitstream** before you write the file contents.

# Putting it all together

```
void decodeData(ibitstream input,  
                HuffmanNode* encodingTree,  
                ostream out)
```

Takes as input an **ibitstream** of bits, a pointer **encodingTree** to the encoding tree, then writes everything to **out**

**ibitstream**: Reads one bit at a time from input.

<pre>int readBit()</pre>	Reads a single 1 or 0; returns -1 at end of file
--------------------------	---

- **ibitstream** also contains the members from **istream**.
  - open, read, write, fail, close

## Putting it all together

```
void compress(istream& input, ostream& output)
```

TL;DR: Chain together all the functions you wrote to make one function that does the whole 5 step compression process.

It should compress the given input file, and write the resulting bits into the given output file.

## Putting it all together

```
void decompress(ibitstream& input, ostream& output)
```

This should do the exact opposite of compress:

- ➔ Read the bits from the given input file one at a time, including your header packed inside the start of the file
- ➔ Write the original contents of that file to the file specified by the output parameter.



# Optional Extension: MyMap Class

- If you're interested in going above and beyond, one cool extension would be to define your own map class that mimics a HashMap
  - ◆ More info for difference between Maps and HashMaps: [Here](#) and [Here](#)
- What are the advantages of a HashMap?
  - ◆  $O(1)$  lookup and  $O(1)$  deletion, on average 🌀 (that's V fast)
- You can then use this map that you defined to store the character frequencies and Huffman encodings!

Files to define:

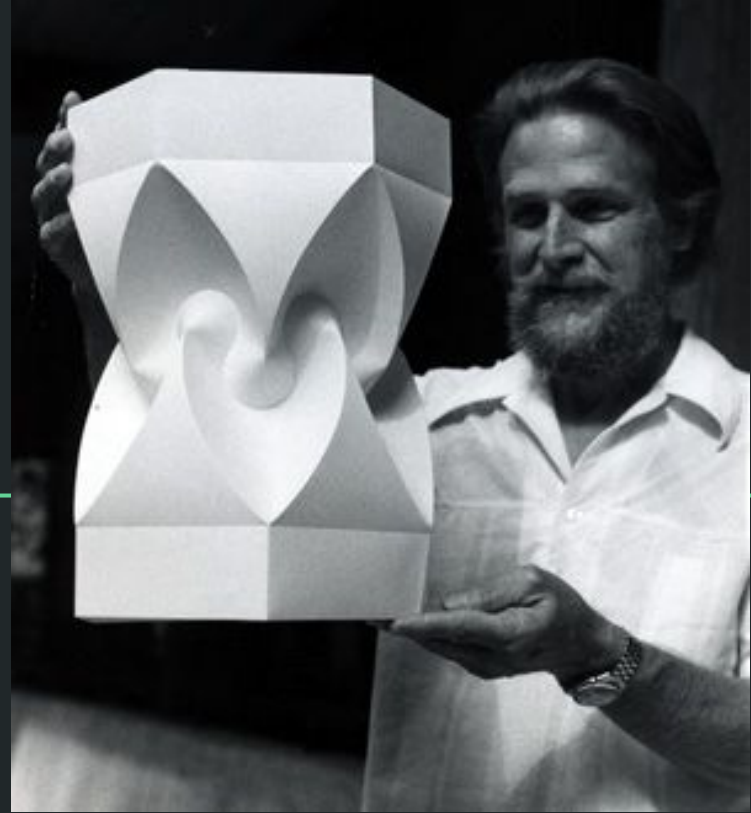
- mymap.cpp
- mymap.h

# Optional Extension: MyMap Class

General idea:

- Create a struct to store key value pairs (both of type 'int')
- As a private member variable, store an array of buckets, where each bucket is the head of a linked list of key value pairs
- Define a hash function that deterministically gives you a bucket into which the key value pair should be placed
  - ◆ More info on hash functions [here](#)

# Go Encode!



David A. Huffman