

Welcome to CS166!

- Two handouts available up front: course information and syllabus.
 - Also available online!
- Today:
 - Course overview.
 - Why study data structures?
 - The range minimum query problem.

Course Staff

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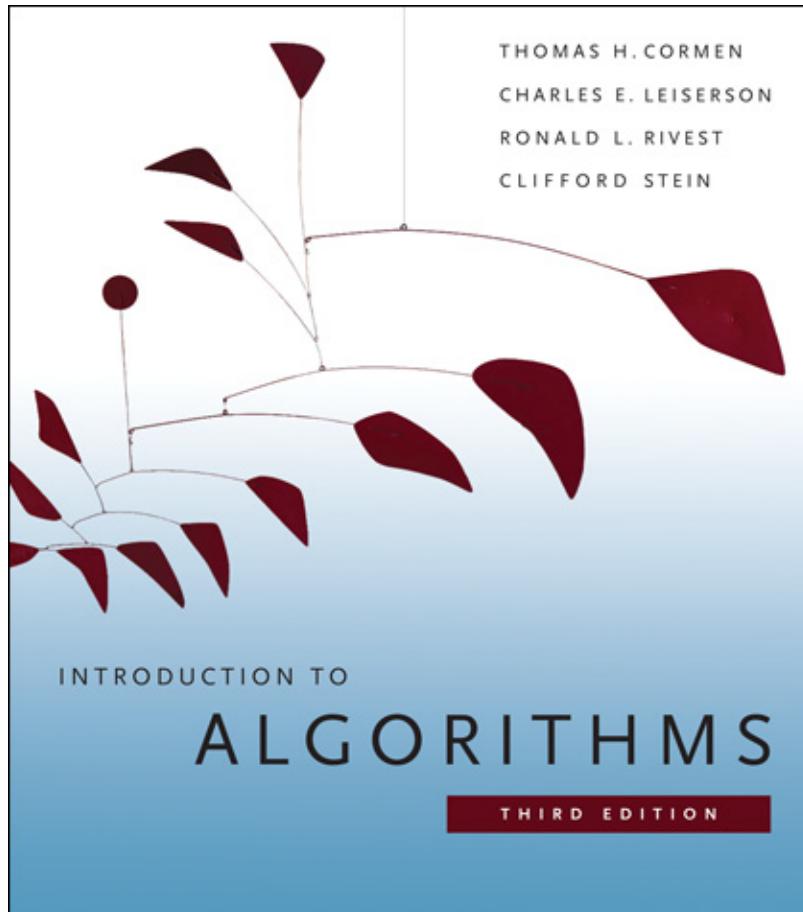
Course Staff Mailing List:

cs166-spr1516-staff@lists.stanford.edu

The Course Website

<http://cs166.stanford.edu>

Required Reading



- *Introduction to Algorithms, Third Edition* by Cormen, Leiserson, Rivest, and Stein.
- You'll want the third edition for this course.
- Available in the bookstore; several copies on hold at the Engineering Library.

Prerequisites

- **CS161** (Design and Analysis of Algorithms)
 - We'll assume familiarity with asymptotic notation, correctness proofs, algorithmic strategies (e.g. divide-and-conquer, dynamic programming), classical algorithms, recurrence relations, universal hashing, etc.
- **CS107** (Computer Organization and Systems)
 - We'll assume comfort working from the command-line, designing and testing nontrivial programs, and manipulating bitwise representations of data. You should have some knowledge of the memory hierarchy. You should also know how to code in both high-level and low-level languages.

Grading Policies



- 1/3 Assignments
- 1/3 Midterm
- 1/3 Final Project

Midterm: **Tuesday, May 24**
7PM – 10PM
Location TBA

Why Study Data Structures?

Why Study Data Structures?

- ***Explore where theory meets practice.***
 - Many of the data structures we'll cover are used extensively in industry. In fact, some were invented there!
- ***Challenge your intuition for the limits of efficiency.***
 - You'd be amazed how many times we'll take a problem you're sure you know how to solve and then see how to solve it faster.
- ***See the beauty of theoretical computer science.***
 - We'll cover some amazingly clever theoretical techniques in the course of this class. You'll love them.
- ***Equip yourself to solve complex problems.***
 - Powerful data structures make excellent building blocks for solving seemingly difficult problems.

Range Minimum Queries

The RMQ Problem

- The ***Range Minimum Query problem*** (***RMQ*** for short) is the following:

Given an array A and two indices $i \leq j$, what is the smallest element out of $A[i], A[i + 1], \dots, A[j - 1], A[j]$?

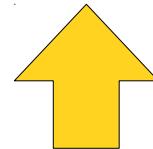
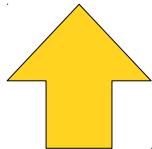
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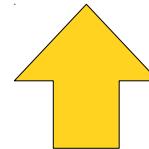
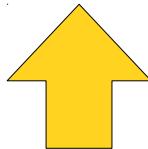


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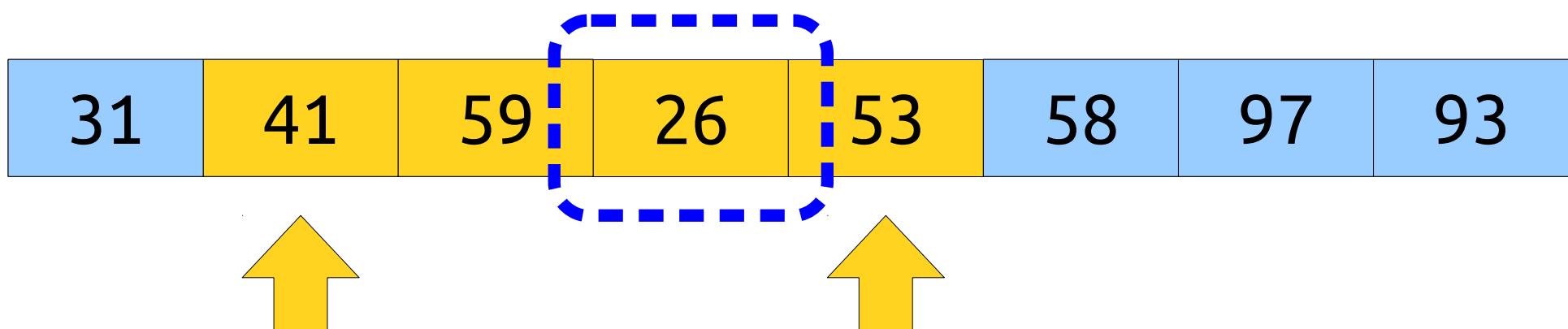
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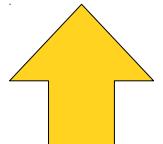
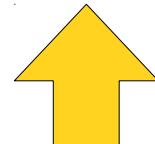


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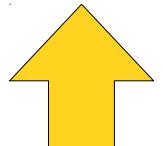
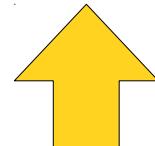


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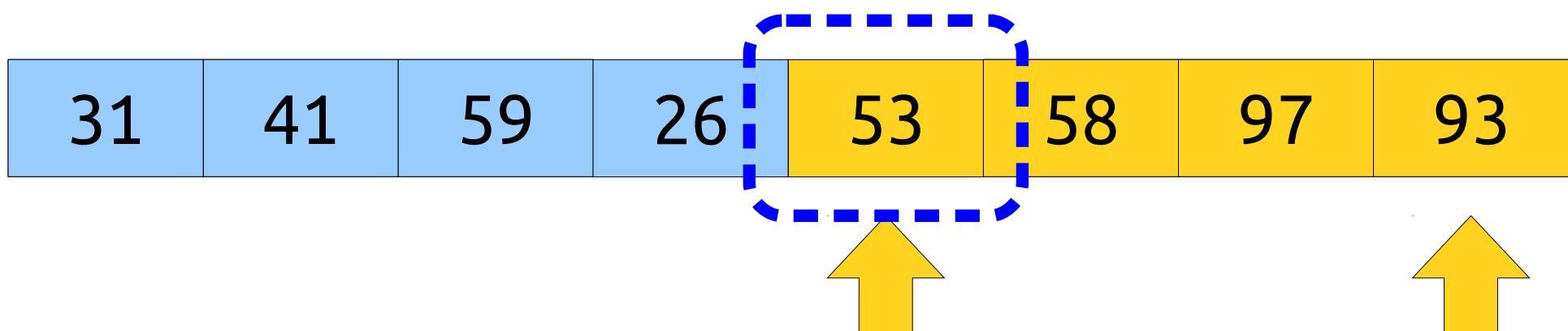
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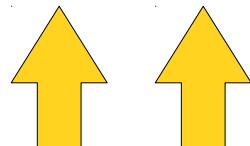


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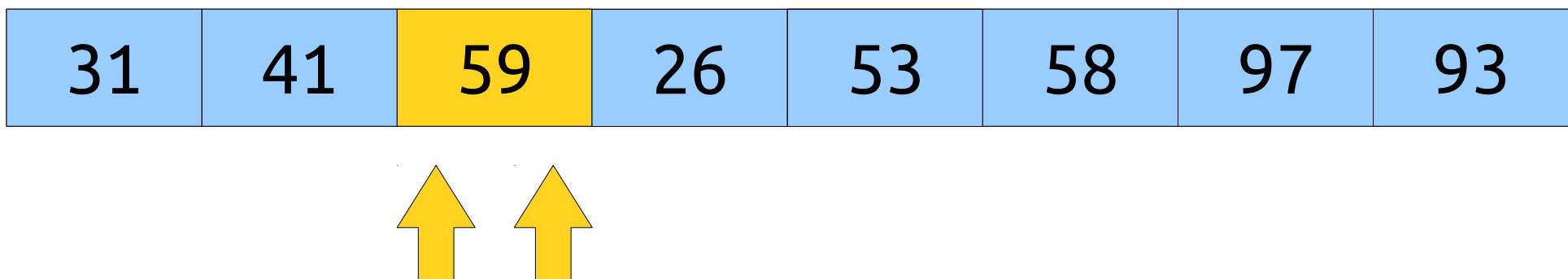
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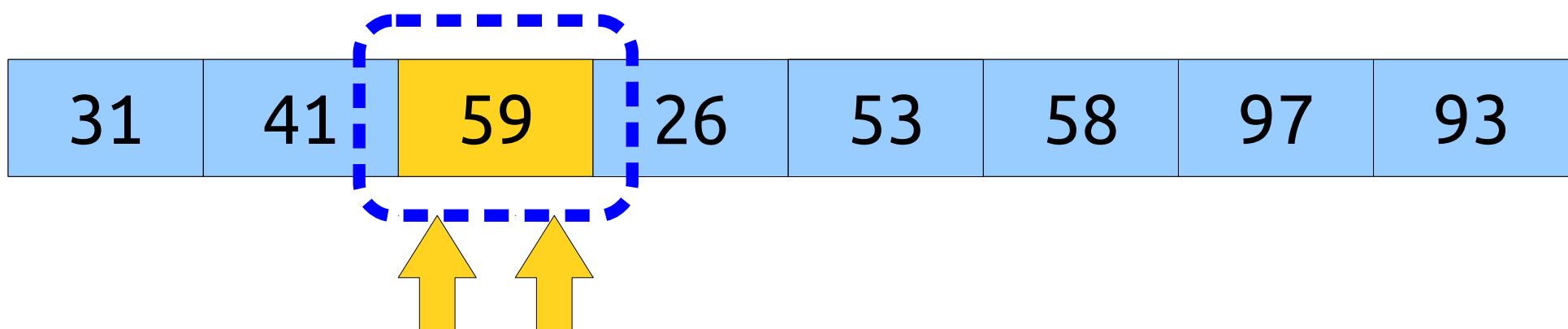
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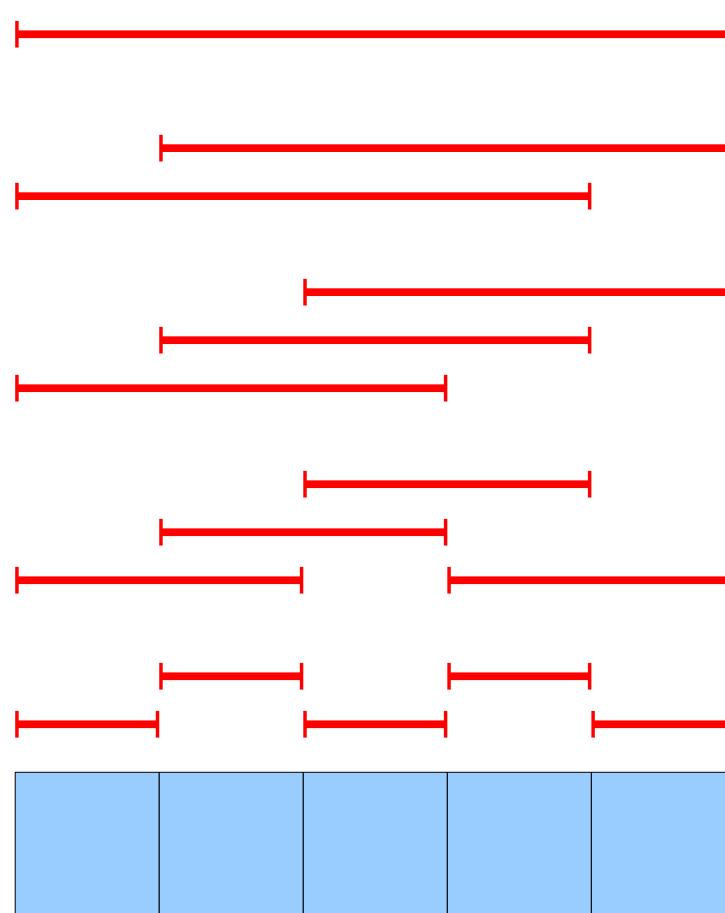
Given an array A and two indices $i \leq j$, what is the smallest element out of $A[i], A[i + 1], \dots, A[j - 1], A[j]$?
- Notation: We'll denote a range minimum query in array A between indices i and j as **$\text{RMQ}_A(i, j)$** .
- For simplicity, let's assume 0-indexing.

A Trivial Solution

- There's a simple $O(n)$ -time algorithm for evaluating $\text{RMQ}_A(i, j)$: just iterate across the elements between i and j , inclusive, and take the minimum!
- So... why is this problem at all algorithmically interesting?
- Suppose that the array A is fixed in advance and you're told that we're going to make a number of different queries on it.
- Can we do better than the naïve algorithm?

An Observation

- In an array of length n , there are only $\Theta(n^2)$ possible queries.
- Why?



1 subarray of length 5

2 subarrays of length 4

3 subarrays of length 3

4 subarrays of length 2

5 subarrays of length 1

A Different Approach

- There are only $\Theta(n^2)$ possible RMQs in an array of length n .
- If we precompute all of them, we can answer RMQ in time $O(1)$ per query.

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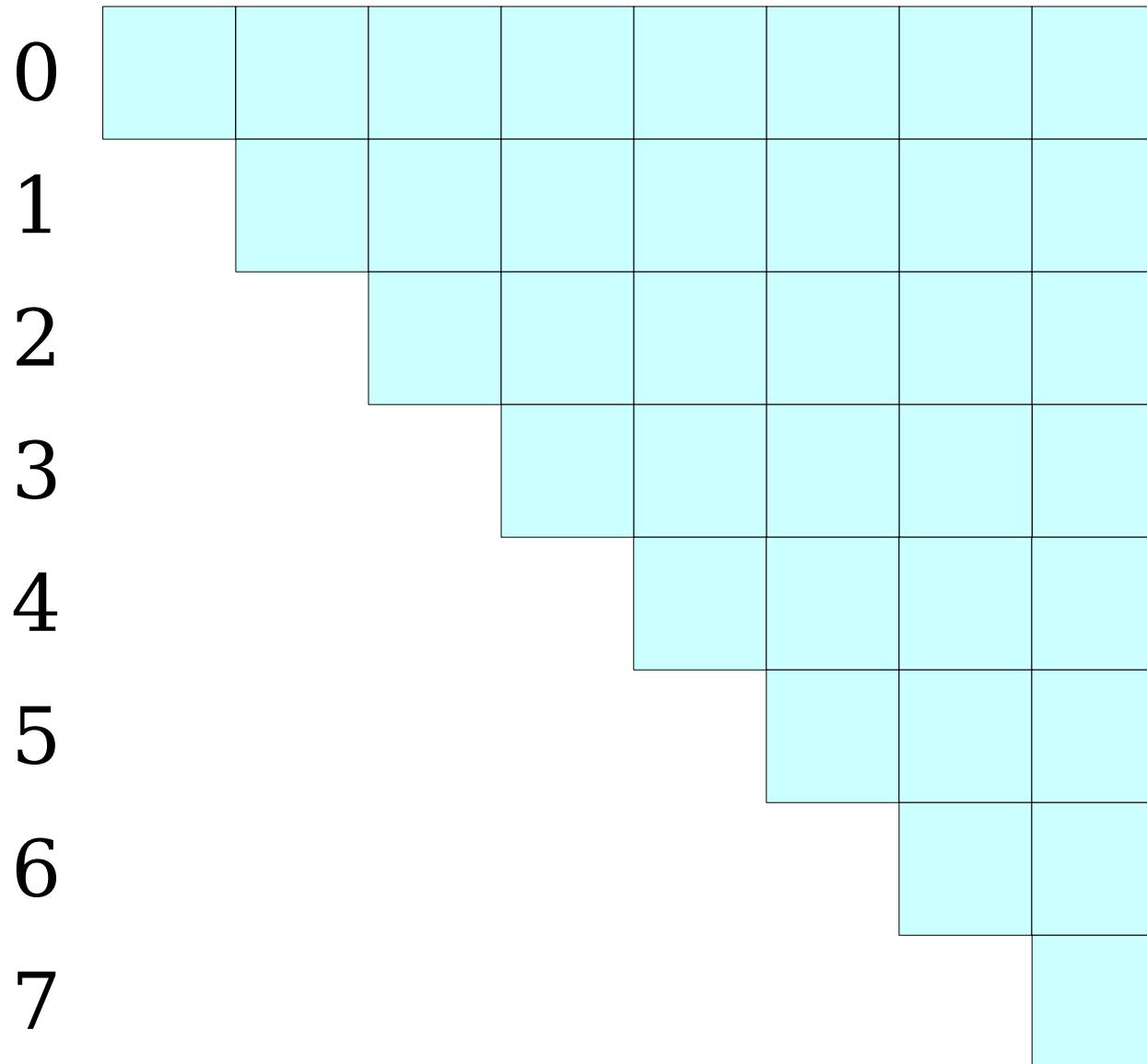
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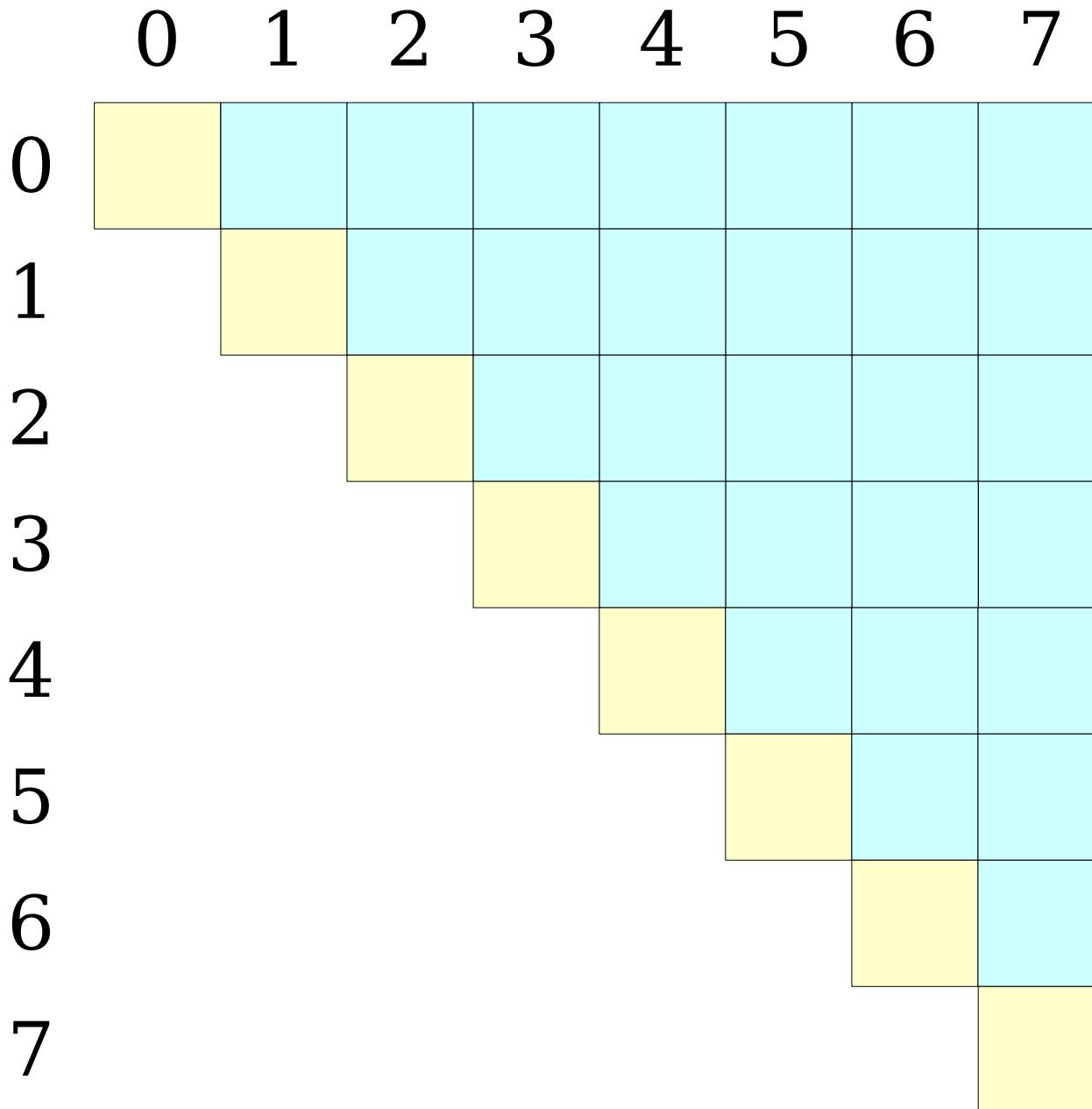
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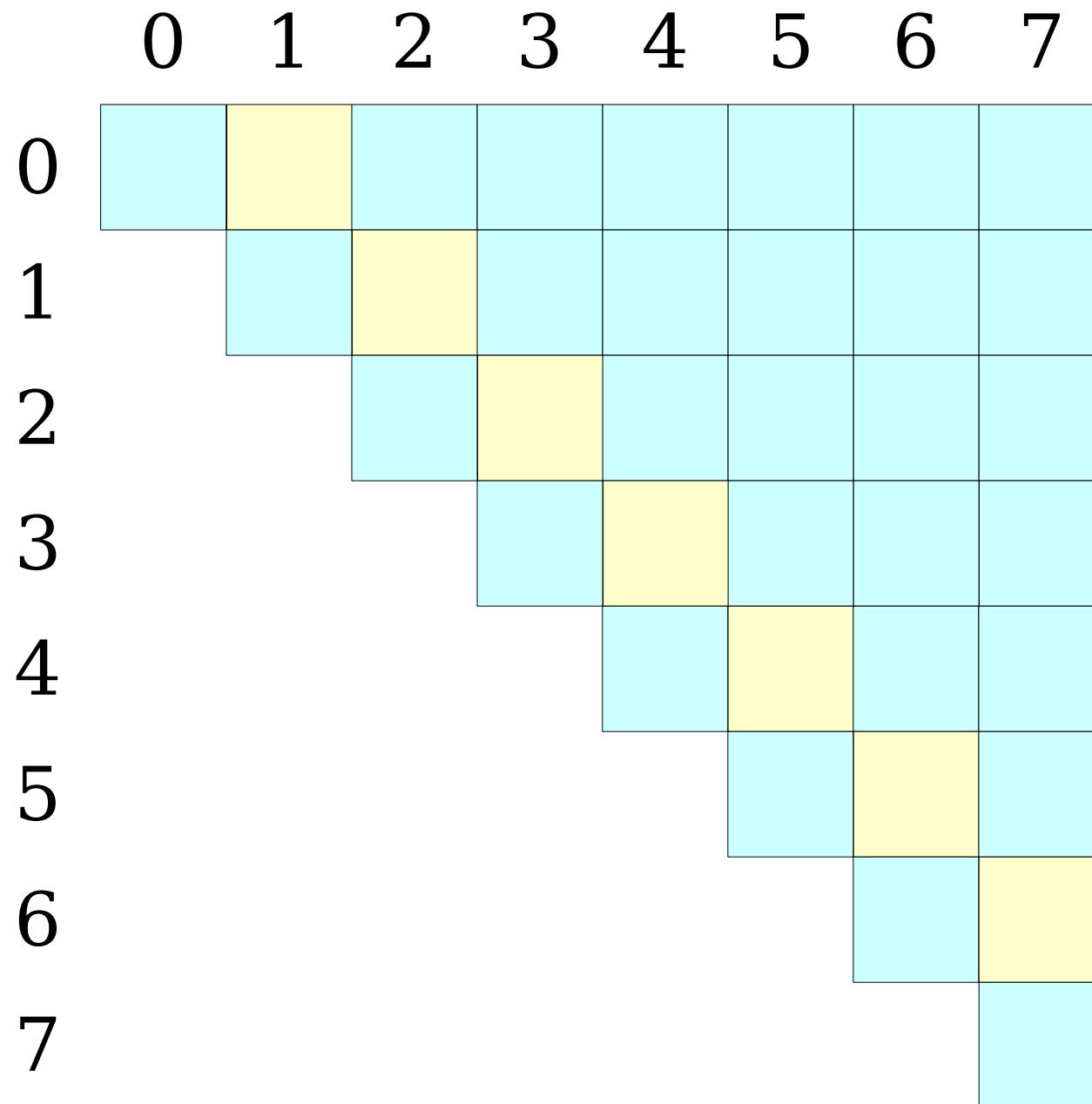
Building the Table

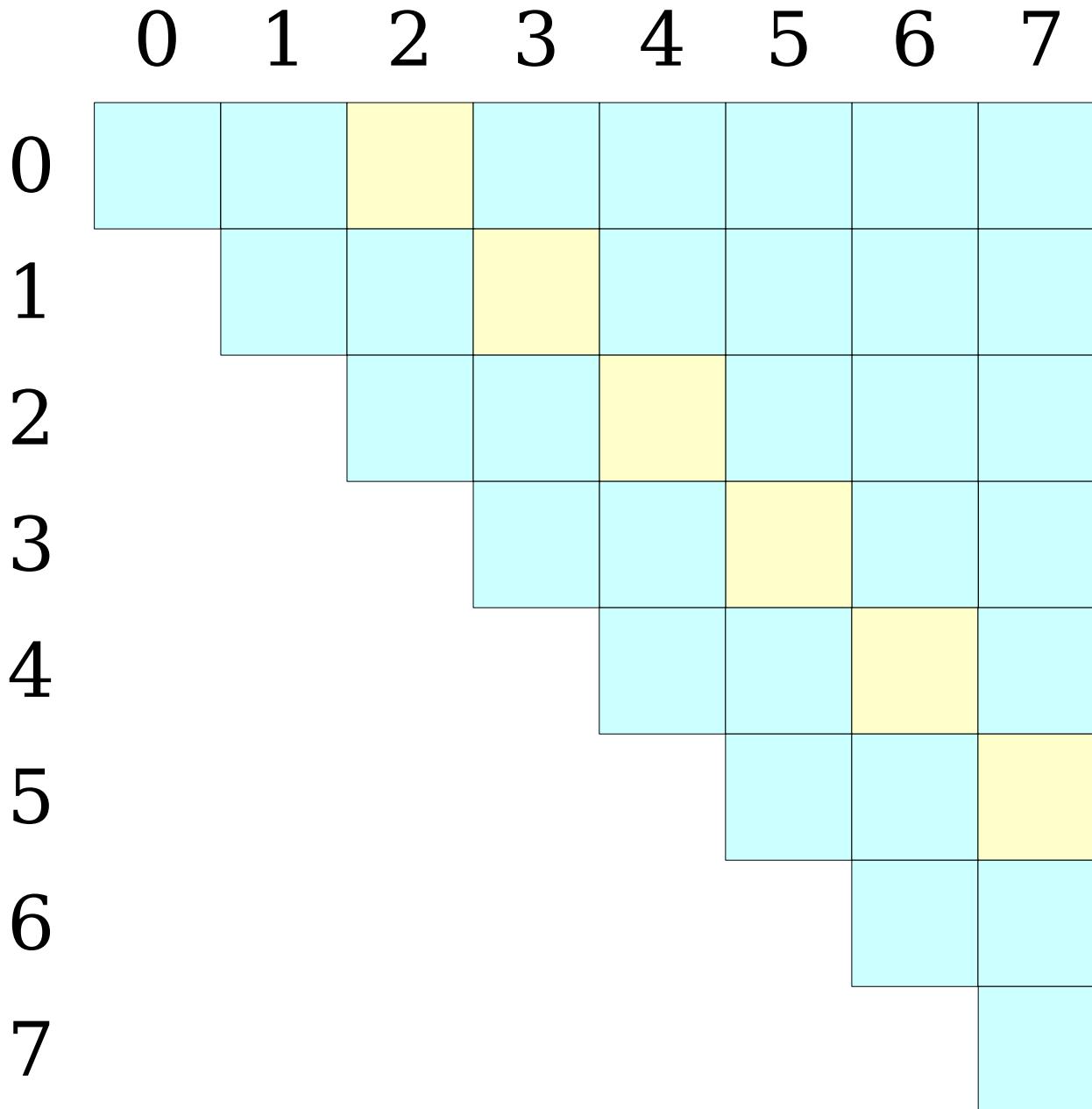
- One simple approach: for each entry in the table, iterate over the range in question and find the minimum value.
- How efficient is this?
 - Number of entries: $\Theta(n^2)$.
 - Time to evaluate each entry: $O(n)$.
 - Time required: $O(n^3)$.
- The runtime is $O(n^3)$ using this approach.
Is it also $\Theta(n^3)$?

0 1 2 3 4 5 6 7

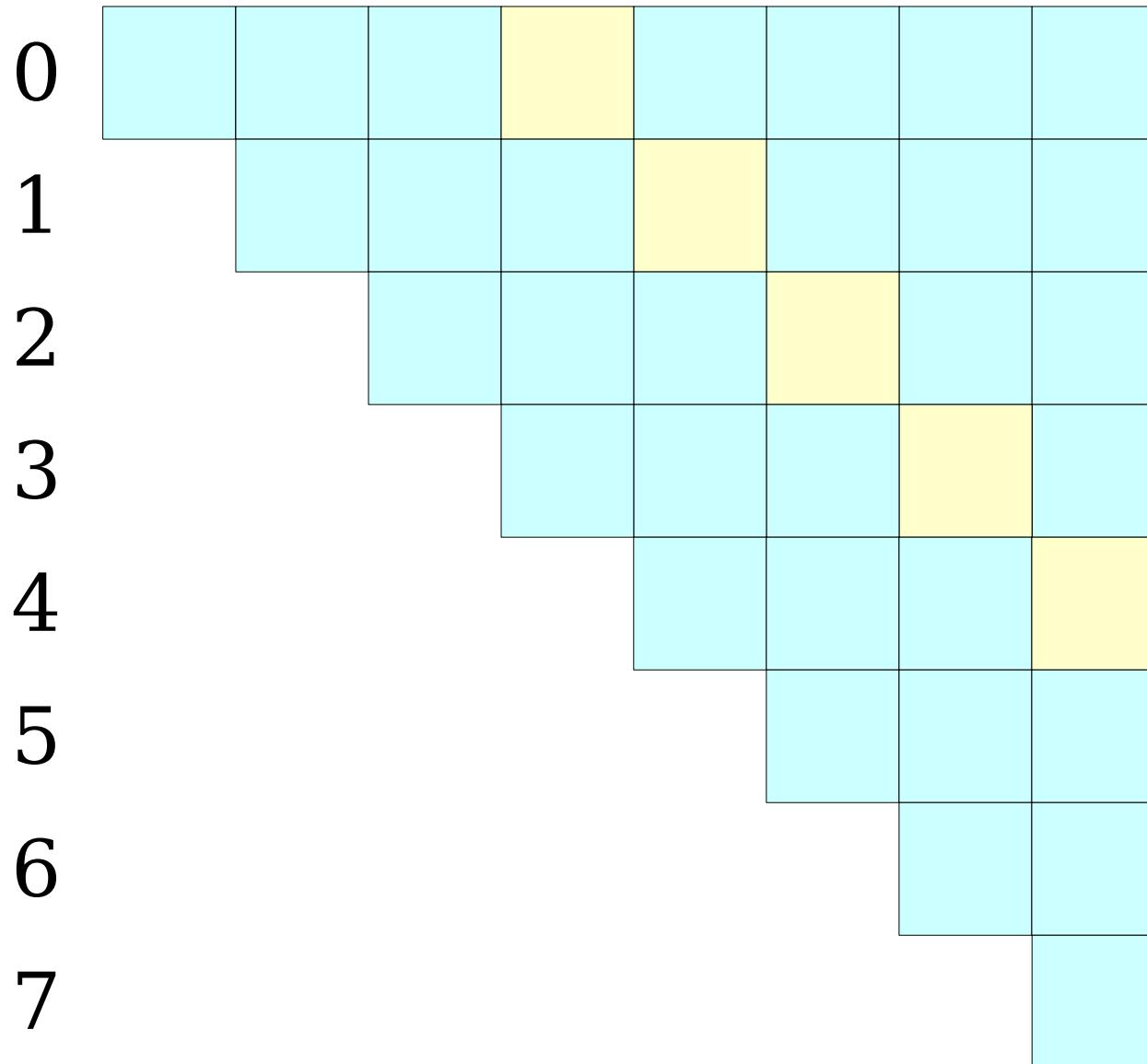


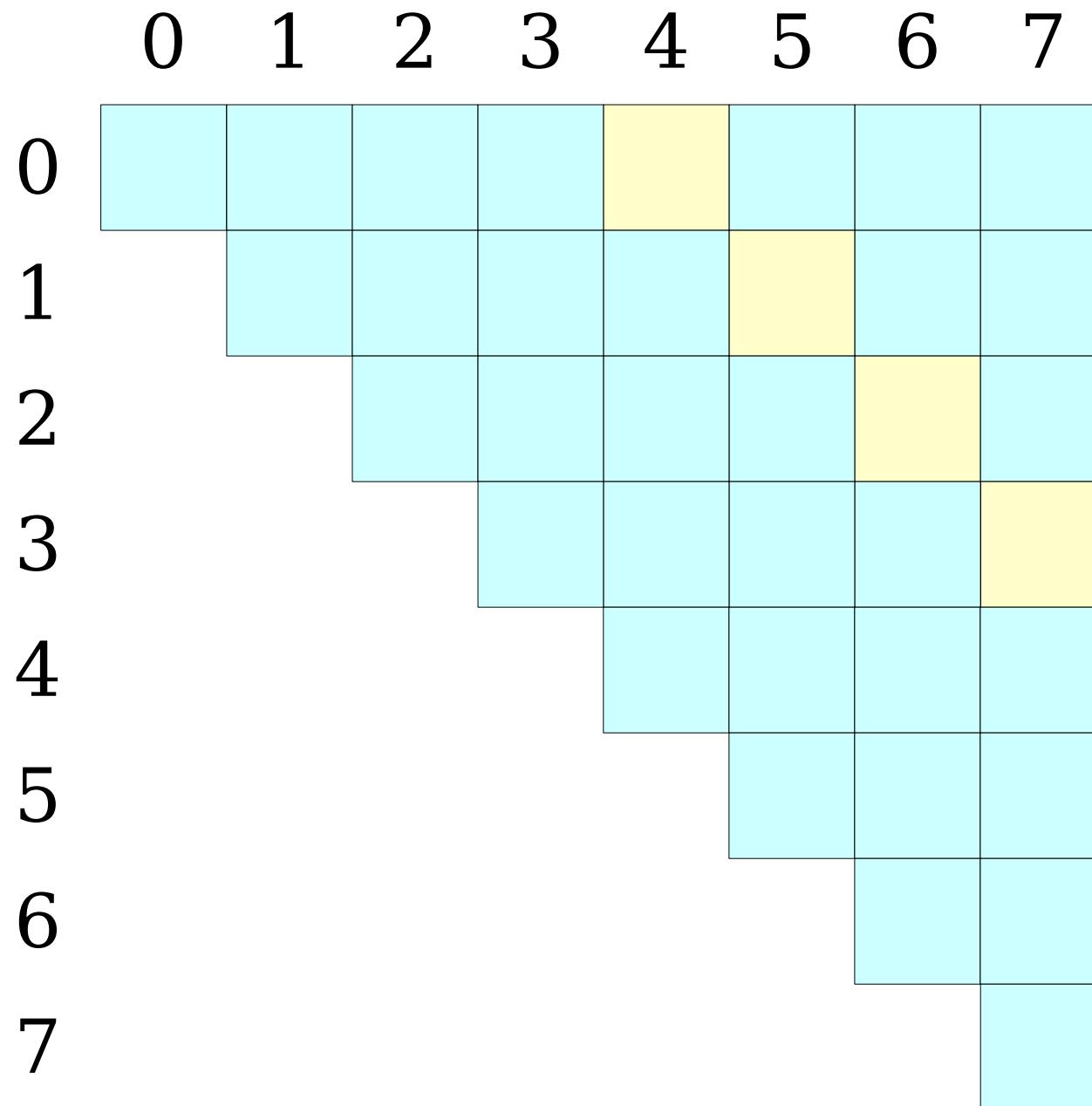




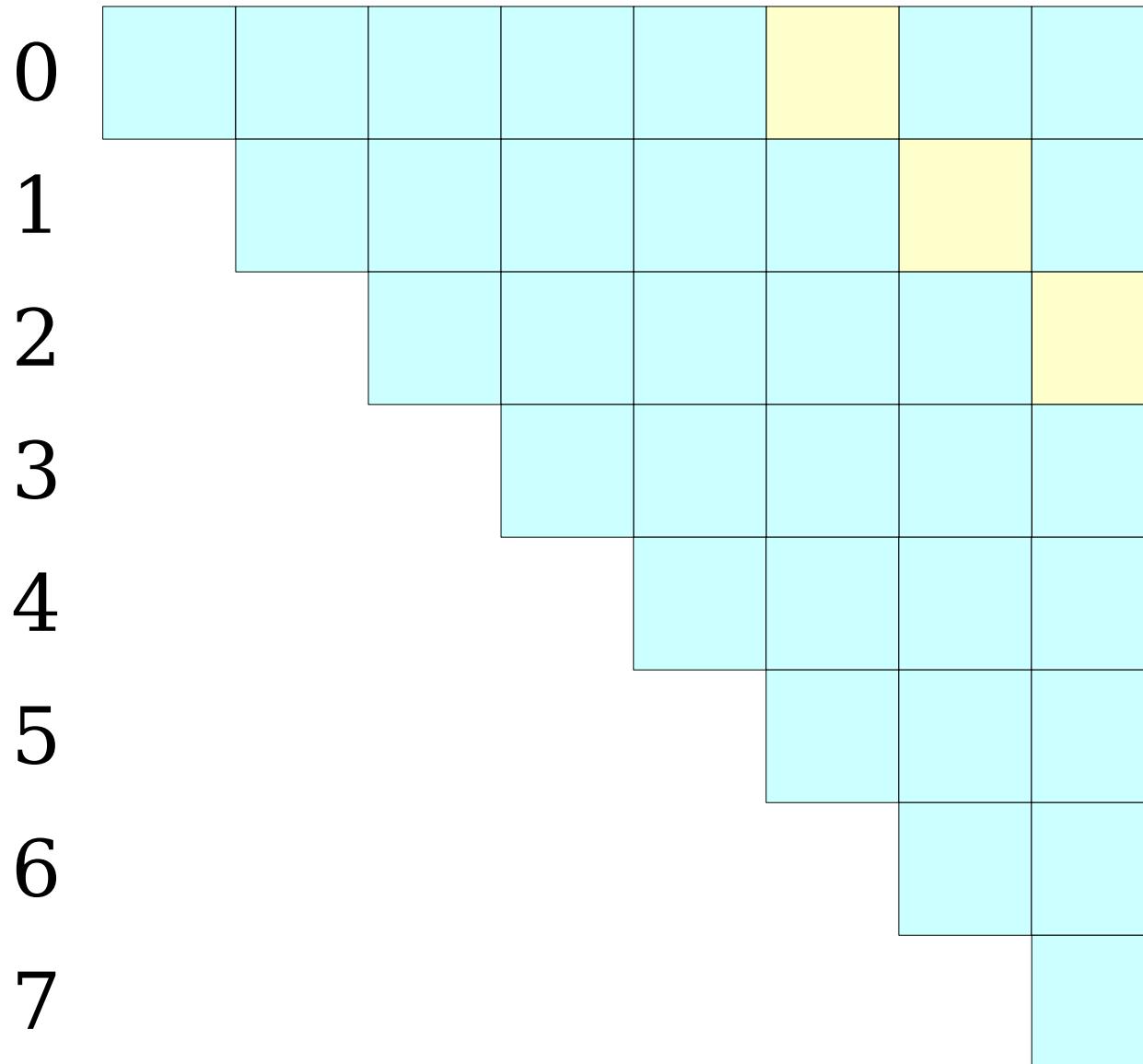


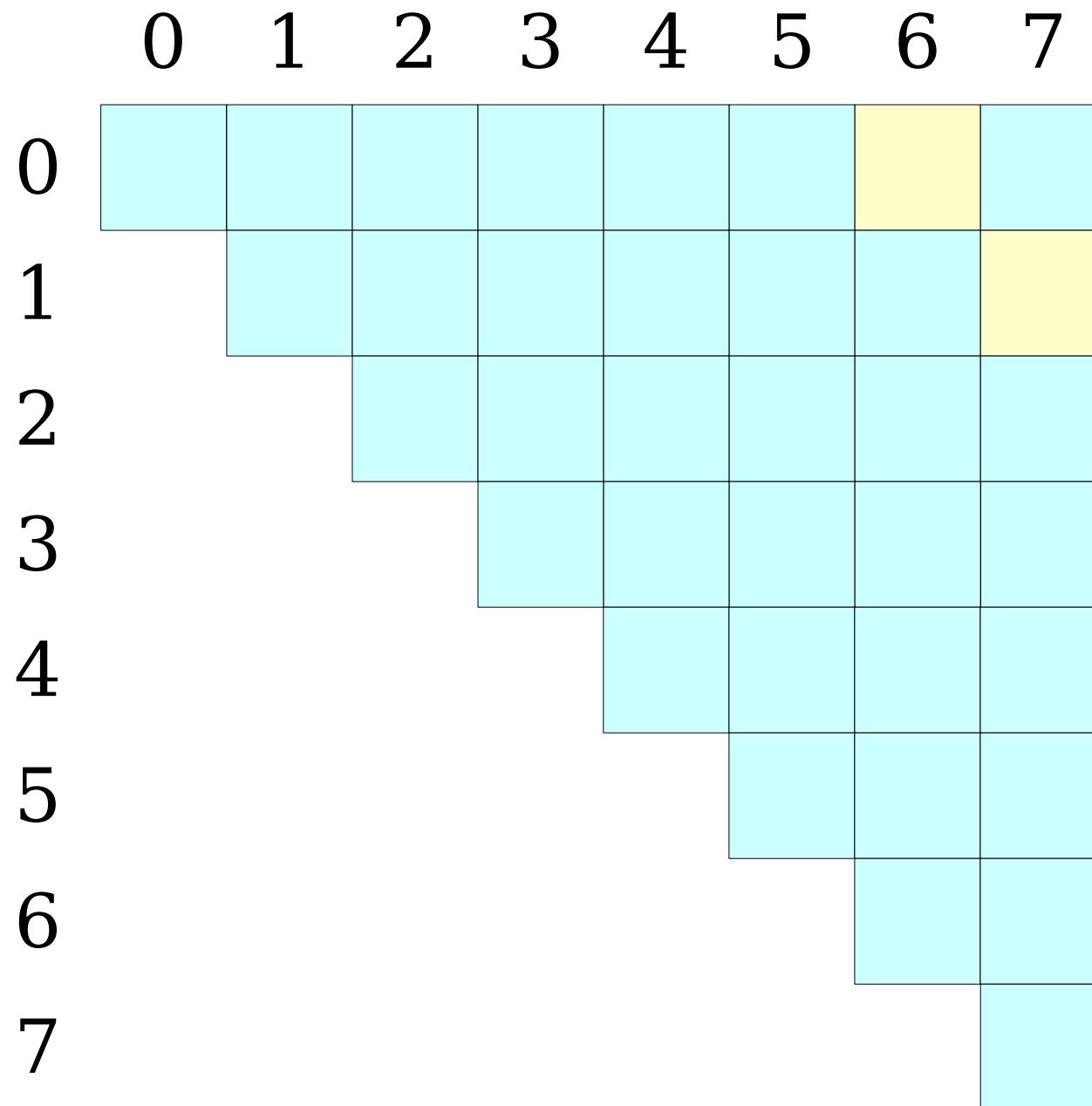
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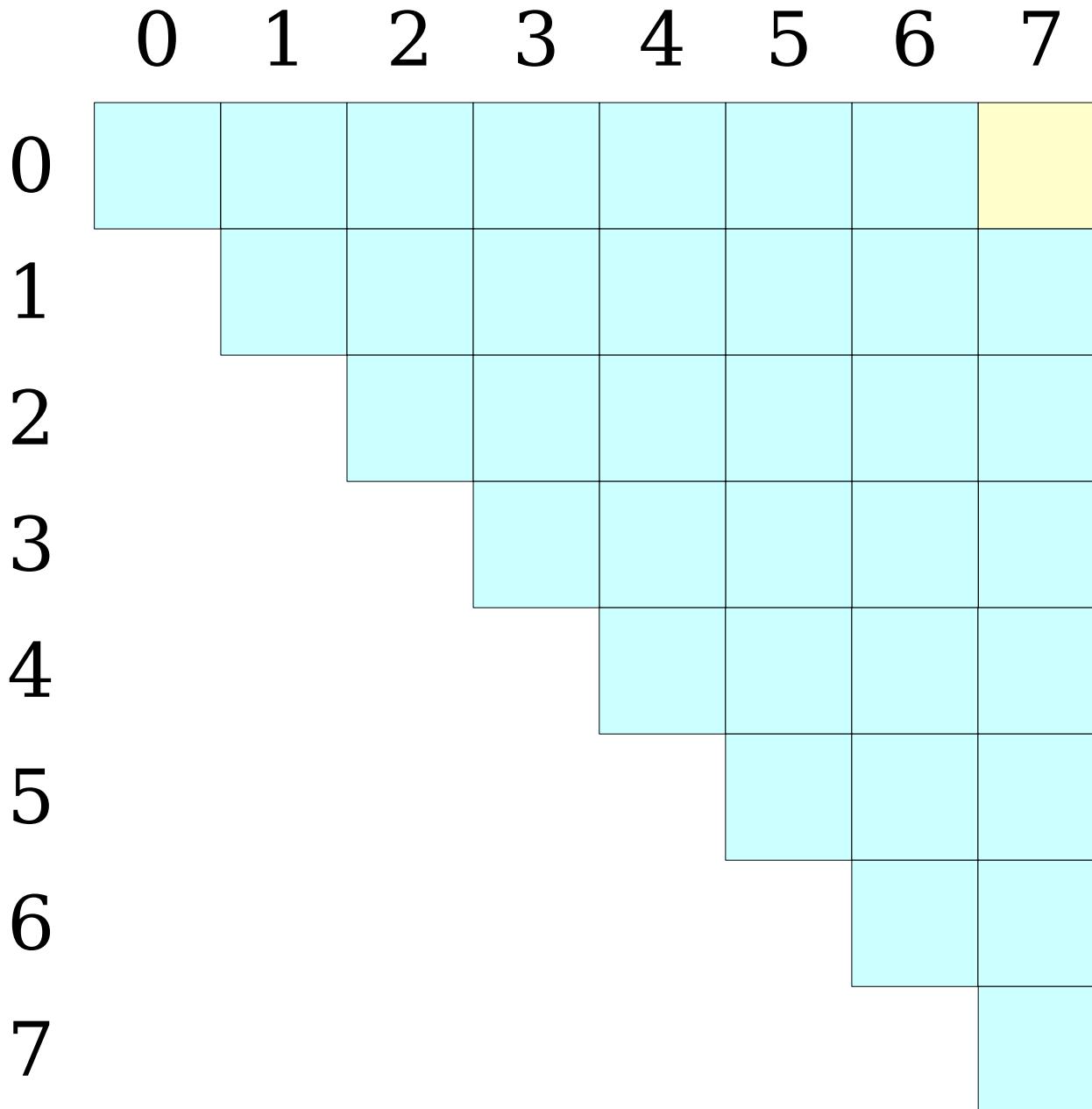




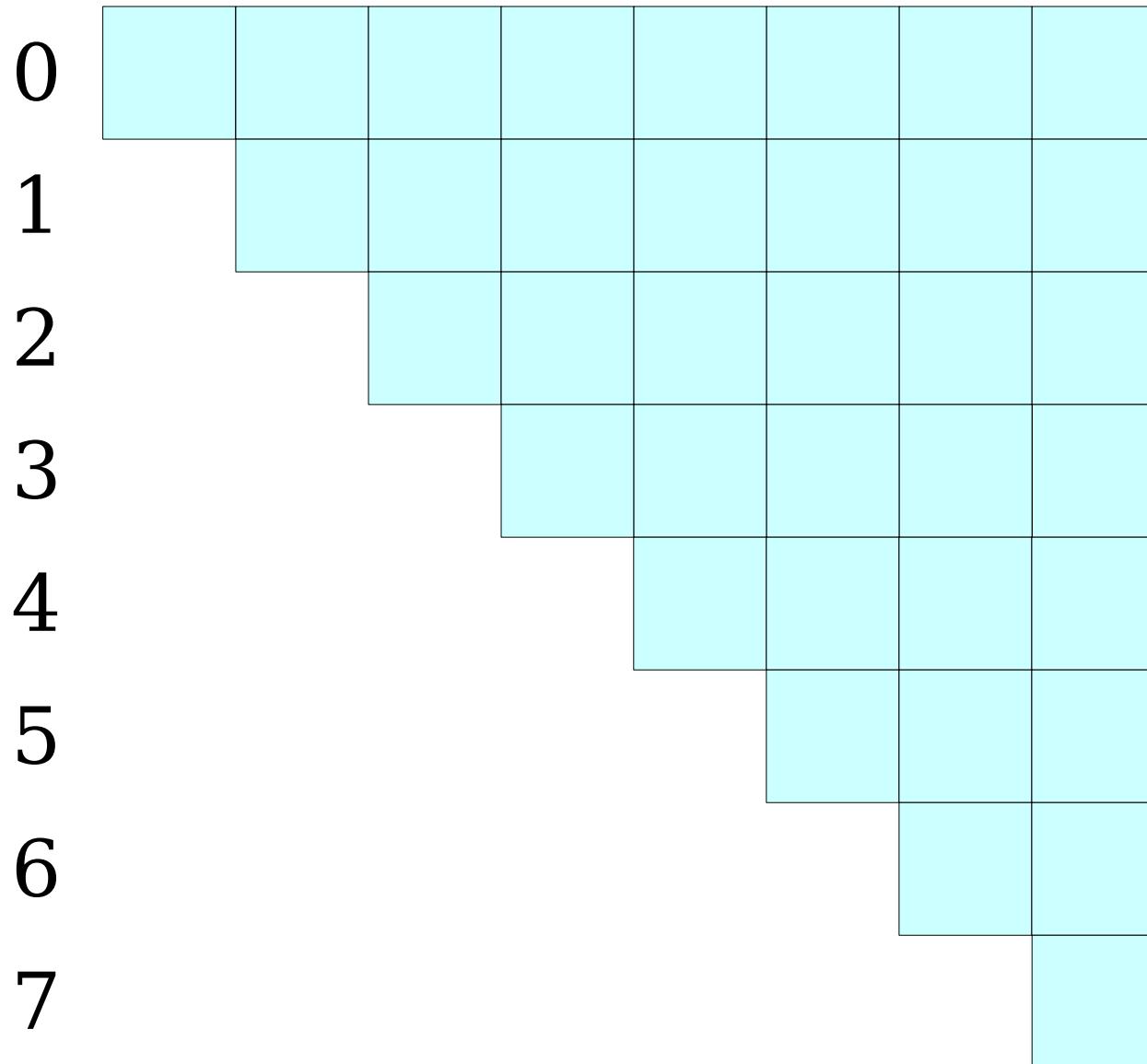
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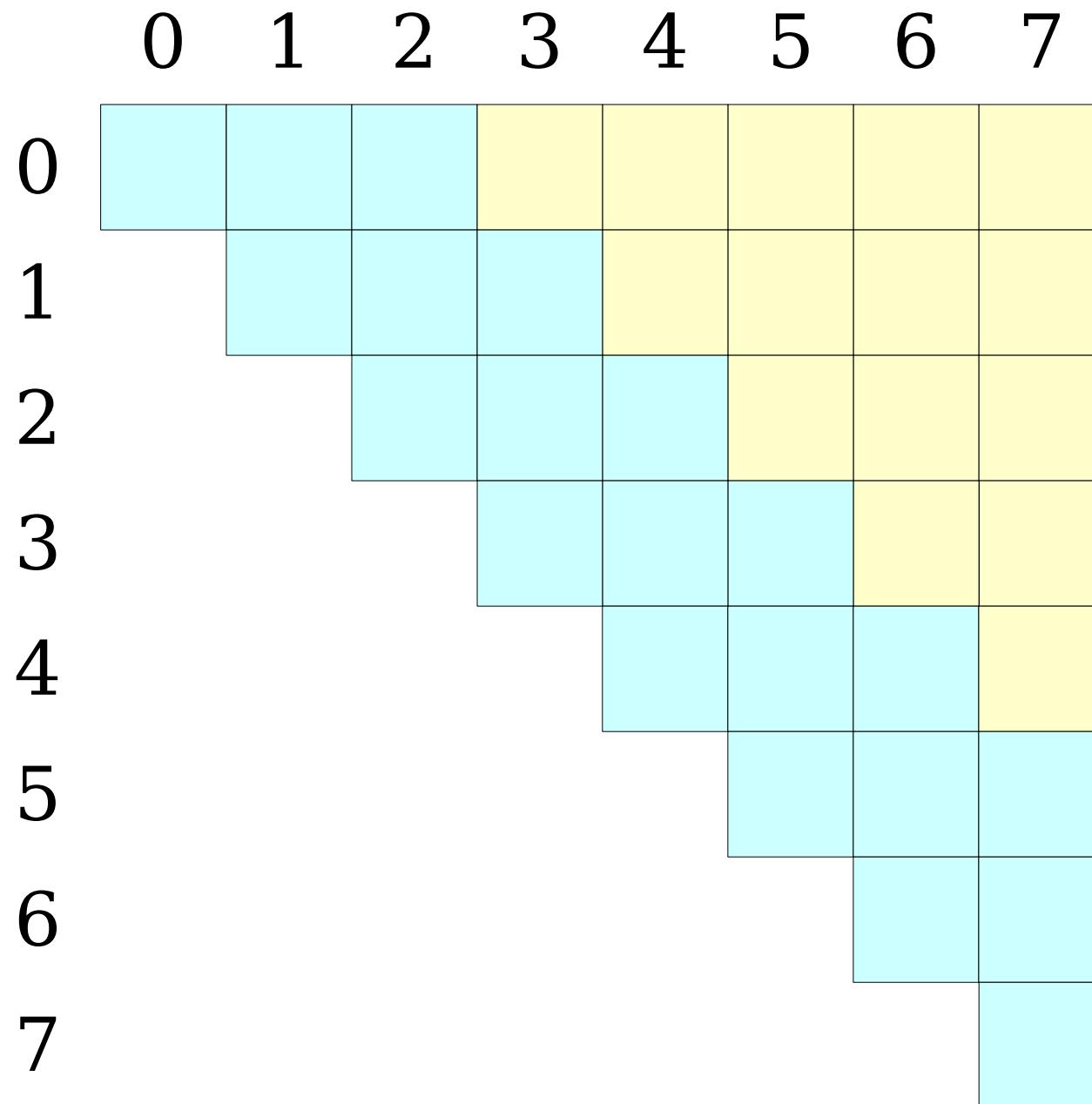


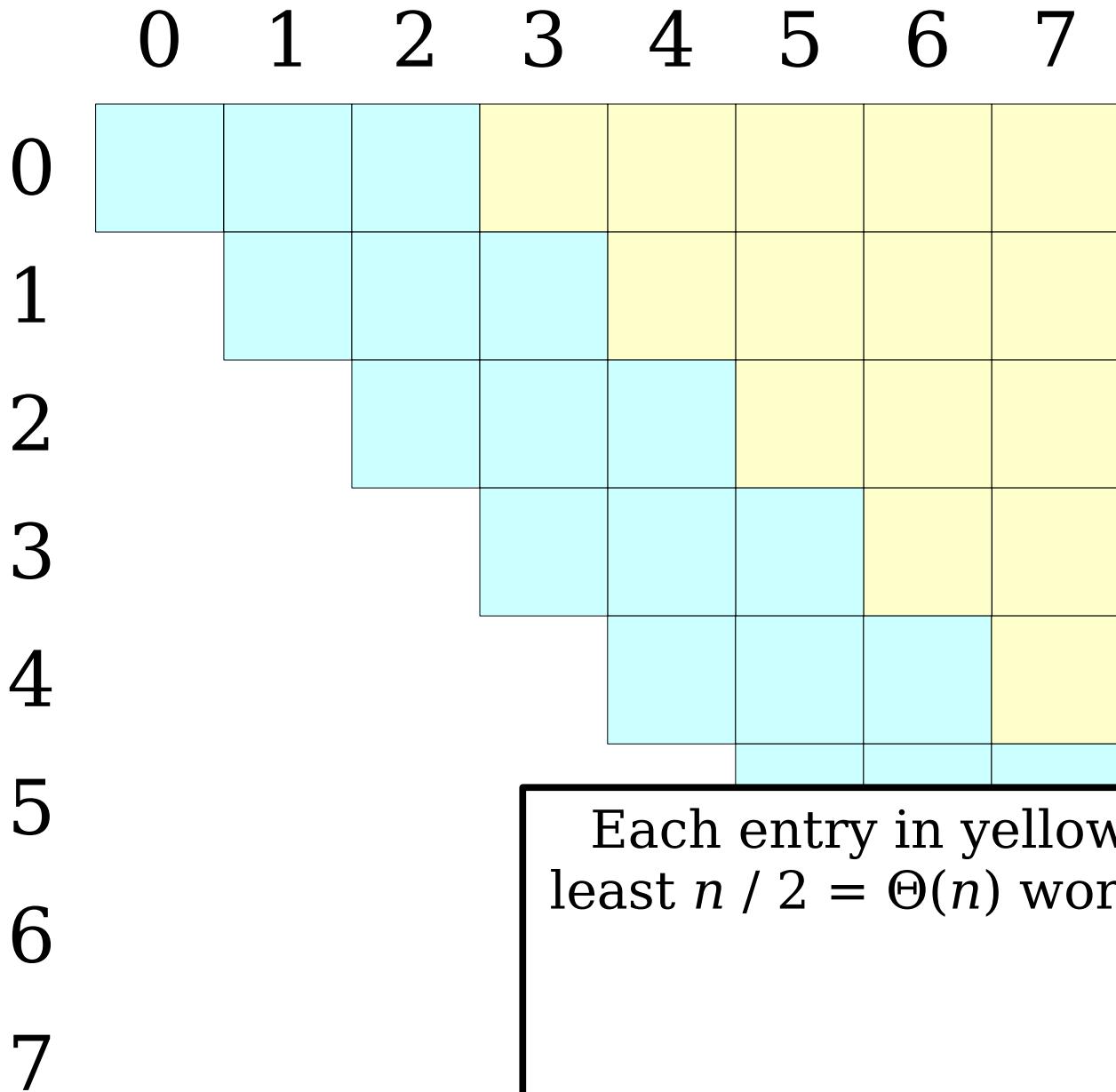




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Each entry in yellow requires at least $n / 2 = \Theta(n)$ work to evaluate.

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Each entry in yellow requires at least $n / 2 = \Theta(n)$ work to evaluate.

There are roughly $n^2 / 8 = \Theta(n^2)$ entries here.

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Total work required: $\Theta(n^3)$

A Different Approach

- Naïvely precomputing the table is inefficient.
- Can we do better?
- **Claim:** We can precompute all subarrays in time $\Theta(n^2)$ using dynamic programming.

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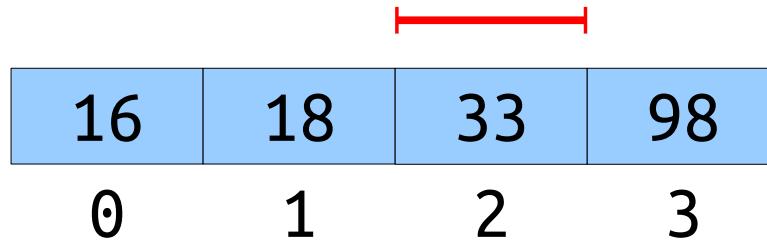
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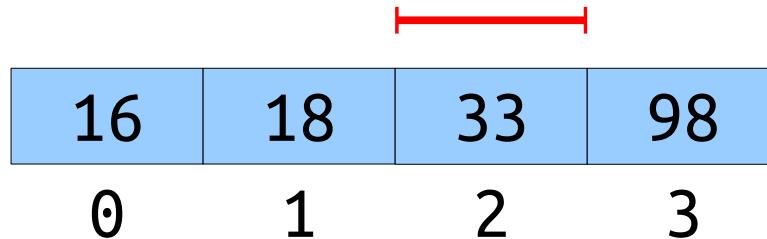
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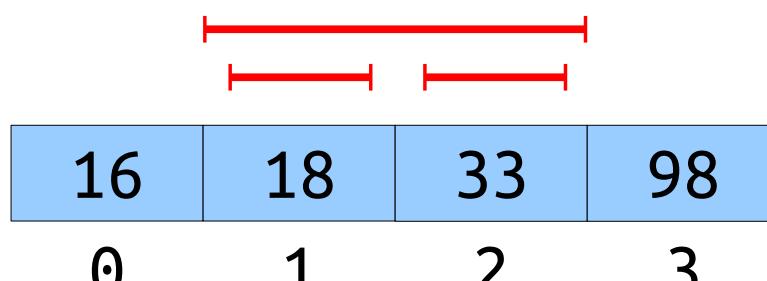
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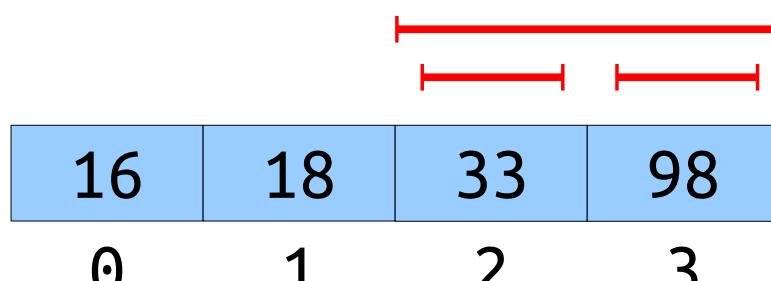
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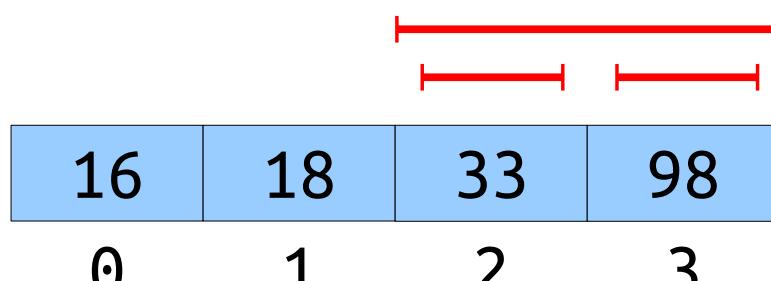
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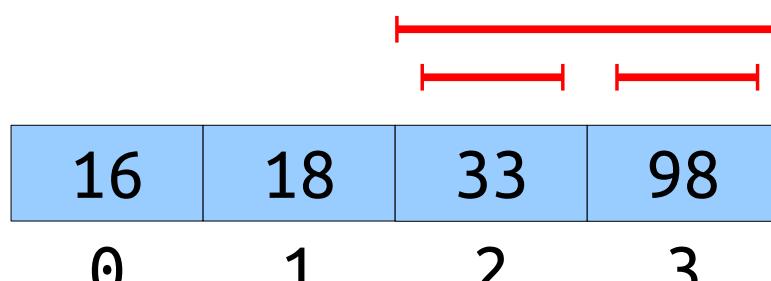
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0	16	16		
1		18	18	
2			33	★
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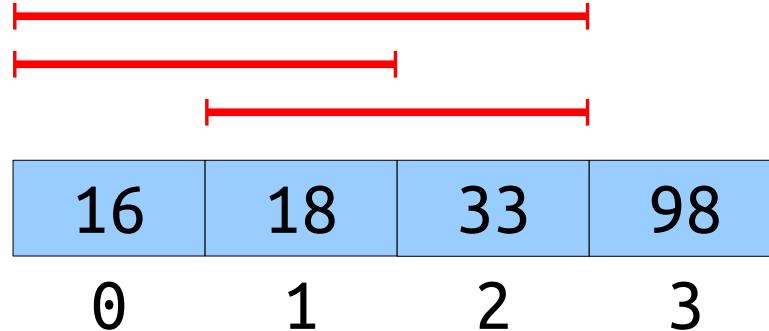
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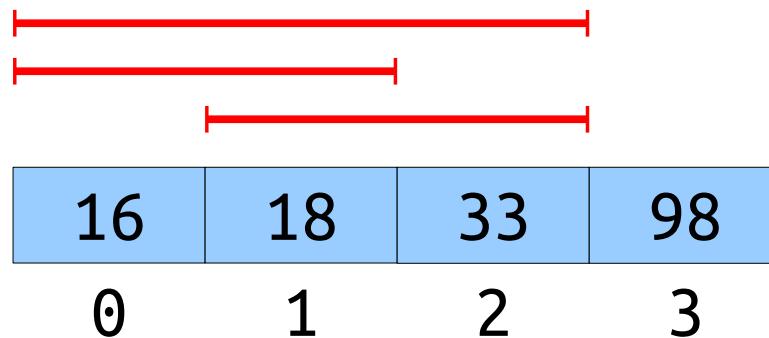
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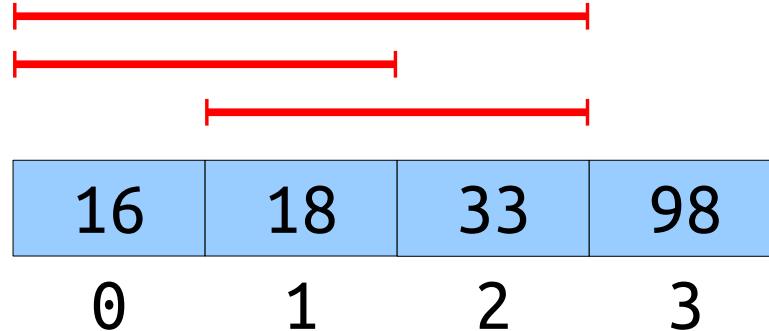
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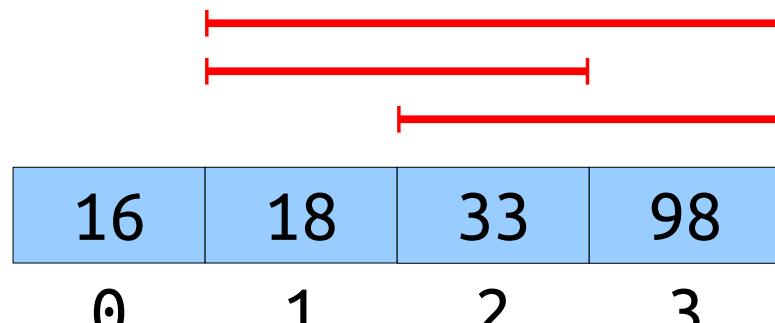
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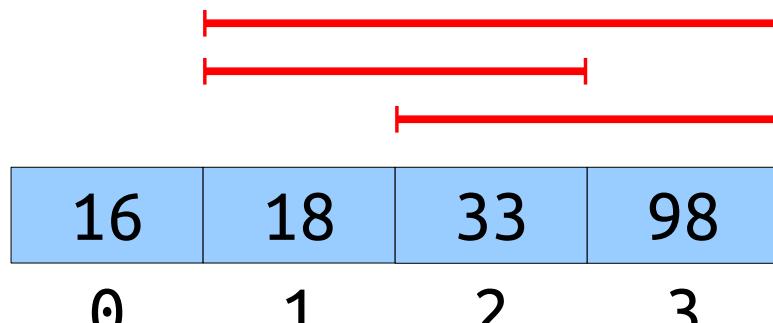
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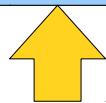
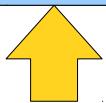
Some Notation

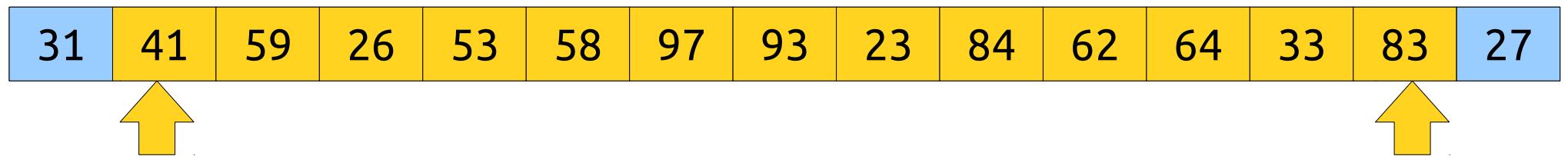
- We'll say that an RMQ data structure has time complexity $\langle p(n), q(n) \rangle$ if
 - preprocessing takes time at most $p(n)$ and
 - queries take time at most $q(n)$.
- We now have two RMQ data structures:
 - $\langle O(1), O(n) \rangle$ with no preprocessing.
 - $\langle O(n^2), O(1) \rangle$ with full preprocessing.
- These are two extremes on a curve of tradeoffs: no preprocessing versus full preprocessing.
- **Question:** *Is there a “golden mean” between these extremes?*

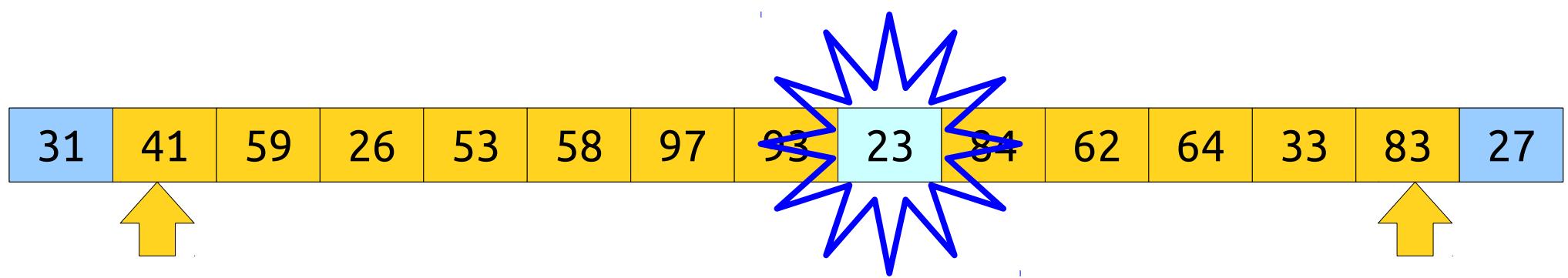
Another Approach: ***Block Decomposition***

31	41	59	26	53	58	97	93	23	84	62	64	33	83	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

31	41	59	26	53	58	97	93	23	84	62	64	33	83	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----







31	41	59	26	53	58	97	93	23	84	62	64	33	83	27
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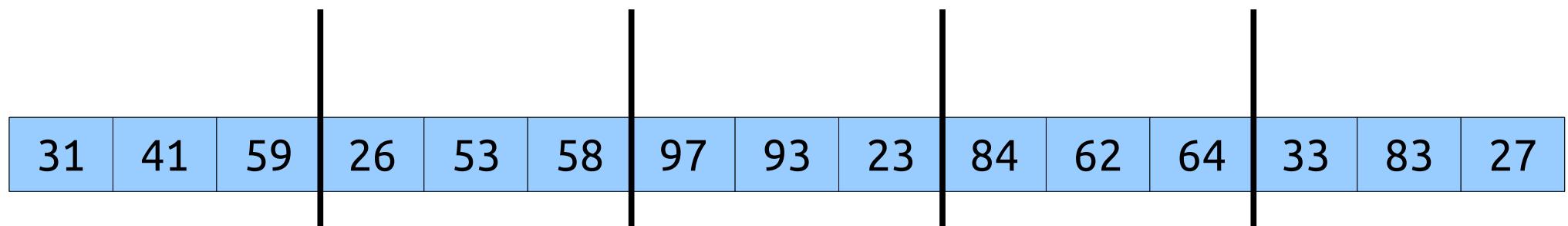
A Block-Based Approach

- Split the input into $O(n / b)$ blocks of some “block size” b .

31	41	59	26	53	58	97	93	23	84	62	64	33	83	27
----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

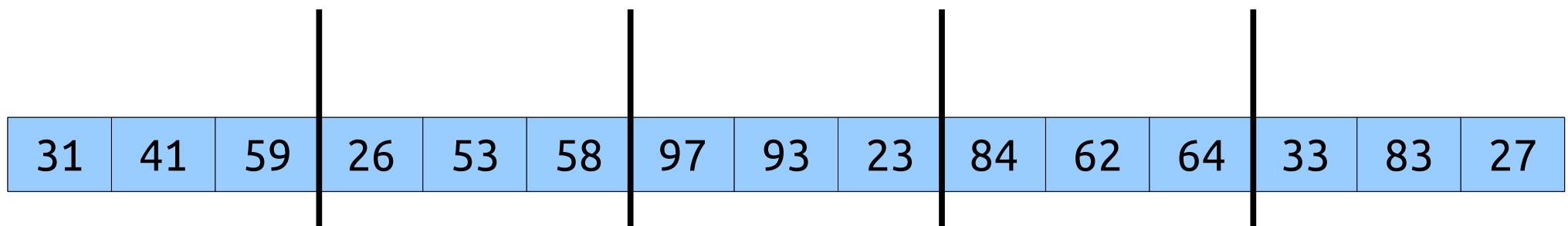
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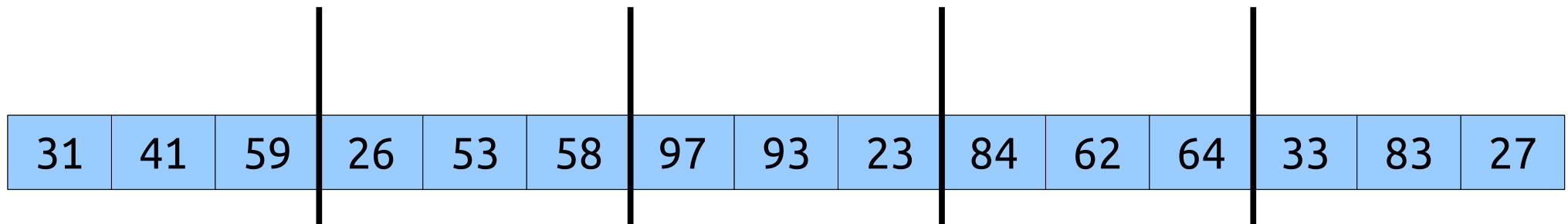
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- Split the input into $O(n / b)$ blocks of some “block size” b .
 - Here, $b = 3$.



A Block-Based Approach

- Split the input into $O(n / b)$ blocks of some “block size” b .
 - Here, $b = 3$.
- Compute the minimum value in each block.



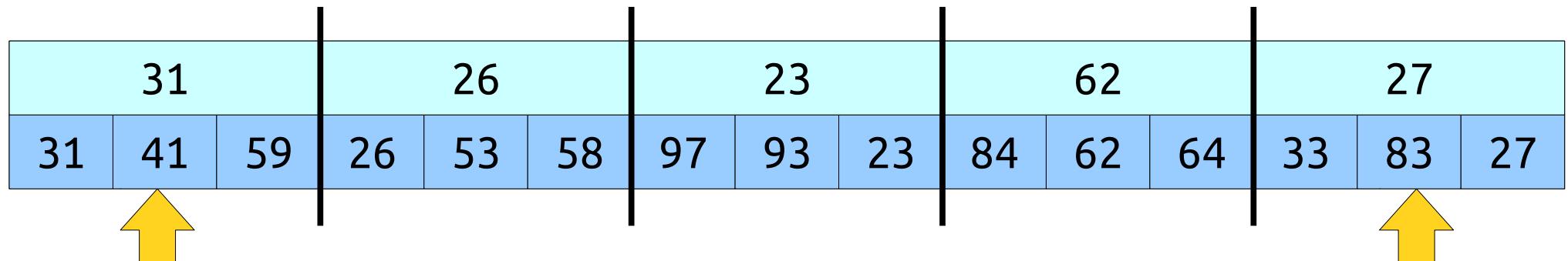
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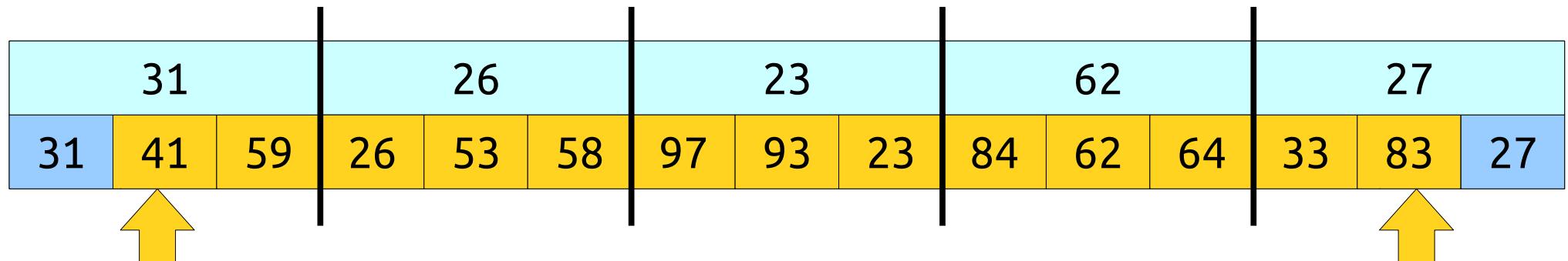
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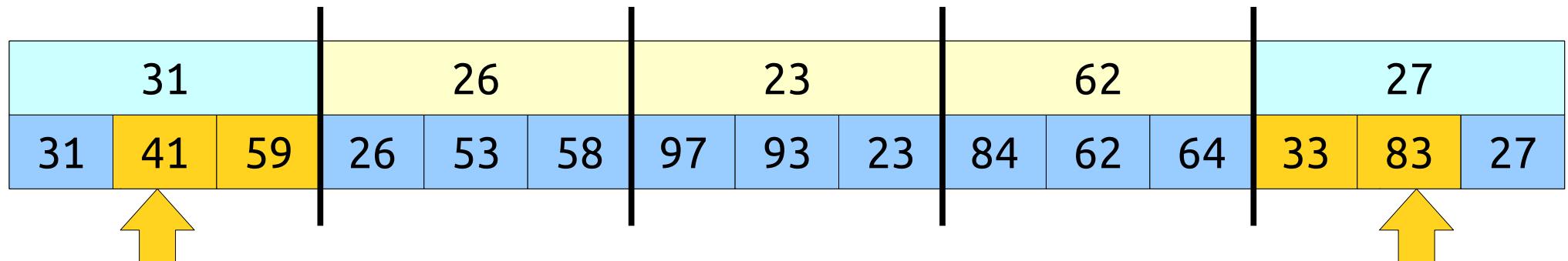
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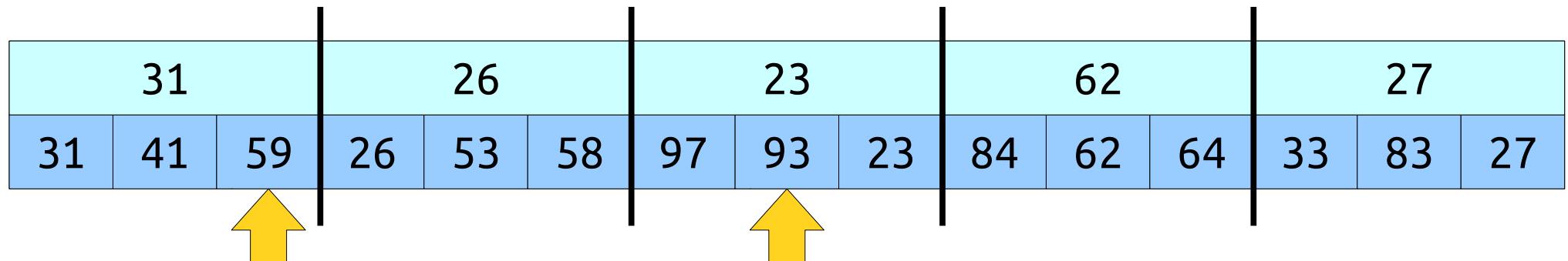
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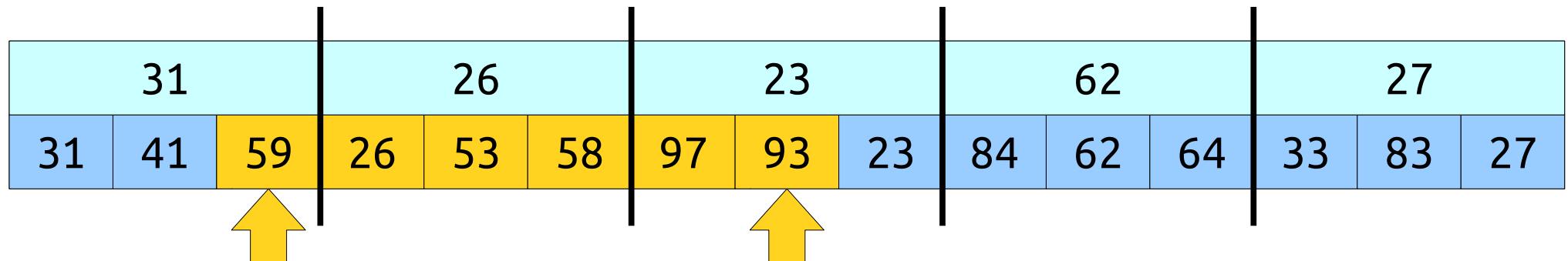
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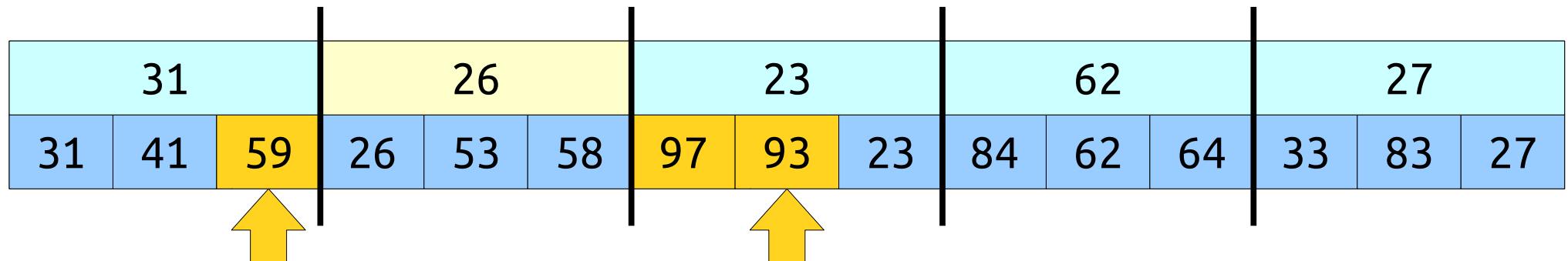
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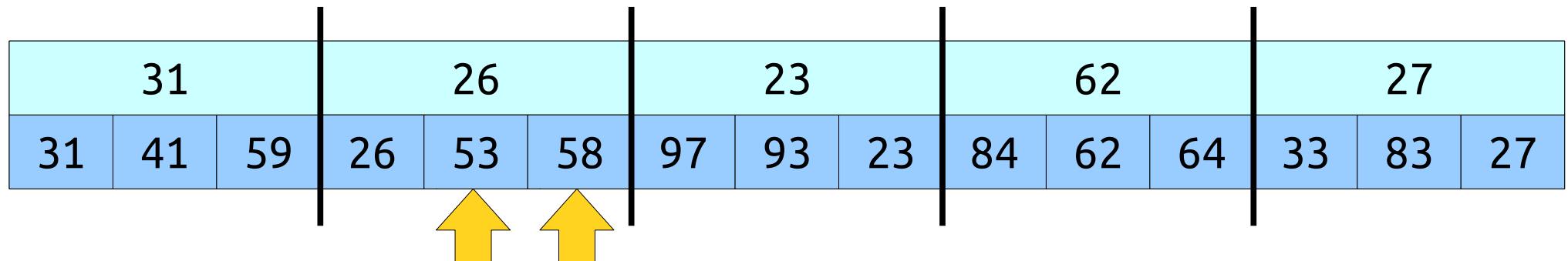
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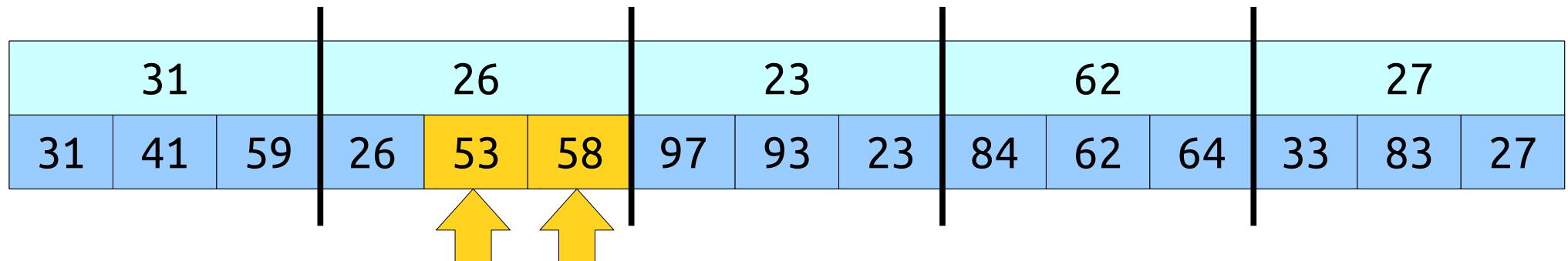
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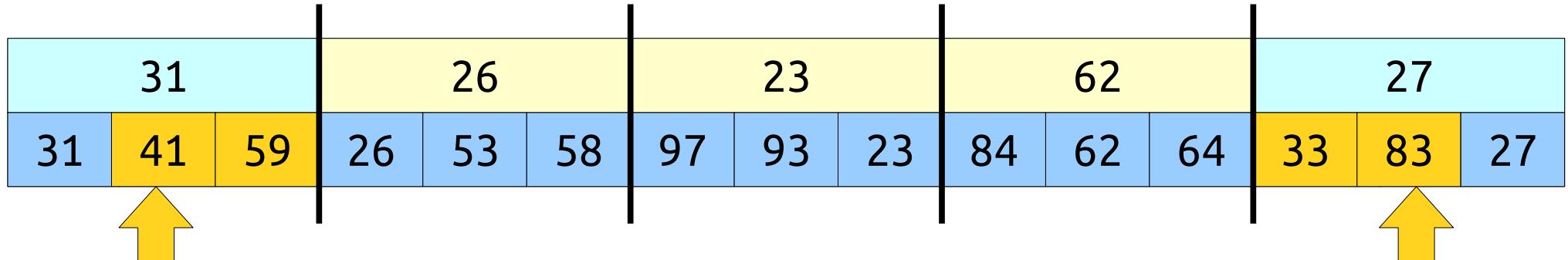
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Analyzing the Approach

- Let's analyze this approach in terms of n and b .
- Preprocessing time:
 - $O(b)$ work on $O(n / b)$ blocks to find minimums.
 - Total work: **$O(n)$** .
- Time to evaluate $\text{RMQ}_A(i, j)$:
 - $O(1)$ work to find block indices (divide by block size).
 - $O(b)$ work to scan inside i and j 's blocks.
 - $O(n / b)$ work looking at block minima between i and j .
 - Total work: **$O(b + n / b)$** .



Intuiting $O(\textcolor{violet}{b} + \textcolor{teal}{n} / \textcolor{violet}{b})$

- As b increases:
 - The $\textcolor{violet}{b}$ term rises (more elements to scan within each block).
 - The $\textcolor{teal}{n} / \textcolor{violet}{b}$ term drops (fewer blocks to look at).
- As b decreases:
 - The $\textcolor{violet}{b}$ term drops (fewer elements to scan within a block).
 - The $\textcolor{teal}{n} / \textcolor{violet}{b}$ term rises (more blocks to look at).
- Is there an optimal choice of b given these constraints?

Optimizing b

- What choice of b minimizes $b + n / b$?

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$$\frac{d}{db}(b+n/b) = 1 - \frac{n}{b^2}$$

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- Setting the derivative to zero:

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- Setting the derivative to zero:

$$1 - n/b^2 = 0$$

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$$\frac{d}{db}(b+n/b) = 1 - \frac{n}{b^2}$$

- Setting the derivative to zero:

$$\begin{aligned} 1 - n/b^2 &= 0 \\ 1 &= n/b^2 \end{aligned}$$

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$$1 - n/b^2 = 0$$

$$1 = n/b^2$$

$$b^2 = n$$

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$$b = \sqrt{n}$$

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- In that case, the runtime is

$$O(b + n/b)$$

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$$O(b + n/b) = O(n^{1/2} + n/n^{1/2})$$

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Summary of Approaches

- Three solutions so far:
 - No preprocessing: $\langle O(1), O(n) \rangle$.
 - Full preprocessing: $\langle O(n^2), O(1) \rangle$.
 - Block partition: $\langle O(n), O(n^{1/2}) \rangle$.
- Modest preprocessing yields modest performance increases.
- ***Question:*** Can we do better?

A Second Approach: ***Sparse Tables***

An Intuition

- The $\langle O(n^2), O(1) \rangle$ solution gives fast queries because every range we might look up has already been precomputed.
- This solution is slow overall because we have to compute the minimum of every possible range.
- **Question:** Can we still get $O(1)$ queries without preprocessing all possible ranges?

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31	26	26	26	26
1		41	41	26	26	26	26
2			59	26	26	26	26
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

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2			59	26	26	26	26
3				26	26	26	26
4					53	53	53
5						58	58
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31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31	26			
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
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3				26	26	26	26
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		59	26	26	26		
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				53	53	53	53
					58	58	58
						97	93
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0	1	2	3	4	5	6	7



0	1	2	3	4	5	6	7
0	31	31	31	26			
1		41	41	26	26		
2			59	26	26	26	★
3				26	26	26	26
4					53	53	53
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An Observation

31	41	59	26	53	58	97	93
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Three red horizontal arrows point from index 2 to 5, index 4 to 6, and index 5 to 7, indicating a selection or comparison operation.

0	1	2	3	4	5	6	7
31	31	31	26				
	41	41	26	26			
		59	26	26	26		★
			26	26	26	26	
				53	53	53	53
					58	58	58
						97	93
							93

An Observation

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	41	41	26	26			
		59	26	26	26		★
			26	26	26	26	
				53	53	53	53
					58	58	58
						97	93
							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31	26			
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7



0	1	2	3	4	5	6	7
31	31	31	26				
	41	41	26	26			
		59	26	26	26		
			26	26	26	26	
				53	53	53	53
					58	58	58
						97	93
							93

An Observation

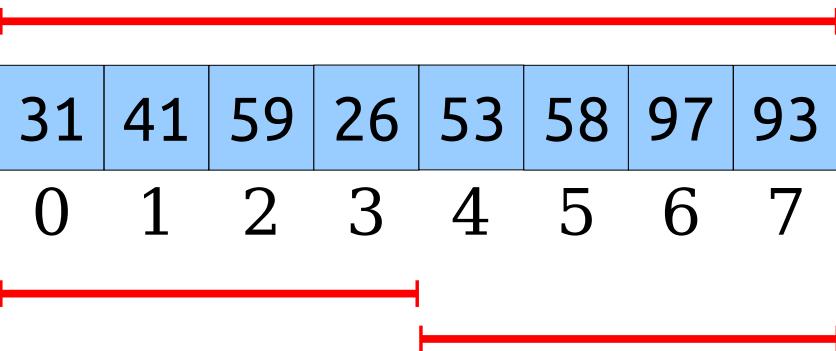
31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0 1 2 3 4 5 6 7

0	1	2	3	4	5	6	7
0	31	31	31	26			★
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

An Observation

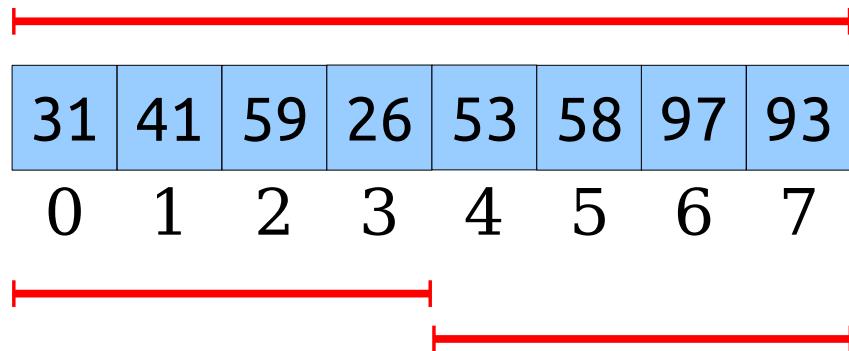
31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7



- A red arrow starts at index 0 and ends at index 4.
- A red arrow starts at index 4 and ends at index 7.
- A red arrow starts at index 7 and ends at index 0.

0	1	2	3	4	5	6	7
31	31	31	26				★
	41	41	26	26			
		59	26	26	26		
			26	26	26	26	
				53	53	53	53
					58	58	58
						97	93
							93

An Observation



0	1	2	3	4	5	6	7
0	31	31	31	26			★
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31	26			
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31	26			
1		41	41	26	26		
2			59	26	26	26	
3				26	26	26	26
4					53	53	53
5						58	58
6							97
7							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
0	31	31	31				
1		41	41	26			
2			59	26	26		
3				26	26	26	
4					53	53	53
5						58	58
6							97
7							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7	
0	31	31	31					
1		41	41	26				
2			59	26	26			
3				26	26	26		
4					53	53	53	
5						58	58	58
6							97	93
7								93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7



0	1	2	3	4	5	6	7
31	31	31					
	41	41	26				
		59	26	26			
			26	26	26		
				53	53	53	
					58	58	58
						97	93
							93

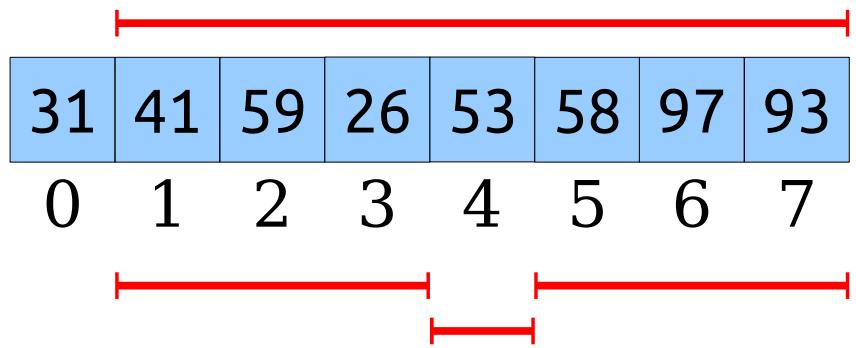
An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7



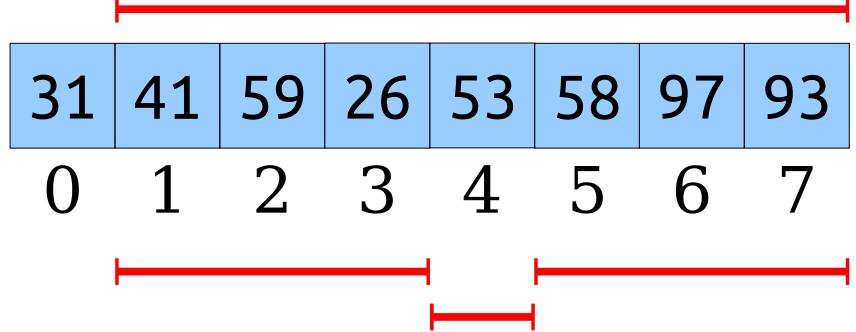
0	1	2	3	4	5	6	7
31	31	31					
	41	41	26				★
		59	26	26			
			26	26	26		
				53	53	53	
					58	58	58
						97	93
							93

An Observation



0	1	2	3	4	5	6	7
31	31	31					
	41	41	26				★
		59	26	26			
			26	26	26		
				53	53	53	
					58	58	58
						97	93
							93

An Observation



0	1	2	3	4	5	6	7
31	31	31					
	41	41	26				★
		59	26	26			
			26	26	26		
				53	53	53	
					58	58	58
						97	93
							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7	
0	31	31	31					
1		41	41	26				
2			59	26	26			
3				26	26	26		
4					53	53	53	
5						58	58	58
6							97	93
7								93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
31							
	41						
		59					
			26				
				53			
					58		
						97	
							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
31							
	41						
		59					
			26				
				53			
					58		
						97	
							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
31							
	41						
		59					
			26				
				53			
					58		
						97	
							93

An Observation

31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
31							★
	41						
		59					
			26				
				53			
					58		
						97	
							93

An Observation

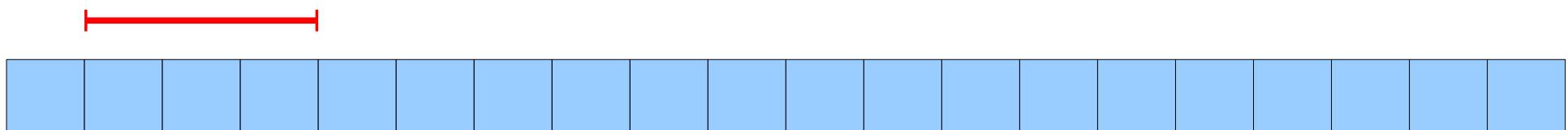
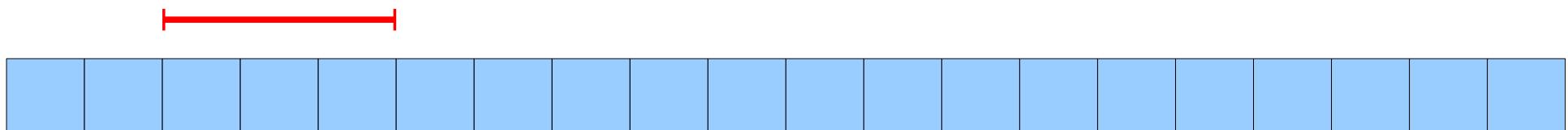
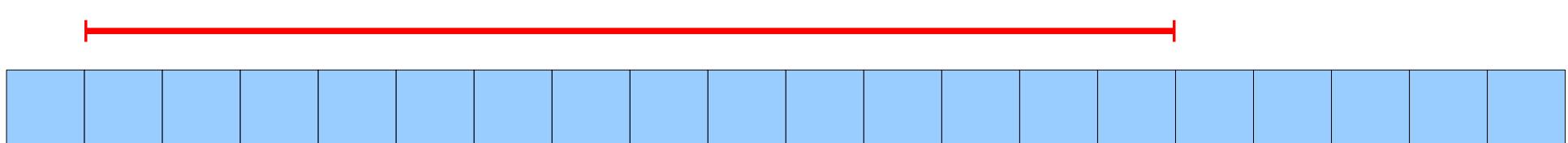
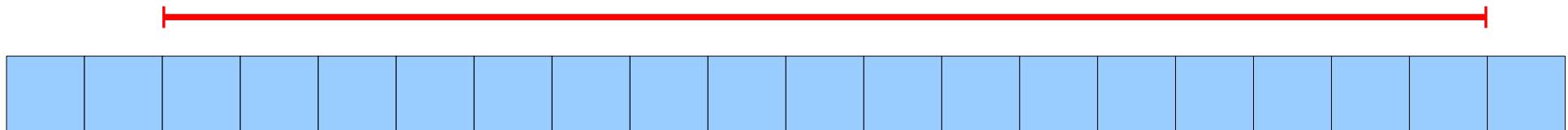
31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7

0	1	2	3	4	5	6	7
31							★
	41						
		59					
			26				
				53			
					58		
						97	
							93

The Intuition

- It's still possible to answer any query in time $O(1)$ without precomputing RMQ over all ranges.
- If we precompute the answers over too many ranges, the preprocessing time will be too large.
- If we precompute the answers over too few ranges, the query time won't be $O(1)$.
- **Goal:** Precompute RMQ over a set of ranges such that
 - There are $o(n^2)$ total ranges, but
 - there are enough ranges to support $O(1)$ query times.

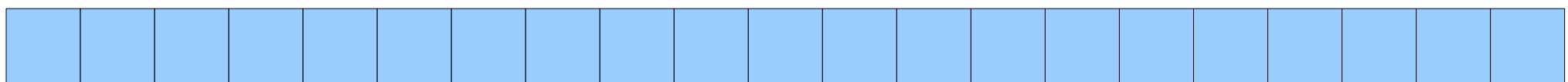
Some Observations



The Approach

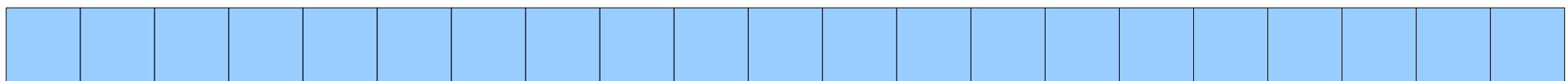
- For each index i , compute RMQ for ranges starting at i of size 1, 2, 4, 8, 16, ..., 2^k as long as they fit in the array.
 - Gives both large and small ranges starting at any point in the array.
 - Only $O(\log n)$ ranges computed for each array element.
 - Total number of ranges: $O(n \log n)$.
- ***Claim:*** Any range in the array can be formed as the union of two of these ranges.

Creating Ranges

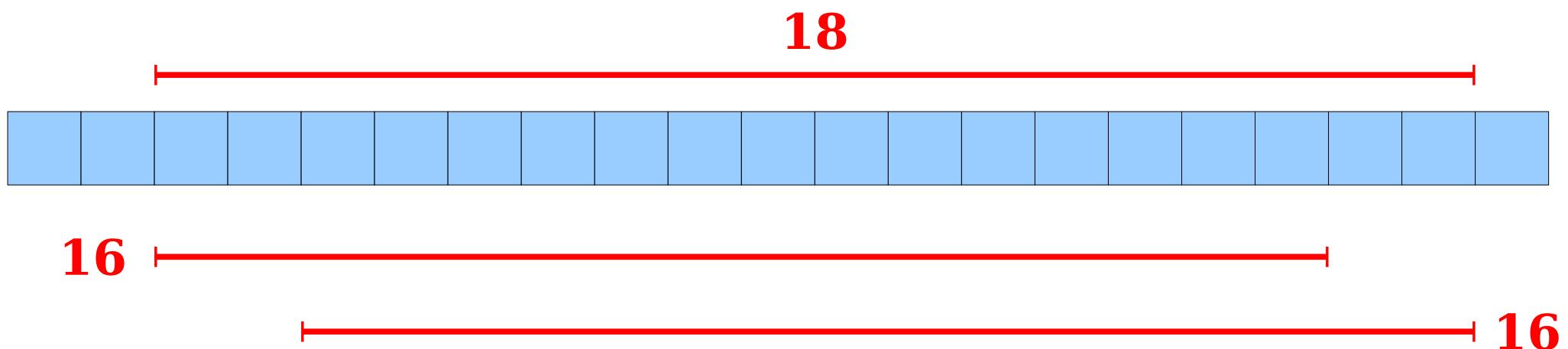


Creating Ranges

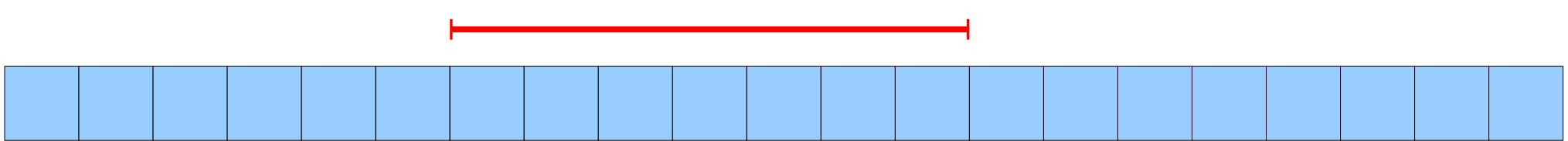
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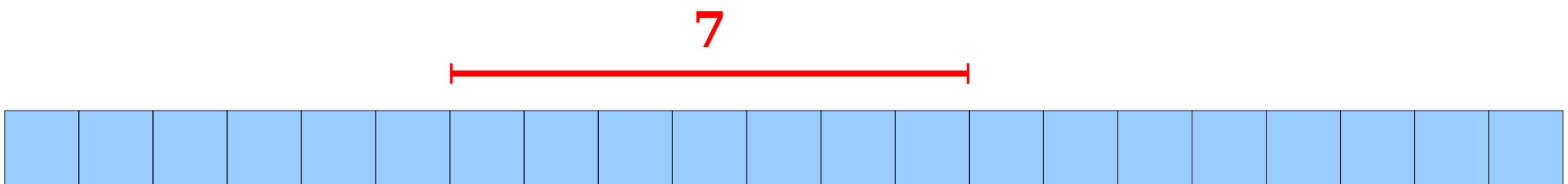
Creating Ranges



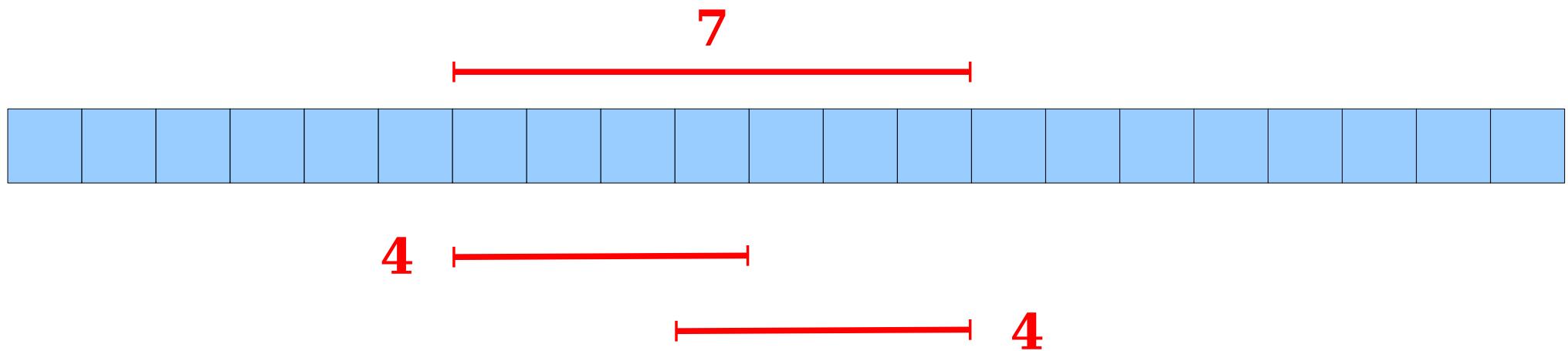
Creating Ranges



Creating Ranges



Creating Ranges

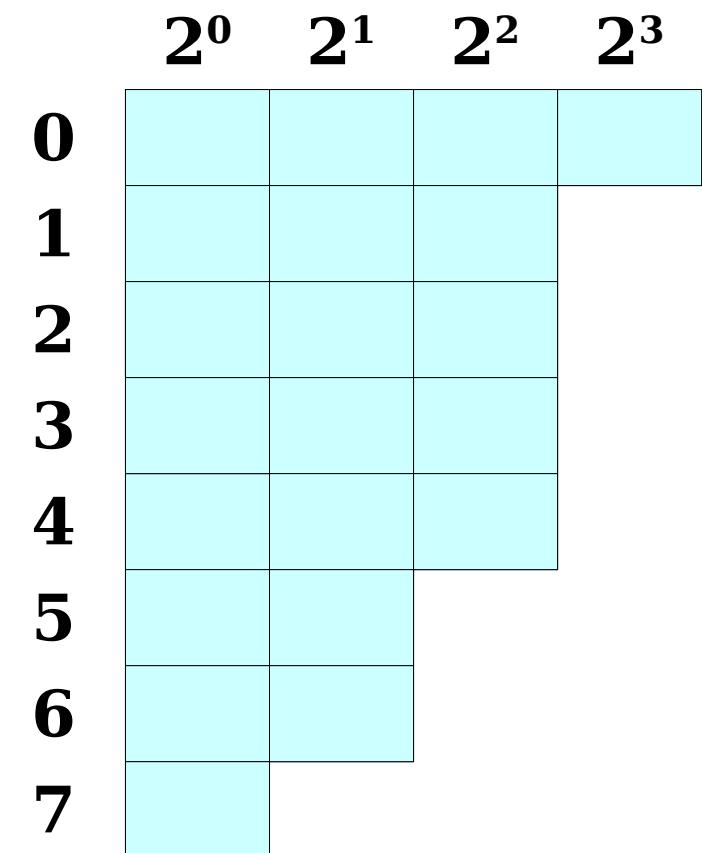
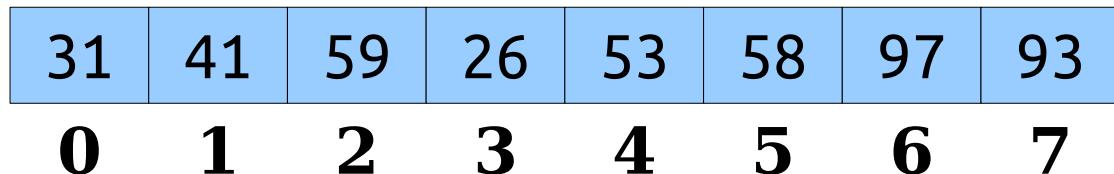


Doing a Query

- To answer $\text{RMQ}_A(i, j)$:
 - Find the largest k such that $2^k \leq j - i + 1$.
 - With the right preprocessing, this can be done in time $O(1)$; you'll figure out how in the problem set!
 - The range $[i, j]$ can be formed as the overlap of the ranges $[i, i + 2^k - 1]$ and $[j - 2^k + 1, j]$.
 - Each range can be looked up in time $O(1)$.
 - Total time: **O(1)**.

Precomputing the Ranges

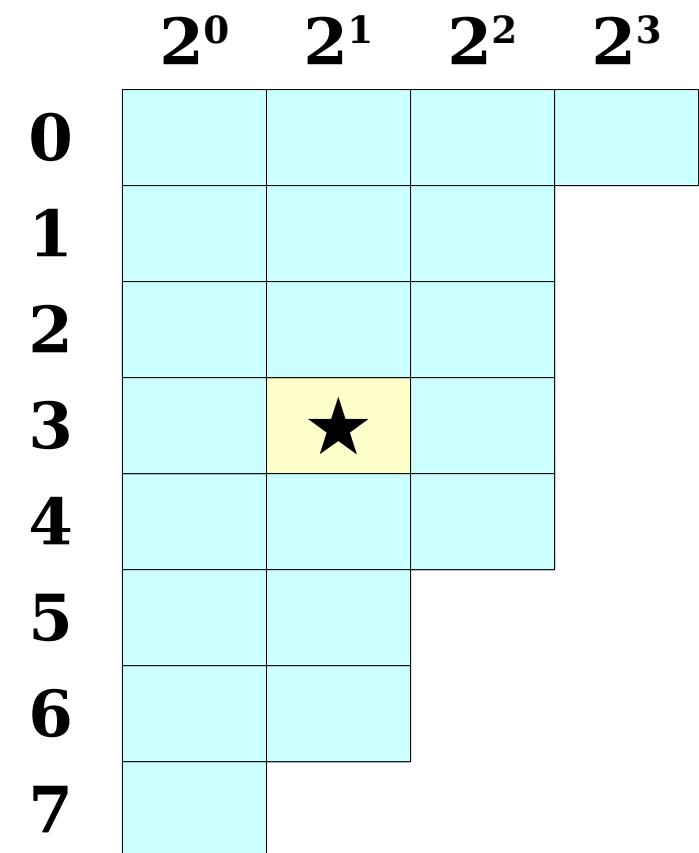
- There are $O(n \log n)$ ranges to precompute.
- Using dynamic programming, we can compute all of them in time $O(n \log n)$.



Precomputing the Ranges

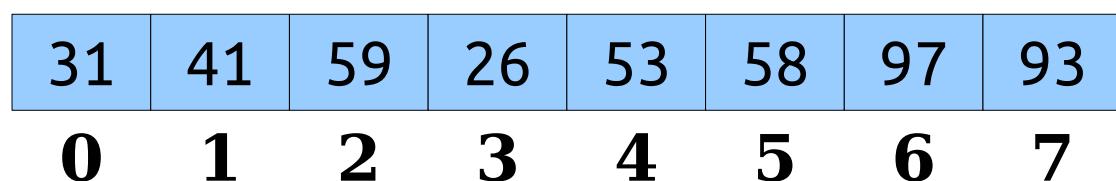
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31	41	59	26	53	58	97	93
0	1	2	3	4	5	6	7



Precomputing the Ranges

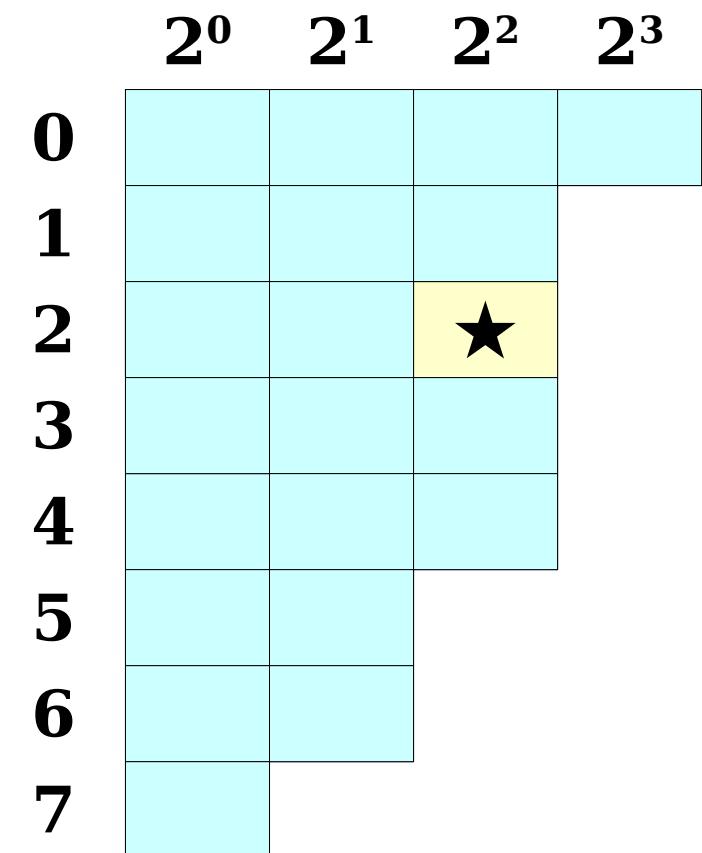
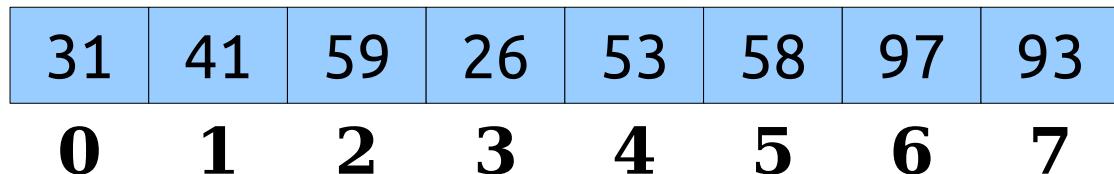
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	2^0	2^1	2^2	2^3
0				
1				
2				
3			★	
4				
5				
6				
7				

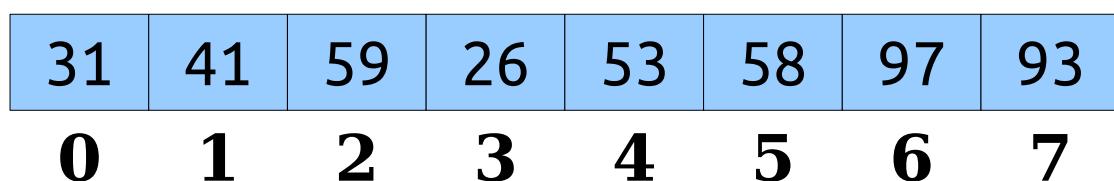
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Precomputing the Ranges

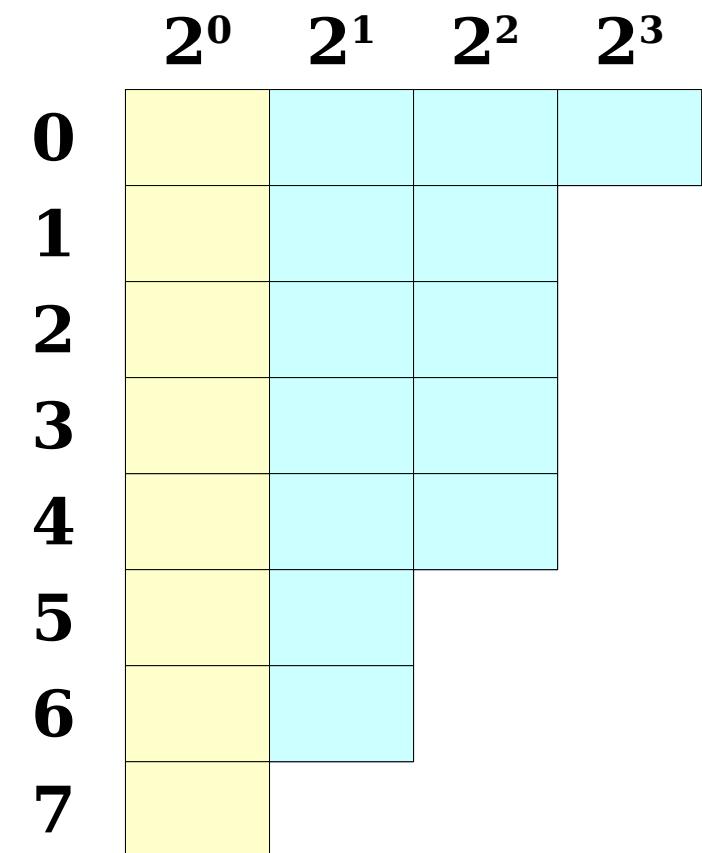
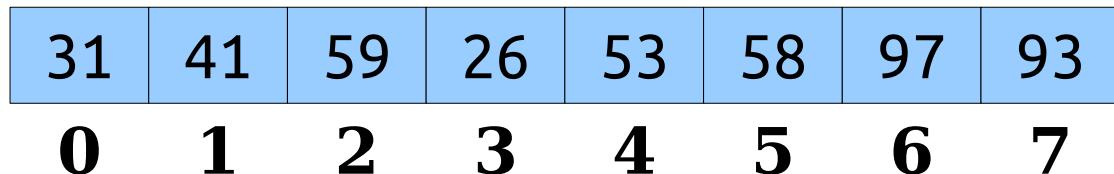
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	2^0	2^1	2^2	2^3
0				
1				
2			★	
3				
4				
5				
6				
7				

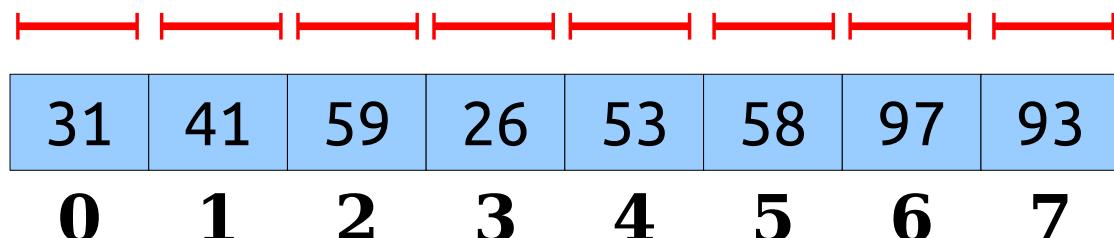
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Precomputing the Ranges

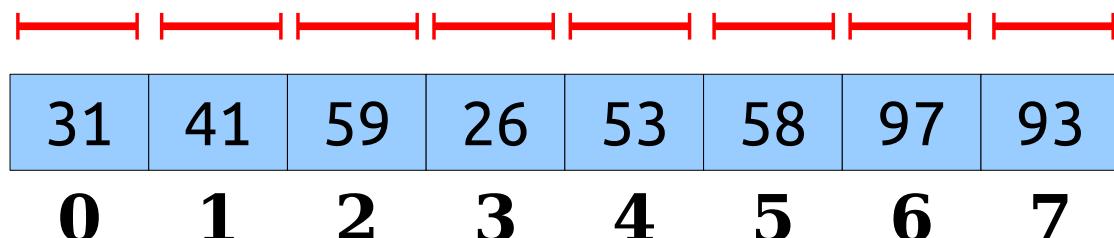
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	2^0	2^1	2^2	2^3
0	Yellow			
1	Yellow			
2	Yellow			
3	Yellow			
4	Yellow			
5	Yellow			
6	Yellow	Cyan		
7	Yellow			

Precomputing the Ranges

- There are $O(n \log n)$ ranges to precompute.
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	2^0	2^1	2^2	2^3
0	31			
1	41			
2	59			
3	26			
4	53			
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6	97			
7	93			

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0	1	2	3	4	5	6	7

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0	31			
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Precomputing the Ranges

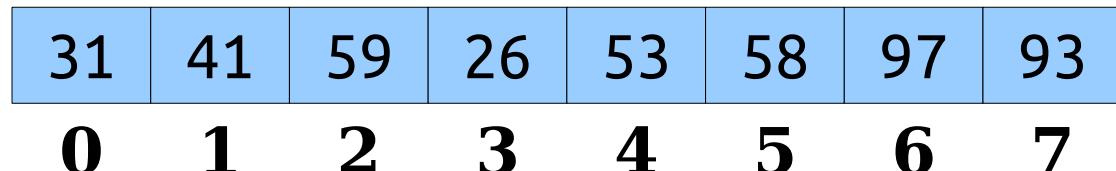
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0	31	★		
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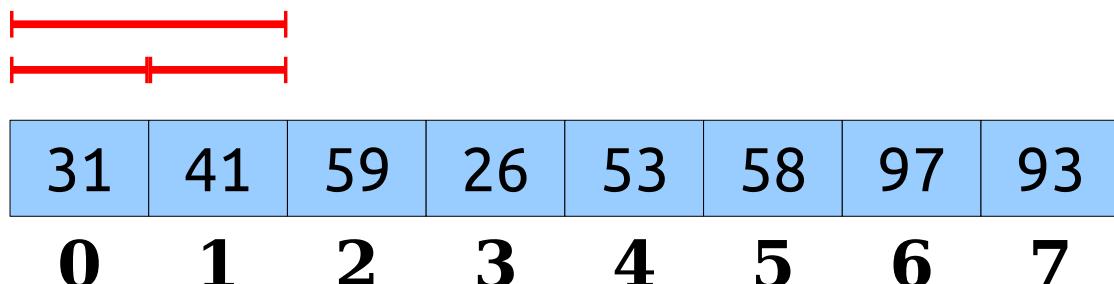
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0	31	★		
1	41			
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Precomputing the Ranges

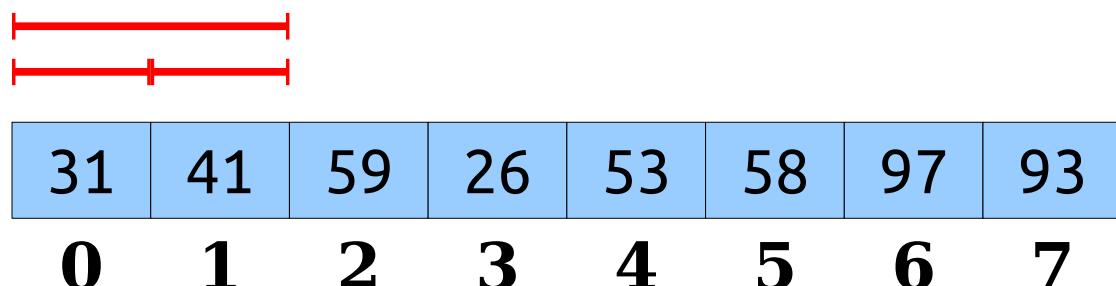
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0	31	★		
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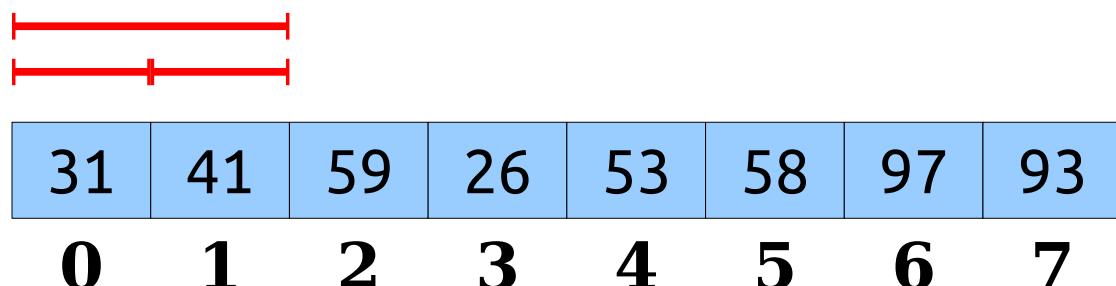
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0	31	★		
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Precomputing the Ranges

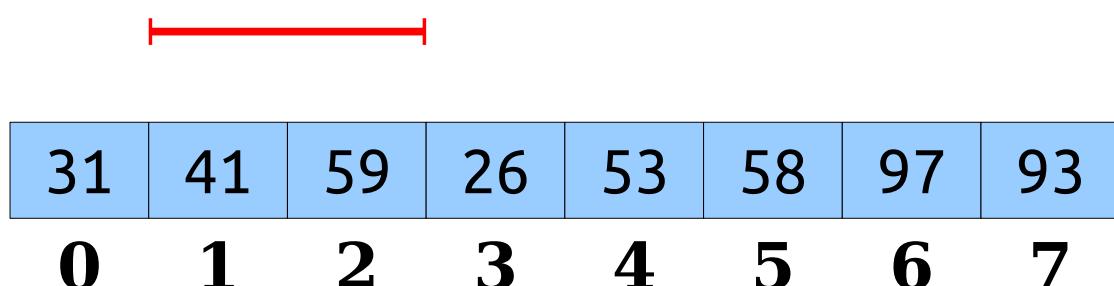
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0	31	31		
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Precomputing the Ranges

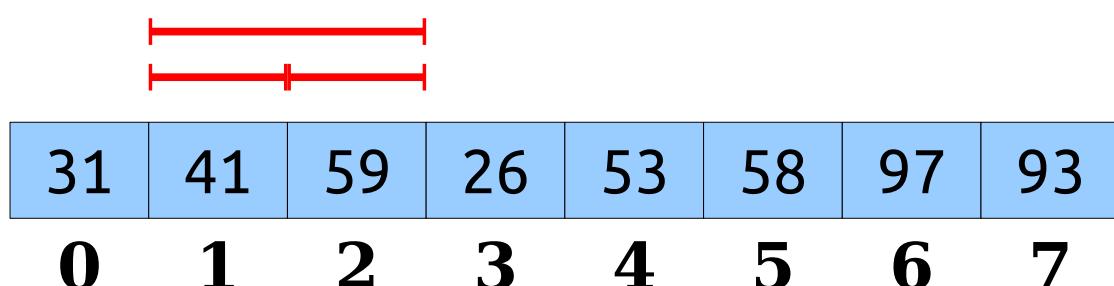
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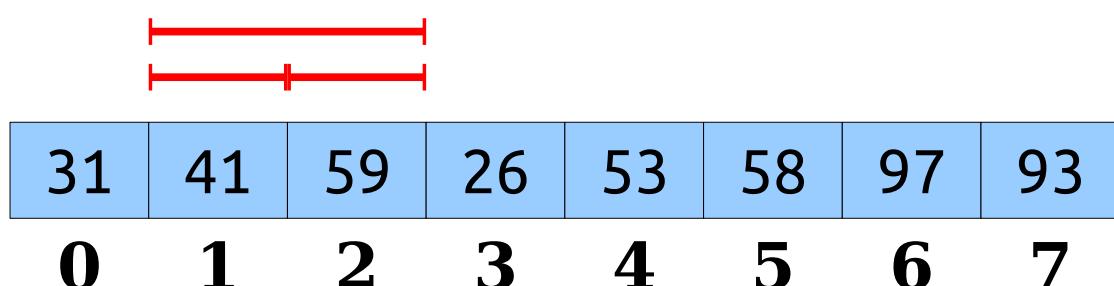
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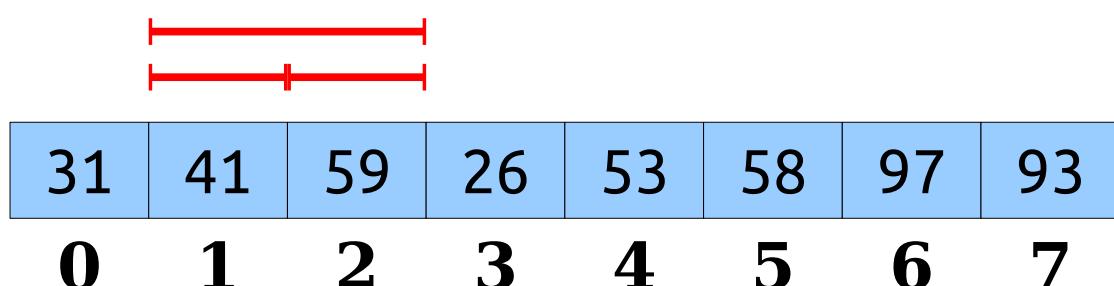
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0	31	31		
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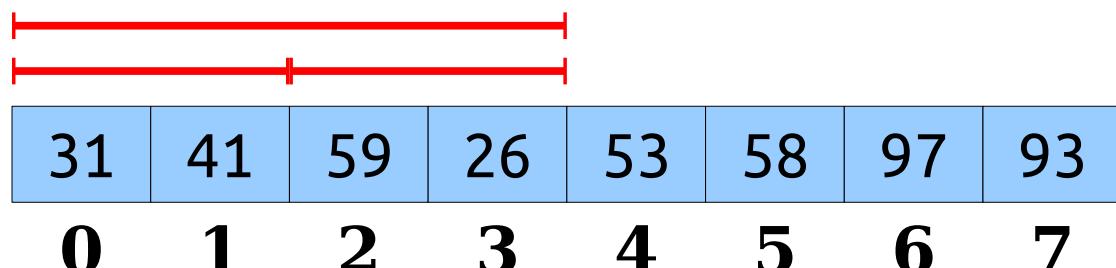


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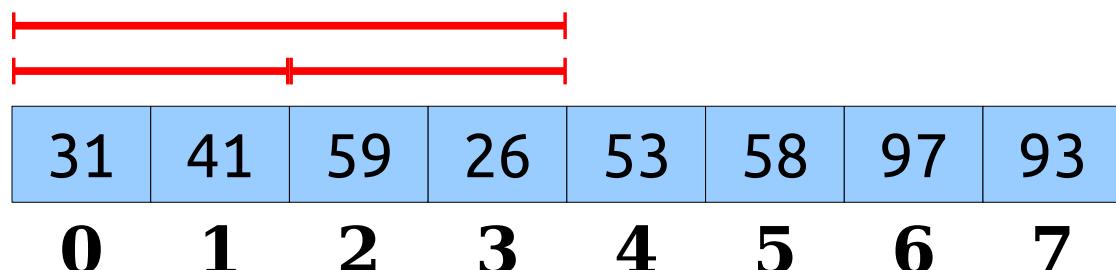
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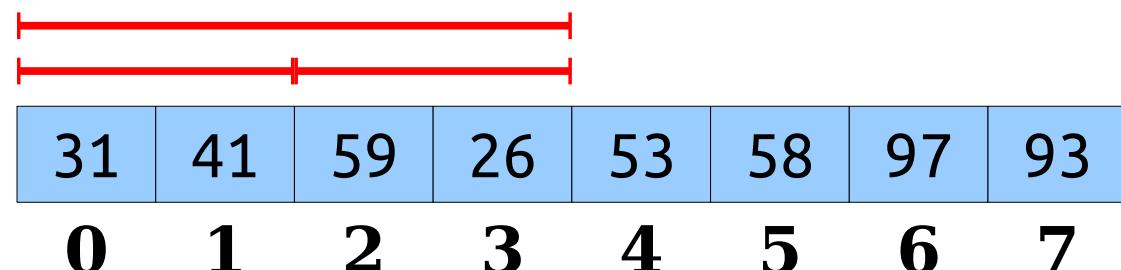
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Sparse Tables

- This data structure is called a ***sparse table***.
- It gives an **$\langle O(n \log n), O(1) \rangle$** solution to RMQ.
- This is asymptotically better than precomputing all possible ranges!

The Story So Far

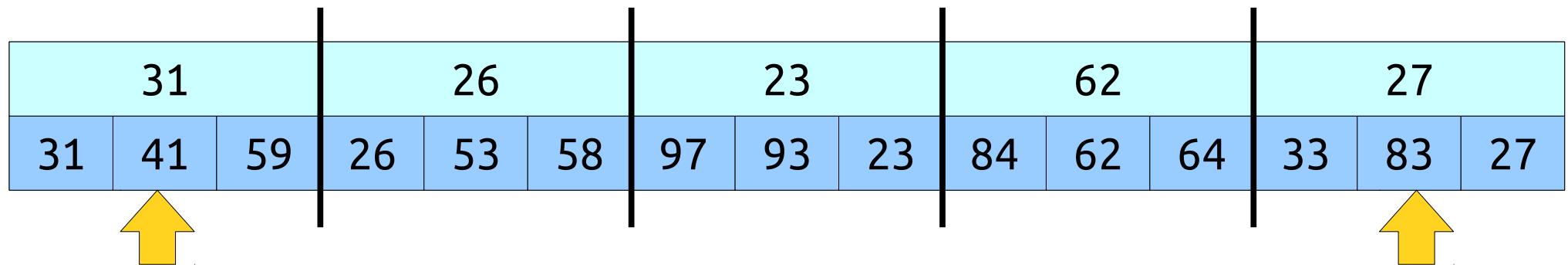
- We now have the following solutions for RMQ:
 - Precompute all: $\langle O(n^2), O(1) \rangle$.
 - Precompute none: $\langle O(1), O(n) \rangle$.
 - Blocking: $\langle O(n), O(n^{1/2}) \rangle$.
 - Sparse table: $\langle O(n \log n), O(1) \rangle$.
- ***Can we do better?***

A Third Approach: ***Hybrid Strategies***

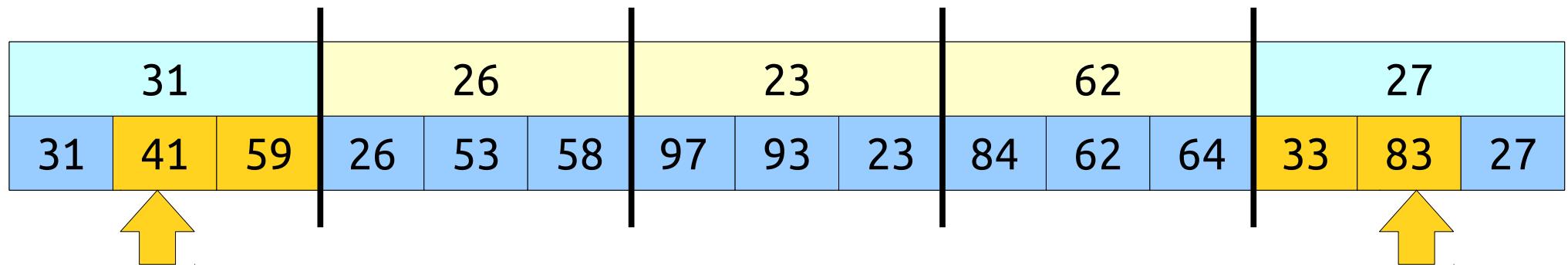
Blocking Revisited

31	26	23	62	27										
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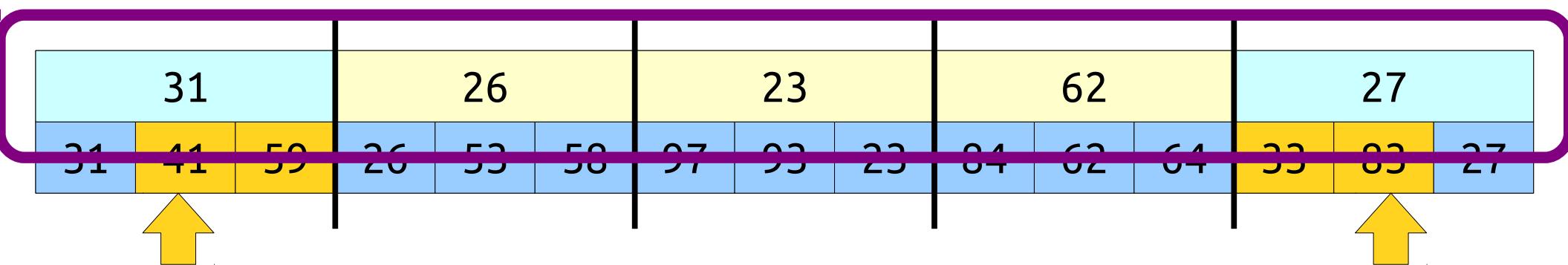
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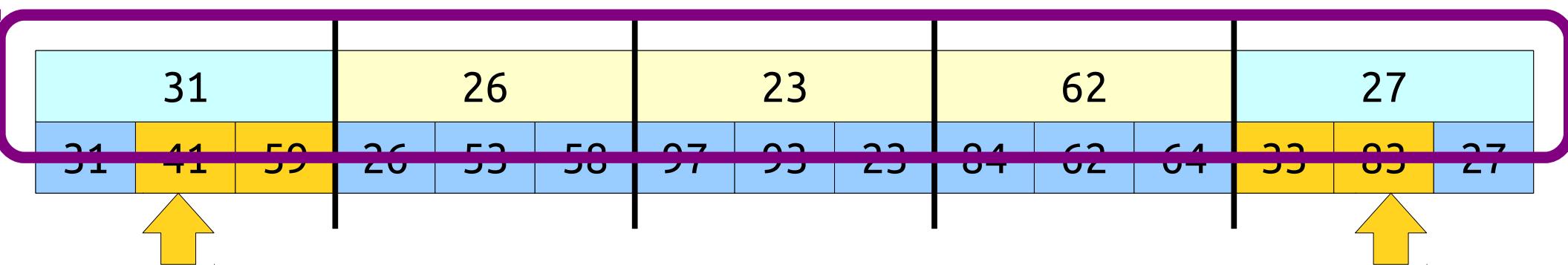


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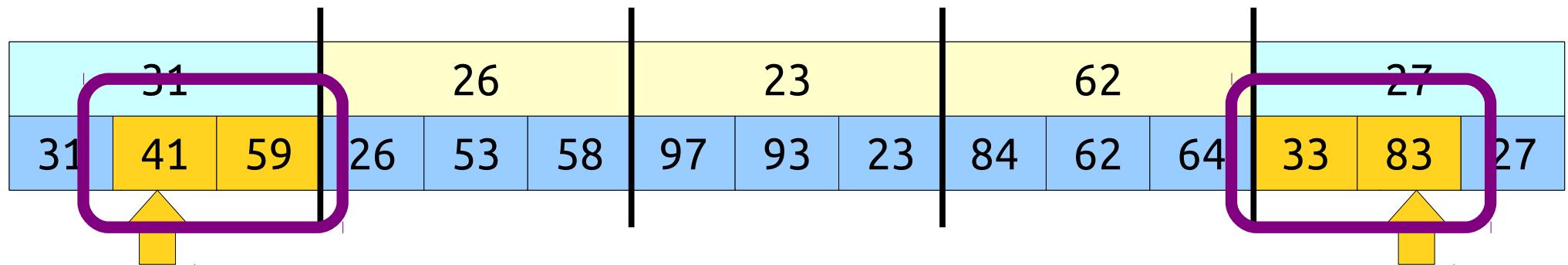


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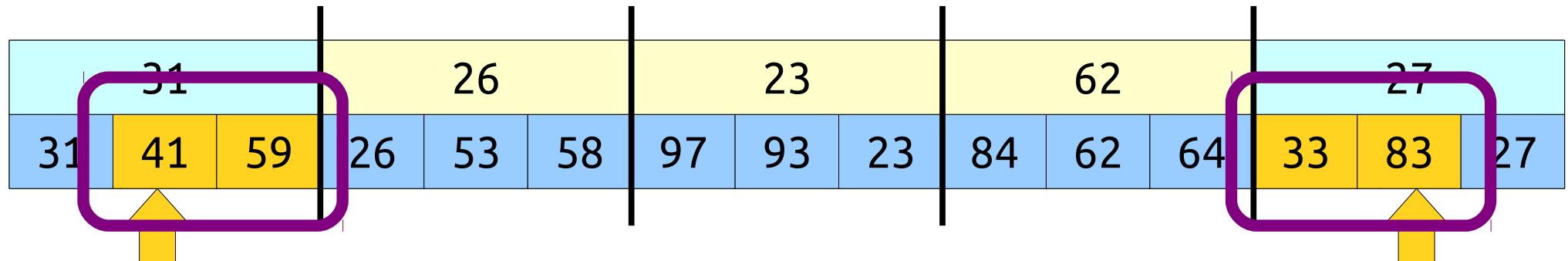
*This is just RMQ on
the block minima!*



Blocking Revisited



Blocking Revisited



*This is just RMQ
inside the blocks!*

The Setup

- Here's a new possible route for solving RMQ:
 - Split the input into blocks of some block size b .
 - For each of the $O(n / b)$ blocks, compute the minimum.
 - ***Construct an RMQ structure on the block minima.***
 - ***Construct RMQ structures on each block.***
 - Combine the local RMQ answers to solve RMQ globally.
- This technique of splitting a problem into a bunch of smaller pieces unified by a larger piece is common in data structure design.

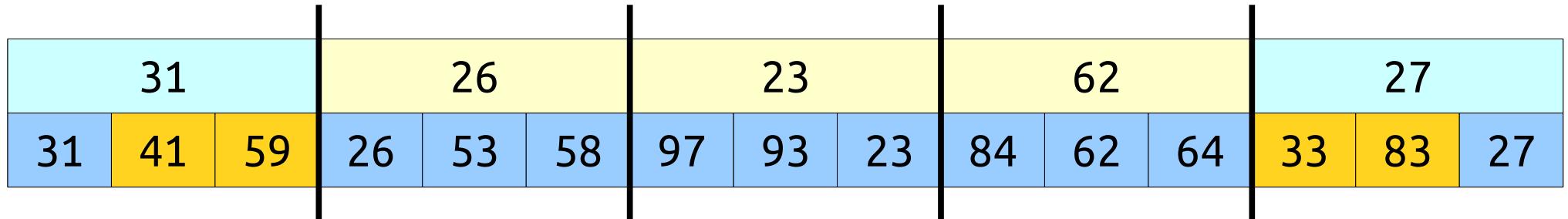
Combinations and Permutations

- The decomposition we just saw isn't a single data structure; it's a *framework* for data structures.
- We get to choose
 - the block size,
 - which RMQ structure to use on top, and
 - which RMQ structure to use for the blocks.
- Summary and block RMQ structures don't have to be the same type of RMQ data structure – we can combine different structures together to get different results.

The Framework

- Suppose we use a $\langle p_1(n), q_1(n) \rangle$ -time RMQ solution for the block minima and a $\langle p_2(n), q_2(n) \rangle$ -time RMQ solution within each block.
- Let the block size be b .
- In the hybrid structure, the preprocessing time is

$$\mathbf{O}(n + p_1(n / b) + (n / b) p_2(b))$$



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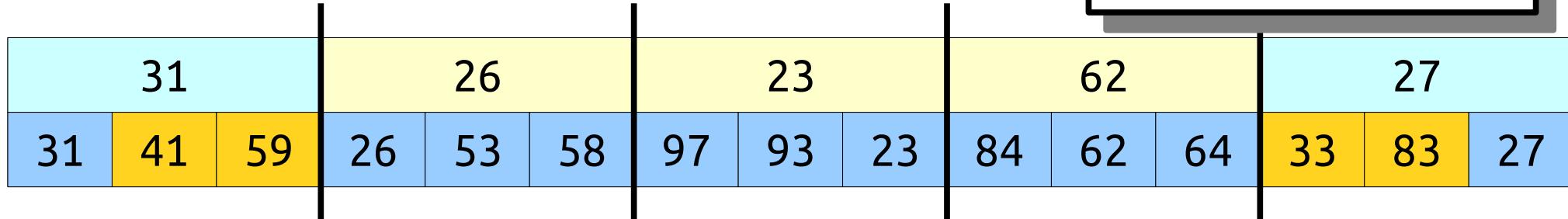
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$O(n)$ time to get the minimum value of each block.

$p_1(n / b)$ time to build an RMQ structure on the block minima.

$p_2(b)$ time to build an RMQ structure for a single block, times $O(n / b)$ total blocks.



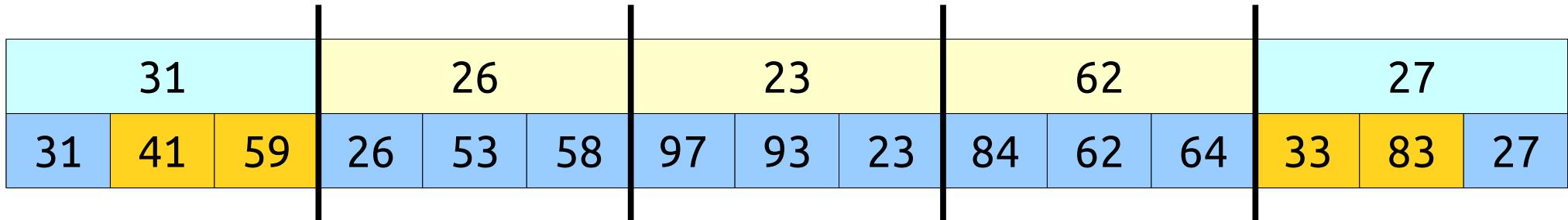
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A Sanity Check

- The $\langle O(n), O(n^{1/2}) \rangle$ block-based structure from earlier uses this framework with the $\langle O(1), O(n) \rangle$ no-preprocessing RMQ structure and $b = n^{1/2}$.

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- Looks good so far!

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An Observation

- A sparse table takes time $O(n \log n)$ to construct on an array of n elements.
- With block size b , there are $O(n / b)$ total blocks.
- Time to construct a sparse table over the block minima: $O((n / b) \log (n / b))$.
- Since $\log (n / b) = O(\log n)$, the time to build the sparse table is at most $O((n / b) \log n)$.
- **Cute trick:** If $b = \Theta(\log n)$, the time to construct a sparse table over the minima is
$$O((n / b) \log n) = O((n / \log n) \log n) = \mathbf{O(n)}$$

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- An $\langle \mathbf{O(n)}, \mathbf{O(\log n)} \rangle$ solution!

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For Reference

$$p_1(n) = n \log n$$

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Where We Stand

- We've seen a bunch of RMQ structures today:
 - No preprocessing: $\langle O(1), O(n) \rangle$
 - Full preprocessing: $\langle O(n^2), O(1) \rangle$
 - Block partition: $\langle O(n), O(n^{1/2}) \rangle$
 - Sparse table: $\langle O(n \log n), O(1) \rangle$
 - Hybrid 1: $\langle O(n), O(\log n) \rangle$
 - Hybrid 2: $\langle O(n \log \log n), O(1) \rangle$
 - Hybrid 3: $\langle O(n), O(\log \log n) \rangle$

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Is there an $\langle O(n), O(1) \rangle$ solution to RMQ?

Yes!

Next Time

- **Cartesian Trees**
 - A data structure closely related to RMQ.
- **The Method of Four Russians**
 - A technique for shaving off log factors.
- **The Fischer-Heun Structure**
 - A deceptively simple, asymptotically optimal RMQ structure.