



# NLU & IR: OVERVIEW

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# What is information retrieval?

The image shows a Google search interface. The search bar contains the text "what is information retrieval?". Below the search bar, there are navigation options: "All", "Videos", "Images", "News", "Shopping", "More", "Settings", and "Tools". The search results show "About 75,800,000 results (0.78 seconds)". The first result is from Wikipedia, titled "Information retrieval - Wikipedia". The snippet describes IR as the process of obtaining information system resources that are relevant to an information need from a collection of those resources. Below the search results, there is a "People also ask" section with several questions partially visible: "What is information retrieval?", "Why is information retrieval important?", "What is the difference between information retrieval and search?", and "How is information retrieval done?". To the right of the search results, there is a diagram illustrating the information retrieval process. The diagram shows a flow from "User" to "Retrieve information" (which involves "Documents" and "Indexing"), then to "Query", "IR system", and "Relevant subject about information". A feedback loop goes from "Relevant subject about information" back to "User". Below the diagram, there is a "More images" button. At the bottom of the search results, there is a link to "What is Information Retrieval? - GeeksforGeeks".

Google

what is information retrieval?

All Videos Images News Shopping More Settings Tools

About 75,800,000 results (0.78 seconds)

[https://en.wikipedia.org/wiki/Information\\_retrieval](https://en.wikipedia.org/wiki/Information_retrieval)

**Information retrieval - Wikipedia**

Information retrieval (IR) is the process of obtaining information system resources that are relevant to an information need from a collection of those resources.

Evaluation measures · Category:Information retrieval · Music information retrieval

People also ask :

What is information retrieval?

Why is information retrieval important?

What is the difference between information retrieval and search?

How is information retrieval done?

<https://www.geeksforgeeks.org/what-is-information-retrieval/>

**What is Information Retrieval? - GeeksforGeeks**

Diagram illustrating the information retrieval process:

```
graph TD
    User --> Retrieve[Retrieve information]
    Retrieve --> Documents
    Retrieve --> Indexing
    Query --> IR[IR system]
    IR --> Relevant[Relevant subject about information]
    Relevant --> User
    Relevant --> Feedback[Feedback]
```

Diagram illustrating the information retrieval process:

```
graph TD
    User[User] --> Query[Query]
    Query --> Search[Search]
    Search --> Documents[Documents]
    Documents --> Indexing[Indexing]
    Indexing --> Query
    Query --> QueryProcessing[Query Processing]
```

Diagram illustrating the information retrieval process:

```
graph TD
    User[User] --> Retrieve[Retrieve information]
    Retrieve --> Documents
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    Query --> IR[IR system]
    IR --> Relevant[Relevant subject about information]
    Relevant --> User
    Relevant --> Feedback[Feedback]
```

This is the field concerned with SEARCH.

We will introduce IR and begin to explore connections to NLU.

# What is information retrieval?

**Finding material** that fulfills an **information need** from within a **large collection** of **unstructured documents**.

Simplified definition from IIR Book  
(Manning, Raghavan, and Schütze)

# What is information retrieval?

**Finding material that fulfills an information need from within a large collection of unstructured documents.**

SEARCH is essential to IR!

But search over *highly-structured* data (e.g., database records) is NOT typically considered an IR problem.

Simplified definition from IIR Book  
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# Relevance – and the “Information Need”

- The goal of a search system is to satisfy an **information need**.
  - Material we retrieve is **relevant** only if it advances this goal.
- In many (most) tasks, the user will express a **query**.
  - But queries can be ambiguous, incomplete, or inaccurate.
  - We must rely on our knowledge of the task and the user.

# Typical information needs vary by task

- Beyond Web pages and files, popular types of collections include digital libraries, media items, products, online conversations, etc.

Expression of Information Need	Potential Query	Potential Collection
Find related literature	The full text of the BERT paper	ACL anthology; arXiv CL

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Recommend me a TV show to watch	[no explicit query!]	Netflix shows

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Recommend me a TV show to watch	[no explicit query!]	Netflix shows
Find every relevant patent	Boolean query with technical terms	U.S. Patents



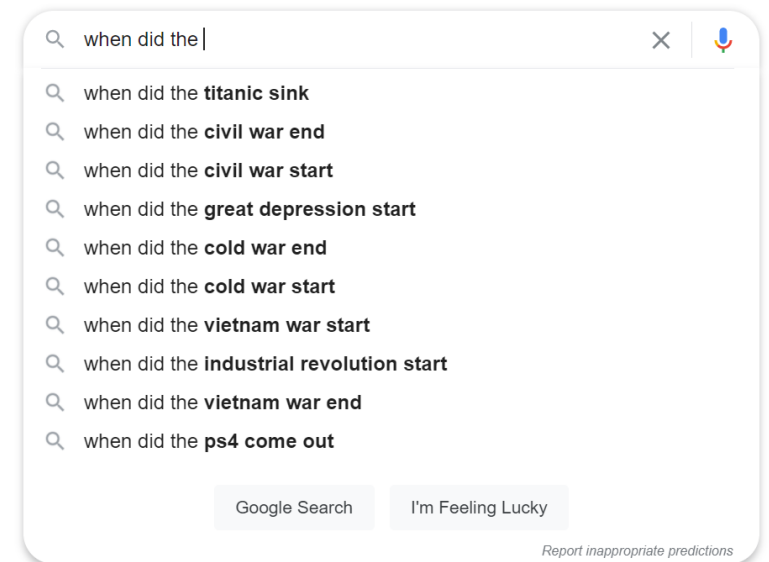
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Find related literature	The full text of the BERT paper	ACL anthology; arXiv CL
Recommend me a TV show to watch	[no explicit query!]	Netflix shows
Find every relevant patent	Boolean query with technical terms	U.S. Patents
Buy a new laptop	Short conversation: system asks questions to ascertain your criteria	E-commerce platforms

# Typical information needs vary by task

- Each search task poses unique challenges!
  - Many of them lack key features that make Web search work.
- Unlike, say, Slack search, Web search can often rely on lots of:
  - Popular “head” queries
  - Redundant documents on common topics
  - Explicit (hyper)links between documents



# Where does NLU fit in IR?

- Queries and documents are often expressed in natural language.
- Due to **vocabulary mismatch**, lexical matching doesn't suffice!



“IR makes NLP **[more!]** useful.  
NLP makes IR interesting.”



what **compounds** **protect** the  
**digestive system** against **viruses**

In the **stomach**, gastric acid and proteases serve as powerful **chemical defenses** against ingested **pathogens**.

# Where does IR fit into NLU?

- Advanced models often have information needs too!
- Retrieval in NLU can contribute to:
  - **Creating new challenging NLU tasks**
  - Improving model efficiency and quality for existing NLU tasks
  - Evaluating NLU systems whenever the output domain is large

# Retrieval supports “open-domain” NLU tasks

- We’ve briefly introduced SQuAD before...

**Context:** Chemical barriers also protect against infection. The skin and respiratory tract secrete antimicrobial peptides such as the  $\beta$ -defensins. [...] In the stomach, gastric acid and proteases serve as powerful chemical defenses against ingested pathogens.

**Question:** What compounds in the stomach protect against ingested pathogens?

**Answer:** gastric acid and proteases

## Standard Question Answering (e.g., SQuAD)

# From standard QA to open-domain QA

- Drop the passage hint!

**Context:** All of [English] Wikipedia, with no special hints about the answer

**Question:** What compounds in the stomach protect against ingested pathogens?

**Answer:** gastric acid and proteases

Open-Domain Question Answering  
(e.g., this “Open-SQuAD”)

# Open-Domain QA: Closed-Book Approaches

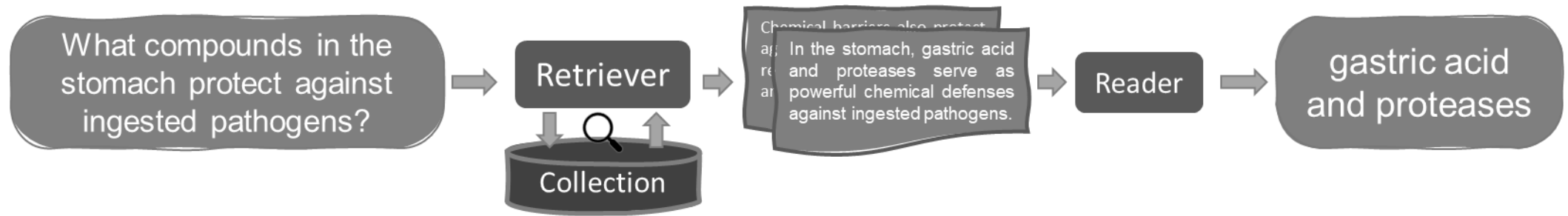
- Feed the question to a monolithic black-box generative model!
  - Knowledge is stored *implicitly* in the model parameters
  - Often as a byproduct of language-model pretraining
  - Need more “knowledge”? Train a larger model on more data!



# Open-Domain QA: Open-Book Approaches

- Feed the question to a modular **retrieve-and-read** architecture
  - Knowledge is stored *explicitly* in the collection
  - We decouple **reasoning** and **knowledge**

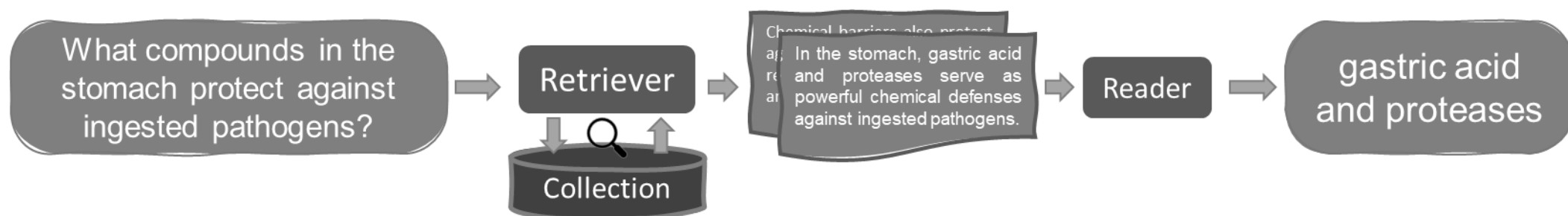
The reader has an information need. The retriever's task is to satisfy it efficiently and accurately.





# Open-Domain QA: Open-Book Approaches

- ✓ Models can be much smaller
- ✓ Knowledge can be updated (or customized) without retraining
- ✓ Model predictions might become more explainable
- ✗ We now need to worry about the interactions between a **retriever** and **reader**



# A few retrieval-based NLP tasks

Task Name	Input	Output
Open-Domain QA	Question	Answer

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Query-Focused Summarization	Topic	Summary
Informative Dialogue	Conversation Turns	Response

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Query-Focused Summarization	Topic	Summary
Informative Dialogue	Conversation Turns	Response
Entity Linking	Utterance	Mapping from spans to entities in a knowledge base

# Retrieval-based NLP tasks

- KILT is a recent benchmark that brings together several datasets for **knowledge-intensive** language tasks.



- These are tasks that explicitly have a knowledge component.

**Open Question:** Can retrieval dramatically improve performance for standard NLU tasks too?

Accurate knowledge matters for most (all?) tasks!  
**“Bring your own book!”**

# Next...

- The remainder is structured as small crash courses into:
  - Classical Information Retrieval
  - Neural Information Retrieval
  - Open-Domain Question Answering

# References

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