

# Class 10 Exercises

CS250/EE387, Winter 2022

## Warm-Up

What can you say (so far in this class) about the list-decodability of Reed-Solomon codes? That is, what is the best trade-off between  $R$  and  $\rho$  so that an RS code of rate  $R$  is  $(\rho, L)$ -list-decodable for, say, polynomial-sized  $L$ ?

## One proof of the Johnson bound

Today we'll prove the (binary) Johnson bound. (You'll see a different proof on your homework). Recall from the lecture videos/notes that

$$J_2(\delta) = \frac{1}{2}(1 - \sqrt{1 - 2\delta}),$$

and that the Johnson bound says:

**Theorem 1** (Johnson bound). *Suppose that  $\mathcal{C} \subseteq \{0, 1\}^n$  is a code of relative distance at least  $\delta$ . Suppose that  $\rho \leq J_2(\delta)$ . Then for any  $z \in \{0, 1\}^n$ ,*

$$|\mathcal{C} \cap B_2^n(z, \rho)| \leq \text{something polynomial in } n$$

Towards proving Theorem 1, let  $\mathcal{C} \subseteq \{0, 1\}^n$  be a code of relative distance at least  $\delta$ , and choose  $\rho < J_2(\delta)$ . Let  $z \in \{0, 1\}^n$  be any vector. Suppose that  $\mathcal{C} \cap B_2^n(z, \rho) = \{c_1, \dots, c_M\}$ . Our goal is to show that  $M$  is not too big.

1. Define a map  $\phi : \{0, 1\} \rightarrow \mathbb{R}^2$  by:

$$\phi(0) = (0, 1) \quad \phi(1) = (1, 0).$$

Extend this to a map  $\phi : \{0, 1\}^n \rightarrow \mathbb{R}^{2n}$  in the natural way. That is,

$$\phi((x_1, \dots, x_n)) = \phi(x_1) \circ \phi(x_2) \circ \dots \circ \phi(x_n),$$

where  $\circ$  denotes concatenation. Define

$$v := \alpha\phi(z) + \frac{1 - \alpha}{2}\mathbf{1},$$

where  $\alpha \in [0, 1]$  is some parameter that we will define later, and where  $\mathbf{1}$  is the all-ones vector of length  $2n$ .

What can you say about each of the following quantities? (That is, either simplify them or bound them). Your answers should be in terms of  $\delta, \rho, \alpha$ .

- (a)  $\langle \phi(c_i), \phi(c_j) \rangle$  for  $i \neq j$ . (Show that this is at most something, using the fact that the amount of agreement between two codewords is at most  $(1 - \delta)n$ ).

- (b)  $\langle v, \phi(c_i) \rangle$  for any  $i = 1, \dots, M$ . (Show that this is at least something, using the fact that the agreement between any of the  $c_i$  and  $z$  is at least  $(1 - \rho)n$ ).
- (c)  $\langle v, v \rangle$ . (Figure out exactly what this is equal to).
2. Choose  $\alpha = \sqrt{1 - 2\delta}$ . Show that

$$\langle v - \phi(c_i), v - \phi(c_j) \rangle \leq 0$$

for any  $i \neq j$ ? (Hint, use (i) the previous part, (ii) the assumption that  $\rho < J_2(\delta) = \frac{1-\alpha}{2}$  using our choice of  $\alpha$ , and (iii) the fact that  $(1 - \alpha^2)/2 = \delta$  using again our choice of  $\alpha$ ).

3. It turns out that you can't have too many vectors in  $\mathbb{R}^D$  that are all at obtuse angles from each other. More precisely, we have the following fact:

**Fact 2.** *Let  $x_1, x_2, \dots, x_M \in \mathbb{R}^D$  such that  $\langle x_i, x_j \rangle \leq 0$  for all  $i \neq j$ . Suppose further that there exists a non-zero vector  $u \in \mathbb{R}^D$  so that  $\langle u, v_i \rangle \geq 0$  for all  $i = 1, \dots, M$ . Then  $M \leq 2D - 1$ .*

Use the fact to prove Theorem 1.

4. **(Bonus).** Use this technique to prove the  $q$ -ary Johnson bound.