CS256/Winter 2009 Lecture #14

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Satisfiability over a finite-state program

*P*-validity problem (of  $\varphi$ )

Given a finite-state program Pand formula  $\varphi$ , is  $\varphi$  P-valid? i.e. do all P-computations satisfy  $\varphi$ ?

*P*-satisfiability problem (of  $\varphi$ )

Given a finite-state program P and formula  $\varphi$ 

is  $\varphi$  *P*-satisfiable?

i.e., does there exist a *P*-computation which satisfies  $\varphi$ ?

To determine whether  $\varphi$  is *P*-valid, <u>it suffices</u> to apply an algorithm for deciding if there is a *P*-computation that satisfies  $\neg \varphi$ .

### <u>The Idea</u>

To check P-satisfiability of  $\varphi$ , we combine the <u>tableau</u>  $T_{\varphi}$  and the <u>transition graph</u>  $\overline{G_P}$  into one product graph, called the <u>behavior graph</u>  $\mathcal{B}_{(P,\varphi)}$ , and search for paths

 $(s_0, A_0), (s_1, A_1), (s_2, A_2), \ldots$ 

that satisfy the two requirements:

•  $\sigma \models \varphi$ :

there exists a fulfilling path  $\pi$ :  $A_0, A_1, \dots$ in the tableau  $T_{\varphi}$  such that  $\varphi \in A_0$ .

•  $\sigma$  is a *P*-computation:

there exists a <u>fair path</u>  $\sigma$ :  $s_0, s_1, \ldots$ in the transition graph  $G_P$ . State transition graph  $G_P$ : Construction

- Place as nodes in  $G_P$  all initial states  $s \ (s \models \Theta)$
- Repeat

for some  $s \in G_P, \ \tau \in \mathcal{T}$ , add all its  $\tau$ -successors s' to  $G_P$ if not already there, and add edges between s and s'.

Until no new states or edges can be added.

If this procedure terminates, the system is finite-state.

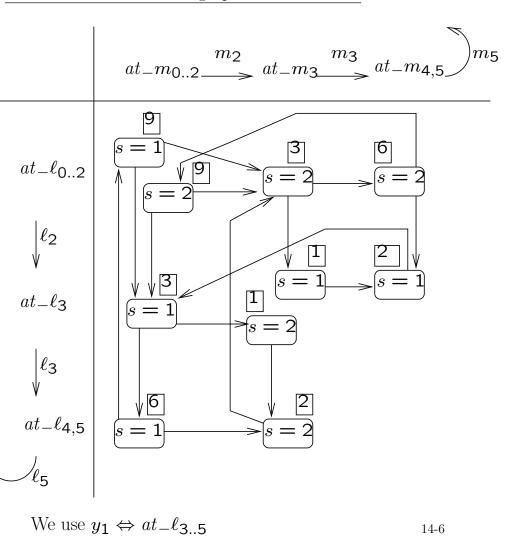
(Peterson's Algorithm for mutual exclusion) local  $y_1, y_2$ : boolean where  $y_1 = F, y_2 = F$ : integer where s = 1s $\ell_0$ : loop forever do  $P_1:: \qquad \begin{bmatrix} \ell_1 : \text{ noncritical} \\ \ell_2 : (y_1, s) := (T, 1) \\ \ell_3 : \text{ await } (\neg y_2) \lor (s \neq 1) \\ \ell_4 : \text{ critical} \\ \ell_5 : y_1 := F \end{bmatrix}$  $m_0$ : loop forever do  $m_1$ : noncritical  $m_2$ :  $(y_2, s) := (T, 2)$  $P_2$ ::  $m_3$ : await  $(\neg y_1) \lor (s \neq 2)$  $m_4$ : critical

 $|m_5: y_2:=F$ 

Example: Program mux-pet1 (Fig. 3.4)

Abstract state-transition graph for MUX-PET1

 $y_2 \Leftrightarrow at_m_3$  5



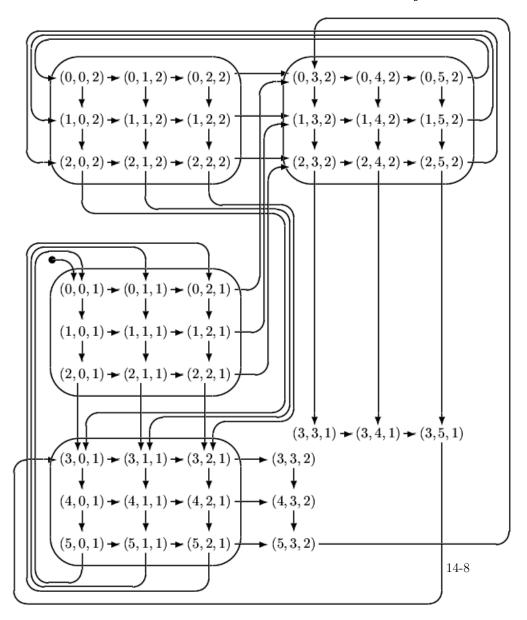
Some states have been lumped together: a super-state labeled by i represents i states

MUX-PET1 has 42 reachable states.

Based on this graph it is straightforward to check the properties

- $\psi_1$ :  $\Box \neg (at_-\ell_4 \land at_-m_4)$
- $\psi_2$ :  $\Box(at_-\ell_3 \land \neg at_-m_3 \to s = 1)$
- $\psi_3$ :  $\Box(at_m_3 \land \neg at_-\ell_3 \to s=2)$

MUX-PET1 Full state-transition graph  $(l_i, m_j, s)$ 



### <u>Definitions</u>

- For atom A, state(A) is the conjunction of all state formulas in A
   (by R<sub>sat</sub>, state(A) must be satisfiable)
- For  $A \in T_{\varphi}$ ,  $\frac{\delta(A)}{\text{in } T_{\varphi}}$  denotes the set of successors of A
- atom A is <u>consistent</u> with state s if  $s \models state(A)$ ,
  - i.e. s satisfies all state formulas in A.
- θ: A<sub>0</sub>, A<sub>1</sub>,... path in T<sub>φ</sub>
  σ: s<sub>0</sub>, s<sub>1</sub>,... computation of P
  θ is a <u>trail</u> of T<sub>φ</sub> over σ if
  A<sub>j</sub> is consistent with s<sub>j</sub>, for all j ≥ 0

Behavior GraphFor finite-state program P and formula  $\varphi$ ,we construct the  $(P, \varphi)$ -behavior graph

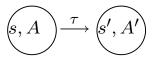
$$\mathcal{B}_{(P,\varphi)} \approx G_P \times T_{\varphi}^{-} \text{(pruned)}$$

such that

• <u>nodes</u> are labeled by (s, A)where s is a state from  $G_P$  and

A is an atom from  $T_{\varphi}$  consistent with s.

•  $\frac{\text{edges}}{\text{There is an edge}}$ 



if and only if  $s' \in \tau(s)$  and  $A' \in \delta(A)$ 

$$(s) \xrightarrow{\tau} (s') \qquad (A) \xrightarrow{} (A')$$
  
in  $G_P$  in  $T_{\varphi}$ 

• initial  $\varphi$ -node (s, A)

if s is an initial state  $(s \models \Theta)$ and A is an initial  $\varphi$ -atom  $(\varphi \in A)$ It is marked (s, A)

 $\begin{array}{l} \textbf{Algorithm behavior-graph} \\ (\text{constructing } \mathcal{B}_{(P,\varphi)}) \end{array}$ 

- Place in  $\mathcal{B}$  all initial  $\varphi$ -nodes (s, A)(s initial state of P, A initial  $\varphi$ -atom in  $T_{\varphi}^{-}$ A consistent with s)
- Repeat until no new nodes or new edges can be added:

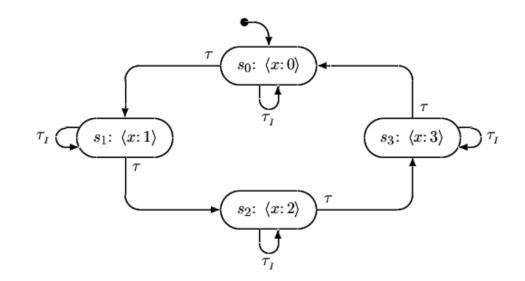
Let (s, A) be a node in  $\mathcal{B}$   $\tau \in \mathcal{T}$  a transition (s', A') a pair s.t. s' is a  $\tau$ -successor of s  $A' \in \delta(A)$  in pruned  $T_{\varphi}^{-}$ A' consistent with s'

- Add (s', A') to  $\mathcal{B}$ , if not already there
- Draw a  $\tau$ -edge from (s, A) to (s', A'), if not already there

 $\begin{array}{l} \underline{\text{Example:}} \text{ Given FTS LOOP} \\ & \varTheta: \ x = 0 \\ & \mathcal{T} = \{\tau, \tau_I\} \\ & \text{with } \tau_I \ (\text{idling}) \\ & \tau \ \text{where } \rho_\tau \text{: } x' = (x+1) \textit{mod4} \\ & \mathcal{J} \text{: } \{\tau\} \end{array}$ 

Check *P*-satisfiability of 
$$\psi_3$$
:  $\bigcirc \Box(x \neq 3)$ 

state-transition graph  $G_{\text{LOOP}}$  (Fig 5.9) pruned  $T_{\psi_3}^-$  (Fig 5.8) Behavior graph  $\mathcal{B}_{(\text{LOOP},\psi_3)}$  (Fig 5.10) Fig. 5.9. State-transition graph  $G_{\text{LOOP}}$ 



Pruned tableau  $T_{\psi_3}^-$  (Fig. 5.8)

- EliminatingMSCS's not reachable from an initial  $\psi_{\mathsf{3}}\text{-}\mathrm{atom}$  and
  - non-fulfilling terminal MSCS's

Promising formulas:

$$\bigcirc \square(x \neq 3) \text{ promising } \square(x \neq 3)$$
$$\neg \square(x \neq 3) \text{ promising } (x = 3)$$

$$\psi_{3}, \neg \Box (x \neq 3), \bigcirc \psi_{3}, \neg \bigcirc \Box (x \neq 3)$$

$$A_{4}^{-+} : x = 3$$

$$A_{5}^{--} : x \neq 3$$

$$A_{6}^{-+} : x = 3, \bigcirc \Box (x \neq 3), \bigcirc \psi_{3}, \neg \Box (x \neq 3), \psi_{3}$$

$$\downarrow$$

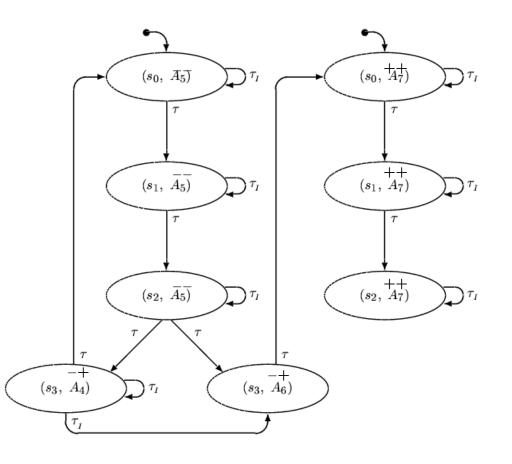
$$A_{6}^{++} : x \neq 3, \bigcirc \Box (x \neq 3), \bigcirc \psi_{3}, \Box (x \neq 3), \psi_{3}$$

Two non-transient MSCS's:

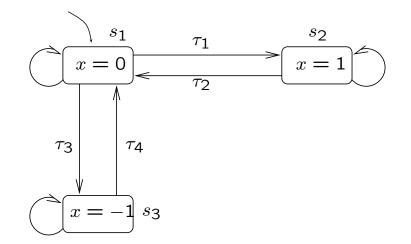
 $\{A_4^{-+}, A_5^{--}\} \\ \{A_7^{++}\}$ not fulfilling fulfilling

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Behavior graph  $\mathcal{B}_{(\text{LOOP},\psi_3)}$  (Fig 5.10)



Transition graph  $G_{ONE}$ 



We want to know whether

$$\varphi$$
:  $\Box$   $\diamondsuit(x = 1)$ 

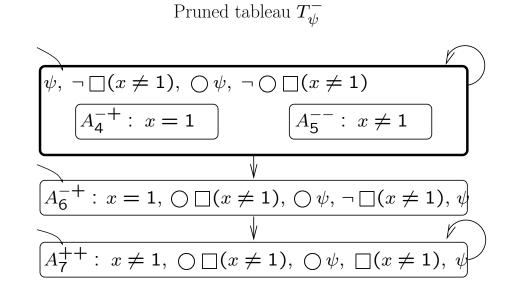
is valid over ONE.

Check P-satisfiability of

$$\neg \varphi : \underbrace{\bigcirc \Box(x \neq 1)}_{\psi}$$

$$\Phi_{\psi}^{+}: \{\psi, \bigcirc \psi, \bigsqcup(x \neq 1), \bigcirc \bigsqcup(x \neq 1), x = 1\}$$

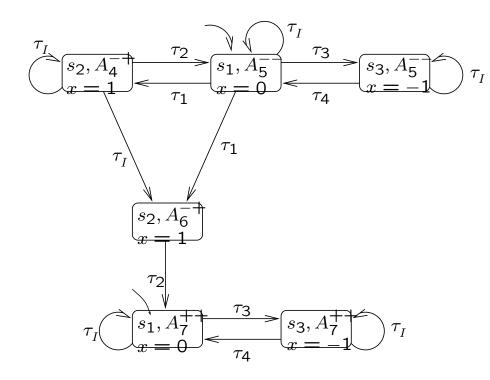
basic formulas:  $\{\bigcirc \psi, \bigcirc \Box (x \neq 1), x = 1\}$ 



Promising formulas:

$$\psi_1: \psi = \diamondsuit \square (x \neq 1) \text{ promising } r_1: \square (x \neq 1)$$
  
$$\psi_2: \neg \square (x \neq 1) \text{ promising } r_2: x = 1$$

Behavior graph  $\mathcal{B}_{(ONE, \bigcirc \square(x \neq 1))}$ 



Two non-transient MSCS's:

{ $(s_2, A_4^{-+}), (s_1, A_5^{--}), (s_3, A_5^{--})$ }: not fulfilling, { $(s_1, A_7^{++}), (s_3, A_7^{++})$ }: fulfilling <sup>14-19</sup> Claim 5.9 (paths of  $\mathcal{B}_{(P,\varphi)}$ )

The infinite sequence

$$\pi: \underbrace{(s_0, A_0)}_{\varphi\text{-initial}}, (s_1, A_1), \ldots$$

- is a path in  $\mathcal{B}_{(P,\varphi)}$ iff
- $\sigma_{\pi}$ :  $s_0, s_1, \dots$  is a <u>run</u> of *P* (i.e. computation of *P* less fairness)
- $\vartheta_{\pi}$ :  $A_0, A_1, \dots$  is a <u>trail</u> of  $T_{\varphi}$  over  $\sigma_{\pi}$ (i.e.  $A_j$  consistent with  $s_j$ , for all  $j \ge 0$ )

Example: In 
$$\mathcal{B}_{(LOOP,\psi_3)}$$
 (Fig. 5.10)  
 $\pi$ :  $((s_0, A_5), (s_1, A_5), (s_2, A_5), (s_3, A_4))^{\omega}$   
induces

$$\sigma_{\pi}: (s_0, s_1, s_2, s_3)^{\omega} \text{ run of LOOP} \\ \vartheta_{\pi}: (A_5, A_5, A_5, A_4)^{\omega} \text{ trail of } T_{\psi_3} \text{ over } \sigma_{\pi}$$

Proposition 5.10 (*P*-satisfiability by path)

 $\begin{array}{l} P \text{ has a computation satisfying } \varphi \\ & \text{iff} \\ \text{there is an infinite } \varphi \text{-initialized path } \pi \\ \text{in } \mathcal{B}_{(P,\varphi)} \text{ s.t.} \\ & \sigma_{\pi} \text{ is a } \underline{P \text{-computation }} (\text{fair run of } P) \end{array}$ 

 $\vartheta$  is a fulfilling trail over  $\sigma_{\pi}$ 

Searching for "good" paths in  $\mathcal{B}_{(P,\varphi)}$ 

— not practical.

#### **D**efinitions

For behavior graph  $\mathcal{B}_{(P,\varphi)}$ 

- node (s', A') is a <u>τ-successor</u> of (s, A) if B<sub>(P,φ)</sub> contains τ-edge connecting (s, A) to (s', A')
- transition τ is <u>enabled</u> on node (s, A) if τ is enabled on state s

## Definitions (Con't)

For SCS  $S \subseteq \mathcal{B}_{(P,\varphi)}$ :

• Transition  $\tau$  is <u>taken in S</u> if there exists two nodes  $(s, A), (s', A') \in S$  s.t. (s', A') is a  $\tau$ -successor of (s, A)

• 
$$S$$
 is  $\left\{ \begin{array}{c} \underline{\text{just}} \\ \underline{\text{compassionate}} \end{array} \right\}$  if every  $\left\{ \begin{array}{c} \underline{\text{just}} \\ \underline{\text{compassionate}} \end{array} \right\}$   
transition  $\tau \left\{ \begin{array}{c} \in \mathcal{J} \\ \in \mathcal{C} \end{array} \right\}$  is either taken in  $S$  or  
is disabled on  $\left\{ \begin{array}{c} \underline{\text{some node}} \\ \underline{\text{all nodes}} \end{array} \right\}$  in  $S$ 

- S is <u>fair</u> if it is both just and compassionate
- S is <u>fulfilling</u> if every promising formula ψ ∈ Φ<sub>ψ</sub> is fulfilled by some atom A, s.t.
   (s, A) ∈ S for some state s
- S is adequate if it is fair and fulfilling

#### Adequate SCS's

### Proposition 5.11 (adequate SCS and satisfiability)

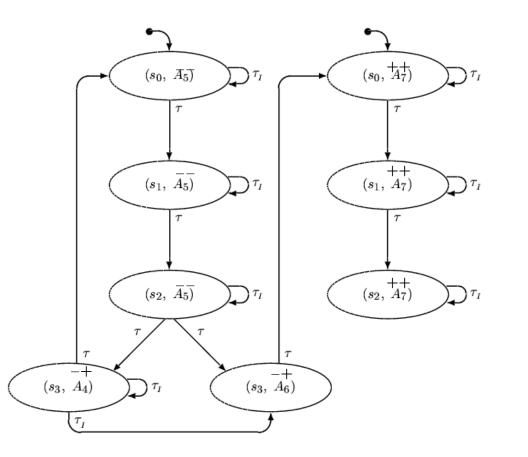
Given a finite-state program P and temporal formula  $\varphi.$   $\varphi$  is P-satisfiable iff

 $\mathcal{B}_{(P,\varphi)}$  has an adequate SCS

Example: Consider LOOP and

 $\psi_3$ :  $\bigcirc \Box(x \neq 3)$ 

Is  $\psi_3$  LOOP-satisfiable? Check the SCS's in  $\mathcal{B}_{(LOOP,\psi_3)}$  (Fig. 5.10) Behavior graph  $\mathcal{B}_{(\text{LOOP},\psi_3)}$  (Fig 5.10)



Example (Con't)

- {  $(s_0, A_5^{--}), (s_1, A_5^{--}), (s_2, A_5^{--}), (s_3, A_4^{-+})$  } is fair but not fulfilling
- {  $(s_0, A_7^{++})$  }, { $(s_1, A_7^{++})$  }, { $(s_2, A_7^{++})$  }

each is fulfilling but not fair Not just with respect to transition  $\tau$ 

•  $\{(s_3, A_6^{-+})\}$ 

is neither fair (unjust toward  $\tau$ ) nor fulfilling (being transient)

No adequate subgraphs in  $\mathcal{B}_{(\text{LOOP},\psi_3)}$ 

Therefore, by proposition 5.11, LOOP has no computation that satisfies  $\psi_3$ :  $\bigcirc \Box(x \neq 3)$ 

Example: Consider LOOP and

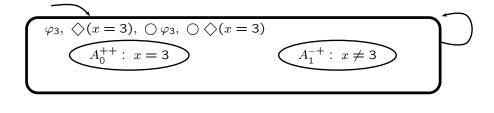
$$\varphi_3$$
:  $\Box \diamondsuit (x = 3)$ 

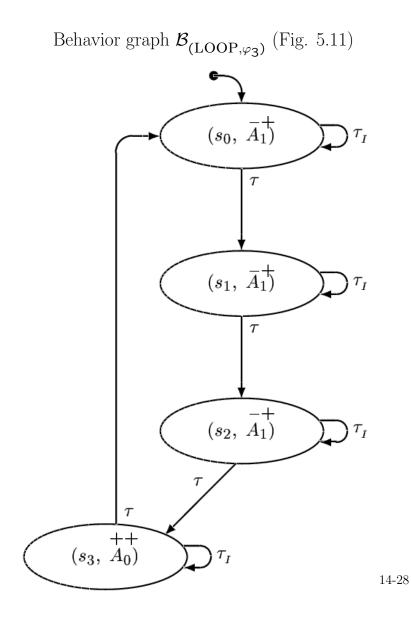
Is  $\varphi_3$  LOOP-satisfiable?

Promising formulas :

(x = 3) promising (x = 3) $\neg \Box \diamondsuit (x = 3)$  promising  $\neg \diamondsuit (x = 3)$ 

Pruned tableau  $T_{\varphi_3}$  (Fig. 5.6)





$$S = \{ (s_0, A_1^{-+}), (s_1, A_1^{-+}), (s_2, A_1^{-+}), (s_3, A_0^{++}) \}$$

is an adequate subgraph:

fair (au taken in S) fulfilling

Therefore, by proposition 5.11, program LOOP has a computation satisfying  $\varphi_3$ :  $\Box \diamondsuit (x = 3)$ 

The periodic computation  $\sigma$ :  $(x:0, x:1, x:2, x:3)^{\omega}$ satisfies  $\varphi_3$   $\frac{\text{From Atom Tableau } T_{\varphi}}{\text{to } \omega\text{-Automaton } \mathcal{A}_{\varphi}}$ 

For temporal formula  $\varphi$ , construct the  $\omega$ -automaton

$$\mathcal{A}_{\varphi} : \langle \underbrace{N, N_{0}, E}_{\text{Same as}}, \mu, \mathcal{F} \rangle$$

where

• Node labeling  $\mu$ : For node  $n \in N$  labeled by atom A in  $T_{\varphi}$ ,

$$\mu(n) = state(A).$$

• Acceptance condition  $\mathcal{F}$ : Muller:  $\mathcal{F} = \{SCS \ S \mid S \text{ is fulfilling } \}$ 

Street:

 $\mathcal{F} = \{ (P_{\psi}, R_{\psi}) \mid \psi \in \Phi_{\varphi} \text{ promises } r \},$ where

$$P_{\psi} = \{ A \mid \neg \psi \in A \}$$
  
$$R_{\psi} = \{ A \mid r \in A \}$$

 $\underline{\texttt{Example}}: \ \varphi : \ \diamondsuit p$ 

Tableau  $T_{\varphi}$ :

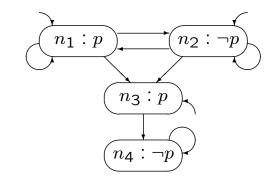
$$A_{1}^{+}: \{p, \bigcirc \diamondsuit p, \diamondsuit p\}$$

$$A_{2}^{-}: \{\neg p, \bigcirc \diamondsuit p, \diamondsuit p\}$$

$$A_{3}^{+}: \{p, \neg \bigcirc \diamondsuit p, \diamondsuit p\}$$

$$A_{4}^{+}: \{\neg p, \neg \bigcirc \diamondsuit p, \neg \diamondsuit p\}$$

**Example:** 
$$\mathcal{A}_{\bigotimes p}$$
 from  $T_{\bigotimes p}$ 



 $\mathcal{F}_M = \{\{n_1\}, \{n_1, n_2\}, \{n_4\}\}$ 

$$\mathcal{F}_S = \{ (P_{\diamondsuit p}, R_{\diamondsuit p}) \}$$

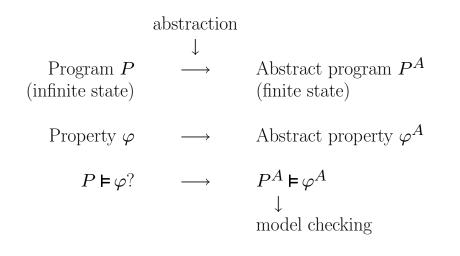
$$= \{(\{n_4\}, \{n_1, n_3\})\}$$

 $\approx \{(\{n_4\}, \{n_1\})\}$ since no path can visit  $n_3$  infinitely often

#### Abstraction

Abstraction = a method to verify infinite-state systems.

# <u>Idea</u>:



We want to ensure that if  $P^A \models \varphi^A$  then  $P \models \varphi$ .

# Abstraction (Cont'd)

How do we obtain such an abstraction function?

- 1) Abstract the domain to a finite-state one (data abstraction): For variables  $\vec{x}$  ranging over domain D, find an abstract domain  $D^A$  and an abstraction function  $\alpha : D \to D^A$ .
- 2) From the data abstraction it is possible to compute an abstraction for the program and for the property such that if  $P^A \models \varphi^A$  then  $P \models \varphi$ .

Example: Abstracting Bakery

Program MUX-BAK (infinite-state program)

$$P_{1} :: \begin{bmatrix} \text{loop forever do} \\ \ell_{0} : \text{noncritical} \\ \ell_{1} : y_{1} := y_{2} + 1 \\ \ell_{2} : \text{await } y_{2} = 0 \lor y_{1} \le y_{2} \\ \ell_{3} : \text{critical} \\ \ell_{4} : y_{1} := 0 \end{bmatrix} \end{bmatrix}$$
$$\|$$
$$P_{2} :: \begin{bmatrix} \text{loop forever do} \\ m_{0} : \text{noncritical} \\ m_{1} : y_{2} := y_{1} + 1 \\ m_{2} : \text{await } y_{1} = 0 \lor y_{2} < y_{1} \\ m_{3} : \text{critical} \\ m_{4} : y_{2} := 0 \end{bmatrix} \end{bmatrix}$$

Abstract domain: the boolean algebra over  $B = \{b_1, b_2, b_3 : \text{boolean}\},\$ with  $b_1 : y_1 = 0$   $b_2 : y_2 = 0$  $b_3 : y_1 \leq y_2$  Example: Abstracting Bakery (Cont'd)

Program MUX-BAK-ABSTR (finite-state program)

$$P_{1} :: \begin{bmatrix} \text{loop forever do} \\ \ell_{0} : \text{noncritical} \\ \ell_{1} : (b_{1}, b_{3}) := (false, false) \\ \ell_{2} : \text{await } b_{2} \lor b_{3} \\ \ell_{3} : \text{critical} \\ \ell_{4} : (b_{1}, b_{3}) := (true, true) \end{bmatrix} \end{bmatrix}$$
$$\|$$
$$P_{2} :: \begin{bmatrix} \text{loop forever do} \\ m_{0} : \text{noncritical} \\ m_{1} : (b_{2}, b_{3}) := (false, true) \\ m_{2} : \text{await } b_{1} \lor \neg b_{3} \\ m_{3} : \text{critical} \\ m_{4} : (b_{2}, b_{3}) := (true, b_{1}) \end{bmatrix} \end{bmatrix}$$

This program can now be checked for mutual exclusion, bounded overtaking, response.

Show MUX-BAK-ABSTR  $\models \Box \neg (at_{-}\ell_{3} \land at_{-}m_{3})$ . Then it follows that MUX-BAK  $\models \Box \neg (at_{-}\ell_{3} \land at_{-}m_{3})$ .