# EE 276: Information Theory 

Polar Codes

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## Outline

- Polar code construction
- Achieving channel capacity
- Decoding
- Applications and Extensions


## Channel Capacity

- Channel capacity C is the maximal rate of reliable communication
- Shannon's Second Fundamental Theorem (from Lecture 7) :

$$
C=\max _{P_{X}} I(X ; Y)
$$

## Capacity of the binary erasure channel (BEC)



Capacity of the BEC with erasure probability $\epsilon$ is $C=1-\epsilon$

## Channel Coding

$$
\begin{aligned}
& J:\{1,2, \ldots, M\} \rightarrow \text { encoder } \xrightarrow{X^{n}} \xrightarrow{K} \text { channel } P_{Y \mid X} \\
& K=\log _{2} M
\end{aligned}
$$

rate: $R=\frac{\log M}{n}$ bits/channel use
probability of error $\operatorname{Perror}=\operatorname{Probability}[\hat{J} \neq J]$

## Channel Coding

$$
J:\{1,2, \ldots, M\} \rightarrow \text { encoder } \xrightarrow{X^{n}} \quad \text { channel } P_{Y \mid X} \xrightarrow{Y^{n}} \text { decoder } \rightarrow \hat{J}
$$

rate: $R=\frac{\log M}{n}$ bits/channel use
probability of error $P_{\text {error }}=\operatorname{Probability~}[\hat{J} \neq J]$

- If $R<C$, then there exists a communication scheme with rate $\geq R$ and probability of error: $P_{\text {error }} \rightarrow 0$


## Channel Coding

$$
J:\{1,2, \ldots, M\} \rightarrow \text { encoder } \xrightarrow{X^{n}} \underset{\longrightarrow}{Y^{n}} \text { decoder } \rightarrow \hat{J}
$$

rate: $R=\frac{\log M}{n}$ bits/channel use probability of error $\operatorname{Perror}=\operatorname{Probability}[\hat{J} \neq J]$

- If $R<C$, then there exists a communication scheme with rate $\geq R$ and probability of error: $P_{\text {error }} \rightarrow 0$
- If $R>C$, then rate $R$ is not achievable ( $P$ error is large) Shannon's Second Theorem: Maximum rate of reliable communication is $C=\max _{P_{X}} I(X ; Y)$


## Shannon's Coding Method

- random codebook (from Lecture ) $\begin{array}{lllll}0 & 1 & 1 & 0 & 1\end{array}$

| codeword 1 | 0 | 1 | 1 | 0 | 1 | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| codeword 2 | 1 | 1 | 0 | 1 | 0 | $\ldots$ |
| codeword 3 | 1 | 1 | 0 | 1 | 0 | $\ldots$ |
| codeword 4 | 0 | 0 | 0 | 0 | 1 | $\ldots$ |
| codeword 5 | 1 | 0 | 0 | 0 | 0 | $\ldots$ |
| codeword 6 | 0 | 1 | 0 | 0 | 1 | $\ldots$ |
| codeword 7 | 0 | 0 | 1 | 1 | 0 | $\ldots$ |
| codeword 8 | 1 | 0 | 0 | 0 | 1 | $\ldots$ |

- not explicitly constructed
- shows the existence of good codes
- not computationally efficient
"Almost all codes are "good" codes except for the the ones that we can think of..." Jack Wolfe


## Today: Polar Codes

- Invented by Erdal Arıkan in 2009
- First code with an explicit construction to provably achieve the channel capacity
- Efficient encoding/decoding operations
(channel coding vs source coding)


## Basic $2 \times 2$ transformation

$$
\begin{aligned}
& \text { Xor } \\
& \begin{array}{l}
u_{1} \oplus u_{2} \\
\hline u_{2}=0 \\
u_{1}=0
\end{array} u_{2}=1 \\
& \hline
\end{aligned}
$$

$U_{1}, U_{2} \in\{0,1\}$ two input bits $X_{1}, X_{2} \in\{0,1\}$ two output bits

## Basic $2 \times 2$ transformation


$U_{1}, U_{2} \in\{0,1\}$ two input bits $X_{1}, X_{2} \in\{0,1\}$ two output bits

$$
\begin{aligned}
& X_{1}=U_{1} \oplus U_{2}=U_{1} \text { XOR } U_{2} \\
& X_{2}=U_{2}
\end{aligned}
$$

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& X_{2}=U_{2}
\end{aligned}
$$

alternatively

$$
\left[\begin{array}{l}
X_{1} \\
X_{2}
\end{array}\right]=\left[\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right]\left[\begin{array}{l}
U_{1} \\
U_{2}
\end{array}\right] \quad \text { modulo } 2
$$

## Inverting the transform



Inverting the transform


$$
\begin{aligned}
2 \times 2 \text { transformation } \quad G_{2}:=\left[\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right] & \\
G_{2} G_{2} U & =\left[\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right]\left[\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right]\left[\begin{array}{l}
U_{1} \\
U_{2}
\end{array}\right] \\
& =\left[\begin{array}{ll}
1 & 1 \\
0 & 1
\end{array}\right]\left[\begin{array}{c}
U_{1} \oplus U_{2} \\
U_{2}
\end{array}\right]=\left[\begin{array}{c}
U_{1} \oplus \overparen{U_{2} \oplus U_{2}} \\
U_{2}
\end{array}\right]=\left[\begin{array}{c}
U_{1} \\
U_{2}
\end{array}\right]
\end{aligned}
$$

## Erasure channel

## $\mathrm{BEC}(\epsilon)$



## Naively combining erasure channels



- Repetition coding

$$
\begin{aligned}
P\left(u_{1} \text { is erased }\right) & =P\left(y_{1} \text { erased \& } y_{2} \text { eared }\right) \\
& =\epsilon \epsilon=\epsilon^{2}
\end{aligned}
$$

Repetition code with rate $1 / n$
0.1


$$
\begin{gathered}
(0.1)^{n} \\
\text { Rate }=\frac{1}{n}
\end{gathered}
$$

- Repetition coding

$$
\begin{aligned}
& P(U \text { is erased })= \\
& P\left(\text { all } Y_{i} \text { erred if }(n)\right) \\
& =\epsilon^{n}
\end{aligned}
$$




## Combining two erasure channels



Invertible transformation does not alter capacity or mutual information: $I(U ; Y)=I(X ; Y)$

Sequential decoding: Decode $U_{1}$ and $U_{2}$ one by one

$$
\begin{aligned}
& u_{1} \oplus u_{2} \oplus u_{2}=u_{1} \\
&2 \cdot 0.1-0.1)^{2}
\end{aligned}
$$

First bit-channel $W_{1}: U_{1} \rightarrow\left(Y_{1}, Y_{2}\right)$


$$
\begin{aligned}
& P\left(u_{1} \text { is erased }\right)=P\left(U_{\text {, excused or }}\right. \\
& y_{2} \text { erased) } \\
& =1-(1-t)^{2} \\
& =1-\left(1-2 t+t^{2}\right)=2 t-t^{2}
\end{aligned}
$$

suppose a 'genie' provides this bit

## Second bit-channel $W_{2}: U_{2} \rightarrow\left(Y_{1}, Y_{2}, U_{1}\right)$


suppose a 'genie' provides this bit


## Second bit-channel $W_{2}: U_{2} \rightarrow\left(Y_{1}, Y_{2}, U_{1}\right)$



GPT4 prompt: generate an artistic depiction of a genie aided channel decoder

Second bit-channel $W_{2}: U_{2} \rightarrow\left(Y_{1}, Y_{2}, U_{1}\right)$


$$
P\left(U_{2} \text { is erased }\right)=E^{2}
$$

| probability | $Y_{1}$ erased | $Y_{1}$ not erased |
| :---: | :---: | :---: |
| $Y_{2}$ erased | $\epsilon^{2}$ | $\epsilon(1-\epsilon)$ |
| $Y_{2}$ not erased | $\epsilon(1-\epsilon)$ | $(1-\epsilon)^{2}$ |

## Two different cases: $W_{1}$ and $W_{2}$

- $W_{1}$ : Decoding $U_{1}$ when $U_{2}$ is not available
$U_{1}$ is erased when $Y_{1}$ is erased or $Y_{2}$ is erased
Failure probability $=1-(1-\epsilon)^{2}=2 \epsilon-\epsilon^{2}$

- $W_{2}$ : Decoding $U_{2}$ when $U_{1}$ is available
$U_{2}$ is erased when $Y_{1}$ is erased and $Y_{2}$ is erased
Failure probability $=\epsilon^{2}$



## Capacity is conserved



$$
C\left(W_{1}\right)+C\left(W_{2}\right)=C(W)+C(W)=2 C(W)
$$

$$
C\left(W_{1}\right) \leq C(W) \leq C\left(W_{2}\right)
$$



Extending the size


## Extending the size



Sequential decoding:

- Decode $U_{1}$ from $Y_{1}, Y_{2}, Y_{3}, Y_{4}$
erased if ( $Y_{1}$ or $Y_{2}$ erased) or ( $Y_{3}$ or $Y_{4}$ erased)


## Extending the size



Sequential decoding:

- Decode $U_{1}$ from $Y_{1}, Y_{2}, Y_{3}, Y_{4}$ erased if ( $Y_{1}$ or $Y_{2}$ erased) or ( $Y_{3}$ or $Y_{4}$ erased)
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## Extending the size



Sequential decoding:

- Decode $U_{1}$ from $Y_{1}, Y_{2}, Y_{3}, Y_{4}$ erased if ( $Y_{1}$ or $Y_{2}$ erased) or ( $Y_{3}$ or $Y_{4}$ erased)
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erased if ( $Y_{1}$ or $Y_{2}$ is erased) and ( $Y_{3}$ or $Y_{4}$ is erased)
- Decode $U_{3}$ from $Y_{1}, Y_{2}, Y_{3}, Y_{4}, U_{1}, U_{2}$
erased if ( $Y_{1}$ and $Y_{2}$ is erased) or ( $Y_{3}$ and $Y_{4}$ is erased)
- Decode $U_{4}$ from $Y_{1}, Y_{2}, Y_{3}, Y_{4}, U_{1}, U_{2}, U_{3}$
erased if ( $Y_{1}$ and $Y_{2}$ erased) and ( $Y_{3}$ and $Y_{4}$ erased)


## Recursive Calculation of Failure Probability

Sequential decoding;

- Decode $U_{1}$


$$
\text { erased if }\left(Y_{1} \text { or } Y_{2} \text { erased }\right) \text { or }\left(Y_{3} \text { or } Y_{4} \text { erased }\right)
$$

failure probability $=2 \hat{\epsilon}-\hat{\epsilon}^{2}=2\left(2 \epsilon-\epsilon^{2}\right)-\left(2 \epsilon-\epsilon^{2}\right)^{2}$

## Recursive Calculation of Failure Probability

Sequential decoding:

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- Decode $U_{3}$
erased if ( $Y_{1}$ and $Y_{2}$ is erased) or ( $Y_{3}$ and $Y_{4}$ is erased)
failure probability $=2 \tilde{\epsilon}-\tilde{\epsilon}^{2}=2\left(\epsilon^{2}\right)-\left(\epsilon^{2}\right)^{2}$


## Recursive Calculation of Failure Probability

Sequential decoding:

- Decode $U_{1}$
erased if ( $Y_{1}$ or $Y_{2}$ erased) or ( $Y_{3}$ or $Y_{4}$ erased)
failure probability $=2 \hat{\epsilon}-\hat{\epsilon}^{2}=2\left(2 \epsilon-\epsilon^{2}\right)-\left(2 \epsilon-\epsilon^{2}\right)^{2}$
- Decode $U_{2}$
erased if ( $Y_{1}$ or $Y_{2}$ is erased) and ( $Y_{3}$ or $Y_{4}$ is erased)
failure probability $=\hat{\epsilon}^{2}=\left(2 \epsilon-\epsilon^{2}\right)^{2}$
- Decode $U_{3}$

erased if ( $Y_{1}$ and $Y_{2}$ is erased) or ( $Y_{3}$ and $Y_{4}$ is erased)
failure probability $=2 \tilde{\epsilon}-\tilde{\epsilon}^{2}=2\left(\epsilon^{2}\right)-\left(\epsilon^{2}\right)^{2}$
- Decode $U_{4}$
erased if ( $Y_{1}$ and $Y_{2}$ erased) and ( $Y_{3}$ and $Y_{4}$ erased)
failure probability $=\tilde{\epsilon}^{2}=\left(\epsilon^{2}\right)^{2}$

Polarization process

## Larger construction



## Larger construction



Question: What happens if we keep extending the size?

## Larger construction



## Gambling and Martingales



- you can bet red or black

Probability[red] $=\frac{1}{2} \quad$ Probability[black] $=\frac{1}{2}$

- a betting strategy that always wins (! $)^{1}$ : double the bet after every loss
until you win:
bet $\$ 1$ on black bet $\$ 2$ on black bet $\$ 4$ on black
${ }^{1}$ do not try this at home (or at the casino)


## Gambling and Martingales

until you win:

bet $\$ 1$ on black<br>bet $\$ 2$ on black<br>bet $\$ 4$ on black<br>bet $\$ 8$ on black<br>bet $\$ 16$ on black<br>bet \$32 on black

- Martingale betting strategy is a winning strategy only if you have unbounded wealth
- It is not sustainable

Probability[ Loosing 6 in a row] $=\frac{1}{2^{6}} \approx 0.016$. This will eventually happen if you repeat many times

## Martingale Processes

A martingale process is a sequence of random variables for which the conditional expectation of the next value in the sequence is equal to the present value, regardless of all prior values.


- Martingales processes are important finance, e.g., in stock trading, Black Scholes option pricing model (which won the Nobel prize in economics)

Back to Polar Codes


Let $e_{t}$ be random $\pm 1$ for $t=1,2 \ldots$. The polarization process is

$$
\begin{aligned}
w_{t+1} & =w_{t}+e_{t} w_{t}\left(1-w_{t}\right) \\
& = \begin{cases}2 w_{t}-w_{t}^{2} & e_{t}=+1 \\
w_{t}^{2} & e_{t}=-1\end{cases}
\end{aligned}
$$

## Sample paths



## Martingales

$$
w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right)
$$

- the expectation of the next value is equal to the previous value: $\mathbb{E}\left[w_{t+1} \mid w_{t}\right]=w_{t}$


## Martingales

$$
w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right)
$$

- the expectation of the next value is equal to the previous value: $\mathbb{E}\left[w_{t+1} \mid w_{t}\right]=w_{t}$
- Martingale processes converge to a limiting distribution if they are bounded
- polarization process $w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right)$ converges to $w(1-w)=0 \quad$ why? $w=0$ (erasure probability one) or
$w=1$ (erasure probability zero)


## Evolution of physical systems



## Gradient descent

$$
\underbrace{w_{t+1}}_{\text {next }}=\underbrace{w_{t}}_{\text {curameters }}+\underbrace{f\left(w_{t}\right)}_{\text {parameters }}
$$



$$
\begin{aligned}
& w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right) \\
& \text { converges, i.e., } w_{t+1}=w_{t} \text { when } f\left(w_{t}\right)=w_{t}\left(1-w_{t}\right)=0
\end{aligned}
$$

$$
w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right)
$$

plot of $f(w)=w(1-w)$


## Polarization theorem

- the process

$$
w_{t+1}=w_{t}+e_{t} w_{t}\left(1-w_{t}\right)
$$

converges to either zero or one with probability one!

- implies that almost all channels are either perfect or completely noisy

Non-convergent paths

Down - Up - Down - Up ....
$\epsilon \searrow \epsilon^{2} \nearrow 2 \epsilon^{2}-\epsilon^{4}=? \epsilon$


## Non-convergent paths

- Down - Up - Down - Up ....

$$
\epsilon \searrow \epsilon^{2} \nearrow 2 \epsilon^{2}-\epsilon^{4}=\epsilon \text { if } \epsilon=\frac{\sqrt{5}}{2}-\frac{1}{2}=\frac{1}{\phi} \approx 0.61803398875
$$

## Non-convergent paths

- Down - Up - Down - Up ....
$\epsilon \searrow \epsilon^{2} \nearrow 2 \epsilon^{2}-\epsilon^{4}=\epsilon$ if $\epsilon=\frac{\sqrt{5}}{2}-\frac{1}{2}=\frac{1}{\phi} \approx 0.61803398875$
Golden ratio : $\quad \phi:=\frac{1+\sqrt{5}}{2} \approx 1.61803398875$


## Non-convergent paths

- Down - Up - Down - Up ....

$$
\epsilon \searrow \epsilon^{2} \nearrow 2 \epsilon^{2}-\epsilon^{4}=\epsilon \text { if } \epsilon=\frac{\sqrt{5}}{2}-\frac{1}{2}=\frac{1}{\phi} \approx 0.61803398875
$$

Golden ratio : $\quad \phi:=\frac{1+\sqrt{5}}{2} \approx 1.61803398875$


Google images: golden ratio in nature



There won't be another day like June 1 hindustantimes.com


Examples Of The Golden Ratio memolition.com


Illustration of golden ratio in nature stock.adobe.com


The Golden Ratio and Fibonacci S icytales.com

The Golden Ratio Occurring in Nature themodernape.com


themodernape.com


The Golden Ratio
unc.edu


The Golden Ratio in nature | Downlo researchgate net

Examples Of The Golden Ratio Y. memolition.com



Quantum Golden Ratio » ISO50 Blog - The blog.iso50.com


Class Assignment \#1 Golden Ratio a bellhsgraphicdesign1.blogspot.com

The golden ratio in nature, unveiled . phimatrix.com


| slider-nature-golden-ratio flickr.com


Fibonacci Sequence \& Gold... dreamgains.com

golden ratio. Truly divine . imgur.com

## Encoding circuit



$$
\left(\begin{array}{llllllll}
1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\
1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\
1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\
1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\
1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\
1 & 1 & 0 & 0 & 0 & 0 & 0 & 0
\end{array}\right)
$$

What do we do with the noisy channels?


## We can freeze noisy channels!

rank


## Freezing noisy channels

$\mathrm{C}=1-\mathrm{P}$ [erasure] rank


## Encoding and decoding

all the bad channels are frozen

- successive cancellation decoder will correctly recover the message with high probability!



## Polarization of general channels



$$
\begin{aligned}
W^{-}\left(Y_{1}, Y_{2} \mid U_{1}\right) & =\frac{1}{2} \sum_{u_{2}} W_{1}\left(y_{1} \mid u_{1} \oplus u_{2}\right) W_{2}\left(y_{2} \mid u_{2}\right) \\
W^{+}\left(Y_{1}, Y_{2}, U_{1} \mid U_{2}\right) & =\frac{1}{2} W_{1}\left(y_{1} \mid u_{1}+u_{2}\right) W_{2}\left(y_{2} \mid u_{2}\right)
\end{aligned}
$$

## Polarization of general channels



$$
\begin{gathered}
W^{-}\left(Y_{1}, Y_{2} \mid U_{1}\right)=\frac{1}{2} \sum_{u_{2}} W_{1}\left(y_{1} \mid u_{1} \oplus u_{2}\right) W_{2}\left(y_{2} \mid u_{2}\right) \\
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I\left(W^{-}\right)+I\left(W^{+}\right)=I(W)+I(W)=2 I(W)
\end{gathered}
$$

## Polarization of general channels



$$
\begin{aligned}
W^{-}\left(Y_{1}, Y_{2} \mid U_{1}\right) & =\frac{1}{2} \sum_{u_{2}} W_{1}\left(y_{1} \mid u_{1} \oplus u_{2}\right) W_{2}\left(y_{2} \mid u_{2}\right) \\
W^{+}\left(Y_{1}, Y_{2}, U_{1} \mid U_{2}\right) & =\frac{1}{2} W_{1}\left(y_{1} \mid u_{1}+u_{2}\right) W_{2}\left(y_{2} \mid u_{2}\right)
\end{aligned}
$$

$$
I\left(W^{-}\right)+I\left(W^{+}\right)=I(W)+I(W)=2 I(W)
$$



Mrs Gerber's Lemma: If $I(W)=1-\mathcal{H}(p)$, then
$\frac{1}{2}\left(I\left(W^{+}\right)-I\left(W^{-}\right)\right) \geq \mathcal{H}(2 p(1-p))-\mathcal{H}(p)>0$

## Polarization theorem

$C(W)=$ capacity of the original channel

- $C(W)$ fraction of channels converge to noiseless channels with mutual information $\approx 1$
- $1-C(W)$ fraction of channels donverge noisy channels with mutual information $\approx 0$
$C(W)$ fraction

$$
C(W)-
$$

## Polarization theorem

$C(W)=$ capacity of the original channel

- $C(W)$ fraction of channels converge to noiseless channels with mutual information $\approx 1$
- $1-C(W)$ fraction of channels converge noisy channels with mutual information $\approx 0$
$n$ total channel uses:
$n C(W)$ noiseless and $n(1-C(W))$ noisy
- By freezing the noisy channels to zero we get Rate $\rightarrow \frac{n C(W)}{n}=C(W)$
- Achieves capacity as $n$ gets large! This is true for any symmetric channel!


## Polarization Theorem (formal)

Theorem
The bit-channel capacities $\left\{C\left(W_{i}\right)\right\}$ polarize: for any $\delta \in(0,1)$, as the construction size $N$ grows

$$
\left[\frac{\text { no. channels with } C\left(W_{i}\right)>1-\delta}{N}\right] \longrightarrow C(W)
$$

and

$$
\left[\frac{\text { no. channels with } C\left(W_{i}\right)<\delta}{N}\right] \longrightarrow 1-C(W)
$$

Polarization as capacity changes


## Consequence of the Polarization Theorem

## Theorem

For any rate $R<I(W)$ and block-length $N$, the probability of frame error for polar codes under successive cancelation decoding is bounded as

$$
P_{e}(N, R)=o\left(2^{-\sqrt{N}+o(\sqrt{N})}\right)
$$


$2^{-\frac{N}{2}}$

## 5G Communications

- The jump from 4G to 5G is far larger than any previous jumps-from 2G to 3G; 3G to 4G
- The global 5G market is expected reach a value of 251 Bn by (2025)


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- Current LTE download speed is $\mathbf{5 - 1 2} \mathbf{~ M b p s}$


## 5G Communications

- The jump from 4G to 5G is far larger than any previous jumps-from 2G to 3G; 3G to 4G
- The global 5G market is expected reach a value of 251 Bn by 2025
- In 2016, researchers reached 27 Gbps downlink using Polar Codes
- Current LTE download speed is $\mathbf{5 - 1 2} \mathbf{~ M b p s}$
- In November 2016, 3GPP agreed to adopt Polar codes for control channels in 5G. LDPC codes will be used in data channels.


## Other Applications: Distributed Computing in Data Centers



Facebook Data Center, New Albany OH.

## Data Centers



## Distributed Computing


need to wait workers to finish local computations

## Distributed Computing



## Computational Polarization


M. Pilanci, Computational Polarization: An Information-Theoretic Method for Resilient Computing, IEEE Transactions on Information Theory, 2022
B. Bartan and M. Pilanci, Straggler Resilient Serverless Computing Based on Polar Codes, Annual Allerton

Conference on Communication, Control, and Computing 2019. arxiv.org/pdf/1901.06811

## Polarization of computation times



## Polarization for computation



## Polarization of computation times






## Polar codes for computation



## Polar coded machine learning



Fig. 8. Cost vs time for the gradient descent example.
B. Bartan and M. Pilanci, Straggler Resilient Serverless Computing Based on Polar Codes, Annual Allerton

Conference on Communication, Control, and Computing 2019. arxiv.org/pdf/1901.06811

Questions?

## Cloud computing on Amazon Lambda



Fig. 7. Job output times and decoding times for $N=512$.

## Extensions

- Ternary Erasure Channel


Details on Decoding: Divide and Conquer


## Successive Cancellation Decoder

First phase: treat a as noise, decode $\left(u_{1}, u_{2}, u_{3}, u_{4}\right)$


## Successive Cancellation Decoder

End of first phase


## Successive Cancellation Decoder

Second phase: Treat $\hat{\mathbf{b}}$ as known, decode $\left(u_{5}, u_{6}, u_{7}, u_{8}\right)$


## Successive Cancellation Decoder

First phase in detail


## Successive Cancellation Decoder

## Equivalent channel model



## Successive Cancellation Decoder

First copy of $W^{-}$


## Successive Cancellation Decoder

## Second copy of $W^{-}$



## Successive Cancellation Decoder

Third copy of $W^{-}$


## Successive Cancellation Decoder

Fourth copy of $W^{-}$


## Reduction to $4 \times 4$



- Decoding the lower block $u_{5}, u_{6}, u_{7}, u_{8}$ is done similarly with a $4 \times 4$ block of $W^{+}$channels


## Mutual Information in TEC

Evolution of Mutual Information in sub-channels (epsilon=0.2), ( $\mathrm{n}=1$ )


## Mutual Information in TEC

Evolution of Mutual Information in sub-channels (epsilon=0.2), ( $\mathrm{n}=2$ )


## Mutual Information in TEC

Evolution of Mutual Information in sub-channels (epsilon=0.2), ( $n=3$ )


## Mutual Information in TEC

Evolution of Mutual Information in sub-channels (epsilon=0.2), ( $n=4$ )


## Mutual Information in TEC

Evolution of Mutual Information in sub-channels (epsilon=0.2), ( $\mathrm{n}=5$ )


## Capacity of the binary erasure channel (BEC)



$$
\begin{aligned}
I(X ; Y) & =H(X)-H(X \mid Y) \\
& =H(X)-H(X) \epsilon-0 P(Y=0)-0 P(Y=1) \\
& =(1-\epsilon) H(X)
\end{aligned}
$$

Picking $X \sim \operatorname{Ber}\left(\frac{1}{2}\right)$, we have $H(X)=1$. Thus, the capacity of BEC is $C=1-\epsilon$ Capacity of the BEC with erasure probability $\epsilon$ is $C=1-\epsilon$

References

- Channel polarization: A method for constructing capacity-achieving codes for symmetric binary-input memoryless channels, E. Arikan - IEEE Transactions on information Theory, 2009
- A Short Course on Polar Coding, E. Arikan, 2016

