

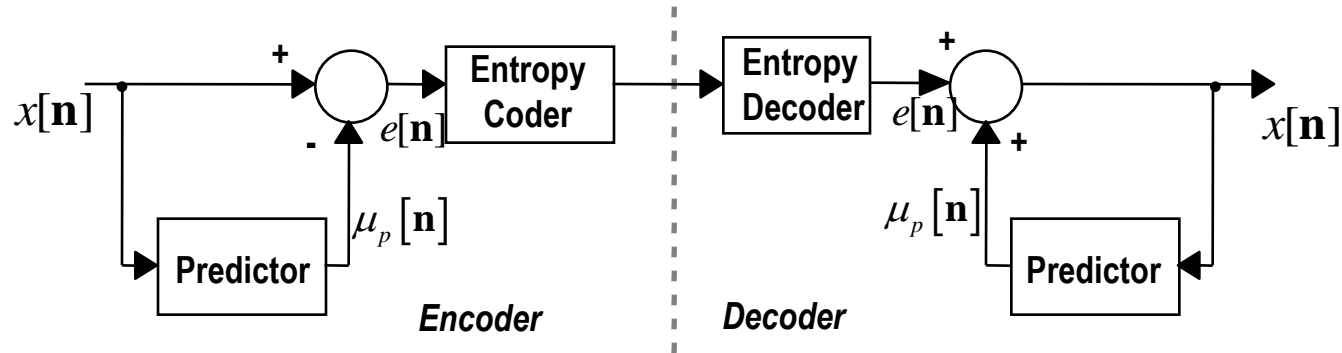
# Predictive Coding

---

- Lossless predictive coding
- Optimum predictors
- JPEG-LS lossless compression standard
- Lossy predictive coding: DPCM
- Rate distortion performance of DPCM



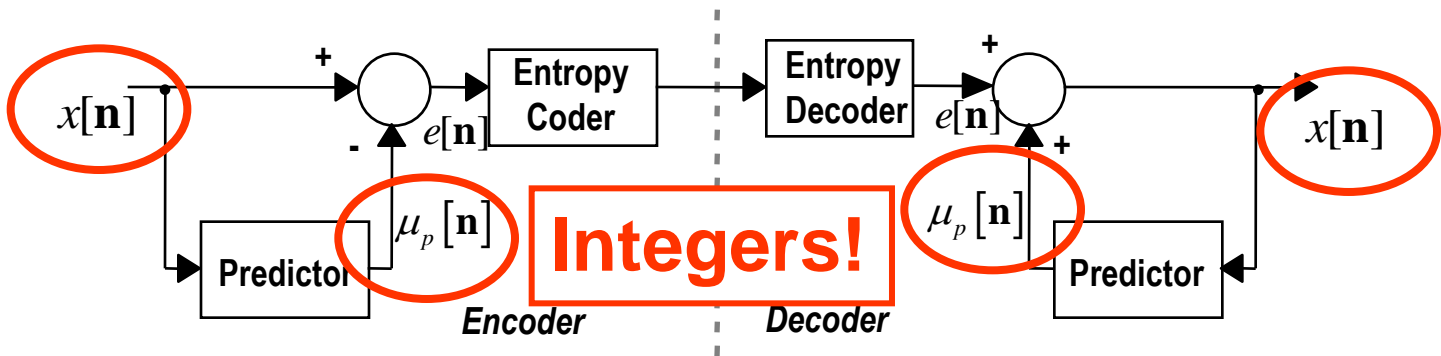
# Lossless Predictive Coding



- Prediction  $\mu_p[n]$  is calculated for  $x[n]$  from previous samples  $\mathbf{x}_{\mathcal{N}+\mathbf{n}}$
- $e[n]$  is prediction error, with greatly reduced statistical dependencies between adjacent samples
- Entropy coder may assume i.i.d. prediction error  $e[n]$
- Receiver can reconstruct  $x[n]$  without loss for amplitude-discrete signals  $x, \mu_p, e \in \mathbb{Z}$
- Much simpler than context-adaptive coder



# Lossless Predictive Coding

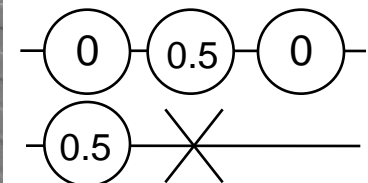
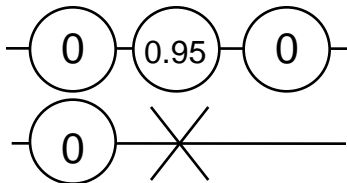
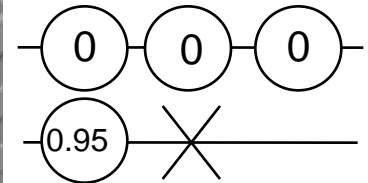
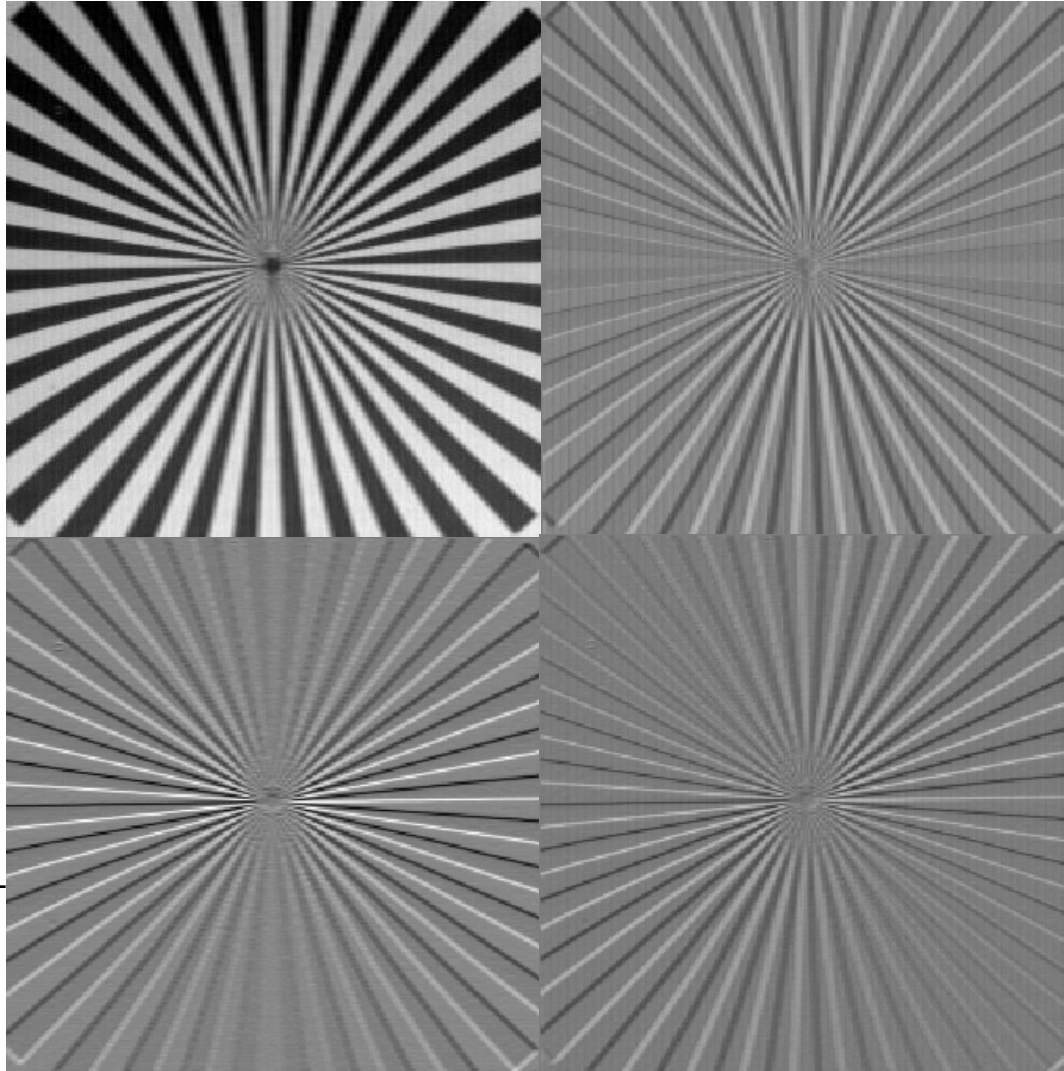


- Prediction  $\mu_p[n]$  is calculated for  $x[n]$  from previous samples  $\mathbf{x}_{\mathcal{N}+\mathbf{n}}$
- $e[n]$  is prediction error, with greatly reduced statistical dependencies between adjacent samples
- Entropy coder may assume i.i.d. prediction error  $e[n]$
- Receiver can reconstruct  $x[n]$  without loss for amplitude-discrete signals  $x, \mu_p, e \in \mathbb{Z}$
- Much simpler than context-adaptive coder



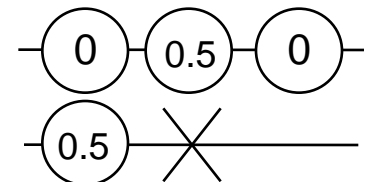
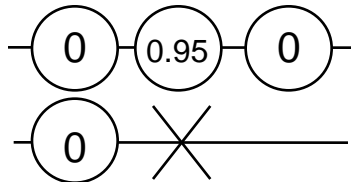
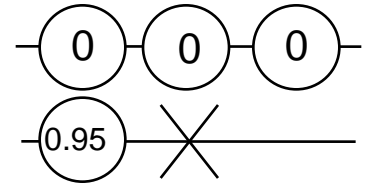
# Prediction example: test pattern

*original*



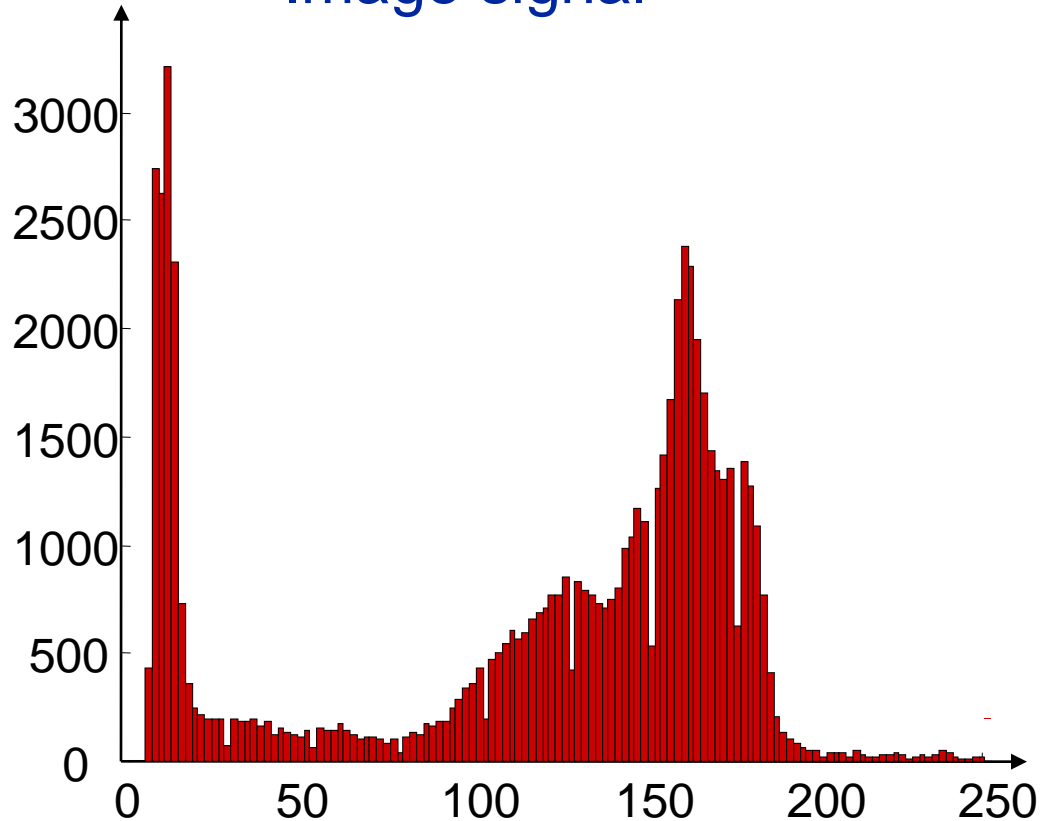
# Prediction example: Cameraman

*original*

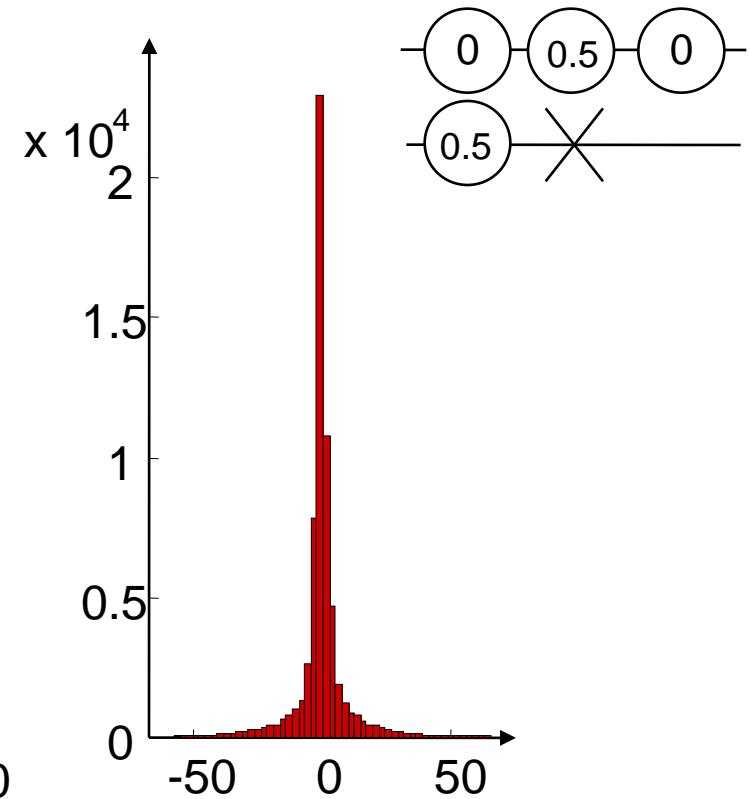


# Histograms: Cameraman

Image signal



Prediction error



# Entropy and variance of the prediction error

- Approximation of the entropy of the prediction error  $E$

standard deviation of  $E$  →

constant that depends on the shape of the underlying PDF →

$$H(E) \approx \log_2 \frac{\sigma_E}{\Delta} + c_{pdf} \quad \text{for } \Delta \ll \sigma_E$$

quantization step size →

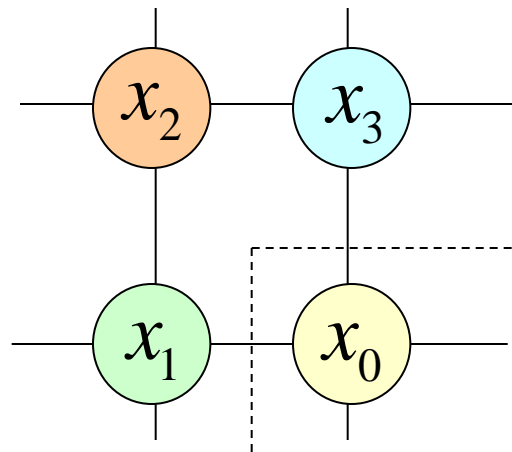
- Shape constant

Gaussian PDF:  $c_{pdf} = 2.047$  bit    Laplacian PDF:  $c_{pdf} = 1.943$  bit

- With linear prediction of image signals the prediction error PDF is typically Laplacian.
- Minimization of prediction error variance or prediction error entropy typically lead to very similar results.



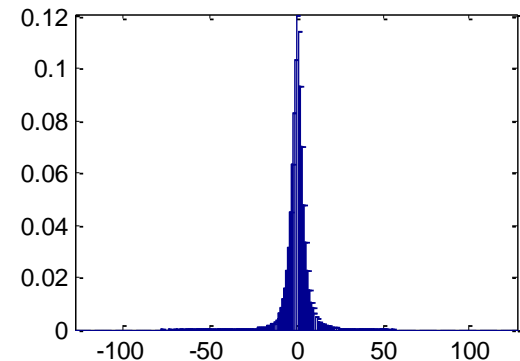
# Optimum linear predictors for the luminance signal Y



line of pixels above

current line of pixels

$f_E(e)$  approx. Laplacian



$$\Delta \ll \sigma_E \Rightarrow H(E) \cong \log_2 \left( \sqrt{2} e \sigma_E \right) - \log_2 \Delta \Rightarrow \arg \min \sigma_E \cong \arg \min H(E)$$

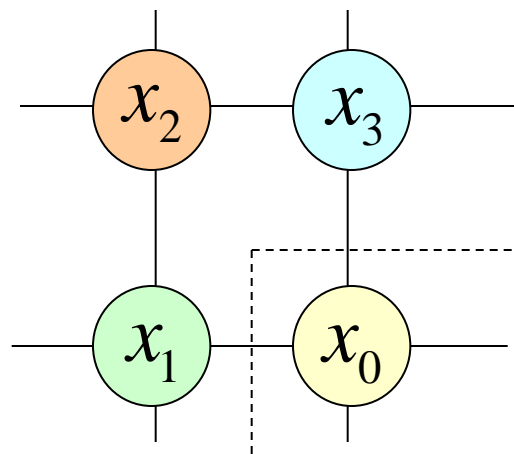
$H(X_0)$ [bit]	Predictor			MSE	$H(E)$ [bit]	Criterion
	$a_1$	$a_2$	$a_3$			
7.23	0.595	-0.434	0.831	33.810	4.301	minimum variance
	0.464	-0.264	0.799	35.075	4.2813	minimum entropy

Image: 'Lena', 512 x 512 pixels, 8 bpp

$\Delta = 1$ ,  $2^8$  levels (-128..127)



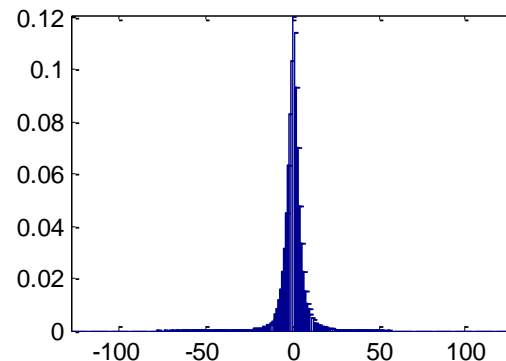
# Optimum linear predictors for the luminance signal Y



line of pixels above

current line of pixels

$f_E(e)$  approx. Laplacian



Constraint: 3 bit word length of the prediction coefficients, +1 bit for sign

$H(X_0)$ [bit]	Predictor			MSE	$H(E)$ [bit]	Criterion
	$a_1$	$a_2$	$a_3$			
7.23	5/8	-1/2	7/8	34.161	4.318	minimum variance
	1/2	-1/4	3/4	35.395	4.285	minimum entropy

Image: 'Lena', 512 x 512 pixels, 8 bpp

$\Delta = 1$ ,  $2^8$  levels (-128..127)



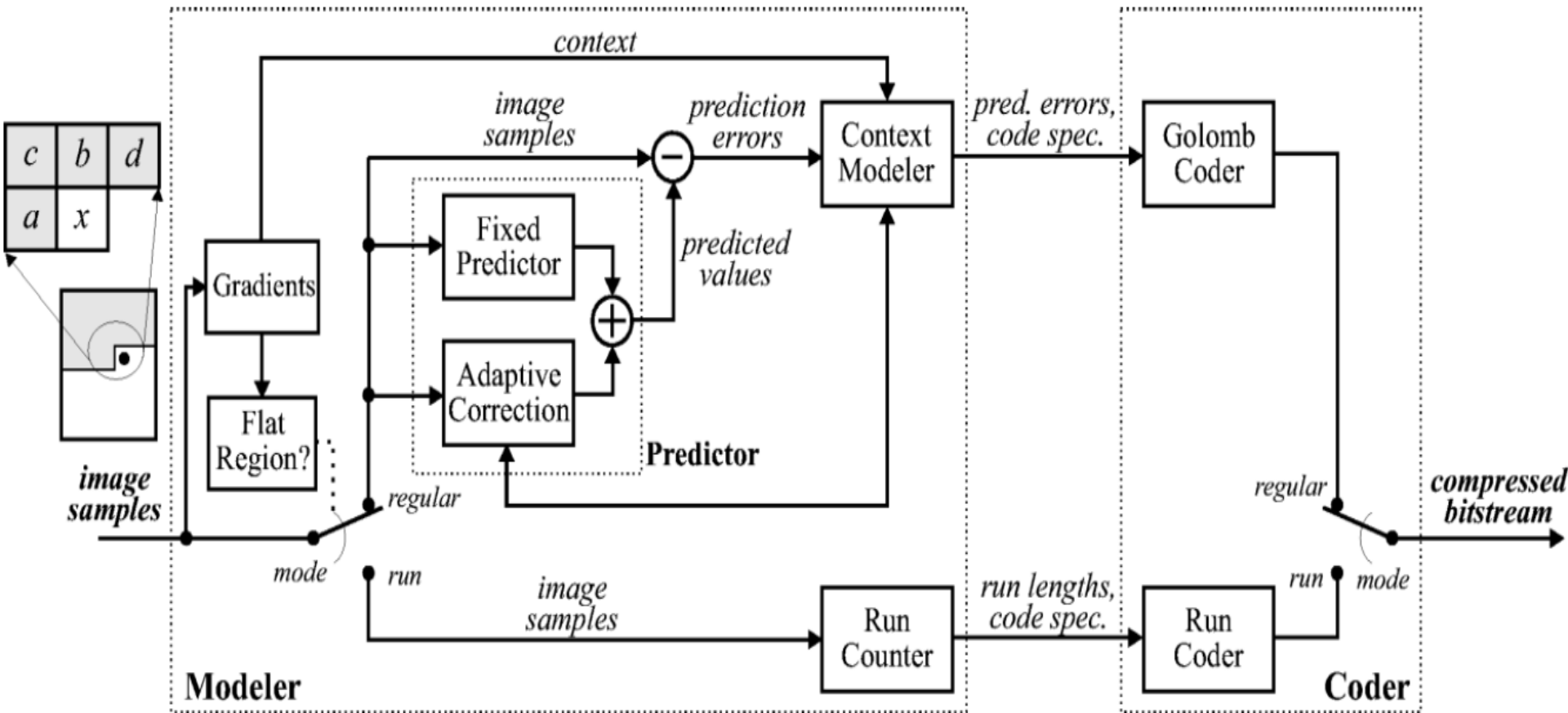
# JPEG-LS lossless compression standard

---

- Standards ISO/IEC 14495-1 and ITU-T T.87 *[1999]*
- Not to be confused with lossless mode of original JPEG
- Based on LOCO-I (Low Complexity Compression of Images) *[Weinberger, Seroussi, Sapiro, 1996]*
- Predictive coding with nonlinear predictor
- Context-adaptive Golomb coding of prediction error
- 365 different coding contexts, based on pixel differences in the causal neighborhood
- Switches to 1-d run-length coding for one context
- Run-lengths encoded by Golomb code
- “Near-lossless” mode extension



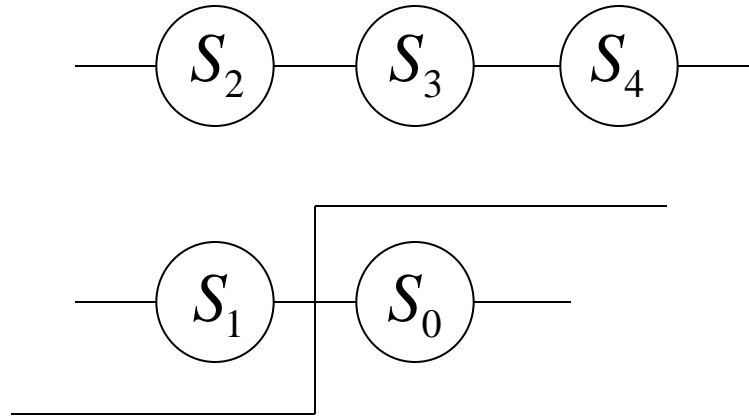
# JPEG-LS blockdiagram



[Weinberger, Seroussi, Sapiro, 2000]



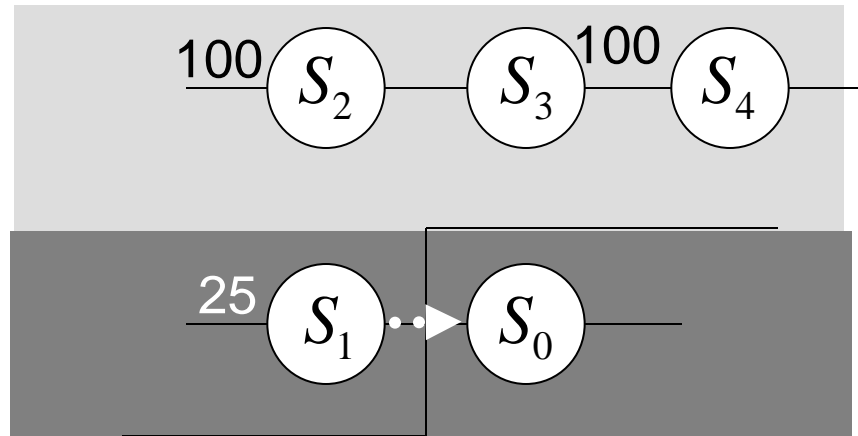
# JPEG-LS nonlinear predictor



$$\mu_p = \begin{cases} \min(S_1, S_3) & \text{if } S_2 = \max(S_1, S_2, S_3) \\ \max(S_1, S_3) & \text{if } S_2 = \min(S_1, S_2, S_3) \\ S_1 - S_2 + S_3 & \text{else} \end{cases}$$



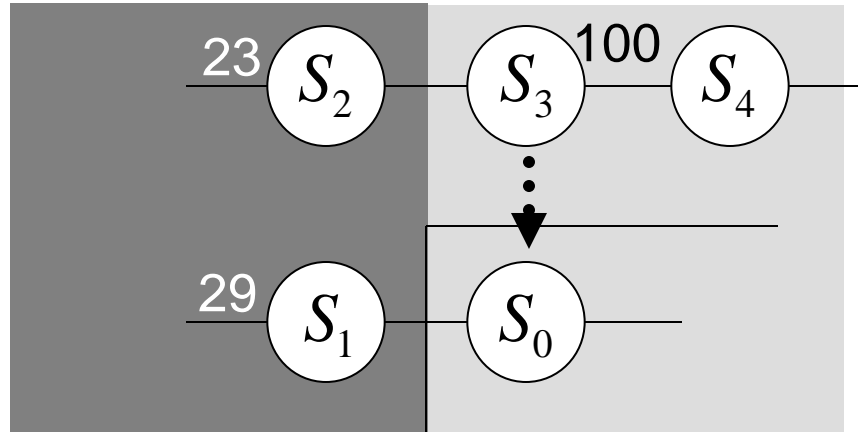
# JPEG-LS nonlinear predictor



$$\mu_p = \begin{cases} \min(S_1, S_3) & \text{if } S_2 = \max(S_1, S_2, S_3) \\ \max(S_1, S_3) & \text{if } S_2 = \min(S_1, S_2, S_3) \\ S_1 - S_2 + S_3 & \text{else} \end{cases}$$



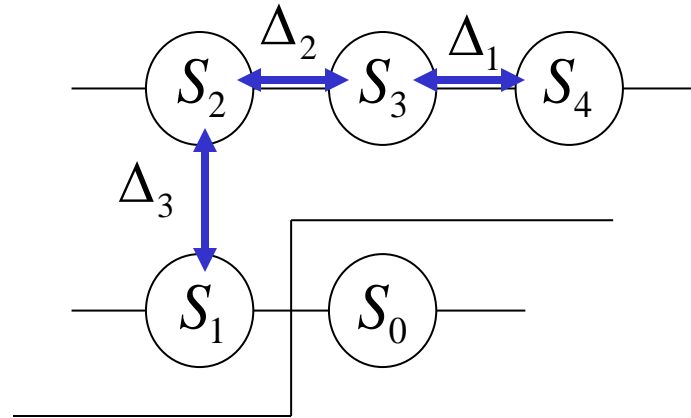
# JPEG-LS nonlinear predictor



$$\mu_p = \begin{cases} \min(S_1, S_3) & \text{if } S_2 = \max(S_1, S_2, S_3) \\ \max(S_1, S_3) & \text{if } S_2 = \min(S_1, S_2, S_3) \\ S_1 - S_2 + S_3 & \text{else} \end{cases}$$



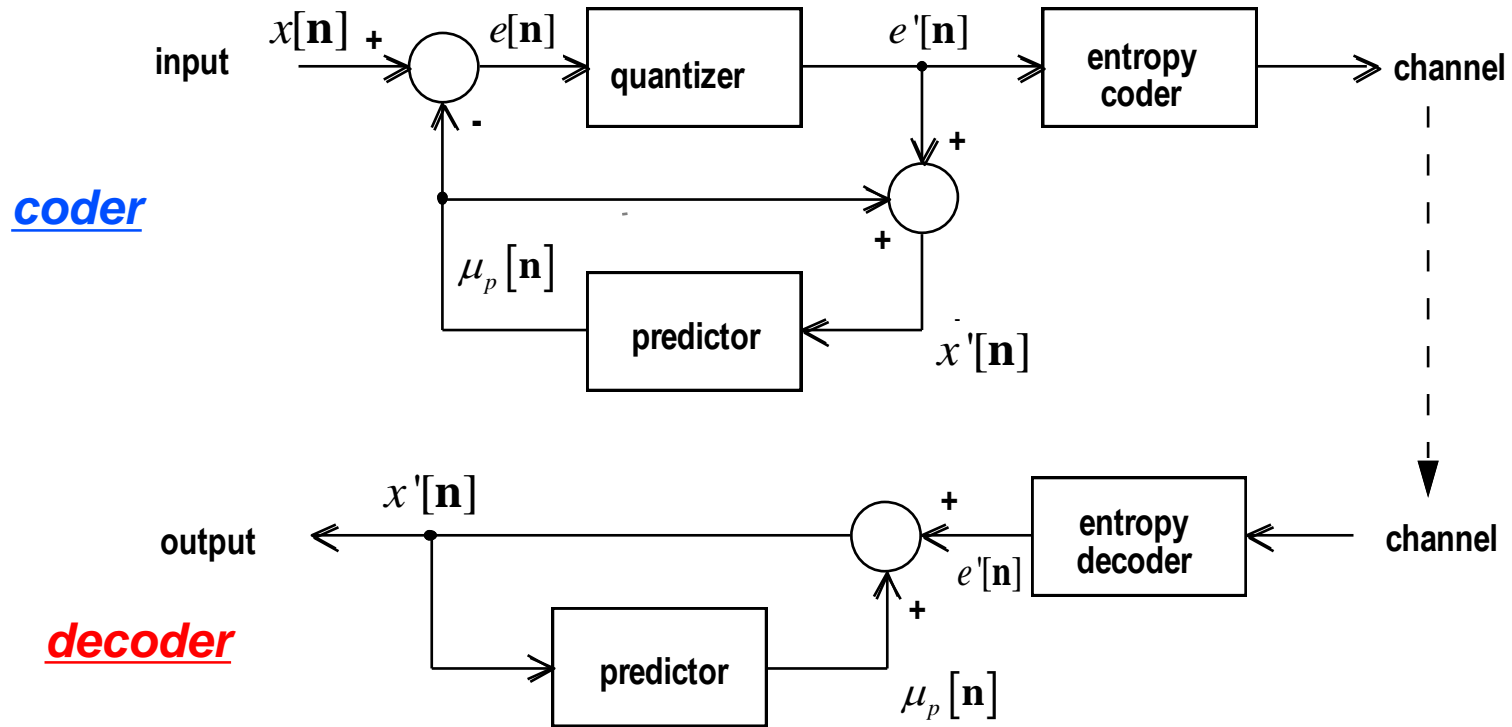
# JPEG-LS context labeling



- Quantize each  $\Delta_i$  to 1 out of 9 different indices:  
 $9^3=729$  distinct contexts, default thresholds 3,7,21
- Further reduce to 365 contexts by exploiting sign symmetries
- For  $\Delta_1 = \Delta_2 = \Delta_3 = 0$ , switch to run-length coding



# Lossy Predictive Coding: Differential Pulse Code Modulation (DPCM)



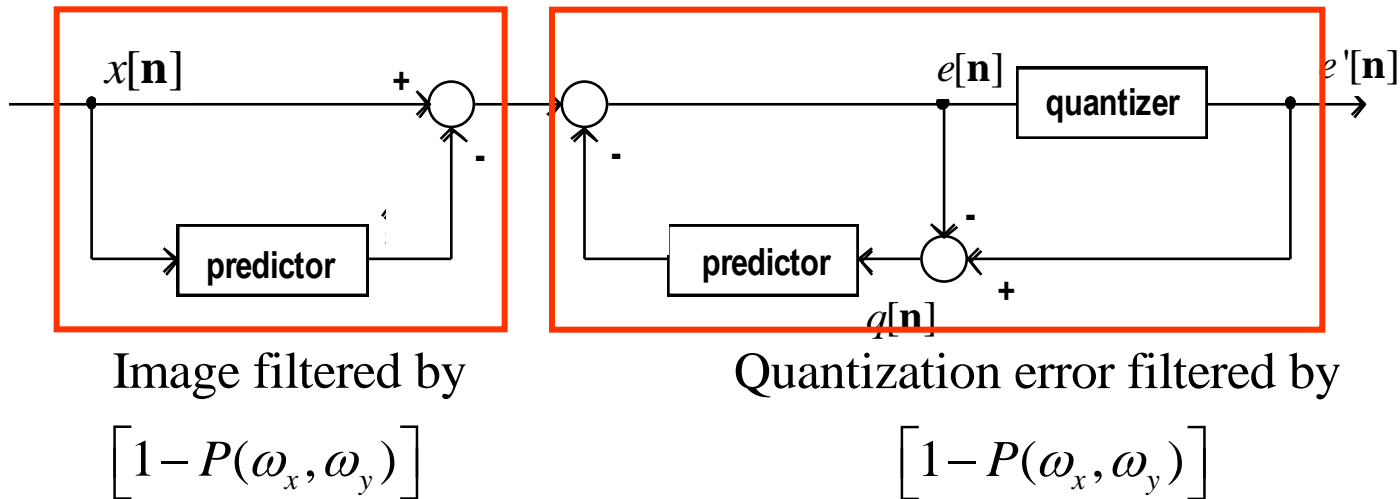
Reconstruction error = quantization error

$$x' - x = e' - e = q$$

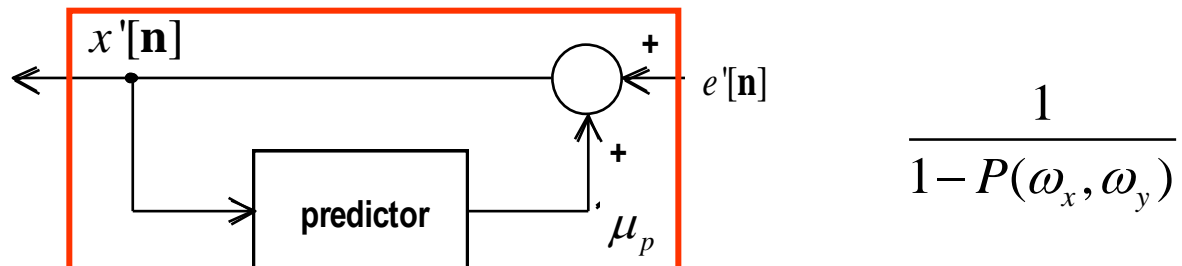


# Quantization error feedback in the DPCM coder

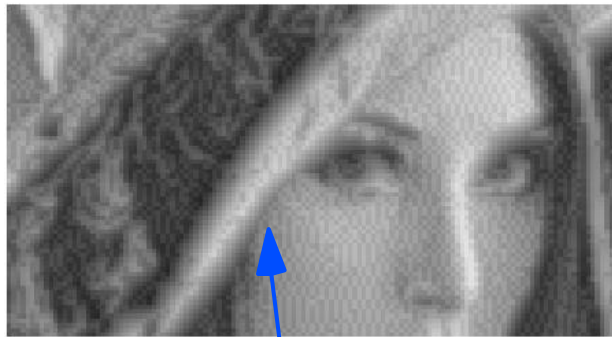
- For a linear predictor, the DPCM coder is equivalent to:



- Linear DPCM decoder

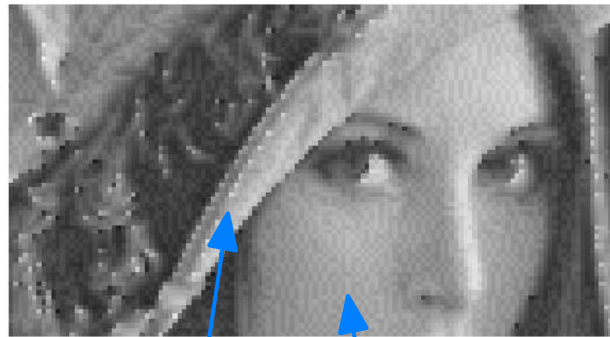


# Example of intraframe DPCM coding



1 bit/pixel  
prediction error coding

*slope overload*



2 bit/pixel

*edge busyness*

*granular noise*



3 bit/pixel



4 bit/pixel



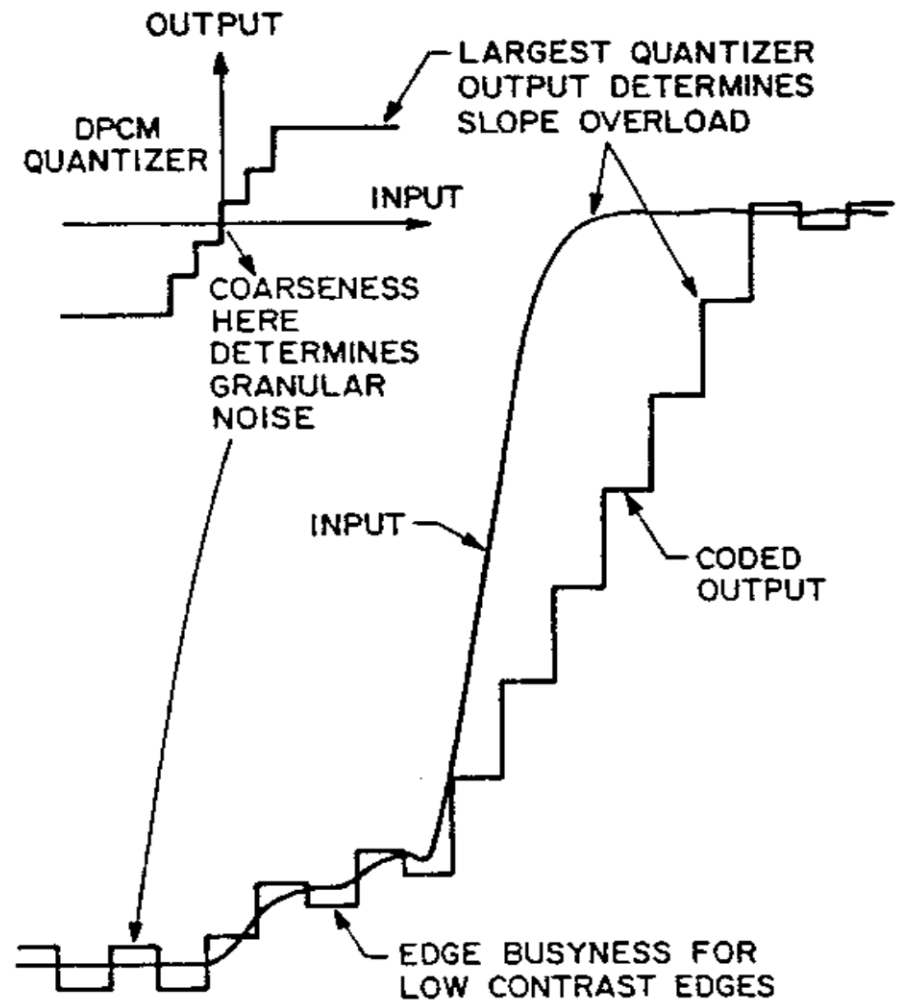
original

- Linear predictor:
  - 0 — ○ 1/4 — ○ 1/4 —
  - 1/2 — ~~—~~
- Lloyd-Max quantizers
- Fixed-length coding



# Signal distortions due to intraframe DPCM coding

- Granular noise: random noise in flat areas of the picture
- Edge busyness: jittery appearance of edges (for video)
- Slope overload: blur of high-contrast edges, Moire patterns in periodic structures.



[Netravali + Haskell]



# DPCM with entropy-constrained quantization



$K=511$

$H(e')=H(e)=4.79$  bpp

$K=15$

$H(e')=1.98$  bpp

$K=3$

$H(e')=0.88$  bpp

$K$  ... number of reconstruction levels,  
 $H(e')$  ... entropy of quantized prediction error

[J. R. Ohm]



# Recall from Chapter “Quantization”

## High-rate performance of scalar quantizers

- High-rate distortion-rate function

$$d(R) \cong \varepsilon^2 \sigma_X^2 2^{-2R}$$

- Scaling factor  $\varepsilon^2$

	Shannon LowBd	Lloyd-Max	Entropy-coded
Uniform	$\frac{6}{\pi e} \cong 0.703$	1	1
Laplacian	$\frac{e}{\pi} \cong 0.865$	$\frac{9}{2} = 4.5$	$\frac{e^2}{6} \cong 1.232$
Gaussian	1	$\frac{\sqrt{3}\pi}{2} \cong 2.721$	$\frac{\pi e}{6} \cong 1.423$



# Predictive coding gain

- High-rate distortion-rate function with DPCM

$$d_{DPCM}(R) \cong \varepsilon_E^2 \sigma_E^2 2^{-2R}$$

Variance of prediction error

- Prediction gain

$$G_{DPCM} = \frac{\varepsilon_X^2 \sigma_X^2}{\varepsilon_E^2 \sigma_E^2}$$

- Linear prediction: smallest achievable prediction error variance for  $N$ -dimensional signal determined by *spectral flatness*

$$\frac{\sigma_E^2}{\sigma_X^2} = \frac{1}{\sigma_X^2} \exp \left( \frac{1}{(2\pi)^N} \int_{\Omega} \ln(\Phi_{XX}(\Omega)) d\Omega \right)$$



# Predictive coding gain (cont.)

## Example

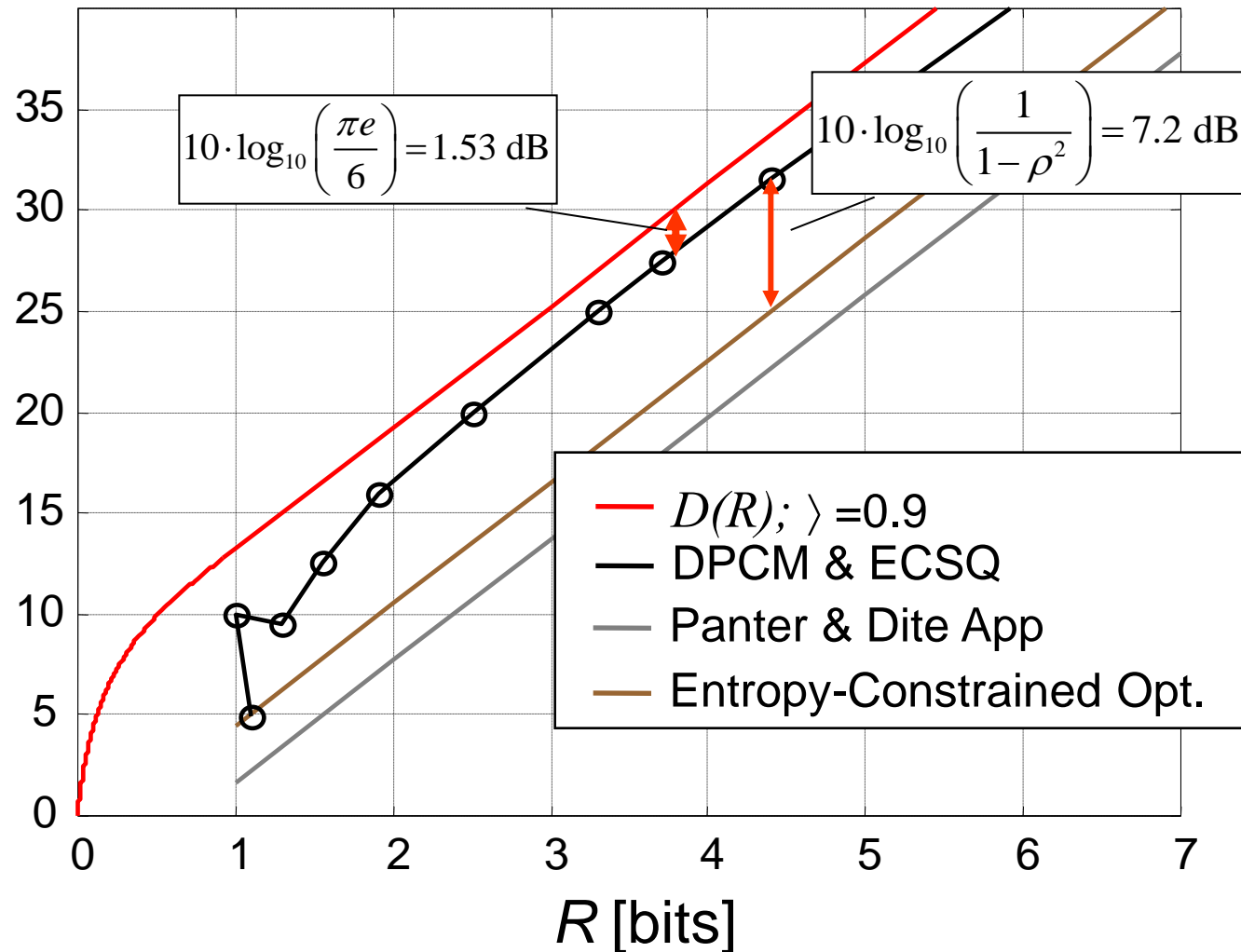
- 1-D Gaussian Markov-1 process with correlation coefficient  $\rho$
- Autocorrelation function  $E[X_n X_{n-k}] = \sigma_X^2 \rho^{|k|}$
- Prediction gain  $G_{DPCM} = \frac{1}{1 - \rho^2}$



# R-D curves for Gauss-Markov-1 source

$$\text{SNR [dB]} = 10 \cdot \log_{10} \frac{\sigma_X^2}{D}$$

- Linear predictor, order  $N=1$ ,  $a=0.9$
- Entropy-Constrained Scalar Quantizer with Huffman VLC
- Iterative design algorithm applied



# Reading

---

- Wiegand, Schwarz, Chapter 6
- Taubman, Marcellin, 2.4.2, 3.3, Chapter 20 (JPEG-LS)
- S. K. Goyal, J. B. O'Neal, "Entropy Coded Differential Pulse-Code Modulation Systems for Television Systems," IEEE Trans. Communications, pp. 660-666, June 1975.
- N. Farvadin, J. W. Modestino, "Rate-distortion performance of DPCM schemes for autoregressive sources," IEEE Trans. Information Theory, vol. 31, no. 3, pp. 402-418, May 1985.

