Bridging the Bay
UC Berkeley/UC San Francisco/Stanford Collaborative Training
Thursday, March 24, 2016
Stanford University
3160 Porter Drive, Palo Alto | Pacific Ocean Conference room

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Global Risks & Issues

- Countries
  - Boycott Countries - February 14, 2013
- Types of risks and research risks
Boycott Countries

- Iraq
- Kuwait
- Lebanon
- Libya
- Qatar
- Saudi Arabia
- Syria
- United Arab Emirates
- Yemen
Areas of Risk

- Travel
- Health & Safety
- Legal Agreements
- Legal Presence
- Employment/HR
- Cash Management/Bank Accounts/Payments
- Tax Reporting & Compliance
- Renting/Leasing Facilities
- Insurance
- US Regulatory
- Privacy
- Foreign Research Compliance
- Import/Export
Travel

- Visas/Permits
- Health & Safety
- Medical Insurance
- Time in Country
Legal Agreements

Legal Contracts
- Sub-award
- Sub-contract
- Independent contractors

MOUs
- 3rd party collaborators
- In-country NGOs
- Local Universities

Delegation of Authority

Space Agreements
Legal & Tax Presence

- **Time in Country**
  - Conferences
  - Field Work
  - Tax Treaty

- **Permanent Establishment**
  - Fixed place of business/legal presence
  - Corporate Residency
  - Individual Residency

- **Registration**
  - Is a legal entity required?
  - What is being done in other countries?
  - Can the activity be “structured” differently?
Employment/HR

Business Travel
- Accountable plan
- Short-term
- Medium-term
- Long term

Assignments (Medium to Long Term)
- How much time in country?
- Is employee going to require an agreement with the University?

Employment
- Hiring Local Employees
- Independent Contractors
- Casual Laborers (translators, drivers, etc.)
Cash Management

- Cash based economies
- Western Union
- Payment for services
- Bank accounts
- Office of Foreign Assets Control ("OFAC")
Other....

- Insurance
- Privacy/Cyber
- Foreign Research Protocols
- Equipment/Materials
- VAT
Questions to Ask

- In what country will the research take place?
- What research activity will occur? How?
- Who is traveling, for how many days?
- Will local workers be hired/paid?
- Add more questions...