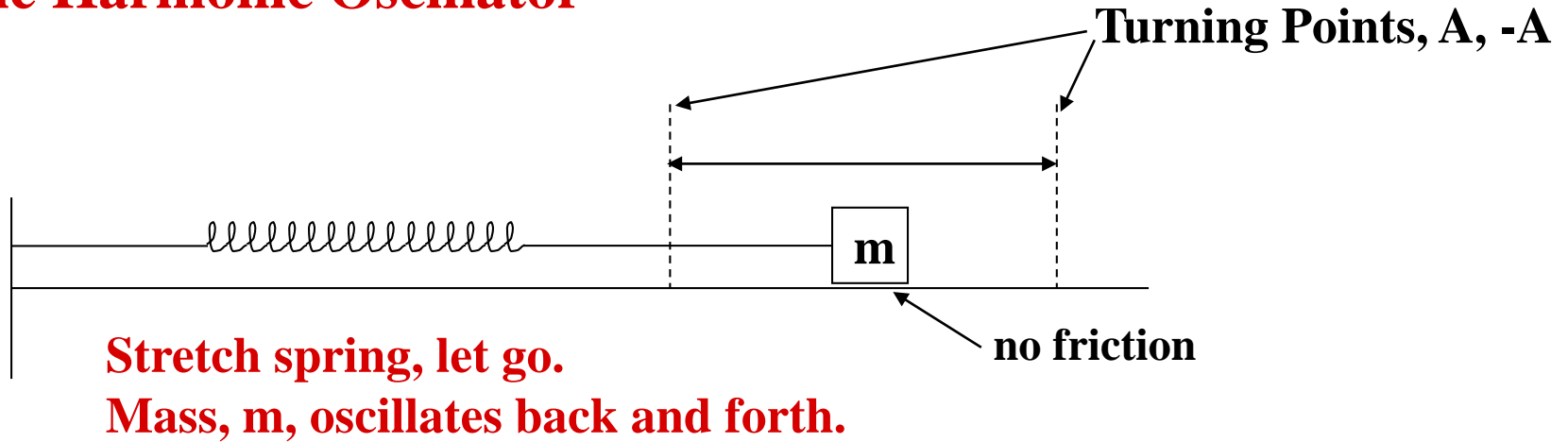


# Chapter 6

# The Harmonic Oscillator



**Hooke's Law**  $F = -k x$  ← linear restoring force

force →  $F$        $k$  → spring constant

$$F = ma$$

$$m\ddot{x} = -kx$$

$$\frac{d^2 x(t)}{dt^2} = -\left(\frac{k}{m}\right)x(t)$$

$$x(t) = A \sin\left(\frac{k}{m}\right)^{1/2} t$$

amplitude →  $A$       mass →  $m$

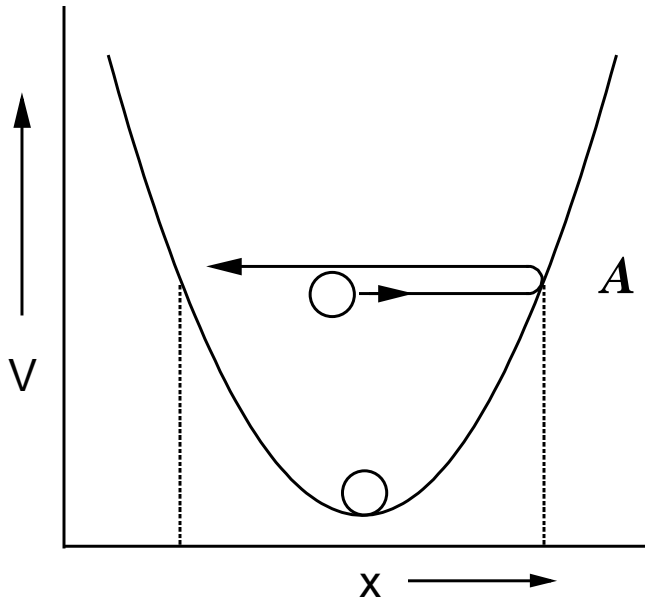
**Harmonic oscillator - oscillates sinusoidally.**

**A is how far the spring is stretched initially.**

**At the turning points, A, -A, motion stops.**

**All energy is potential energy.**

## Potential is Parabolic



$$F = -\frac{\partial V(x)}{\partial x}$$

$$V(x) = \int k x dx = \frac{1}{2} k x^2$$

$$k = 4\pi^2 m \nu^2 = m \omega^2$$

oscillator  
frequency, Hz

oscillator  
frequency, rad/s

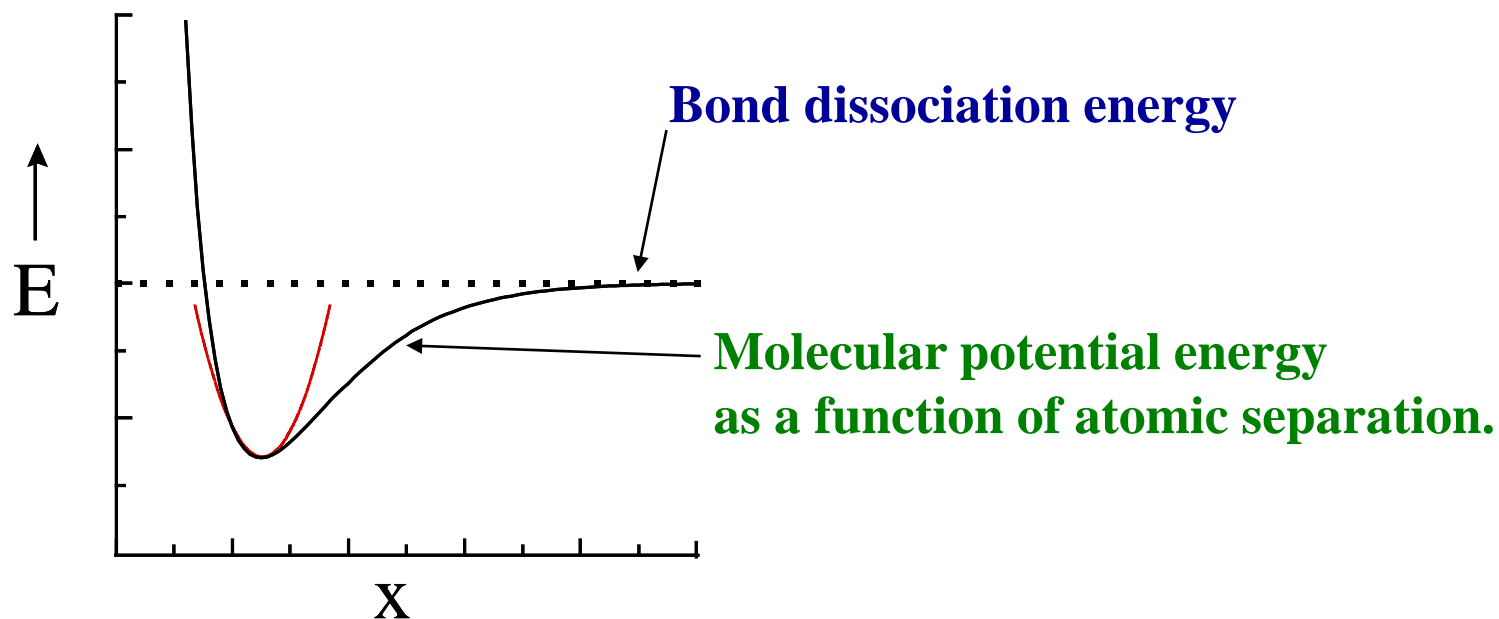
Energy of oscillator is

$$E = \frac{1}{2} k A^2 \quad A - \text{classical turning point.}$$

**A can take on any value. Energy is continuous, continuous range of values.**

# Quantum Harmonic Oscillator

## Simplest model of molecular vibrations

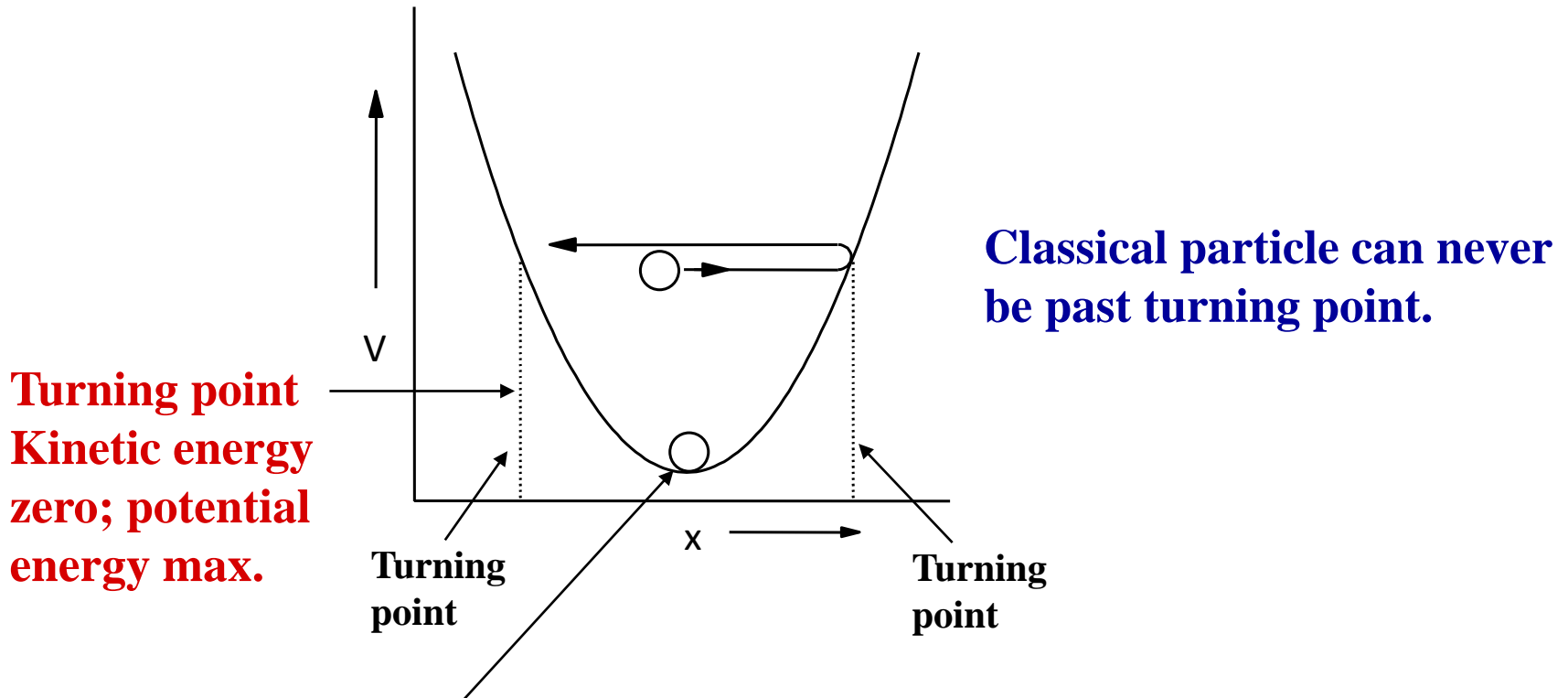


**Bonds between atoms act as "springs".**

**Near bottom of molecular potential well,  
molecular potential approximately parabolic**

**→ Harmonic Oscillator.**

**Potential**  $V(x) = \frac{1}{2}k x^2$



**Particle can be stationary at bottom of well, know position,  $x = 0$ ; know momentum,  $p = 0$ .**

**$\therefore \Delta x \Delta p = 0$**

**This can't happen for Q.M. harmonic oscillator.**

**Uncertainty Principle indicates that minimum Q.M. H.O. energy  $\neq 0$**

# One Dimensional Quantum Harmonic Oscillator in the Schrödinger Representation

$$\underline{H}|\psi\rangle = E|\psi\rangle$$

$$(\underline{H} - E)|\psi\rangle = 0$$

$$\underline{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} k x^2$$

**Schrödinger Representation**

kinetic energy      potential energy

$$\frac{d^2\psi(x)}{dx^2} + \frac{2m}{\hbar^2} [E - 2\pi^2 m v^2 x^2] \psi(x) = 0.$$

Substitute  $\underline{H}$  and  
definition of  $k$ .  
Mult. by  $-2m/\hbar^2$ .

$$\alpha = 2\pi m v / \hbar$$

$$\lambda = \frac{2mE}{\hbar^2} \quad \text{Define}$$

$$\frac{d^2\psi(x)}{dx^2} + (\lambda - \alpha^2 x^2) \psi(x) = 0$$

**Find  $\psi(x)$**

$$\frac{d^2\psi(x)}{dx^2} + (\lambda - \alpha^2 x^2)\psi(x) = 0$$

**Good from  $-\infty \leftrightarrow \infty$ .**

**Must obey Born Conditions**

1. finite everywhere
2. single valued
3. continuous
4. first derivative continuous

---

**Use polynomial method**

1. Determine  $\psi(x)$  for  $x \rightarrow \infty$
2. Introduce power series to make the large  $x$  solution correct for all  $x$ .

$$\frac{d^2\psi(x)}{dx^2} + (\lambda - \alpha^2 x^2)\psi(x) = 0$$

**For very large  $x$ , as  $x$  goes to infinity.**

$$\alpha^2 x^2 \gg \lambda \qquad \lambda = \frac{2mE}{\hbar^2}$$

**Therefore,  $\lambda$  can be dropped.**

$$\longrightarrow \frac{d^2\psi}{dx^2} = \alpha^2 x^2 \psi$$

**Try**

$$\psi = e^{\pm \frac{\alpha}{2} x^2}$$

**Then,**

$$\frac{d^2\psi}{dx^2} = \alpha^2 x^2 e^{\pm \frac{\alpha}{2} x^2} \pm \alpha e^{\pm \frac{\alpha}{2} x^2}$$

**This is negligible compared to the first term as  $x$  goes to infinity.**



## Two solutions

$$e^{-\frac{\alpha}{2}x^2}$$

This is O.K. at

$$x = \pm\infty$$

$$e^{+\frac{\alpha}{2}x^2}$$

This blows up at

$$x = \pm\infty$$

Not finite everywhere.

Therefore, large  $x$  solution is

$$\psi(x) = e^{-\frac{\alpha}{2}x^2}$$

For all  $x$

$$\psi(x) = e^{-\frac{\alpha}{2}x^2} f(x)$$

Must find this.



$$\psi(x) = e^{-\frac{\alpha}{2}x^2} f(x)$$

**Need second derivative in Schrödinger equation**

$$\frac{d^2 \psi(x)}{dx^2} = e^{-\frac{\alpha}{2}x^2} (\alpha^2 x^2 f - \alpha f - 2\alpha x f' + f'')$$

**With**  $f' = \frac{df}{dx}$  **and**  $f'' = \frac{d^2 f}{dx^2}$

---

**Substitute**  $\frac{d^2 \psi(x)}{dx^2}$  **and**  $\psi(x)$  **into the original equation**

$$\frac{d^2 \psi(x)}{dx^2} + (\lambda - \alpha^2 x^2) \psi(x) = 0$$

**and divide by**  $e^{-\frac{\alpha}{2}x^2}$  **gives**

$$f'' - 2\alpha x f' + (\lambda - \alpha) f = 0$$

**Equation only in  $f$ .  
Solve for  $f$  and have  $\psi(x)$  .**

divide by  $\alpha$

$$\frac{1}{\alpha} f'' - 2x f' + \left( \frac{\lambda}{\alpha} - 1 \right) f = 0.$$

substitute

$$\gamma = \sqrt{\alpha} x$$

$$f(x) = H(\gamma)$$

Gives

$$\frac{d^2 H(\gamma)}{d\gamma^2} - 2\gamma \frac{dH(\gamma)}{d\gamma} + \left( \frac{\lambda}{\alpha} - 1 \right) H(\gamma) = 0.$$

**Hermite's equation**

---

Substitute series expansion for  $H(\gamma)$

$$H(\gamma) = \sum_{\nu} a_{\nu} \gamma^{\nu} = a_0 \gamma^0 + a_1 \gamma^1 + a_2 \gamma^2 + a_3 \gamma^3 + \dots$$

$$\frac{dH(\gamma)}{d\gamma} = \sum_{\nu} \nu a_{\nu} \gamma^{\nu-1} = a_1 \gamma^0 + 2a_2 \gamma^1 + 3a_3 \gamma^2 + \dots$$

$$\frac{d^2 H}{d\gamma^2} = \sum_{\nu} \nu(\nu-1) a_{\nu} \gamma^{\nu-2} = 2a_2 \gamma^0 + 6a_3 \gamma^1 + \dots$$

$$\frac{d^2 H(\gamma)}{d\gamma^2} - 2\gamma \frac{dH(\gamma)}{d\gamma} + \left(\frac{\lambda}{\alpha} - 1\right) H(\gamma) = 0. \quad \leftarrow \text{substitute in series}$$

$$2a_2\gamma^0 + 6a_3\gamma^1 + 12a_4\gamma^2 + 20a_5\gamma^3 + \dots$$

$$-2a_1\gamma^1 - 4a_2\gamma^2 - 6a_3\gamma^3 - \dots$$

$$+ \left(\frac{\lambda}{\alpha} - 1\right) a_0\gamma^0 + \left(\frac{\lambda}{\alpha} - 1\right) a_1\gamma^1 + \left(\frac{\lambda}{\alpha} - 1\right) a_2\gamma^2 + \left(\frac{\lambda}{\alpha} - 1\right) a_3\gamma^3 + \dots = 0.$$

The sum of these infinite number of terms in all powers of  $\gamma$  equals 0.

In order for the sum of all the terms in this expression to vanish identically for any  $\gamma$ ,

the coefficients of the individual powers of  $\gamma$  must vanish separately.

To see this consider an unrelated simpler equation.

$$a_5x^5 + a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0 = 0$$

Fifth degree equation. For a given set of the  $a_i$ , there will be 5 values of  $x$  for which this is true. However, if you know this is true for any value of  $x$ , then the  $a_i$  all must be zero.

$$2a_2 + \left(\frac{\lambda}{\alpha} - 1\right)a_0 = 0 \quad [\gamma^0]$$

**Coefficients of like powers of  $\gamma$ .**

$$6a_3 + \left(\frac{\lambda}{\alpha} - 3\right)a_1 = 0 \quad [\gamma^1]$$

$$12a_4 + \left(\frac{\lambda}{\alpha} - 5\right)a_2 = 0 \quad [\gamma^2]$$

$$20a_5 + \left(\frac{\lambda}{\alpha} - 7\right)a_3 = 0 \quad [\gamma^3]$$

**In general**

$$(\nu+1)(\nu+2)a_{\nu+2} + \left(\frac{\lambda}{\alpha} - 1 - 2\nu\right)a_\nu = 0 \quad \nu \text{ is an integer. Index in the expansion.}$$

$$a_{\nu+2} = -\frac{\left(\frac{\lambda}{\alpha} - 2\nu - 1\right)}{(\nu+1)(\nu+2)}a_\nu$$

**Even and odd series.**

**Pick  $a_0$  ( $a_1 = 0$ ), get all even coefficients.**

**Pick  $a_1$  ( $a_0 = 0$ ), get all odd coefficients.**

**Normalization set  $a_0$  and  $a_1$  values.**

**Recursion Formula**

Have expression in terms of series that satisfy the diff. eq.

But not good wavefunction.

Blows up for large  $|x|$  if infinite number of terms. (See book for proof.)

$$\psi(\gamma) = e^{-\frac{\gamma^2}{2}} H(\gamma)$$

$$= e^{-\gamma^2/2} e^{\gamma^2} = e^{\gamma^2/2}$$

For infinite number of terms and large  $|x|$ .

$$(\gamma = \sqrt{\alpha} x)$$

blows up

Unacceptable as a wavefunction.

## Quantization of Energy

If there are a finite number of terms in the series for  $\underline{H}(\gamma)$ ,  
wavefunction does not blow up. Goes to zero at infinity.

$e^{-\gamma^2/2} \gamma^n$     The exponential goes to zero faster than  $\gamma^n$  blows up.

To make series finite, truncate by choice of  $\lambda$ .

$\lambda = \alpha(2n + 1)$      $n$  is an integer.

Then, because

$$a_{\nu+2} = -\frac{\left(\frac{\lambda}{\alpha} - 2\nu - 1\right)}{(\nu+1)(\nu+2)} a_{\nu}$$

with  $a_0$  or  $a_1$  set equal to zero (odd or even series),  
series terminates after

$\nu = n$     a finite number of terms.

Any value of  $\lambda$  with

$$\lambda = (2n + 1)\alpha$$

is O.K. Any other value of  $\lambda$  is no good.

---

Therefore,

$$\lambda = \frac{2mE}{\hbar^2} = (2n + 1) 2\pi m v / \hbar$$

definition of  $\lambda$

definition of  $\alpha$

Solving for  $E$

$$E_n = \left( n + \frac{1}{2} \right) h\nu \quad n \text{ is the quantum number}$$

$$n = 0 \quad E_0 = 1/2 h\nu$$

Lowest energy, not zero. Called zero point energy.

Energy levels equally spaced by  $h\nu$ .



## Energy Levels

$$E_n = \left( n + \frac{1}{2} \right) h\nu$$

## Wavefunctions

$$\psi_n(x) = N_n e^{-\frac{\gamma^2}{2}} H_n(\gamma)$$

$$\gamma = \sqrt{\alpha} x \quad \alpha = 2\pi m\nu / \hbar$$

$$N_n = \left\{ \left( \frac{\alpha}{\pi} \right)^{\frac{1}{2}} \frac{1}{2^n n!} \right\}^{\frac{1}{2}} \quad \text{normalization constant}$$

## Hermite Polynomials

$$H_0(\gamma) = 1\gamma^0$$

$$H_1(\gamma) = 2\gamma$$

$$H_2(\gamma) = 4\gamma^2 - 2\gamma^0$$

$$H_3(\gamma) = 8\gamma^3 - 12\gamma$$

$$H_4(\gamma) = 16\gamma^4 - 48\gamma^2 + 12\gamma^0$$

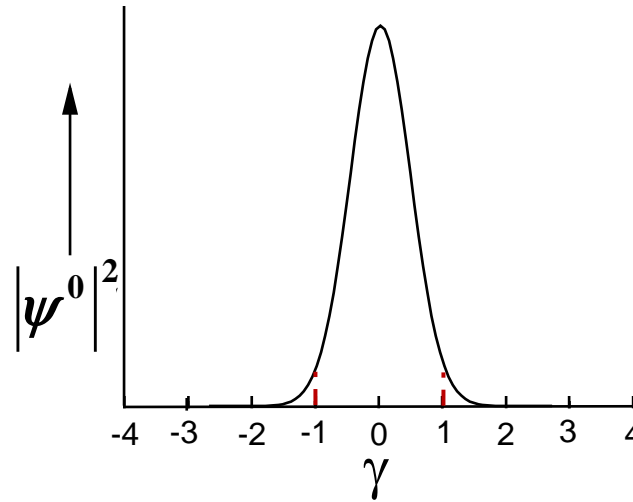
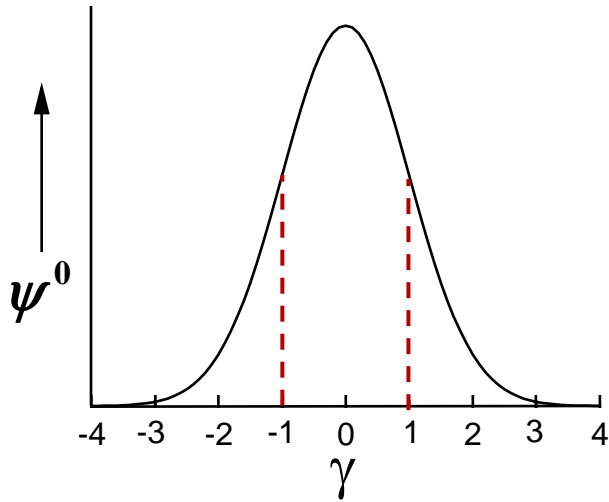
$$H_5(\gamma) = 32\gamma^5 - 160\gamma^3 + 120\gamma$$

$$H_6(\gamma) = 64\gamma^6 - 480\gamma^4 + 720\gamma^2 - 120\gamma^0$$

**Lowest state**  $\rightarrow n = 0$

$$\psi_0(x) = \left(\frac{\alpha}{\pi}\right)^{\frac{1}{4}} e^{-\frac{\alpha}{2}x^2} = \left(\frac{\alpha}{\pi}\right)^{\frac{1}{4}} e^{-\frac{\gamma^2}{2}}$$

**This is a Gaussian.**  
**Minimum uncertainty.**



**Classical turning points**

$$\frac{1}{2}kx^2 = \frac{1}{2}h\nu$$

potential  
energy

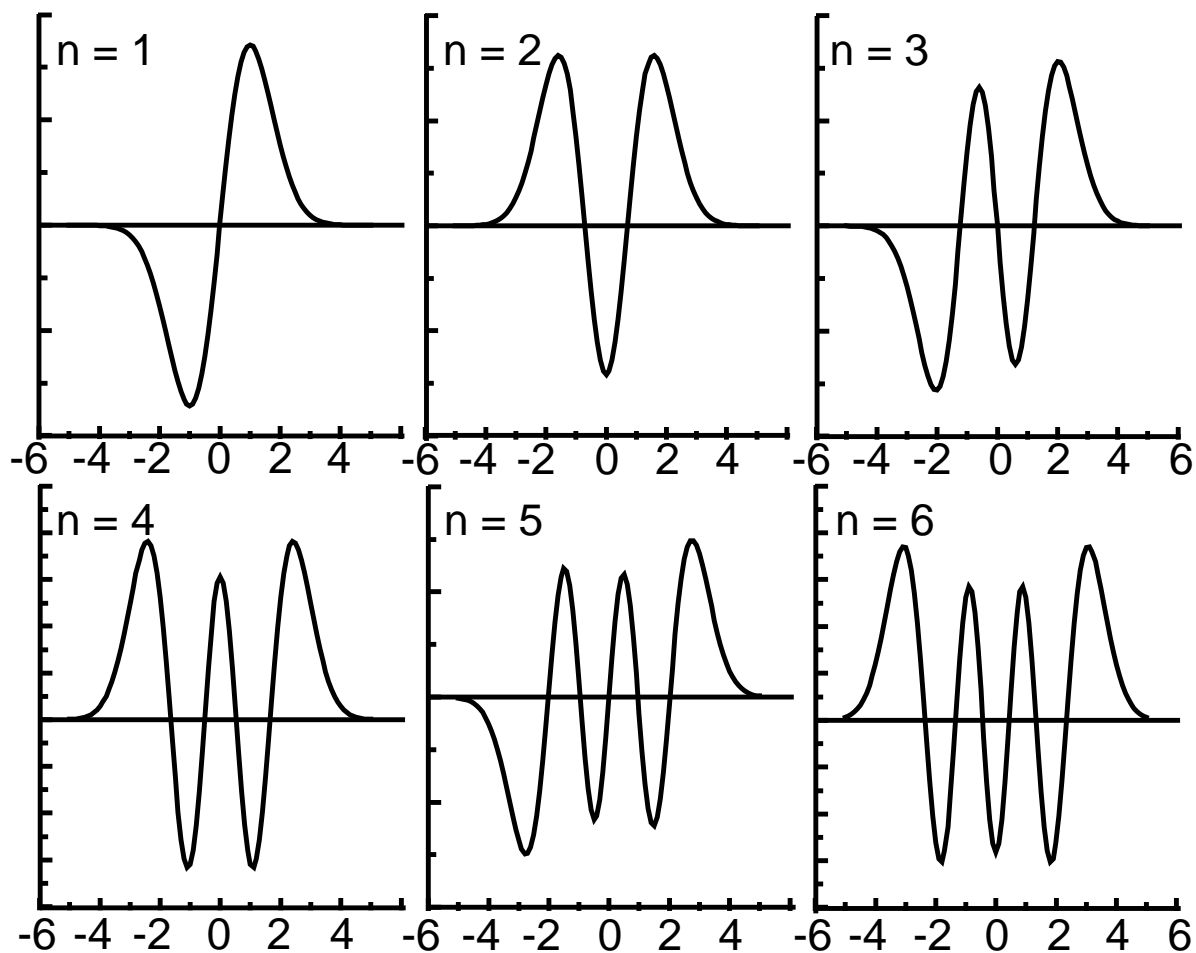
total  
energy

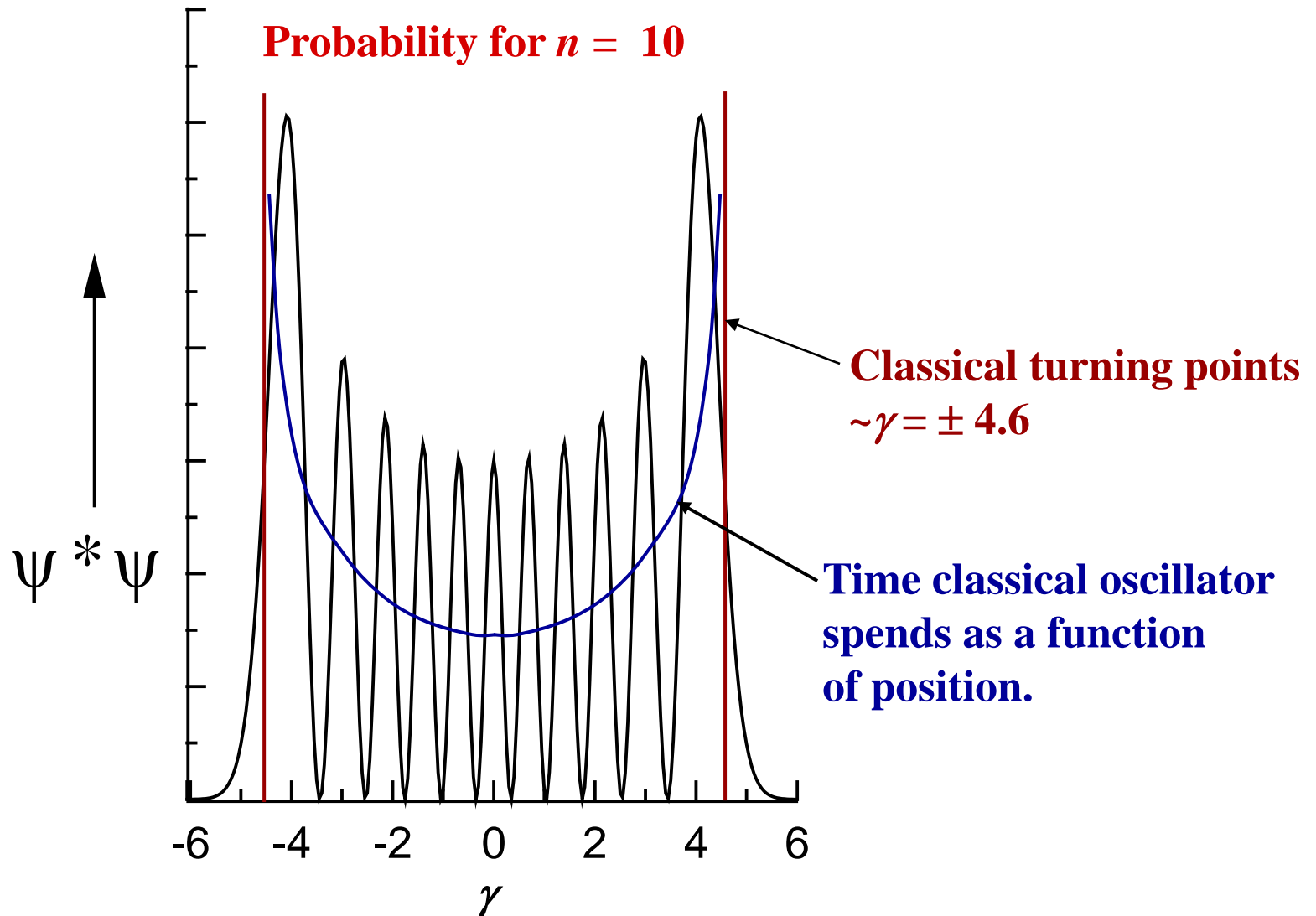
$$\therefore x^2 = \frac{h\nu}{k}$$

$$x = \pm\sqrt{h\nu/k} = \pm\gamma$$

**classical turning points - wavefunction extends into classically forbidden region.**

## More wavefunctions - larger $n$ , more nodes





**Looks increasingly classical.**

**For large object, nodes so closely spaced because  $n$  very large that can't detect nodes.**

# Dirac Approach to Q.M. Harmonic Oscillator

Very important in theories of vibrations, solids, radiation

$$\underline{H} = \frac{\underline{p}^2}{2m} + \frac{1}{2}k\underline{x}^2$$

Want to solve

$$\underline{H} |E\rangle = E |E\rangle$$

eigenkets, normalized

We know commutator relation

$$[\underline{x}, \underline{P}] = i \hbar \underline{1}$$

To save a lot of writing, pick units such that

$$m = 1 \quad k = 1 \quad \hbar = 1$$

In terms of these units

$$H = \frac{1}{2}(\underline{P}^2 + \underline{x}^2)$$

$$[\underline{x}, \underline{P}] = i \underline{1}$$

identity operator

## Define operators

$$\underline{a} = \frac{i}{\sqrt{2}}(\underline{P} - i\underline{x}) \qquad \underline{a}^+ = \frac{1}{i\sqrt{2}}(\underline{P} + i\underline{x})$$

$\underline{a}^+ = \bar{\underline{a}}$      $\underline{a}^+$  is the complex conjugate (adjoint) of  $\underline{a}$  since  $\underline{P}$  and  $\underline{x}$  are Hermitian.

---

Then

$$\begin{aligned} \underline{a}\underline{a}^+ &= \frac{1}{2}[(\underline{P} - i\underline{x})(\underline{P} + i\underline{x})] \\ &= \frac{1}{2}[\underline{P}^2 - i\underline{x}\underline{P} + i\underline{P}\underline{x} + \underline{x}^2] \\ &= \frac{1}{2}[\underline{P}^2 - i(\underline{x}\underline{P} - \underline{P}\underline{x}) + \underline{x}^2] \\ &= \frac{1}{2}[\underline{P}^2 + \underline{x}^2] - \frac{i}{2}[\underline{x}, \underline{P}] \end{aligned}$$

Hamiltonian

commutator

$$\therefore \underline{a}\underline{a}^+ = \underline{H} + \frac{1}{2}\underline{1}$$

$$\underline{a} \underline{a}^+ = \underline{H} + \frac{1}{2} \underline{1}$$

Similarly

$$\underline{a}^+ \underline{a} = \frac{1}{2} [\underline{P}^2 + i(\underline{x} \underline{P} - \underline{P} \underline{x}) + \underline{x}^2]$$

$$\underline{a}^+ \underline{a} = \underline{H} - \frac{1}{2} \underline{1}$$

Therefore

$$\underline{H} = \frac{1}{2} (\underline{a} \underline{a}^+ + \underline{a}^+ \underline{a})$$

Very different looking from Schrödinger Hamiltonian.

and

$$[\underline{a}, \underline{a}^+] = \underline{1}$$

Can also show

$$[\underline{a}, \underline{H}] = \underline{a}$$

$$[\underline{a}^+, \underline{H}] = -\underline{a}^+$$

Consider  $|E\rangle$ ; eigenkets of  $\underline{H}$ , normalized.

$$\underline{a}|E\rangle = |Q\rangle$$

$$\langle Q| = \langle E|\underline{a} = \langle E|\underline{a}^+$$

---

$$\langle Q|Q\rangle \geq 0 \quad \text{scalar product of vector with itself}$$

$$\langle Q|Q\rangle = 0 \quad \text{only if} \quad |Q\rangle = 0$$

We have

$$\langle Q|Q\rangle = \langle E|a^+a|E\rangle \geq 0.$$

Then

$$\langle E|\underline{a}^+\underline{a}|E\rangle = \langle E|\underline{H} - \frac{1}{2}\underline{1}|E\rangle = (E - \frac{1}{2})\langle E|E\rangle \geq 0$$

$$\text{Therefore, } E \geq \frac{1}{2}$$

normalized, equals 1



## Now consider

$$\underline{a} \underline{H} |E\rangle = E \underline{a} |E\rangle \quad \text{eigenket of } \underline{H}$$

commutator

$$[\underline{a}, \underline{H}] = \underline{a} \underline{H} - \underline{H} \underline{a} = \underline{a} \quad \text{rearrange}$$

$$\underline{a} \underline{H} = \underline{H} \underline{a} + \underline{a}$$

Then,

$$(\underline{H} \underline{a} + \underline{a}) |E\rangle = \underline{a} \underline{H} |E\rangle = E \underline{a} |E\rangle$$

$$\underline{H} \underline{a} |E\rangle + \underline{a} |E\rangle = E \underline{a} |E\rangle \quad \text{transpose}$$

$$\underline{H} \underline{a} |E\rangle = E \underline{a} |E\rangle - \underline{a} |E\rangle \quad \text{factor}$$

$$\underline{H} [\underline{a} |E\rangle] = (E - 1) [\underline{a} |E\rangle]$$

these are same

$\underline{a} |E\rangle$  is some ket.

Operate  $\underline{H}$  on ket, get same ket back times number.

$[\underline{a} |E\rangle]$  is eigenket with eigenvalue,  $E - 1$ .

$$\underline{H} [\underline{a} |E\rangle] = (E - 1) [\underline{a} |E\rangle]$$

eigenvalue

eigenket

$\underline{a} |E\rangle = |E - 1\rangle$  Label ket with eigenvalue.

Maybe number multiplying.

Direction defines state, not length.

a is a lowering operator.

$$\underline{H}[\underline{a}|E\rangle] = (E - 1)[\underline{a}|E\rangle]$$

It gives a new eigenvector of H with one unit lower energy.

$$\underline{a}|E\rangle = |E - 1\rangle$$

$$\underline{a}^2|E\rangle = |E - 2\rangle$$

$$\underline{a}^3|E\rangle = |E - 3\rangle$$

•  
•  
•

Each application gives new ket with one unit lower energy.

Could keep doing this indefinitely,  
but

$$E \geq \frac{1}{2}$$

Therefore, at some point we have a value of  $E$ , call it  $E_0$ ,  
such that if we subtract 1 from it

$$E_0 - 1 < \frac{1}{2}$$

But  $E_0 - 1$  can't be  $< 1/2$ . Therefore  $\underline{a}|E_0\rangle = 0$

For eigenvector  $|E_0\rangle$

$$\begin{aligned}\underline{a}^+[\underline{a}|E_0\rangle] &= (\underline{H} - \frac{1}{2}\underline{1})|E_0\rangle \\ &= (E_0 - \frac{1}{2})|E_0\rangle = 0\end{aligned}$$

$$E_0 = \frac{1}{2}$$

$$E_0 = \frac{1}{2}h\nu$$

↑  
not zero

in conventional  
units

## Raising Operator

$$\underline{a}^+ [\underline{H} |E\rangle] = E \underline{a}^+ |E\rangle$$

$$\underline{a}^+ [\underline{H} |E\rangle] = (\underline{H} \underline{a}^+ - \underline{a}^+) |E\rangle \quad \text{using the commutator}$$

$$(\underline{H} \underline{a}^+ - \underline{a}^+) |E\rangle = E \underline{a}^+ |E\rangle$$

rearranging, operating, and factoring as before

$$\underline{H} [\underline{a}^+ |E\rangle] = (E + 1) [\underline{a}^+ |E\rangle]$$

These are the same.

Therefore,  $[\underline{a}^+ |E\rangle]$  is an eigenket of  $\underline{H}$  with eigenvalue  $E + 1$ .

number here, but direction defines state

$$\underline{a}^+ |E\rangle = |E + 1\rangle$$

$\underline{a}^+$  takes state into new state, one unit higher in energy.  
It is a raising operator.

$|E_0\rangle$  is the state of lowest energy with eigenvalue (energy)  $1/2$ .  
 Apply raising operator repeatedly. Each application gives state higher in energy by one unit.

$$\underline{H}|E_0\rangle = \frac{1}{2}|E_0\rangle$$

eigenvalue, one unit higher in energy

$$\underline{H}[a^+|E_0\rangle] = \frac{3}{2}|E_0 + 1\rangle$$

$$\underline{H}[a^{+2}|E_0\rangle] = \frac{5}{2}|E_0 + 2\rangle$$

$$\underline{H}[a^{+3}|E_0\rangle] = \frac{7}{2}|E_0 + 3\rangle$$

•  
•  
•

$$E = \frac{1}{2}, \frac{3}{2}, \frac{5}{2}, \frac{7}{2}, \dots \quad E_n = \left(n + \frac{1}{2}\right)$$

With normal units  $E_n = \left(n + \frac{1}{2}\right)h\nu$

Same result as with Schrödinger Eq.

## **Schrödinger vs. Dirac Approaches**

- 1. Hamiltonian and method of solution, mathematically very different, but eigenvalues the same.**
- 2. Dirac, only needed Hamiltonian and commutator, no auxiliary Born Conditions.**
- 3. No wave functions in Dirac approach. Wave functions intermediate step in Schrödinger method. Not necessary. Not real in sense not observables, but can be useful in qualitative understanding.**

**Eigenkets**  $\longrightarrow$  **labeled with energy**

$$\left| E = n + \frac{1}{2} \right\rangle$$

**Can relabel kets with quantum number**

$$\left| E = n + \frac{1}{2} \right\rangle = |n\rangle \quad \text{Take } |n\rangle \text{ to be normalized.}$$

---

**Raising and Lowering operators**

$$\underline{a}^+ |n\rangle = \beta_n |n+1\rangle \quad \underline{a} |n\rangle = \alpha_n |n-1\rangle$$

$$\beta_n = \sqrt{n+1}$$

$$\alpha_n = \sqrt{n}$$

**numbers multiply ket when raise  
or lower**

$$\underline{a}^+ |n\rangle = \sqrt{(n+1)} |n+1\rangle$$

$$\underline{a} |n\rangle = \sqrt{n} |n-1\rangle$$

**Will derive these below.**

## Consider operator

$\underline{a}^+ \underline{a}$  operating on  $|n\rangle$

$$\begin{aligned}\underline{a}^+ \underline{a}|n\rangle &= \underline{a}^+ \sqrt{n}|n-1\rangle \\ &= n|n\rangle\end{aligned}$$

**Therefore**

$$\underline{a}^+ \underline{a}|n\rangle = n|n\rangle$$

$|n\rangle$  is an eigenket of operator  $\underline{a}^+ \underline{a}$  with eigenvalue  $n$ .

$\underline{a}^+ \underline{a}$   **number operator. Eigenvalue – quantum number**

---

**Important in Quantum Theory of Radiation and Solids**

$\underline{a}^+$  and  $\underline{a}$  **called creation and annihilation operators.**  
**Number operator gives number of photons in radiation field  
or number of phonons (quantized vibrations of solids) in crystal.**

## Using the occupation number representation with normal units

$$\underline{H} = \frac{1}{2} \hbar \omega (\underline{a} \underline{a}^+ + \underline{a}^+ \underline{a})$$

$$\omega = 2\pi\nu = (k/m)^{1/2}$$

---

Consider  $\underline{H}|n\rangle$

$$\begin{aligned} \underline{H}|n\rangle &= \frac{1}{2} \hbar \omega (\underline{a} \underline{a}^+ |n\rangle + \underline{a}^+ \underline{a} |n\rangle) \\ &= \frac{1}{2} \hbar \omega (\underline{a} (n+1)^{1/2} |n+1\rangle + \underline{a}^+ n^{1/2} |n-1\rangle) \\ &= \frac{1}{2} \hbar \omega ((n+1)^{1/2} (n+1)^{1/2} |n\rangle + n^{1/2} n^{1/2} |n\rangle) \\ &= \frac{1}{2} \hbar \omega (2n+1) |n\rangle \\ &= \hbar \omega \left( n + \frac{1}{2} \right) |n\rangle = \left( n + \frac{1}{2} \right) h\nu |n\rangle \end{aligned}$$

Therefore,  $|n\rangle$  are eigenkets of  $\underline{H}$  with eigenvalues  $\left( n + \frac{1}{2} \right) \hbar \omega$ .



## Units in the raising and lowering operators

$$\underline{a} = \frac{i}{(2\hbar\omega)^{1/2}} \left( \frac{1}{m^{1/2}} \underline{P} - ik^{1/2} \underline{x} \right)$$

$$\underline{a}^+ = \frac{1}{i(2\hbar\omega)^{1/2}} \left( \frac{1}{m^{1/2}} \underline{P} + ik^{1/2} \underline{x} \right)$$

$$\underline{a} = \frac{1}{(2\hbar\omega)^{1/2}} \left( \frac{i}{m^{1/2}} \underline{P} + k^{1/2} \underline{x} \right)$$

$$\underline{a}^+ = \frac{1}{(2\hbar\omega)^{1/2}} \left( \frac{-i}{m^{1/2}} \underline{P} + k^{1/2} \underline{x} \right)$$

Many constants. This is the reason why derivation was done in units such that  $m = 1$   $k = 1$   $\hbar = 1$ .  
**Need constants and units to work problems.**

Bring  $i$  inside.

Multiply top and bottom by  $-i$ , and bring  $-i$  inside.

$$\left( \underline{a} + \underline{a}^+ \right) = \frac{1}{(2\hbar\omega)^{1/2}} \left( 2k^{1/2} \underline{x} \right) = \left( \frac{2k}{\hbar\omega} \right)^{1/2} \underline{x} \quad \text{Add operators, } \underline{P} \text{ cancels.}$$

$$\underline{x} = \left( \frac{\hbar\omega}{2k} \right)^{1/2} \left( \underline{a} + \underline{a}^+ \right) \quad \underline{x} \text{ in terms of raising and lowering operators.}$$

$$\underline{P} = -i \left( \frac{\hbar m \omega}{2} \right)^{1/2} \left( \underline{a} - \underline{a}^+ \right)$$

**Subtract operators, get  $\underline{P}$  in terms of raising and lowering operators.**

Can use the raising and lowering operator representation to calculate any Q.M. properties of the H. O.

### Example

$\langle x^4 \rangle \Rightarrow$  for ground state, average value of  $x^4$

$$\langle \mathbf{0} | \underline{x}^4 | \mathbf{0} \rangle$$

### In Schrödinger Representation

$$\int_{-\infty}^{\infty} \psi_0^* \underline{x}^4 \psi_0 dx$$

$$\underline{x} = \left( \frac{\hbar \omega}{2k} \right)^{1/2} (\underline{a} + \underline{a}^+)$$

$$\langle \mathbf{0} | \underline{x}^4 | \mathbf{0} \rangle = \left( \frac{\hbar \omega}{2k} \right)^2 \langle \mathbf{0} | (\underline{a} + \underline{a}^+)^4 | \mathbf{0} \rangle$$

constant -  $C$

$$= C \left[ \langle \mathbf{0} | \underline{a}^4 | \mathbf{0} \rangle + \langle \mathbf{0} | \underline{a}^3 \underline{a}^+ | \mathbf{0} \rangle + \langle \mathbf{0} | \underline{a}^2 \underline{a}^+ \underline{a} | \mathbf{0} \rangle + \dots \right. \\ \left. + \langle \mathbf{0} | (\underline{a}^+)^4 | \mathbf{0} \rangle \right]$$

**Many terms. Must keep order correct. Operators don't commute.**

Could write out all of the terms, but easier way.

Any term that doesn't have same number of  $\underline{a}$ 's and  $\underline{a}^+ = 0$

**Example**  $\langle 0 | \underline{a}^+ \underline{a}^+ \underline{a}^+ \underline{a}^+ | 0 \rangle = \langle 0 | 4 \rangle$

orthogonal = 0

Any operator that starts with  $\underline{a}$  is zero.

$$\cdots \underline{a} | 0 \rangle = 0$$

Can't lower past lowest state.

$$\langle 0 | \underline{a} \underline{a}^+ \underline{a}^+ \underline{a} | 0 \rangle = 0$$

Terms with  $\langle 0 | \underline{a}^+$  are also zero  
because

$$\underline{a} | 0 \rangle = | Q \rangle = 0$$

$$0 = \overline{| Q \rangle} = \langle Q | = \langle 0 | \underline{a}^+$$

## Only terms left are

$$\langle \mathbf{0} | \underline{a} \underline{a}^+ \underline{a} \underline{a}^+ | \mathbf{0} \rangle$$

$$\langle \mathbf{0} | \underline{a} \underline{a} \underline{a}^+ \underline{a}^+ | \mathbf{0} \rangle$$

$$\therefore \langle \mathbf{0} | \underline{x}^4 | \mathbf{0} \rangle = \left( \frac{\hbar \omega}{2k} \right)^2 \left[ \langle \mathbf{0} | \underline{a} \underline{a}^+ \underline{a} \underline{a}^+ | \mathbf{0} \rangle + \langle \mathbf{0} | \underline{a} \underline{a} \underline{a}^+ \underline{a}^+ | \mathbf{0} \rangle \right]$$

$$\underline{a}^+ |n\rangle = \sqrt{(n+1)} |n+1\rangle \quad \underline{a} |n\rangle = \sqrt{n} |n-1\rangle$$

$$\begin{aligned} \langle \mathbf{0} | \underline{a} \underline{a}^+ \underline{a} \underline{a}^+ | \mathbf{0} \rangle &= \langle \mathbf{0} | \underline{a} \underline{a}^+ \underline{a} | \mathbf{1} \rangle \\ &= \langle \mathbf{0} | \underline{a} \underline{a}^+ | \mathbf{0} \rangle \\ &= \langle \mathbf{0} | \underline{a} | \mathbf{1} \rangle \\ &= \langle \mathbf{0} | \mathbf{0} \rangle = 1 \end{aligned}$$

$$\begin{aligned} \langle \mathbf{0} | \underline{a} \underline{a} \underline{a}^+ \underline{a}^+ | \mathbf{0} \rangle &= \langle \mathbf{0} | \underline{a} \underline{a} \underline{a}^+ | \mathbf{1} \rangle \\ &= \langle \mathbf{0} | \underline{a} \underline{a} | \mathbf{2} \rangle \sqrt{2} \\ &= \sqrt{2} \langle \mathbf{0} | \underline{a} | \mathbf{1} \rangle \sqrt{2} \\ &= 2 \langle \mathbf{0} | \mathbf{0} \rangle = 2 \end{aligned}$$

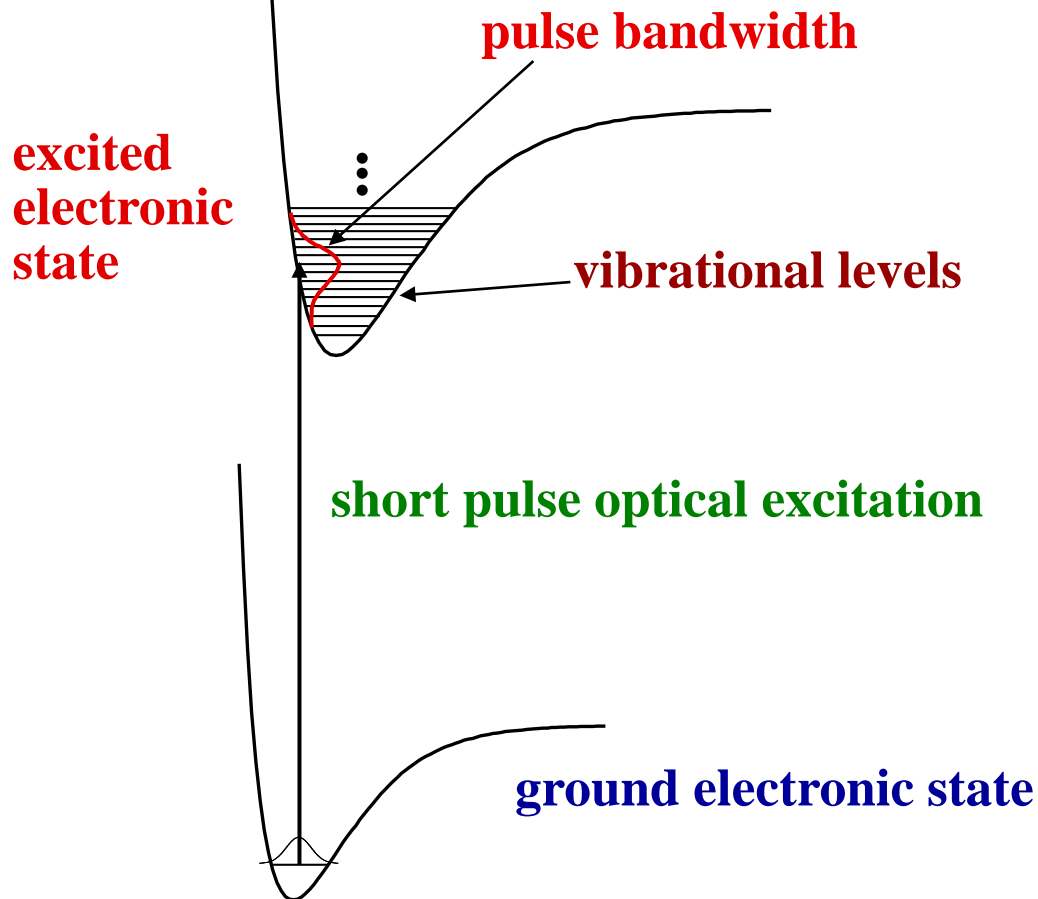
$$\therefore \langle \mathbf{0} | \underline{x}^4 | \mathbf{0} \rangle = \frac{3 \hbar^2 \omega^2}{4 k^2}$$

**No integrals.**

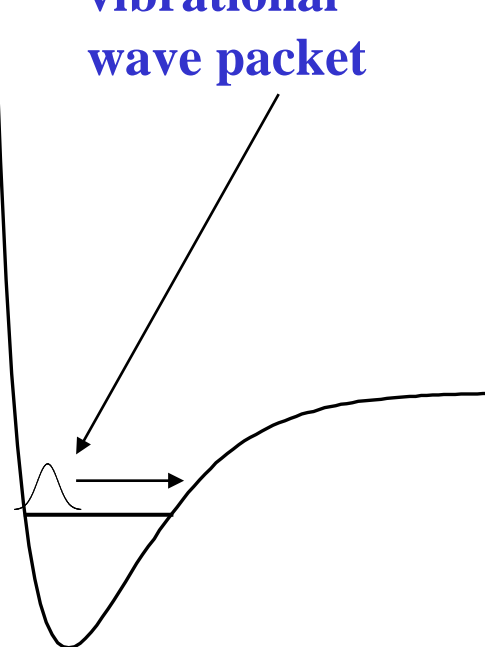
**Must be able to count.**

# Vibrational Wave Packet

A short optical pulse will excite many vibrational levels of the excited state potential surface.



**Launches vibrational wave packet**



# Model Excited State Vibrational Wave Packet with H. O. States

## Time dependent H. O. ket

$$|n(t)\rangle = |n\rangle e^{-iE_n t / \hbar}$$

Superposition representing wave packet on excited surface

$$|t\rangle = \sum_n \alpha_n |n\rangle e^{-i\omega_n t}$$

---

Calculate position expectation value - average position - center of packet.

$$\langle t | \underline{x} | t \rangle$$

$$\underline{x} = \left( \frac{\hbar \omega}{2k} \right)^{1/2} (\underline{a} + \underline{a}^+)$$

$$\langle t | \underline{x} | t \rangle = \sum_m \alpha_m^* e^{i\omega_m t} \sum_n \alpha_n e^{-i\omega_n t} \langle m | \underline{x} | n \rangle$$

$$= \sum_{m,n} \alpha_m^* \alpha_n e^{-i(\omega_n - \omega_m)t} \sqrt{\frac{\hbar \omega}{2k}} \langle m | \underline{a} + \underline{a}^+ | n \rangle$$

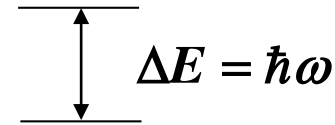
$\langle m | \underline{a} + \underline{a}^+ | n \rangle$       **only non-zero**

**if**  $m = n \pm 1$

**Then**

$$\langle t | \underline{x} | t \rangle = \sqrt{\frac{\hbar\omega}{2k}} \left[ \sum_n \left\{ \left( \alpha_{n-1}^* \alpha_n e^{-i(\omega_n - \omega_{n-1})t} \sqrt{n} \right) + \left( \alpha_{n+1}^* \alpha_n e^{-i(\omega_n - \omega_{n+1})t} \sqrt{n+1} \right) \right\} \right]$$

**But**  $\omega_n - \omega_{n-1} = \omega$     **and**     $\omega_n - \omega_{n+1} = -\omega$



$\Delta E = \hbar\omega$

$$\langle t | \underline{x} | t \rangle = \sqrt{\frac{\hbar\omega}{2k}} \left[ \sum_n \left\{ \left( \alpha_{n-1}^* \alpha_n e^{-i\omega t} \sqrt{n} \right) + \left( \alpha_{n+1}^* \alpha_n e^{i\omega t} \sqrt{n+1} \right) \right\} \right]$$

**This expression shows that  $\langle x \rangle$  time dependent.**

**Time dependence is determined by superposition of vibrational states produced by radiation field.**



## Simplify

Take  $n$  large so  
 $n > 1$

Also,

$$\alpha_i = \alpha$$

Otherwise

$$\alpha_j = 0$$

Each state same amplitude in superposition  
for some limited set of states.

---

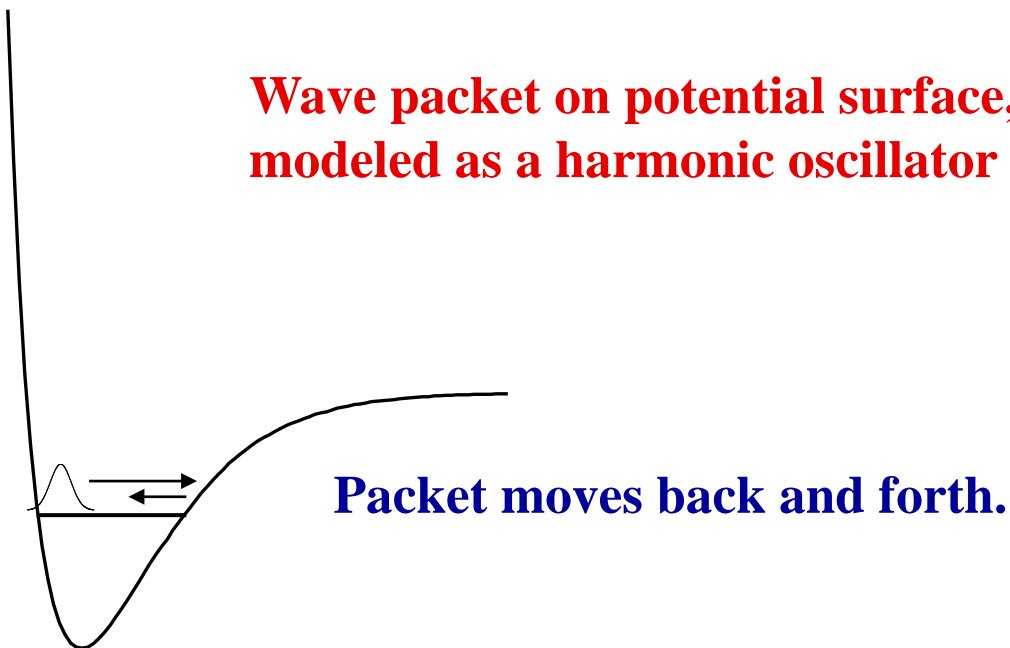
Using these

$$\langle t | \underline{x} | t \rangle = \sqrt{\frac{\hbar\omega}{2k}} \alpha^2 \sum_n \sqrt{n} (e^{-i\omega t} + e^{i\omega t})$$

$$\langle t | \underline{x} | t \rangle = 2\alpha^2 \sqrt{\frac{\hbar\omega}{2k}} \sum_n \sqrt{n} \cos(\omega t)$$

**Position oscillates as  $\cos(\omega t)$ .**

**Wave packet on potential surface,  
modeled as a harmonic oscillator potential.**



---

## **I<sub>2</sub> example**

**Ground state excited to B state**

**$\lambda \sim 565$  nm**

**20 fs pulse  $\longrightarrow$  bandwidth  $\sim 700$  cm<sup>-1</sup>**

**Level spacing at this energy  $\longrightarrow \sim 69$  cm<sup>-1</sup>**

**Take pulse spectrum to be rectangle and all  $\alpha$  excited same within bandwidth.**

**States  $n = 15$  to  $n = 24$  excited**

**(Could be rectangle)**

**Cos +1 to -1**

**distance traveled twice coefficient of Cos**

$$4\alpha^2 \sqrt{\frac{\hbar\omega}{2k}} \sum_n \sqrt{n}$$

**10 equal amplitude states.**

$$\alpha^2 = 0.1$$

$$k = \mu\omega^2 \quad \mu = 1.05 \times 10^{-22} \text{ g}$$

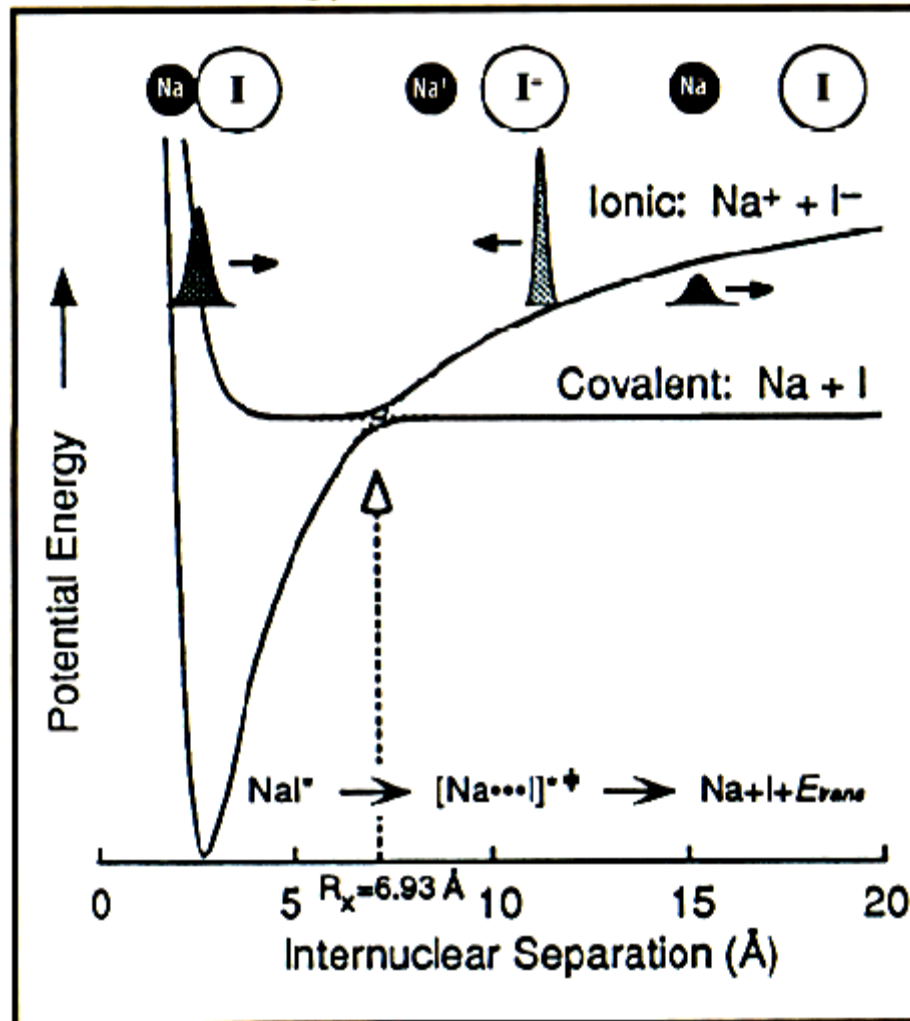
$$\omega = 1.3 \times 10^{13} \text{ Hz}$$

**Distance traveled = 1.06 Å.**

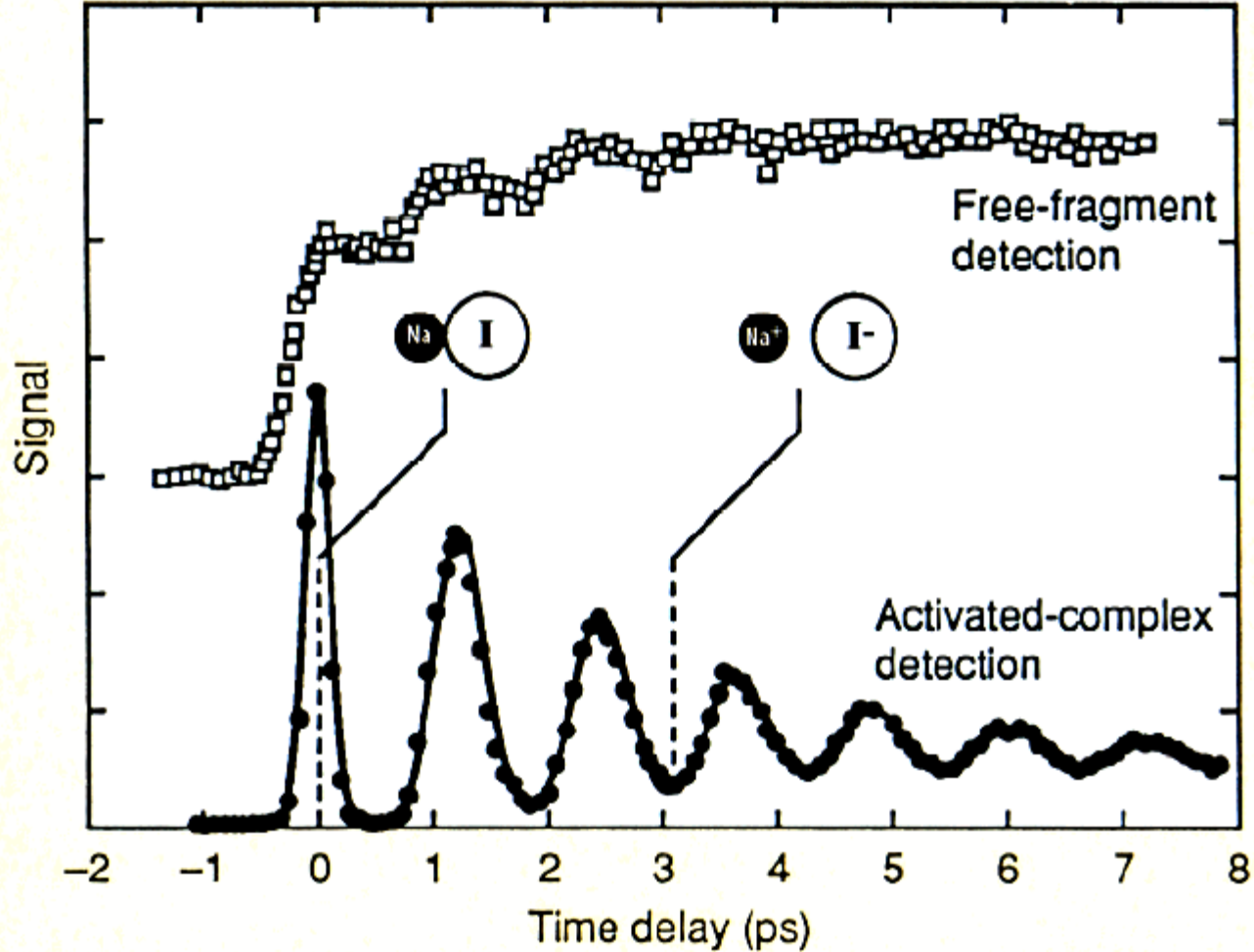
**Comparable to bond length – 2.66 Å.**

# NaI Photodissociation - Zewail

## Potential Energy Surfaces



## Experimental



**Every time the wave packets hit the outer potential wall, some tunneling occurs and a little puff of products, Na + I, comes out.**

$$\underline{a}^+ |n\rangle = \beta_n |n+1\rangle \quad \underline{a} |n\rangle = \alpha_n |n-1\rangle$$

---

**To find**  $\alpha_n$  and  $\beta_n$

$$\langle n-1 | \underline{a} | n \rangle = \alpha_n$$

$$\langle n+1 | \underline{a}^+ | n \rangle = \beta_n \longleftarrow \text{Take complex conjugate}$$

$$\langle n | \underline{a} | n+1 \rangle = \beta_n^* = \alpha_{n+1}$$

---

**Now**  $\langle n | \underline{a} \underline{a}^+ | n \rangle = (n+1) \longleftarrow$

because  $\underline{a} \underline{a}^+ = \underline{H} + 1/2$

$$(\underline{H} + 1/2) |n\rangle = (n + 1/2 + 1/2) |n\rangle$$

---

**Work out**

$$\begin{aligned} \langle n | \underline{a} \underline{a}^+ | n \rangle &= \langle n | \underline{a} | n+1 \rangle \beta_n \\ &= \langle n | n \rangle \alpha_{n+1} \beta_n \end{aligned}$$

$$\langle n | \underline{a} \underline{a}^+ | n \rangle = \alpha_{n+1} \beta_n = n+1 \longleftarrow \text{from here}$$

$$\alpha_{n+1}\beta_n = n + 1$$

**But**  $\beta_n^* = \alpha_{n+1}$

**Then**  $\beta_n^*\beta_n = |\beta_n|^2 = n + 1$

**and**  $\alpha_{n+1}\alpha_{n+1}^* = |\alpha_{n+1}|^2 = n + 1$

**Therefore,**

$$|\alpha_{n+1}|^2 = |\beta_n|^2 = n + 1$$

**True if**

$$\beta_n = \sqrt{n+1}$$

$$\alpha_n = \sqrt{n}$$