Policies and Initiatives: International Traffic in Arms Regulations (ITAR) and Other Export Controlled Defense Articles, Software and Technical Data

Explanation of Policy

Because of its large foreign national student population and its commitment to research that is conducted openly and without regard to citizenship, Stanford University will generally not permit the use of ITAR and other export controlled defense articles, software and technical data on its campus or other university research facilities. Stanford, by policy, only performs “fundamental research” as defined by US export control regulations, namely basic and applied research that is not subject to access, dissemination or participation restrictions. These policies are articulated in Stanford’s Research Policy Handbook at Sections 1.4 (Openness in Research) and 8.1 (Export Controls Applicability, Policy Background and Regulatory Authority).

Policy Implementation

For these reasons, Stanford suppliers may NOT ship – and Stanford employees may NOT purchase on behalf of Stanford - any of the following items without express written preauthorization from Stanford’s Export Control Officer (steve.eisner@stanford.edu):

- Any ITAR-Controlled Defense Article, ITAR-Controlled Software or ITAR Technical Data. ITAR (International Traffic in Arms Regulations) controlled items are identified on its United States Munitions List (USML) at Part 121;
- Any “500/600 Series” Defense Article identified on the Export Administration Regulation’s (EAR) Commerce Control List (CCL) in CCL Categories 0 through 9. “500/600 Series” Defense Articles have an ECCN Citation of which includes either a 5 or 6 in the third spot of a five digit alphanumeric sequence. Example: ECCN 9A610;
- Wassenaar Arrangement Munitions List Items. The Wassenaar Arrangement is a multilateral export control organization that regulates national security controlled items among member states.

Questions

Stanford University personnel and Stanford University-authorized suppliers may contact Stanford’s Export Control Officer, Steve Eisner, for guidance. Steve may be reached at steve.eisner@stanford.edu or (650) 724-7072.