TOPICAL REVIEW

A review of micropumps

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Abstract

We survey progress over the past 25 years in the development of microscale devices for pumping fluids. We attempt to provide both a reference for micropump researchers and a resource for those outside the field who wish to identify the best micropump for a particular application. Reciprocating displacement micropumps have been the subject of extensive research in both academia and the private sector and have been produced with a wide range of actuators, valve configurations and materials. Aperiodic displacement micropumps based on mechanisms such as localized phase change have been shown to be suitable for specialized applications. Electroosmotic micropumps exhibit favorable scaling and are promising for a variety of applications requiring high flow rates and pressures. Dynamic micropumps based on electrohydrodynamic and magnetohydrodynamic effects have also been developed. Much progress has been made, but with micropumps suitable for important applications still not available, this remains a fertile area for future research.

Nomer	nclature	λ_{D}	Debye shielding length
		μ	viscosity
A_{d}	diaphragm area	N	number of pores/capillaries/channels
a	pore/capillary/channel radius	n_i	number density of species i
B	magnetic flux density	ν	material Poisson ratio
C	capacitance	P	power
D_{d}	hydraulic diameter	$p_{\rm a}$	applied driver pressure
d_{d}	diaphragm diameter	Δp	pressure differential
E	electric field	$\Delta p_{\rm max}$	maximum pressure differential
$E_{ m y}$	material Young's modulus	Q	volumetric flow rate
e	electron charge	Q_{max}	maximum volumetric flow rate
ε	permittivity	q	charge density
ε_{C}	compression ratio	ρ	density
ζ	zeta potential	Re	Reynolds number
η	thermodynamic efficiency	$S_{\rm p}$	package size
$\eta_{ m est}$	estimated thermodynamic efficiency	S	electrode separation distance
F	electrostatic force	Sr	Strouhal number
$f_{\rm sp}$	self-pumping frequency	σ	stress
$f_{\rm r}$	diaphragm resonant frequency	$\sigma_{ m y}$	material yield stress
f	operating frequency	T	temperature
J	current density	$t_{ m d}$	diaphragm thickness
k	Boltzmann constant	U	flow velocity
κ	compressibility	V	electrical potential difference
l	pore/capillary/channel length	V_0	dead volume

 ΔV stroke volume

y₀ diaphragm centerline displacement

 z_i valence number of species i

1. Introduction

From biology and medicine to space exploration and microelectronics cooling, fluid volumes, on the order of a milliliter—the volume contained in a cube 1 cm on a sideand below figure prominently in an increasing number of engineering systems. The small fluid volumes in these systems are often pumped, controlled or otherwise manipulated during operation. For example, biological samples must be moved through the components of miniature assay systems [1-4], and coolant must be forced through micro heat exchangers [5-7]. Microfluidic transport requirements such as these can sometimes be met by taking advantage of passive mechanisms, most notably surface tension [8–11]. For other applications, macroscale pumps, pressure/vacuum chambers and valves provide adequate microfluidic transport capabilities [12–15]. Yet for many microfluidic systems, a self-contained, active pump, the package size of which is comparable to the volume of fluid to be pumped, is necessary or highly desirable. In this introduction, we consider a few applications briefly to gain insight into design parameters relevant to micropumps.

Dispensing therapeutic agents into the body has long been a goal of micropump designers. Among the first micropumps, those developed by Jan Smits in the early 1980s were intended for use in controlled insulin delivery systems for maintaining diabetics' blood sugar levels without frequent needle injections [16]. Micropumps might also be used to dispense engineered macromolecules into tumors or the bloodstream [17, 18]. High volumetric flow rates are not likely to be required of implanted micropumps (the amount of insulin required by a diabetic per day, for example, is less than a milliliter) but precise metering is of great importance [17, 19-21]. The pressure generation requirements for implantable micropumps are not insignificant, as the back pressure encountered in vivo can be as high as 25 kPa. Reliability, power consumption, cost and biocompatibility are critical [17, 20, 22]. To date, deficiencies in these areas have precluded widespread implantantion of micropumps. For example, currently available implanted insulin delivery systems employ static pressure reservoirs metered by solenoid-driven valves and are over 50 cm³ in size [15, 22, 23].

A number of researchers have sought to develop micropumps for use in single- or two-phase cooling of microelectronic devices [5–7]. Microelectronics cooling is highly demanding with respect to flow rate. For instance, Tuckerman and Pease's seminal paper on liquid-phase chip cooling contemplated flow rates of several hundred milliliters per minute [7]. Recent studies indicate that two-phase convective cooling of a 100 W microchip will require flow rates of order 10 ml min⁻¹ or more [5, 24, 25]. The fundamental scaling associated with pressure-driven flow dictates that high pressures (100 kPa or greater) will be required to force such high flow rates through microchannels and/or jet structures found in micro heat sinks. In the laminar regime, an order-of-magnitude decrease in the hydraulic diameter of a

channel (the channel cross-sectional area multiplied by four and divided by its perimeter) increases by two orders of magnitude the pressure difference required to maintain a constant average flow velocity. Cost and power consumption are also important considerations, the latter especially for mobile units. Micropumps might also be built directly into integrated circuits to cool transient hot spots, and so fabrication methods and temporal response characteristics may be particularly important [26]. Insensitivity to gas bubbles is also important as bubbles are present in and detrimental to many microfluidic systems.

Much attention has been focused recently on miniature systems for chemical and biological analysis [1-4, 27-30]. Miniaturization of chemical assays systems can reduce the quantities of sample and reagents required and often allows assays to be performed more quickly and with less manual intervention. Miniaturization also enables portability as in the case of a portable chemical analysis system under development at Sandia National Labs [31]. Miniaturization sometimes offers the further advantage of enabling use of inexpensive disposable substrates. Although fluids (typically liquids) must typically be introduced into, and transported within, these micro total analysis systems (μ TAS) during operation, micropumps are found in very few current-generation systems. Liquid transport is instead often accomplished through manual pipetting, with external pneumatic sources, or by inducing electroosmotic flow. The limited use of micropumps in μ TAS may be partly due to the lack of available micropumps with the necessary combination of cost and performance.

Compatibility with the range of fluid volumes of interest will be necessary if micropumps are to become more widely used in μ TAS. Monitoring single cells may require manipulation of fluid volumes on the order of 1 pl—the volume contained in a cube 10 μ m on a side [32–34]. Microchipbased systems used in drug discovery amplify DNA, separate species through capillary electrophoresis, and/or interface with mass spectrometers with sample volumes ranging from hundreds of picoliters to hundreds of microliters [1–3, 35–37]. Patient pain considerations have prompted manufacturers of in vitro blood glucose monitors for diabetics to minimize sample size requirements; current systems need a sample volume of only one-third of a microliter [38]. Detecting microbes in human body liquids often requires somewhat larger sample volumes; for example, a common immunoassaybased blood test for malaria uses a sample volume of 10 μ l [39, 40]. Other parameters important for μ TAS include working fluid properties such as pH, viscosity, viscoelesticity and temperature, as well as the presence of particles (e.g., cells or dust) which may disrupt operation of pumps and Secondary effects associated with reliability and corrosion include the impact of mechanically shearing the sample, chemical reactions, adsorption of analytes and wear of moving parts.

Space exploration is another exciting area for micropump technologies. Miniature roughing pumps are needed for use in mass spectrometer systems to be transported on lightweight spacecraft [41]. Such a pump would likely be required to achieve a vacuum of approximately 0.1 Pa, the level at which high vacuum pumps typically become effective [42]. Miniature roughing pumps have been sought

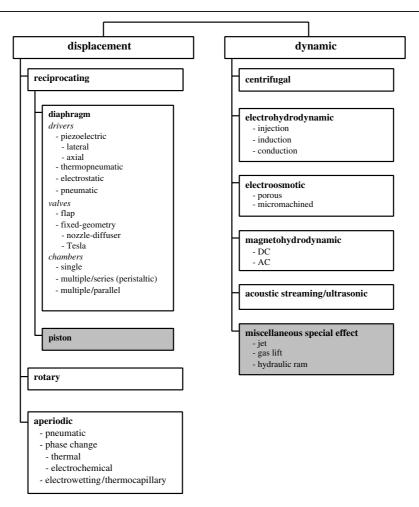


Figure 1. Classification of pumps and micropumps; after Krutzch and Cooper [46]. Unshaded boxes are pump categories reviewed here of which operational micropumps have been reported.

for other applications as well [43]. Micropropulsion is another potential application of micropumps in space. For example, ion-based propulsion systems proposed for future 1–5 kg 'microspacecraft' may require delivery of compressed gases at 1 ml min⁻¹ flow rates [44, 45]. Larger stroke volumes are generally required for pumping gases than for pumping liquids, making these space exploration applications particularly challenging.

Inspired by this wide range of applications, over 200 archival journal papers reporting new micropumps or analyzing micropump operation have been published since Smits' micropump was first developed in the 1980s. A robust, coherent system of categorization is helpful for making sense of the diverse set of devices that have been reported. In this review, we categorize micropumps according to the manner and means by which they produce fluid flow and pressure. Our system of micropump classification, illustrated in figure 1, is applicable to pumps generally and is essentially an extension of the system set forth by Krutzch and Cooper for traditional pumps [46]. Pumps generally fall into one of two major categories: (1) displacement pumps, which exert pressure forces on the working fluid through one or more moving boundaries and (2) dynamic pumps, which continuously add energy to the working fluid in a manner that increases either its momentum (as in the case of centrifugal pumps)

or its pressure directly (as in the case of electroosmotic and electrohydrodynamic pumps). Momentum added to the fluid in a displacement pump is subsequently converted into pressure by the action of an external fluidic resistance. Many displacement pumps operate in a periodic manner, incorporating some means of rectifying periodic fluid motion to produce net flow. Such periodic displacement pumps can be further broken down into pumps that are based on reciprocating motion, as of a piston or a diaphragm, and pumps that are based on rotary elements such as gears or vanes. The majority of reported micropumps are reciprocating displacement pumps in which the moving surface is a diaphragm. These are sometimes called membrane pumps or diaphragm pumps. Another subcategory of displacement pumps are aperiodic displacement pumps, the operation of which does not inherently depend on periodic movement of the pressure-exerting boundary. Aperiodic displacement pumps typically pump only a limited volume of working fluid; a syringe pump is a common macroscale example. Dynamic pumps include centrifugal pumps, which are typically ineffective at low Reynolds numbers and have only been miniaturized to a limited extent, as well as pumps in which an electromagnetic field interacts directly with the working fluid to produce pressure and flow (electrohydrodynamic pumps, electroosmotic pumps and magnetohydrodynamic pumps) and acoustic-wave micropumps¹.

In figure 1, open boxes represent pump categories of which operational micropumps have been reported. In our use of the term micropump, we adhere to the convention for microelectromechanical systems, with the prefix micro considered to be appropriate for devices with prominent features having length scales of order 100 μ m or smaller. Many pumps that meet this criterion are micromachined, meaning that they are fabricated using tools and techniques originally developed for the integrated circuit industry or resembling such tools and techniques (e.g., tools involving photolithography and etching). Techniques such as plastic injection molding and precision machining have also been used to produce micropumps. In keeping with the nomenclature associated with nanotechnology, we consider the term nanopump to be appropriate only for devices with prominent features having length scales of order 100 nm or smaller (so pumps that pump nanoliter volumes of liquid are not necessarily nanopumps). We suggest, that, in general, that the term nanopump should be used judiciously, with terms that more accurately describe the operation of a nanoscale device used when appropriate. Of course, subcontinuum effects may be important in nanopumps and some micropumps, particularly in the case of devices that pump gases [47]. As an aside, we note that electric-motor-driven miniature reciprocating displacement pumps that are compact relative to most macroscopic pumps (but larger than the micropumps discussed here) are commercially available. The performance of several such pumps is reviewed by Wong et al [31].

In this review, we consider the various categories of micropumps individually. We review important features, analyze operation, describe prominent examples and discuss applications. We then compare micropumps of all categories, recognizing that the enormous variation among micropumps makes such comparisons difficult. Throughout this review, we pay particular attention to the maximum measured volumetric flow rate reported for micropumps, Q_{max} , and the maximum measured micropump differential pressure, Δp_{max} . Since many of the micropumps discussed here are explicitly targeted for applications where compactness is important, we also consider micropump overall package size, S_p . When S_p is not explicitly reported, we attempt to estimate size from images, by making inferences from known dimensions, etc. An interesting metric is the ratio of maximum flow rate Q_{max} to package size S_p , which we refer to as the selfpumping frequency, $f_{\rm sp}$. We also discuss certain micropump operating parameters, particularly operating voltage, V, and operating frequency, f. These parameters partially determine the electronics and other components needed to operate the micropump—important considerations for sizeand/or cost-sensitive applications. Power consumption Pand thermodynamic efficiency η are also important operational parameters, but unfortunately these measures are rarely reported. We urge the community to collect and report power consumption and thermodynamic efficiency data on all The most useful definition of micropumps of interest.

thermodynamic efficiency for a pump producing a flow rate Q against a back pressure Δp is $\eta = Q^* \Delta p/P$ [48]. We further suggest that the community report values of P reflecting the total power consumed by the pump (including power consumed by motors and other actuators, voltage conversion, power transmission, etc). In any case, the adopted definitions of η and P should be described in detail for each reported micropump. In this paper, we recount efficiency for micropumps for which measured values are specifically reported. For micropump papers which do not report η but do report Q_{\max} , Δp_{\max} and P, we use these values to calculate estimated thermodynamic efficiency, $\eta_{\rm est}$, by assuming that pump flow rate is an approximately linear function of load pressure. Estimated thermodynamic efficiency $\eta_{\rm est}$ is then $0.25Q_{\max}\Delta p_{\max}/P$.

As a supplement to this review, the reader may wish to refer to other reviews of micropump technologies [49–51], surveys of micro total analysis systems [27, 28, 52, 53], more general surveys of microfluidics [54–58] and surveys of microelectromechanical systems [59–63].

2. Displacement micropumps

2.1. Reciprocating displacement micropumps

The vast majority of reported micropumps are reciprocating displacement micropumps—micropumps in which moving boundaries or surfaces do pressure work on the working fluid in a periodic manner. Pistons are the moving boundaries in many macroscale reciprocating displacement pumps, but traditional, sealed piston structures have not been used in micropumps. In most reciprocating displacement micropumps, the forceapplying moving surface is instead a deformable platethe pump diaphragm—with fixed edges. Common pump diaphragm materials include silicon, glass, and plastic. Figure 2 depicts the structure and operation of a generic diaphragm-based reciprocating displacement micropump. The basic components are a pump chamber (bounded on one side by the pump diaphragm), an actuator mechanism or driver and two passive check valves—one at the inlet (or suction side) and one at the outlet (or discharge side). The generic reciprocating displacement micropump shown in figure 2 is constructed from four layers of material. Micropumps made from as few as two and as many as seven layers of material have been reported.

During operation, the driver acts on the pump diaphragm to alternately increase and decrease the pump chamber volume. Fluid is drawn into the pump chamber during the chamber expansion/suction stroke and forced out of the pump chamber during the contraction/discharge stroke. The check valves at the inlet and outlet are oriented to favor flow into and out of the pump chamber, respectively, rectifying the flow over a two-stroke pump cycle. The basic design illustrated in figure 2 is perhaps most directly attributable to Harald van Lintel and coworkers, who reported a two-valve, single-chamber reciprocating displacement micropump in the journal *Sensors and Actuators* in 1988 [64]. Van Lintel *et al*'s micropump comprises an entire 2 inch silicon wafer bonded between two like-sized glass plates and is therefore relatively large ($S_p \cong 4 \text{ cm}^3$). The pump chamber is a 12.5 mm

¹ Krutzch and Cooper refer to noncentrifugal dynamic pumps as 'special effect' pumps, a classification that is abandoned here in favor of identifying the specific physical mechanism that imparts momentum to the working fluid.

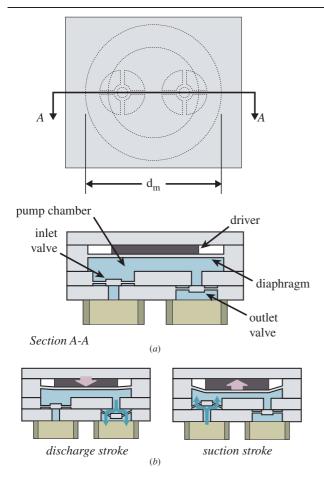


Figure 2. Structure and operation of a typical reciprocating displacement micropump. (*a*) Top view and section. (*b*) Discharge and suction strokes. During the discharge stroke, the driver acts to reduce the pump chamber volume, expelling working fluid through the outlet valve. During the suction stroke, the pump chamber is expanded, drawing working fluid in through the inlet valve.

diameter, $130 \, \mu \text{m}$ deep cavity etched in the silicon wafer using an ethylene diamine/pyrocatechol/pyrazine solution (EDP) with a silicon oxide mask. Diaphragm-like check valves and connecting channels are also etched in the silicon substrate. A 0.19 mm thick glass plate seals the pump chamber side of the device; a thicker piece of glass seals the other side. The portion of the thin glass plate above the pump chamber is the pump diaphragm; a piezoelectric disk actuator is affixed to this glass diaphragm. Van Lintel *et al*'s micropump is driven by lateral strain in the piezoelectric disk. This design was patented in 1992 [65, 66]. Reported performance is $Q_{\text{max}} = 8 \, \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 10 \, \text{kPa}$ at $f = 1 \, \text{Hz}$ and $V = 125 \, \text{V}$.

Reciprocating displacement micropumps with a wide range of designs have been reported. Key features and measured performances characteristics of reported reciprocating displacement micropumps are summarized (and referenced) in table 1. While most micropump designs have a single pump chamber, a few micropumps have multiple pump chambers arranged either in series or in parallel as listed in the table. Driver types and configurations vary widely; reciprocating displacement micropumps with piezoelectric, electrostatic, thermopneumatic and pneumatic drivers among others, have been reported. Various valve designs based on

flaps or other moving structures have been developed, as have fixed-geometry structures that rectify flow using fluid inertial effects. Variations among reciprocating displacement micropumps are discussed further below.

2.1.1. Modeling reciprocating displacement micropump The operation of reciprocating displacement micropumps often involves the interaction of several types of mechanics including electromechanical forces, solid mechanics and fluid mechanics. Because of this complexity, accurate, tractable, broadly applicable analytical models of reciprocating displacement micropump operation are not readily available. Low-order lumped-parameter models provide significant insight on key aspects of micropump operation [67-69]. Finite element analysis is also a useful tool in studying reciprocating displacement micropumps. Commercial packages such as ANSYS and ALGOR have been used to analyze the response of micropump diaphragms subjected actuator forces [69-71]. A variety of numerical and semianalytical approaches have been taken in the study of fluid flows in reciprocating displacement micropumps [72-74]; commercial packages suitable for such analysis include CFDRC, Coventor, FEMLAB and ANSYS FLOTRAN [75, 115].

In an effort to elucidate certain aspects of reciprocating displacement micropump operation, we present a simple analysis assuming quasi-static flow and ideal valve operation. The Reynolds number, $Re = \rho U D_{\rm h}/\mu$, and the Strouhal number, $Sr = f D_{\rm h}/U$, of the fluid flow within the micropump impact the validity of this model. The analysis below is especially useful for reciprocating displacement micropumps operating in flow regimes characterized by both very low Reynolds number and low Reynolds number and Strouhal number product [47, 76, 77].

The pressure and flow rate generated by reciprocating displacement pumps depend on the (1) stroke volume ΔV , or the difference between the maximum and minimum volumes of the pumping chamber over the course of the pump cycle; (2) pump dead volume V_0 , or the minimum fluid volume contained between the inlet and outlet check valves at any point during the pump cycle; (3) pump operating frequency, f; (4) properties of the valves; and (5) properties of the working fluid. For ideal valves ($\Delta p_{\text{forward}} = 0$ and $\Delta p_{\text{reverse}} \rightarrow \infty$) and an incompressible working fluid, conservation of mass dictates that the flow rate is simply the product of the stroke volume ΔV and the operating frequency f. ΔV depends strongly on the characteristics of the micropump driver. For example, some piezeoelectrical drivers essentially function as displacement sources, while other drivers are well modeled as pressure sources. For displacement source-like drivers, diaphragm displacement (and therefore ΔV) is limited by the mechanical failure criteria of the diaphragm. For pressure source-like drivers, the diaphragm stiffness and dynamic response limit ΔV and f. In either case, analysis of the mechanical properties of a generic pump diaphragm is informative. For a micropump diaphragm with diameter d_d and uniform thickness t_d clamped at its perimeter and subjected to a uniform applied driver force per unit cross-sectional area p_a , the diaphragm centerline displacement y_0 is [78]

$$\frac{p_{\rm a}d_{\rm d}^4}{16E_{\rm v}t_{\rm d}^4} = \frac{5.33}{(1-\nu^2)}\frac{y_0}{t_{\rm d}} + \frac{2.6}{(1-\nu^2)}\left(\frac{y_0}{t_{\rm d}}\right)^3,\tag{1}$$

 Table 1. Reciprocating displacement micropumps.

Author and year	Driver	Valves	Construction	Pump chambers	Diaphragm material	S_p (approx.) (mm ³)	Diaphragm thickness (mm)	Working fluid	V(V)	f(Hz)	$\Delta p_{\rm max}$ (kPa)	Q_{max} (ml min ⁻¹)
van Lintel 1988	Piezoelectric	Flap (diaphragm-	glass-Si-glass	1	Glass	4100	0.3	Water	125	0.1	24	0.0006
[64]	(lateral)	ring mesa)	1 0' 1	2 (6)	CI.	1500	0.19	Water	100	1	9.8	0.008
Smits 1990 [16]	Piezoelectric (lateral)	None	glass-Si-glass	3 (S)	Glass	1500	n/r	Water	100	15	5.9	0.1
Stemme 1993 [91]	Piezoelectric	Fixed-geometry	Brass	1	Brass	2500	0.2	Water	20	110	21	4.4
	(lateral)	(nozzle-diffuser)						Water	20	310	4.9	16
								Air	20	6000	0.78	35
Gass 1994 [111]	Piezoelectric (lateral)	Flap (diaphragm- ring mesa)	glass-Si-glass	1	Glass	11 800	0.3	Water	250	40	9.0	0.55
Forster 1995 [180]	Piezoelectric (lateral)	Fixed-geometry	Si-glass	1	Glass	n/r	0.15	Water	150	114	n/r	0.038
Carrozza 1995 [95]	Piezoelectric (lateral)	Ball	Polymer-brass	1	Brass	1270	0.1	Water	300	70	25	2.7
Gerlach 1995 [179]	Piezoelectric	Fixed-geometry	Si-Si-glass	1	Glass	200	0.12	Water	50	3000	3.2	0.39
	(lateral)	(nozzle-diffuser)						Methanol	50	5000	7	0.32
Olsson 1995 [88]	Piezoelectric (lateral)	Fixed-geometry (nozzle-diffuser)	Brass	2 (P)	Brass	1600	0.35	Water	130	540	16	16
Olsson 1996 [89]	Piezoelectric (lateral)	Fixed-geometry (nozzle-diffuser)	Si–glass	2 (P)	Glass, silicon	270	0.3 (Si), 0.5 (glass)	Methanol	n/r	1318	17	0.23
Bardell 1997 [286]	Piezoelectric	Fixed-geometry	Si-glass	1	Glass	n/r	0.15	Water	300	100	2.3	0.085
	(lateral)	(tesla)				220	0.5	Water	290	3000	47	0.75
Olsson 1997 [110]	Piezoelectric (lateral)	Fixed-geometry (nozzle-diffuser)	Si–glass	2 (P)	Glass	260	0.5	Water	200	3500	74	1.1
Kamper 1998 [92]	Piezoelectric	Flap (diaphragm-	Molded	1	Brass/	500	0.15	Water	n/r	70	200	0.4
1 ,	(lateral)	ring mesa)	polycarbonate (two layers)		polycarbonate			Air	n/r	n/r	50	3.5
Koch 1998 [114]	Piezoelectric (lateral)	Flap (cantilever)	Si–Si–Si	1	Silicon	n/r	0.07	Ethanol	600	200	1.8	0.12
Linnemann	Piezoelectric	Flap (cantilever)	Si–Si–Si	1	Silicon	111	0.04	Water	160	220	n/r	1.2
1998 [81]	(lateral)				2						/ -	
Richter 1998 [80]	Piezoelectric	Flap (cantilever)	Si–Si	1	Silicon	n/r	0.04	Water	160	220	n/r	0.7
	(lateral)							Air	n/r	300	n/r	1.4
Bohm 1999 [94]	Piezoelectric (lateral)	Flap (diaphragm- ring mesa)	Molded plastic	1	Brass	290	0.075	Water	350	50	12	1.9
Andersson	Piezoelectric	Fixed-geometry	Si-glass	1	Silicon	n/r	n/r	Water	97	700	n/r	0.0023
2001 [182]	(lateral)	(nozzle-diffuser)	e e			,	,				,	
Schabmueller	Piezoelectric	Fixed-geometry	Si–Si	1	Silicon	120	0.07	Ethanol	190	2400	1.0	1.5
2002 [116]	(lateral)	(nozzle-diffuser)						Air	190	3400	n/r	0.69
ThinXXS2000 2003 [93]	Piezoelectric (lateral)	Flap (diaphragm- ring mesa)	Micro-injection molded/laser	1	Plastic	4600	n/r	Water	450	20	35	2.5
2003 [73]	(micrui)	ing mesu,	welded plastic									
MIP Implantable	Piezoelectric	Flap (diaphragm-	Glass–Si–	1	Silicon	357	n/r	Water	150	0.2	55	0.0017
2003 [98]	(lateral)	ring mesa)	glass–Si									

n/a: not applicable; n/r: not reported; S: series configuration; P: parallel configuration.

0.044
0.0063
0.014
0.53
0.006
0.0045
0.009
n/r
).1
3.5

Author and year	Driver	Valves	Construction	Pump chambers	Diaphragm material	S _p (approx.) (mm ³)	Diaphragm thickness (mm)	Working fluid	V(V)	f(Hz)	$\Delta p_{ m max}$ (kPa)	Q_{max} (ml min ⁻¹)
Stehr 1996 [101]	Piezoelectric (lateral/ cantilever)	None	Perspex-Si	1	Silicon	n/r	0.018 (bossed)	Water	200	190	17	1.5
Esashi 1989 [100]	Piezoelectric (axial)	Flap (tethered plate)	Si-Si w/spun- on glass layer	1	Silicon	800	0.05	Water	90	30	6.4	0.015
Shoji 1990 [85]	Piezoelectric (axial)	Flap (tethered plate)	Glass–Si–glass	1 2 (P) 2 (S)	Silicon Silicon Silicon	4000 4000 4000	0.05 0.05 0.05	Water Water Water	100 100 100	50 50 25	n/r n/r 10.7	0.022 0.042 0.018
Li 2000 [102]	Piezoelectric (axial)	Flap (diaphragm- ring mesa)	Si, glass (7 layers)	1	Silicon	3300	0.025 (bossed)	Silicone oil	1200	3500	304	3
Zengerle 1995 [90]	Electrostatic	Flap (cantilever)	Si	1	Silicon	98	n/r	Water	200	300	29	0.16
Richter 1998 [80]	Electrostatic	Flap (cantilever)	Si–Si	1	Silicon	n/r	n/r	Water	n/r	400	n/r	0.26
van de Pol 1990 [123]	Thermo- pneumatic (air)	Flap (diaphragm- ring mesa)	Glass–Si–Si– Si–glass	1	Silicon	3000	0.018	Water	6	1	5.1	0.034
Folta 1992 [131]	Thermo- pneumatic (air)	None	Si–Si–Si	3 (S)	Silicon	n/r	0.002	Water	n/r	1	n/r	n/r
Elwenspoek 1994 [124]	Thermo- pneumatic (air)	Flap (diaphragm- ring mesa)	Glass-Si-glass	1	Silicon	n/r	n/r	Water	n/r	5	n/r	0.055
Schomburg 1994 [125]	Thermo- pneumatic (air)	Flap (diaphragm- ring mesa)	Polymer (polysulphone)	1	Polyimide	n/r	0.0025	Air	15	5	3.8	0.044
Grosjean 1999 [126]	Thermo- pneumatic (air)	None	Acrylic, silicon, glass	3 (S)	Parylene/ silicone rubber	970	0.12	Water	n/r	2	3.4	0.0063
Jeong 2000 [127]	Thermo- pneumatic (air)	Fixed-geometry (nozzle-diffuser)	Glass-Si-glass	1	Silicon	n/r	0.002	Water	8	4	0	0.014
Wego 2001 [96]	Thermo- pneumatic (air)	Flap (diaphragm- ring mesa)	Printed circuit board (4 layers)	1	Polyimide	780	0.0078	Water		2	12	0.53
Yoon 2001 [97]	Thermo- pneumatic (water/phase- -change)	Flap (cantilever)	Si–glass	1	Silicone rubber	72	0.03	Water	10	0.5	0.10	0.006
Tsai 2002 [132]	Thermopneumatic (bubble)	Fixed-geometry (nozzle-diffuser)	Glass–Si	1	n/a	n/r	n/a	Isopropyl alcohol	20	400	0.38	0.0045
Zimmermann 2004 [133]	Thermo- pneumatic (bubble)	Flap (in-plane)	Glass–Si	1	n/a	n/r	n/a	Isopropyl alcohol	n/r	10	16	0.009
Rapp 1994 [142]	Pneumatic	None	Gold, polyimide, glass	3 (S)	Titanium	n/a	0.003	Water	n/a	5	2.3	n/r
Grosjean 1999 [126]	Pneumatic	None	Acrylic, silicon, glass	3 (S)	Parylene/ silicone rubber	n/a	0.122	Water	n/a	16	34.5	0.1
Meng 2000 [146]	Pneumatic	Flap (tethered plate)	Si, thermoplastic, silicone rubber	1	Silicone rubber	n/a	0.14	Water	n/a	5	5.9	3.5

Table 1. (Continued.)

Author and year	Driver	Valves	Construction	Pump chambers	Diaphragm material	S _p (approx.) (mm ³)	Diaphragm thickness (mm)	Working fluid	<i>V</i> (V)	f(Hz)	$\Delta p_{\rm max}$ (kPa)	Q_{\max} (ml min ⁻¹)
Unger 2000 [143]	Pneumatic	None	Multi-layer elastomer	3 (S)	Elastomer	n/a		Water	n/a	75	n/r	0.000 14
Grover 2003 [144]	Pneumatic	Flap (diaphragm)	Glass–PDMS– glass	1	PDMS	n/a	0.254	Water	n/a	<1	30	0.0028
Berg 2003 [87]	Pneumatic	None	PDMS, glass	2 (S)	PDMS	n/a	2.3	Water	n/a	1	0.17	0.006
Benard 1998 [150]	Shape-memory alloy	Flap (tethered plate)	Silicon	1	TiNi	560	0.003	Water	n/r	0.9	0.53	0.05
Dario 1996 [145]	electromagnetic	Flap (double opposing cantilevers)	Molded plastic	1	Rubber	2500	n/r	Water	14	264	4.6	0.78
Bohm 1999 [94]	Electromagnetic	Flap (diaphragm- ring mesa)	Molded plastic	1	Silicone rubber	1000	0.2	Water Air	5 5	50 400	10 n/r	2.1 40
Yun 2002 [86]	Electrowetting	Flap (cantilever)	Glass-SU8-Si-Si	2	Silicone rubber	n/r	0.08	Water	2.3	25	0.70	0.07

n/a: not applicable; n/r: not reported; S: series configuration; P: parallel configuration.

where E_y and ν are the Young's modulus and Poisson ratio, respectively, of the diaphragm material. The maximum stress σ in the diaphragm is given by

$$\frac{\sigma d_{\rm d}^2}{4E_{\rm y}t_{\rm d}^2} = \frac{4}{(1-\nu^2)} \frac{y_0}{t_{\rm d}} + 1.73 \left(\frac{y_0}{t_{\rm d}}\right)^2. \tag{2}$$

The first mechanical resonance f_r of a 'dry' diaphragm (i.e. one not subject to significant pressure forces from a liquid) is [79]

$$f_r = 2\pi (1.015/d_{\rm d})^2 \sqrt{\frac{E_y t_d^2}{12\rho (1 - v^2)}}$$
 (3)

where ρ is the density of the diaphragm material. Equations (1) and (2), taken together, can be used to estimate the absolute upper limit on ΔV for a given diaphragm geometry, regardless of choice of driver. Equation (1) can be used to determine ΔV directly (absent an external fluid pressure differential and for quasi-static operation) for the subset of reciprocating displacement micropumps with drivers that resemble pressure sources, while equation (3) can be used to determine the range of operating frequencies for which the assumption of quasi-static response is valid. Dynamic effects are relevant in micropumps operating at or near the diaphragm resonant frequency, potentially increasing performance but also making pump performance more dependent on valve characteristics and external conditions. Dynamic effects are discussed further in section 2.1.7 below.

 $\Delta p_{\rm max}$ for reciprocating displacement micropumps with physical drivers and valves is ultimately limited by the driver force and by the valve characteristics. In the operating regime where the driver pressure is much greater than the back pressure and the valve behavior is nearly ideal, the compressibility κ of the working fluid limits pressure generation. For a reciprocating displacement pump with ideal valves, theoretical $\Delta p_{\rm max}$ is [80]

$$\Delta p_{\text{max}} = \frac{1}{\kappa} \varepsilon_{\text{C}} = \frac{1}{\kappa} \left(\frac{\Delta V}{V_0} \right),$$
 (4)

where the ratio between the stroke volume ΔV and the dead volume V_0 is the pump compression ratio ε_C . Because of this dependence of Δp_{max} on κ , reciprocating displacement micropumps are generally capable of achieving higher pressures with liquid-phase working fluids than with gasphase. For a liquid-phase working fluid with low, uniform compressibility, Δp_{max} is determined by the compression ratio $\varepsilon_{\rm C}$, which is (to a degree) at the discretion of the pump designer. However, complications arise due to the very real possibility that bubbles might be present in the working fluid, increasing its compressibility and decreasing Δp_{max} for a given $\varepsilon_{\rm C}$. Although steps can be taken to minimize the likelihood of bubbles reaching the pump chamber, susceptibility to bubbles is a significant problem for reciprocating displacement micropumps. If bubbles are unavoidable, the compression ratio must be sufficiently large that the pump can accommodate a highly compressible working fluid.

Richter *et al* [80] and Linnemann *et al* [81] studied the relationship between $\varepsilon_{\rm C}$ and bubble tolerance by testing three micropumps very similar to one another but with different compression ratios. A micropump with $\varepsilon_{\rm C} = 0.002$ was found to pump water effectively, but stalled when an 8 μ 1 bubble

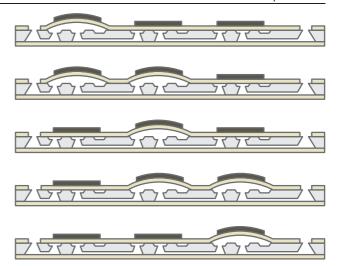


Figure 3. Reciprocating displacement micropump with three pump chambers in series developed by Smits [16]. The micropump is made from an etched silicon substrate bonded between two glass plates. Piezoelectric disks are bonded to the glass above each of the three pump chambers etched in the silicon. Applying a voltage to a piezoelectric actuator causes the glass to bow away from the pump chamber beneath, drawing in fluid. Staggered actuation as shown results in net fluid flow from the inlet at left to the outlet at right.

entered the pump chamber. A micropump with $\varepsilon_{\rm C}=0.017$ exhibited limited bubble tolerance, stalling after two bubbles entered the chamber in succession. A micropump with $\varepsilon_{\rm C}=0.085$ consistently passed bubbles that entered the chamber. Other recent papers have discussed pressure generation by reciprocating displacement micropumps [82, 83].

2.1.2. Chamber configuration. Most reported reciprocating displacement micropumps have a single pump chamber, like the design shown in figure 2. The micropump reported by Smits [16], however, introduced a different chamber configuration, shown in figure 3, in which the working fluid passes through three pump chambers linked in series by etched channels. Channels leading to the first and from the third chambers function as the pump's inlet and outlet. Piezoelectric actuators drive each of the three pump chamber diaphragms individually. Actuating the three piezoelectric disks 120° out of phase with one another produces net flow through the pump. Operating in this manner, the micropump requires no valves to rectify the flow. Micropumps with multiple chambers in series and no valves operate in a manner somewhat similar to macroscale peristaltic pumps, and accordingly are sometimes referred to as peristaltic micropumps. Smits' micropump, which consists of a single etched silicon substrate sandwiched between two glass plates, was patented in the United States in 1990 [84]. It is relatively large ($S_{\rm p}\cong 1.5~{\rm cm^3}$) and pumps water with $Q_{\rm max}=100~\mu{\rm l~min^{-1}}$ and $\Delta p_{\rm max}=600~{\rm Pa}$ operating at $f = 15 \text{ Hz} \text{ and } V = 100 \text{ V}_{p-p}.$

In 1990, Shoji *et al* reported a micropump with two pump chambers in series [85]. Unlike Smits' design, this micropump requires check valves. However, the two-chamber design was reported to operate effectively at higher frequencies than an otherwise-similar single-chamber micropump. Shoji *et al*'s micropump is piezoelectrically driven and fabricated from glass and silicon; its size is $S_p \cong 4.0 \text{ cm}^3$. $Q_{\text{max}} = 18 \, \mu \text{l min}^{-1}$

and $\Delta p_{\rm max}=10.7$ kPa operating at f=25 Hz and V=100 V. Yun et~al reported a reciprocating displacement micropump with two chambers in series driven by electrowetting-induced oscillation of a mercury plug [86]. This micropump pumps water with $Q_{\rm max}=70~\mu {\rm l}~{\rm min}^{-1}$ and $\Delta p_{\rm max}=700$ Pa operating at f=25 Hz and V=2.3 V. P is 0.17 mW and $\eta_{\rm est}$ is 0.12%. Berg et~al [87] demonstrated that pressure and flow can be generated by phased actuation of two chambers in series without use of check valves.

Shoji et al also reported reciprocating displacement micropumps with two pump chambers arranged in parallel [85]. This configuration was intended to reduce oscillation in the pump output due to periodic driver operation. micropump with this parallel-chamber configuration pumps water at $Q_{\text{max}} = 42 \ \mu \text{l min}^{-1}$ operating at $f = 50 \ \text{Hz}$ and V = 100 V; Δp_{max} was not reported. Olsson et al reported reciprocating displacement micropumps with two pump chambers in parallel in which drivers are attached to both the top and bottom surfaces of each pump chamber [88, 89]. A precision-machined brass micropump ($S_p \cong 1.6 \text{ cm}^3$) with this two-chamber, four-diaphragm design pumps water at $Q_{\rm max} = 16 \text{ ml min}^{-1}$ and $\Delta p_{\rm max} = 16.2 \text{ kPa}$ operating at f =540 Hz and V = 130 V. Performance improvements realized with a multi-chamber design must be balanced against increases in fabrication complexity and overall size inherent in this approach. A recent study suggests two-chamber micropump designs are particularly effective when combined with fixed-geometry valves (discussed further below) [69].

Materials and fabrication techniques. 2.1.3. The most common method for fabricating micropumps is micromachining of silicon combined with glass bonding layers, as seen in van Lintel et al's and Smits' micropumps. These early micropumps are large by micromachining standards, each occupying an entire 2 inch silicon wafer. In 1995, Zengerle et al reported a reciprocating displacement micropump with $S_p \cong 0.1 \text{ cm}^3$ [90]. With the pump components efficiently arranged in four layers and a compact electrostatic driver, this micropump pumps water with $Q_{\text{max}} =$ 850 μ l min⁻¹—corresponding to a self-pumping frequency $f_{\rm sp}\cong 1.6$. In comparison, $f_{\rm sp}\cong 0.002$ for van Lintel *et al's* micropump and $f_{\rm sp} \cong 0.07$ for Smits' micropump.

A number of reciprocating displacement micropumps have been fabricated through means other than traditional silicon/glass micromachining. Piezo-driven micropumps made by precision machining of brass were reported by Stemme and Stemme in 1993 [91]. These micropumps are $S_{\rm p}\cong 2.5~{\rm cm}^3$ in size. Two micropumps (with different valves) were reported; one pumps water with $Q_{\rm max}=4.4~{\rm ml~min}^{-1}$ and $\Delta p_{\rm max}=20.6~{\rm kPa}$ operating at $f=110~{\rm Hz}$ and $V=20~{\rm V}$, while the other pumps water with $Q_{\rm max}=15.5~{\rm ml~min}^{-1}$ and $\Delta p_{\rm max}=4.9~{\rm kPa}$ operating at $f=310~{\rm Hz}$. The two-chamber reciprocating displacement micropump reported by Olsson et~al was made by precision machining of brass, but with planar geometries rather than the three-dimensional geometries of the Stemme and Stemme micropumps [88].

Improvements in techniques for fabricating precision components from plastic have led to increasing use of plastics in reciprocating displacement micropumps. Indeed, the only micropump currently in widespread commercial distribution, produced by thinXXS GmbH of Germany (a spin-off company of the Institut für Mikrotechnik Mainz GmbH (IMM)) is made from microinjection molding of plastic [92, 93]. The size of this micropump is $S_p \cong 4.6 \, \mathrm{cm}^3$; it produces $Q_{\mathrm{max}} = 2 \, \mathrm{ml \, min}^{-1}$ and $\Delta p_{\mathrm{max}} = 35 \, \mathrm{kPa}$ at $V = 450 \, \mathrm{V}$ and $f = 20 \, \mathrm{Hz}$. A number of other plastic reciprocating displacement pumps have been reported, including one reported by Bohm *et al* [94] with $S_p \cong 0.28 \, \mathrm{cm}^3$. Carrozza *et al* [95] reported a micropump fabricated by stereolithography of an ultraviolet-photocurable polymer. The size of this micropump is $S_p \cong 1.3 \, \mathrm{cm}^3$; a portion of the micropump is made of brass. It pumps water with $\Delta p_{\mathrm{max}} = 25 \, \mathrm{kPa}$ and $Q_{\mathrm{max}} = 2.7 \, \mathrm{ml \, min}^{-1}$ operating at $V = 300 \, \mathrm{V}$ and $f = 70 \, \mathrm{Hz}$. A reciprocating displacement micropump made from printed circuit boards has also been reported [96].

The choice of pump diaphragm material can be particularly important. For micropumps driven by lowfrequency and/or low-force actuators, a low-modulus diaphragm material generally allows ΔV to be maximized, favorably impacting performance. Mylar [94] and silicone rubber [97] pump diaphragms have been used in thermopneumatically driven reciprocating displacement micropumps for this reason. Since the pump diaphragm comes into contact with the working fluid, however, the stability of soft polymer diaphragms is a concern. A micropump commercially produced by Debiotech S.A. of Switzerland and targeted for implanted drug delivery has a glass diaphragm, even though it operates at f < 1 Hz [98, 99]. This micropump produces flow rates of up to a few $\mu l \min^{-1}$, suitable for therapeutic agent dispensation. For drivers capable of operating at high frequency and which produce ample force, the fast mechanical response of a stiff diaphragm generally yields the best performance. For this reason, silicon and glass are the most common diaphragm materials in piezoelectricdriven reciprocating displacement micropumps.

2.1.4. Diaphragm geometry. Most reported reciprocating displacement micropumps are roughly planar structures between 1 mm and 4 mm thick. The overall size of the micropump depends heavily on the in-plane dimensions, which must be large enough to accommodate the pump diaphragm. To estimate the effects of reducing diaphragm diameter, we consider a generic reciprocating displacement micropump with ideal check valves and a circular, planar diaphragm. Figure 4(a) shows the dependence of diaphragm centerline displacement y_0 on diaphragm diameter d_d for a 100 μ m thick silicon diaphragm subjected to a spatially uniform driver force per unit diaphragm area p_a . Centerline displacement y_0 , obtained using equation (1), is plotted for $p_a = 10^5 \text{ Pa}$, 10^6 Pa and 10^7 Pa . Also plotted is y_0 for σ equal to the yield stress of single-crystal silicon ($\sigma_v =$ 7.0 GPa [59]), obtained using equations (1) and (2) above; and the first resonant frequency of a 'dry' diaphragm, from equation (3). Centerline displacement and first resonance for a 10 μ m thick silicon diaphragm are plotted in figure 4(b). For $y_0 \ll t_d$, centerline displacement scales with the fourth power of diameter, so reducing diaphragm diameter without undue decrease in ΔV generally necessitates the use of a high-force driver. Even with a driver capable of supplying effectively unlimited force, y₀ is limited by the diaphragm's

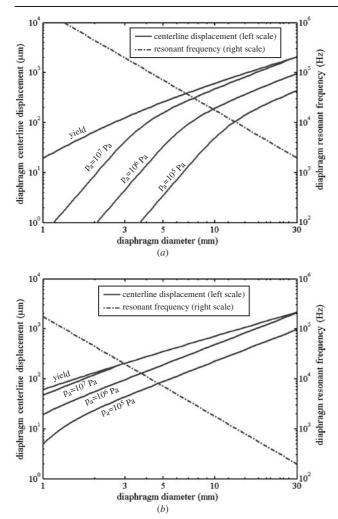


Figure 4. Scaling of pump diaphragm mechanical properties with diaphragm diameter d_d . A spatially uniform, circular diaphragm clamped at its perimeter is assumed. Centerline displacement y_0 is calculated for the driver pressures shown using equation (1). Centerline displacement at the yield point of the diaphragm is calculated using equations (1) and (2). Diaphragm resonant frequency is calculated using equation (3). (a) 100 μ m thick silicon diaphragm; (b) 10 μ m thick silicon diaphragm.

failure criteria—which also scale unfavorably with decreasing diaphragm diameter. Note that, for sinusoidal forcing functions, resonance frequencies that are large compared to the frequency of operation imply that the inertia of the diaphragm can be neglected and its mechanical response becomes quasistatic (although the inertia of the fluid may still be important).

The scaling of bubble-dependent $\Delta p_{\rm max}$ with $d_{\rm d}$ is shown in figure 5. This analysis is independent of pump geometry except for V_0 , which is assumed to equal 0.001 $d_{\rm d}^3$. The working fluid is assumed to be nearly incompressible ($\kappa=0.5~{\rm m}^2~{\rm N}^{-1}$). When no bubbles are present in the working fluid, $\Delta p_{\rm max}$ is given by equation (4) and is independent of $d_{\rm d}$ for a given compression ratio $\varepsilon_{\rm C}$. However, $\Delta p_{\rm max}$ falls off precipitously with diaphragm diameter when a bubble of volume comparable to V_0 is present. Scaling down pump diaphragm diameter presents a significant challenge for designers of reciprocating displacement micropumps.

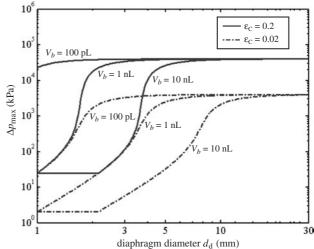


Figure 5. Theoretical scaling with diaphragm diameter $d_{\rm d}$ of maximum generated pressure $\Delta p_{\rm max}$ for reciprocating displacement micropumps. As shown in equation (4), $\Delta p_{\rm max}$ is a function of the micropump's compression ratio, $\varepsilon_{\rm C}$, and of the compressibility, κ , of the fluid in the pump chamber. For $\varepsilon_{\rm C}=$ constant and $\kappa=$ constant, pressure generation is independent of diaphragm diameter. As the diaphragm diameter is scaled down, the impact of a bubble of a given volume V_b in the pump chamber on $\kappa-$ and therefore on $\Delta p_{\rm max}-$ increases. When the bubble fills the entire pump chamber, $\Delta p_{\rm max}$ reaches its minimum. A dead volume of $V_0=0.001d_{\rm d}^3$ is assumed in calculations.

Nonplanar diaphragm geometries have been applied to a limited extent in reciprocating displacement micropumps. Piezoelectrically driven reciprocating displacement micropumps reported by Esashi *et al* [100], Shoji *et al* [85] and Stehr *et al* [101] have diaphragms with bosses at their centers. The diaphragm in a high-performance reciprocating displacement micropump reported by Li *et al* [102] and discussed further below is made from two layers of silicon with interior center bosses to yield piston-like behavior.

2.1.5. *Drivers*. Figure 6 shows common reciprocating displacement micropump driver designs. Figures 6(a)and (b) illustrate piezoelectric drivers in lateral and axial configurations. The free strain that can be produced in the driver places an upper limit on the stroke volume of a piezoelectric-driven micropump. The available driving voltage and the polarization limit of the piezoelectric material, in turn, determine the maximum piezoelectric free strain. PZT-5H, a high-performance piezoceramic, has a d_{31} strain coefficient of $-274 \times 10^{-12} \ \text{C N}^{-1}$ (for strain normal to the polarization direction) and a d_{33} strain coefficient of 593 \times 10^{-12} C N⁻¹ (for strain parallel to the polarization direction). Piezoelectrics can be driven at frequencies over 1 kHz by electric fields on the order of 10 kV cm⁻¹ or higher. The efficiency of electromechanical conversion in piezoelectrics is typically between 10 and 30% (excluding the finite efficiency of the voltage conversion and AC voltage control) [103].

The use of piezoelectrics to drive micropumps can be traced to a class of ink jet printheads developed in the 1970s, illustrated schematically in figure 7. A piezoelectric actuator contracts a chamber in the printhead, causing a droplet of ink to be ejected from the nozzle. During expansion, a vacuum in

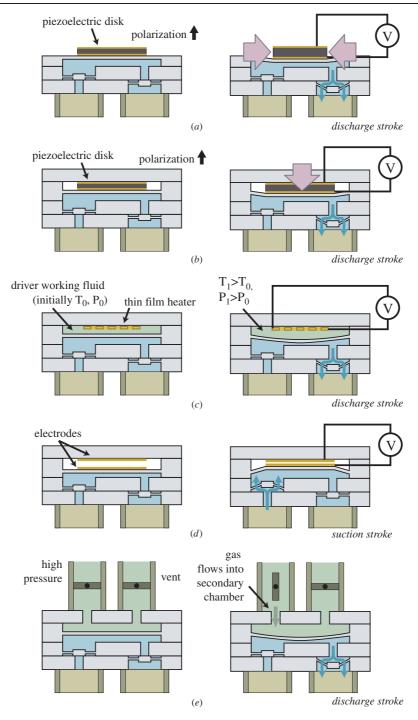


Figure 6. Reciprocating displacement micropumps with various drivers. (a) Piezoelectric driver in the lateral-strain configuration. The bottom surface of the piezoelectric disk is bonded to the pump diaphragm the top surface is unconstrained. During operation, the pump diaphragm deflects under a bending moment produced by radial strain in the piezoelectric disk. An axial electric field is applied to the disk. (b) Piezoelectric driver in the axial-strain configuration, where a piezoelectric disk is mounted between the pump diaphragm and a rigid frame. During operation, the pump diaphragm deflects primarily as a result of axial strain in the piezoelectric disk. As in (a), an axial electric field is applied to the disk. (c) Thermopneumatic driver, in which a thin-film resistive element heats the driver working fluid in a secondary chamber above the pump chamber. The heated fluid expands, exerting pressure on the pump diaphragm. (d) Electrostatic driver, in which the pump diaphragm deflects upward when an electric potential difference is applied between parallel electrodes. Electrostatically driven reciprocating displacement micropumps typically have a powered suction stroke and an unpowered discharge stroke. Dielectric coatings are used to prevent shorting. (e) External pneumatic driver, in which active valves alternately pressurize and vent a secondary chamber above the pump diaphragm.

the main liquid chamber fills it with ink from the ink supply, while the pressure difference associated with surface tension at the ejector orifice prevents air from entering the chamber.

In this way, surface tension and capillary pressure are used as an inherent check valve with no solid moving parts. IBM was issued a US patent for this design in 1974 [104]. Researchers

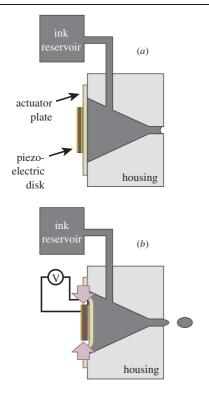


Figure 7. IBM ink jet printhead schematic. The volume of the chamber is varied by using a piezoelectric disk actuator to deform the plate that seals the back side of the chamber. Surface tension at the ejector orifice (on the right side) acts as a check valve to rectify the flow. From US patent no. 4,266,232 [106].

later conceived of fabricating the ink chamber using thennascent silicon micromachining technology [105].

In piezoelectric inkjet printheads, chamber actuation results from lateral strain induced in the piezoelectric disk. In many piezo-driven micropumps, including van Lintel et al's [64] and Smits' [16], piezoelectric actuators are employed in a similar manner. As shown in figure 6(a), one face of a piezoelectric disk is bonded to the chamber diaphragm (typically using epoxy); the other face of the disk is unconstrained. The piezoelectric disk is polarized in the axial direction, and each face is covered with an electrode. Applying an axial electric field across the piezoelectric disk produces both a lateral and an axial response in the disk, described by the d_{31} and d_{33} piezoelectric strain coefficients, respectively. For this configuration, the chamber diaphragm bows to balance the lateral stress in the piezoelectric disk. If the induced lateral stress in the disk is compressive, the diaphragm bows into the chamber; if tensile, it bows away from the chamber. In some micropumps, the piezoelectric actuators are driven bidirectionally to maximize stroke volume [16]. Progress has been made recently on the development of analytical solutions for the mechanical response of piezobonding layer-diaphragm structures [107]. Morris and Forster used numerical simulations to identify optimal diaphragm and piezoelectric disk geometries for lateral-strain piezodriven reciprocating displacement micropumps [71]. Other researchers have also used numerical methods to study lateralstrain piezo-driven reciprocating displacement micropumps [67, 108]. In some micropumps stroke volume is increased

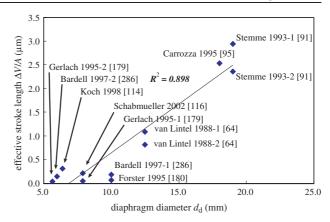


Figure 8. Scaling of effective stroke length (= $\Delta V/A$) with diaphragm diameter for reported reciprocating displacement micropumps with lateral-strain configuration piezoelectric actuators acting directly on the diaphragm. Effective stroke volume ΔV is determined by dividing the reported flow rate at minimal back pressure $Q_{\rm max}$ by the operating frequency f.

by using multiple electrodes to apply a spatially varying field across the piezoelectric disk [84].

A sufficiently large number of lateral-configuration piezo-driven reciprocating displacement micropumps has been reported to permit empirical analysis of how micropump performance scales with diaphragm diameter. Figure 8 shows the correlation between effective stroke length $(\Delta V/A_{\rm d})$ of reported micropumps and the diaphragm diameter, $d_{\rm d}$. Micropumps with planar diaphragms to which the piezoelectric disk is directly attached and for which diaphragm diameter has been reported are considered. Effective stroke length decreases with decreasing $d_{\rm m}$, in part because of generally increasing diaphragm stiffness as reflected in equation (1) above.

Micropumps that rely on piezoelectric coupling parallel to the applied field (described by the d_{33} piezoelectric strain coefficient), as shown in figure 6(b), have also been reported. In this configuration, both faces of the piezoelectric disk are constrained—one by a rigid support and the other by the pump diaphragm. The axial strain induced in the disk by applying an external axial electric field causes the pump diaphragm to deflect, expanding and contracting the pump chamber. Esashi et al [100] reported the first reciprocating displacement micropump driven by a piezoelectric actuator in this configuration. This micropump was fabricated from two layers of silicon with an intermediate layer of sputtered glass. A glass housing fixes a piezoelectric actuator above a 2 mm square bossed silicon diaphragm. The size of this micropump is $S_p \cong 0.8 \text{ cm}^3$; it pumps water with $Q_{\text{max}} = 15 \,\mu\text{l min}^{-1}$ and $\Delta p_{\text{max}} = 6.4 \text{ kPa at } f = 30 \text{ Hz and } V = 90 \text{ V}_{\text{p-p}}.$

Many reported piezo-driven reciprocating displacement micropumps operate at very high frequencies, taking advantage of the fast temporal response of piezoelectric actuators. A two-chamber piezo-driven reciprocating displacement micropump reported by Olsson *et al* [109, 110] operates at f=3 kHz and pumps water with $Q_{\rm max}=2.3$ ml min⁻¹. Fluid dynamic effects, rather than traditional mechanical check valves, are used to produce net flow through this micropump, an approach discussed in more detail below. Li *et al* [102] reported an axial-configuration piezo-driven

reciprocating displacement micropump driven by multiple stacks of high-performance piezoelectric materials. This micropump, intended for microrobotics and shoe strike power conversion, has an $S_p \cong 3.2$ cm³ and pumps silicone oil (in a closed, pressurized system) with $Q_{\rm max} = 3$ ml min⁻¹ and $\Delta p_{\rm max} = 300$ kPa operating at f = 3.5 kHz and V = 1.2 kV. A number of other piezoelectric-driven reciprocating displacement micropumps have been reported [111, 112].

Inserting and attaching piezoelectric actuators may increase manufacturing costs relatively to a fully batch process. Koch et al sought to address this limitation by screenprinting a PZT thick film to function as a lateral-strainconfiguration reciprocating displacement micropump driver [113–115]. This micropump produced $Q_{\text{max}} = 120 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 1.8$ kPa operating at 200 Hz and 600 V_{p-p}; an otherwise-identical micropump with a bulk piezoelectric driver produced $Q_{\text{max}} = 150 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 3.5 \ \text{kPa}$ operating at f = 200 Hz and V = 200 V_{p-p}. A modified version of this micropump with a bulk piezoelectric driver produced $Q_{\text{max}} = 1.5 \text{ ml min}^{-1} \text{ and } \Delta p_{\text{max}} = 1 \text{ kPa [116]}. \text{ Stehr } et \text{ al}$ [101] reported a reciprocating displacement micropump driven by a piezoelectric actuator with the tip of a bimorphic piezoelectric cantilever attached to the center of the pump diaphragm. This micropump pumps water with $Q_{\text{max}} =$ 1.5 ml min⁻¹ and $\Delta p_{\text{max}} = 17$ kPa operating at f = 190 Hz and V = 200 V. Further discussion of the design and performance of piezoelectric drivers and their applications in reciprocating displacement micropumps can be found in several recent papers [117–121].

Figure 6(c) illustrates the design of a typical thermopneumatically driven reciprocating displacement micropump. A chamber opposite the primary pump chamber holds a secondary working fluid. Heating the secondary working fluid (usually with an integrated thin-film resistive heater) causes it to expand, deflecting the pump diaphragm and discharging primary working fluid through the pump The intake stroke occurs when the heater is deactivated, allowing the diaphragm to relax. The secondary chamber is usually vented to speed the relaxation. first thermopneumatically driven reciprocating displacement micropump was reported by van de Pol et al in 1989 [122, 123]. This relatively large micropump ($S_p \cong 4 \text{ cm}^3$) consists of three layers of silicon and two layers of glass with an evaporated aluminum thin film heater element. With air as the secondary working fluid, it pumps water with $Q_{\text{max}} = 34 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 5 \text{ kPa operating at } f = 1 \text{ Hz and } V = 6 \text{ V}; \, \eta_{\text{est}} = 3.6 \times 10^{-6} \text{ J}$ $10^{-5}\%$ (i.e. less than one part in 1000 000 of the input power is converted to work on the fluid).

The temporal response of thermopneumatic actuators is limited by the rate of heat transfer into and out of the secondary working fluid, and so thermopneumatically driven reciprocating displacement micropumps typically operate at relatively low frequencies. Elwenspoek *et al* sought to maximize f with a design that minimizes heat transfer into the substrate (instead of the secondary working fluid) during the heating step [124]. This micropump pumps water with $Q_{\rm max}=55~\mu l~{\rm min}^{-1}$ operating at $f=5~{\rm Hz};~\Delta p_{\rm max}$ was not reported.

Low-modulus pump diaphragm materials are often used in thermopneumatically driven reciprocating displacement

micropumps in order to maximize ΔV . Schomburg et al [125] reported a thermopneumatically driven reciprocating displacement micropump in which the pump diaphragm is a 2.5 μ m thick polyimide layer. This micropump is fabricated by polymer injection molding; the heater is titanium. With air as the secondary working fluid, this micropump pumps air with $Q_{\rm max}=44~\mu{\rm l~min^{-1}}$ and $\Delta p_{\rm max}=3.8~{\rm kPa}$ operating at f = 5 Hz and V = 15 V; $\eta_{\text{est}} = 1.6 \times 10^{-4}\%$. \hat{S}_{p} was not reported, but the lateral dimensions of the pump are 7 mm × 10 mm. Grosjean and Tai reported a thermopneumatically driven reciprocating displacement micropump with a 120 μ m thick silicone rubber diaphragm [126]. The silicone rubber is coated with a thin layer of parylene, which functions as a vapor barrier. With air as the secondary working fluid, this device pumps water with $Q_{\rm max}=4.2~\mu{\rm l~min^{-1}}$ and $\Delta p_{\text{max}} = 3 \text{ kPa at } f = 2 \text{ Hz.}$ Power consumption is 0.3 W $(\eta_{\rm est} = 3 \times 10^{-4}\%)$. Jeong and Yang [127] reported a thermopneumatically driven reciprocating displacement micropump with a corrugated silicon pump diaphragm. The corrugations are intended to increase diaphragm deflection (and therefore stroke volume) for a given secondary chamber pressure. This micropump produces $Q_{\text{max}} = 14 \ \mu \text{l min}^{-1}$ operating at f = 4 Hz and V = 8 V; Δp_{max} was not reported. Sim et al [128] attempted to increase the thermopneumatic actuator force using a phase change of the secondary working fluid. This micropump is highly compact ($S_p = 0.070 \text{ cm}^3$), has a 30 μ m thick silicone rubber diaphragm and aluminum flap valves and uses water as the secondary working fluid. Operating at f = 0.5 Hz and P = 0.6 W, this micropump pumps water with $Q_{\text{max}} = 6 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 100 \ \text{Pa}$. Maximum thermodynamic efficiency was reported to be $\eta =$ $3.6 \times 10^{-7}\%$. Advantages of thermopneumatic actuation include ready fabrication using standard micromachining processes and low operating voltages. Whereas the stroke length piezoelectrically driven and electrostatically driven micropumps is typically limited to a few microns, the stroke length of thermopneumatically driven micropumps can be much larger, limited only by the available driver force and the mechanical properties of the diaphragm. The diaphragm in the pump reported by Schomburg et al deflects 100 μ m during operation, yielding a compression ratio large enough to pump gases [125]. Schomburg et al's plastic micropump is bonded to a silicon heat sink to increase the rate of cooling of the secondary working fluid during the intake stroke and thereby allow higher frequency micropump operation. A number of papers discuss thermopneumatically driven reciprocating displacement micropumps (including heat transfer aspects) in detail [129-131].

A subset of thermopneumatically driven reciprocating displacement micropumps are so-called 'bubble' pumps, in which pumping is driven by phase change of the primary working fluid, rather than of a secondary working fluid in a separate chamber. Tsai and Lin reported a thermal bubble-driven reciprocating displacement micropump fabricated from only two layers of material [132]. This micropump pumps isopropyl alcohol with $Q_{\rm max}=45~\mu{\rm l}~{\rm min}^{-1}$ and $\Delta p_{\rm max}=400~{\rm Pa}$ operating at $f=400~{\rm Hz}$ and $V=20~{\rm V}$; power consumption is $P=0.5~{\rm W}~(\eta_{\rm est}=1.4~\times10^{-6}\%)$. Zimmermann et~al~[133] reported a thermal bubble micropump in which the heated chamber is offset from

the main flow path, reducing heating of the working fluid. This micropump pumps isopropyl alcohol with $Q_{\rm max}=9~\mu {\rm l~min^{-1}}$ and $\Delta p_{\rm max}=16~{\rm kPa}$ operating at $f=10~{\rm Hz}$; power consumption is $P=0.18~{\rm W}$.

Electrostatic forces are widely used for actuation in MEMS devices. The comb-drive configurations that are widely used in large-displacement electrostatically actuated MEMS devices [134] are difficult to implement in reciprocating displacement micropumps, however. Instead, electrostatically driven reciprocating displacement micropumps typically have the parallel-plate actuator design shown in figure 6(d). Although the pump diaphragm (and therefore the bottom electrode) typically bows during pump operation, the driver force at the very beginning of the pump stroke (when both electrodes are flat plates) can be easily calculated. The capacitance between a pump diaphragm of diameter d_d and a counterelectrode of equal size separated by a distance s is

$$C = \frac{\varepsilon \pi d_{\rm d}^2}{4s}.$$
 (5)

The electrostatic force between the two plates is therefore

$$F = \frac{1}{2} \frac{\partial C}{\partial s} V^2 = -\frac{\varepsilon \pi d_{\rm d}^2}{8s^2} V^2 \tag{6}$$

where ε is the permittivity of the medium separating the plates and V is the potential difference between them [135]. To generate an initial driver force per unit diaphragm area p_a of 100 kPa with an electrostatic driver operating in a vacuum or in air ($\varepsilon = 8.85 \times 10^{-12} \text{ C}^2 \text{ J}^{-1} \text{ m}^{-1}$) requires a voltageseparation distance ratio V/s of 150 V μ m⁻¹. With adequate control over out-of-plane feature size during fabrication, therefore, electrostatic drivers can produce appreciable forces at moderate voltages. Electrostatic actuation offers the further advantage of increasing driver force as the diaphragm deflects (and stiffens). The highly compact ($S_p \cong 0.1 \text{ cm}^3$) reciprocating displacement micropump reported by Zengerle et al and discussed above is electrostatically driven [90, 136]. This micropump exemplifies several favorable features of electrostatic drivers: it is fully micromachined, highly compact and capable of operating at high frequency. With $s = 5 \mu \text{m}$, it pumps water with $Q_{\text{max}} = 850 \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 29$ kPa operating at V = 200 V and f = 800 Hz. Power consumption is P = 5 mW ($\eta_{est} =$ 0.39%). Richter et al [80] compared the performance of two similar reciprocating displacement micropumps, one with an electrostatic driver and one with a lateral-configuration piezoelectric driver. The electrostatically driven micropump pumps water with $Q_{\text{max}} = 260 \ \mu \text{l min}^{-1}$ operating at f =400 Hz, compared to $Q_{\text{max}} = 700 \ \mu\text{l min}^{-1}$ for the piezoelectric-driven micropump operating at f = 220 Hz. Cabuz et al reported an electrostatically driven micropump with three pump chambers in series [137]. Further analysis and review of the performance of electrostatically driven reciprocating displacement micropumps can be found in several recent papers [68, 138–141].

Reciprocating displacement micropumps driven pneumatically, as shown in figure 6(e), have been reported. These pumps require an external pneumatic supply and one or more high-speed valve connections and are therefore not strictly comparable to micropumps with

fully integrated actuators. In settings where the necessary infrastructure is available, however, pneumatically driven reciprocating displacement micropumps can be effective. A pneumatically driven reciprocating displacement micropump fabricated using LIGA techniques was reported by Rapp et al in 1994 [142]. The three-chamber (series configuration) reciprocating displacement micropump reported by Grosjean et al and described above [126] exhibited much better performance when driven pneumatically than thermopneumatically ($Q_{\rm max}=100~\mu l~{\rm min}^{-1}$ with pneumatic actuation versus $Q_{\rm max}=4.2~\mu l~{\rm min}^{-1}$ with thermopneumatic actuation).

with thermopneumatic drivers, low-modulus As diaphragm materials are widely used in pneumatically driven reciprocating displacement micropumps. Unger et al [143] reported a class of pneumatically driven series multichamber reciprocating displacement micropumps made by lithographically patterning multiple layers of a soft elastomeric substrate. Individual layers of elastomer are first spun onto molds made from patterned photoresist, then stacked to form chambers and channels. The chambers and channels made using this 'soft' lithography technique have cross-sectional dimensions between 10–100 μ m. The soft elastomer chambers are actuated by pneumatic pressure of order 100 kPa; separate, individually controlled valves of centimeter scale or larger are required to control chamber actuation. Pressure performance for these devices was not reported, but Q_{max} is of order 100 nl min⁻¹. Mathies and coworkers have performed extensive work on pneumatically driven reciprocating displacement micropumps for microchip-based laboratory systems for performing biological and chemical analysis [29, 144]. A representative micropump with a 3.0 mm diameter PDMS diaphragm was reported to pump water with $Q_{\text{max}} = 2.8 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 30 \ \text{kPa}$ [144].

Other, less common micropump drivers have been A version of the piezoelectrically driven reciprocating displacement micropump reported by Bohm et al was produced with an electromagnetic driver resembling a solenoid [94]. The choice of actuator had little impact on pump performance, but the micropump with the electromagnetic driver is substantially larger than the piezoelectrically driven version ($S_p = 8 \text{ cm}^3 \text{ versus } S_p = 2.9 \text{ cm}^3$). Dario *et al* [145] reported a smaller ($S_p \cong 2.5 \text{ cm}^3$) electromagnetically driven reciprocating displacement micropump made by thermoplastic molding. Water is pumped with $Q_{\text{max}} = 780 \ \mu \text{l min}^{-1}$ and $\Delta p_{\text{max}} = 4.6 \ \text{kPa}$ operating at V = 14 V and f = 264 Hz. Meng et al [146] reported high-flow-rate micropumps with pneumatic and solenoid drivers. In handheld electronic medical diagnostic devices marketed by i-STAT Corporation, a solenoid actuates a rubber diaphragm to pump biological samples [147]. Gong et al [148] analyzed the theoretical performance of an optimized electromagnetically actuated reciprocating displacement micropump. Santra et al [149] reported a reciprocating displacement pump driven by the interaction of a stationary electromagnet with a permanent magnet diaphragm. Bernard et al [150] reported a reciprocating displacement micropump driven by shape-memory alloy actuators. This micropump was fabricated using five layers of micromachined silicon with a polyimide diaphragm and sputter-deposited titanium nickel and pumps water with $Q_{\rm max}=50~\mu l~{\rm min}^{-1}$ and $\Delta p_{\rm max}=0.5~{\rm kPa}$ operating at $f=0.9~{\rm Hz}$. Power consumption is 0.63 W ($\eta_{\rm est}=1.7\times 10^{-5}\%$). The use of shape-memory alloys in reciprocating displacement micropumps is discussed further by Makino *et al* [151]. As discussed above, Yun *et al* reported a reciprocating displacement micropump driven by electrowetting [86]. Micropump designs with bimetallic drivers [152–154] and magnetoelastic drivers [155] have also been reported.

2.1.6. Valves. The performance of check valves at the inlet and outlet of the pump chamber is critical to the operation of reciprocating displacement micropumps. Microvalves have been reviewed recently [56, 156]. Figures of merit for check valves include diodicity, or the ratio between the forward and reverse pressure drop across the valve, maximum operating pressure, ease of fabrication and reliability. Most micropumps incorporate some sort of normally closed, passive (non-actuated), mechanical flap structure. The valves in the reciprocating displacement micropump reported by van Lintel et al consist of a flexible, circular diaphragm with an opening at the center surrounded by a stiffening 'ring mesa' [64]. A number of other reported reciprocating displacement micropumps have similar valves [92, 94, 102, 123]. Flap valves based on cantilever structures are easily fabricated and widely used [80, 81, 90]. Several micropumps incorporating check valves with a tethered-plate structure (similar to that shown in figure 2) have been reported [85, 100, 150]. A micropump with in-plane flap valves has been reported [133]. The dynamic response of passive flap valves can be important for high-frequency pumps, and the flow can reverse direction above a mechanical resonance of the valves [90, 157]. Several recent papers discuss the mechanical response of passive flap valves [141, 148, 158–160]. The stereolithographically fabricated reciprocating displacement micropump reported by Carrozza et al [95] has ball-type check valves. The use of ball valves in micropumps is further discussed by Accoto et al [161].

Active valves—valves that are opened and closed by an actuating force—offer improved performance at the expense of fabrication and operational complexity. Active valves with bimetallic [162], electrostatic [163–166], thermopneumatic [167–170], piezoelectric [100, 171] and other drivers [156, 172–178] have been reported.

Fluid flow through reciprocating displacement micropumps can also be rectified by leveraging fluid dynamic effects in inlet and outlet channels with suitable geometries. Pumps with flow-rectifying channels instead of more traditional valves are referred to as having 'fixedgeometry' or 'no-moving-parts' valves, or, occasionally, as 'valveless' pumps. The brass micropumps reported in 1993 by Stemme and Stemme have nozzle-diffuser inlet and outlet channel geometries that function as fixed-geometry valves [91]. Flow separation in these structures causes pressure drop to be a function of flow direction. A micropump with 4 mm long nozzles with small and large diameters of 230 μ m and 600 μ m, respectively, pumps water with $Q_{\text{max}} =$ 4.4 ml min⁻¹ and $\Delta p_{\text{max}} = 20.6$ kPa at f = 110 Hz and V = 20 V. An otherwise-identical micropump with 3 mm long nozzles with small and large diameters of 530 μ m and

1.1 mm, respectively, pumps water with $Q_{\text{max}} = 15.5 \text{ ml min}^{-1}$ and $\Delta p_{\text{max}} = 4.9 \text{ kPa at } f = 310 \text{ Hz}.$

Olsson *et al* reported a miniature brass pump with planar nozzle-diffuser elements [88]. A pump with this design and two pumping chambers produced $Q_{\rm max}=16~{\rm ml~min^{-1}}$ and $\Delta p_{\rm max}=100~{\rm kPa}$. In 1995, Gerlach reported a nozzle-diffuser micropump produced by micromachining silicon [179]. Much smaller than the brass pumps that preceded it ($S_{\rm p}\cong 0.2~{\rm cm^3}$), this piezo-driven micropump pumps water with $Q_{\rm max}=400~\mu{\rm l}~{\rm min^{-1}}$ and $\Delta p_{\rm max}=3~{\rm kPa}$ at $f=3~{\rm kHz}$ and $V=50~{\rm V}$. Forster *et al* [180] reported reciprocating displacement micropumps in which tesla valves, rather than the more widely used nozzle-diffuser structures, rectify the flow. A number of other micropumps with fixed-geometry valves have been reported, including those of Koch *et al* [113–115] and Jeong and Yang [127].

The absence of moving structures in fixed-geometry valves may be advantageous when the working fluid contains cells or other materials prone to damage or clogging. In 1999, Jang *et al* [181] reported pumping suspensions of polystyrene beads as large as 20 μ m through piezo-driven reciprocating displacement micropumps with tesla-type fixed-geometry valves. Andersson *et al* [182] subsequently reported pumping liquid samples containing beads through a piezo-driven reciprocating displacement micropump with nozzle-diffuser valves. Recent studies discuss fixed-geometry valves in greater detail [74, 183–187].

Intriguing alternatives to the traditional valves used in micropumps have been proposed. Liu *et al* [188] reported using hydrogel swelling in response to changes in environmental chemistry to restrict flow through microchannels or close them off entirely. Matsumoto *et al* [189] reported a piezo-driven micropump in which temperature-induced viscosity changes at the inlet and outlet rectify the flow. Yun *et al* [190] proposed using electrohydrodynamic effects to improve the performance of fixed-geometry valves. Hasselbrink *et al* [191] reported the use of *in situ* polymerized plugs which act as piston in a passive check valve. This valve has an impressive open/closed flow ratio of 10^6 at pressures as high as 700 kPa.

2.1.7. Dynamic effects. Dynamic effects are relevant to the operation of many reciprocating displacement micropumps, particularly those with high-frequency drivers. Dynamic effects are routinely leveraged to maximize performance by operating at dynamically favorable conditions determined by the mechanical system/fluid system coupling. These dynamic conditions are a function of pump geometry, operating conditions and load conditions and can lead to substantial gains in performance. Recent papers have suggested that this approach is particularly effective for micropumps with fixed-geometry valves [67, 73]. As mentioned earlier, dynamic effects often cause flow reversal in micropumps with flap valves operated at high frequencies [85, 90]. For dynamic effects to be relevant to the operation of a reciprocating displacement micropump, the operation of the micropump must be such that (i) the operating frequency is on the order of (or greater than) the mechanical resonant frequency of the diaphragm and/or (ii) inertial effects in the fluid are important [47]. Figure 9 shows the importance

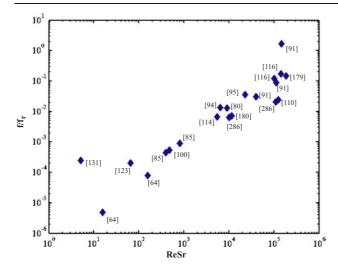


Figure 9. Dynamic effects in reported reciprocating displacement micropumps. The product of the Reynolds number Re and the Strouhal number Sr indicates the importance of fluid inertia in low Re flows. The ratio of the operating frequency f and the diaphragm resonant frequency f_r indicates the extent to which dynamic effects are relevant in the diaphragm mechanical response. Higher values of f/f_r and lower Re^*Sr is indicative of a micropump performance-limited by the mechanical time constant of the pump driver and/or diaphragm. Lower values of f/f_r and higher Re^*Sr are associated with pumps where fluid inertia is particularly important. Multiple data points shown for micropumps tested with more than one working fluid and/or at more than one operating frequency.

of dynamic effects in reported reciprocating displacement micropumps with simple diaphragm geometries. The ratio of the operating frequency f and the approximate diaphragm resonant frequency f_r (calculated from the reported diaphragm geometry and material properties using equation (3)) is plotted against the product of the Reynolds and Strouhal numbers. High values along either axis imply that the pump is operating in a regime where dynamic effects are important. A number of papers discuss dynamic effects in reciprocating displacement micropumps further [67, 90, 136, 161].

2.2. Rotary displacement micropumps

A small number of microscale rotary displacement pumps, mostly micro gear pumps, have been reported. Microfabricating released gear structures is achievable, but minimizing the gaps between the gears and the housing, through which backflow can occur, is a major challenge. Dopper et al [192] reported a gear micropump fabricated by LIGA and driven by a small electromagnetic motor. Two opposing in-line gears, 0.6 mm in diameter, pump a glycerinwater solution with $Q_{\text{max}} = 180 \,\mu\text{l min}^{-1}$ and $\Delta p_{\text{max}} = 100 \,\text{kPa}$ operating at 2250 rpm. The back pressure against which a gear pump can operate generally scales with the inverse of viscosity, making these pumps best suited for use with moderately highviscosity liquids. Dopper et al tested a slightly larger gear pump (gear diameter 1.2 mm) with both the glycerine-water solution and with pure water. With this solution, $Q_{\text{max}} =$ 190 μ 1 min⁻¹ and $\Delta p_{\rm max} = 100$ kPa, while for pure water $Q_{\text{max}} = 5.5 \,\mu\text{l min}^{-1}$ and $\Delta p_{\text{max}} = 2.4 \,\text{kPa}$. A gear micropump made of PMMA and also fabricated by LIGA was reported by

Dewa *et al* [193]. The use of external motors with gear pumps limits the prospects for true miniaturization; the gear pump reported by Dopper *et al*, for example, is $S_p = 3 \text{ cm}^3$ in size. As an alternative to using an external motor, a planetary gear micropump driven by surface micromachined electrostatic comb drives has been reported [194, 195]. Terray *et al* [196] reported a gear micropump based on optically trapping 3 μ m diameter colloidal silica. Several microspheres are arranged into a two-lobe gear within a fluid chamber. The microspheres are controlled individually by rapidly scanning a laser between the microspheres. This system produces a flow rate of around 1 nl h⁻¹. Flow generation through eccentric rotation of a cylinder in a microchannel has been proposed [197, 198].

Hatch *et al* [199] reported a micropump based on manipulating a ferrofluidic plug with an external magnet. The plug pushes the working fluid in front of it as it circulates through a closed path; inlet and outlet ports along the path produce net flow of the working fluid. This manner of operation resembles that of macroscale vane pumps. Key issues for such pumps include ensuring the immiscibility of the ferrofluidic plug and liquid being pumped; degradation of the ferrofluid over time; and the need to incorporate an external controller for the magnet.

2.3. Aperiodic displacement micropumps

A number of micropumps have been reported in which a moving surface or boundary exerts pressure on the working fluid, but in which the movement of the pressure surface is not generally reciprocating or otherwise periodic. These aperiodic displacement micropumps tend to be suitable only for pumping finite volumes of fluid. Aperiodic displacement pumping driven by a reservoir of compressed gas is used in the miniature implanted insulin delivery system marketed by Medtronic [23]. Electronically controlled solenoid-driven valves control the release of insulin from the secondary chamber, through a tube, and into diabetic's intraperitoneal cavity; the pressure reservoir is recharged when the device is refilled with insulin. The implanted device occupies a volume of over 50 cm³. Sefton et al [200] discuss implanted pumps in detail. A valved pressure source is also the basis of a flow cytometry system under development by Cabuz et al [201] of the Honeywell Corporation. This device includes a 2 cm³ pressurized chamber and produces regulated flow at around 50 μ l min⁻¹ against unspecified back pressure. The Honeywell device exemplifies both the advantages and the disadvantages of pneumatic aperiodic displacement pumps. The pump is inherently low power and robust, but requires closed-loop control because the driving pressure varies over time. A means of recharging the pressure source is required for long-term use. The inherently unidirectional flow produced by the pressure source is converted to bidirectional flow using active valves—increasing the versatility of the pump, but at a substantial cost in complexity.

Pneumatically driven aperiodic displacement pumping is readily implemented at the microscale. Interfacial tension effects often take the place of traditional moving surfaces for applying pressure on the working fluid [12]. Tas *et al* [202] reported an aperiodic displacement micropump based on injecting bubbles into a microchannel through a port midway

along its length. Axial variations in surface tension due to discontinuities in the microchannel height produce net flow. Producing bidirectional flow at the microscale with valved pressure reservoirs is discussed by Jen and Lin [203].

Aperiodic displacement micropumps based on thermal or electrochemical phase change have also been reported. Evans *et al* [204] demonstrated an aperiodic displacement micropump based on locally boiling the liquid in a closed-end microchannel. A similar approach was taken by Handique *et al* [205], producing $Q_{\rm max} = 20$ nl min⁻¹. Jun *et al* [206] and Song *et al* [207] reported using arrays of heating elements to generate flow in channels open on both ends. Several other aperiodic displacement micropumps based on phase change have been reported [208–210]. Lin *et al* [211] discusses thermal bubble formation in micromachined devices in detail.

Electrical control of interfacial tension was proposed as a microscale pumping mechanism by Matsumoto and Colgate [212, 213]. Electrowetting-driven aperiodic displacement micropumps and other electrowetting-based microfluidic devices have since been developed [214, 215]. A related class of micropumps based on thermocapillary effects has also been reported [216, 217]. Osmosis has been used as an aperiodic displacement pumping mechanism [218, 219]. Aperiodic pumping based on the interaction of local electric fields with DNA has been reported [220].

3. Dynamic micropumps

Centrifugal pumps are the most common type of traditional dynamic pump. Extensive miniaturization of centrifugal pumps has been precluded, however, by typically unfavorable scaling of efficiency with decreasing Reynolds number [221] and the limitations of microfabrication technologies. Microturbines with $S_{\rm p} < 1~{\rm cm}^3$ have been explored for applications such as microrocketry [222–225]. Axial flow pumps may generally be favored for other applications, particularly in space exploration, involving primarily gas phases. Miniature axial flow pumps are also being developed for certain biological applications [226].

There are a variety of alternatives to rotating machinery for continuously adding momentum (or directly imparting Lorentz forces into the fluid volume) at the microscale. Electrohydrodynamic, electroosmotic and magnetohydrodynamic micropumps are all based on interactions between the working fluid and an electromagnetic field. An additional category of dynamic micropumps are those which generate flow through acoustic effects. Key features and the performance of reported dynamic micropumps are summarized in table 2.

3.1. Electrohydrodynamic micropumps

Electrohydrodynamic micropumps are based on the interaction of electrostatic forces with ions in dielectric fluids. The electric body force density F_e that results from an applied electric field with magnitude E is given by

$$F_e = qE + P \cdot \nabla E - \frac{1}{2}E^2\nabla\varepsilon + \frac{1}{2}\nabla\left[E^2\left(\frac{\partial\varepsilon}{\partial\rho}\right)_T\rho\right]$$
 (7)

where q is the charge density, ϵ is the fluid permittivity, ρ is the fluid density, T is the fluid temperature and P is the

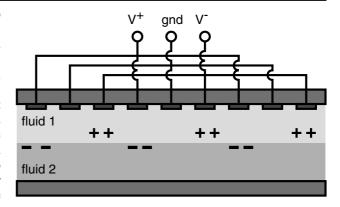


Figure 10. One type of traveling-wave (induction) electrohydrodynamic pump. Arrays of electrodes capacitively induce mirror charges at the interface between two fluids. Sequential switching of the electrode arrays results in net fluid flow.

polarization vector [227]. Several EHD micropumps based on the Coulomb force acting on free charges in a field, represented by the qE term in equation (7), have been reported. Operation of these micropumps requires the existence of space charge in a dielectric fluid. Space charge can be produced because of inhomogeneities in the fluid, or through dissociation or direct charge injection. These three mechanisms for space charge generation are associated with *induction*, *conduction*, and *injection* EHD pumping, respectively.

In induction EHD pumps, charge is induced in an inhomogeneous working fluid through the application of a potential difference across the fluid. This can, for example, be achieved with an electric field with a component transverse to the flow direction, as shown in figure 10. The electrodes are then activated in a traveling wave configuration and axial components of the electric field result in net fluid flow. Bart et al [228] reported an induction EHD micropump that pumps silicone oil. Quantitative performance measures were not reported. Fuhr et al [229] reported an EHD micropump based on traveling waves applied to arrays of electrodes. Instead of inducing charge at an interface and relying on Coulomb forces, however, Fuhr's device uses the dielectric force that results from the application of an electric field to a fluid containing a permittivity gradient (see the third term in equation (7)). This pump generates $Q_{\text{max}} = 2 \ \mu \text{l min}^{-1}$ operating at $V = 40 \ \text{V}$. Applying a weak electric field (much less than

Applying a weak electric field (much less than 100 kV cm⁻¹) between electrodes immersed in a dielectric fluid causes dissociation of ionizable groups at the electrode/fluid interface. Coulomb forces acting on the ions produced through such dissociation give rise to conduction through the bulk liquid. *Conduction* EHD pumps rely on ion drag associated with this bipolar conduction [230, 231]. To our knowledge, no micropumps based on conduction EHD pumping have been reported, although a conduction EHD pump with high voltage-ground electrode modules 2.2 cm diameter by 4 cm long was reported by Jeong and Seyed-Yagoobi [230].

EHD micropumps based on the injection of ions into the working fluid at electrodes have also been reported. For specific electrode/liquid interfaces (typically a metal electrode with sharp features in contact with a dielectric liquid), application of a very high electric field (>100 kV cm⁻¹)

Table 2. Dynamic micropumps.

Author and year	Description	Construction	Working fluid	Approximate size (mm ³)	Operating voltage (V)	$\Delta p_{\rm max}$ (kPa)	$Q_{\text{max}} $ (ml min $^{-1}$)
Richter 1991 [232]	Electrohydrodynamic (injection)	Si–Si	Ethanol	10	600	0.43	14
Fuhr 1994 [229]	Electrohydrodynamic (induction)	Si-glass	Water	n/r	40	n/r	0.002
Furuya 1996 [287]	Electrohydrodynamic (injection)	Polyimide	Ethanol	n/r	200	n/r	0.00012
Wong 1996 [233]	Electrohydrodynamic (injection)	Si–Si	Propanol	70	120	0.29	
Ahn 1998 [234]	Electrohydrodynamic (injection)	Si-glass	Ethyl alcohol	90	100	0.25	0.04
Darabi 2001 [236]	Electrohydrodynamic (polarization)	Quartz	R-134a (refrigerant)	250	120	0.25	n/r
Darabi 2002 [235]	Electrohydrodynamic (injection)	Ceramic	3M HFE-7100	640	250	0.78	n/r
Jacobson 1994 [247]	Electroosmotic (microchannel)	Glass	Water	n/a	2700	n/a	0.00002
Ramsey 1997 [249]	Electroosmotic (micromachined)	Glass	Water/methanol	1 250	2000	n/r	0.00009
Paul 1998 [251]	Electroosmotic (porous media)	Packed silica	80:20 acetonitrile:water	120	1500	4000	0.000 04
	•	particles	with 4 mM aqueous sodium tetraborate buffer		6750	20 000	0.0002
Gan 2000 [260]	Electroosmotic (porous media)	Sintered glass beads	NH ₄ OH (0.35 mM)		500	150	3.0
McKnight 2001 [250]	Electroosmotic (microchannel)	PDMS-glass	TBE buffer (Tris, boric acid, EDTA)	n/a	40	0	5.4×10^{-6}
Yao 2001 [285]	Electroosmotic (porous media)	Sintered glass frit	Borate buffer	3800	200	250	7.0
Zeng 2001 [254]	Electroosmotic (porous media)	Packed silica particles	Water	85	2000	2000	0.0036
Takamura 2001 [266]	Electroosmotic (micromachined)	Quartz	Phosphate buffer	n/r	40	5.0	n/r
Chen 2002 [259]	Electroosmotic (micromachined)	Soda-lime glass	Water	9000	1000	33	0.015
Laser 2002 [255]	Electroosmotic (micromachined)	Si-glass	Borate buffer	120	400	10	0.014
Zeng 2002 [261]	Electroosmotic (porous media)	Packed silica particles	Water	1200	1250	250	0.9
Laser 2003 [26]	Electroosmotic (micromachined)	Si–glass	Borate buffer	120	400	10	0.17
Yao 2003 [256]	Electroosmotic (porous media)	Sintered glass frit	Borate buffer	9500	100	130	33
Jang 2000 [272]	Magnetohydrodynamic (DC)	Si–Si	Seawater	n/r	n/a	0.17	0.063
Lemoff 2000 [273]	Magnetohydrodynamic (AC)	Glass-Si-glass	1 M NaCl solution	n/r	n/a	0	0.018

n/a: not applicable; n/r: not reported.

causes ions to be injected into the bulk fluid. The Coulomb force acts on the injected charges; viscous interaction generates bulk flow. Richter et al reported a micromachined electrohydrodyamic micropump based on The electrodes are mesh such charge injection [232]. structures made by wet etching and metallizing a singlecrystal silicon substrate. The electrode grids are separated by a distance of approximately 350 μ m, across which an electrical potential difference of 600 V is applied. This micropump pumps ethyl alcohol with $Q_{\text{max}} = 14 \text{ ml min}^{-1}$ and $\Delta p_{\text{max}} =$ 2.5 kPa. Charge injection with a similar electrode design is the basis for an EHD micropump reported by Wong that produces $\Delta p_{\text{max}} = 290 \,\text{Pa}$ operating at $V = 120 \,\text{V}$ with isopropyl alcohol as the working fluid [233]. Ahn and Kim reported an EHD micropump with multiple pairs of electrodes arrayed in the direction of flow [234]. This micropump produces Q_{max} = 40 μ l min⁻¹ and $\Delta p_{\text{max}} = 300$ Pa operating at V = 100 V with ethyl alcohol as the working fluid. Darabi et al reported an injection EHD micropump with transverse electrode pairs arrayed in the direction of flow [235]. The gap between the two electrodes in each pair is 50 μ m; the pairs are spaced at 100 μ m intervals. For this micropump, $S_p = 640 \text{ mm}^2$. With 3M HFE-7100 ($\varepsilon_R = 7.4$) as the working fluid, this micropump produced $\Delta p_{\text{max}} = 2.5 \text{ kPa}$; flow rate data was not reported. The use of electrodes with saw-tooth geometries was reported to reduce power consumption relative to linear electrodes.

Another category of EHD micropumps is those based on the polarization force term in equation (7) rather than on the Coulomb force. Darabi *et al* reported such a polarization EHD pump intended for microelectronics cooling applications that generates flow through electric field interactions with dipoles in a polarized medium [236]. The polarization-dependent functionality of this pump permits operation at relatively low voltages (150 V) and with a nondielectric working fluid (R-134a, chosen for its thermal properties). This EHD polarization micropump pumps the cooling liquid through a 250 Pa pressure difference; further details of pump performance were not reported. Other papers discuss EHD pumping [237, 238].

3.2. Electroosmotic micropumps

Electroosmotic (EO) pumping leverages the surface charge that spontaneously develops when a liquid comes in contact with a solid [239, 240]. Bulk liquid counter-ions shield this surface charge, completing the so-called electrical double layer (EDL). The characteristic thickness of the electric double layer is the Debye shielding length, λ_D , of the ionic solution, given by

$$\lambda_{\rm D} = \left[\frac{\varepsilon k T}{e^2 \sum_{i} z_i n_{\infty,i}} \right]^{\frac{1}{2}}.$$
 (8)

Here ε and T are the electrical permittivity and temperature of the solution, respectively; z_i and $n_{\infty,i}$ are the valence number and number density, respectively, of the ionic species i in solution; k is the Boltzmann constant; and e is the electron charge. Some portion of the counter-ions in the liquid phase of the EDL can be set into motion by applying an electric field parallel to the wall. The mobile ions drag bulk liquid

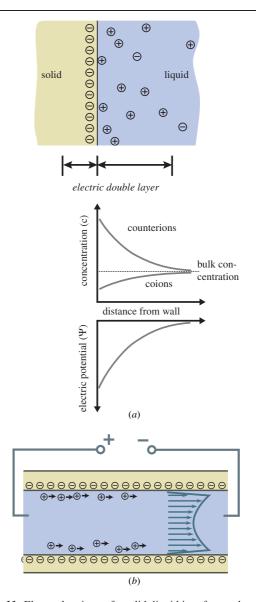


Figure 11. Electrochemistry of a solid–liquid interface and electroosmotic flow. (a) Chemical reactions at the interface leave the surface charged (shown as negative here). Counter ions in the liquid accumulate in the vicinity of the charged surface, forming the electric double layer. (b) An externally applied electric field causes motion of counter ions that shield a negative wall charge. Ion drag forces the flow against a pressure gradient.

in the direction of the electric force. In the case of silicabased ceramics (e.g., glass) at pH greater than about 4, surface silanol groups deprotonate and leave a negative surface charge [240]. Bulk flow is therefore induced in the direction of the electric field. This phenomenon is illustrated in figure 11 and discussed in detail by Probstein [76].

The key parameters that dictate the performance of EO pumps are (i) the magnitude of the applied electric field and applied voltage, (ii) the cross-sectional dimensions of the structure in which flow is generated, (iii) the surface charge density of the solid surface that is in contact with the working liquid and (iv) ion density and pH of the working fluid. Rice and Whitehead's analysis of EO flow in a cylindrical capillary [241] shows how these parameters relate to EO pump performance. In a capillary of radius *a* and length *l*, the flow

rate Q that results from applying a uniform axial electric field E_z is given by

$$Q = \frac{\pi a^4}{8\mu l} \Delta p - \frac{\pi a^2 \varepsilon \zeta E_z}{\mu} f(a/\lambda_{\rm D}). \tag{9}$$

Here μ is the viscosity of the liquid and Δp is the differential pressure from one end of the capillary to the other. The zeta potential, ζ , is the potential drop associated with the mobile region of counter-ions that shield the surface charge at the wall. The theoretical maximum flow rate and pressure that can be generated are

$$Q_{\text{max,EO}} = -\frac{\pi a^2 \varepsilon \zeta E_z}{\mu} f(a/\lambda)$$
 (10)

and

$$\Delta p_{\text{max,EO}} = \frac{8\varepsilon \zeta E_z l}{a^2} f(a/\lambda_D). \tag{11}$$

For the simple case of a cylindrical capillary with a symmetric, univalent electrolyte and a zeta potential smaller than kT/e, $f(a/\lambda_D)$ can be expressed as

$$f(a/\lambda_{\rm D}) = 1 - \frac{2}{a/\lambda_{\rm D}} \frac{I_1(a/\lambda_{\rm D})}{I_0(a/\lambda_{\rm D})},\tag{12}$$

where I_0 and I_1 are, respectively, the zero-order and first-order modified Bessel functions of the first kind. This term arises from the finite effects of electrical double layers with Debye lengths comparable to the capillary radius. In the thin double layer limit where $(a/\lambda_{\rm D})\gg 1$, $f(a/\lambda_{\rm D})$ monotonically approaches unity. For capillary radii smaller than the thickness of the double layer, $f(a/\lambda_{\rm D})$ approaches $\frac{1}{8}(a/\lambda_{\rm D})^2$. For thin EDLs ($f\approx 1$) and a given working liquid and zeta potential, pressure per volt scales as a^{-2} and flow rate per unit electric field strength scales as the total cross-sectional area of the EO pumping channel. For a given working fluid, wall chemistry, and pump geometry, both maximum flow rate and maximum pressure are linear functions of applied voltage.

EO flow (as distinguished from EO pumping, in which the device generates both flow rate and a significant pressure) is used in a wide range of applications, including soil remediation, and has been used in chemical and biological analysis since at least 1974 [242]. A number of important techniques and processes used for μ TAS incorporate EO flow, including electroosmosis-based microchannel flow injection analysis [243], on-chip electrophoretic separation [1, 244–246] and on-chip liquid chromatography [247].

The most basic EO pumps are simply capillaries or microchannel sections (either filled with porous media or filled only with liquid) with electrodes submerged within endchannel reservoirs and a flow resistance in series with the channel [248-250]. The flow rates produced by such pumps are typically very small $(Q_{\text{max}} < 1 \, \mu \text{l min}^{-1})$. For example, Ramsey and Ramsey applied a 350 V cm⁻¹ electric field to a portion of a microchannel network to produce roughly 90 nl min⁻¹ flow out of the chip through an exit port [249]. An EO micropump incorporating a 75 μ m ID fused silica capillary packed with silica beads was reported by Paul et al [251, 252]. This pump produced only $Q_{\text{max}} = 200 \text{ nl min}^{-1}$, but exceptionally high pressures—reportedly up to 20 MPa at an applied voltage of V = 6.75 kV. A detailed description of the history and development of EO pumps is presented by Yao and Santiago [253].

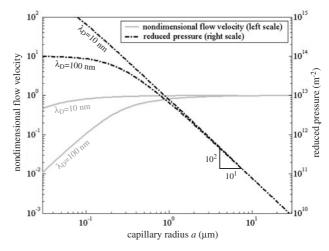


Figure 12. Theoretical performance of electrosmotic pumps with flow passages resembling cylindrical tubes. Scaling, as a function of cylindrical tube radius a, is shown for nondimensional flow velocity (= $-Q_{\rm max} \cdot \mu/(\pi a^2 n \epsilon \zeta \, E_z)$) and reduced pressure (= $\Delta p_{\rm max} \cdot 1/(8 \epsilon \zeta \, E_z l)$). Scaling is for ionic solutions with Debye lengths $\lambda_{\rm D}=10$ nm (e.g., a 100 mM electrolyte) and $\lambda_{\rm D}=100$ nm (e.g., a 1 mM electrolyte). For $a/\lambda_{\rm D}\ll 1$, this reduced pressure scale approaches an a^{-2} dependence associated with thin electrical double layers and nondimensional flow velocity approaches the theoretical maximum of unity. For $a/\lambda_{\rm D}\cong 1$, finite EDL effects reduce both flow rate and pressure. Figure describes flow in a single tube. In practice, electroosmotic pumps use many small flow passages in parallel to achieve both high pressure and high flow rate.

Production of higher flow rates using EO pumping generally requires structures with larger dimensions in the directions normal to the flow than are found in single channels or capillaries. These pumps typically incorporate porous structures in which each pore acts as a tortuous capillary for generating EO flow. These pumps can be modeled as a bundle of *n* capillaries [253–255]. In figure 12, $Q_{\text{max,EO}}$ (normalized by multiplying by $-\mu/(n\pi a^2 \varepsilon \zeta E_z)$) (where n is the number of EO pumping channels in parallel) and $\Delta p_{\text{max,EO}}$ (normalized by multiplying by $1/(8\varepsilon\zeta E_z l)$ are plotted as a function of capillary radius a for Debye lengths λ_D of 10 nm and 100 nm. Small λ_D operation allows high-pressure performance without a reduction in area-specific flow rate. However, decreasing λ_D via increases in ion density also increases the ionic current through the pump and thereby lowers thermodynamic efficiency. This tradeoff is a major consideration for practical implementations of EO pumping. The choice of working fluid also affects zeta potential, important to both pressure and flow rate performance. Zeta potential is a strong function of pH (although typically saturating in magnitude at high and low pH values), and a weaker function of ion density [239]. A simple relation for zeta potential as a function of pH and ion density for silica surfaces is presented by Yao et al [256]. This relation is a fit to a model by Yates et al [257], which was more recently experimentally validated by Scales et al [258]. Together, the effects of ion density on normalized flow rate, pressure and current performance result in an optimum value of thermodynamic efficiency for EO pumping. This optimization and other aspects of EO pump design and theory are discussed in detail by Chen and Santiago [259], Yao and Santiago [253] and Yao et al [256] for planar and porous-media pumps.

Operating voltages and geometries of high-flow rate EO pumps vary widely. Useful metrics for describing their performance are the maximum pressure normalized by applied voltage, $\Delta p_{\text{max,V}}$ (kPa V⁻¹), and the maximum flow rate normalized by applied voltage and flow cross-sectional area, $Q_{\text{max,V,A}}$ (μ l min⁻¹ V⁻¹ cm⁻²). Gan et al reported $\Delta p_{\text{max,V}} =$ 0.3 kPa V⁻¹ and $Q_{\text{max,V,A}} = 0.6 \ \mu \text{l min}^{-1} \text{ V}^{-1} \text{ cm}^{-2}$ with a 3.5 cm inner diameter (ID) pump using a bed of sintered glass beads as a porous pumping medium [260]. Zeng et al [254] reported using large (500 μ m to 700 μ m diameter) capillaries packed with silica particles to produce $\Delta p_{\text{max,V}} =$ 1 kPa V⁻¹ and $Q_{\text{max,V,A}} = 1 \ \mu \text{l min}^{-1} \text{ V}^{-1} \text{ cm}^{-2}$. Maximum thermodynamic efficiency is $\eta = 1.3\%$. An EO micropump in which a 1 cm diameter porous polymer frit holds a bed of silica particles in place produced $\Delta p_{\text{max,V}} = 0.2 \text{ kPa V}^{-1}$ and $Q_{\text{max,V,A}} = 1 \ \mu \text{l min}^{-1} \text{ V}^{-1} \text{ cm}^{-2}$ [261]. Yao et al [256] reported a pump in which EO flow is generated in a 4 cm diameter (1 mm thick) sintered glass frit. This pump produces $\Delta p_{\rm max,V} = 1.3 \, \rm kPa \, V^{-1}$ and $Q_{\rm max,V,A} = 26 \, \mu \rm l \, min^{-1} \, V^{-1} \, cm^{-2}$. The absolute $\Delta p_{\rm max}$ and $Q_{\rm max}$ for the latter pump are 130 kPa and 33 ml min⁻¹ operating at V=100 V; maximum thermodynamic efficiency is $\eta = 0.3\%$.

A different approach to boosting flow rate was taken by Chen and Santiago, who used glass micromachining to fabricate a miniature EO pump consisting of a single channel 4 cm wide and 1 mm long in the flow direction, but only 1 μ m deep [259, 262]. A detailed analysis of EO flow in this geometry is given by Burgreen and Nakache [263], and Chen and Santiago present an analysis of thermodynamic efficiency of this structure. Pressure generation is a function of the small (1 μ m) gap height in this structure, which yielded $\Delta p_{\text{max,V}} = 0.03 \text{ kPa V}^{-1}$. Narrow structural ribs are the only obstruction in the flow direction, so this pump produces a high normalized flow rate of $Q_{\text{max,V,A}} = 42 \ \mu \text{l min}^{-1} \ \text{V}^{-1} \ \text{cm}^{-2}$. The absolute $\Delta p_{\rm max}$ and $Q_{\rm max}$ for this micropump are 33 kPa and 15 μ l min⁻¹ operating at V=1 kV; maximum thermodynamic efficiency is $\eta = 0.49\%$. Silicon micropumps based on the EO flow generated in narrow slots have also been reported [26, 255, 264]. Although the silicon substrate precludes use of voltages greater than a few hundred volts (to avoid breakdown of passivation layers), the capabilities of silicon micromachining make possible a high degree of geometrical optimization. A micropump with a 1 cm wide × 150 μ m deep \times 100 μ m long pumping region containing 500 parallel etched slots produces $\Delta p_{\rm max,V}=0.03~{\rm kPa~V^{-1}}$ and $Q_{\rm max,V,A}=53~\mu{\rm l\,min^{-1}\,V^{-1}\,cm^{-2}}$ operating at $V=400~{\rm V}$. The absolute $\Delta p_{\rm max}$ and $Q_{\rm max}$ for this micropump are 10 kPa and 170 μ l min⁻¹; maximum thermodynamic efficiency is $\eta =$ Other implementations of EO pumping at the microscale have been reported [265–271].

3.3. Magnetohydrodynamic pumps

Several magnetohydrodynamic micropumps have been reported in which current-carrying ions in aqueous solutions are subjected to a magnetic field to impart a Lorentz force on the liquid and induce flow. A typical magnetohydrodynamic pump is shown in figure 13. In a rectangular channel with transverse current density J_y and perpendicular transverse magnetic flux density B_x , the maximum pressure is

$$P_{\text{max,MHD,th}} = J_y B_x l \tag{13}$$

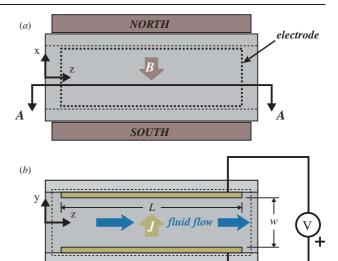


Figure 13. Top view (a) and section view (b) schematics of a simple magnetohydrodynamic micropump. A transverse magnetic field exerts a Lorentz force $(\vec{F} = \vec{J} \times \vec{B}w)$ on current-carrying ions flowing across the channel, producing flow in the axial direction.

Section A-A

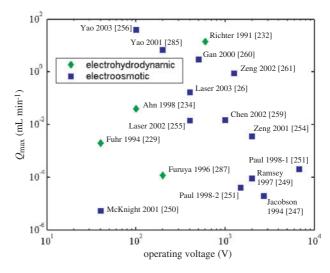


Figure 14. Q_{max} for reported electrohydrodynamic and electroosmotic micropumps, plotted as a function of operating voltage V.

and the maximum flow rate is on the order of

$$Q_{\text{max,MHD,th}} = J_{\text{y}} B_{x} \frac{\pi D_{\text{h}}^{4}}{128\mu}$$
 (14)

where l is the length of the pumping channel and $D_{\rm h}$ is its hydraulic diameter (cross-sectional area multiplied by 4 and divided by its perimeter). The performance of magnetohydrodynamic pumps is typically limited by the magnetic flux density (up to approximately 1 T for miniature permanent magnets or 0.1 T for miniature electromagnetic coils); the scaling of flow rate with the fourth power of hydraulic diameter makes miniaturization challenging. Also, thermal effects often limit current density.

Jang and Lee [272] reported a magnetohydrodynamic micropump with a 40 nm long pumping channel with hydraulic

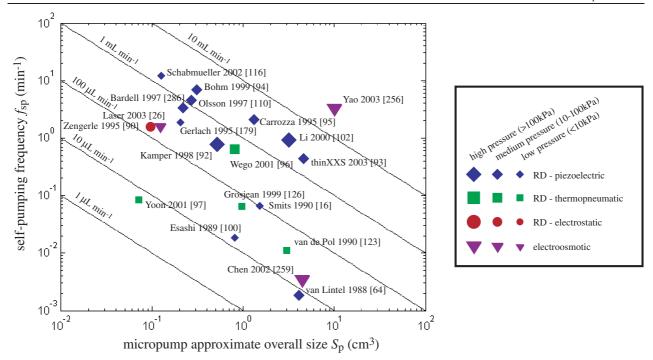


Figure 15. Comparison of several reported micropumps based on maximum flow rate, Q_{max} , maximum pressure Δp_{max} , and package size S_p . Self-pumping frequency is here defined as $f_{\text{sp}} = Q_{\text{max}}/S_p$.

diameter on the order of 1 mm. With permanent magnets producing a magnetic flux density of 0.44 T and total current between 1 and 100 μ A, this pump produces $Q_{\rm max} = 63~\mu l ~\rm min^{-1}$ and $\Delta p_{\rm max} = 170~\rm Pa$. To avoid electrolysis associated with DC operation, Lemoff and Lee [273] used a miniature electromagnetic coil operating (along with the electric field) at 1 kHz. This micropump pumps a 1 M NaCl solution with $Q_{\rm max} = 18~\mu l ~\rm min^{-1}$. Several papers have discussed microscale applications of magnetohydrodynamic effects [274–278].

3.4. Comparison of electrohydrodynamic, electroosmotic and magnetohydrodynamic micropumps

As with reciprocating displacement micropumps, various factors other than pressure and flow rate performance are relevant to the selection of a dynamic micropump. magnitude of the electrical potential difference required to operate these field-driven micropumps is one important factor which can be compared directly and which varies In figure 14, Q_{max} is plotted as a function of operating voltage for reported field-driven dynamic micropumps. Working fluid properties generally must also be taken into account in choosing a dynamic micropump. EO (and some magnetohydrodynamic) pumps can handle a wide range of working fluids, including many that are widely used in chemical and biological analysis such as deionized water and chemical buffers. In contrast, most Electrolytic gas EHD pumps require dielectric fluids. generation occurs at the electrodes of many field-driven dynamic micropumps. Lastly, current passing through the working fluid used in electrohydrodynamic, electroosmotic and magnetohydrodynamic pumps may, in some cases, cause significant Joule heating.

3.5. Other dynamic pumps

Net fluid flow can be induced by flexural waves propagating through a membrane in contact with the fluid. A micropump based on ultrasonic flexural plate waves was reported by Luginbuhl *et al* [279]. Piezoelectric actuators in this micropump operate at 2–3 MHz and actuate regions of a 2 × 8 mm membrane. A flow rate of $Q_{\rm max}=255$ nl min⁻¹ was reported. Black and White [280] reported an ultrasonic flexural wave pump with a 2 × 8 mm membrane that produced $Q_{\rm max}=1.5~\mu {\rm l}$ min⁻¹. The design and optimization of ultrasonic flexural wave pumps is further discussed in recent papers [281, 282]. Dynamic micropumps based on thermal transpiration have been reported [283, 284].

4. Comparison of reciprocating displacement micropumps and dynamic micropumps

As noted earlier, flow rate, pressure generation and overall size are important figures of merit for micropumps. Figure 15 compares reported micropumps of various types in terms of all three of these metrics (for papers where all three have been reported). S_p is plotted along the abscissa; estimates have been made in some cases based on available information. In the ordinance, Q_{max} is normalized by dividing by S_p , to give a self-pump frequency, f_{sp} . As shown in the legend, the size of the data point marker indicates the associated Δp_{max} range for each pump. A few observations may be made. The EO micropump reported by Yao et al [256] and the piezoelectric-driven reciprocating displacement micropump reported by Li et al [102] perform well in terms of absolute flow rate and pressure generation. The very different manufacturing process and operational nature of these pumps would likely dictate which is appropriate for a particular application. More compact piezo-driven reciprocating displacement micropumps deliver normalized flow rate performance superior to that of Li et al's larger micropump, but generally at some cost in pressure generation. Given the comparatively high self-pumping frequency and small size of Zengerle et al's electrostatically driven reciprocating displacement micropump [90], further research on electrostatic actuation for micropumps may be warranted. Thermopneumatically driven micropumps tend to produce low flow rates even relative to their size, as well as low Δp_{max} , but this performance must be weighed against expected low manufacturing costs for these micropumps. Micromachined EO micropumps and reciprocating displacement micropumps of comparable size exhibit comparable performance.

5. Summary

Since the first micropumps were introduced in the early 1980s, progress in micropump development and analysis has been rapid. Reciprocating displacement micropumps, the most widely reported micropumps, have been produced with a wide variety of chamber configurations, valve types, drivers and constructions. Piezoelectrically driven reciprocating displacement micropumps have been the subject of particular attention and are now available commercially. Aperiodic displacement pumping based on localized phase change, electrowetting and other mechanisms are effective for transporting finite quantities of fluid in a generally unidirectional manner. Dynamic micropumps based on electromagnetic fields-electrohydrodynamic, electroosmotic and magnetohydrodynamic micropumps—are a subject of increasing interest. Electroosmotic micropumps are emerging as a viable option for a number of applications, including integrated circuit thermal management. As the reliability and ease of manufacture of micropumps improve, we can expect that micropumps will be increasingly used in a wide variety of systems in fields including life sciences, semiconductors and space exploration.

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