
Research Article: New Research | Sensory and Motor Systems

The Neural Representation of Force across Grasp Types in Motor Cortex of Humans with Tetraplegia

<https://doi.org/10.1523/ENEURO.0231-20.2020>

Cite as: eNeuro 2021; 10.1523/ENEURO.0231-20.2020

Received: 30 May 2020

Revised: 17 October 2020

Accepted: 20 October 2020

This Early Release article has been peer-reviewed and accepted, but has not been through the composition and copyediting processes. The final version may differ slightly in style or formatting and will contain links to any extended data.

Alerts: Sign up at www.eneuro.org/alerts to receive customized email alerts when the fully formatted version of this article is published.

Copyright © 2021 Rastogi et al.

This is an open-access article distributed under the terms of the Creative Commons Attribution 4.0 International license, which permits unrestricted use, distribution and reproduction in any medium provided that the original work is properly attributed.

- 1 1. **Manuscript Title:** The neural representation of force across grasp types in motor cortex of
2 humans with tetraplegia
- 3 2. **Abbreviated Title:** Rep. of force across grasps in motor cortex
- 4 3. **Author Names and Affiliations:** Anisha Rastogi¹, Francis R. Willett^{2,3}, Jessica Abreu^{1,4},
5 Douglas C. Crowder^{1,4}, Brian A. Murphy^{1,4}, William D. Memberg¹, Carlos E. Vargas-Irwin^{5,6},
6 Jonathan P. Miller^{4,8,9}, Jennifer Sweet^{8,9}, Benjamin L. Walter^{4,10}, Paymon G. Rezaii², Sergey
7 D. Stavisky^{2,3}, Leigh R. Hochberg^{6,7,11,12,13}, Krishna V. Shenoy^{3,14,15,16,17}, Jaimie M.
8 Henderson^{2,18}, Robert F. Kirsch^{1,4}, A. Bolu Ajiboye^{1,4}
- 9 ¹Department of Biomedical Engineering, Case Western Reserve University, Cleveland, OH,
10 44106; ²Department of Neurosurgery, Stanford University, Stanford, CA, 94035;
11 ³Department of Electrical Engineering, Stanford University, Stanford, CA, 94035; ⁴Louis
12 Stokes Cleveland Dept. of VA Med. Ctr., Cleveland, OH, 44106; ⁵Department of
13 Neuroscience, Brown University, Providence, RI, 02912; ⁶Robert J. and Nancy D. Carney
14 Institute for Brain Sciences, Brown University, Providence, RI, 02912; ⁷VA RR&D Center for
15 Neurorestoration and Neurotechnology, Providence, RI, 02912; ⁸Department of Neurological
16 Surgery, UH Cleveland Med. Ctr., Cleveland, OH, 44106; ⁹Department of Neurological
17 Surgery, CWRU School of Medicine, Cleveland, OH, 44106; ¹⁰Department of Neurology, UH
18 Cleveland Med. Ctr., Cleveland, OH, 44106; ¹¹School of Engineering, Brown University,
19 Providence, RI, 02912; ¹²Center for Neurotechnology and Neurorecovery, Department of
20 Neurology, Massachusetts General Hospital, Boston, MA, 02114; ¹³Department of
21 Neurology, Harvard Medical School, Boston, MA, 02114; ¹⁴Department of Bioengineering,
22 Stanford University, Stanford, CA, 94035; ¹⁵Department of Neurobiology, Stanford
23 University, Stanford, CA, 94035; ¹⁶Howard Hughes Medical Institute at Stanford University,
24 Stanford, CA, 94035; ¹⁷Wu Tsai Neuroscience Institute, Bio-X Program, Stanford University,
25 Stanford, CA, 94035
- 26 4. **Author Contributions:** AR, FRW, BAM, CEV, LRH, KVS, JMH, RFK, and ABA designed
27 research; AR, BAM, WDM, JPM, JS, BLW, PGR, and SDS performed research; AR, FRW,
28 JA, and DCD analyzed data; and AR, FRW, SDS, and ABA wrote the paper.
- 29 5. **Correspondence should be addressed to:**
30 A. Bolu Ajiboye
31 Case Western Reserve University
32 Department of Biomedical Engineering
33 Wickenden Building, Room 109
34 2071 Martin Luther King Jr. Blvd.
35 Cleveland, OH 44106
36 bolu.ajiboye@case.edu
- 37 6. **Number of Figures:** 8
38 7. **Number of Tables:** 1
39 8. **Number of Multimedia:** 0
40 9. **Number of Words for Abstract:** 239
41 10. **Number of Words for Significance Statement:** 109
42 11. **Number of Words for Introduction:** 750
43 12. **Number of Words for Discussion:** 2869

44 13. **Acknowledgements:** Support provided by NICHD-NCMRR (R01HD077220,
45 F30HD090890); NIDCD (R01DC009899, R01DC014034); NIH NINDS (UH2NS095548,
46 5U01NS098968-02); NIH Institutional Training Grants (5 TL1 TR 441-7, 5T32EB004314-15);
47 Department of Veteran Affairs Office of Research and Development Rehabilitation R&D
48 Service (N2864C, N9228C, A2295R, B6453R, A6779I, A2654R); Howard Hughes Medical
49 Institute; MGH-Deane Institute; the Executive Committee on Research (ECOR) of
50 Massachusetts General Hospital; the Wu Tsai Neurosciences Institute; the ALS Association
51 Milton Safenowitz Postdoctoral Fellowship; the A.P. Giannini Foundation Postdoctoral
52 Fellowship; the Wu Tsai Neurosciences Institute Interdisciplinary Scholars Fellowship; the
53 Larry and Pamela Garlick Foundation; the Simons Foundation Collaboration on the Global
54 Brain (543045); and Samuel and Betsy Reeves. The contents do not represent the views of
55 the Dept. of Veterans Affairs or the US Government. CAUTION: Investigational Device.
56 Limited by Federal Law to investigational Use.

57 The authors would like to thank the BrainGate participants and their families for their
58 contributions to this research. They also thank Glynis Schumacher for her artistic expertise
59 during the creation of Fig. 1. Since the dates of their initial contributions to the study,
60 Current address for B. L. Walter: Department of Neurology & Neurosurgery at the Cleveland
61 Clinic in Cleveland, OH.

62 14. **Conflict of Interest:** The MGH Translational Research Center has a clinical research
63 support agreement with Neuralink, Paradromics, and Synchron, for which L.H. provides
64 consultative input. K.S. is a consultant to Neuralink Corp. and on the Scientific Advisory
65 Boards of CTRL-Labs, Inc., MIND-X Inc., Inscopix Inc., and Heal, Inc. J.H. is a consultant for
66 Neuralink, Proteus Biomedical and Boston Scientific, and serves on the Medical Advisory
67 Board of Enspire DBS. This work was independent of and not supported by these
68 commercial entities. All other authors declare no competing interests.

69 15. **Funding Sources**

- 70 • Anisha Rastogi: NIH Institutional Training Grants (5 TL1 TR 441-7, 5T32EB004314-15);
71 NICHD-NCMRR F30HD090890
- 72 • Francis R. Willett: The Wu Tsai Neurosciences Institute, Howard Hughes Medical
73 Institute
- 74 • Benjamin L. Walter: NICHD-NCMRR R01HD077220
- 75 • Sergey D. Stavisky: the ALS Association Milton Safenowitz Postdoctoral Fellowship; the
76 Wu Tsai Neurosciences Institute; The A.P. Giannini Foundation Postdoctoral Fellowship
- 77 • Leigh R. Hochberg: MGH-Deane Institute; The Executive Committee on Research
78 (ECOR) of Massachusetts General Hospital; NIH NIDCD R01DC009899; NINDS
79 UH2NS095548, 5U01NS098968-02; Department of Veteran Affairs Office of Research
80 and Development Rehabilitation R&D Service N2864C, N9288C, A2295R, B6453R,
81 A6779I
- 82 • Krishna V. Shenoy: NIH NIDCD R01DC014034; Howard Hughes Medical Institute; the
83 Wu Tsai Neurosciences Institute
- 84 • Jaimie M. Henderson: NIH NIDCD R01DC014034; the Wu Tsai Neurosciences Institute
- 85 • Robert F. Kirsch: NICHD-NCMRR R01HD077220
- 86 • Bolu Ajiboye: NICHD-NCMRR R01HD077220; Department of Veteran Affairs Office of
87 Research and Development Rehabilitation R&D Service A2654R

88

89 Abstract:

90 Intracortical brain-computer interfaces (iBCIs) have the potential to restore hand grasping and
91 object interaction to individuals with tetraplegia. Optimal grasping and object interaction require
92 simultaneous production of both force and grasp outputs. However, since overlapping neural
93 populations are modulated by both parameters, grasp type could affect how well forces are
94 decoded from motor cortex in a closed-loop force iBCI. Therefore, this work quantified the
95 neural representation and offline decoding performance of discrete hand grasps and force levels
96 in two human participants with tetraplegia. Participants attempted to produce three discrete
97 forces (light, medium, hard) using up to five hand grasp configurations. A two-way Welch
98 ANOVA was implemented on multiunit neural features to assess their modulation to *force* and
99 *grasp*. Demixed principal component analysis was used to assess for population-level tuning to
100 force and grasp and to predict these parameters from neural activity. Three major findings
101 emerged from this work: 1) Force information was neurally represented and could be decoded
102 across multiple hand grasps (and, in one participant, across attempted elbow extension as well);
103 2) Grasp type affected force representation within multi-unit neural features and offline force
104 classification accuracy; and 3) Grasp was classified more accurately and had greater
105 population-level representation than force. These findings suggest that force and grasp have
106 both independent and interacting representations within cortex, and that incorporating force
107 control into real-time iBCI systems is feasible across multiple hand grasps if the decoder also
108 accounts for grasp type.

109

110 Significance Statement

111 Intracortical brain-computer interfaces (iBCIs) have emerged as a promising technology to
112 potentially restore hand grasping and object interaction in people with tetraplegia. This study is
113 among the first to quantify the degree to which hand grasp affects force-related – or *kinetic* –
114 neural activity and decoding performance in individuals with tetraplegia. The study results
115 enhance our overall understanding of how the brain encodes kinetic parameters across varying
116 kinematic behaviors -- and in particular, the degree to which these parameters have
117 independent versus interacting neural representations. Such investigations are a critical step to
118 incorporating force control into human-operated iBCI systems, which would move the
119 technology towards restoring more functional and naturalistic tasks.

120

121 Introduction

122 Intracortical brain-computer interfaces (iBCIs) have emerged as a promising technology to
123 restore upper limb function to individuals with paralysis. Traditionally, iBCIs decode kinematic
124 parameters from motor cortex to control the position and velocity of end effectors. These iBCIs
125 evolved from the seminal work of Georgopoulos and colleagues, who proposed that motor
126 cortex encodes high-level kinematics, including continuous movement directions and three-
127 dimensional hand positions, in a global coordinate frame (Georgopoulos et al., 1982;
128 Georgopoulos et al., 1986). Kinematic iBCIs have successfully achieved control of one- and

129 two-dimensional computer cursors (Wolpaw et al., 2002; Leuthardt et al., 2004; Kubler et al.,
130 2005; Hochberg et al., 2006; Kim et al., 2008; Schalk et al., 2008; Hermes et al., 2011; Kim et
131 al., 2011; Simeral et al., 2011); prosthetic limbs (Hochberg et al., 2012; Collinger et al., 2013;
132 Wodlinger et al., 2015); and paralyzed arm and hand muscles (Bouton et al., 2016; Ajiboye et
133 al., 2017).

134

135 While kinematic iBCIs can restore basic reaching and grasping movements, restoring the ability
136 to grasp and interact with objects requires both kinematic and kinetic (force-related) information
137 (Chib et al., 2009; Flint et al., 2014; Casadio et al., 2015). Specifically, sufficient contact force is
138 required to prevent object slippage; however, excessive force may cause mechanical damage to
139 objects (Westling and Johansson, 1984). Therefore, introducing force calibration capabilities
140 during grasp control would enable iBCI users to perform more functional tasks.

141

142 Early work by Evars and others, which showed correlations between cortical activity and force
143 output (Evars, 1968; Humphrey, 1970; Fetz and Cheney, 1980; Evars et al., 1983; Kalaska et
144 al., 1989), and later work, which decoded muscle activations from neurons in primary motor
145 cortex (M1) (Morrow and Miller, 2003; Sergio and Kalaska, 2003; Pohlmeier et al., 2007; Oby et
146 al., 2010), suggest that cortex encodes low-level dynamics of movement along with kinematics
147 (Kakei et al., 1999; Carmena et al., 2003; Branco et al., 2019). However, explorations of kinetic
148 parameters as control signals for iBCIs have only just begun. The majority have characterized
149 neural modulation to executed kinetic tasks in primates and able-bodied humans (Filimon et al.,
150 2007; Moritz et al., 2008; Pohlmeier et al., 2009; Ethier et al., 2012; Flint et al., 2012; Flint et al.,
151 2014; Flint et al., 2017; Schwarz et al., 2018). Small subsets of M1 neurons have been used to
152 command muscle activations through functional electrical stimulation (FES), to restore one-
153 dimensional wrist control and whole-hand grasping in primates with temporary motor paralysis
154 (Moritz et al., 2008; Pohlmeier et al., 2009; Ethier et al., 2012). More recent intracortical
155 studies demonstrated that force representation is preserved in individuals with chronic
156 tetraplegia (Downey et al., 2018; Rastogi et al., 2020).

157

158 Intended forces are usually produced in the context of task-related factors, including grasp
159 postures used to generate forces (Murphy et al., 2016). The representation and decoding of
160 grasps – independent of forces – has been studied extensively in primates (Stark and Abeles,
161 2007; Stark et al., 2007; Vargas-Irwin et al., 2010; Carpaneto et al., 2011; Townsend et al.,
162 2011; Hao et al., 2014; Schaffelhofer et al., 2015) and humans (Pistohl et al., 2012; Chestek et
163 al., 2013; Bleichner et al., 2014; Klaes et al., 2015; Bleichner et al., 2016; Leo et al., 2016;
164 Branco et al., 2017). Importantly, previous studies suggest that force and grasp are encoded by
165 overlapping populations of neural activity (Sergio and Kalaska, 1998; Carmena et al., 2003;
166 Sergio et al., 2005; Milekovic et al., 2015; Sburlea and Muller-Putz, 2018). While some studies
167 suggest that force is encoded at a high level independent of motion and grasp (Chib et al.,
168 2009; Hendrix et al., 2009; Pistohl et al., 2012; Intveld et al., 2018), others suggest that it is
169 encoded at a low level intertwined with grasp (Hepp-Reymond et al., 1999; Degenhart et al.,
170 2011). Thus, the degree to which intended hand grasps and forces interact within the neural
171 space, and how such interactions affect force decoding performance, remain unclear. To our
172 knowledge, these scientific questions have not been explored in individuals with tetraplegia,
173 who constitute a target population for iBCI technologies.

174

175 To answer these questions, we characterized the extent to which three discrete, attempted
176 forces were neurally represented and offline-decoded across up to five hand grasp
177 configurations in two individuals with tetraplegia. Our results suggest that force has both grasp-
178 independent and grasp-dependent (interacting) representation in motor cortex. Additionally,
179 while this study demonstrates the feasibility of incorporating discrete force control into human-

180 operated iBCIs, these systems will likely need to incorporate grasp and other task parameters to
181 achieve optimal performance.

182
183

184 **Materials and Methods**

185 **Study permissions and participants:**

186 Study procedures were approved by the US Food and Drug Administration (Investigational
187 Device Exemption #G090003) and the Institutional Review Boards of University Hospitals Case
188 Medical Center (protocol #04-12-17), Massachusetts General Hospital (2011P001036), the
189 Providence VA Medical Center (2011-009), Brown University (0809992560), and Stanford
190 University (protocol #20804).. Human participants were enrolled in the BrainGate2 Pilot Clinical
191 Trial (ClinicalTrials.gov number NCT00912041). Informed consent, including consent to
192 publish, was obtained from the participants prior to their enrollment in the study.

193 This study includes data from two participants with chronic tetraplegia. Both participants had
194 two, 96-channel microelectrode intracortical arrays (1.5 mm electrode length, Blackrock
195 Microsystems, Salt Lake City, UT) implanted in the hand and arm area (“hand knob”) (Yousry et
196 al., 1997) of dominant motor cortex. Participant T8 was a 53-year-old right-handed male with
197 C4-level AIS-A spinal cord injury 8 years prior to implant; and T5 was a 63-year-old right-
198 handed male with C4-level AIS-C spinal cord injury. More surgical details can be found at
199 (Ajiboye et al., 2017) for T8 and (Nuyujukian et al., 2018) for T5.

200 **Participant Task:**

201 The goal of this study was to measure the degree to which various hand grasps affect decoding
202 of grasp force from motor cortical spiking activity. To this end, participants T8 and T5 took part
203 in several research sessions in which they attempted to produce three discrete squeeze forces
204 (light, medium, hard) using one of four designated hand grasps (closed pinch, open pinch, ring
205 pinch, power). Squeeze force, defined here as the amount of force needed to deform an object,
206 is distinct from grip force, which is the amount of force needed to grasp an object of particular
207 weight and friction (Westling and Johansson, 1984). In this study, participants were instructed
208 to produce squeeze forces as opposed to grip forces. This was because participants could not
209 receive somatosensory feedback about the object properties that usually inform grip force
210 production, yet retained the capacity to emulate squeeze forces in response to audio and visual
211 cues.

212 The four hand grasps used to emulate squeeze forces were chosen to study force
213 representation within multiple grasp-related contexts. The open and closed pinch grasps were
214 included to determine how forces were represented when emulated with grasps of similar
215 function (thumb-index precision grasp) but different posture. The ring pinch grasp was included
216 to determine the effects of using different fingers to produce similar forces. Finally, the power
217 grasp was included to determine the influence of power versus precision grasping on force
218 representation.

219 Participant T8 completed six sessions between trial days 735-956 relative to the date of his
220 microelectrode array placement surgery; and T5 completed one session on trial day 390.
221 During Session 5, participant T8 emulated discrete forces using attempted elbow extension in

222 addition to the four distal hand grasps. This enabled the study of force representation across
223 the entire upper limb. Table 1 lists all relevant sessions and their associated task parameters.

224 Each research session consisted of multiple 4-minute data collection blocks, which were each
225 assigned to a particular hand grasp or elbow movement, as illustrated in Figure 1B. Blocks
226 were presented in a pseudorandom order, in which hand grasps were assigned randomly to
227 each set of two (Session 1), four (Sessions 2-4, 6-7), or five (Session 5) blocks. This allowed
228 for an equal number of blocks per hand grasp, distributed evenly across the entire research
229 session.

230 All blocks consisted of approximately 20 trials, which were presented in a pseudorandom order
231 by repeatedly cycling through a complete, randomized set of force levels until the end of the
232 block. During each trial, participants used kinesthetic imagery (Stevens, 2005; Mizuguchi et al.,
233 2017) to internally emulate one of three discrete force levels, or rest, with the dominant hand.
234 Participants received simultaneous audio and visual cues indicating which force to produce,
235 when to produce it, and when to relax. Participants were visually cued by observing a
236 researcher squeeze one of nine graspable objects corresponding to light, medium, and hard
237 forces (no object was squeezed during “rest” trials), as shown in Figure 1B. The participants
238 were asked to “follow along” and attempt the same movements that the researcher was
239 demonstrating. The graspable objects were grouped into three sets of three, corresponding to
240 forces embodied using a power grasp (sponge = light, stress ball = medium, tennis ball = hard);
241 a pincer grasp (cotton ball = light, nasal aspirator tip = medium, eraser = hard); or elbow
242 extension (5-lb dumbbell = light, 10-lb dumbbell = medium, 15-lb dumbbell = hard). These
243 visual cues, which were included to make the concept of light, medium, and hard forces seem
244 less abstract to participants after years of deafferentation, were deemed unlikely to be reflected
245 within the go-phase neural response based on previous investigations (Rastogi et al., 2020).
246 Objects were chosen to be of similar weight, size, and shape to minimize the effects of visual
247 confounds within the neural data.

248 During the prep phase, which lasted a pseudo-randomly determined period between 2.7 and 3.3
249 seconds to reduce confounding effects from anticipatory activity, the researcher presented an
250 object indicating the force level to be attempted. The researcher then squeezed the object (or
251 lifted the object, in the case of elbow extension) during the go phase (3-5 seconds), and finally
252 released the object at the beginning of the stop phase (5 seconds). When squeezing (or lifting)
253 objects, the researcher used the grasp type dictated by the block. For example, to visually cue
254 hard forces, the researcher used a ring pinch to squeeze the eraser during ring pinch blocks,
255 but used an open pinch grasp to squeeze the eraser during open pinch blocks.

256 Neural Recordings

257 Pre-processing

258 In both participants, each intracortical microelectrode array was attached to a percutaneous
259 pedestal connector on the head. A Blackrock shielded Patient Cable connected the pedestals
260 to front-end amplifiers and a NeuroPort System (Blackrock Microsystems, Salt Lake City, UT)
261 that bandpass filtered (0.3 Hz – 7.5 kHz) and digitized (30 kHz) the neural signals from each
262 channel on the microelectrode array. These digitized signals were pre-processed in Simulink
263 using the xPC real-time operating system (The Mathworks Inc., Natick, MA, US). Each channel
264 was bandpass filtered (250-5000 Hz), common average referenced (CAR), and down-sampled

265 to 15 kHz in real time. CAR was implemented by selecting 60 channels from each
266 microelectrode array that exhibited the lowest variance, and then averaging these channels
267 together to yield an array-specific common average reference. This reference signal was
268 subtracted from the signals from all channels within each of the arrays.

269 **Extraction of Neural Features**

270 From each filtered, CAR channel, two neural features were extracted in real time using the xPC
271 operating system from non-overlapping 20 millisecond time bins. These features, as illustrated
272 in Figure 1A, included unsorted threshold crossing rate (TC) and spike band power (SBP)
273 features. Each TC feature, which was equivalent to multiunit activity (Stark and Abeles, 2007)
274 was defined as the number of times a channel's recorded voltage time series crossed a
275 predefined noise threshold ($-4.5 \times$ root mean square voltage), divided by the width of the time
276 bin (Christie et al., 2015). The root mean square (RMS) voltage on each channel was
277 calculated from one minute of neural data recorded at the beginning of each research session.
278 Additionally, each SBP feature was computed as the average signal power of the spike band
279 (250-5000 Hz) within each time bin. Thus, SBP features were computed in the same manner as
280 local field potentials (LFPs) and EEG signal power bands.

281 These calculations yielded 384 neural features per participant, which were used for offline
282 analysis without spike sorting (Trautmann et al., 2019). TC features were labelled from 1-192
283 according to the recording electrodes from which they were extracted. Corresponding SBP
284 features were labelled from 193-384. All features were normalized by subtracting the block-
285 specific mean activity of the features within each recording block, in order to minimize non-
286 stationarities in the data.

287 Unless otherwise stated, all subsequent offline analyses of neural data were performed using
288 MATLAB software within a Windows 64-bit operating system.

289 **Characterization of Individual Neural Feature Tuning**

290 The first goal of this study was to determine the degree to which force- and grasp-related
291 information are represented within individual TC and SBP neural features. Specifically, neural
292 activity resulting from three discrete *forces* and two (Session 1), four (Sessions 2-4, 6-7), or five
293 (Session 5) *grasps*, resulted in 6, 12 or 15 conditions of interest per session, respectively. See
294 Table 1 for a list of grasps included for each individual research session. To visualize individual
295 feature responses to force and grasp, each feature's peristimulus time histogram (PSTH) was
296 computed for each of these conditions by averaging the neural activity over go-cue-aligned
297 trials. These trials were temporally smoothed with a Gaussian kernel (100-ms standard
298 deviation) to aid in visualization.

299 To determine how many of these individual features were tuned to force and/or grasp, statistical
300 analyses were implemented in MATLAB and with the WRS2 library in the R programming
301 language (Wilcox, 2017), as in (Rastogi et al., 2020). Briefly, features were pre-processed in
302 MATLAB to compute each feature's mean go-phase deviation from baseline during each trial.
303 Baseline activity was computed by averaging neural activity across multiple rest trials.

304 In R, the distribution of go-phase neural deviations was found to be normal (analysis of Q-Q
305 plots and Shapiro-Wilk tests, $p < 0.05$) but heteroskedastic (Levene's test, $p < 0.05$),
306 necessitating a 2-way Welch ANOVA analysis to determine neural tuning to force, grasp, and

307 their interaction ($p < 0.05$). Features exhibiting an interaction between force and grasp were
308 further separated into individual grasp conditions (closed pinch, open pinch, ring pinch, power,
309 elbow), within which one-way Welch-ANOVA tests were implemented to find interacting features
310 that were tuned to force. All p values were corrected for multiple comparisons using the
311 Benjamini-Hochberg procedure (Benjamini and Hochberg, 1995).

312 Neural Population Analysis and Decoding

313 The second goal of this study was to determine the degree to which force and grasp are
314 represented within – and can be decoded from – the neural population. Here, the neural
315 population was represented using both traditional and demixed principal component analysis
316 (PCA).

317 Visualizing Force Representation with Traditional PCA

318 In order to visualize how consistently forces were represented across different grasps, neural
319 activity collected during Sessions 5 and 7 were graphically represented within a low-dimensional
320 space found using PCA. Notably, during Session 5, participant T8 attempted to produce three
321 discrete forces not only with several grasps, but also with an elbow extension movement.
322 Therefore, two sets of PCA analyses were implemented on the data. The first, which was
323 applied to both sessions, performed PCA on all force and grasp conditions within the session.
324 In the second analysis specific to Session 5 only, PCA was applied solely on power grasping
325 and elbow extension trials in order to elucidate whether forces were represented in a consistent
326 way across the entire upper limb. For both analyses, the PCA algorithm was applied to neural
327 feature activity that was averaged over multiple trials and across the go phase of the task.

328 The results of each decomposition were plotted in a low-dimensional space defined by the first
329 two principal components. The force axis within this space, given by Equation 1, was estimated
330 by applying multi-class linear discriminant analysis (LDA) (Juric, 2020) to the centered, force-
331 labelled PCA data, and then using the largest LDA eigenvector as the multi-dimensional slope
332 \mathbf{m} of the force axis. Here, PC_{score} is the principal component score or representation of the
333 neural data in PCA space, and f is the intended force level. A consistent force axis across
334 multiple grasps within PCA space would suggest that forces are represented in an abstract (and
335 thus grasp-independent) manner.

$$PC_{score} = \mathbf{m}f \quad (1)$$

336

337 Demixed Principal Component Analysis

338 The remainder of population-level analysis was implemented using demixed principal
339 component analysis (dPCA). dPCA is dimensionality reduction technique that, similarly to
340 traditional PCA, compresses neural population activity into a few components that capture the
341 majority of variance in the source data (Kobak et al., 2016). Unlike traditional PCA, which yields
342 principal components (PCs) that each capture signal variance due to multiple parameters of
343 interest, dPCA performs an ANOVA-like decomposition of data into *task-dependent* dimensions
344 of neural activity. That is, the resulting demixed principal components (dPCs) are tuned to
345 individual task parameters; thus, they are much easier to interpret than traditional PCs.
346 Additionally, because dPCA performs an ANOVA-like decomposition of data, it serves as a

347 population-level analog to the two-way Welch ANOVA analysis implemented on individual
348 neural features.

349 Briefly, the matrix \mathbf{X} of neural data is decomposed into trial-averaged neural activity explained
350 by time (t), various task parameters (p_1, p_2), their interaction (p_1p_2), and noise, according to
351 Equation 2. Next, dPCA finds separate decoder (\mathbf{D}) and encoder (\mathbf{E}) matrices for each
352 marginalization M by minimizing the loss function L exhibited in Equation 3.

$$\mathbf{X} = \mathbf{X}_t + \mathbf{X}_{p_1} + \mathbf{X}_{p_2} + \mathbf{X}_{p_1p_2} + \mathbf{X}_{noise} = \sum_M \mathbf{X}_M + \mathbf{X}_{noise} \quad (2)$$

$$L = \sum_M \|\mathbf{X}_M - \mathbf{E}_M \mathbf{D}_M \mathbf{X}\|^2 \quad (3)$$

353 The resulting demixed principal components (dPCs), obtained by multiplying the neural data \mathbf{X}
354 by the rows of each decoder matrix \mathbf{D}_M , are, in theory, de-mixed, in that the variance explained
355 by each component is due to a single, specific task parameter M . These dimensions of neural
356 activity not only reveal population-level trends in neural data, but they can also be used to
357 decode task parameters of interest. Critically, dPCA can be used to decode task parameters
358 from the data while still preserving its original geometry. Thus, a single technique can be used
359 to analyze the underlying structure of neural data as it relates to the encoding of task
360 parameters, and to simultaneously quantify how well these parameters can be decoded for use
361 in an iBCI system (Kobak et al., 2016).

362 **Single dPCA Component Implementation**

363 In the present study, the task parameters of interest were force and grasp. Here, one goal was
364 to use variance as a metric to quantify the degree to which force and grasp were represented
365 within the neural population as a whole. Therefore, for each research session listed in Table 1,
366 the neural data \mathbf{X} was temporally smoothed using a Gaussian filter (100 millisecond standard
367 deviation) and decomposed into neural activity that varied with four marginalizations \mathbf{X}_M , as per
368 Equation 2: *time* (condition independent), *force*, *grasp*, and an *interaction* between force and
369 grasp. The variance that each marginalization accounted for was computed as the sum of
370 squares of the mean-centered neural data contained within the marginalization.

371 An additional goal was to isolate neural components that contained useful information about
372 force and grasp, *i.e.*, components that would enable discrimination between individual force
373 levels and grasp types. First, dPCA was used to reduce each of the four, 384-dimensional,
374 mean-centered marginalizations \mathbf{X}_M into 20 dPCs, as described by Equation 3. This yielded 80
375 dPCs across all four marginalizations. Second, the variances accounted for by each of the 80
376 components were computed as the sum of squares. Third, the top 20 out of 80 components
377 with the highest variance were selected as representing the majority of variance in the neural
378 dataset and were assembled into a decoder matrix \mathbf{D} . Finally, each of these top 20 components
379 was assigned to one of the four marginalizations of interest according to the marginalization
380 from which it was extracted. For example, dPCs that were extracted from the force
381 marginalization \mathbf{X}_{force} were deemed as force-tuned dPCs; those extracted from the grasp
382 marginalization \mathbf{X}_{grasp} were deemed as grasp tuned dPCs; and those extracted from the
383 marginalization \mathbf{X}_{FG} representing an interaction between force and grasp were deemed as
384 interacting dPCs.

385 Each dPC's information content was further quantified in two ways. First, in order to assess the
386 degree to which dPCs were demixed, each dPC's variance was subdivided into four sources of
387 variance corresponding to each of the four marginalizations of interest, as per Equation 2.
388 Second, the decoder axis associated with each dPC was used as a linear classifier to decode
389 intended parameters of interest. Specifically, each force-tuned dPC was used to decode force
390 at every time point of the behavioral task, while each grasp-tuned dPC was used to decode
391 grasp, but not force. Likewise, components that exhibited an interaction between force and
392 grasp were used to decode force-grasp pairs. Condition-independent dPCs, which were tuned
393 to time, were not used to decode force or grasp from the neural activity.

394 Linear classification was implemented using 100 iterations of stratified Monte Carlo leave-group-
395 out cross-validation (Kobak et al., 2016). During each iteration, one random group of $F \times G$ test
396 "pseudo-trials," each corresponding to one of the several force-grasp conditions, was set aside
397 during each time point (F = number of intended forces, G = number of intended grasps). Next,
398 dPCA was implemented on the remaining trials, and the decoder axes of the resulting dPCs
399 were used to predict the intended forces or intended grasps indicated by the test set of pseudo-
400 trials at each time point. This was accomplished by first computing mean dPC values for each
401 force-grasp condition, separately for each time point; projecting the $F \times G$ "pseudo-trials" onto
402 the decoder axes of the dPCs at each time point; and then classifying the pseudo-trials
403 according to the closest class mean (Kobak et al., 2016). The proportion of $F \times G$ pseudo-trials
404 correctly classified across 100 iterations at each time point constituted a time-dependent
405 classification accuracy. Chance performance was computed by performing 100 shuffles of all
406 available trials, randomly assigning force or grasp conditions to the shuffled data, and then
407 performing the same cross-validated classification procedure within each of the 100 shuffles.
408 Classification accuracies that exceeded the upper range of chance performance were deemed
409 significant.

410 **Force and Grasp Decoding Using Multiple dPCs**

411 Two additional goals of this study were to determine whether intended forces could be
412 accurately predicted from neural population data and whether these predictions depended on
413 hand grasp configuration. To this end, dPCs that were tuned to force, grasp, and an interaction
414 between force and grasp were used to construct multi-dimensional force and grasp decoders
415 within each session. Specifically, the force decoder was constructed by combining the decoding
416 axes of force-tuned and interacting components into a single, multi-dimensional decoder D_F ;
417 likewise, the grasp decoder D_G was constructed by combining the decoding axes of grasp-tuned
418 and interacting components.

419 Each of these decoders was used to perform 40 runs of linear force and grasp classification for
420 each of S research sessions per participant, implemented using the aforementioned stratified
421 Monte Carlo leave-group-out cross-validation procedure ($S = 6$ for T8; $S = 1$ for T5). As in the
422 single component implementation (Kobak et al., 2016), each run was accomplished in multiple
423 steps. First, the mean values of all dPCs included within the multi-dimensional decoder were
424 computed for each force-grasp condition, separately for each time point. Second, at each time
425 point, the $F \times G$ "pseudo-trials" were projected onto the multi-dimensional decoder axis and
426 classified according to the closest class mean. The proportion of test trials correctly classified at
427 each time point over 100 iterations constituted a time-dependent force or grasp classification
428 accuracy.

429 The aforementioned computations yielded 40 x S time-dependent force and grasp classification
430 accuracies per participant. Session-averaged, time-dependent force and grasp classification
431 accuracies were computed by averaging the performance over 240 session-runs for participant
432 T8 (40 runs x 6 sessions) and 40 session-runs for participant T5 (40 runs x 1 session). These
433 averages were compared to chance performance, which was computed by performing 100
434 shuffles of all trials, randomly assigning force or grasp conditions to the shuffled data, and then
435 performing force and grasp classification on each of the shuffled datasets using the
436 multidimensional decoders D_F and D_G . Time points in which force or grasp classification
437 exceeded the upper bound of chance were deemed to contain significant force-related or grasp-
438 related information.

439 To visualize the degree to which individual forces and grasps could be discriminated, confusion
440 matrices were computed over go-phase time windows during which the neural population
441 contained significant force- and grasp-related information. The time window began when
442 session-averaged, time-dependent classification accuracy exceeded 90% of maximum achieved
443 performance within the go phase, and ended at the end of the go phase. First, classification
444 accuracies for each of the S x 40 session-runs were approximated by averaging classification
445 performance across the pre-specified go-phase time window. These time-averaged accuracies,
446 which are henceforth referred to as mean force and grasp accuracies, were next averaged over
447 all S x 40 session-runs to yield confusion matrix data. In this way, confusion matrices were
448 computed to visualize force-related discriminability across all trials, force-related discriminability
449 within individual grasp types, and grasp-related discriminability across all trials.

450 Classification performances for individual forces and individual grasps were statistically
451 compared using parametric tests implemented on mean force and grasp accuracies.
452 Specifically, for each participant, mean classification accuracies for light, medium, and hard
453 forces were compared by implementing one-way ANOVA across mean force accuracies from all
454 S x 40 session runs. The resulting p values were corrected for multiple comparisons using the
455 Benjamini-Hochberg procedure (Benjamini and Hochberg, 1995). Likewise, mean classification
456 accuracies for closed pinch, open pinch, ring pinch, power, and elbow “grasps” were compared
457 by implementing one-way ANOVA across all mean grasp accuracies. These comparisons were
458 implemented to determine whether offline force and grasp decoding yielded similar versus
459 different classification results across multiple forces and multiple grasps.

460 Statistical analysis was also used to determine the degree to which grasp affected force
461 decoding accuracy. This was achieved by implementing two-way ANOVA on mean force
462 accuracies that were labelled with the grasps that were used to emulate these forces. The
463 results of the two-way ANOVA showed a statistically significant interaction between force and
464 grasp. Therefore, the presence of simple main effects was assessed within each force level
465 and within each grasp type. Specifically, one-way ANOVA was implemented on mean
466 accuracies within individual force levels to determine whether light forces, for example, were
467 classified with similar degrees of accuracies across all grasp types. Similarly, one-way ANOVA
468 was implemented on mean accuracies within individual grasps to see whether intended forces
469 affected grasp classification accuracy. P values resulting from these analyses were corrected
470 for multiple comparisons using the Benjamini-Hochberg procedure.

471 Finally, this study evaluated how well dPCA force decoders could generalize to novel grasp
472 datasets in T8 Session 5 and T5 Session 7. Specifically, within each session, a multi-
473 dimensional force decoder D_F was trained on neural data generated during all but one grasp

474 type, and then its performance was evaluated on the attempted forces emulated using the left-
 475 out “novel” grasp. To establish the generalizability of force decoding performance across many
 476 novel grasps, this analysis cycled through all available grasps attempted during Session 5
 477 (closed pinch, open pinch, ring pinch, power, elbow extension) and Session 7 (closed pinch,
 478 open pinch, ring pinch, power). For each novel grasp, the trained decoder D_F was used to
 479 perform 40 runs of stratified Monte Carlo leave-group-out cross-validated linear force
 480 classification on two sets of test data: the “initial grasp” dataset, which originated from the
 481 grasps on which the force decoder was trained; the “novel grasp” dataset, which originated from
 482 the leave-out test grasp. The resulting time-dependent, “initial grasp” and “novel grasp”
 483 decoding performances from the go-phase time window during above-90% classification
 484 accuracy were averaged over 40 runs and then compared using a standard T test. P values
 485 resulting from the statistical analysis were corrected for multiple comparisons across forces and
 486 test grasps using the Benjamini-Hochberg procedure.

487 Comparison of Force Encoding Models

488 The overarching goal of this study, which is to determine the extent to which force
 489 representation within motor cortex depends on grasp, arose from two conflicting hypotheses
 490 indicating that force representation is either grasp-independent (Chib et al., 2009; Hendrix et al.,
 491 2009; Pistohl et al., 2012; Intveld et al., 2018) or grasp-dependent (Hepp-Reymond et al., 1999;
 492 Degenhart et al., 2011). The grasp-independent and grasp-dependent force encoding
 493 hypotheses can be mathematically modeled as per Equations 4 and 5, respectively:

$$x_{ij} = a\mathbf{g}_i + b\mathbf{f}s_j + d \quad (4)$$

$$x_{ij} = cs_j\mathbf{g}_i + d \quad (5)$$

494 In these equations, x_{ij} is an $N \times T \times TR$ matrix of neural activity generated within N neural
 495 features over T time points, during TR trials of a particular grasp i and force j . The term \mathbf{g}_i is an
 496 $N \times T \times TR$ matrix of baseline feature activity during the grasp i , \mathbf{f} is an $N \times T \times TR$ matrix of
 497 baseline activity feature activity during force generation, and s_j is a discrete scalar force level.
 498 Finally, the coefficients a , b , c , and d are constants. Within Equation 4, the overall neural
 499 activity x_{ij} consists of an addition of independent force- and grasp-related terms, as is thus
 500 referred to as the additive model of force encoding. In contrast, Equation 5 models the neural
 501 activity x_{ij} as a multiplication of the force level s_j with baseline grasp activity \mathbf{g}_i , and is hence
 502 referred to as the scalar model of force encoding.

503 An additional model, indicated by Equation 6, incorporates terms from both the additive and
 504 scalar models of force encoding, and is thus referred to as the combined model:

$$x_{ij} = a\mathbf{g}_i + b\mathbf{f}s_j + cs_j\mathbf{g}_i + d \quad (6)$$

505 The additive (grasp-independent) and scalar (grasp-dependent) hypotheses of force encoding
 506 were graphically illustrated with a toy example of expected grasp-independent versus grasp-
 507 dependent (interacting) representations of force within the neural space. In the toy example, the
 508 model coefficients a , b , and c were set to one, and the model coefficient d was set to zero. The
 509 neural activity x_{ij} was a vector of trial-averaged activity from 100 simulated neural features
 510 during a single time point, generated during a particular grasp i and force j . The variable \mathbf{g}_i was
 511 a 100×1 vector of normalized baseline feature activity during the grasp i , \mathbf{f} was a 100×1 vector
 512 of normalized baseline neural feature activity during force generation, and s_j was a discrete,

513 scalar force level (1, 2, or 5). The vectors \mathbf{g}_i and \mathbf{f} contained values drawn from the standard
514 normal distribution.

515 Additionally, cross-validated ordinary least squares regression was used to quantify the degree
516 to which the additive, scalar, and combined models explained the neural data recorded from
517 participants T8 and T5. Here, the neural data \mathbf{x}_{ij} consisted solely of force, grasp, and interacting
518 components; condition-independent components of \mathbf{x}_{ij} were omitted. Thus, matrix \mathbf{x}_{ij} was
519 computed by compressing the 384-dimensional neural feature data using the dPCA decoder
520 matrix \mathbf{D} , eliminating CI-tuned dPCs, and then transforming the data back to feature space
521 using the encoder matrix \mathbf{E} (see Equation 3). Baseline grasp activity \mathbf{g}_i was estimated by
522 isolating grasp-tuned components from the neural data, transforming these components back to
523 feature space using the encoder matrix \mathbf{E}_{grasp} , and then averaging the resulting activity over
524 force conditions. Similarly, the baseline force activity \mathbf{f} was estimated by isolating force-tuned
525 dPCs, transforming these components back to feature space using the encoder matrix \mathbf{E}_{force} ,
526 and then averaging the resulting data over all force-grasp conditions. All three neural activity
527 variables consisted of $384 \times T \times TR$ matrices, where T was the number of go-phase time points
528 and TR was the number of trials emulated with an individual force-grasp combination. As in the
529 toy example, s_j was a discrete scalar force level (1, 2, or 5).

530 Cross-validated regression analysis for each model was performed using 100 iterations of a
531 stratified Monte-Carlo leave-group-out scheme. Notably, the regression was performed on the
532 data \mathbf{x} generated during all combinations of forces and grasps, as opposed to \mathbf{x}_{ij} , generated
533 during a particular grasp i and force j . During each iteration of cross-validation, one random
534 group of $F \times G$ “pseudo-trials,” each corresponding to one of the several force-grasp conditions,
535 was set aside as a test dataset. Next, model coefficients were trained via ordinary least
536 squares regression on the remaining data. Finally, the trained model was used to predict the
537 neural activity generated during the emulated pseudo-trials, resulting in an R^2 value for each
538 iteration. The distributions of R^2 values generated from each model were statistically compared
539 by implementing a multiple comparisons test (Tukey method) on the results of a one-way
540 ANOVA analysis.

541

542 Data and Code Accessibility

543 This study made use of several computational algorithms implemented using publicly available
544 source code packages. Code for the WRS2 R package, which was used to characterize single
545 features, is available at <https://CRAN.R-project.org/package=WRS2>. Source code for the dPCA
546 algorithm can be implemented either in MATLAB or Python and is available at
547 <https://github.com/machenslab/dPCA>. The dPCA source code was modified in order to perform
548 multidimensional decoding of force and grasp; these modified scripts can be made available
549 upon reasonable request by contacting the lead or senior authors. Finally, MATLAB code for
550 the multiclass LDA algorithm used to compute low-dimensional force axes within PCA space is
551 available on the MATLAB file exchange at
552 <https://www.mathworks.com/matlabcentral/fileexchange/31760-multiclass-lda>.

553 The data presented within this study can be made available upon reasonable request by
554 contacting the lead or senior authors.

555

556

557 Results

558 Characterization of Individual Neural Features

559 Figure 2 shows the activity of four exemplary features from session 5 chosen to illustrate tuning
560 to *force*, *grasp*, *both* force and grasp independently, and an *interaction* between force and
561 grasp, as evaluated with 2-way Welch-ANOVA (corrected $p < 0.05$, Benjamini-Hochberg
562 procedure). These features demonstrate neural modulation to forces that T8 attempted to
563 produce using all five grasp conditions: closed pinch, open pinch, ring pinch, power grasp, and
564 elbow extension. Figure 2-1 shows the activity of four additional features from participant T5.
565 TC features are labelled from 1-192 according to the recording electrodes from which they were
566 extracted. Corresponding SBP features are labelled from 193-384.

567 For each feature, column 1 shows neural activity that was averaged across grasp types (within
568 force levels), resulting in trial-averaged feature traces whose differences in modulation were due
569 to force alone. Similarly, Column 2 shows neural activity averaged within individual hand
570 grasps. Here, SBP feature 302 exhibits modulation to *force only* (row 1), as indicated by
571 statistically significant go-phase differentiation in activity across multiple force levels, but not
572 across multiple grasp levels. This force-only tuning is what might be expected for a “high-level”
573 coding of force that is independent of grasp type. In contrast, TC feature 190 is statistically
574 tuned to *grasp only*, in that it exhibits go-phase differentiation across multiple grasps, but not
575 across multiple forces. SBP feature 201, in which multiple forces and multiple grasps are
576 statistically discriminable, is tuned to *both* force and grasp.

577 Column 3 of Figure 2 displays a graphical representation of the simple main effects of the 2-way
578 Welch-ANOVA analysis, as shown by mean go-phase neural deviations from baseline feature
579 activity during the production of each individual force level using each individual grasp type.
580 Here, SBP features 302 and 201, which were both tuned to force independent of grasp, showed
581 similar patterns in modulation to light, medium, and hard forces within individual grasp types. In
582 contrast, TC feature 83 was tuned to an *interaction* between force and grasp; accordingly, its
583 modulation to light, medium, and hard forces varied according which grasp type the participant
584 used to emulate these forces. This type of interaction is what might be expected for a more
585 “motoric” encoding of force and grasp type. If each grasp requires a different set of muscles and
586 joints to be active, then a motoric encoding of joint or muscle motion would end up representing
587 force differently depending on the grasp.

588 Figure 3 summarizes the tuning properties of all 384 TC and SBP neural features in participants
589 T8 and T5, as evaluated with robust 2-way Welch-ANOVA. Specifically, Figure 3A shows the
590 fraction of neural features tuned to *force*, *grasp*, *both* force and grasp, and an *interaction*
591 between force and grasp. Features belonging to the former three groups (i.e., those that
592 exhibited no interactions between force and grasp tuning) were deemed as *independently tuned*
593 to force and/or grasp. As shown in row 1, the proportion of features belonging to each of these
594 groups varied considerably across experimental sessions. However, during all sessions in both
595 participants, a substantial proportion of features (ranging from 15.4-54.7% of the feature
596 population across sessions) were tuned to force, independent of grasp. In other words, a
597 substantial portion of the measured neural population represented force and grasp
598 independently.

599 A smaller subset of features exhibited an interaction between force and grasp in both T8 (5.2 +/-
600 4.2%) and T5 (13.8%). Row 2 of Figure 3 further separates these interacting features into those
601 that exhibited force tuning within each individual grasp type, as evaluated by one-way Welch-
602 ANOVA (corrected $p < 0.05$). Here, the proportion of interacting features tuned to force
603 appeared to depend on grasp type, particularly during sessions 2, 4, 5, 6, and 7, in a session-
604 specific manner. In other words, within a small contingent of the neural feature population, force
605 representation showed some dependence on intended grasp. Taken together, Figure 3
606 suggests that force and grasp are represented both independently and dependently within
607 motor cortex at the level of individual neural features.

608

609 Neural Population Analysis and Decoding

610 Simulated Force Encoding Models

611 The goal of this study was to clarify the degree to which hand grasps affect neural force
612 representation and decoding performance, in light of conflicting evidence of grasp-independent
613 (Chib et al., 2009; Hendrix et al., 2009; Pistohl et al., 2012; Intveld et al., 2018) versus grasp-
614 dependent (Hepp-Reymond et al., 1999; Degenhart et al., 2011) force representation in the
615 literature. Prior to visualizing population-level representation of force, we first illustrate these
616 differing hypotheses with a toy example of expected grasp-independent versus grasp-
617 dependent (interacting) representations of force within the neural space. Figure 4 simulates
618 grasp-independent force encoding with an additive model (Equation 4), and grasp-dependent
619 force encoding with a scalar model (Equation 5), reproduced within row 1 of the figure.

620 Within the additive model, the overall neural activity \mathbf{x}_{ij} generated during a grasp i and force j is
621 represented as a summation of independent force- and grasp-related contributions. Thus, the
622 additive model simulates independent neural force representation, in which force is represented
623 at a high level independent of grasp. In contrast, the scalar encoding model simulates the
624 neural activity \mathbf{x}_{ij} as resulting from a multiplication of the force level s_j and the baseline grasping
625 activity \mathbf{g}_i . Such an effect might be expected if force were encoded as low-level tuning to
626 muscle activity. In this case, different force levels would result in the same pattern of muscle
627 activity being activated to a lesser or greater degree, thus scaling the neural activity associated
628 with that grasp, resulting in a coupling between force and grasp. Therefore, the scalar model
629 simulates an interacting (grasp-dependent) neural force representation.

630 Row 2 of Figure 4 shows simulated neural activity resulting from the additive and scalar
631 encoding models within two-dimensional PCA space. In the independent model, force is
632 represented in a consistent way across multiple simulated grasps, as indicated by the force
633 axis. In contrast, within the interacting model, force representation differs according to grasp.
634 These differences are further highlighted in Row 3 of Figure 4, in which dPCA was applied to
635 the simulated neural data (over 20 simulated trials) resulting from each model. While the
636 additive model exhibited no interaction-related neural variance, the scalar model yielded a
637 substantial proportion of force, grasp, and interaction-related variance. Note that within these
638 toy models, the simulated neural activity did not vary over its time course and, thus, exhibited no
639 condition-independent (time-related) variance.

640

641 Neural Population Analysis

642 Figure 5 shows neural population-level activity patterns during Sessions 5 and 7 from
643 participants T8 and T5, respectively. Here, Session 5 data were shown to illustrate the neural
644 population response to forces emulated using all five grasp conditions. Additionally, Session 7
645 data were shown as the representative dataset from participant T5. In the first two columns of
646 Figure 5, dPCA and traditional PCA were applied to all force-grasp conditions in both
647 participants. In the third column, these dimensionality reduction techniques were applied solely
648 to force trials attempted using the power grasping and elbow extension, in order to further
649 quantify force representation across the entire upper limb. Population-level activity patterns for
650 additional sessions are shown in Figures 5-1 and 5-2.

651 The twelve dPCs shown in Figure 5A explain the highest amount of variance within each of the
652 four marginalizations of interest, for each participant. For example, participant T8's Component
653 #4 (row 2, column 1) is the largest force-tuned component in the dataset and explains 3.3% of
654 the neural data's overall variance. Similarly, T8's Component #2 (row 3, column 1), which
655 captures grasp-related activity, explains 8.1% of neural variance. Horizontal black bars on each
656 panel indicate time points at which individual dPC decoding axes predict intended forces (row
657 2), grasps (row 3), and force-grasp pairs (row 4) more accurately than chance performance. In
658 both participants, single components were able to offline-decode intended forces at above-
659 chance levels solely during the active "go" phase of the trial, indicated by the vertical gray lines.
660 However, grasp-tuned components were able to accurately predict intended grasps at nearly all
661 time points during the trial, including the prep and stop phases. These trends were observed
662 when dPCA was applied across all force-grasp conditions (Columns 1 and 2) and across solely
663 power and elbow trials in participant T8 (Column 3).

664 Figure 5B summarizes the variance accounted for by the entire set of dPCs extracted from each
665 dataset. Specifically, the first row shows the cumulative variance captured by the dPCs (red),
666 as compared to components extracted with traditional PCA (black). Here, dPCs extracted from
667 different marginalizations were not necessarily orthogonal and accounted for less cumulative
668 variance than traditional PCs because the axes were optimized for demixing in addition to
669 capturing maximum variance. However, the cumulative dPC variance approached total signal
670 variance, as indicated by the dashed horizontal lines in each panel, and were thus deemed as a
671 faithful representation of the neural population data.

672 The second row of Figure 5B further subdivides the variances of individual dPCs into per-
673 marginalization variances. Here, most of the variance in each extracted component can be
674 attributed to one primary marginalization, indicating that the extracted components are fairly well
675 demixed. Pie charts indicate the percentage of total signal variance (excluding noise) due to
676 force, grasp, force/grasp interactions, and condition-independent signal components. In both
677 participants, condition-independent components accounted for the highest amount of neural
678 signal variance, followed by grasp, then force, then force-grasp interactions. In other words,
679 more variance could be attributed to putative grasp representation than force representation at
680 the level of the neural population. Additionally, force-grasp interactions only accounted for a
681 small amount of neural variance, even when dPCA was applied solely across power grasping
682 and elbow extension trials (Column 3). Session 5 contained a larger amount of interaction
683 variance than other sessions, possibly due to the presence of elbow extension trials that were
684 attempted over a larger range of forces than those emulated with distal hand grasps. However,
685 interaction variance was nonetheless smaller than force- and grasp-related variance.

686 Figure 5C visualizes the trial-averaged, go-phase-averaged neural activity from each dataset
687 within two-dimensional PCA space. Within these plots, each data point represents the average
688 neural activity corresponding to an individual force-grasp condition. In all panels, light, medium,
689 and hard forces, represented as different shapes within PCA space, are aligned to a consistent
690 force axis (shown in blue) across multiple grasps – and also across power grasping and elbow
691 extension movements.

692 Finally, Figure 5D quantifies how well the data can be explained by the additive (grasp-
693 independent) and scalar (grasp-dependent) encoding models presented in Equations 4-5 and
694 illustrated within Figure 4. Fitted model coefficients obtained via cross-validated ordinary least
695 squares regression are indicated within session-specific tables, while R^2 values for the trained
696 models are indicated as bar plots. Here, the additive model significantly outperformed the scalar
697 model for all sessions ($p \leq 0.001$, one-way ANOVA, Tukey method). In agreement with this
698 result, Figures 5B and 5C resemble the simulation results from the additive force encoding
699 model (Figure 4, Equation 4), which would be expected for grasp-independent force
700 representation. However, a small amount of interaction-related variance was also present in
701 Figure 5B, and that the force activity patterns in Figure 5C deviated to a small degree from the
702 force axis, indicating that the additive model may not fully explain the neural activity. Therefore,
703 the neural data was also fit to a combined model (Equation 6), which incorporated terms from
704 both the additive and scalar models. When fitted to neural data recorded from all force-grasp
705 conditions (Columns 1 and 2), the combined model performed similarly to the additive model (p
706 > 0.05), likely because the scalar term within the combined model was assigned a low weighting
707 coefficient c . However, when applied solely to the power and elbow extension trials of Session
708 5, the combined slightly outperformed the additive model ($p < 0.01$), in agreement with the
709 slightly larger force/grasp interaction-related variance present within this subset of the data.

710 **Time-Dependent Decoding Performance**

711 Figure 6 summarizes the degree to which intended forces and grasps could be predicted from
712 the neural activity using the aforementioned dPCs. Here, offline force decoding accuracies
713 were computed by using a force decoder D_F – created by assembling the decoding axes of
714 multiple force-tuned and interacting components – to classify light, medium, and hard forces
715 over multiple session-runs of a 100-fold, stratified, leave-group-out Monte Carlo cross-validation
716 scheme, as described in the Methods. Similarly, grasp decoding accuracies in row 3 were
717 computed using a grasp decoder D_G , created by assembling the decoding axes of grasp-tuned
718 and interacting dPCs. Row 1 of Figure 6 shows time-dependent force decoding results,
719 averaged over $S \times 40$ session-runs in participants T8 ($S = 6$) and T5 ($S = 1$). Row 2 further
720 subdivides the results of Row 1 into force decoding accuracies achieved during individual hand
721 grasps. Finally, Row 3, shows time-dependent grasp decoding results for both participants.

722 Here, intended forces were decoded at levels exceeding the upper bound of chance solely
723 during the go phase across all sessions (Figure 6-3), regardless of the grasp used to emulate
724 the force. The exception to this trend occurred during elbow extension trials, in which intended
725 forces were decoded above chance during the stop phase. In contrast, intended grasps were
726 decoded above chance during all trial phases, regardless of the number of grasps from which
727 the decoder discriminated (Figure 6-2) – though go-phase grasp decoding accuracies tended to
728 exceed those achieved during other trial phases. In summary, both intended forces and grasps
729 were decoded above chance during time periods when participants intended to produce these
730 forces and grasps – and in some cases, during preparatory and stop periods. Session-

731 averaged, time dependent decoding accuracies for individual force levels and grasp types are
732 displayed in Figure 6-1.

733 **Go-Phase Decoding Performance**

734 Figure 7 summarizes go-phase force and grasp decoding accuracies as confusion matrices.
735 Here, time-dependent classification accuracies for each force level and each grasp type were
736 averaged over go-phase time windows (see Figure 6) that commenced when overall
737 classification performance exceeded 90% of their maximum, and ended with the end of the go
738 phase. This time period was selected in order to exclude the rise time in classification accuracy
739 at the beginning of the go phase, so that the resulting mean trial accuracies reflected stable
740 values. The mean trial accuracies were then averaged over all session-runs in each participant
741 to yield confusion matrices of true versus predicted forces and grasps. Figure 7B further
742 subdivides overall three-force classification accuracies into force classification accuracies
743 achieved during each individual grasp type (columns) in both participants (rows). The confusion
744 matrices in Figure 7 represent cumulative data across multiple sessions in participant T8, and
745 one session in participant T5. Figures 7-1, 7-2 and 7-3 statistically compare decoding
746 accuracies between individual force levels and grasp types within each individual session.

747 In Figures 6A, 7A, and 6-1, overall three-force classification accuracies exceeded the upper limit
748 of chance in both participants. However, the decoding accuracies of individual force levels were
749 statistically different. For almost all sessions, hard forces were classified more accurately than
750 light forces (with the exception of Session 4, during which light and hard force classification
751 accuracy was statistically similar); and both light and hard forces were always classified more
752 accurately than medium forces. More specifically, hard and light forces were decoded above
753 chance across all sessions, while medium force classification accuracies often failed to exceed
754 chance in both participants.

755 In contrast, both overall and individual grasp decoding accuracies always exceeded the upper
756 limit of chance. According to Figures 7A and 7-1B, certain grasps were decoded more
757 accurately than others. Specifically, in participant T8, the power and ring pincer grasps were
758 often classified more accurately than the open and closed pincer grasps across multiple
759 sessions (corrected $p \ll 0.05$, one-way ANOVA). Elbow extension, which required the
760 participants to attempt force production in the upper limb in addition to the hand, was classified
761 more accurately than any of the grasping forces during Session 5 (corrected $p \ll 0.05$). In
762 participant T5, grasp classification accuracies, in order from greatest to least, were ring pincer >
763 open pincer > power > closed pincer. Regardless, grasp decoding performance always
764 exceeded force decoding performance in both participants, as seen in Figures 6 and 7.

765 In Figures 7 and 7-3, overall and individual force classification accuracies varied depending on
766 the hand grasps used to attempt these forces. Specifically, classification accuracies for forces
767 attempted with different grasps were, with few exceptions, statistically different (corrected $p \ll$
768 0.05 , one-way ANOVA). For example, in Figures 7B and 7-3, hard forces attempted using the
769 open pincer grasp were always classified more accurately than hard forces attempted using the
770 ring pincer grasp in both participants. In other words, grasp type affected how accurately forces
771 were decoded.

772 Finally, Figure 8 summarizes how well force decoders trained on one set of grasps generalized
773 to novel grasp types in T8 Session 5 (row 1) and T5 Session 7 (row 2). A force decoder was
774 used to discriminate forces amongst a set of grasps used for training ("left-in", gray bars) or a

775 leave-out “novel” grasp (white bars). Here, the force decoding performance between the leave-
776 in and leave-out grasps was significantly different in 7 out of 9 comparisons, suggesting that
777 grasp affects how well forces are decoded from neural activity. However, for all sets of grasps,
778 force decoding performance always exceeded chance. This was even true when, during T8
779 Session 5, the force decoder was trained on four hand grasps and evaluated on elbow
780 extension data. This is consistent with the previous population-level analyses that show that
781 components of force representation in motor cortex are conserved across grasps and even arm
782 movements.

783

784 **Discussion**

785 The current study sought to determine how human motor cortex encodes hand grasps and
786 discrete forces, how much these representations interacted, and how well forces and grasps
787 could be decoded. Three major findings emerged from this work. First, force information was
788 present in – and could be decoded from – intracortical neural activity in a consistent way across
789 multiple hand grasps. This suggests that force is, to some extent, represented at a high level in
790 individuals with tetraplegia, independent of motion and grasp. However, as a second finding,
791 grasp affected force representation and classification accuracy, suggesting a simultaneous, low-
792 level, motoric representation of force in individuals with tetraplegia. Finally, hand grasps were
793 classified more accurately and explained more neural variance than forces. These three
794 findings and their implications for future online force decoding efforts are discussed here.

795 **Force and Grasp Representation in Motor Cortex**

796 **Force information persists across multiple hand grasps in individuals with**
797 **tetraplegia.**

798 ***Overall Force Representation***

799 Force was represented in a consistent way across multiple hand grasps within the neural
800 activity. In particular, a substantial contingent of neural features was tuned to force independent
801 of grasp (Figure 3); force-tuned components explained more population-level variance than
802 components tuned to force-grasp interactions (Figure 5); and intended forces were accurately
803 predicted from population-level activity across multiple grasps (Figures 6-8). The study results
804 suggest that in individuals with tetraplegia, to a large extent, force is represented at a high level
805 within motor cortex, distinct from grasp, in accordance with the grasp-independent force
806 encoding model described by Equation 4 (Figures 4, 5D). This conclusion agrees with previous
807 motor control studies (Mason et al., 2004; Chib et al., 2009; Casadio et al., 2015), which
808 suggest that at the macroscopic level, force and motion may be represented independently. In
809 particular, Chib and colleagues showed that descending commands pertaining to force and
810 motion could be independently disrupted via transcranial magnetic stimulation (TMS), and that
811 these commands obeyed simple linear superposition laws when force and motion tasks were
812 combined.

813 Furthermore, intracortical non-human primate studies (Mason et al., 2006; Hendrix et al., 2009;
814 Intveld et al., 2018) suggest that forces are encoded largely independently of the grasps used to
815 produce them. However, in these studies and within the present work, hand grasps likely

816 recruited overlapping sets of muscle activations. Thus, the relatively low degree of interactions
817 observed here and in the literature could actually be due to overlapping muscle activations
818 rather than truly grasp-independent force representation. For this reason, participant T8
819 emulated forces using elbow extension in addition to the other hand grasps during Session 5.
820 The elbow extension task, which recruited both proximal and distal muscle activations, was
821 chosen to overlap less with the other hand grasps, which recruited distal muscle activations
822 only. In Column 3 of Figure 5, dPCA was implemented solely on force trials emulated using
823 elbow extension and power grasping. The resulting dPCA composition yielded a slightly larger
824 interaction variance (4%) that was nonetheless smaller than variance due to force (~12%) or
825 grasp (~35%). Furthermore, discrete force data, when represented within two-dimensional PCA
826 space, aligned closely with a force axis that was conserved over both power grasping and elbow
827 extension movements, providing further evidence that force may be encoded independently of
828 movements and grasps.

829 ***Representation of Discrete Forces***

830 While overall force accuracies exceeded chance performance (Figure 6), hard and light forces
831 were classified more accurately than medium forces across all hand grasps, sessions and
832 participants. Medium forces often failed to exceed chance classification performance (Figures
833 7A, 6-1B, 6-3). Notably, classification performance depended on participants' ability to
834 kinesthetically attempt various force levels and grasps without feedback, despite having
835 tetraplegia for several years prior to study enrollment. Anecdotally, participant T8 reported that
836 light and hard forces were easier to attempt than medium forces, because they fell at the
837 extremes of the force spectrum and could thus be reproduced consistently. Though his
838 confidence with reproducing all forces improved with training, it is conceivable that without
839 sensory feedback, medium forces were simply more difficult to emulate, and thus yielded neural
840 activity patterns that were less consistent and more difficult to discriminate.

841 Additionally, prior studies suggest that neural activity increases monotonically with increasing
842 force magnitude (Evarts, 1969; Thach, 1978; Cheney and Fetz, 1980; Wannier et al., 1991;
843 Ashe, 1997; Cramer et al., 2002). Therefore, by virtue of being intermediate to light and hard
844 forces, medium forces may be represented intermediate to light and hard forces in the neural
845 space, and may thus be more easily confused with forces at the extremes of the range
846 evaluated (Murphy et al., 2016; Downey et al., 2018). To this point, population-level activity
847 during medium and light forces exhibited similarities (Figures 5, 5-1); accordingly, medium
848 forces were most often confused with light forces during offline classification (Figure 7).

849 **Hand posture affects force representation and force classification accuracy.**

850 ***Single-Feature Versus Population Interactions between Force and Grasp***

851 As previously stated, force information was neurally represented, and could be decoded, across
852 multiple hand grasps (Figures 3, 5-8). However, hand grasp also influenced how force
853 information was represented within (Figure 5) and decoded from (Figures 7, 7-3) motor cortex.
854 Furthermore, despite small force-grasp interaction population-level variance (Figures 5B, 5-1B),
855 as many as 12.0% and 13.8% of neural features exhibited tuning to these interaction effects in
856 participants T8 and T5, respectively (Figure 3), providing further evidence that the force and
857 grasp representation are not entirely independent.

858 When considering the relatively large number of interacting features and the small population-
859 level interaction variance, one might initially conclude that a discrepancy exists between feature-
860 and population-level representation of forces and grasps. However, the amount of variance
861 explained by a parameter of interest may not always correspond directly to the percentage of
862 features tuned to this parameter. Here, the interaction effects within individual features likely
863 reached statistical significance with small effect size. In other words, while real interaction
864 effects were present within the feature data (Figure 3), the overall effect was small, as exhibited
865 within the population activity (Figure 5). From this perspective, the seemingly incongruous
866 feature- and population-level results actually complement one another and inform our
867 understanding of how forces are represented in motor cortex in individuals with tetraplegia.

868 ***Force and Grasp Have Both Abstract (Independent) and Motoric (Interacting)***
869 ***Representations in Cortex***

870 Thus far, studies of force versus grasp representation have largely fallen into two opposing
871 groups. The first proposes that motor parameters are represented independently (Carmena et
872 al., 2003; Mason et al., 2006; Hendrix et al., 2009; Intveld et al., 2018). Such representation
873 implies that the motor cortex encodes an action separately from its intensity, then combines
874 these two events downstream in order to compute the EMG patterns necessary to realize
875 actions in physical space.

876 In contrast, the second group suggests that force, grasp, and other motor parameters interact
877 (Hepp-Reymond et al., 1999; Degenhart et al., 2011). They propose that motor parameters
878 cannot be fully de-coupled (Kalaska, 2009; Branco et al., 2019), and that it may be more
879 effective to utilize the entire motor output to develop a comprehensive mechanical model, rather
880 than trying to extract single parameters such as force and grasp (Ebner et al., 2009).

881 The current study presents evidence supporting both independent and interacting
882 representations of force and grasp in individuals with tetraplegia. These seemingly
883 contradictory results actually agree with a previous non-human primate study that recorded from
884 motor areas during six combinations of forces and grasps (Intveld et al., 2018). Intveld and
885 colleagues found that, while force-grasp interactions explained only 0-3% of population
886 variance, roughly 10-20% of recorded neurons exhibited such interactions, which is highly
887 consistent with the present study results (Figures 3, 5). Thus, in individuals with tetraplegia, the
888 neural space could consist of two contingents: one that encodes force at a high level
889 independent of grasp and motion, and another that encodes force as low-level tuning to muscle
890 activity, resulting in interactions between force and grasp. The second contingent, however
891 small, significantly impacts how accurately forces and grasps are decoded (Figures 7B, 7-3) and
892 should not be discounted.

893 **Hand grasp is represented to a greater degree than force at the level of the neural**
894 **population.**

895 ***Go-Phase Grasp Representation***

896 In the present datasets, grasps were decoded more accurately (Figures 6-7, 6-1B) and
897 explained more signal variance (Figures 5B, 5-1B) than forces. This suggests that within the
898 sampled region of motor cortex, grasp is represented to a greater degree than force, which
899 agrees with prior literature (Hendrix et al., 2009; Milekovic et al., 2015; Intveld et al., 2018).

900 In the current work, force may be represented to a lesser degree than grasp for several
901 reasons. First, force information may have stronger representation in caudal M1, particularly on
902 the banks of the central sulcus (Kalaska and Hyde, 1985; Sergio et al., 2005; Hendrix et al.,
903 2009) or within the depth of the sulcus (Rathelot and Strick, 2009), which cannot be accessed
904 using planar microelectrode arrays. Second, force-tuned neurons in motor cortex respond more
905 to the direction of applied force than its magnitude (Kalaska and Hyde, 1985; Kalaska et al.,
906 1989; Taira et al., 1996). Finally, intracortical non-human primate studies (Georgopoulos et al.,
907 1983; Georgopoulos et al., 1992) and human fMRI studies (Branco et al., 2019) suggest that
908 motor cortical neurons respond more to the dynamics of force than to static force tasks. The
909 present work, which recorded from rostral motor cortex while study participants emulated static,
910 non-directional forces, may therefore have detected weaker force representation than would
911 have been possible from more caudally-placed recording arrays during a dynamic, functional
912 force task.

913 Additionally, both study participants were deafferented and received no sensory feedback
914 regarding the forces and grasps they attempted. In individuals with tetraplegia, discrepancies
915 may exist between the representation of kinematic parameters such as grasp – which remain
916 relatively intact due to their reliance on visual feedback – and kinetic parameters such as force
917 (Rastogi et al., 2020). Specifically, since force representation relies heavily on somatosensory
918 feedback (Tan et al., 2014; Tabot et al., 2015; Schiefer et al., 2018), whose neural pathways are
919 altered during tetraplegia (Solstrand Dahlberg et al., 2018), the current study may have yielded
920 weaker force-related representation than if this feedback were present. Therefore, further
921 investigations of force representation are needed in individuals with tetraplegia during
922 naturalistic, dynamic tasks that incorporate sensory feedback – either from intact sensation or
923 from intracortical microstimulation (Flesher et al., 2016) – in order to determine the full extent of
924 motor cortical force representation and to maximize decoding performance.

925 ***Grasp Representation During Prep and Stop Phases***

926 Unlike forces, which were represented primarily during the go phase of the trial, grasps were
927 represented throughout the entire task (Figures 5-6), in agreement with previous literature
928 (Milekovic et al., 2015). However, this ubiquitous grasp representation may be partially
929 explained by the behavioral task. Research sessions consisted of multiple data collection
930 blocks, each of which was assigned to a particular hand grasp, and cycled through three
931 attempted force levels within each block (Figure 1B). Thus, while attempted force varied from
932 trial to trial, attempted hand grasps were constant over each block and known by participants in
933 advance. When individuals have prior knowledge of one task parameter, but not another other,
934 information about the known parameter can appear within the baseline activity (Vargas-Irwin et
935 al., 2018). Therefore, grasp-related information may have been represented within the neural
936 space during non-active phases of the trial, simply by virtue of being known in advance.

937 Additionally, the placement of the recording arrays could have influenced grasp representation
938 in this study. In each participant, two microelectrode arrays were placed within the “hand knob”
939 of motor cortex (Yousry et al., 1997). These arrays may have recorded from “visuomotor
940 neurons,” which modulate both to grasp execution and to the presence of graspable objects
941 prior to active grasp (Carpaneto et al., 2011), or from neurons that are involved with motor
942 planning of grasp (Schaffelhofer et al., 2015). These neurons have typically been attributed to
943 area F5, a homologue of premotor cortex in non-human primates. Recent literature indicates
944 that human precentral gyrus is actually part of the premotor cortex (Willett et al., 2020). Thus,

945 the arrays in this study likely recorded from premotor neurons, which modulate to grasp during
946 both visuomotor planning and grasp execution, as was observed here.

947

948 **Implications for Force Decoding**

949 **Hand Grasp Affects Force Decoding Performance**

950 Our decoding results demonstrate that, in individuals with tetraplegia, forces can be decoded
951 offline from neural activity across multiple hand grasps (Figures 6-8). These results agree with
952 the largely independent force and grasp representation of force within single features (Figure 3)
953 and the neural population (Figure 5). From a functional standpoint, this supports the feasibility
954 of incorporating force control into real-time iBCI applications. On the other hand, grasp affects
955 how accurately discrete forces are predicted from neural data (Figures 7B, 7-3). Therefore,
956 future robust force decoders may need to account for additional motor parameters, including
957 hand grasp, in order to maximize performance.

958 **Decoding Motor Parameters with Dynamic Neural Representation**

959 The present study decoded intended forces from population activity at multiple time points, with
960 the hope that force representation and decoding performance would be preserved throughout
961 the go phase of the task. We found that feature-level (Figures 2, 2-1) and population-level
962 (Figures 5, 5-1) force activity exhibited both tonic and dynamic characteristics in individuals with
963 tetraplegia.

964 When participants attempted to produce static forces, the resulting neural activity varied with
965 time to some degree. These dynamics are consistent with previous results in humans (Murphy
966 et al., 2016; Downey et al., 2018; Rastogi et al., 2020). In particular, Downey and colleagues
967 found that force decoding during a virtual, open-loop, grasp-and-transport task was above
968 chance during the grasp phase of the task, but no greater than chance during static attempted
969 force production during the transport phase. These results support the idea that deafferented
970 motor cortex encodes changes in force, rather than (or in addition to) discrete force levels
971 themselves, as in the able-bodied case (Smith et al., 1975; Georgopoulos et al., 1983; Wannier
972 et al., 1991; Georgopoulos et al., 1992; Picard and Smith, 1992; Boudreau and Smith, 2001;
973 Paek et al., 2015).

974 However, the presence of tonic elements agrees with intracortical studies (Smith et al., 1975;
975 Wannier et al., 1991), which demonstrated both tonic and dynamic neural responses to
976 executed forces; and fMRI studies (Branco et al., 2019), which demonstrated a monotonic
977 relationship between the BOLD response and static force magnitudes. Moreover, despite the
978 presence of dynamic response elements, offline force classification performance remained
979 relatively stable throughout the go phase (Figures 6, 6-1, 6-3), suggesting that the tonic
980 elements could allow for adequate real-time force decoding using linear techniques alone. This
981 may be especially true when decoding forces during dynamic functional tasks, which elicit
982 stronger, more consistent neural responses within motor cortex (Georgopoulos et al., 1983;
983 Georgopoulos et al., 1992; Branco et al., 2019).

984 Nonetheless, real-time force decoding would likely benefit from an exploration of a wider range
985 of encoding models. For example, the exploration of a force derivative model, and its
986 implementation within an online iBCI decoder, would be of potential utility.

987 **Decoding of Discrete Versus Continuous Forces**

988 The present work continues previous efforts to characterize discrete force representation in
989 individuals with paralysis (Cramer et al., 2005; Downey et al., 2018; Rastogi et al., 2020) by
990 accurately classifying these forces across multiple hand grasps – especially when performing
991 light versus hard force classification (Figure 7). This supports the feasibility of enabling discrete
992 (“state”) control of force magnitudes across multiple grasps within iBCI systems, which would
993 allow the end iBCI user to perform functional grasping tasks requiring varied yet precise force
994 outputs. Perhaps because discrete force control alone would enhance iBCI functionality,
995 relatively few studies have attempted to predict forces along a continuous range of magnitudes.
996 Thus far, continuous force control has been achieved in non-human primates (Carmena et al.,
997 2003) and able-bodied humans (Pistohl et al., 2012; Chen et al., 2014; Flint et al., 2014), but not
998 in individuals with tetraplegia. If successfully implemented, continuous force control could
999 restore more nuanced grasping and object interaction capabilities to individuals with motor
1000 disabilities.

1001 However, during the present work (Figures 7, 6-1) and additional discrete force studies (Downey
1002 et al, 2018; Murphy et al, 2016), intermediate force levels were often confused with their
1003 neighbors, and thus more difficult to decode. Therefore, implementing continuous force control
1004 may pose challenges in individuals with tetraplegia. Possibly, enhancing force-related
1005 representation in these individuals via aforementioned techniques – including the introduction of
1006 dynamic force tasks, closed loop sensory feedback, and derivative force encoding models –
1007 may boost overall performance to a sufficient degree to enable continuous force decoding
1008 capabilities. Regardless, more investigations are needed to determine the extent to which
1009 continuous force control is possible in iBCI systems for individuals with tetraplegia.

1010 **Concluding Remarks**

1011 This study found that, while force information was neurally represented and could be decoded
1012 across multiple hand grasps in a consistent way, grasp type had a significant impact on force
1013 classification accuracy. From a neuroscientific standpoint, these results suggest that force has
1014 both grasp-independent and grasp-dependent (interacting) representations within motor cortex
1015 in individuals with tetraplegia. From a functional standpoint, they imply that in order to
1016 incorporate force as a control signal in human iBCIs, closed-loop force decoders should ideally
1017 account for interactions between force and other motor parameters to maximize performance.

1018

1019

1020

1021

1022

1023

References

- 1024 Ajiboye AB, Willett FR, Young DR, Memberg WD, Murphy BA, Miller JP, Walter BL, Sweet JA,
 1025 Hoyen HA, Keith MW, Peckham PH, Simeral JD, Donoghue JP, Hochberg LR, Kirsch
 1026 RF (2017) Restoration of reaching and grasping movements through brain-controlled
 1027 muscle stimulation in a person with tetraplegia: a proof-of-concept demonstration. *The*
 1028 *Lancet*.
- 1029 Ashe J (1997) Force and the motor cortex. *Behav Brain Res* 87:255-269.
- 1030 Benjamini Y, Hochberg Y (1995) Controlling the False Discovery Rate - a Practical and Powerful
 1031 Approach to Multiple Testing. *J R Stat Soc B* 57:289-300.
- 1032 Bleichner MG, Jansma JM, Sellmeijer J, Raemaekers M, Ramsey NF (2014) Give me a sign:
 1033 decoding complex coordinated hand movements using high-field fMRI. *Brain Topogr*
 1034 27:248-257.
- 1035 Bleichner MG, Freudenburg ZV, Jansma JM, Aarnoutse EJ, Vansteensel MJ, Ramsey NF
 1036 (2016) Give me a sign: decoding four complex hand gestures based on high-density
 1037 ECoG. *Brain Struct Funct* 221:203-216.
- 1038 Boudreau MJ, Smith AM (2001) Activity in rostral motor cortex in response to predictable force-
 1039 pulse perturbations in a precision grip task. *J Neurophysiol* 86:1079-1085.
- 1040 Bouton CE, Shaikhouni A, Annetta NV, Bockbrader MA, Friedenber DA, Nielson DM, Sharma
 1041 G, Sederberg PB, Glenn BC, Mysiw WJ, Morgan AG, Deogaonkar M, Rezai AR (2016)
 1042 Restoring cortical control of functional movement in a human with quadriplegia. *Nature*
 1043 533:247-250.
- 1044 Branco MP, de Boer LM, Ramsey NF, Vansteensel MJ (2019) Encoding of kinetic and kinematic
 1045 movement parameters in the sensorimotor cortex: A Brain-Computer Interface
 1046 perspective. *Eur J Neurosci* 50:2755-2772.
- 1047 Branco MP, Freudenburg ZV, Aarnoutse EJ, Bleichner MG, Vansteensel MJ, Ramsey NF
 1048 (2017) Decoding hand gestures from primary somatosensory cortex using high-density
 1049 ECoG. *Neuroimage* 147:130-142.
- 1050 Carmena JM, Lebedev MA, Crist RE, O'Doherty JE, Santucci DM, Dimitrov DF, Patil PG,
 1051 Henriquez CS, Nicolelis MA (2003) Learning to control a brain-machine interface for
 1052 reaching and grasping by primates. *PLoS Biol* 1:E42.
- 1053 Carpaneto J, Umiltà MA, Fogassi L, Murata A, Gallese V, Micera S, Raos V (2011) Decoding
 1054 the activity of grasping neurons recorded from the ventral premotor area F5 of the
 1055 macaque monkey. *Neuroscience* 188:80-94.
- 1056 Casadio M, Pressman A, Mussa-Ivaldi FA (2015) Learning to push and learning to move: the
 1057 adaptive control of contact forces. *Front Comput Neurosci* 9:118.
- 1058 Chen X, He C, Peng H (2014) Removal of Muscle Artifacts from Single-Channel EEG Based on
 1059 Ensemble Empirical Mode Decomposition and Multiset Canonical Correlation Analysis.
 1060 *Journal of Applied Mathematics* 2014:1-10.
- 1061 Cheney PD, Fetz EE (1980) Functional classes of primate corticomotoneuronal cells and their
 1062 relation to active force. *J Neurophysiol* 44:773-791.
- 1063 Chestek CA, Gilja V, Blabe CH, Foster BL, Shenoy KV, Parvizi J, Henderson JM (2013) Hand
 1064 posture classification using electrocorticography signals in the gamma band over human
 1065 sensorimotor brain areas. *J Neural Eng* 10:026002.
- 1066 Chib VS, Krutky MA, Lynch KM, Mussa-Ivaldi FA (2009) The separate neural control of hand
 1067 movements and contact forces. *J Neurosci* 29:3939-3947.
- 1068 Christie BP, Tat DM, Irwin ZT, Gilja V, Nuyujukian P, Foster JD, Ryu SI, Shenoy KV, Thompson
 1069 DE, Chestek CA (2015) Comparison of spike sorting and thresholding of voltage
 1070 waveforms for intracortical brain-machine interface performance. *J Neural Eng*
 1071 12:016009.

- 1072 Collinger JL, Wodlinger B, Downey JE, Wang W, Tyler-Kabara EC, Weber DJ, McMorland AJ,
1073 Velliste M, Boninger ML, Schwartz AB (2013) High-performance neuroprosthetic control
1074 by an individual with tetraplegia. *Lancet* 381:557-564.
- 1075 Cramer SC, Lastra L, Lacourse MG, Cohen MJ (2005) Brain motor system function after
1076 chronic, complete spinal cord injury. *Brain* 128:2941-2950.
- 1077 Cramer SC, Mark A, Barquist K, Nhan H, Stegbauer KC, Price R, Bell K, Odderson IR,
1078 Esselman P, Maravilla KR (2002) Motor cortex activation is preserved in patients with
1079 chronic hemiplegic stroke. *Ann Neurol* 52:607-616.
- 1080 Degenhart AD, Collinger JL, Vinjamuri R, Kelly JW, Tyler-Kabara EC, Wang W (2011)
1081 Classification of hand posture from electrocorticographic signals recorded during varying
1082 force conditions. *Conf Proc IEEE Eng Med Biol Soc* 2011:5782-5785.
- 1083 Downey JE, Weiss JM, Flesher SN, Thumser ZC, Marasco PD, Boninger ML, Gaunt RA,
1084 Collinger JL (2018) Implicit Grasp Force Representation in Human Motor Cortical
1085 Recordings. *Front Neurosci* 12:801.
- 1086 Ebner TJ, Hendrix CM, Pasalar S (2009) Past, present, and emerging principles in the neural
1087 encoding of movement. *Adv Exp Med Biol* 629:127-137.
- 1088 Ethier C, Oby ER, Bauman MJ, Miller LE (2012) Restoration of grasp following paralysis through
1089 brain-controlled stimulation of muscles. *Nature* 485:368-371.
- 1090 Evarts EV (1968) Relation of pyramidal tract activity to force exerted during voluntary
1091 movement. *J Neurophysiol* 31:14-27.
- 1092 Evarts EV (1969) Activity of pyramidal tract neurons during postural fixation. *J Neurophysiol*
1093 32:375-385.
- 1094 Evarts EV, Fromm C, Kroller J, Jennings VA (1983) Motor Cortex control of finely graded forces.
1095 *J Neurophysiol* 49:1199-1215.
- 1096 Fetz EE, Cheney PD (1980) Postspike facilitation of forelimb muscle activity by primate
1097 corticomotoneuronal cells. *J Neurophysiol* 44:751-772.
- 1098 Filimon F, Nelson JD, Hagler DJ, Sereno MI (2007) Human cortical representations for
1099 reaching: mirror neurons for execution, observation, and imagery. *Neuroimage* 37:1315-
1100 1328.
- 1101 Flesher SN, Collinger JL, Foldes ST, Weiss JM, Downey JE, Tyler-Kabara EC, Bensmaia SJ,
1102 Schwartz AB, Boninger ML, Gaunt RA (2016) Intracortical microstimulation of human
1103 somatosensory cortex. *Sci Transl Med* 8:361ra141.
- 1104 Flint RD, Rosenow JM, Tate MC, Slutzky MW (2017) Continuous decoding of human grasp
1105 kinematics using epidural and subdural signals. *J Neural Eng* 14:016005.
- 1106 Flint RD, Ethier C, Oby ER, Miller LE, Slutzky MW (2012) Local field potentials allow accurate
1107 decoding of muscle activity. *J Neurophysiol* 108:18-24.
- 1108 Flint RD, Wang PT, Wright ZA, King CE, Krucoff MO, Schuele SU, Rosenow JM, Hsu FP, Liu
1109 CY, Lin JJ, Sazgar M, Millett DE, Shaw SJ, Nenadic Z, Do AH, Slutzky MW (2014)
1110 Extracting kinetic information from human motor cortical signals. *Neuroimage* 101:695-
1111 703.
- 1112 Georgopoulos AP, Schwartz AB, Kettner RE (1986) Neuronal population coding of movement
1113 direction. *Science* 233:1416-1419.
- 1114 Georgopoulos AP, Kalaska JF, Caminiti R, Massey JT (1982) On the relations between the
1115 direction of two-dimensional arm movements and cell discharge in primate motor cortex.
1116 *J Neurosci* 2:1527-1537.
- 1117 Georgopoulos AP, Kalaska JF, Caminiti R, Massey JT (1983) Interruption of motor cortical
1118 discharge subserving aimed arm movements. *Exp Brain Res* 49:327-340.
- 1119 Georgopoulos AP, Ashe J, Smyrnis N, Taira M (1992) The motor cortex and the coding of force.
1120 *Science* 256:1692-1695.

- 1121 Hao Y, Zhang Q, Controzzi M, Cipriani C, Li Y, Li J, Zhang S, Wang Y, Chen W, Chiara
1122 Carrozza M, Zheng X (2014) Distinct neural patterns enable grasp types decoding in
1123 monkey dorsal premotor cortex. *J Neural Eng* 11:066011.
- 1124 Hendrix CM, Mason CR, Ebner TJ (2009) Signaling of grasp dimension and grasp force in
1125 dorsal premotor cortex and primary motor cortex neurons during reach to grasp in the
1126 monkey. *J Neurophysiol* 102:132-145.
- 1127 Hepp-Reymond M, Kirkpatrick-Tanner M, Gabernet L, Qi HX, Weber B (1999) Context-
1128 dependent force coding in motor and premotor cortical areas. *Exp Brain Res* 128:123-
1129 133.
- 1130 Hermes D, Vansteensel MJ, Albers AM, Bleichner MG, Benedictus MR, Mendez Orellana C,
1131 Aarnoutse EJ, Ramsey NF (2011) Functional MRI-based identification of brain areas
1132 involved in motor imagery for implantable brain-computer interfaces. *J Neural Eng*
1133 8:025007.
- 1134 Hochberg LR, Serruya MD, Friehs GM, Mukand JA, Saleh M, Caplan AH, Branner A, Chen D,
1135 Penn RD, Donoghue JP (2006) Neuronal ensemble control of prosthetic devices by a
1136 human with tetraplegia. *Nature* 442:164-171.
- 1137 Hochberg LR, Bacher D, Jarosiewicz B, Masse NY, Simeral JD, Vogel J, Haddadin S, Liu J,
1138 Cash SS, van der Smagt P, Donoghue JP (2012) Reach and grasp by people with
1139 tetraplegia using a neurally controlled robotic arm. *Nature* 485:372-375.
- 1140 Humphrey DR (1970) A chronically implantable multiple micro-electrode system with
1141 independent control of electrode positions. *Electroencephalogr Clin Neurophysiol*
1142 29:616-620.
- 1143 Intveld RW, Dann B, Michaels JA, Scherberger H (2018) Neural coding of intended and
1144 executed grasp force in macaque areas AIP, F5, and M1. *Sci Rep* 8:17985.
- 1145 Juric D (2020) MultiClass LDA. In: *Matlab Central File Exchange*.
- 1146 Kakei S, Hoffman DS, Strick PL (1999) Muscle and movement representations in the primary
1147 motor cortex. *Science* 285:2136-2139.
- 1148 Kalaska JF (2009) From intention to action: motor cortex and the control of reaching
1149 movements. *Adv Exp Med Biol* 629:139-178.
- 1150 Kalaska JF, Hyde ML (1985) Area 4 and area 5: differences between the load direction-
1151 dependent discharge variability of cells during active postural fixation. *Exp Brain Res*
1152 59:197-202.
- 1153 Kalaska JF, Cohen DA, Hyde ML, Prud'homme M (1989) A comparison of movement direction-
1154 related versus load direction-related activity in primate motor cortex, using a two-
1155 dimensional reaching task. *J Neurosci* 9:2080-2102.
- 1156 Kim SP, Simeral JD, Hochberg LR, Donoghue JP, Black MJ (2008) Neural control of computer
1157 cursor velocity by decoding motor cortical spiking activity in humans with tetraplegia. *J*
1158 *Neural Eng* 5:455-476.
- 1159 Kim SP, Simeral JD, Hochberg LR, Donoghue JP, Friehs GM, Black MJ (2011) Point-and-click
1160 cursor control with an intracortical neural interface system by humans with tetraplegia.
1161 *IEEE Trans Neural Syst Rehabil Eng* 19:193-203.
- 1162 Klaes C, Kellis S, Aflalo T, Lee B, Pejsa K, Shanfield K, Hayes-Jackson S, Aisen M, Heck C, Liu
1163 C, Andersen RA (2015) Hand Shape Representations in the Human Posterior Parietal
1164 Cortex. *J Neurosci* 35:15466-15476.
- 1165 Kobak D, Brendel W, Constantinidis C, Feierstein CE, Kepecs A, Mainen ZF, Qi XL, Romo R,
1166 Uchida N, Machens CK (2016) Demixed principal component analysis of neural
1167 population data. *Elife* 5.
- 1168 Kubler A, Nijboer F, Mellinger J, Vaughan TM, Pawelzik H, Schalk G, McFarland DJ, Birbaumer
1169 N, Wolpaw JR (2005) Patients with ALS can use sensorimotor rhythms to operate a
1170 brain-computer interface. *Neurology* 64:1775-1777.

- 1171 Leo A, Handjaras G, Bianchi M, Marino H, Gabiccini M, Guidi A, Scilingo EP, Pietrini P, Bicchi
 1172 A, Santello M, Ricciardi E (2016) A synergy-based hand control is encoded in human
 1173 motor cortical areas. *Elife* 5.
- 1174 Leuthardt EC, Schalk G, Wolpaw JR, Ojemann JG, Moran DW (2004) A brain-computer
 1175 interface using electrocorticographic signals in humans. *J Neural Eng* 1:63-71.
- 1176 Mason CR, Hendrix CM, Ebner TJ (2006) Purkinje cells signal hand shape and grasp force
 1177 during reach-to-grasp in the monkey. *J Neurophysiol* 95:144-158.
- 1178 Mason CR, Theverapperuma LS, Hendrix CM, Ebner TJ (2004) Monkey hand postural
 1179 synergies during reach-to-grasp in the absence of vision of the hand and object. *J*
 1180 *Neurophysiol* 91:2826-2837.
- 1181 Milekovic T, Truccolo W, Grun S, Riehle A, Brochier T (2015) Local field potentials in primate
 1182 motor cortex encode grasp kinetic parameters. *Neuroimage* 114:338-355.
- 1183 Mizuguchi N, Nakamura M, Kanosue K (2017) Task-dependent engagements of the primary
 1184 visual cortex during kinesthetic and visual motor imagery. *Neuroscience letters* 636:108-
 1185 112.
- 1186 Moritz CT, Perlmutter SI, Fetz EE (2008) Direct control of paralysed muscles by cortical
 1187 neurons. *Nature* 456:639-642.
- 1188 Morrow MM, Miller LE (2003) Prediction of muscle activity by populations of sequentially
 1189 recorded primary motor cortex neurons. *J Neurophysiol* 89:2279-2288.
- 1190 Murphy BA, Miller JP, Gunalan K, Ajiboye AB (2016) Contributions of Subsurface Cortical
 1191 Modulations to Discrimination of Executed and Imagined Grasp Forces through
 1192 Stereoelectroencephalography. *PLoS One* 11:e0150359.
- 1193 Nuyujukian P, Albites Sanabria J, Saab J, Pandarinath C, Jarosiewicz B, Blabe CH, Franco B,
 1194 Mernoff ST, Eskandar EN, Simeral JD, Hochberg LR, Shenoy KV, Henderson JM (2018)
 1195 Cortical control of a tablet computer by people with paralysis. *PLoS One* 13:e0204566.
- 1196 Oby ER, Ethier C, Bauman MJ, Perreault EJ, Ko JH, Miller LE (2010) Prediction of Muscle
 1197 Activity from Cortical Signals to Restore Hand Grasp in Subjects with Spinal Cord Injury.
 1198 In: *Statistical Signal Processing for Neuroscience and Neurotechnology*, pp 369-406:
 1199 Elsevier Inc.
- 1200 Paek AY, Gailey A, Parikh P, Santello M, Contreras-Vidal J (2015) Predicting hand forces from
 1201 scalp electroencephalography during isometric force production and object grasping.
 1202 *Conf Proc IEEE Eng Med Biol Soc* 2015:7570-7573.
- 1203 Picard N, Smith AM (1992) Primary motor cortical responses to perturbations of prehension in
 1204 the monkey. *J Neurophysiol* 68:1882-1894.
- 1205 Pistohl T, Schulze-Bonhage A, Aertsen A, Mehring C, Ball T (2012) Decoding natural grasp
 1206 types from human ECoG. *Neuroimage* 59:248-260.
- 1207 Pohlmeier EA, Solla SA, Perreault EJ, Miller LE (2007) Prediction of upper limb muscle activity
 1208 from motor cortical discharge during reaching. *J Neural Eng* 4:369-379.
- 1209 Pohlmeier EA, Oby ER, Perreault EJ, Solla SA, Kilgore KL, Kirsch RF, Miller LE (2009) Toward
 1210 the restoration of hand use to a paralyzed monkey: brain-controlled functional electrical
 1211 stimulation of forearm muscles. *PLoS One* 4:e5924.
- 1212 Rastogi A, Vargas-Irwin CE, Willett FR, Abreu J, Crowder DC, Murphy BA, Memberg WD, Miller
 1213 JP, Sweet JA, Walter BL, Cash SS, Rezaii PG, Franco B, Saab J, Stavisky SD, Shenoy
 1214 KV, Henderson JM, Hochberg LR, Kirsch RF, Ajiboye AB (2020) Neural Representation
 1215 of Observed, Imagined, and Attempted Grasping Force in Motor Cortex of Individuals
 1216 with Chronic Tetraplegia. *Sci Rep* 10:1429.
- 1217 Rathelot JA, Strick PL (2009) Subdivisions of primary motor cortex based on cortico-
 1218 motoneuronal cells. *Proc Natl Acad Sci U S A* 106:918-923.
- 1219 Sburlea AI, Muller-Putz GR (2018) Exploring representations of human grasping in neural,
 1220 muscle and kinematic signals. *Sci Rep* 8:16669.

- 1221 Schaffelhofer S, Agudelo-Toro A, Scherberger H (2015) Decoding a wide range of hand
1222 configurations from macaque motor, premotor, and parietal cortices. *J Neurosci*
1223 35:1068-1081.
- 1224 Schalk G, Miller KJ, Anderson NR, Wilson JA, Smyth MD, Ojemann JG, Moran DW, Wolpaw
1225 JR, Leuthardt EC (2008) Two-dimensional movement control using electrocorticographic
1226 signals in humans. *J Neural Eng* 5:75-84.
- 1227 Schiefer MA, Graczyk EL, Sidik SM, Tan DW, Tyler DJ (2018) Artificial tactile and proprioceptive
1228 feedback improves performance and confidence on object identification tasks. *PLoS*
1229 *One* 13:e0207659.
- 1230 Schwarz A, Ofner P, Pereira J, Sburlea AI, Muller-Putz GR (2018) Decoding natural reach-and-
1231 grasp actions from human EEG. *J Neural Eng* 15:016005.
- 1232 Sergio LE, Kalaska JF (1998) Changes in the temporal pattern of primary motor cortex activity
1233 in a directional isometric force versus limb movement task. *J Neurophysiol* 80:1577-
1234 1583.
- 1235 Sergio LE, Kalaska JF (2003) Systematic changes in motor cortex cell activity with arm posture
1236 during directional isometric force generation. *J Neurophysiol* 89:212-228.
- 1237 Sergio LE, Hamel-Paquet C, Kalaska JF (2005) Motor cortex neural correlates of output
1238 kinematics and kinetics during isometric-force and arm-reaching tasks. *J Neurophysiol*
1239 94:2353-2378.
- 1240 Simeral JD, Kim SP, Black MJ, Donoghue JP, Hochberg LR (2011) Neural control of cursor
1241 trajectory and click by a human with tetraplegia 1000 days after implant of an
1242 intracortical microelectrode array. *J Neural Eng* 8:025027.
- 1243 Smith AM, Hepp-Reymond MC, Wyss UR (1975) Relation of activity in precentral cortical
1244 neurons to force and rate of force change during isometric contractions of finger
1245 muscles. *Exp Brain Res* 23:315-332.
- 1246 Solstrand Dahlberg L, Becerra L, Borsook D, Linnman C (2018) Brain changes after spinal cord
1247 injury, a quantitative meta-analysis and review. *Neurosci Biobehav Rev* 90:272-293.
- 1248 Stark E, Abeles M (2007) Predicting movement from multiunit activity. *J Neurosci* 27:8387-8394.
- 1249 Stark E, Asher I, Abeles M (2007) Encoding of reach and grasp by single neurons in premotor
1250 cortex is independent of recording site. *J Neurophysiol* 97:3351-3364.
- 1251 Stevens JA (2005) Interference effects demonstrate distinct roles for visual and motor imagery
1252 during the mental representation of human action. *Cognition* 95:329-350.
- 1253 Tabot GA, Kim SS, Winberry JE, Bensmaia SJ (2015) Restoring tactile and proprioceptive
1254 sensation through a brain interface. *Neurobiol Dis* 83:191-198.
- 1255 Taira M, Boline J, Smyrnis N, Georgopoulos AP, Ashe J (1996) On the relations between single
1256 cell activity in the motor cortex and the direction and magnitude of three-dimensional
1257 static isometric force. *Exp Brain Res* 109:367-376.
- 1258 Tan DW, Schiefer MA, Keith MW, Anderson JR, Tyler J, Tyler DJ (2014) A neural interface
1259 provides long-term stable natural touch perception. *Sci Transl Med* 6:257ra138.
- 1260 Thach WT (1978) Correlation of neural discharge with pattern and force of muscular activity,
1261 joint position, and direction of intended next movement in motor cortex and cerebellum. *J*
1262 *Neurophysiol* 41:654-676.
- 1263 Townsend BR, Subasi E, Scherberger H (2011) Grasp movement decoding from premotor and
1264 parietal cortex. *J Neurosci* 31:14386-14398.
- 1265 Trautmann EM, Stavisky SD, Lahiri S, Ames KC, Kaufman MT, O'Shea DJ, Vyas S, Sun X, Ryu
1266 SI, Ganguli S, Shenoy KV (2019) Accurate Estimation of Neural Population Dynamics
1267 without Spike Sorting. *Neuron* 103:292-308 e294.
- 1268 Vargas-Irwin CE, Shakhnarovich G, Yadollahpour P, Mislow JM, Black MJ, Donoghue JP (2010)
1269 Decoding complete reach and grasp actions from local primary motor cortex populations.
1270 *J Neurosci* 30:9659-9669.

- 1271 Vargas-Irwin CE, Feldman JM, King B, Simeral JD, Sorice BL, Oakley EM, Cash SS, Eskandar
 1272 EN, Friehs GM, Hochberg LR, Donoghue JP (2018) Watch, Imagine, Attempt: Motor
 1273 Cortex Single-Unit Activity Reveals Context-Dependent Movement Encoding in Humans
 1274 With Tetraplegia. *Frontiers in Human Neuroscience* 12.
 1275 Wannier TM, Maier MA, Hepp-Reymond MC (1991) Contrasting properties of monkey
 1276 somatosensory and motor cortex neurons activated during the control of force in
 1277 precision grip. *J Neurophysiol* 65:572-589.
 1278 Westling G, Johansson RS (1984) Factors influencing the force control during precision grip.
 1279 *Exp Brain Res* 53:277-284.
 1280 Wilcox RR (2017) *Introduction to Robust Estimation and Hypothesis Testing*, 3 Edition.
 1281 Cambridge, MA: Academic Press.
 1282 Willett FR, Deo DR, Avansino DT, Rezaii P, Hochberg LR, Henderson JM, Shenoy KV (2020)
 1283 Hand Knob Area of Premotor Cortex Represents the Whole Body in a Compositional
 1284 Way. *Cell* 181:396-409 e326.
 1285 Wodlinger B, Downey JE, Tyler-Kabara EC, Schwartz AB, Boninger ML, Collinger JL (2015)
 1286 Ten-dimensional anthropomorphic arm control in a human brain-machine interface:
 1287 difficulties, solutions, and limitations. *J Neural Eng* 12:016011.
 1288 Wolpaw JR, Birbaumer N, McFarland DJ, Pfurtscheller G, Vaughan TM (2002) Brain-computer
 1289 interfaces for communication and control. *Clin Neurophysiol* 113:767-791.
 1290 Yousry TA, Schmid UD, Alkadhi H, Schmidt D, Peraud A, Buettner A, Winkler P (1997)
 1291 Localization of the motor hand area to a knob on the precentral gyrus. A new landmark.
 1292 *Brain* 120 (Pt 1):141-157.

1293

1294 **Legends**1295 **Main Text**

1296 **Table 1. Session information.** Session information for participants T8 and T5, including the
 1297 number of blocks per grasp type.

1298

1299 **Figure 1.** Data collection scheme for research sessions. **A.** Experimental setup (Adapted from
 1300 Rastogi et al, 2020). Participants had two 96-channel microelectrode arrays placed chronically
 1301 in motor cortex, which recorded neural activity while participants completed a force task.
 1302 Threshold crossing (TC) and spike band power (SBP) features were extracted from these
 1303 recordings. Figure 1A is reprinted by permission from Springer Nature as indicated in the Terms
 1304 and Conditions of a Creative Commons Attribution 4.0 International license
 1305 (<https://www.nature.com/srep/>). **B.** Research session architecture. Each session consisted of
 1306 12-21 blocks, each of which contained ~20 trials (see Table 1). In each trial, participants
 1307 attempted to generate one of three visually-cued forces with one of four grasps: power, closed
 1308 pincer (c-pinch), open pinch (o-pinch), ring pinch (r-pinch). During session 5, participant T8 also
 1309 attempted force production using elbow extension. Each trial contained a preparatory (prep)
 1310 phase, a go phase where forces were actively embodied, and a stop phase where neural
 1311 activity was allowed to return to baseline. Participants were prompted with both audio and visual
 1312 cues, in which a researcher squeezed or lifted an object associated with each force level.
 1313 During pinch blocks, the researcher squeezed the pinchable objects (cotton ball, eraser, nasal
 1314 aspirator tip) using the particular pinch grip dictated by the block (r-pinch, o-pinch, c-pinch).
 1315 Here, only closed pinches of objects are shown.

1316 **Figure 2.** Exemplary threshold crossing (TC) and spike band power (SBP) features tuned to
 1317 task parameters of interest in participant T8. (TC and SBP features in participant T5 are
 1318 illustrated in Figure 2-1.) Rows indicate average per-condition activity (PSTH) of four exemplary
 1319 features tuned to *force*, *grasp*, *both* factors, and an *interaction* between force and grasp,
 1320 recorded during session 5 from participant T8 (2-way Welch-ANOVA, corrected $p < 0.05$,
 1321 Benjamini-Hochberg method). Neural activity was normalized by subtracting block-specific
 1322 mean feature activity within each recording block, and then smoothed with a 100-millisecond
 1323 Gaussian kernel to aid in visualization. Column 1 contains PSTHs averaged within individual
 1324 force levels (across multiple grasps), such that observable differences between data traces are
 1325 due to force alone. Similarly, column 2 shows PSTHs averaged within individual grasps (across
 1326 multiple forces). Column 3 shows a graphical representation of the simple main effects as
 1327 normalized mean neural deviations from baseline activity during force trials within each of the
 1328 five grasps. Mean neural deviations were computed over the go phase of each trial and
 1329 subsequently averaged within each force-grasp pair. Error bars indicate 95% confidence
 1330 intervals.

1331 **Figure 3.** Summary of neural feature population tuning to force and grasp. Row 1: fraction of
 1332 neural features significantly tuned to *force*, *grasp*, *both* force and grasp, and an *interaction*
 1333 between force and grasp in participants T8 and T5 (2-way Welch-ANOVA, corrected $p < 0.05$).
 1334 Row 2: Fraction of neural features significantly tuned to an interaction between force and grasp,
 1335 subdivided into force-tuned features within each individual grasp (c-pinch = closed pinch, o-
 1336 pinch = open pinch, r-pinch = ring pinch). Note that the number of grasp types differed between
 1337 sessions (see Table 1).

1338 **Figure 4.** Simulated models of independent and interacting (grasp-dependent) neural
 1339 representations of force. Row 1: Equations corresponding to the independent and interacting
 1340 models of force representation. Here, \mathbf{x}_{ij} represents neural feature activity generated during a
 1341 particular grasp i and force j , \mathbf{g}_i represents baseline feature activity during grasp i , \mathbf{f} represents
 1342 force-related neural feature activity, and s_j is a discrete force level. Row 2: Simulated
 1343 population neural activity projected into a two-dimensional PCA space. Estimated force axes
 1344 within the low-dimensional spaces are shown as blue lines. Row 3: Summary of variances
 1345 accounted for by the top 20 demixed principal components extracted from the simulated neural
 1346 data from each model. Here, the variance of each individual component is separated by
 1347 marginalization (*force*, *grasp*, and *interaction* between force and grasp). Pie charts indicate the
 1348 percentage of total signal variance due to these marginalizations.

1349 **Figure 5.** Neural population-level activity patterns. **A.** Demixed principal components (dPCs)
 1350 isolated from all force-grasp conditions from T8 Session 5, all force-grasp conditions from T5
 1351 Session 7, and power versus elbow conditions from T8 Session 5 neural data. For columns 1
 1352 and 2, dPCs were tuned to four marginalizations of interest: *time* (condition-independent
 1353 tuning), *force*, *grasp*, and an *interaction* between force and grasp (f/grasp tuning). For column
 1354 3, dPCs were tuned to *time*, *force*, *movement*, and an *interaction* between force and movement
 1355 (f/movement tuning). dPCs that account for the highest amount of variance in the per-
 1356 marginalization neural activity are shown here. These variances are included in brackets next
 1357 to each component number. Vertical bars indicate the start and end of the go phase.
 1358 Horizontal bars indicate time points at which the decoder axes of the pictured components
 1359 classified forces (row 2), grasps/movements (row 3), or force-grasp/force-movement pairs (row
 1360 4) significantly above chance. **B.** Summary of variances accounted for by the top 20 dPCs and

1361 PCs from each session. Here, the variance accounted for by the dPCs approaches the
1362 variance accounted for by traditional PCs. Horizontal dashed lines indicate total signal
1363 variance, excluding noise. Row 2 shows the variance of each individual component, separated
1364 by marginalization **C**. Go-phase activity within a two-dimensional PCA space. Estimated force
1365 axes within the low-dimensional PCA spaces are shown as blue lines. **D**. Encoding model
1366 performances. The task-dependent components of neural feature activity were fit to the additive,
1367 scalar, and combined encoding models via cross-validated ordinary least squares regression.
1368 Tables contain the fit model coefficients for each session. Bar graphs indicate mean R^2 values
1369 for each model over 100 iterations of Monte-Carlo leave-group-out cross-validation. Error bars
1370 indicate standard deviations across iterations. Stars indicate statistically significant differences
1371 between model R^2 values, $p \leq 0.01$ (**) and $p \leq 0.001$ (***)).

1372 Figure 5-1 shows dPCs, variances, and PCA plots for all recorded sessions. Corresponding
1373 encoding model performances for all recorded sessions appear in Figure 5-2.

1374 **Figure 6.** Time-dependent classification accuracies for force (rows 1-2) and grasp (row 3). Data
1375 traces were smoothed with a 100 millisecond boxcar filter to aid in visualization. Shaded areas
1376 surrounding each data trace indicate the standard deviation across 240 session-runs for most
1377 trials in participant T8, 40 session-runs for elbow extension trials in participant T8, and 40
1378 session-runs in participant T5. Gray shaded areas indicate the upper and lower bounds of
1379 chance performance over $S \times 100$ shuffles of trial data, where S is the number of sessions per
1380 participant. Time points at which force or grasp is decoded above the upper bound of chance
1381 are deemed to contain significant force- or grasp-related information. Blue shaded regions
1382 indicate the time points used to compute go-phase confusion matrices in Figure 7.

1383 Time-dependent classification accuracies for individual force levels and grasp types are shown
1384 in Figure 6-1. Grasp classification accuracies, separated by number of attempted grasp types,
1385 are presented in Figure 6-2. Force classification accuracies, separated by individual session,
1386 are presented in Figure 6-3.

1387 **Figure 7.** Go-phase confusion matrices. **A**. Time-dependent classification accuracies (shown
1388 in Figure 6) were averaged over go-phase time windows that commenced when performance
1389 exceeded 90% of maximum, and ended with the end of the go phase. These yielded mean trial
1390 accuracies, which were then averaged over all session-runs in each participant. Overall force
1391 and grasp classification accuracies are indicated above each confusion matrix. Standard
1392 deviations across multiple session-runs are indicated next to mean accuracies (cp = closed
1393 pinch, op = open pinch, rp = ring pinch, pow = power, elb = elbow extension). Statistical
1394 comparisons between the achieved classification accuracies are shown in Figure 7-1. **B**.
1395 Confusion matrices now separated by the grasps that participants T8 (row 1) and T5 (row 2)
1396 used to attempt producing forces. Statistical comparisons between the achieved force
1397 accuracies are shown in Figures 7-2 and 7-3.

1398 **Figure 8.** Go-phase force classification accuracy for novel (test) grasps. Within each session
1399 (rows), dPCA force decoders were trained on neural data generated during all grasps, excluding
1400 a single leave-out grasp type (columns). The force decoder was then evaluated over the set of
1401 training grasps (gray bars), as well as the novel leave-out grasp type (white bars). The
1402 horizontal dotted line in each panel indicates upper bound of the empirical chance distribution
1403 for force classification.

1404 Extended Data

1405 **Figure 2-1.** Exemplary threshold crossing (TC) and spike band power (SBP) features tuned to
1406 task parameters of interest in participant T5, presented as in Figure 2. Note the presence of
1407 sharp activity peaks during the prep and stop phases of the trial, which were due to the
1408 presence of visual cues (Rastogi et al, 2020).

1409 **Figure 5-1.** Neural population-level activity patterns for all sessions, presented as in Figure 5 A-
1410 C. **A.** Demixed principal components (dPCs) isolated from all individual sessions of neural
1411 data. **B.** Summary of variances accounted for by the top 20 dPCs from each exemplary
1412 session. Pie charts indicate the percentage of total signal variance accounted for by each
1413 marginalization. Total signal variance was computed with (left) and without (right) the condition-
1414 independent portion of the signal, as a basis of comparison to Figure 4 of the main text. **C.** Go-
1415 phase activity within two-dimensional PCA space.

1416 **Figure 5-2.** Encoding model performances, presented as in Figure 5D.

1417 **Figure 6-1.** Time-dependent classification accuracies for individual force levels and grasp
1418 types. **A.** Time-dependent classification accuracies for force (row 1) and grasp (row 2),
1419 separated by force class and grasp class, respectively. Data traces were smoothed with a 100
1420 millisecond boxcar filter to aid in visualization. Shaded areas surrounding each data trace
1421 indicate the standard deviation across 240 session-runs during most trials in participant T8, 40
1422 session-runs during elbow extension trials in participant T8, and 40-session runs in participant
1423 T5. Gray shaded regions indicate the upper and lower bounds of chance performance over $S \times$
1424 100 shuffles of trial data, where S is the number of sessions per participant. Blue shaded
1425 regions indicate the time points used to compute go-phase confusion matrices. **B.** Time-
1426 dependent force classification accuracies during individual grasps in participants T8 (row 1) and
1427 T5 (row 2). Blue shaded regions indicate the time points used to compute go-phase confusion
1428 matrices. Decoding performances were averaged over $S \times 40$ session runs, where S is the
1429 number of sessions per participant.

1430 **Figure 6-2.** Time-dependent grasp classification accuracies by number of grasps attempted per
1431 session in participant T8. Data traces were smoothed with a 100 millisecond boxcar filter to aid in
1432 visualization. Shaded areas surrounding each data trace indicate the standard deviation
1433 across 40 runs during each session in participant T8. Gray shaded regions indicate the upper
1434 and lower bounds of chance performance over 100 shuffles of trial data per session. Intended
1435 grasp is classified above chance performance at all trial time points, regardless of the number of
1436 grasps to be decoded.

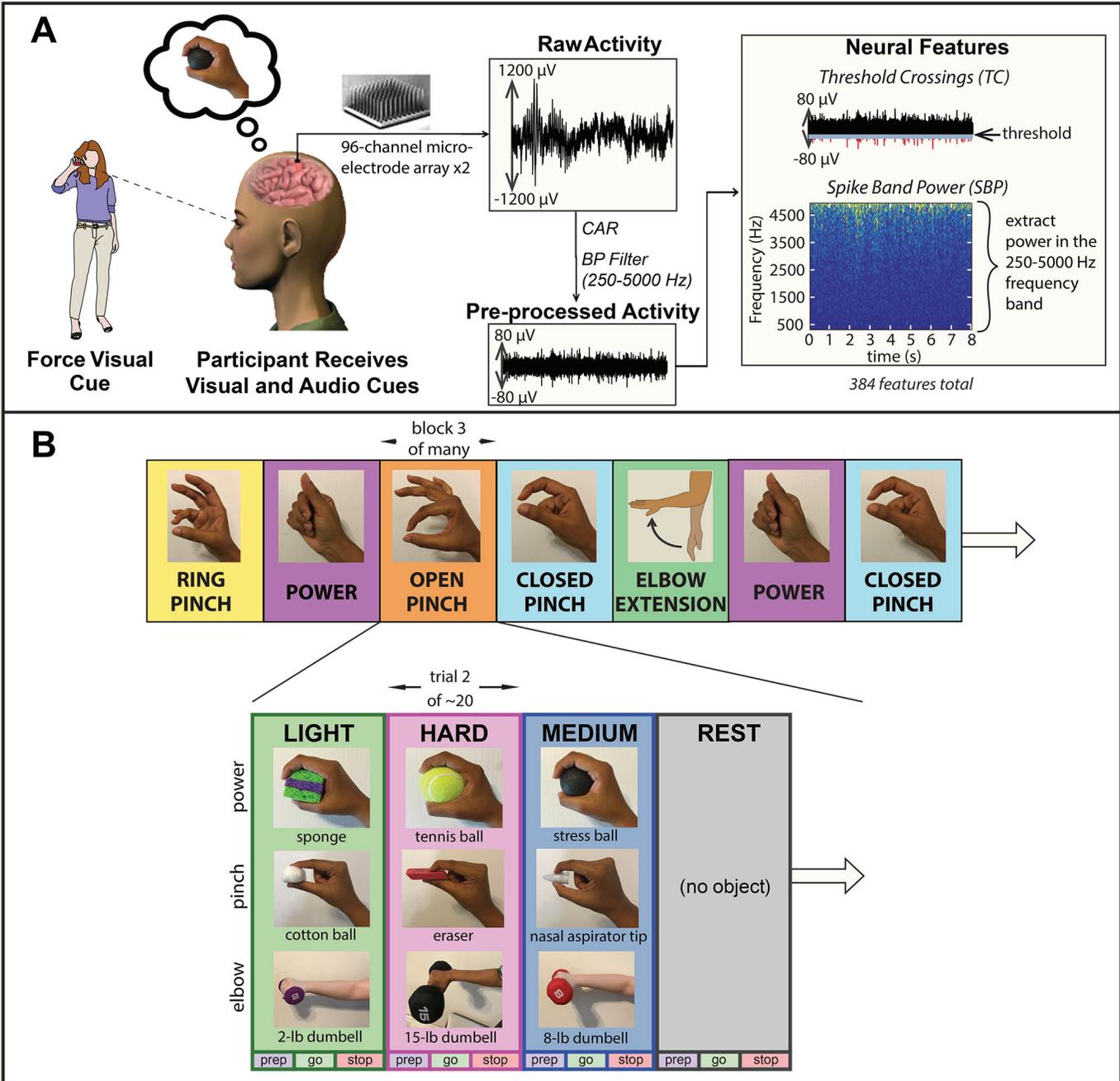
1437 **Figure 6-3.** Time-dependent force classification accuracies by force level, per session, in
1438 participant T8. Data traces were smoothed with a 100 millisecond boxcar filter to aid in
1439 visualization. Shaded areas surrounding each data trace indicate the standard deviation across
1440 40 runs during each session in participant T8. Gray shaded regions indicate the upper and
1441 lower bounds of chance performance over 100 shuffles of trial data per session. Intended grasp
1442 is classified above chance performance at all trial time points, regardless of the number of
1443 grasps to be decoded.

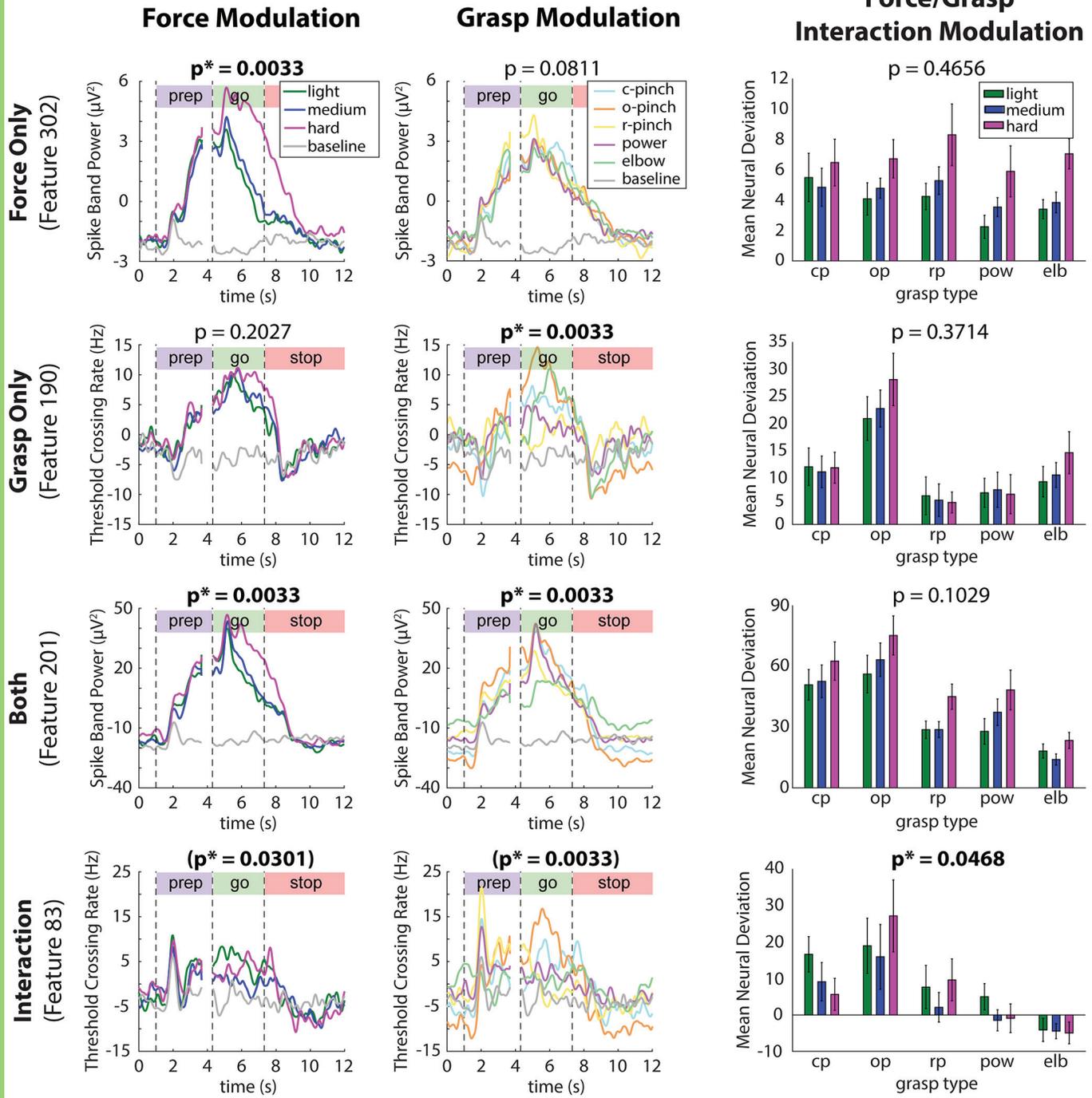
1444 **Figure 7-1.** Statistics for go-phase force and grasp classifications accuracies. **A.** Force
1445 classification accuracy histograms (row 1) and corrected p values (row 2). Hard and light forces
1446 are classified significantly more accurately than medium forces across all sessions ($p < 0.05$).

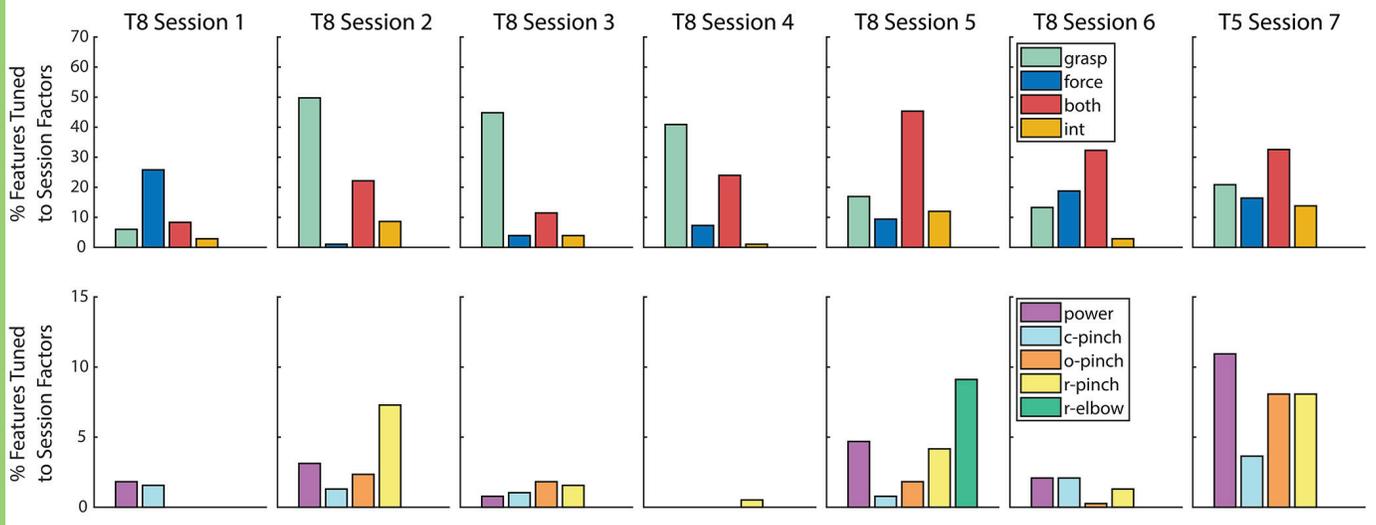
1447 **B.** Grasp classification accuracy histograms (row 1) and corrected p values (row 2). Decoding
1448 performance differed significantly between grasps across all sessions.

1449 **Figure 7-2.** Statistics for go-phase force classification accuracies within individual grasp types.
1450 A one-way ANOVA was implemented on force classification accuracies achieved during
1451 different grasp types. **A.** Force classification accuracy histograms. **B.** P values between force
1452 pairs, corrected for multiple comparisons across grasps and sessions using the Benjamini-
1453 Hochberg procedure. Within each grasp, hard and light forces were classified more accurately
1454 than medium forces across all sessions ($p < 0.05$).

1455 **Figure 7-3.** Statistics for go-phase force classification accuracies within individual force levels.
1456 A one-way ANOVA was implemented on the force classification accuracies achieved during
1457 different grasp types. **A.** Force classification accuracy histograms, color-coded by the grasp
1458 type used to produce each force level. **B.** P values between pairs of grasps used to produce
1459 each individual force level, corrected for multiple comparisons across forces and sessions using
1460 the Benjamini-Hochberg procedure. The decoding performance for each discrete force level
1461 was significantly different across grasps ($p < 0.05$), indicating that grasp type affected force
1462 decoding performance.







Equation

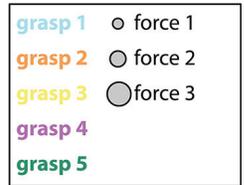
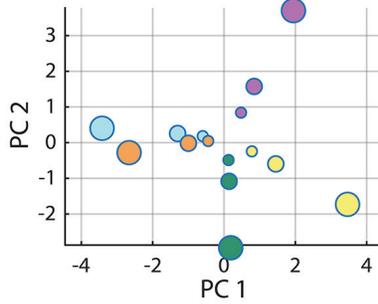
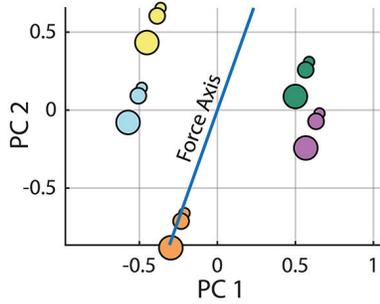
**Additive Model
(Independent)**

$$x_{ij} = g_i + fs_j$$

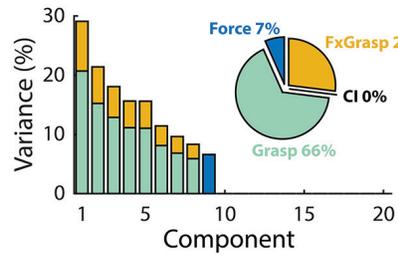
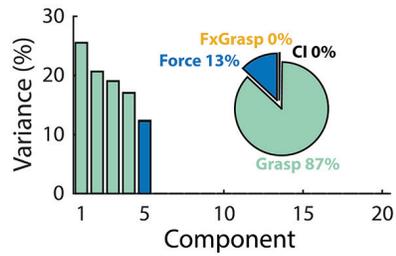
**Scalar Model
(Interacting)**

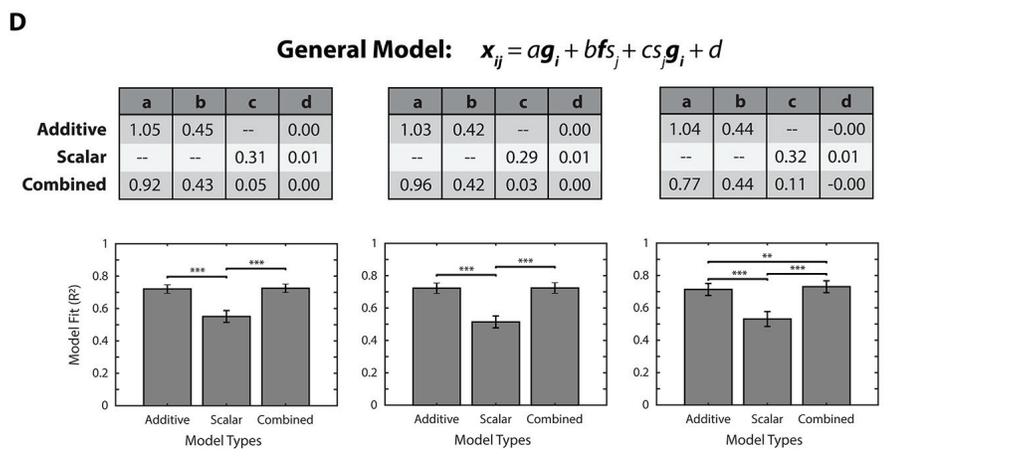
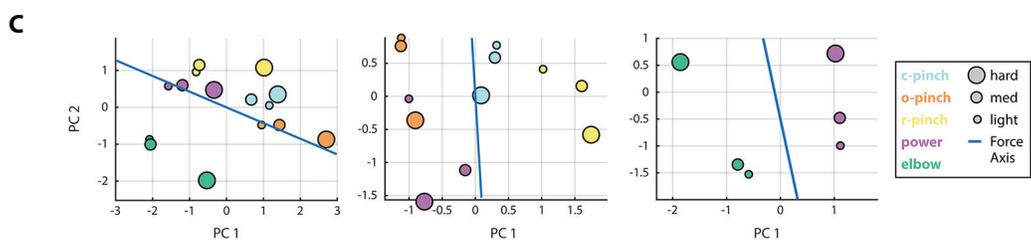
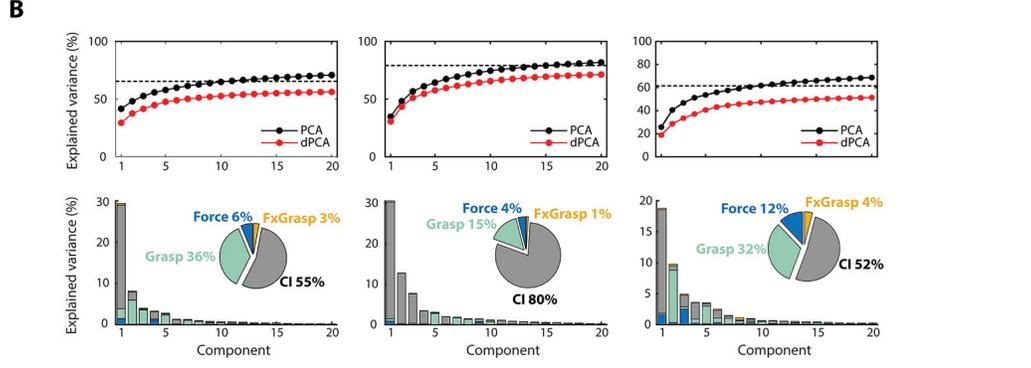
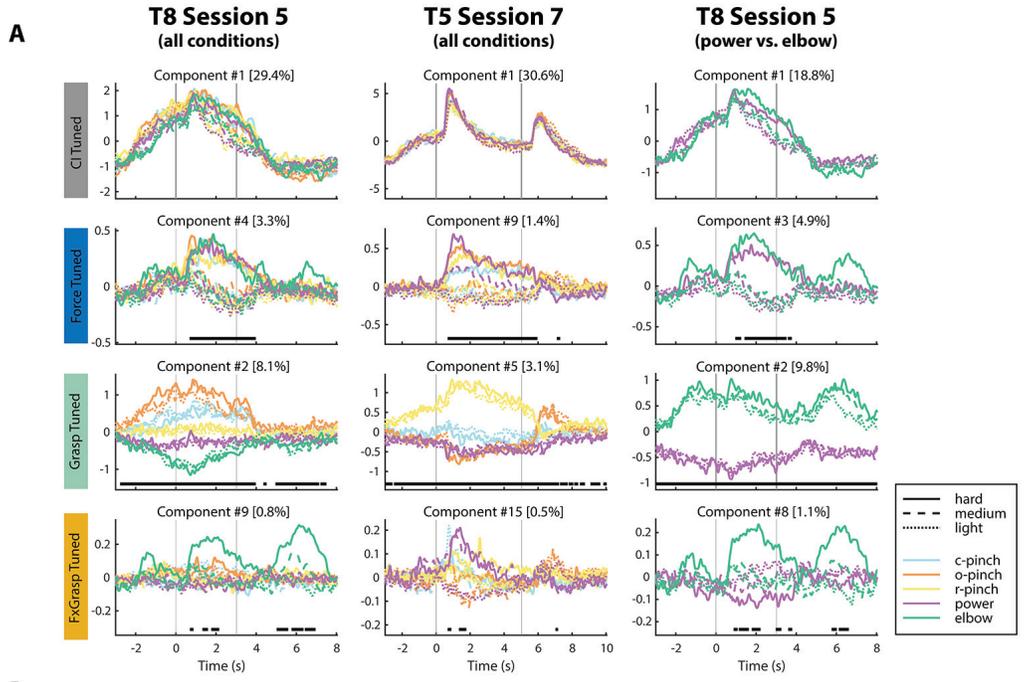
$$x_{ij} = s_j g_i$$

2D PCA
Representation



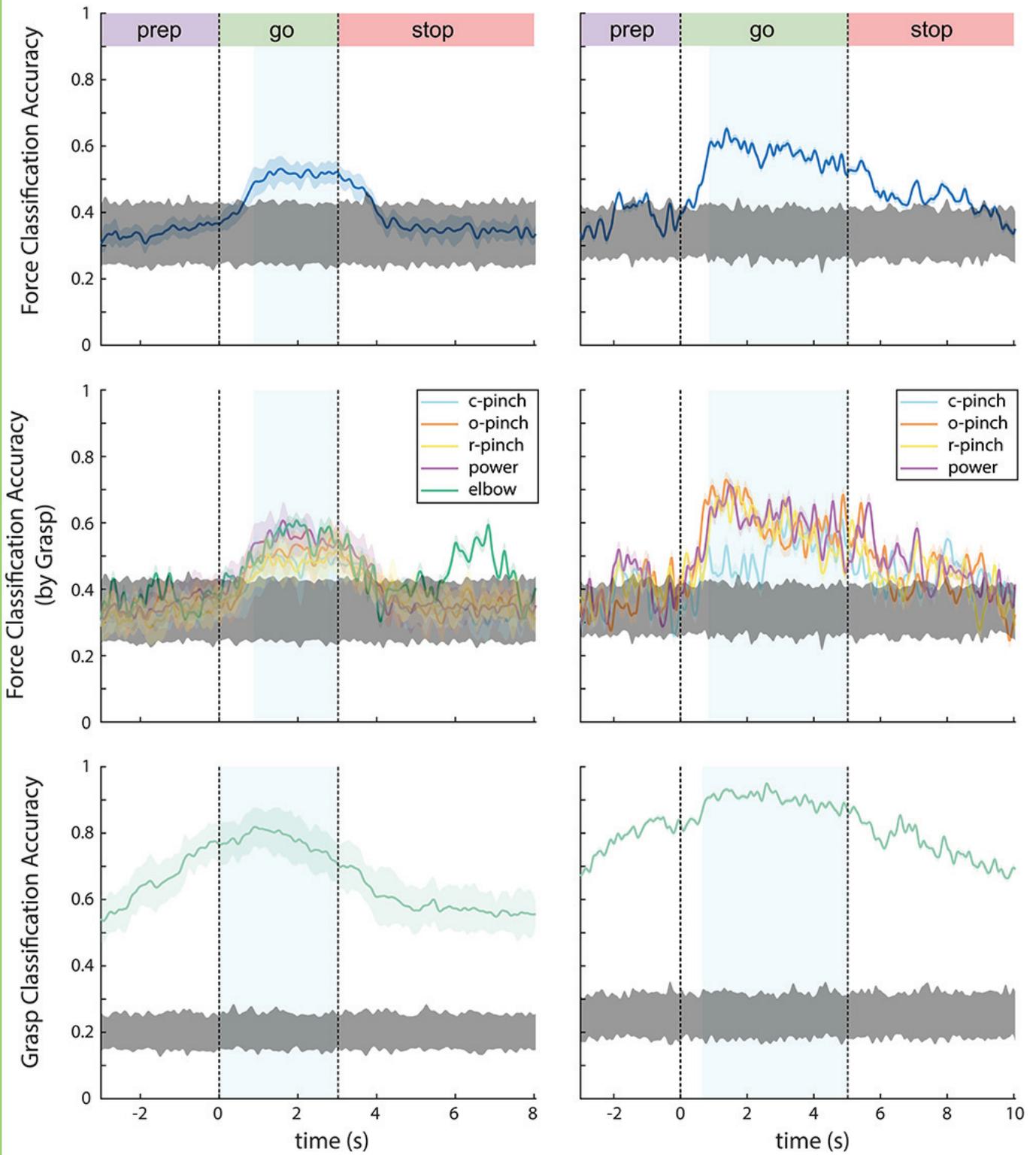
dPCA Variance



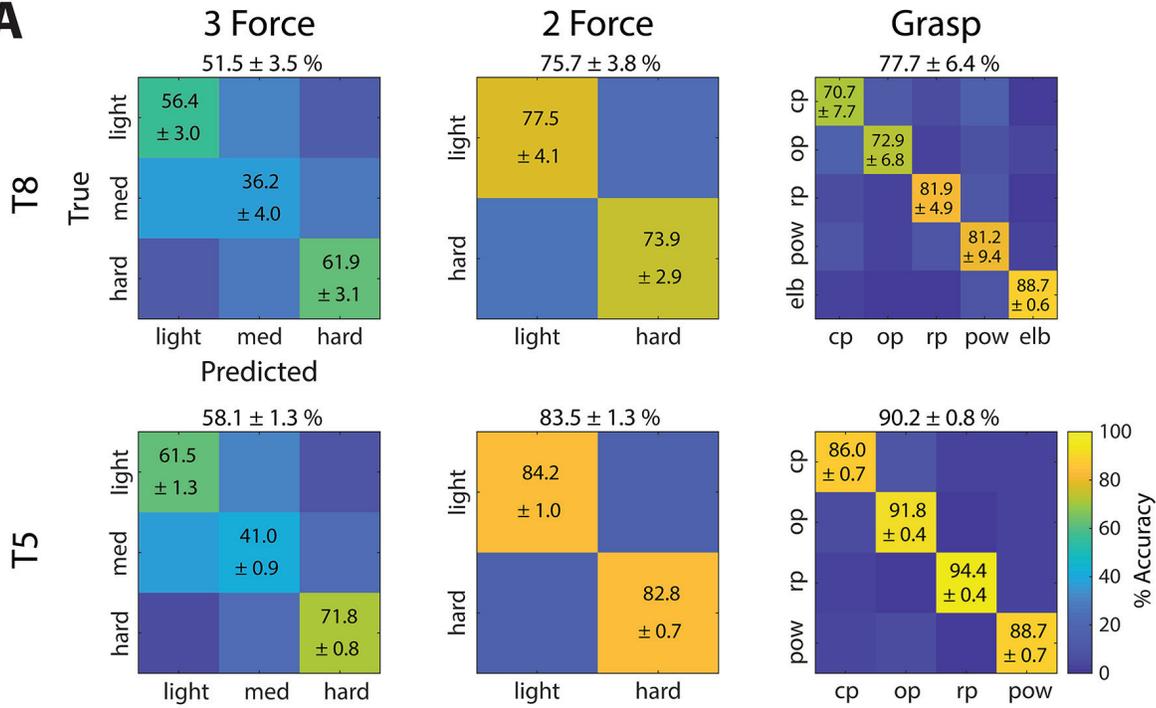


Participant T8

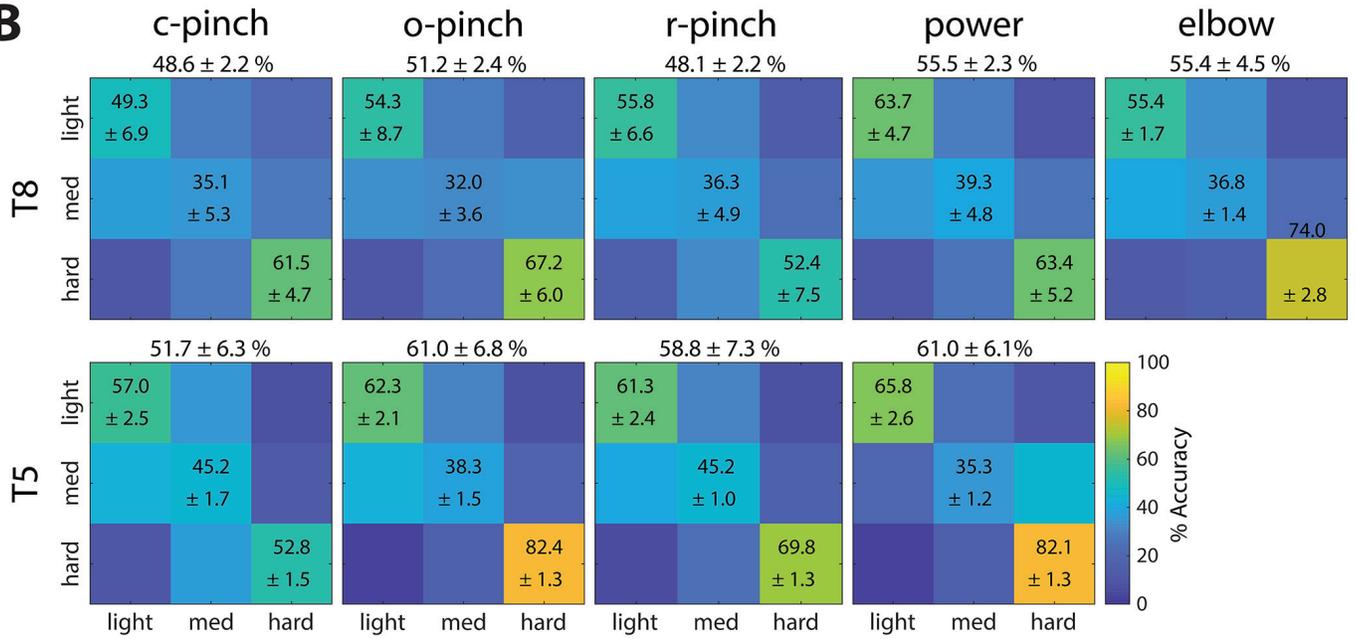
Participant T5



A

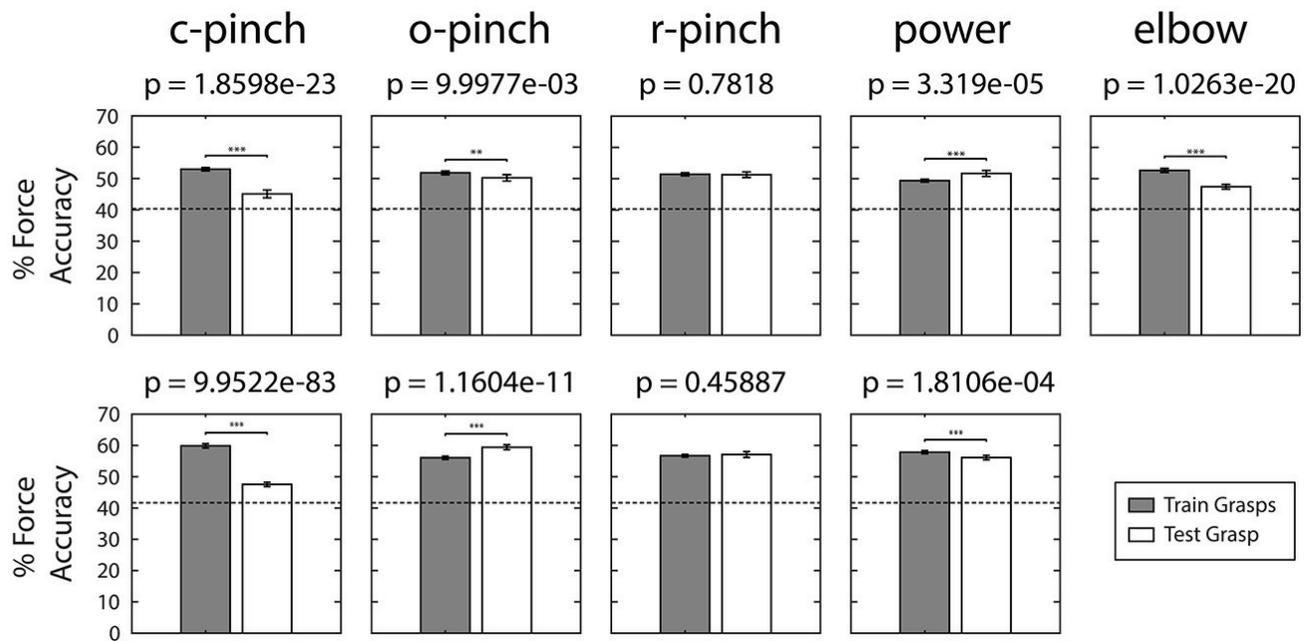


B



T8 Session 5

T5 Session 7



| Session No. | Participant | Post-Implant Day | No. Blocks Per Grasp | | | | |
|-------------|-------------|------------------|----------------------|------------|------------|-------|-------|
| | | | Closed Pinch | Open Pinch | Ring Pinch | Power | Elbow |
| 1 | T8 | Day 735 | 11 | | | 10 | |
| 2 | T8 | Day 771 | 5 | 5 | 5 | 5 | |
| 3 | T8 | Day 774 | 6 | 5 | 5 | 5 | |
| 4 | T8 | Day 788 | 5 | 5 | 5 | 5 | |
| 5 | T8 | Day 802 | 4 | 4 | 4 | 4 | 5 |
| 6 | T8 | Day 956 | 4 | 4 | 4 | 4 | |
| 7 | T5 | Day 390 | 4 | 4 | 4 | 4 | |