### DIMITRY GORINEVSKY, Ph.D., P.Eng.

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### PROFESSIONAL WORK

2005–to date	Managing Partner and Chief Scientist
2005–10 uate	Mitek Analytics LLC, Palo Alto, CA
	Responsible for Industrial IoT Analytics business working with DOD, NASA, utili- tics, and Fortune 500 companies. BL on 18 outcomelly enongoing response projects
	ties, and Fortune 500 companies. PI on 18 externally sponsored research projects.
2002—to date	Consulting Professor
	Information Systems Lab, Dept. of Electrical Engineering, Stanford University
	Taught graduate courses, supervised graduate students, was co-PI on 4 multi-year
	sponsored research projects.
1999 - 2005	Senior Staff Scientist
	Honeywell Laboratories, San Jose, CA
1996 - 2001	Adjunct Professor
	Dept. of ECE, Univ. of British Columbia, Vancouver, B.C., Canada
1995 - 1998	Senior Control Engineer, Product Manager
	Honeywell-Measurex, North Vancouver, B.C., Canada
1995 - 1999	Consultant for Canadian Space Agency, StHubert, Quebec, Canada
1992 - 1995	Senior Research Scientist, Canada Research Fellow
	Robotics and Automation Laboratory, University of Toronto, Canada
1991 - 1992	Visiting Scientist (Alexander von Humboldt Award)
	Munich University of Technology, Germany
1982 - 1991	Research Scientist, Research Engineer
	Inst. for Probl. of Information Transmission, USSR Academy of Sciences, Moscow

#### CURRENT RESEARCH INTERESTS

Industrial Internet of Things (IIoT) - Analytics applications

Data science, Big Data analytics, data mining, machine learning, decision support Electrical grid and energy systems: modeling, monitoring, control, and optimization Aerospace systems: health management, advanced control, data analytics Risk modeling and analysis: statistical and actuarial models of extreme events. Data driven modeling, identification, estimation, forecasting, and optimization Data driven decision support, fault estimation, and reliability analytics

### **EDUCATION**

1986	Ph.D. in Controls
	Department of Mechanics and Mathematics, Moscow (Lomonosov) University
1976 - 1982	M.Sc. with Highest Honors (Flight Dynamics and Control)
	Department of Aerospace Engineering, Moscow Institute of Physics and Technology

# AWARDS AND HONORS

2013	Best Paper Award (Senior Award) of the IEEE Signal Processing Society
2006	Elected Fellow of IEEE from Control Systems Society
2004	Transactions on Control Systems Technology Outstanding Paper Award, IEEE CSS
2002	Control Systems Technology Award of the IEEE Control Systems Society
1992	Canada International Research Fellowship, Ottawa, Canada
1990	Alexander von Humboldt International Research Fellowship, Bonn, Germany
1987	The USSR Academy of Sciences Award for Young Scientist Achievements in the Fields of Mathematics, Computing, Mechanics, and Control, Moscow, Russia

### AWARDS TO STUDENTS ADVISED

2015	Best Student Paper Award Finalist (co-author/supervisor), American Control Conf.
2012	First Prize for the Best Student Paper (co-author/supervisor), IEEE Internat. Conference on Power System Technology
2010	AIAA Intelligent Systems Best Student Paper Award (co-author/supervisor), AIAA Infotech@Aerospace Conference
2006	IEEE CCA Best Student Paper Award (co-author/supervisor), IEEE CSS
2004	IEEE CCA Best Student Paper Award Finalist (co-author/supervisor), IEEE CSS
1999	IEEE CDC Best Student Paper Award Finalist (co-author/supervisor), IEEE CSS

## PROFESSIONAL VOLUNTEER SERVICE

2016-present	LOLE Best Practices Working Group, IEEE Power and Energy Society				
2010	Organizing Committee, Area Chair, Conference on Intelligent Data Understanding				
2001 - 2008	Associate Editor, IEEE Transactions on Control Systems Technology				
2007 - 2009	Program Committee, American Control Conference				
2005	IEEE Tran. on Control Systems Technology Outstanding Paper Award Commitee				
2003–present	IEEE CSS Technical Committee on Aerospace Control				
1995-present	IEEE CSS Technical Committee on Intelligent Control				
1998 - 2003	IEEE CSS Technical Committee on Industrial Process Control				
2002–2003	Guest Editor, Special Issue on Industrial Distributed System Control, IEEE Transactions on Control Systems Technology				
2004	IEEE CCA/ISIC/CACSD Organizing Committee				
1997 - 2002	Associate Editor, Conference Editorial Board				
2002	IEEE CDC, Program Committee				
2001	IEEE CDC, Organizing Committee				
2001, 1996	IEEE ISIC, Program Committee				

#### PUBLICATIONS

About 180 technical papers in refereed journals and conference proceedings One book published in English and Russian Sixteen patents Publication list attached

### TEACHING

2016 - 2018	Industrial Internet of Things: Analytics, Stanford Univ., EE
2013 - 2015	Intelligent Energy Systems: Big Data, Stanford Univ., EE
2011 - 2012	Intelligent Energy Systems, Stanford Univ., EE
2009	Fault Diagnostic Systems, Stanford Univ., EE
2003, 2005	Control Engineering in Industry, Stanford Univ., EE
1997	Control Systems, Univ. of British Columbia, ECE
1995	Advanced Robot Mechanics and Control, Univ. of Toronto, ME
1993 - 1995	Control, Univ. of Toronto, Continuing Education
1978 - 1982	Physics, Mathematically Gifted Student Program, High School $\#91,$ Moscow, Russia

### GRADUATE STUDENTS ADVISED

2017–present Weixuan	Gao	(M.S.,	/Ph.D.),	Stanford	Civil and	Environmental	Engineering.
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2013–2016 Saahil Shenoy (Ph.D.), Stanford Applied Physics. Presently with NIO.

- 2011–2013 Chung-Ching Chang (Ph.D.), Stanford EE. Presently with Google.
- 2012 Nicholas Moehle (M.S.), Stanford ME. Presently PhD at Stanford EE.
- 2011–2012 Eric Glover (MS), Stanford EE. Presently with Sargent and Lundy.
- 2009–2013 Eric Chu (Ph.D.), Stanford EE. Presently with Qadium Solutions.
- 2007–2009 Argyris Zymnis (Ph.D.), Stanford EE. Presently with Twitter.
- 2003–2006 Sikandar Samar (Ph.D.), Stanford AA/EE. Presently with Getco.
- 1999–2001 Leonardo Kammer (Postdoc), UBC ECE. Presently with GE Global Research.
- 1997–2000 Greg Stewart (Ph.D.), UBC ECE. Presently with Honeywell. IEEE Fellow 2015.
- 1997–1998 Ming Zhang (M.A.Sc.), UBC ECE.
- 1988–1990 One Ph.D. and one M.S. student, Moscow University, Dept. of Mech & Math.

#### PUBLICATIONS

#### Journal papers

- Shenoy, S., Gorinevsky, D., and Laptev N., "Probabilistic modeling of computing resource allocation for service level," *IEEE Trans. on Services Computing*, 2017 (to appear), DOI: 10.1109/TSC.2016.2637929.
- [2] Shenoy, S. and Gorinevsky, D., "Data-driven stochastic pricing and application to electricity market," *IEEE Journ. of Selected Topics in Signal Processing*, Vol. 10, No. 6, 2016, pp. 1029– 1039.
- [3] Chang, C.-C., Gorinevsky, D., and Lall, S., "Stability analysis of distributed power generation with droop inverters," *IEEE Trans. on Power Systems*, Vol. 30, No. 6, 2015, pp. 3295–3303.
- [4] Gorinevsky, D., "Data driven fault isolation in multivariate process monitoring," *IEEE Trans.* on Control Systems Technology, Vol. 23, No. 5, 2015, pp. 1840–1852.
- [5] Shenoy, S. and Gorinevsky, D., "Estimating long tail models for risk trends," *IEEE Signal Processing Letters*, Vol. 22, No. 7, 2015, pp. 968–972.
- [6] Chang, C.-C., Gorinevsky, D., and Lall, S., "Dynamical and spatial stability of inverterconnected distributed power generation," *IEEE Trans. on Smart Grid. Special Issue on Control Theory and Technology in Smart Grid*, Vol. 5, No. 4, 2014, pp. 2093–2105.
- [7] Zymnis, A., Boyd, S., and Gorinevsky, D., "Mixed linear system estimation and identification," Signal Processing, Vol. 90, No. 3, 2010, pp. 966–971.
- [8] Kim, S.-J., Koh, K., Boyd, S., and Gorinevsky, D., "l<sub>1</sub> trend filtering," SIAM Review, Vol. 51, No. 2, 2009, pp. 339–360.
- [9] Gorinevsky, D., Kim, S.-J., Beard, S., Boyd, S., and Gordon, G., "Optimal estimation of deterioration from diagnostic image sequence," *IEEE Trans. on Signal Processing*, Vol. 57, No. 3, 2009, pp. 1030–1043.
- [10] Zymnis, A., Boyd, S., and Gorinevsky, D., "Relaxed maximum a posteriori fault identification," Signal Processing, Vol. 89, No. 6, 2009, pp. 989–999.
- [11] Kim, S.-J., Koh, K., Lustig, M., Boyd, S., and Gorinevsky, D., "A method for large-scale l<sub>1</sub>-regularized least squares problems with applications in signal processing and statistics," *IEEE Journ. of Selected Topics in Signal Processing*, Vol. 1, No. 4, 2008, pp. 606–617.
- [12] Gorinevsky, D., Boyd, S., and Stein, G., "Design of low-bandwidth spatially distributed feedback," *IEEE Trans. on Automatic Control*, Vol. 53, No. 2, 2008, pp. 257–272.
- [13] Gorinevsky, D. and Boyd, S., "Optimization-based design and implementation of multidimensional zero-phase IIR filters," *IEEE Trans. on Circuits and Systems - I*, Vol. 53, No. 2, 2006, pp. 372-383.
- [14] Stein, G. and Gorinevsky, D., "Design of surface shape control for large two-dimensional array," *IEEE Trans. on Control Systems Technology*, Vol. 13, No. 3, 2005, pp. 422–433.

- [15] Gorinevsky, D. and Farber, G., "System analysis of power transients in advanced WDM networks," *IEEE/OSA Journ. of Lightwave Technology*, Vol. 22, No. 10, 2004, pp. 2245–2255.
- [16] Gorinevsky, D. and Stein, G., "Structured uncertainty analysis of robust stability for multidimensional array systems," *IEEE Trans. on Automatic Control*, Vol. 48, No. 8, 2003, pp. 1557–1568.
- [17] Kammer, L.C., Gorinevsky, D., and Dumont, G.A., "Semi-intrusive multivariable model invalidation," Automatica, Vol. 39, 2003, pp. 1461–1467.
- [18] Stewart, G.E., Gorinevsky, D., and Dumont, G.A., "Feedback controller design for a spatiallydistributed system: The paper machine problem," *IEEE Trans. on Control Systems Technol*ogy, Vol. 11, No. 5, 2003, pp. 612–628.
- [19] Gorinevsky, D. and Gheorghe, C., "Identification tool for cross-directional processes," *IEEE Trans. on Control Systems Technology*, Vol. 11, No. 5, 2003, pp. 629–640.
- [20] Gorinevsky, D., Cook, J., and Vukovich, G., "Nonlinear predictive control of transients in automotive VCT engine using nonlinear parametric approximation," ASME Journ. of Dynam. Systems Meas. and Control, Vol. 125, No. 3, 2003, pp. 429–438.
- [21] Stewart, G.E., Gorinevsky, D., and Dumont, G.A., "Two-dimensional loop shaping," Automatica, Vol. 39, No. 5, 2003, pp. 779-792.
- [22] Gorinevsky, D., "Loop-shaping for iterative control of batch processes," IEEE Control Systems Magazine, Vol. 22, No. 6, 2002, pp. 55–65.
- [23] Stewart, G.E., Gorinevsky, D., Dumont, G.A., Gheorghe, C., and Backstroem, J.U., "The role of model uncertainty in cross-directional control systems," *Pulp and Paper Canada*, Vol. 102, No. 10, 2001, pp. T273–T278.
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- [25] Gorinevsky, D. and Vukovich, G., "Model-based update in task-level feedforward control using on-line approximation," *Automatica*, Vol. 37, No. 3, 2001, pp. 391-400.
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- [30] Gorinevsky, D. and Vukovich, G. "Nonlinear input shaping control of flexible spacecraft reorientation maneuver," AIAA Journ. of Guidance, Control, and Dynamics, Vol. 21, No. 2, 1998, pp. 264–270.
- [31] Gorinevsky, D., "An approach to parametric optimization of nonlinear system and application to task-level learning control," *IEEE Trans. on Automatic Control*, Vol. 42, No. 7, 1997, pp. 912–927.
- [32] Gorinevsky, D., Torfs, D, and Goldenberg, A.A., "Learning approximation of feedforward control dependence on task parameters," *IEEE Trans. on Robotics and Automation*, Vol. 13, No. 4, 1997, pp. 567–581.
- [33] Gorinevsky, D., Vyse, B., Hagart-Alexander, A., and Heaven, M., "Performance analysis of cross-directional control using multivariable and spectral models," *Pulp and Paper Canada*, Vol. 98, No. 7, 1997, pp.44–47.
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- [39] Gorinevsky, D., Kapitanovsky A., and Goldenberg A.A., "Radial Basis Function network architecture for nonholonomic motion planning and control of free-flying manipulators," *IEEE Trans. on Robotics and Automation*, Vol. 12, No. 3, 1995, pp. 491–496.
- [40] Gorinevsky, D., "On the persistency of excitation in Radial Basis Function network identification of nonlinear systems," *IEEE Trans. on Neural Networks*, Vol. 4, No. 5, 1995, pp. 1237-1244.
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- [42] Gorinevsky, D.M., and Connolly, T.H., "Comparison of some neural network and scattered data approximations: The inverse manipulator kinematics example," *Neural Computation*, Vol. 6, No. 3, 1994, pp. 519–540.
- [43] Gorinevsky, D.M., "Modeling of direct motor program learning in fast human arm motions," *Biological Cybernetics*, Vol. 69, No. 3, 1993, pp. 219–228.

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- [47] Gorinevsky, D.M., and Shneider, A.Yu., "Force control in locomotion of legged vehicles over rigid and soft surfaces," *Intern. Journ. of Robotics Research*, Vol. 9, No. 2, 1990, pp. 4–23.

#### Books

[B1] Gorinevsky, D.M., Formalsky, A.M., and Shneider, A.Yu. Force Control of Robotics Systems, CRC Press, Boca-Raton, FL, 1997 (Russian edition: Moscow, Nauka, 1994)

#### **Book Chapters**

- [BC1] Gorinevsky, D. "Radial Basis Function network approximation and learning in taskdependent feedforward control of nonlinear dynamical systems," in *Neural Network Systems Techniques and Applications, Optimization Techniques*, vol. 2, ed. C.T.Leondes, Academic Press, San Diego, CA, 1998, pp. 353–395
- [BC2] Popovic, M. R., Gorinevsky, D., and Goldenberg A.A., "Accurate Positioning of Devices with Nonlinear Friction Using Fuzzy Logic Pulse Controller," in *Lecture Notes in Control and Information Sciences 223 - Experimental Robotics IV, The 4th International Symposium*, Eds. O. Khatib and J. K. Salisbury, Springer, 1997, pp. 331-342.

#### Conference Papers, Reviewed and Published in Full

- Gorinevsky, D., Shenoy, S., Zhao, F., Luo X., T. Zheng, and E. Litvinov, "Base cases for assessing risk in transmission system planning," *IEEE International Conference on Probabilistic Methods Applied to Power Systems*, June 2018, Boise, ID
- [2] Gao, W. and Gorinevsky, D., "Probabilistic balancing of grid with renewables and storage," *IEEE International Conference on Probabilistic Methods Applied to Power Systems*, June 2018, Boise, ID
- [3] Shenoy, S. and Gorinevsky, D., "Stochastic optimization of power market forecast using nonparametric regression models," *IEEE PES General Meeting*, July 2015, Denver, CO.
- [4] Shenoy, S., Gorinevsky, D., and S.Boyd, "Non-parametric regression modeling for stochastic optimization of power grid load forecast," *American Control Conf.*, July 2015, Chicago, IL.

- [5] Shenoy, S. and Gorinevsky, D., "Predictive analytics for extreme events in Big Data," IEEE BigDataService, March 2015, San Francisco, CA.
- [6] Shenoy, S. and Gorinevsky, D., "Gaussian-Laplacian mixture model for electricity market," *IEEE Conference on Decision and Control*, Pages 1720–1726, Dec. 2014, Los Angeles, CA.
- [7] Shenoy, S. and Gorinevsky, D., "Risk adjusted forecasting of electric power load," American Control Conference, Pages 914–919, June 2014, Portland, OR.
- [8] Moehle, N. and Gorinevsky, D., "Covariance estimation in two-level regression," 2nd Internat. Conf. on Control and Fault-Tolerant Systems, October 2013, Nice, France.
- [9] Chu, E., Keshavarz, A., Gorinevsky, D., and Boyd, S., "Moving horizon estimation for staged QP problems," *IEEE Conf. on Decision and Control*, December 2012, Waikoloa, HI.
- [10] Glover, E., Chang, C.-C., Gorinevsky, D., and Lall, S., "Frequency stability for distributed generation connected through grid-tie inverter," *IEEE POWERCON*, October 2012, Auckland, New Zealand.
- [11] Gorinevsky, D., Matthews, B., and Martin, R., "Aircraft anomaly detection using performance models trained on fleet data," *Conf. on Intelligent Data Understanding (CIDU)*, October 2012, Boulder, CO.
- [12] Gorinevsky, D., Overman, N. and Goeke, J., "Amplitude and phase control in active suppression of combustion instability," *American Control Conf.*, June 2012, Montreal, QC, Canada.
- [13] Gorinevsky, D., "Bayesian fault isolation in multivariate statistical process monitoring," *American Control Conf.*, June 2011, San Francisco, CA.
- [14] Chu, E., Gorinevsky, D., and Boyd, S., "Scalable statistical monitoring of fleet data," 18th World IFAC Congress, August 2011, Milano, Italy.
- [15] Chu, E., Gorinevsky, D., and Boyd, S., "Detecting aircraft performance anomalies from cruise flight data," AIAA Infotech@Aerospace, April 2010, Atlanta, GA, AIAA-2010-3307.
- [16] Gorinevsky, D., Mah, R., Srivastava, A., Smotrich, A., Keller, K. and Felke, T., "Open architecture for data mining and analysis in integrated health management," AIAA Infotech@Aerospace, April 2010, Atlanta, GA, AIAA-2010-3434
- [17] Gorinevsky, D., and Smotrich, A., "Open architecture for data mining and analysis in integrated health management," JANNAF JPM/MSS/LPS/SPS Meeting, Colorado Springs, CO, May 2010
- [18] Zymnis, A., Boyd, S. and Gorinevsky, D., "Mixed linear system estimation and identification," *IEEE Conf. on Decision and Control*, Shanghai, China, December 2009
- [19] Gorinevsky, D., Boyd, S. and Poll. S., "Estimation of faults in DC electrical power system," American Control Conf., St. Louis, MO, June 2009
- [20] Zymnis, A., Boyd, S., and Gorinevsky, D., "Mixed state estimation for a linear gaussian markov model," *IEEE Conf. on Decision and Control*, Cancun, Mexico, December 2008
- [21] Gorinevsky, D., "Efficient filtering using monotonic walk model," American Control Conf., Seattle, WA, June 2008

- [22] Gorinevsky, D., Hoffman, G., Shmakova, M., Mah. R., Cryan, S., and Mitchell, J., "Fault tolerance of relative navigation sensing in docking approach of spacecraft," *IEEE Aerospace*, Big Sky, MT, March 2008
- [23] Gorinevsky, D., Kim, S.-J., Boyd, S., Beard, S., Gordon, G., and Chang, F.-K., "Optimal estimation of accumulating damage trend from a series of SHM images," *Intern. Workshop* on Structural Health Monitoring, Stanford University, Stanford, CA, September 11-13, 2007
- [24] Hoffmann, G.M., Gorinevsky, D., Mah, R.W., Tomlin, C.J., and Mitchell, J.D., "Fault tolerant relative navigation using inertial and relative sensors," AIAA Guidance, Navigation, and Control Conf., August 2007, Hilton Head, SC
- [25] Gorinevsky, D., Mah, R.W., and Timucin, D., "Early detection of solid rocket motor failures for safe crew launch abort," AIAA Guidance, Navigation, and Control Conf., August 2007, Hilton Head, SC
- [26] Samar, S., Boyd, S., and Gorinevsky, D., "Distributed estimation via decomposition methods," *European Control Conference*, Island of Kos, Greece, July 2007.
- [27] Gorinevsky, D., "Optimal estimate of monotonic trend with sparse jumps," American Control Conf., New York, NY, July 2007
- [28] Samar, S., Gorinevsky, D., and Boyd, S., "Embedded Estimation of Fault Parameters in an Unmanned Aerial Vehicle," *IEEE Conf. on Control Applications*, Munich, Germany, October 2006. (2006 IEEE CCA Best Student Paper Award)
- [29] Gorinevsky, D. and Gordon, G., "Spatio-temporal filter for structural health monitoring," American Control Conf., Minneapolis, MN, June 2006
- [30] Samar, S., Gorinevsky, D., and Boyd, S. "Model predictive estimation of evolving faults," *American Control Conf.*, Minneapolis, MN, June 2006
- [31] Samar, S., Gorinevsky, D., and Boyd, S., "Likelihood bounds for constrained estimation with uncertainty," *IEEE Conf. on Decision and Control and ECC'05*, Seville, Spain, December 2005.
- [32] Gorinevsky, D., "Feedback loop design and analysis for iterative localized image deblurring," *IEEE Conf. on Decision and Control and ECC'05*, Seville, Spain, December 2005.
- [33] Gorinevsky, D., Gordon, G.A., Beard, S., Kumar, A., and Chang, F.-K., "Design of integrated SHM system for commercial aircraft applications," *Intern. Workshop on Structural Health Monitoring*, Stanford, CA, September 2005.
- [34] Gorinevsky, D., Samar, S., Bain, J., and Aaseng, G., "Integrated diagnostics of rocket flight control," *IEEE Aerospace*, Big Sky, MN, March 2005.
- [35] Samar, S., Gorinevsky, D., and Boyd, S., "Moving horizon filter for monotonic trends," *IEEE Conf. on Decision and Control*, Paradise Island, Bahamas, December 2004.
- [36] Ganguli, S., Deo, S., and Gorinevsky, D., "Parametric fault modeling and diagnostics of a turbofan engine," *IEEE Conf. on Control Applications*, pp. 223–228, Taipei, Taiwan, September 2004.

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- [41] Gorinevsky, D., Dittmar, K., Mylaraswamy, D., and Nwadiogbu, E., "Model-based diagnostics for an aircraft auxiliary power unit," *IEEE Conf. on Control Applications*, Vol. 1, pp. 215–220, Glasgow, Scotland, September 2002.
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- [44] Samad, T., Gorinevsky, D., and Stoffelen, F., "Dynamic multiresolution route optimization for autonomous aircraft," *IEEE ISIC*, 2001, p.13-18, Mexico City, Mexico
- [45] Kammer, L.C., Gorinevsky, D., and Dumont, G.A., "Semi-intrusive multivariable model invalidation", *European Control Conference*, Porto, Portugal, 2001
- [46] Gorinevsky, D., Hyde, T., and Cabuz, C., "Distributed shape control of lightweight space reflector structure," *IEEE Conf. on Decision and Control*, Orlando, FL, December 2001.
- [47] Gorinevsky, D., Hyde, T., and Cabuz, C., "Distributed localized shape control of gossamer space structures," AIAA/ASME/ASCE/AHS/ASC Structures, Structural Dynamics, and Materials Conference, Seattle, WA, Apr. 16-19, 2001, AIAA-2001-1197
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- [52] Duncan, S., Dumont, G.A., and Gorinevsky, D., "Performance monitoring for cross-directional control systems," *Control Systems 2000*, pp. 173–177, Victoria, BC, May 2000.
- [53] Stewart, G.E., Gorinevsky, D., Dumont, G.A., Gheorghe, C., and Backstroem, J.U., "The role of model uncertainty in cross-directional control systems," *Control Systems 2000*, pp. 337-345, Victoria, BC, May 2000.
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