# Lecture 5: Medical Images: 3D and Video

Serena Yeung

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### Announcements

- A1 due Tue 10/6
- Project proposal due Fri 10/9





Last Time:

### Richer visual recognition tasks: segmentation and detection

#### Classification



Semantic Segmentation



Detection



Instance Segmentation



Output: one category label for image (e.g., colorectal glands)

Output: category label for each pixel in the image Output: Spatial bounding box for each **instance** of a category object in the image Output: Category label and instance label for each pixel in the image

Figures: Chen et al. 2016. https://arxiv.org/pdf/1604.02677.pdf

Distinguishes between different instances of an object

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Last Time:

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Classification



Output: one category label for image (e.g., colorectal glands) Semantic Segmentation



Output: category label for each pixel in the image Detection



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### Semantic segmentation: U-Net



Ronneberger et al. 2015. U-Net: Convolutional Networks for Biomedical Image Segmentation. 2015.

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Last Time:

### Richer visual recognition tasks: segmentation and detection

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Semantic Segmentation



Output: one category label for image (e.g., colorectal glands)

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Output: Spatial bounding box for each **instance** of a category object in the image Instance Segmentation



Output: Category label and instance label for each pixel in the image

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# Object detection: Faster R-CNN Classification Loss Bounding-box regression Loss Rol pooling Rol pooling

In each of top bounding box candidate locations, crop features within box (treat as own image) and perform further refinement of bounding box + classification

### Rol pooling regression loss loss proposals Region Proposal Network feature map CNN The Cold image

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Divide into grid of (roughly) equal subregions, corresponding to fixed-size input required for final classification / bounding box regression networks

Max-pool within each subregion



Girshick, "Fast R-CNN", ICCV 2015.

proposals

**Region Proposal Network** 

feature ma

CNN

Image features

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<sup>9</sup> Lecture 5 - 9

Last Time:

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### Cropping Features: Rol Align

Sample at regular points in each subregion using bilinear interpolation

Improved version of Rol Pool since we now care about pixel-level segmentation accuracy!



No "snapping"!

Image features (e.g. 512 x 20 x 15)

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<sup>12</sup> Lecture 5 - 12

### Cropping Features: Rol <u>Align</u>

Sample at regular points in each subregion using bilinear interpolation

Improved version of Rol Pool since we now care about pixel-level segmentation accuracy!



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<sup>3</sup> Lecture 5 - 13

- Instance-based task, like object detection
- Also use same precision-recall curve and AP evaluation metrics
- Only difference is that IOU is now a mask IOU
  - Same as the IOU for semantic segmentation, but now per-instance



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	backbone	AP	$AP_{50}$	AP <sub>75</sub>	$AP_S$	$AP_M$	$AP_L$
MNC [10]	ResNet-101-C4	24.6	44.3	24.8	4.7	25.9	43.6
FCIS [26] +OHEM	ResNet-101-C5-dilated	29.2	49.5	. <del></del>	7.1	31.3	50.0
FCIS+++ [26] +OHEM	ResNet-101-C5-dilated	33.6	54.5	-	-	-	-
Mask R-CNN	ResNet-101-C4	33.1	54.9	34.8	12.1	35.6	51.1
Mask R-CNN	ResNet-101-FPN	35.7	58.0	37.8	15.5	38.1	52.4
Mask R-CNN	ResNeXt-101-FPN	37.1	60.0	39.4	16.9	39.9	53.5

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- Instance-based task, like object detection
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Average AP over	different
IOU thresholds	~

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Avera	age AP over differe	nt .	AP at s	pecific	thresh	olds ("	mean A	AP" is implicit h
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			×					
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- Instance-based task, like object detection
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Average AP over different AP at specific thresholds ("mean AP" is implicit here									
IOU thresholds									
			×					AP for small,	
	backbone	AP	$AP_{50}$	AP <sub>75</sub>	$AP_S$	$AP_M$	$AP_L$	medium, large	
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### Example: instance segmentation of cell nuclei



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\$100,000

Prize Money

### Many interesting extensions

- E.g. Hollandi et al. 2019
  - Used "style transfer" approaches for rich data augmentation
  - Refined Mask-RCNN instance segmentation results with further U-Net-based boundary refinement



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Hollandi et al. A deep learning framework for nucleus segmentation using image style transfer. 2019.

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### Lung nodule segmentation

- E.g. Liu et al. 2018
  - Dataset: Lung Nodule Analysis (LUNA) challenge, 888 512x512 CT scans from the Lung Image Data Consortium database (LIDC-IDRI).
  - Performed 2D instance segmentation in 2D CT slices



We will see other ways to handle 3D medical data types coming up!

Liu et al. Segmentation of Lung Nodule in CT Images Based on Mask R-CNN. 2018.

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# Next Topic: Advanced Vision Models for Higher-Dimensional (3D and Video) Data

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### How do we handle 3D data?

Recall: Ciompi et al. 2015

- Task: classification of lung nodules in 3D CT scans as peri-fissural nodules (PFN, likely to be benign) or not
- Dataset: 568 nodules from 1729 scans at a single institution. (65 typical PFNs, 19 atypical PFNs, 484 non-PFNs).
- Data pre-processing: prescaling from CT hounsfield units (HU) into [0,255].
   Replicate 3x across R,G,B channels to match input dimensions of ImageNet-trained CNNs.



Ciompi et al. Automatic classification of pulmonary peri-fissural nodules in computed tomography using an ensemble of 2D views and a convolutional neural network out-of-the-box. Medical Image Analysis, 2015.

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### Ciompi et al. 2015

- Also extracted features from a deep learning model trained on ImageNet
  - Overfeat feature extractor (similar to AlexNet, but trained using additional losses for localization and detection)
  - To capture 3D information, extracted features from 3 different 2D views of each nodule, then input into 2-stage classifier (independent predictions on each view first, then outputs combined into second classifier).



Ciompi et al. Automatic classification of pulmonary peri-fissural nodules in computed tomography using an ensemble of 2D views and a convolutional neural network out-of-the-box. Medical Image Analysis, 2015.

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### Ciompi et al. 2015

# Another approach: 3D CNNs!

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### **Remember 2D convolutions**



Slide credit: CS231n

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### **Remember 2D convolutions**



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Figure credit:

https://www.researchgate.net/profile/Deepak\_Mishra19/publication/330912338/figure/fig1/AS:723363244810254@15494 74645742/Basic-3D-CNN-architecture-the-3D-filter-is-convolved-with-the-video-in-three-dimensions.png

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## When might you use 3D convolutions?



Figure credit:

https://www.researchgate.net/profile/Deepak\_Mishra19/publication/330912338/figure/fig1/AS:723363244810254@15494 74645742/Basic-3D-CNN-architecture-the-3D-filter-is-convolved-with-the-video-in-three-dimensions.png

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# When might you use 3D convolutions?

Ex: 224 x 224 x 1 x 256 3D CT scan (with 256 slices)



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Input

# When might you use 3D convolutions?

Ex: 224 x 224 x 1 x 256 3D CT scan (with 256 slices)



x,y,z are spatial and/or temporal dimensions.

Filter (e.g.  $5 \times 5 \times 3 \times 10$  filter) goes all the way through the "channels" dimension as before.

Figure credit:

https://www.researchgate.net/profile/Deepak\_Mishra19/publication/330912338/figure/fig1/AS:723363244810254@15494 74645742/Basic-3D-CNN-architecture-the-3D-filter-is-convolved-with-the-video-in-three-dimensions.png

Feature Volumes

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Slide filter

directions:

x, y, and z!

along 3

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### Now: 3D CNNs for lung nodule classification



Figure credit: Ciompi et al. Automatic classification of pulmonary peri-fissural nodules in computed tomography using an ensemble of 2D views and a convolutional neural network out-of-the-box. Medical Image Analysis, 2015.

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## Huang et al. 2017

- Simple 3D CNN for lung nodule classification
- Used image processing approaches to extract candidate nodules, then 3D CNN to classify the surrounding volume
- Used the Lung Image Database Consortium (LIDC) Dataset, with 99 3D CT



Huang et al. Lung Nodule Detection in CT Using 3D Convolutional Neural Networks. ISBI 2017.

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scans

For richer visual recognition tasks, can also extend respective CNN architectures to use 3D convolutions

#### Classification



#### Semantic Segmentation



Detection



Instance Segmentation



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Figures: Chen et al. 2016. https://arxiv.org/pdf/1604.02677.pdf

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Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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E.g. 3D U-Net

Channels ~



Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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#### Ex. input: 132 x 132 x 3 x 116



Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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x 3 conv filter

#### Ex. input: 132 x 132 x 3 x 116



Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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x 3 conv filter

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Ex. input: 132 x 132 x 3 x 116

Semi-supervised learning: learning from datasets that are partially labeled (small amount of labeled data + larger amount of unlabelled data). Lots of active research on ways (e.g. loss functions which don't require manual labels) to simultaneously learn richer information from the unlabeled data.



Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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Ex: 3D segmentation of Xenopus kidney in confocal microscopic data





dense segmentation

Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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Ex: 3D segmentation of Xenopus kidney in confocal microscopic data

Spatial dims: ~ 250 x 250 x 60. 3 channels: each channel corresponds to a different type of data capture



raw image

b



dense segmentation

Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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Ex: 3D segmentation of Xenopus kidney in confocal microscopic data

Spatial dims: ~ 250 x 250 x 60. 3 channels: each channel corresponds to a different type of data capture

Used only 3 samples total! (with total of 77 annotated 2D slices). Leverages fact that each sample contains many instances of same repetitive structures w/ variation.



apply trained 3D u-net

raw image

b



dense segmentation

Cicek et al. 3D U-Net: Learning Dense Volumetric Segmentation from Sparse Annotation. MICCAI 2016.

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## Ex: Brain lesion segmentation

Training set: 37 PET scans (3D volumes)

Evaluation set: 11 PET scans

Volumes resized to 64x64x40 for computational efficiency



Blanc-Durand et al. Automatic lesion detection and segmentation of 18F-FET PET in gliomas: A full 3D U-Net convolutional neural network study. PLoS One, 2018.

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## Ex: Brain lesion segmentation



Blanc-Durand et al. Automatic lesion detection and segmentation of 18F-FET PET in gliomas: A full 3D U-Net convolutional neural network study. PLoS One, 2018.

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## Video data (high dimensional in time)

## E.g. in:

Surgery



## Hospital patient monitoring



## Psychology



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## Another approach: 3D convolutions



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https://www.researchgate.net/profile/Deepak\_Mishra19/publication/330912338/figure/fig1/AS:723363244810254@15494 74645742/Basic-3D-CNN-architecture-the-3D-filter-is-convolved-with-the-video-in-three-dimensions.png

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## Another approach: 3D convolutions



For video data, 3rd dimension is time

Figure credit:

https://www.researchgate.net/profile/Deepak\_Mishra19/publication/330912338/figure/fig1/AS:723363244810254@15494 74645742/Basic-3D-CNN-architecture-the-3D-filter-is-convolved-with-the-video-in-three-dimensions.png

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## Inception Module (Inc.) w/ 3D convolutions



Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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## Inception Module (Inc.) w/ 3D convolutions



Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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Inception Module (Inc.) w/ 3D convolutions



3D Inception Module used in Inception Network (also known as GoogLeNet)



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Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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Inception Module (Inc.) w/ 3D convolutions



## 3D Inception Module used in Inception Network (also known as GoogLeNet)



Can pre-train from 2D datasets e.g. ImageNet by replicating and normalizing 2D weights over additional dimension!

Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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Note: in general, can 3D-ify many 2D architectures!

Inception Module (Inc.) w/ 3D convolutions



3D Inception Module used in Inception Network (also known as GoogLeNet)



Can pre-train from 2D datasets e.g. ImageNet by replicating and normalizing 2D weights over additional dimension!

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Figure credit: Simonyan and Zisserman. Two-Stream Convolutional Networks for Action Recognition in Videos. NeurIPS 2014.

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Two consecutive frames

## Optical flow displacement vectors

## horizontal (L) and vertical (R) components of displacement







Directional components can be represented as images (or multiple channels of input volume!)

Figure credit: Simonyan and Zisserman. Two-Stream Convolutional Networks for Action Recognition in Videos. NeurIPS 2014.

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Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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LSTM over RGB

Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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### LSTM over RGB

(LSTM is a type of recurrent neural network. We will talk more about these soon!)

Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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#### LSTM over RGB I3D (3D convs) over RGB

Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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LSTM over RGB I3D (3D convs) 2D convs over RGB over RGB + optical flow (OF)

Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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Carreira and Zisserman. Quo Vadis, Action Recognition? A New Model and the Kinetics Dataset. CVPR 2017.

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## Preview: Recurrent neural networks





**Fully connected neural networks** (linear layers, good for "feature vector" inputs)

## **Convolutional neural networks** (convolutional layers, good for image inputs)



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$$\mathbf{y} = \{y_0, y_1, ..., y_T\}$$

$$\boldsymbol{\zeta} \quad \mathbf{RNN}$$

$$\boldsymbol{CNN}$$

$$\boldsymbol{int} \quad \mathbf{int} \quad$$

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Abstracted overview: Use a CNN to extract features from each frame (e.g. final-layer features), then use RNN to perform temporal modeling over sequence of features

$$\mathbf{y} = \{y_0, y_1, ..., y_T\}$$

**RNN** 

**CNN** 



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Diagram of a CNN + RNN "rolled out" over time



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Diagram of a CNN + RNN "rolled out" over time



$$h_t = f_W(h_{t-1}, v_t)$$
  
= tanh(W\_{hh}h\_{t-1} + W\_{vh}v\_t)  
$$y_t = W_{hy}h_t$$

Same idea of weight matrices (remember fully-connected networks) and nonlinear activation functions! Just applied to a neural network with a different connectivity structure

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## Aside: how do we compute gradient updates? Remember backpropagation.

Network output: 
$$\hat{y} = W^2(\sigma(W^1x + b^1)) + b^2$$

Think of computing loss function as staged computation of intermediate variables:



Now, can use a repeated application of the chain rule, going backwards through the computational graph, to obtain the gradient of the loss with respect to each node of the computation graph.



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This is a computational graph -> can backprop and train RNN and CNN jointly

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This is a computational graph -> can backprop and train RNN and CNN jointly

But a very large number of parameters to train simultaneously... more common to fine-tune a single-frame CNN over the data first (or use pre-trained CNN), then extract features and train the RNN separately

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### Videos are sequences: natural fit for recurrent networks



This is a computational graph -> can backprop and train RNN and CNN jointly

But a very large number of parameters to train simultaneously... more common to fine-tune a single-frame CNN over the data first (or use pre-trained CNN), then extract features and train the RNN separately

Preview of RNNs. Will see again in our discussion of sequence EHR data.

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## Detecting patient mobilization activities in the ICU≈

Get patient out of bed



Sit patient in chair



Get patient in bed



Get patient out of chair



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### Detecting patient mobilization activities in the ICU≈



Yeung\*, Salipur\*, et al. A Computer Vision System for Deep Learning-Based Detection of Patient Mobilization Activities in the ICU. npj Digital Medicine, 2019.

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### Detecting patient mobilization activities in the ICU≈



Yeung\*, Salipur\*, et al. A Computer Vision System for Deep Learning-Based Detection of Patient Mobilization Activities in the ICU. npj Digital Medicine, 2019.

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## Predicting ejection fraction in echocardiograms



Ouyang et al. Video-based AI for beat-to-beat assessment of cardiac function. Nature, 2020.

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# Summary

Finished up advanced deep learning models for visual recognition tasks

- Classification
- Semantic segmentation
- Object detection
- Instance segmentation
- 3D and Video

Will revisit some of these later with multimodal models and weakly / self- / un-supervised paradigms

Next time: Introduction to Electronic Health Records

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