

# Indirect Proofs

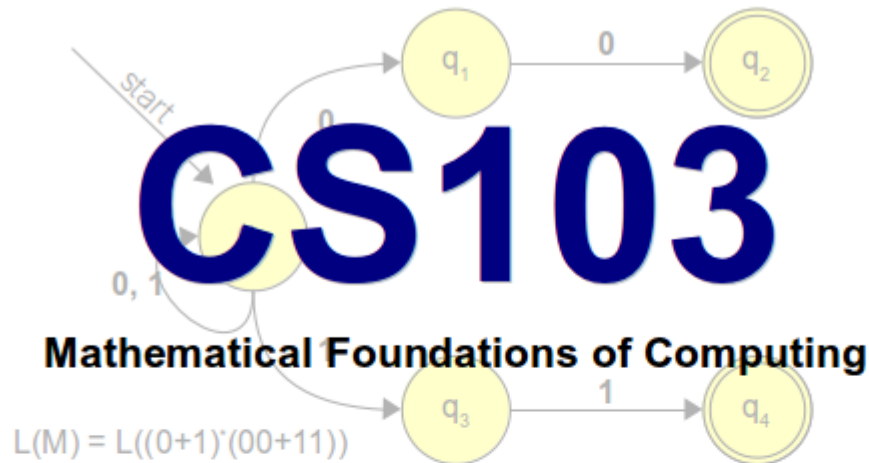
# Announcements

- Problem Set 1 out.
- **Checkpoint** due Monday, October 1.
  - Graded on a “did you turn it in?” basis.
  - We will get feedback back to you with comments on your proof technique and style.
  - The more an effort you put in, the more you'll get out.
- **Remaining problems** due Friday, October 5.
  - Feel free to email us with questions!

# Submitting Assignments

- You can submit assignments by
  - handing them in at the start of class,
  - dropping it off in the filing cabinet near Keith's office (details on the assignment handouts), or
  - emailing the submissions mailing list at [cs103-aut1213-submissions@lists.stanford.edu](mailto:cs103-aut1213-submissions@lists.stanford.edu) and attaching your solution as a PDF.
- Late policy:
  - Three 72-hour “late days.”
  - Can use at most one per assignment.
  - No work accepted more than 72 hours after due date.

# Lecture Videos



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## Handouts

- 00: Course Information
- 01: Syllabus
- 02: Prior Experience Survey

## Assignments

- Problem Set 1

## Section Handouts

## Resources

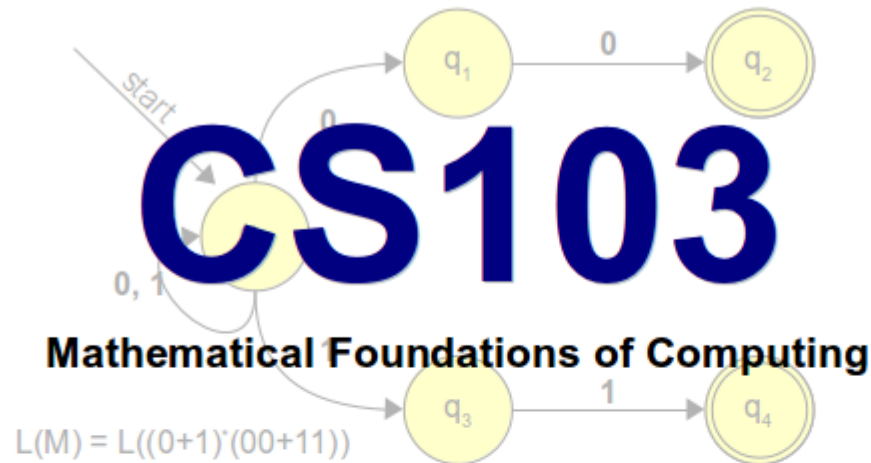
- Course Notes
- Definitions and Theorems
- Office Hours Schedule
- Lecture Videos

## Lectures

- 00: Set Theory  
Slides (Condensed)

<http://class.stanford.edu/cs103/Fall2012/videos>

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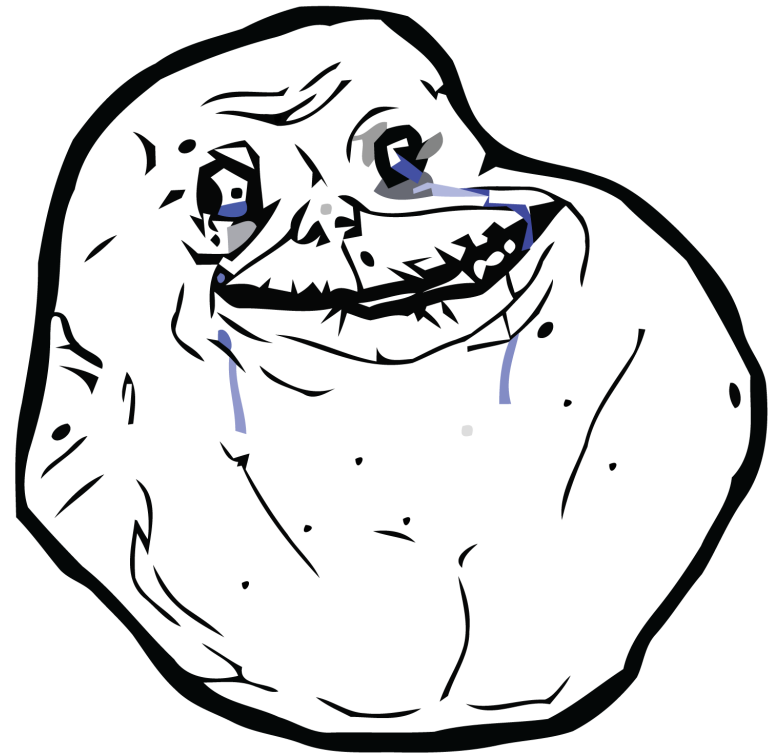
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# Office Hours

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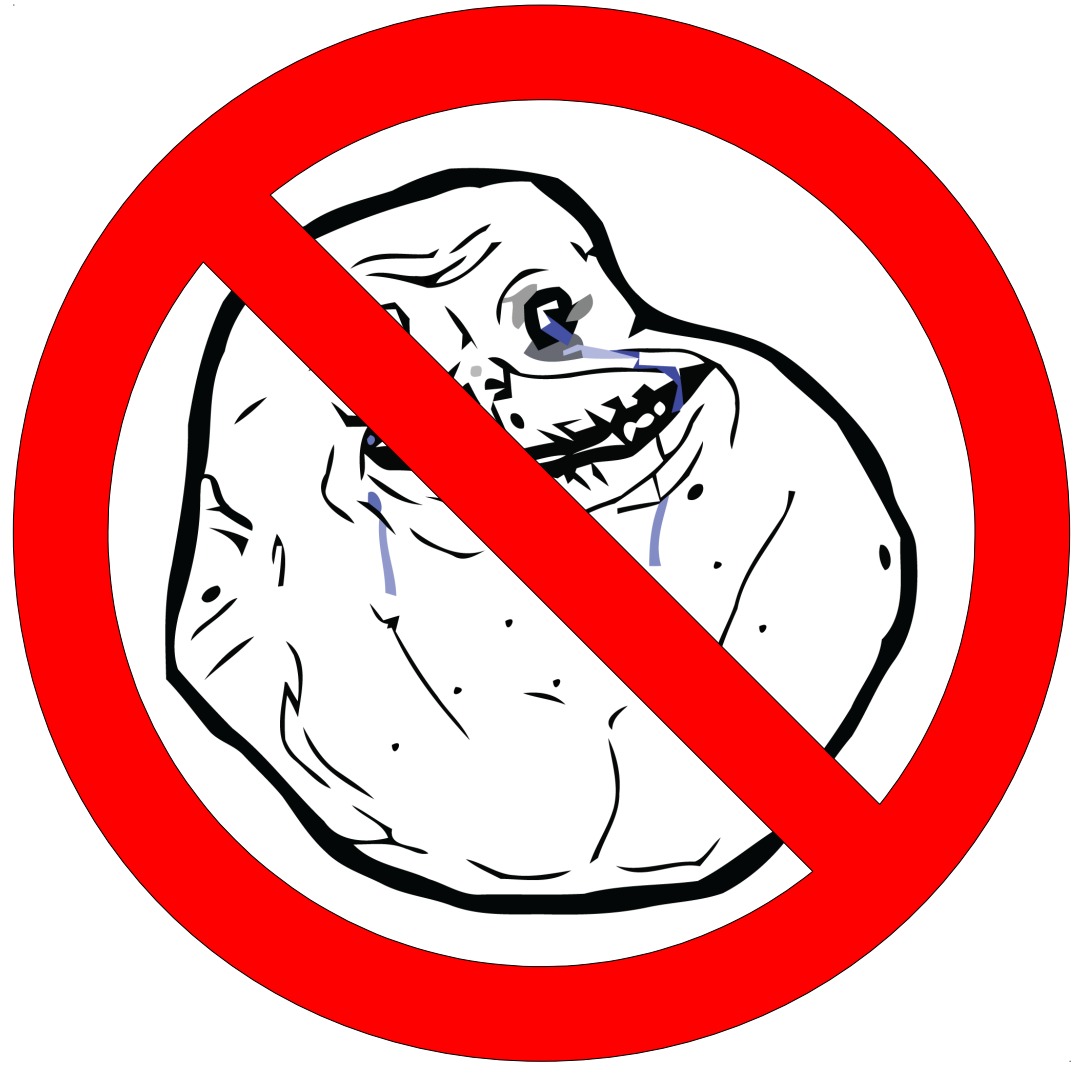
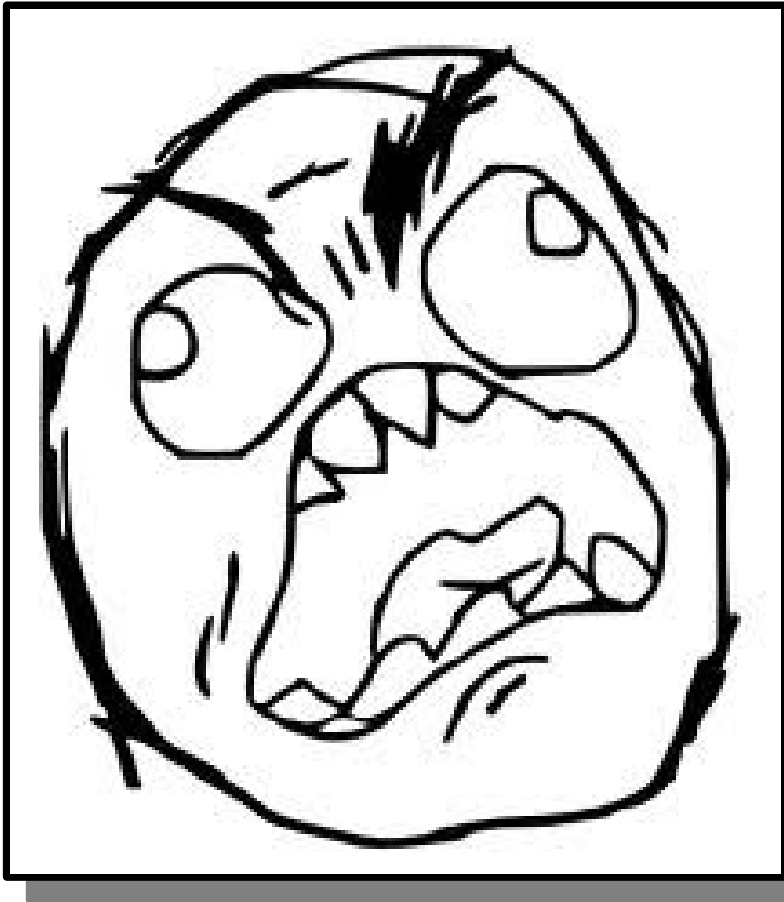


# Office Hours





# Office Hours



# Office Hours



Office hours start today.

Schedule available on the course website.

# Friday Four Square



- Good snacks!
- Good company!
- Good game!
- Good fun!
- **Today at 4:15  
in front of  
Gates.**

Don't be this guy!

# Outline for Today

- Logical Implication
  - What does “If  $P$ , then  $Q$ ” mean?
- Proof by Contradiction
  - The basic method.
  - Contradictions and implication.
  - Contradictions and quantifiers.
- Proof by Contrapositive
  - The basic method.
  - An interesting application.

# Logical Implication

# Implications

- An **implication** is a statement of the form

**If  $P$ , then  $Q$ .**

- We write “If  $P$ , then  $Q$ ” as  **$P \rightarrow Q$** .
  - Read: “ $P$  implies  $Q$ .”
- When  $P \rightarrow Q$ , we call  $P$  the **antecedent** and  $Q$  the **consequent**.

# What does Implication Mean?

- The statement  $P \rightarrow Q$  means exactly the following:

**Whenever  $P$  is true,  
 $Q$  must be true as well.**

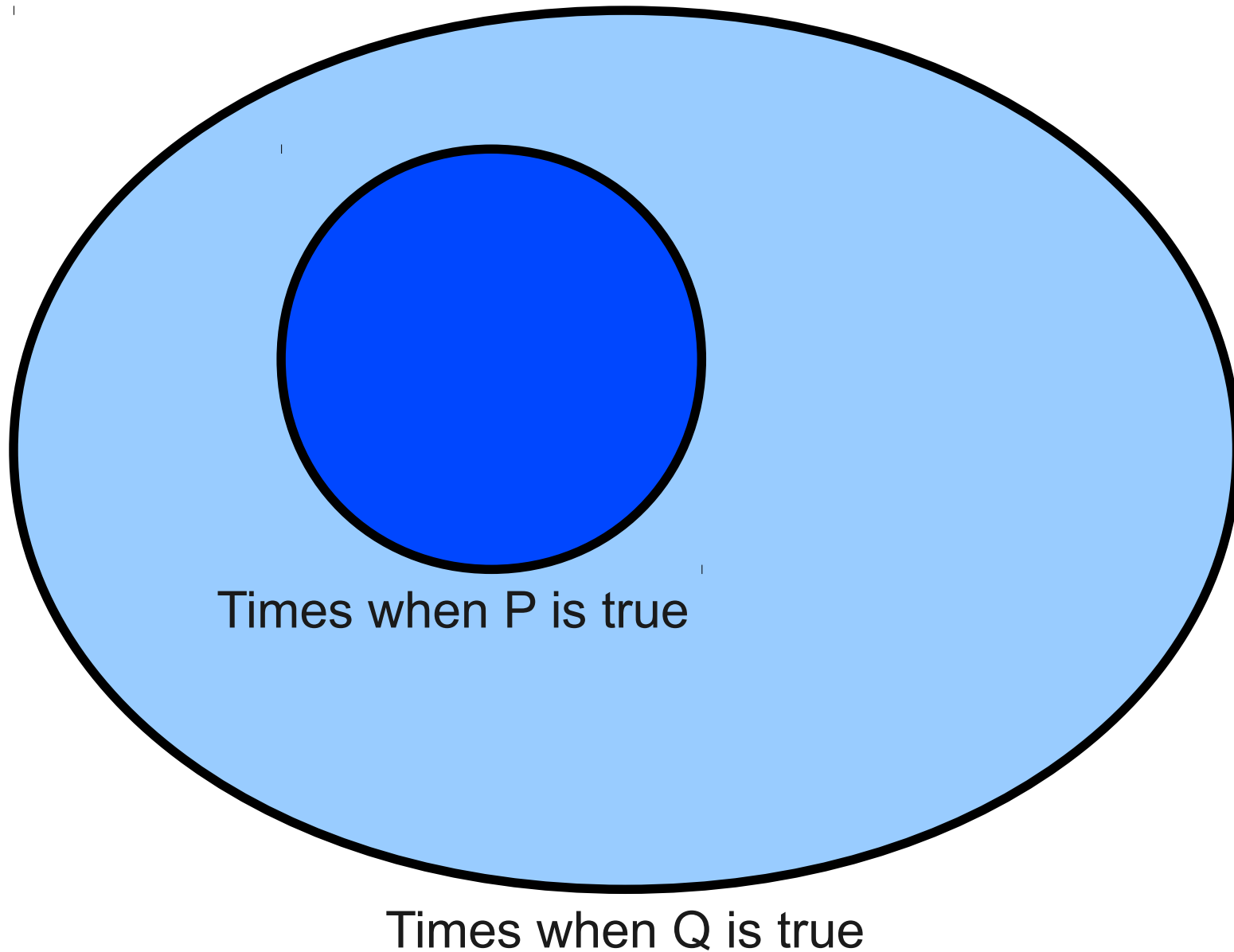
- For example:
  - $n$  is even  $\rightarrow n^2$  is even.
  - $(A \subseteq B \text{ and } B \subseteq C) \rightarrow A \subseteq C$



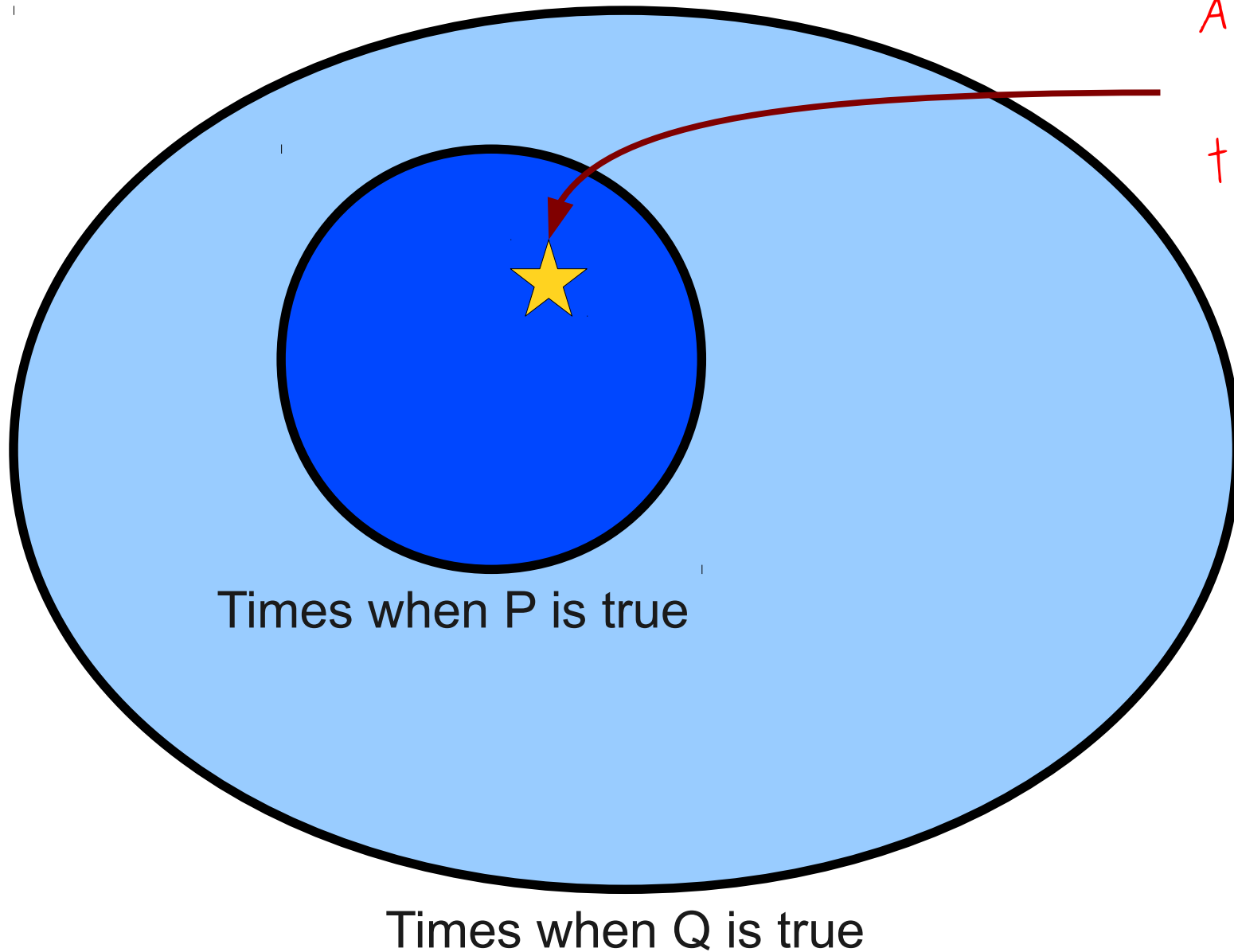
# What does Implication **Not** Mean?

- $P \rightarrow Q$  does **not** mean that whenever  $Q$  is true,  $P$  is true.
  - “If you are a Stanford student, you wear cardinal” does **not** mean that if you wear cardinal, you are a Stanford student.
- $P \rightarrow Q$  does **not** say anything about what happens if  $P$  is false.
  - “If you hit another skier, you're gonna have a bad time” doesn't mean that if you don't hit other skiers, you're gonna to have a good time.
  - **Vacuous truth:** If  $P$  is never true, then  $P \rightarrow Q$  is always true.
- $P \rightarrow Q$  does **not** say anything about causality.
  - “If I want math to work, then  $2 + 2 = 4$ ” is true because any time that I want math to work,  $2 + 2 = 4$  already was true.
  - “If I don't want math to work, then  $2 + 2 = 4$ ” is also true, since whenever I don't want math to work,  $2 + 2 = 4$  is true.

# Implication, Diagrammatically

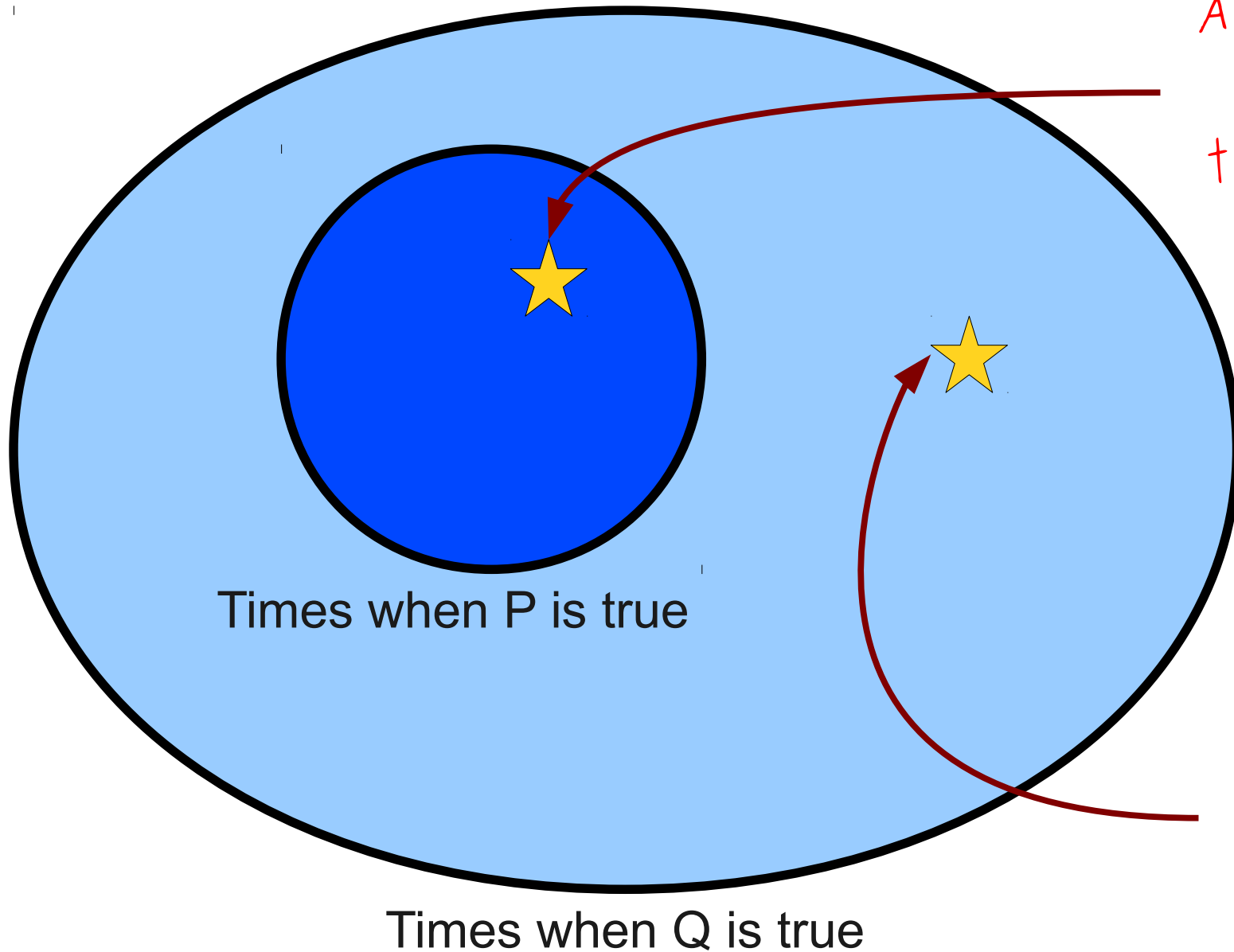


# Implication, Diagrammatically



Any time P is true, Q is true as well.

# Implication, Diagrammatically



Any time P is true, Q is true as well.

Any time P isn't true, Q may or may not be true.

# Alternative Forms of Implication

- All of the following are different ways of saying  $P \rightarrow Q$ :

**If  $P$ , then  $Q$ .**

**$P$  implies  $Q$ .**

**$P$  only if  $Q$ .**

**$Q$  whenever  $P$ .**

**$P$  is sufficient for  $Q$ .**

**$Q$  is necessary for  $P$ .**

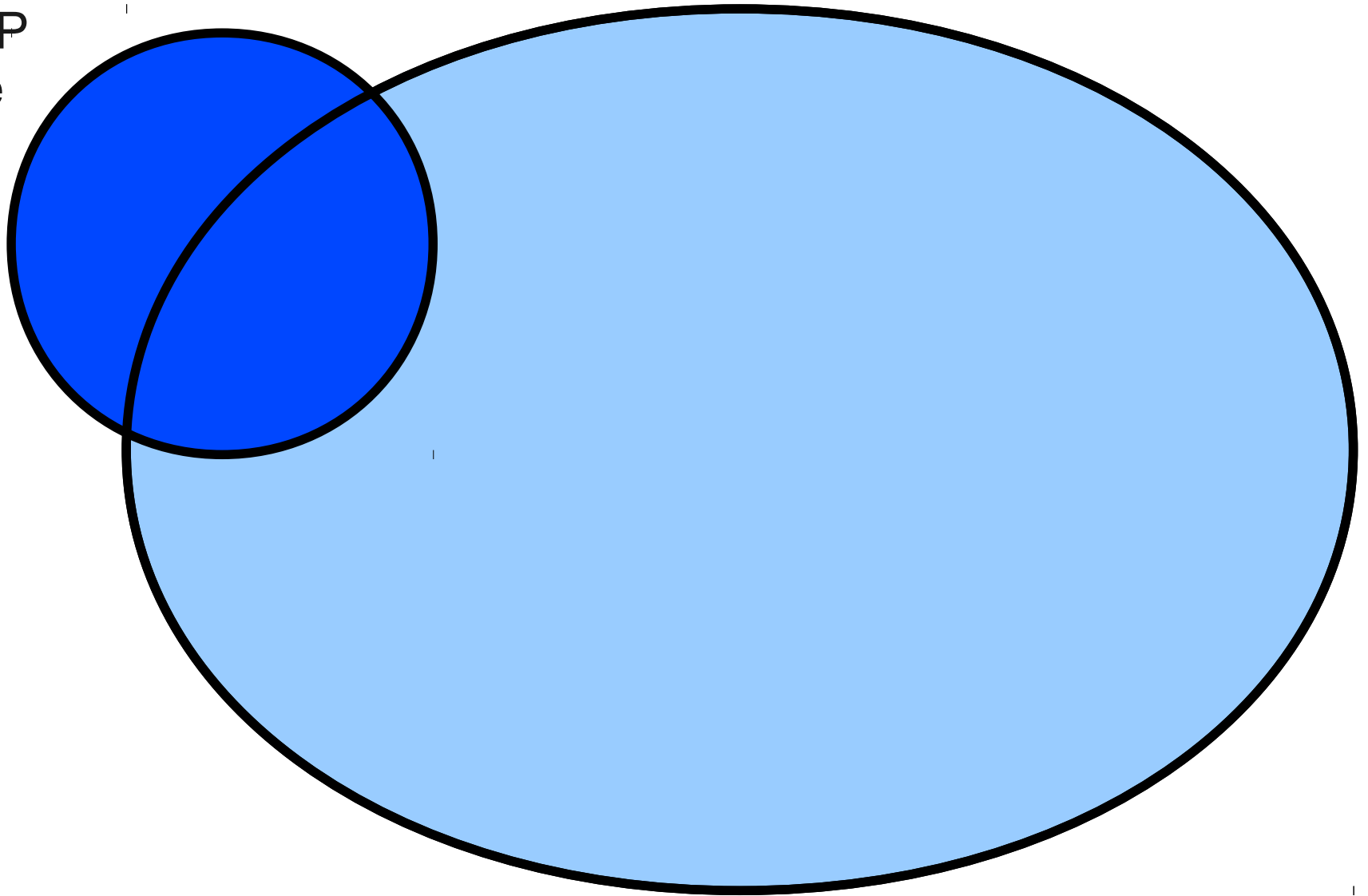
- Why?

# When P Does Not Imply Q

- What would it mean for  $P \rightarrow Q$  to be false?
- **Answer:** There must be some way for  $P$  to be true and  $Q$  to be false.
- $P \rightarrow Q$  means “any time  $P$  is true,  $Q$  is true.”
  - The only way to disprove this is to show that there is some way for  $P$  to be true and  $Q$  to be false.
- To prove that  $P \rightarrow Q$  is false, find an example of where  $P$  is true and  $Q$  is false.

$P \rightarrow Q$  is False

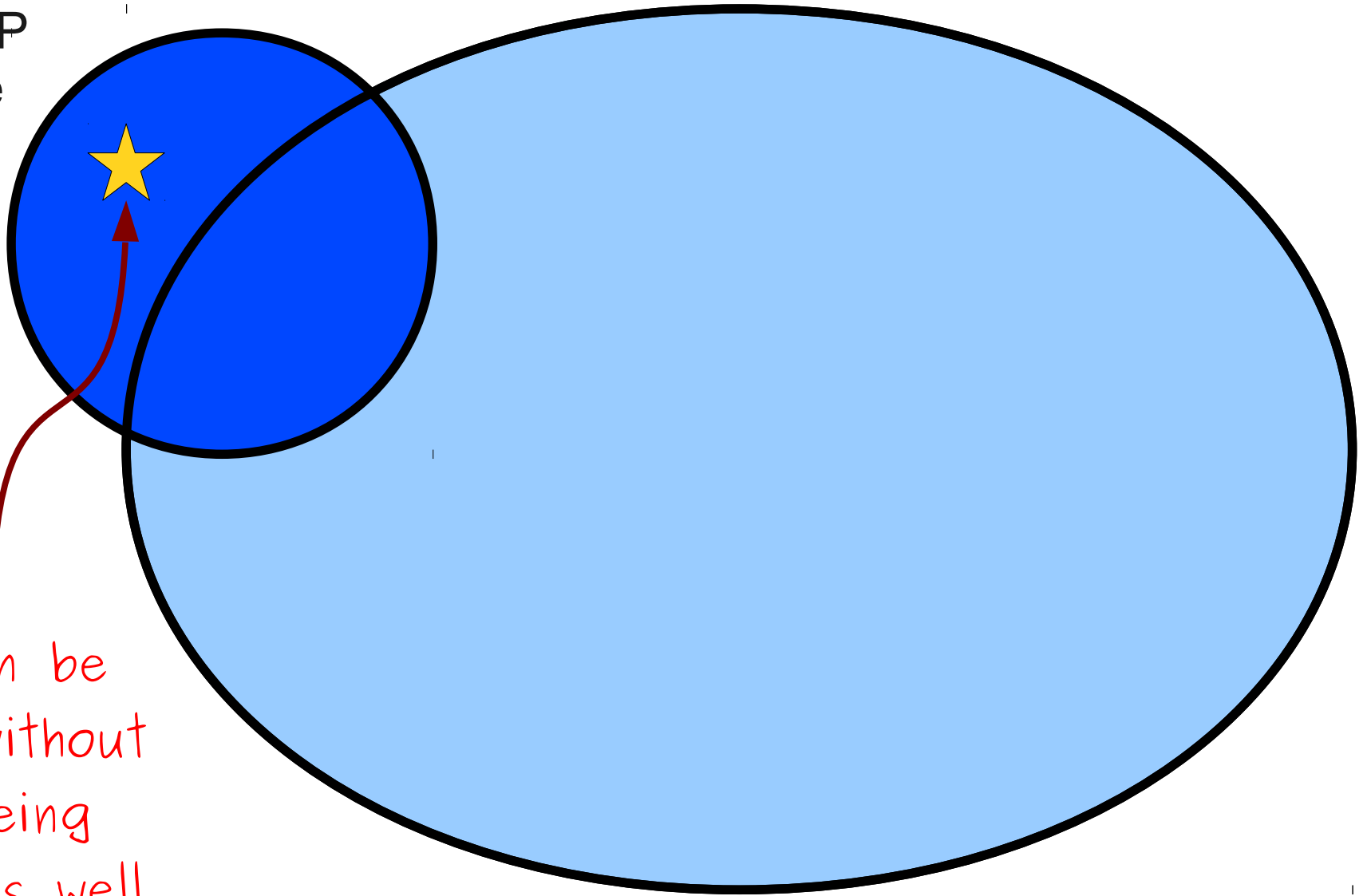
Set of  
where P  
is true



Set of where Q is true

$P \rightarrow Q$  is False

Set of  
where P  
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P can be  
true without  
Q being  
true as well

Set of where Q is true

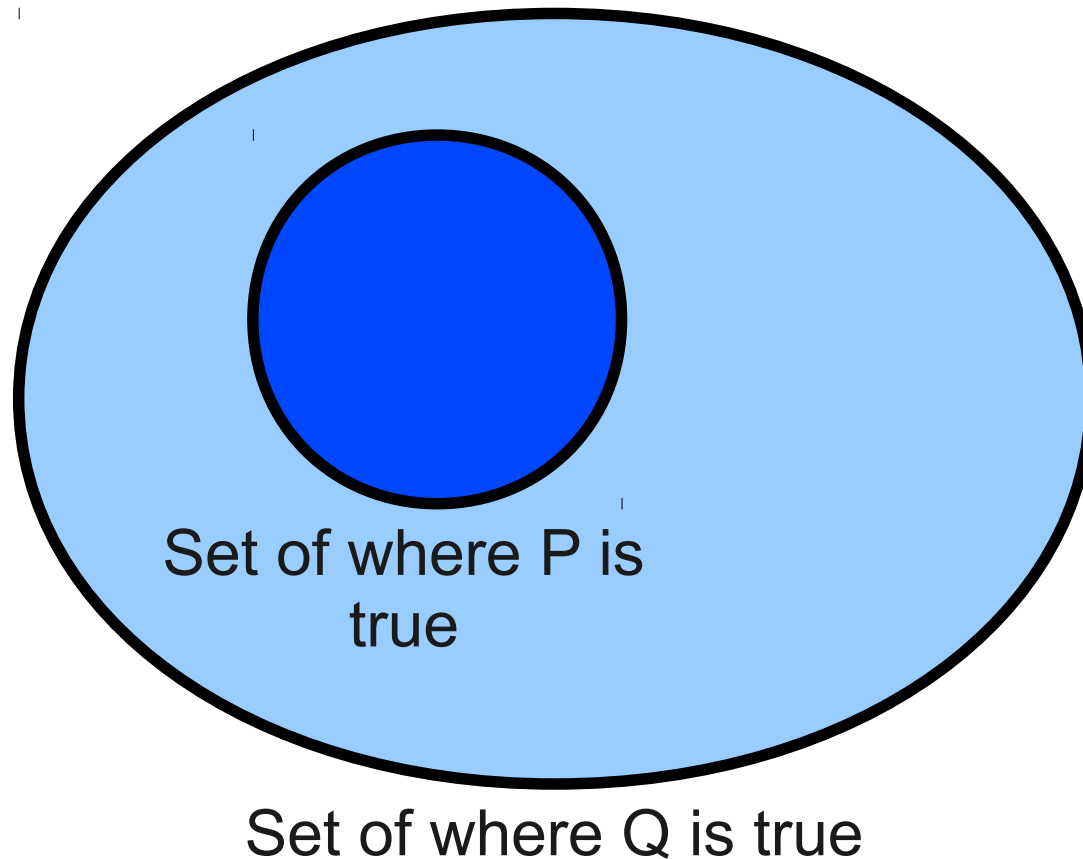


# A Common Mistake

- To show that  $P \rightarrow Q$  is false, it is **not** sufficient to find a case where  $P$  is false and  $Q$  is false.

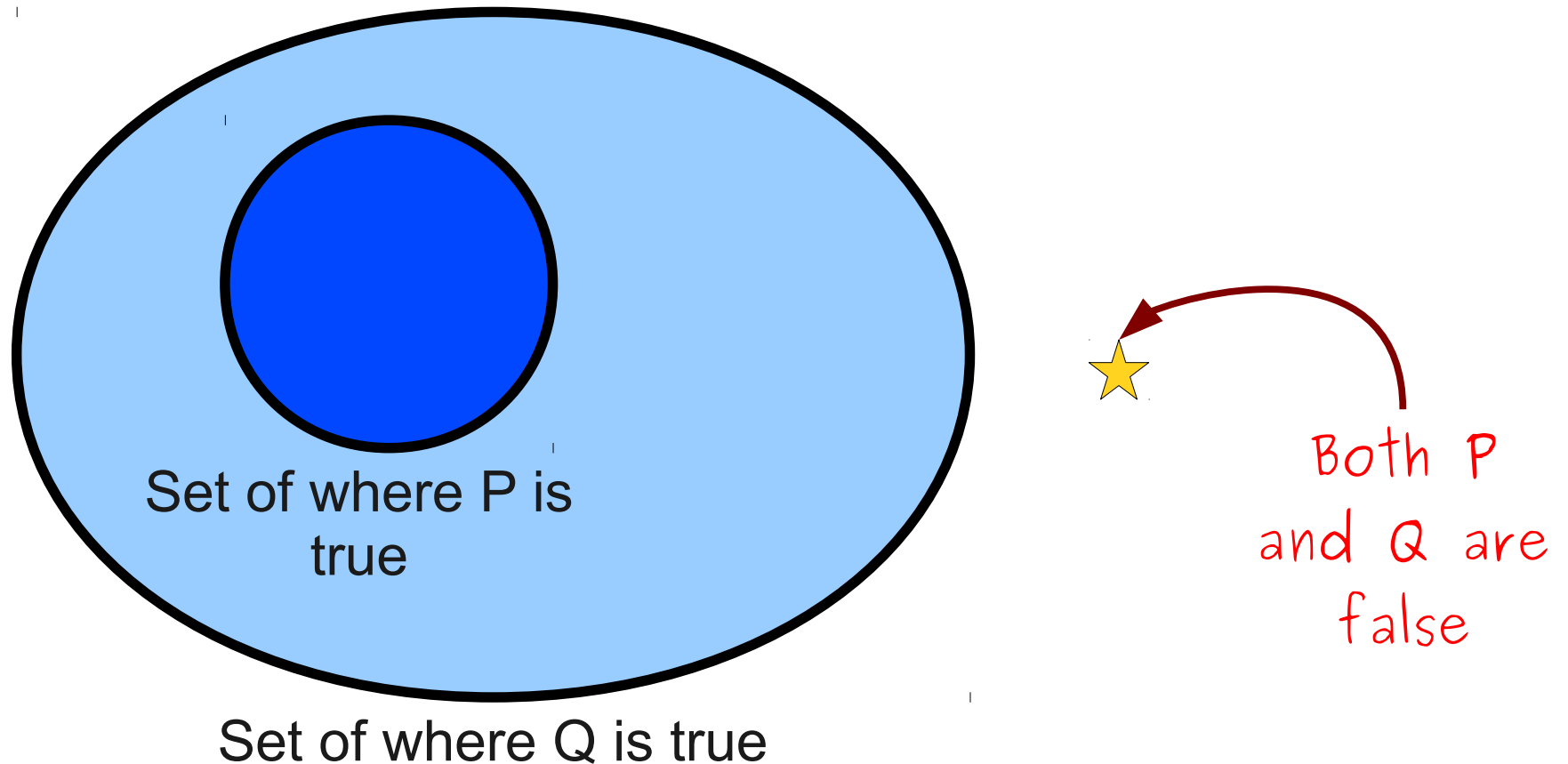
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# Proof by Contradiction

“When you have eliminated all which is impossible, then whatever remains, however improbable, must be the truth.”

- Sir Arthur Conan Doyle, *The Adventure of the Blanched Soldier*

# Proof by Contradiction

- A **proof by contradiction** is a proof that works as follows:
  - To prove that  $P$  is true, assume that  $P$  is not true.
  - Based on the assumption that  $P$  is not true, conclude something impossible.
  - Assuming the logic is sound, the only option is that the assumption that  $P$  is not true is incorrect.
  - Conclude, therefore, that  $P$  is true.

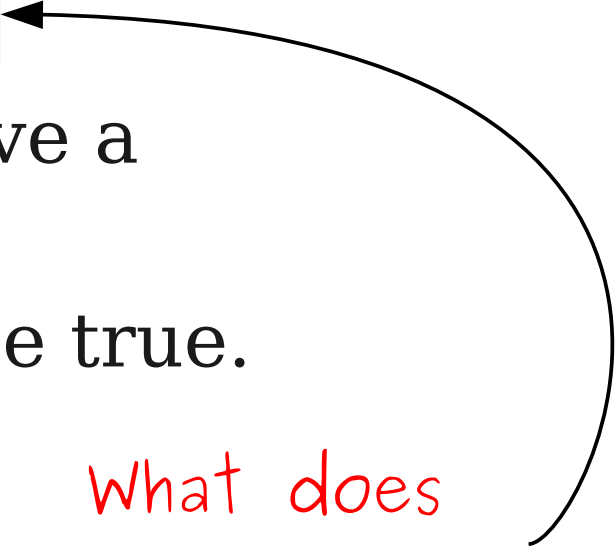
# Contradictions and Implications

- Suppose we want to prove that  $P \rightarrow Q$  is true by contradiction.
- The proof will look something like this:
  - Assume that  $P \rightarrow Q$  is false.
  - Using this assumption, derive a contradiction.
  - Conclude that  $P \rightarrow Q$  must be true.

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what does  
this mean?





# Contradictions and Implications

- Suppose we want to prove that  $P \rightarrow Q$  is true by contradiction.
- The proof will look something like this:
  - Assume that  **$P$  is true and  $Q$  is false.**
  - Using this assumption, derive a contradiction.
  - Conclude that  $P \rightarrow Q$  must be true.

# A Simple Proof by Contradiction

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Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ .

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Then  $n^2 = (2k + 1)^2$



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Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ .

$$\text{Then } n^2 = (2k + 1)^2 = 4k^2 + 4k + 1$$

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Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ .

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Now, let  $m = 2k^2 + 2k$ .

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# A Simple Proof by Contradiction

*Theorem:* If  $n^2$  is even, then  $n$  is even.

*Proof:* **By contradiction;** assume  $n^2$  is even but  $n$  is odd.

The three key pieces:

1. State that the proof is by contradiction.
2. State what the negation of the original statement is.
3. State you have reached a contradiction and what the contradiction entails.

You must include all three of these steps in your proofs!

We have reached a contradiction, so our assumption was false. Thus if  $n^2$  is even,  $n$  is even as well. ■

# Biconditionals

- Combined with what we saw on Wednesday, we have proven

If  $n$  is even,  $n^2$  is even.

If  $n^2$  is even,  $n$  is even.

- We sometimes write this as

$n$  is even **if and only if**  $n^2$  is even.

- This is often abbreviated

$n$  is even **iff**  $n^2$  is even.

or as

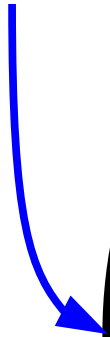
$n$  is even  $\leftrightarrow$   $n^2$  is even

- This is called a **biconditional**.

$$P \leftrightarrow Q$$

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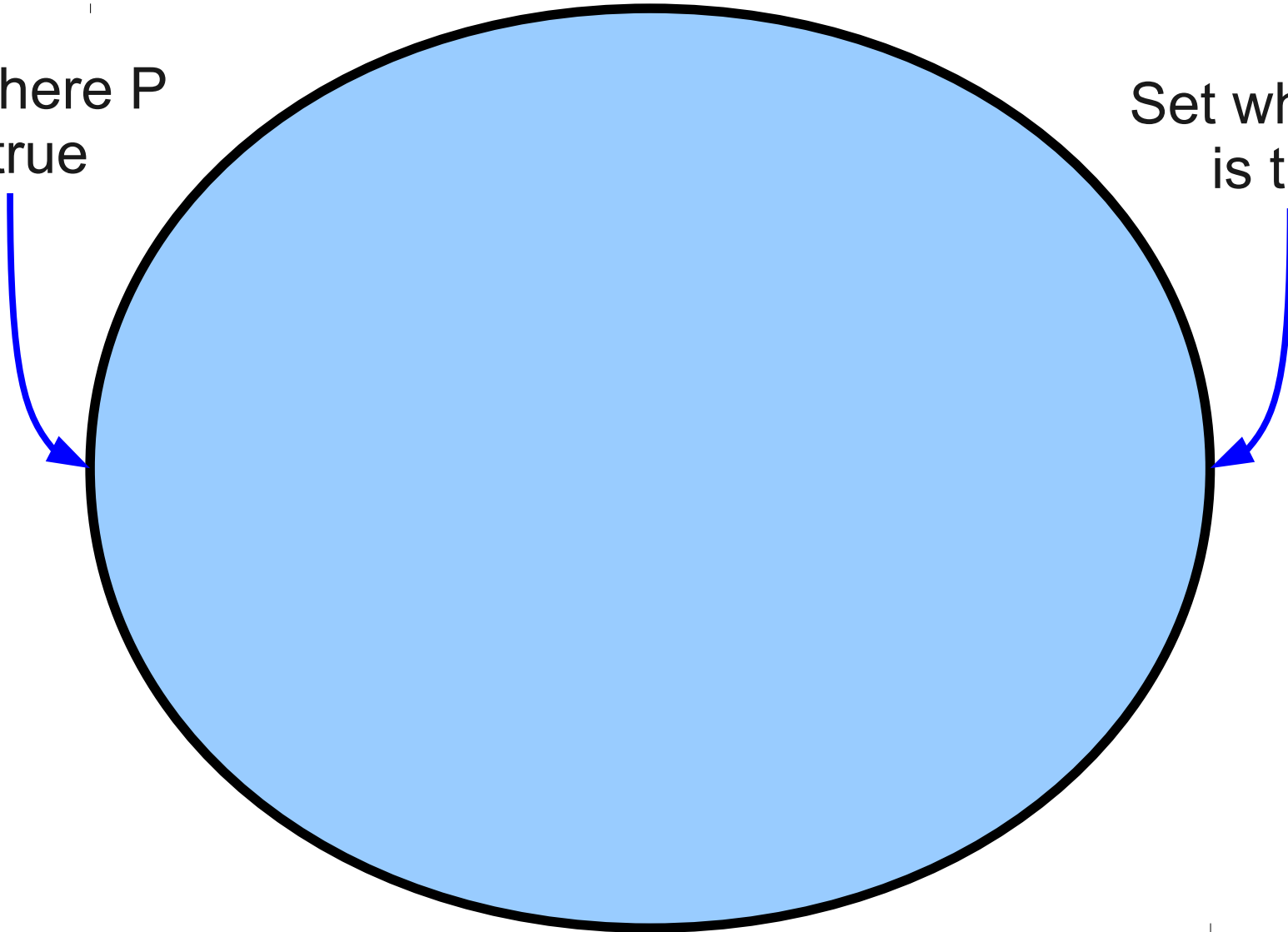
Set where P  
is true



$$P \leftrightarrow Q$$

Set where P  
is true

Set where Q  
is true



# Proving Biconditionals

- To prove  **$P$  iff  $Q$** , you need to prove that
  - **$P \rightarrow Q$** , and
  - **$Q \rightarrow P$** .
- You may use any proof techniques you'd like when doing so.
  - In our case, we used a direct proof and a proof by contradiction.
- **Just make sure to prove both directions of implication!**

# Rational and Irrational Numbers



# Rational and Irrational Numbers

- A **rational number** is a number  $r$  that can be written as

$$r = \frac{p}{q}$$

where

- $p$  and  $q$  are integers,
  - $q \neq 0$ , and
  - $p$  and  $q$  have no common divisors other than  $\pm 1$ .
- A number that is not rational is called **irrational**.

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# A Famous and Beautiful Proof

*Theorem:*  $\sqrt{2}$  is irrational.

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*Theorem:*  $\sqrt{2}$  is irrational.

*Proof:* By contradiction; assume  $\sqrt{2}$  is rational. Then there exists integers  $p$  and  $q$  such that  $q \neq 0$ ,  $p/q = \sqrt{2}$ , and  $p$  and  $q$  have no common divisors other than 1 and -1.

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Since  $p / q = \sqrt{2}$  and  $q \neq 0$ , we have  $p = \sqrt{2} q$



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Since  $p / q = \sqrt{2}$  and  $q \neq 0$ , we have  $p = \sqrt{2} q$ , so  $p^2 = 2q^2$ .

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Since  $p/q = \sqrt{2}$  and  $q \neq 0$ , we have  $p = \sqrt{2}q$ , so  $p^2 = 2q^2$ .

Since  $q^2$  is an integer and  $p^2 = 2q^2$ , we have that  $p^2$  is even.

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Since  $q^2$  is an integer and  $p^2 = 2q^2$ , we have that  $p^2$  is even. By our earlier result, since  $p^2$  is even, we know  $p$  is even.

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Therefore,  $2q^2 = p^2$

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The three key pieces:

1. state that the proof is by contradiction.
2. state what the negation of the original statement is.
3. state you have reached a contradiction and what the contradiction entails.

You must include all three of these steps in your proofs!

We have reached a contradiction, so our assumption was incorrect. Consequently,  $\sqrt{2}$  is irrational. ■

# A Word of Warning

- To attempt a proof by contradiction, make sure that what you're assuming actually is the opposite of what you want to prove!
- Otherwise, your **entire proof is invalid.**

# An Incorrect Proof

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$$5 \neq 1 + 2 + 3 + 4 = 10.$$

We have reached a contradiction, so our assumption is false and the theorem must be true.

Is this really the opposite of the original statement?

The contradiction of the universal statement

**For all  $x$ ,  $P(x)$  is true.**

is **not**

**For all  $x$ ,  $P(x)$  is false.**

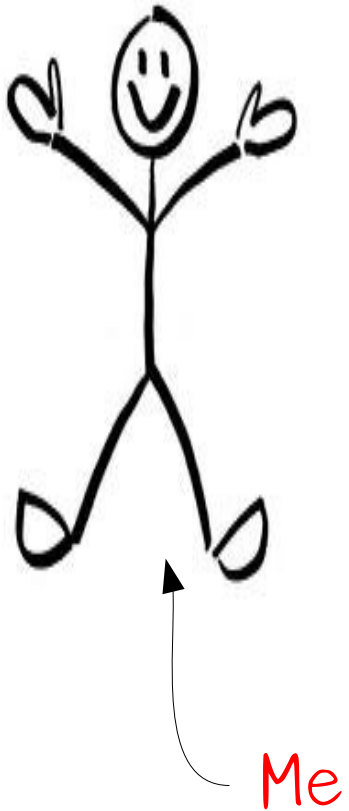
“All My Friends Are Taller Than Me”



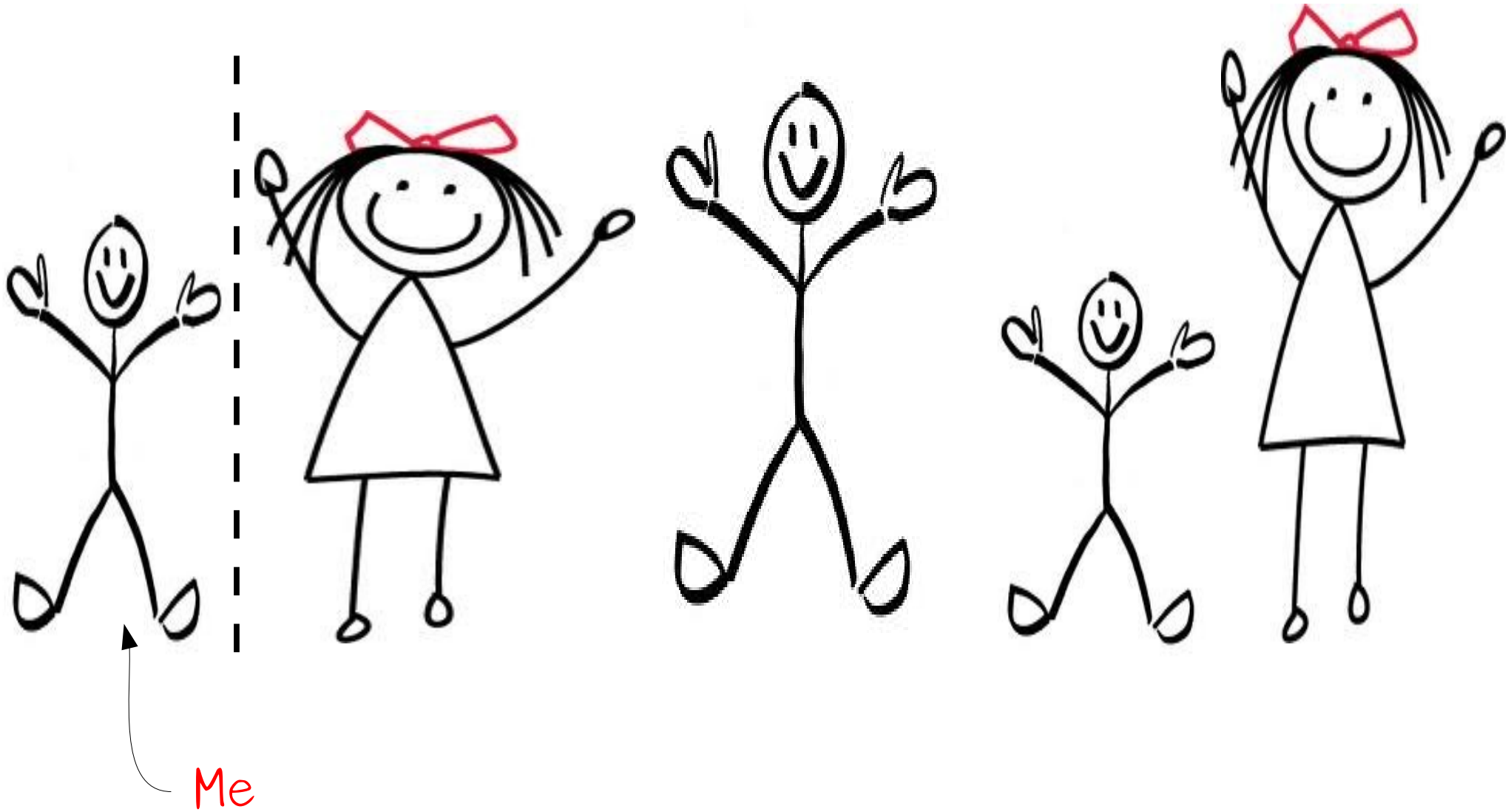
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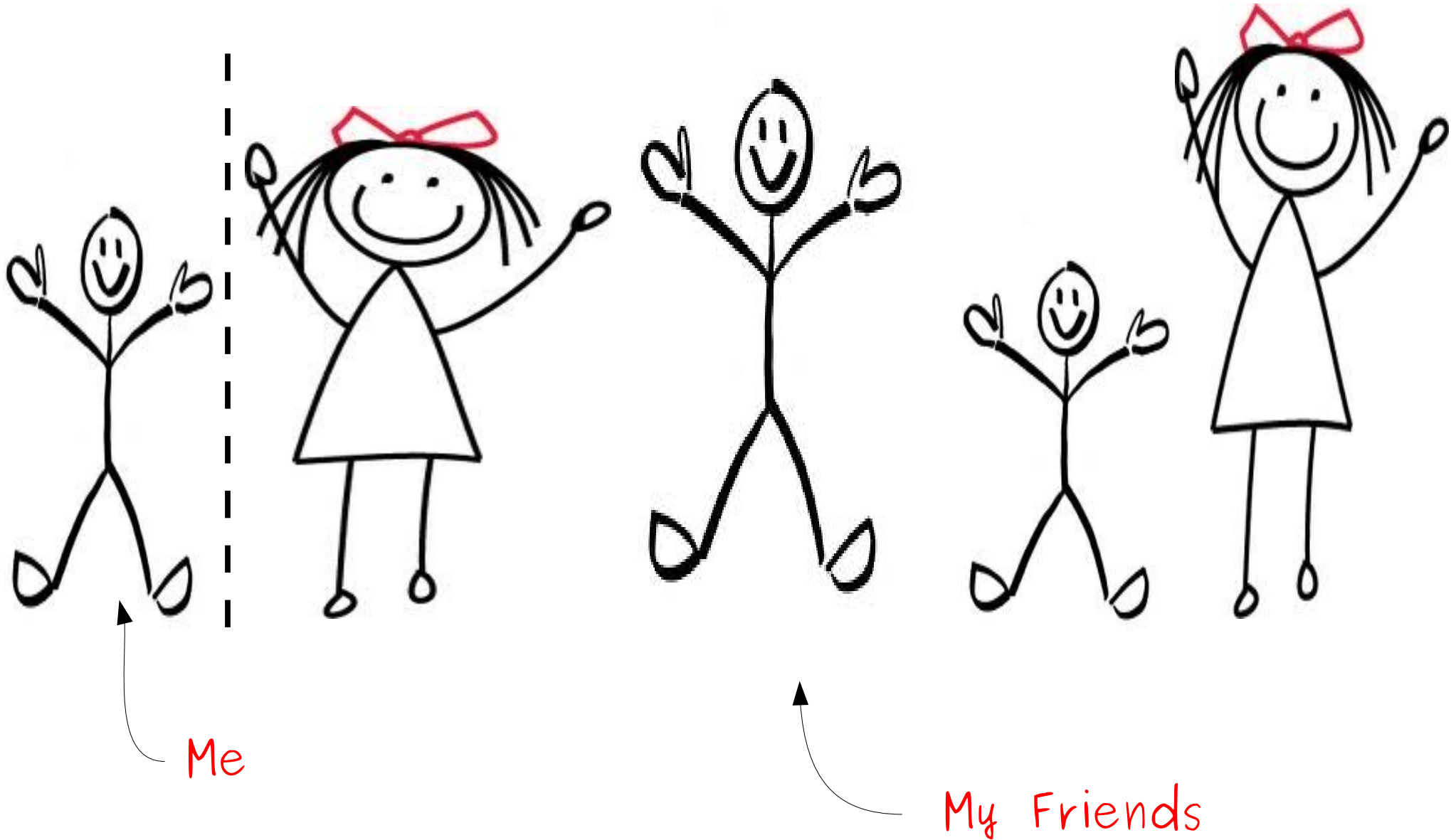
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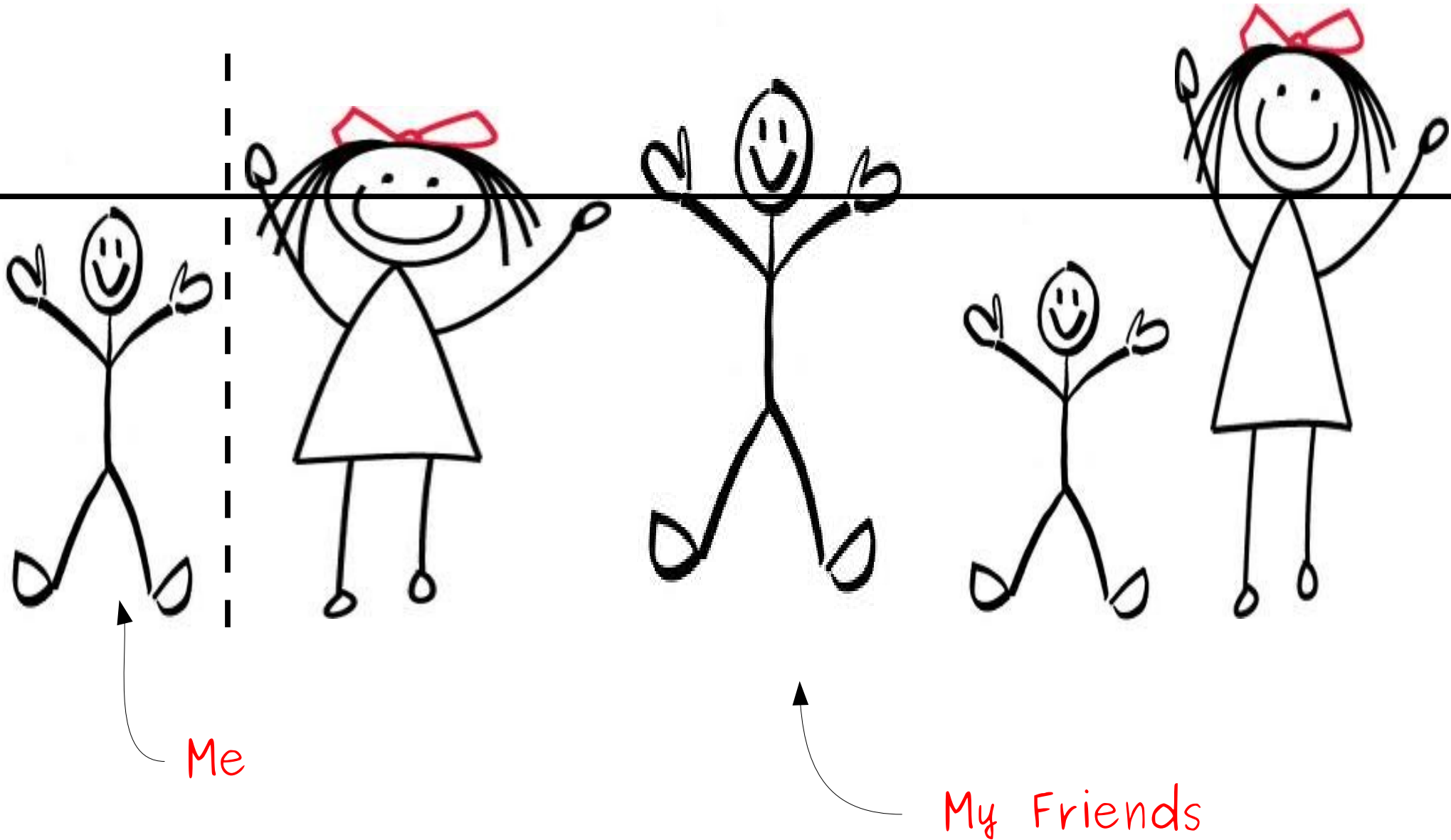
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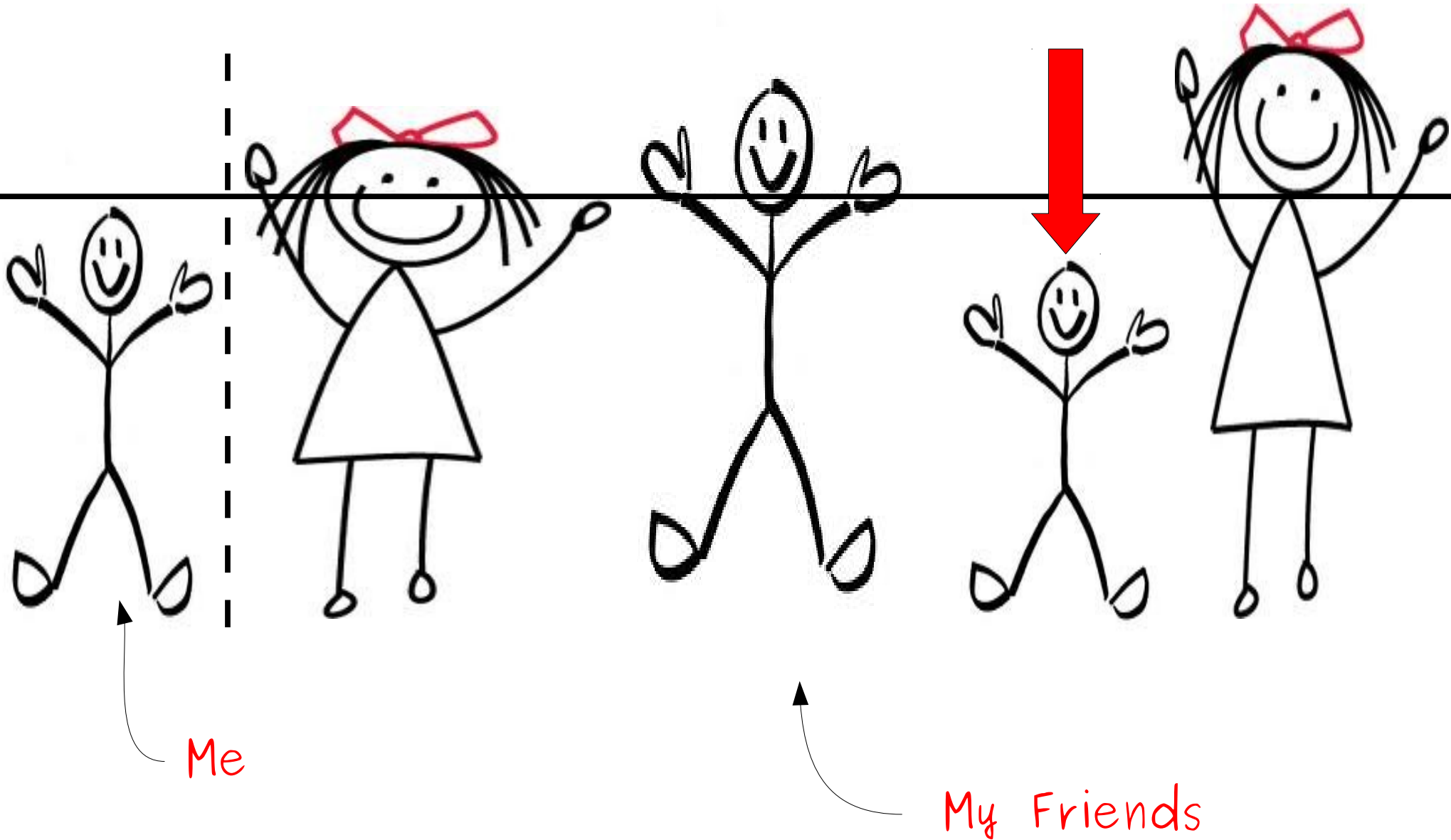
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For all natural numbers  $n$ ,  
the sum of all natural numbers  
smaller than  $n$  is not equal to  $n$ .

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For all natural numbers  $n$ ,  
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**becomes**

For all natural numbers  $n$ ,  
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**becomes**

There exists a natural number  $n$  such that  
“the sum of all natural numbers  
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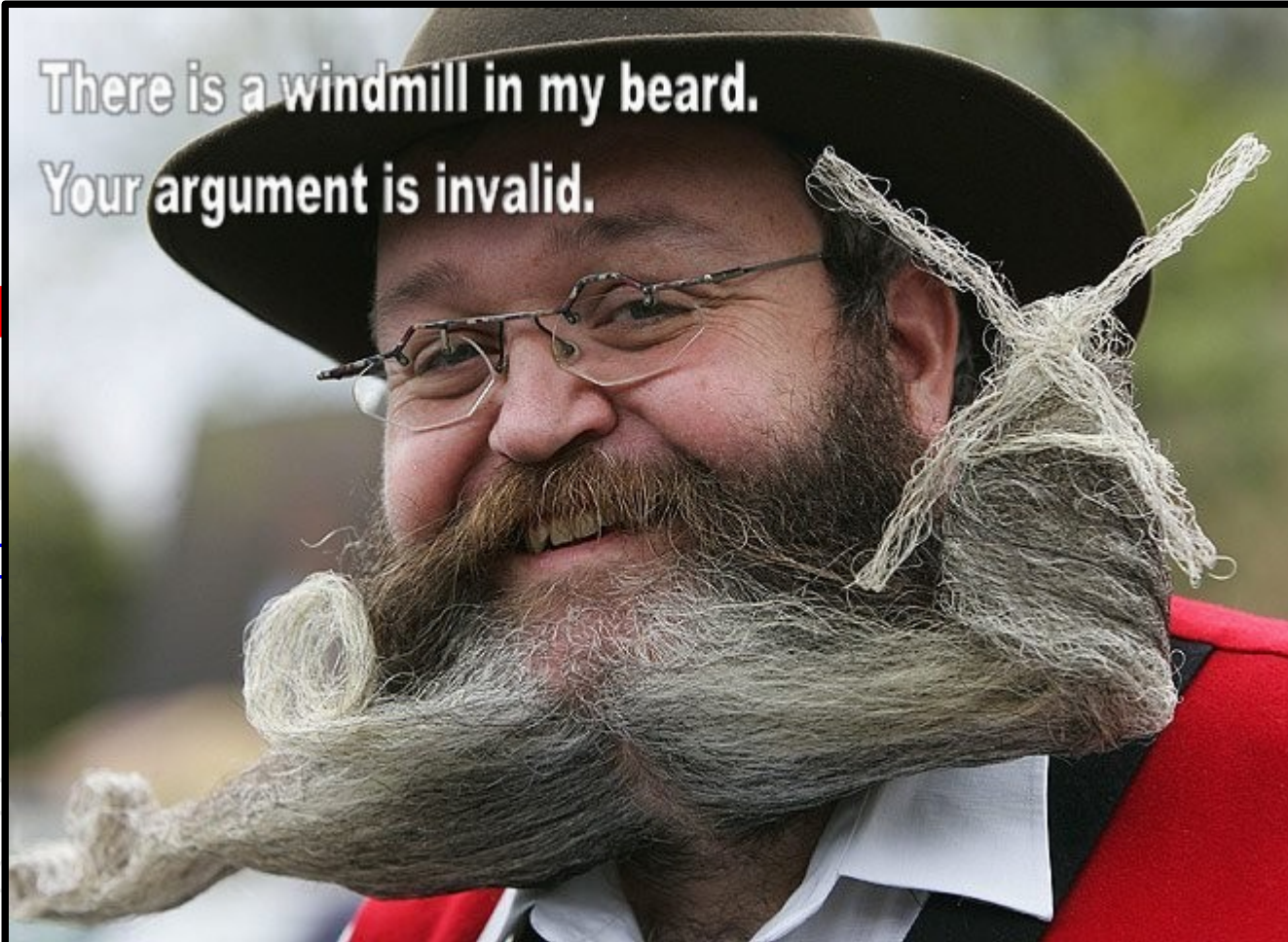
# An Incorrect Proof

There is a windmill in my beard.

Your argument is invalid.

*Theore*  
natural

*Proof:*  
number  
equal to  
 $5 \neq 1 +$   
contrad  
theorem



of all

natural  
bers is

d the



The contradiction of the existential statement

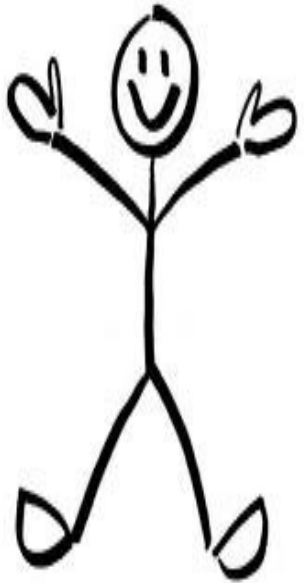
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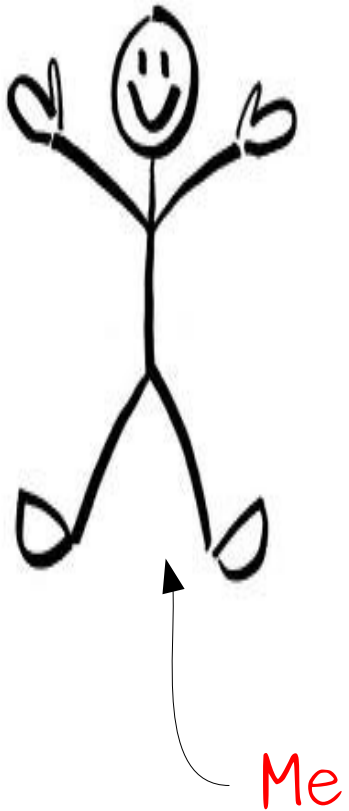
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“Some Friend Is Shorter Than Me”

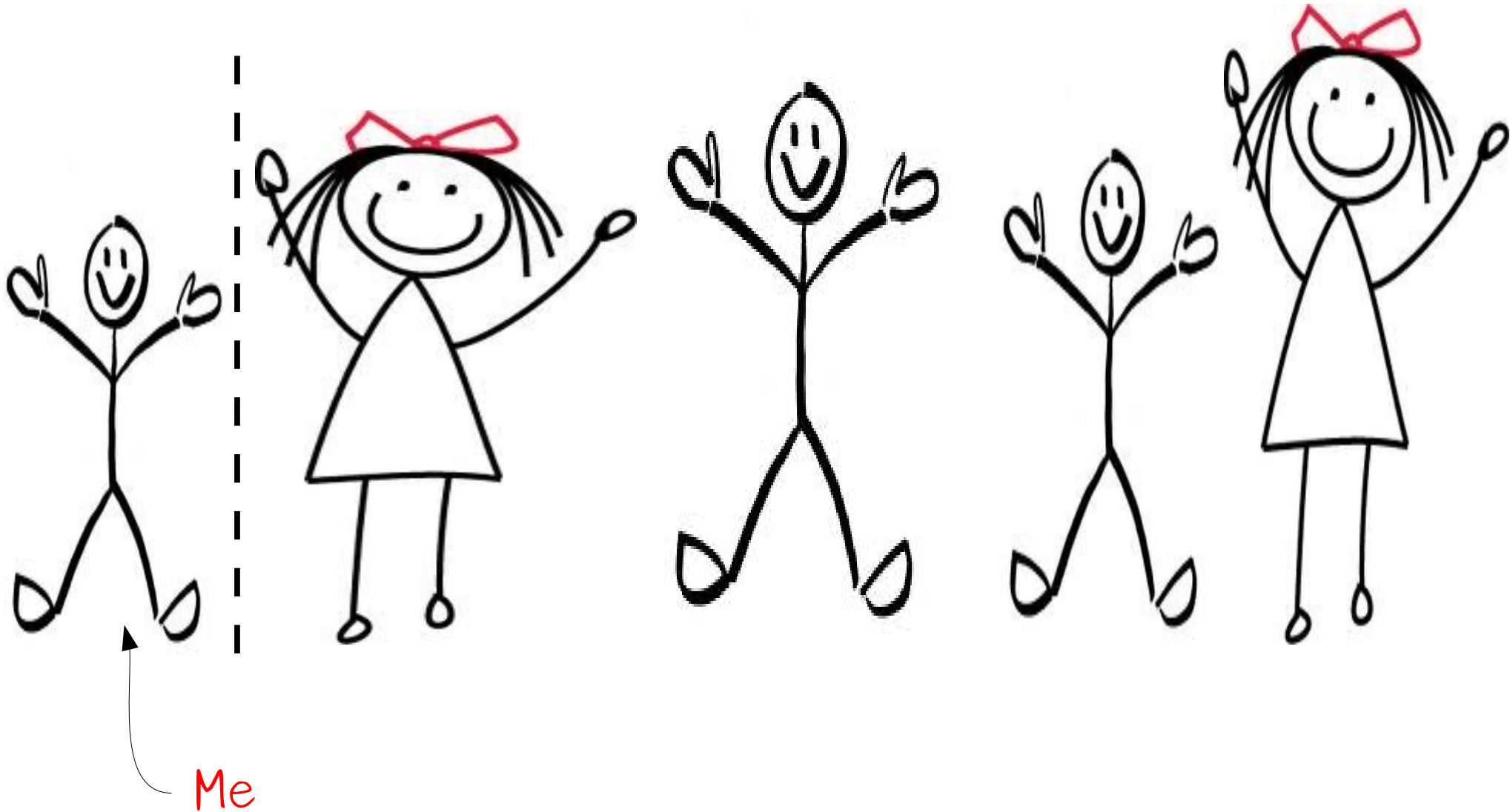
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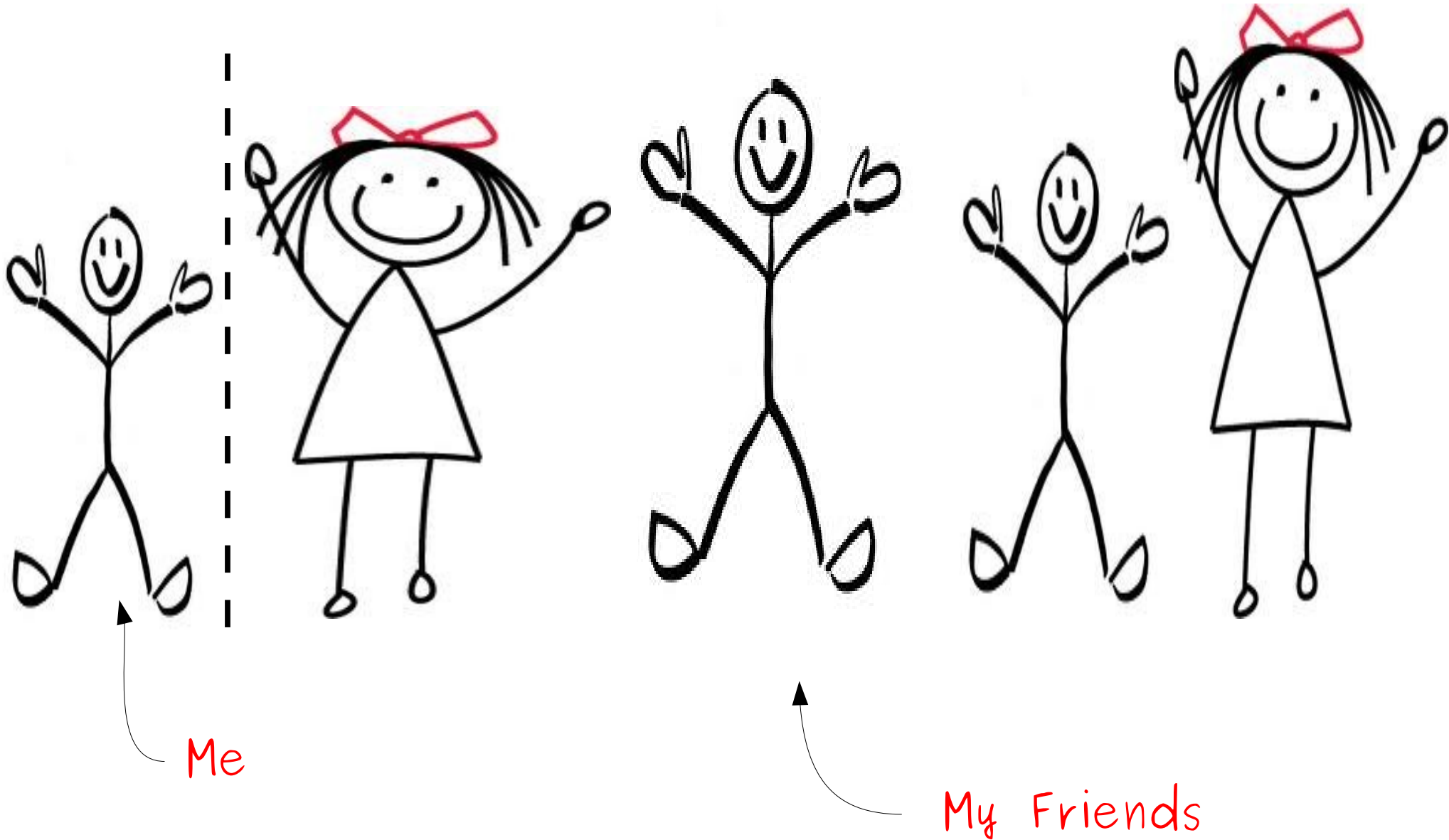
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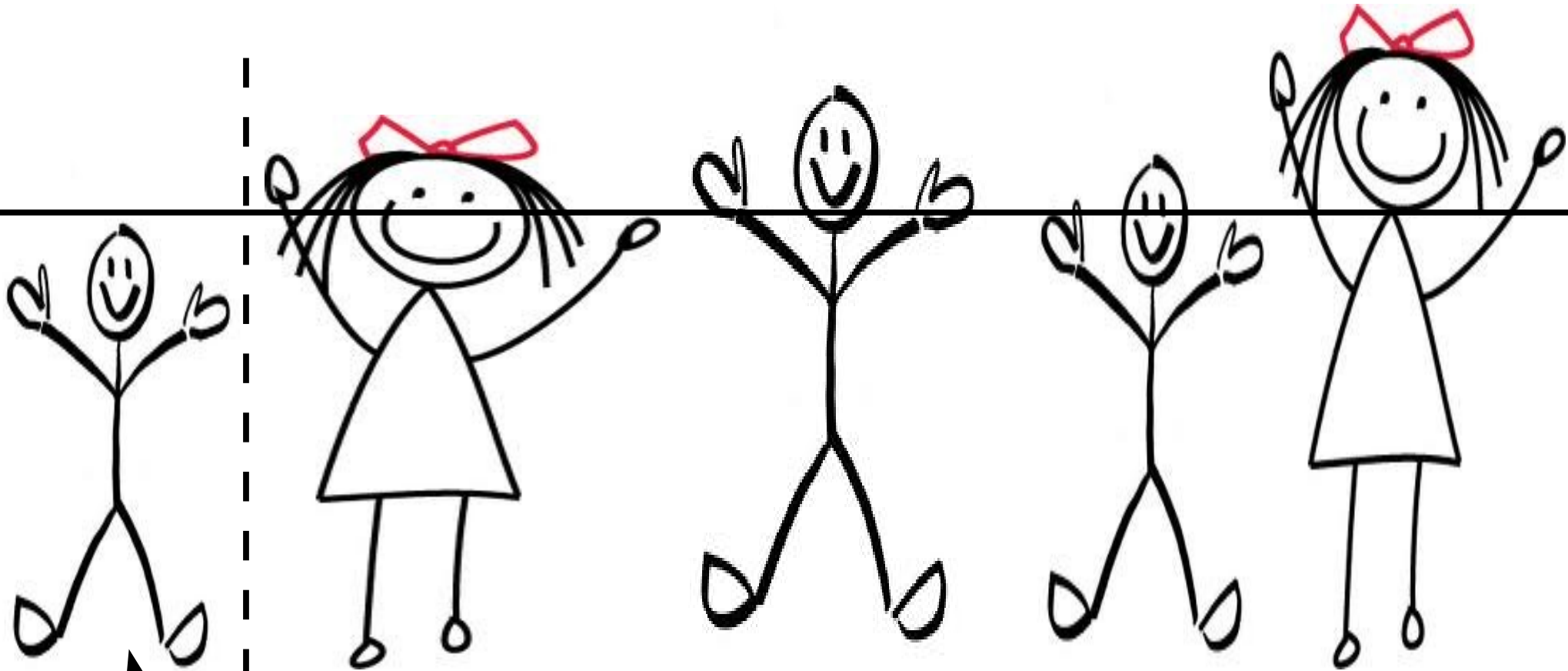
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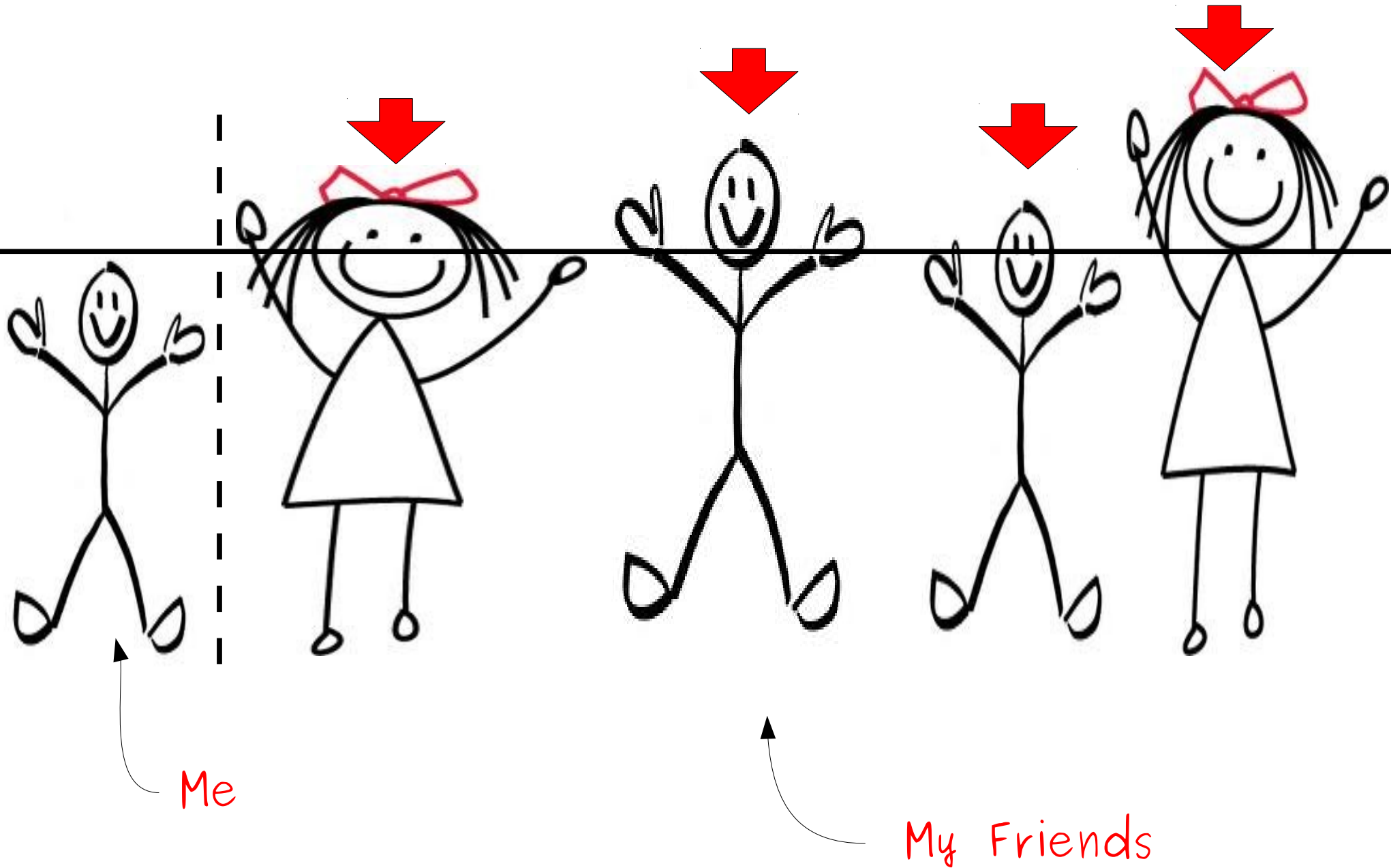
# “Some Friend Is Shorter Than Me”



Me

My Friends

# “Some Friend Is Shorter Than Me”





The contradiction of the existential  
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**There exists an  $x$  such that  $P(x)$  is true.**

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# A Terribly Flawed Proof

*Theorem:* There exists an integer  $n$  such that for every integer  $m$ ,  $m \leq n$ .

*Proof:* By contradiction; assume that there exists an integer  $n$  such that for every integer  $m$ ,  $m > n$ .

Since for any  $m$ , we have that  $m > n$  is true, it should be true when  $m = n - 1$ . Thus  $n - 1 > n$ . But this is impossible, since  $n - 1 < n$ .

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For every integer  $n$ ,  
**“for every integer  $m$ ,  $m \leq n$ ” is false.**

This statement is itself a  
universal statement! So let's  
use our existing techniques to  
find its negation.



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becomes

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Now that we have  
the negation, let's  
go replace it.

For every integer  $m$ ,  
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**becomes**

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 $m \leq n$**

**becomes**

**There exists an integer  $m$  such that  
 $m > n$**



# A Terribly Flawed Proof

*Theorem:* There exists an integer  $n$  such that for every integer  $m$ ,  $m \leq n$ .

*Proof:* By contradiction; assume that there exists an integer  $n$  such that for every integer  $m$ ,  $m > n$ .

Since for any  $m$ , we have that  $m > n$  is true, it should be true when  $m = n - 1$ . Thus  $n - 1 > n$ . But this is impossible, since  $n - 1 < n$ .

We have reached a contradiction, so our assumption was incorrect. Thus there exists an integer  $n$  such that for every integer  $m$ ,  $m \leq n$ . ■

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Since for any  $m$ , we have that  $m > n$  is true, it should be true for  $m = n$ .

But this is impossible.

**For every integer  $n$ ,  
There exists an integer  $m$  such that  
 $m > n$**

We have reached a contradiction. Thus the original assumption was incorrect. Thus there exists an integer  $n$  such that for every integer  $m$ ,  $m \leq n$ . ■

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Since for any integer  $m$ ,  $m > n$  is true, it should be true that for every integer  $m$ ,  $m > n$ .

But this is impossible. Therefore, there is no such  $n$  such that for every integer  $m$ ,  $m > n$ .

We have reached a contradiction. Therefore, the assumption was false. There exists an integer  $n$  such that for every integer  $m$ ,  $m \leq n$ . ■

**Lego snowmen are invading your house.**



**Your argument is invalid.**

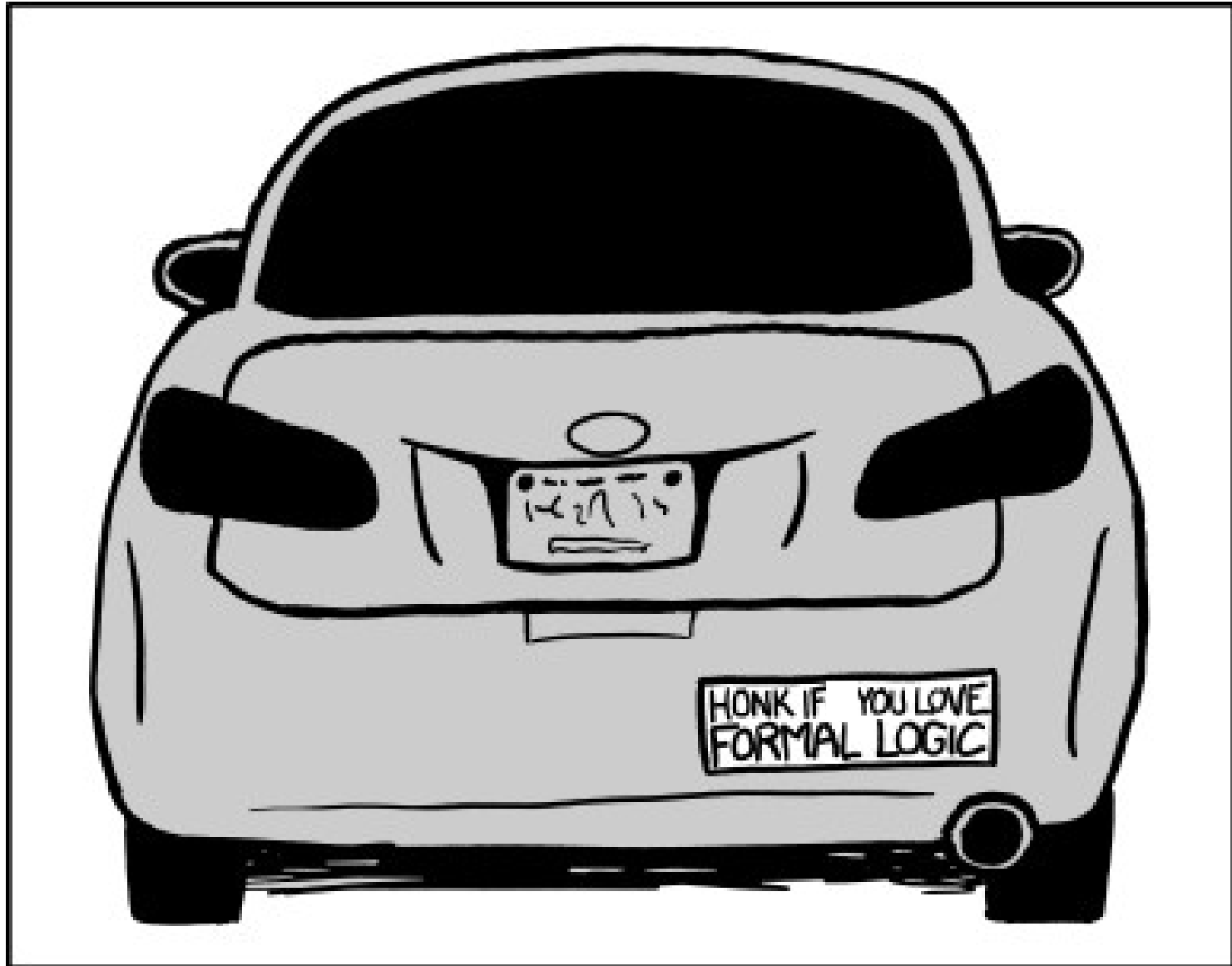
# The Story So Far

# For CS106B Students

- I will be holding a recap session M/W/F from 4:15 - 4:30 in my office (Gates 178) to recap the last fifteen minutes of lecture.
- Feel free to stop on by!

# Proof by Contrapositive

Honk **if** You Love Formal Logic





# Honk **if** You Love Formal Logic

Suppose that you're driving this car and you *don't* get honked at.

What can you say about the people driving behind you?



# The Contrapositive

- The **contrapositive** of “If  $P$ , then  $Q$ ” is the statement “If **not**  $Q$ , then **not**  $P$ .”
- Example:
  - “If I stored the cat food inside, then the raccoons wouldn't have stolen my cat food.”
  - Contrapositive: “If the raccoons stole my cat food, then I didn't store it inside.”
- Another example:
  - “If I had been a good test subject, then I would have received cake.”
  - Contrapositive: “If I didn't receive cake, then I wasn't a good test subject.”

# Notation

- Recall that we can write “If  $P$ , then  $Q$ ” as  $P \rightarrow Q$ .
- Notation: We write “not  $P$ ” as  $\neg P$ .
- Examples:
  - “If  $P$  is false, then  $Q$  is true:”  $\neg P \rightarrow Q$
  - “ $Q$  is false whenever  $P$  is false:”  $\neg P \rightarrow \neg Q$
- The contrapositive of  $P \rightarrow Q$  is  $\neg Q \rightarrow \neg P$ .

# An Important Result

*Theorem:* If  $\neg Q \rightarrow \neg P$ , then  $P \rightarrow Q$ .

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# An Important Proof Strategy

To show that  $P \rightarrow Q$ , you may instead show that  $\neg Q \rightarrow \neg P$ .

This is called a  
**proof by contrapositive.**



*Theorem:* If  $n^2$  is even, then  $n$  is even.

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**If**

$n^2$  is even

**then**

$n$  is even

---

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---

**If**

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**then**

$n$  is even

---

**If**

$n$  is odd

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Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ .

*Theorem:* If  $n^2$  is even, then  $n$  is even.

*Proof:* By contrapositive; we prove that if  $n$  is odd, then  $n^2$  is odd.

Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ . Then

$$n^2 = (2k + 1)^2$$

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*Proof:* By contrapositive; we prove that if  $n$  is odd, then  $n^2$  is odd.

Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ . Then

$$\begin{aligned}n^2 &= (2k + 1)^2 \\ &= 4k^2 + 4k + 1\end{aligned}$$

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*Proof:* By contrapositive; we prove that if  $n$  is odd, then  $n^2$  is odd.

Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ . Then

$$\begin{aligned}n^2 &= (2k + 1)^2 \\ &= 4k^2 + 4k + 1 \\ &= 2(2k^2 + 2k) + 1.\end{aligned}$$

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Since  $(2k^2 + 2k)$  is an integer,  $n^2$  is odd.

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*Proof:* **By contrapositive;** we prove that if  $n$  is odd, then  $n^2$  is odd.

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$$n^2 = 2(2k^2 + 2k) + 1.$$

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*Proof:* **By contrapositive; we prove that if  $n$  is odd, then  $n^2$  is odd.**

Since  $n$  is odd,  $n = 2k + 1$  for some integer  $k$ .

$n^2 =$   
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Notice the structure of the proof. We begin by announcing that it's a proof by contrapositive, then state the contrapositive, and finally prove it.

Since  $n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$ ,  $n^2$  is odd. ■

# An Incorrect Proof

*Theorem:* For any sets  $A$  and  $B$ ,  
if  $x \notin A \cap B$ , then  $x \notin A$ .

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Since  $x \in A \cap B$ ,  $x \in A$  and  $x \in B$ .  
Consequently,  $x \in A$  as required. ■

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# An Incorrect Proof

*Theorem:*

*Proof:*



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# Common Pitfalls

To prove  $P \rightarrow Q$  by contrapositive, show that

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**Do not** show that

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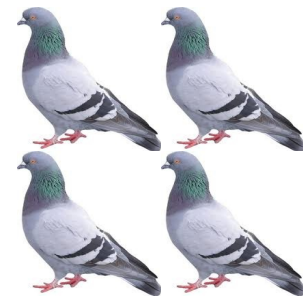
(Showing  $\neg P \rightarrow \neg Q$  proves that  $Q \rightarrow P$ , not the other way around!)



# The Pigeonhole Principle

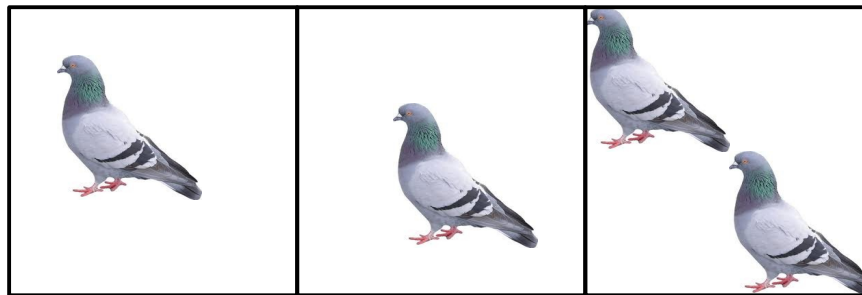
# The Pigeonhole Principle

- Suppose that you have  $n$  pigeonholes.
- Suppose that you have  $m > n$  pigeons.
- If you put the pigeons into the pigeonholes, some pigeonhole will have more than one pigeon in it.



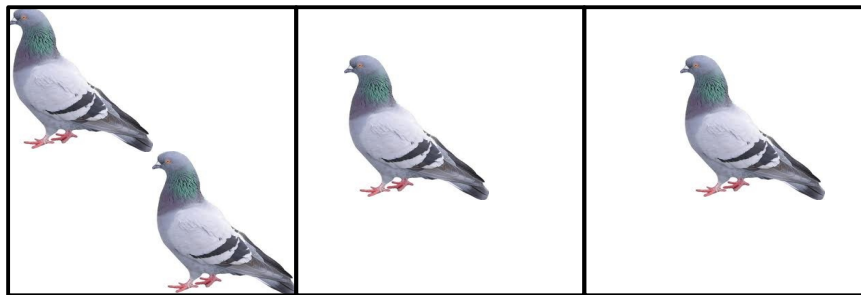
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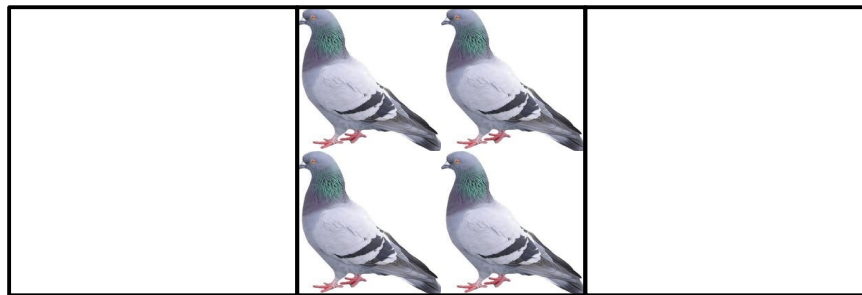
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*Theorem:* Let  $m$  objects be distributed into  $n$  bins. If  $m > n$ , then some bin contains at least two objects.

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*Proof:* By contrapositive; ???



**If**

$m > n$

**then**

there is some bin containing at least two objects

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$m > n$

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**If**

**If**

$m > n$

**then**

there is some bin containing at least two objects

---

**If**

“there is some bin containing at least two objects” is false

**If**

$m > n$

**then**

there is some bin containing at least two objects

---

**If**

every bin does not contain at least two objects

**If**

$m > n$

**then**

there is some bin containing at least two objects

---

**If**

every bin contains at most one object

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**If**

$$m > n$$

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**If**

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**then**

$$m \leq n$$



*Theorem:* Let  $m$  objects be distributed into  $n$  bins. If  $m > n$ , then some bin contains at least two objects.

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So  $m \leq n$ , as required.



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So  $m \leq n$ , as required. ■

# Using the Pigeonhole Principle

- The pigeonhole principle is an enormously useful lemma in many proofs.
  - If we have time, we'll spend a full lecture on it in a few weeks.
- General structure of a pigeonhole proof:
  - Find  $m$  objects to distribute into  $n$  buckets, with  $m > n$ .
  - Using the pigeonhole principle, conclude that some bucket has at least two objects in it.
  - Use this conclusion to show the desired result.

# Some Simple Applications

- Any group of 367 people must have a pair of people that share a birthday.
  - 366 possible birthdays (pigeonholes)
  - 367 people (pigeons)
- Two people in San Francisco have the exact same number of hairs on their head.
  - Maximum number of hairs ever found on a human head is no greater than 500,000.
  - There are over 800,000 people in San Francisco.
- Each day, two people in New York City drink the same amount of water, to the thousandth of a fluid ounce.
  - No one can drink more than 50 gallons of water each day.
  - That's 6,400 fluid ounces. This gives 6,400,000 possible numbers of thousands of fluid ounces.
  - There are about 8,000,000 people in New York City proper.

# Next Time

- **Proof by Induction**
  - Proofs on sums, programs, algorithms, etc.