

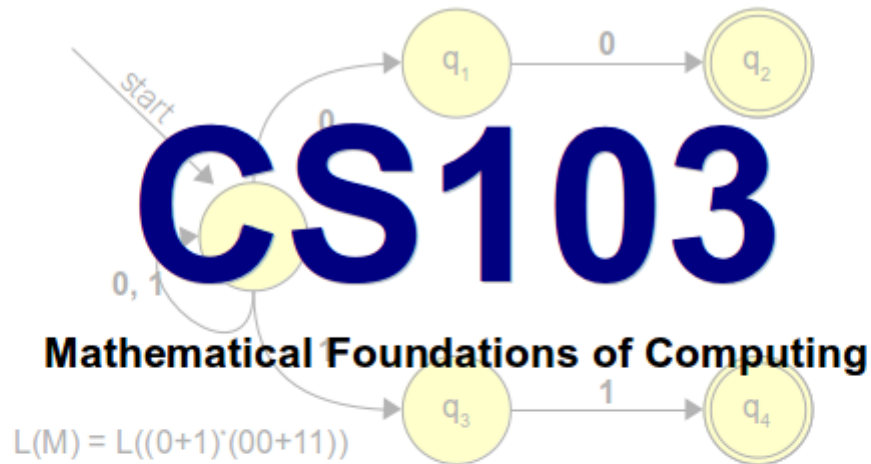
Finite Automata

Part Three

Friday Four Square!
Today at 4:15PM, Outside Gates.

Announcements

- Problem Set 4 due right now.
- Problem Set 5 out, due next Friday, November 2.
 - Play around with finite automata and regular languages.
 - **No checkpoint problems.**



Handouts

- 00: Course Information
- 01: Syllabus
- 02: Prior Experience Survey
- 07: Diagonalization
- 10: Practice Midterm
- 10S: Practice Midterm Solns
- 12: Practice Midterm 2

Resources

- Course Notes
- Definitions and Theorems
- Office Hours Schedule
- Lecture Videos
- Grades
- DFA/NFA Developer**

will be on **Monday**,
 DPM in Cubberly
 open-book, open-note,
 network. It covers
 including the lecture on

Midterm

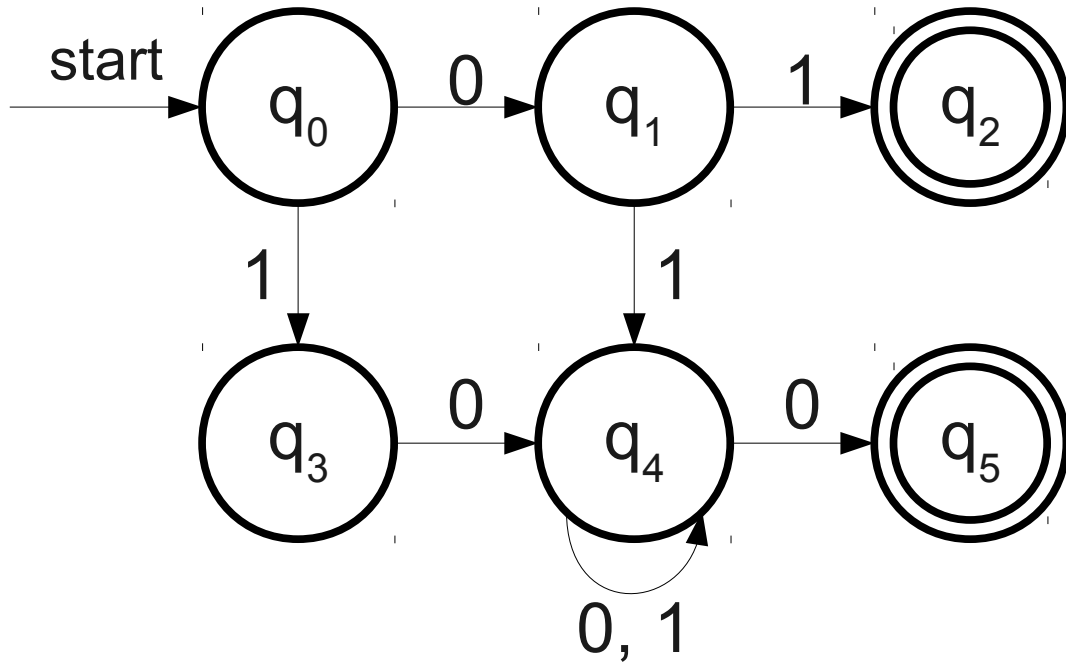
- Midterm is next **Monday, October 29** in **Cubberly Auditorium** from **7PM - 10PM**.
- Covers material up through and including this Monday's lecture on finite automata and DFAs.
- Review session this **Saturday, October 27** in **Gates 104** at **2PM**.

Designing NFAs

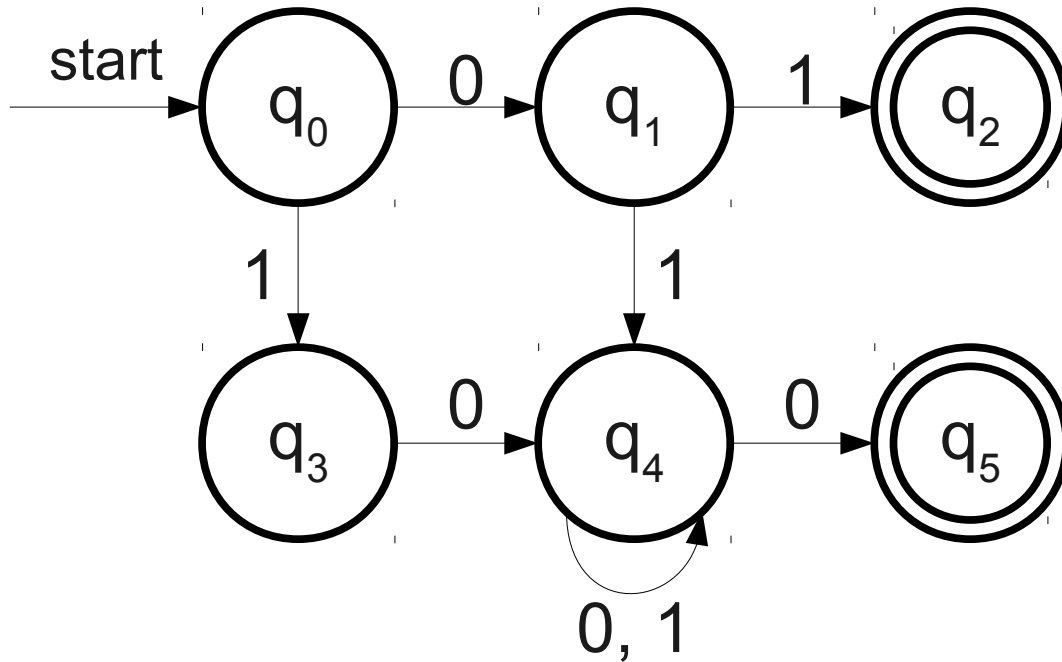
NFAs

- An **NFA** is a
 - **N**ondeterministic
 - **F**inite
 - **A**utomaton
- Conceptually similar to a DFA, but equipped with the vast power of **nondeterminism**.
- There can be many or no transitions defined on certain inputs.
- An NFA accepts a string if *any* series of choices causes the string to enter an accepting state.

Perfect Guessing

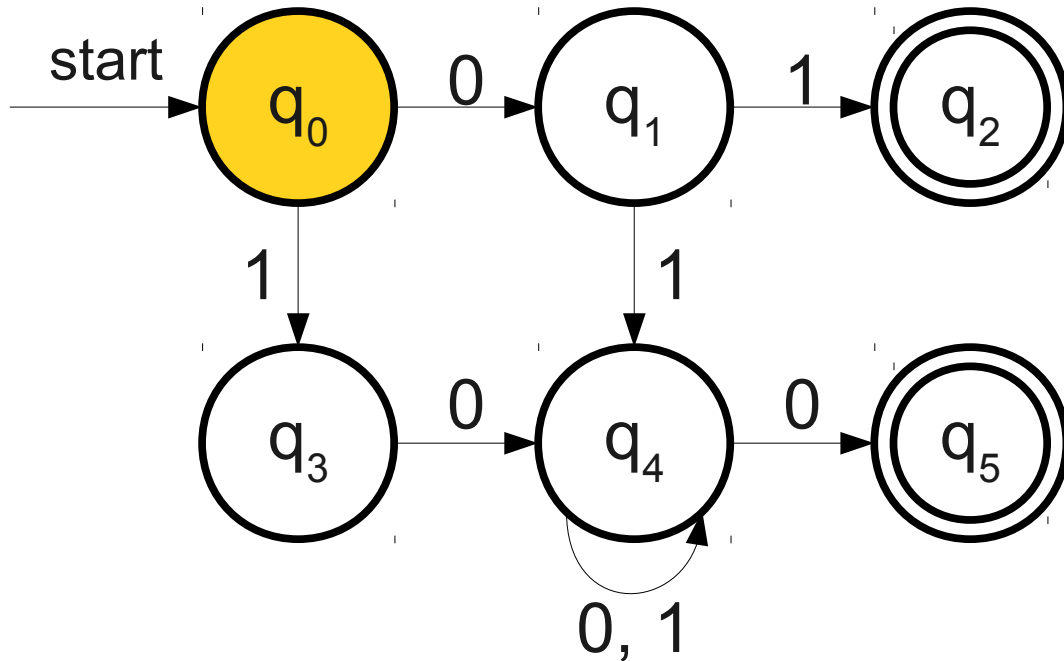


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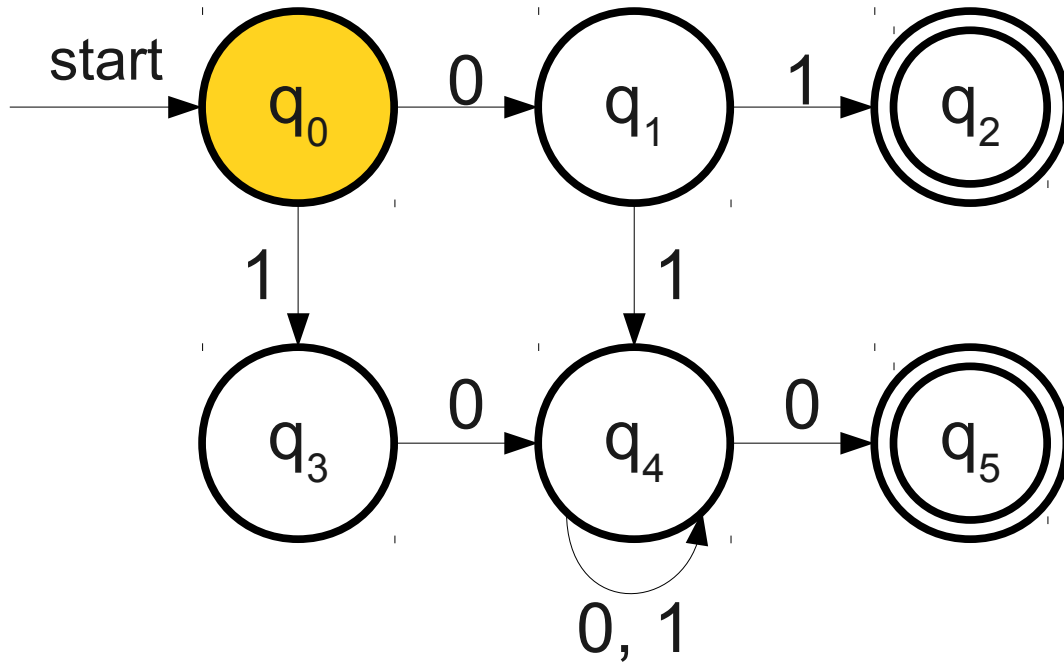
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Perfect Guessing

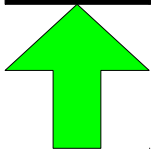


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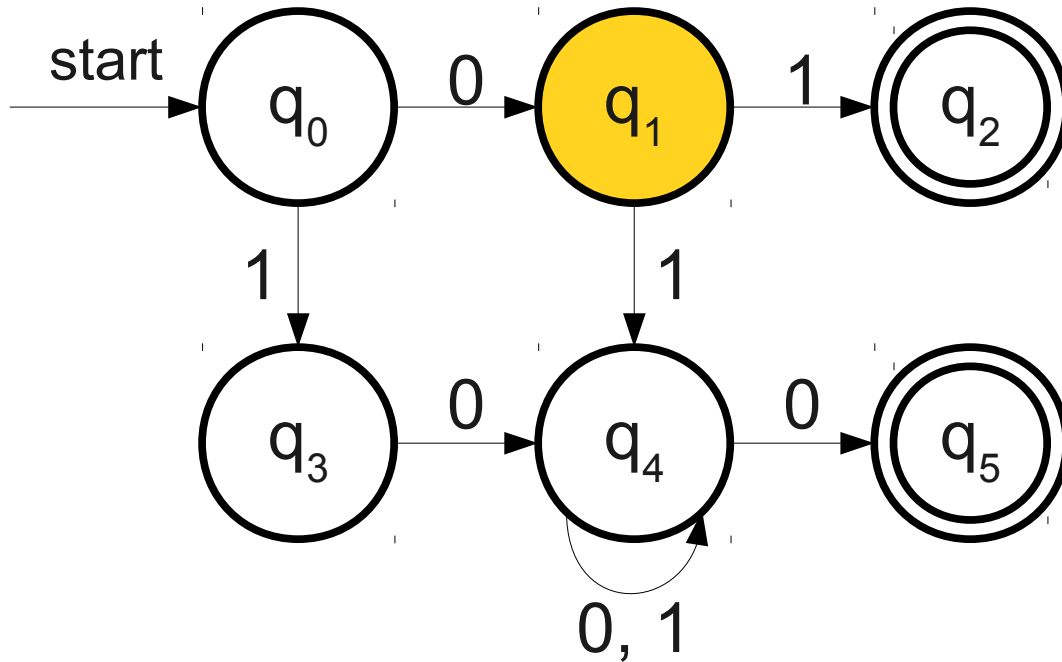
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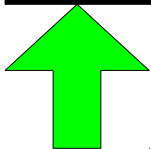
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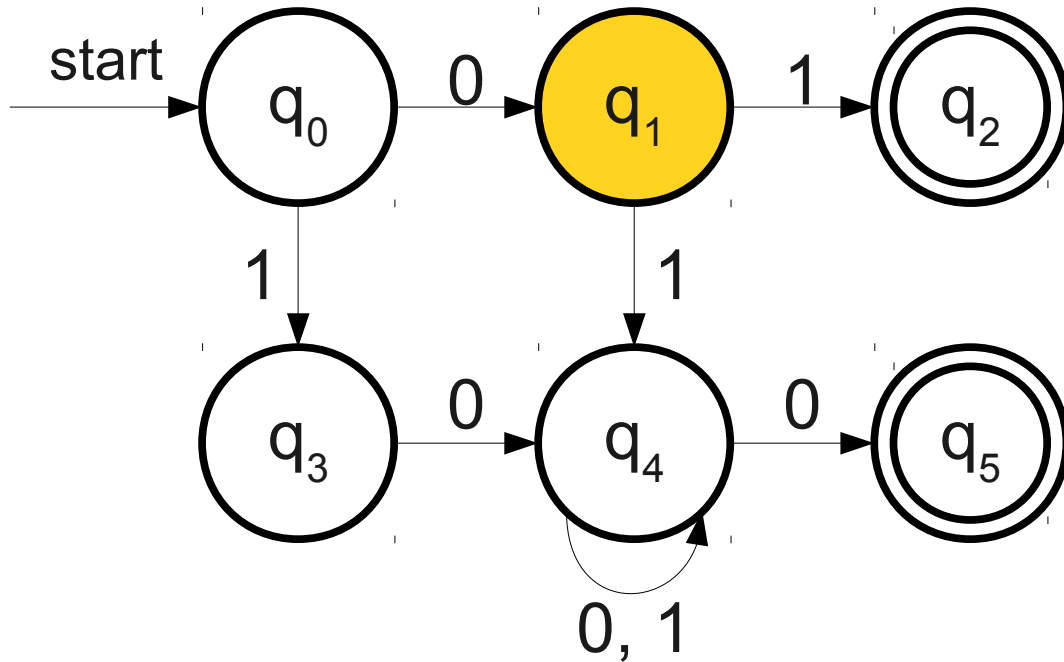
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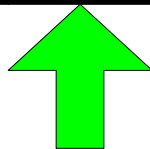
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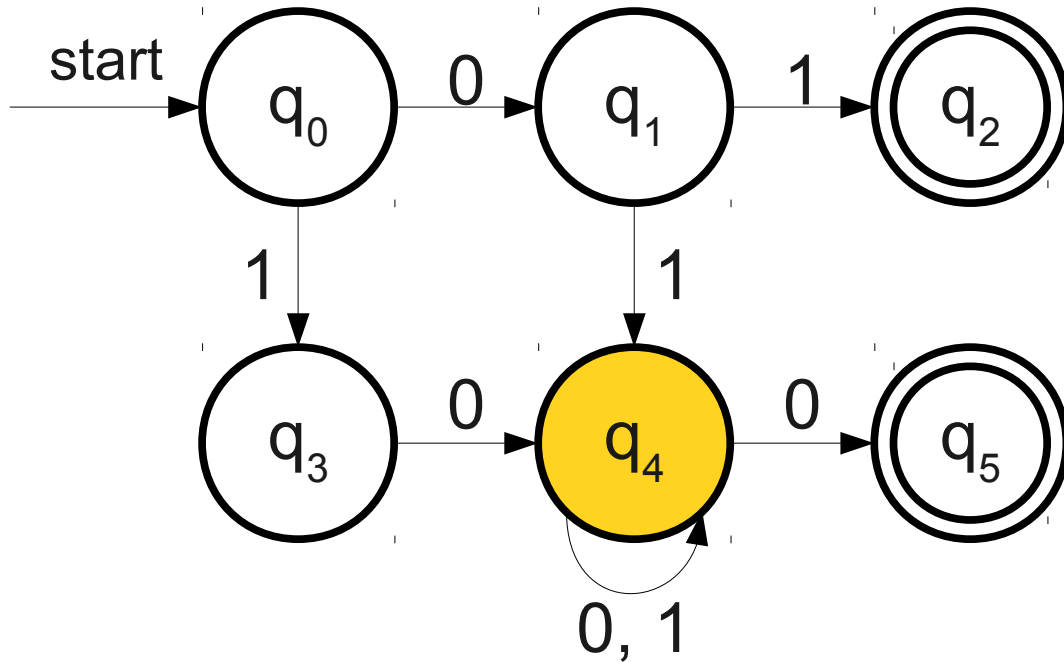
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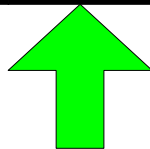
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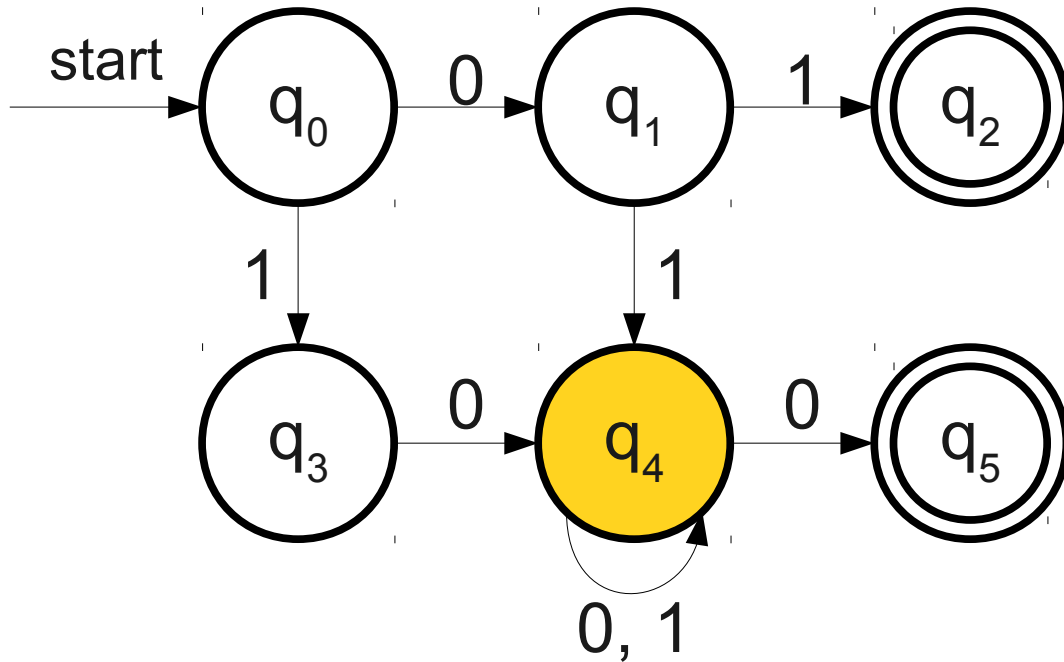
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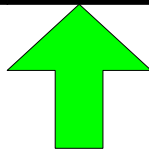
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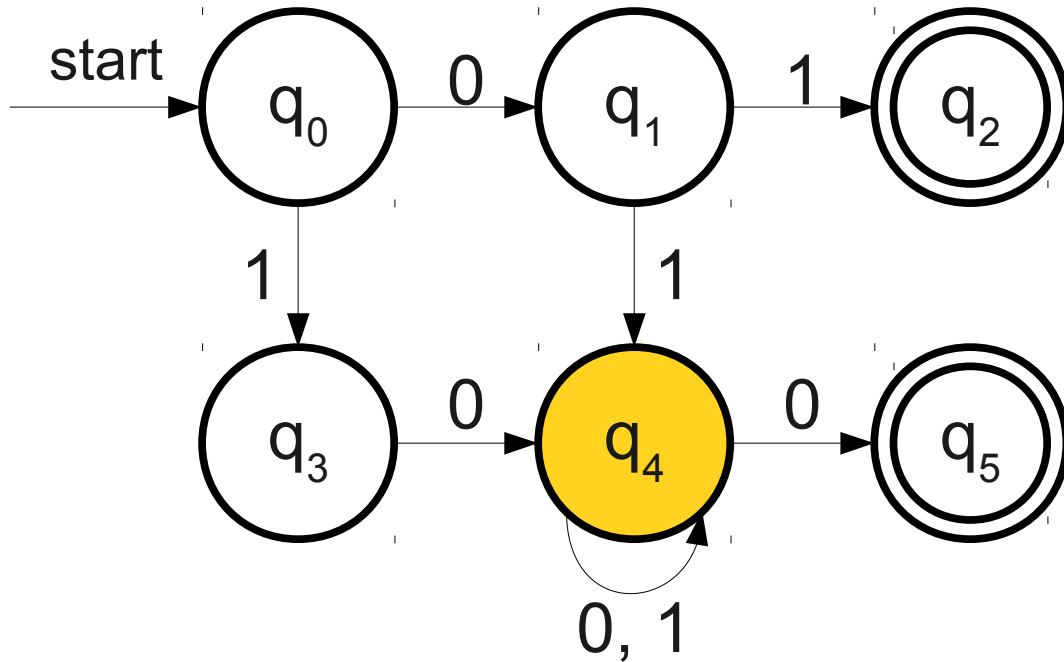
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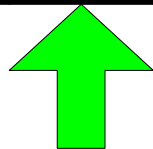
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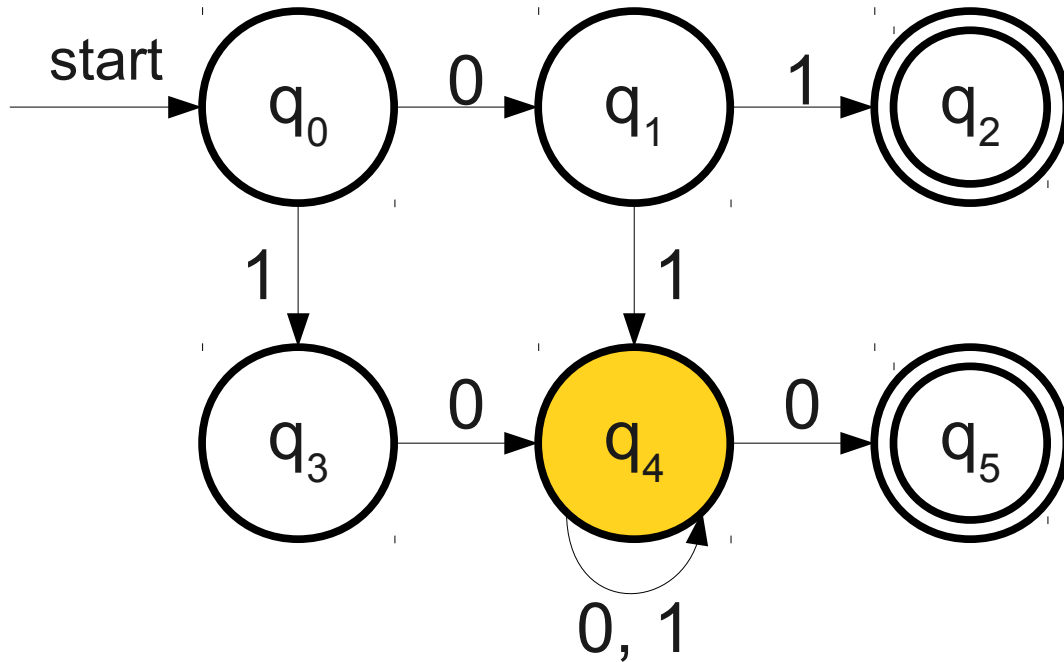
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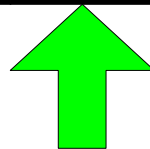
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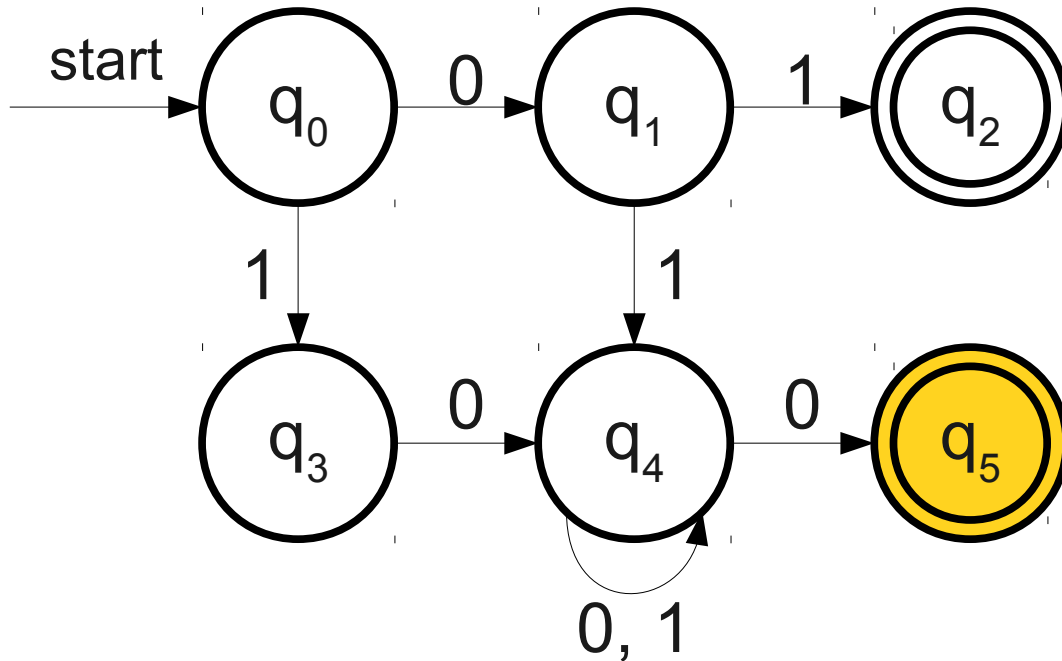
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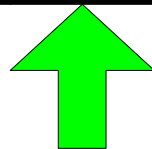
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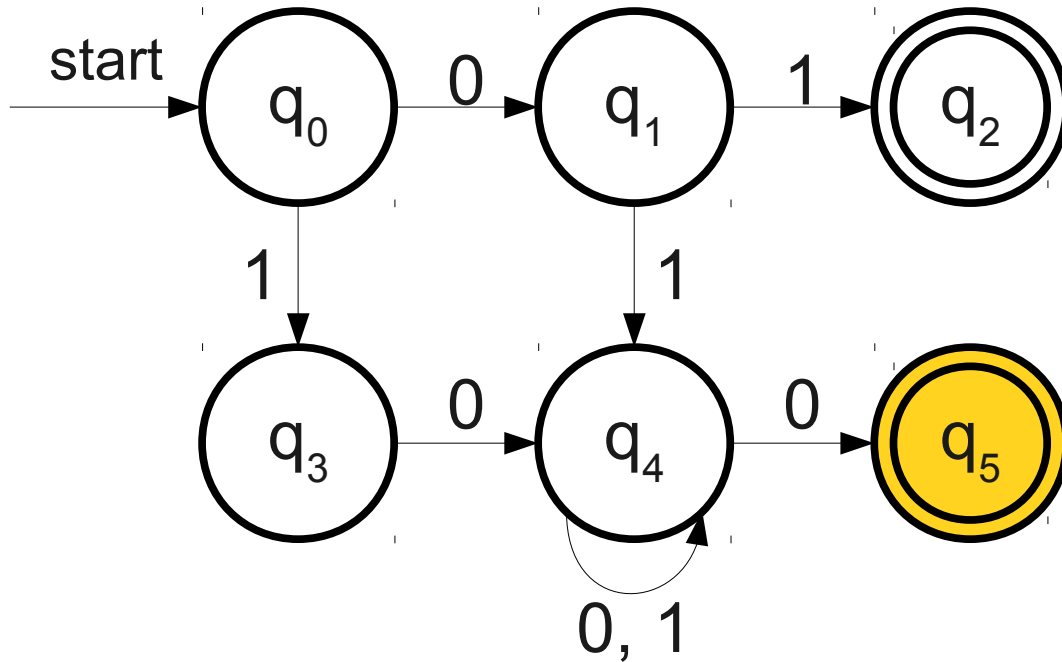
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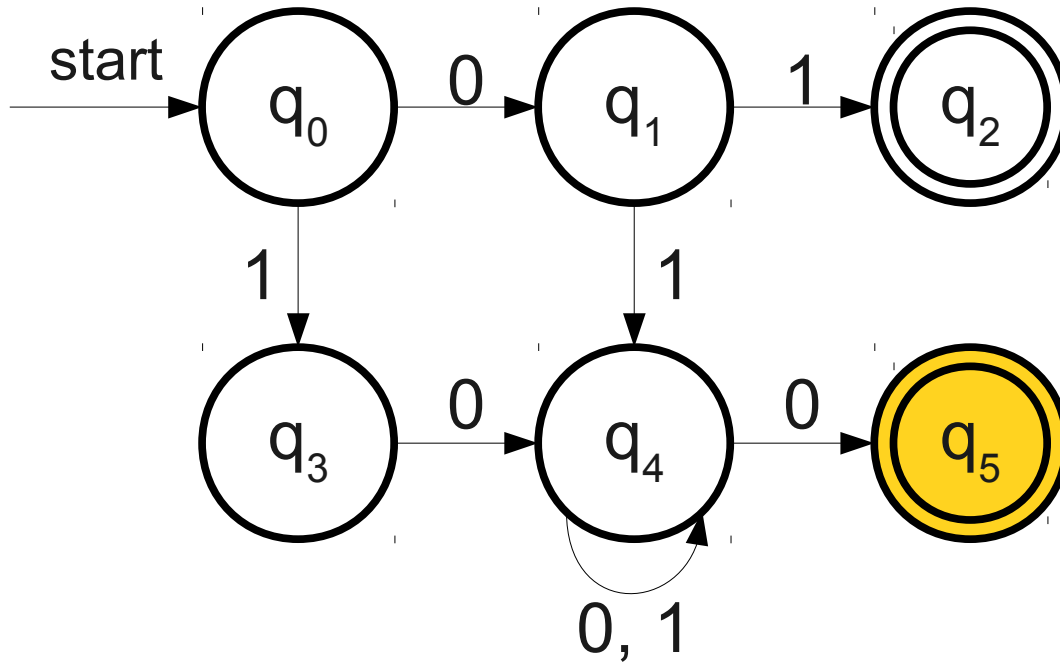


Perfect Guessing



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Designing NFAs

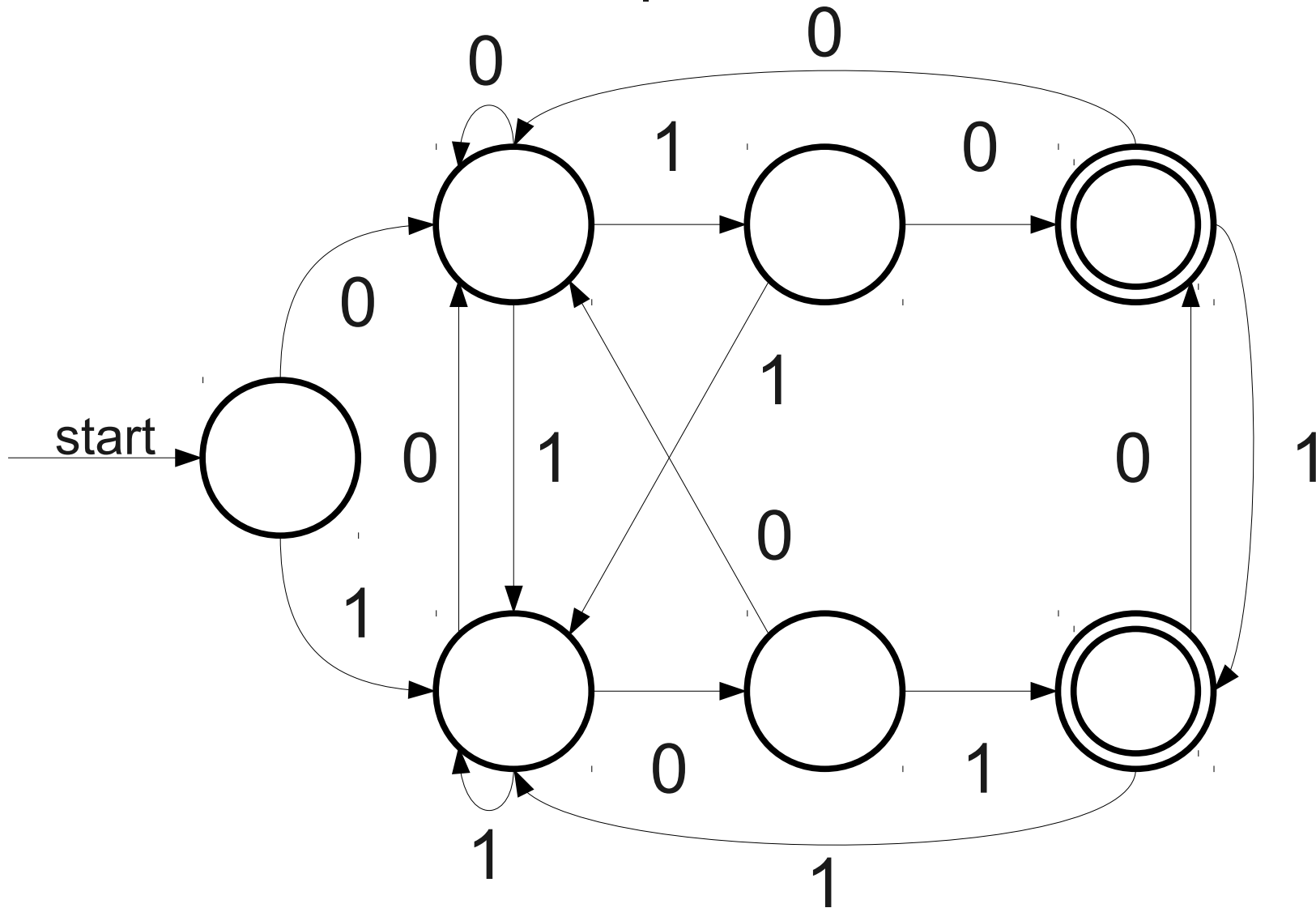
- When designing NFAs, *embrace the nondeterminism!*
- Good model: **Guess-and-check:**
 - Have the machine *nondeterministically guess* what the right choice is.
 - Have the machine *deterministically check* that the choice was correct.

Guess-and-Check

$$L = \{ w \in \{0, 1\}^* \mid w \text{ ends in } 010 \text{ or } 101 \}$$

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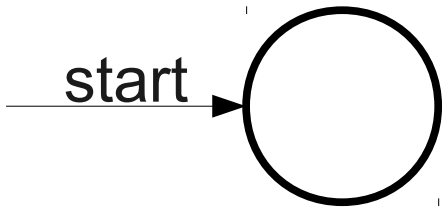


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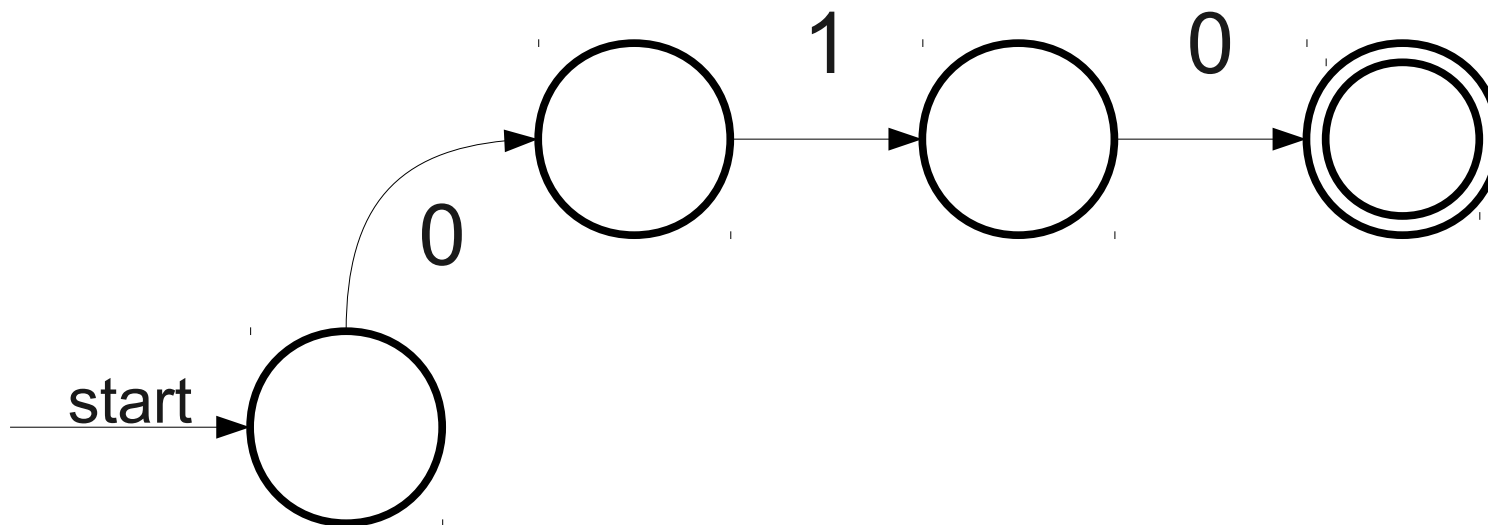
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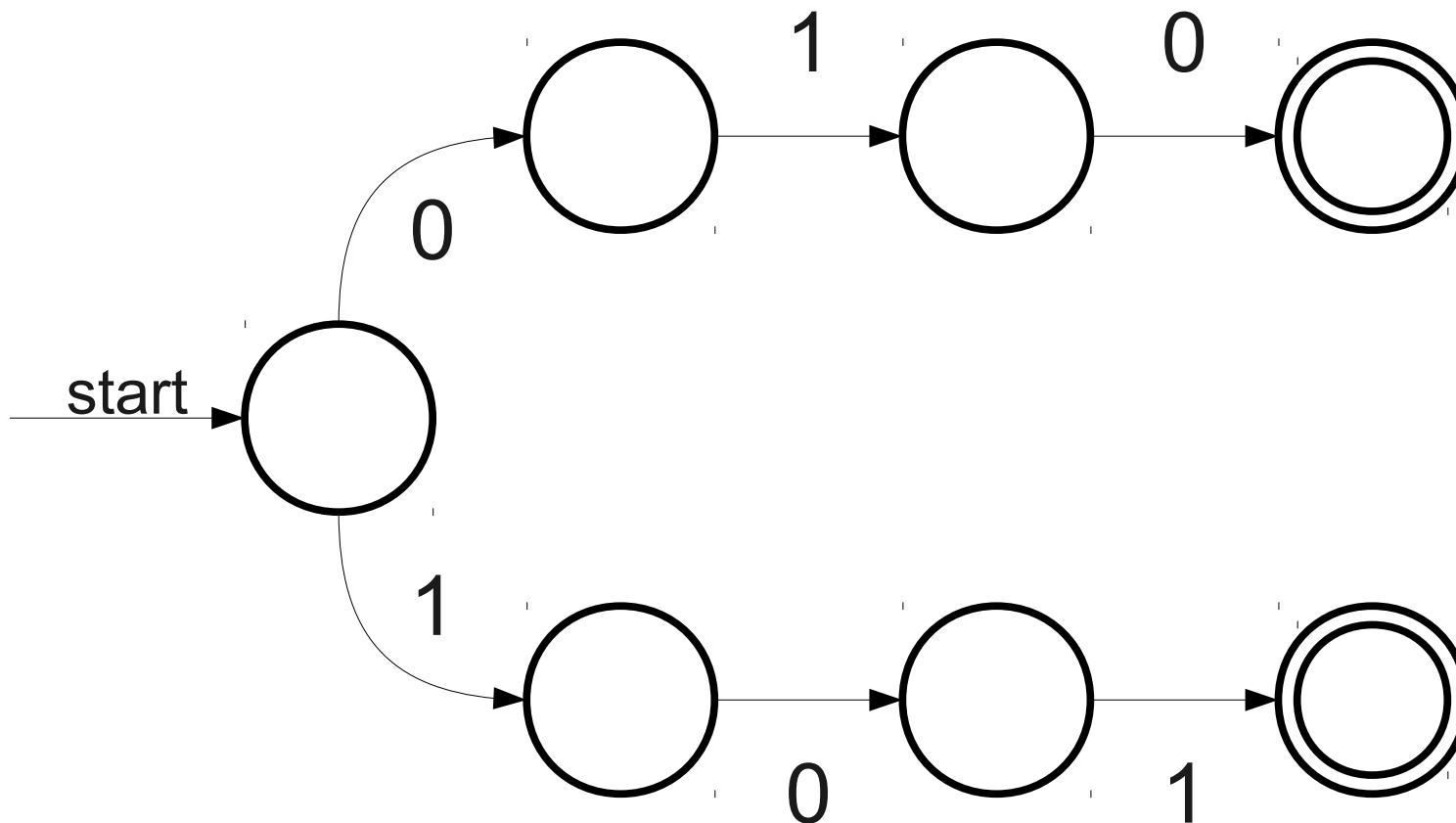
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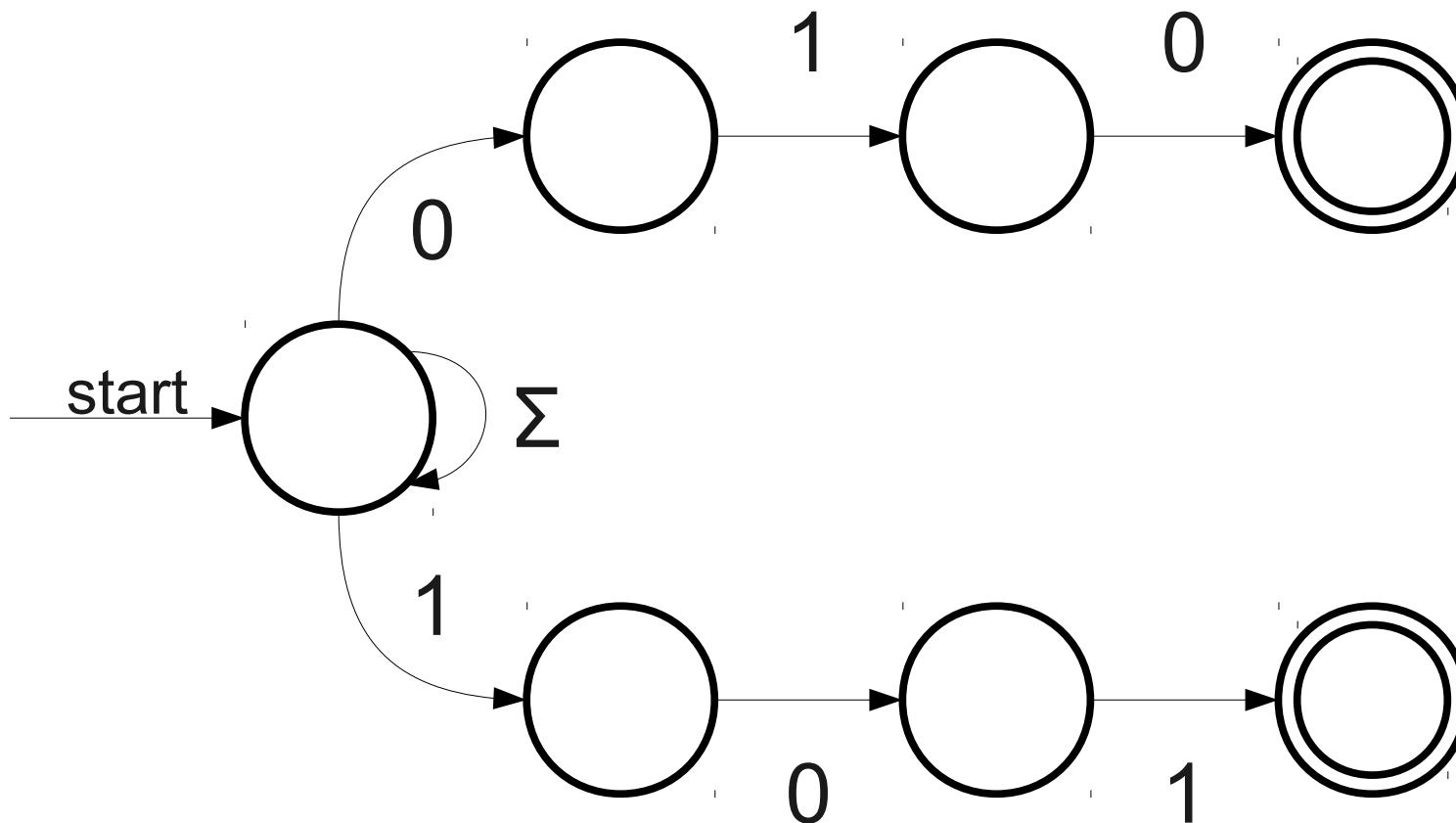
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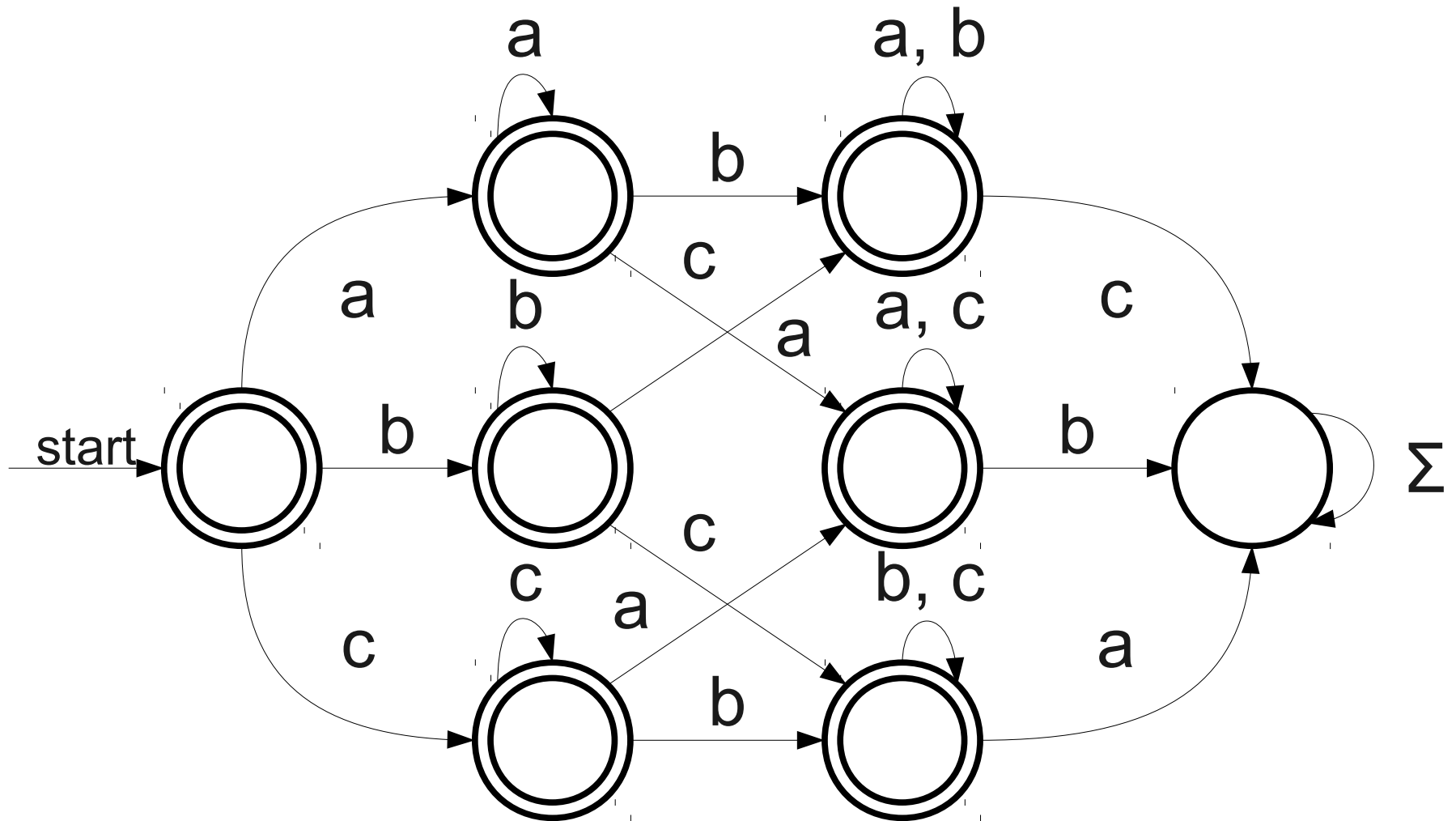


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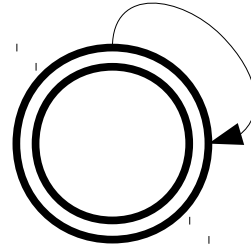


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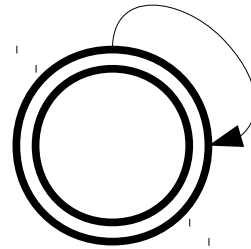
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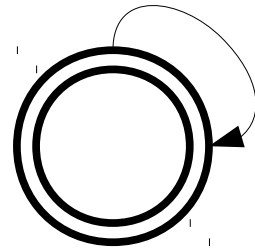
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a, b



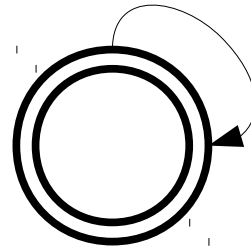
a, c



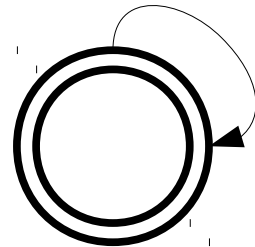
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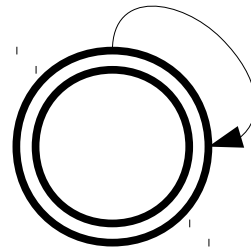
a, b



a, c



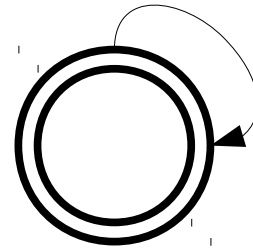
b, c



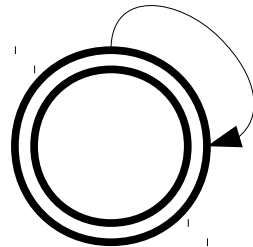
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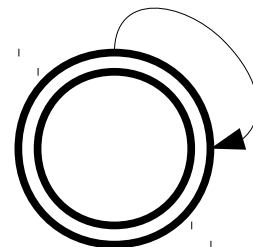
a, b



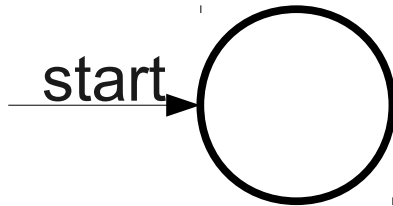
a, c



b, c

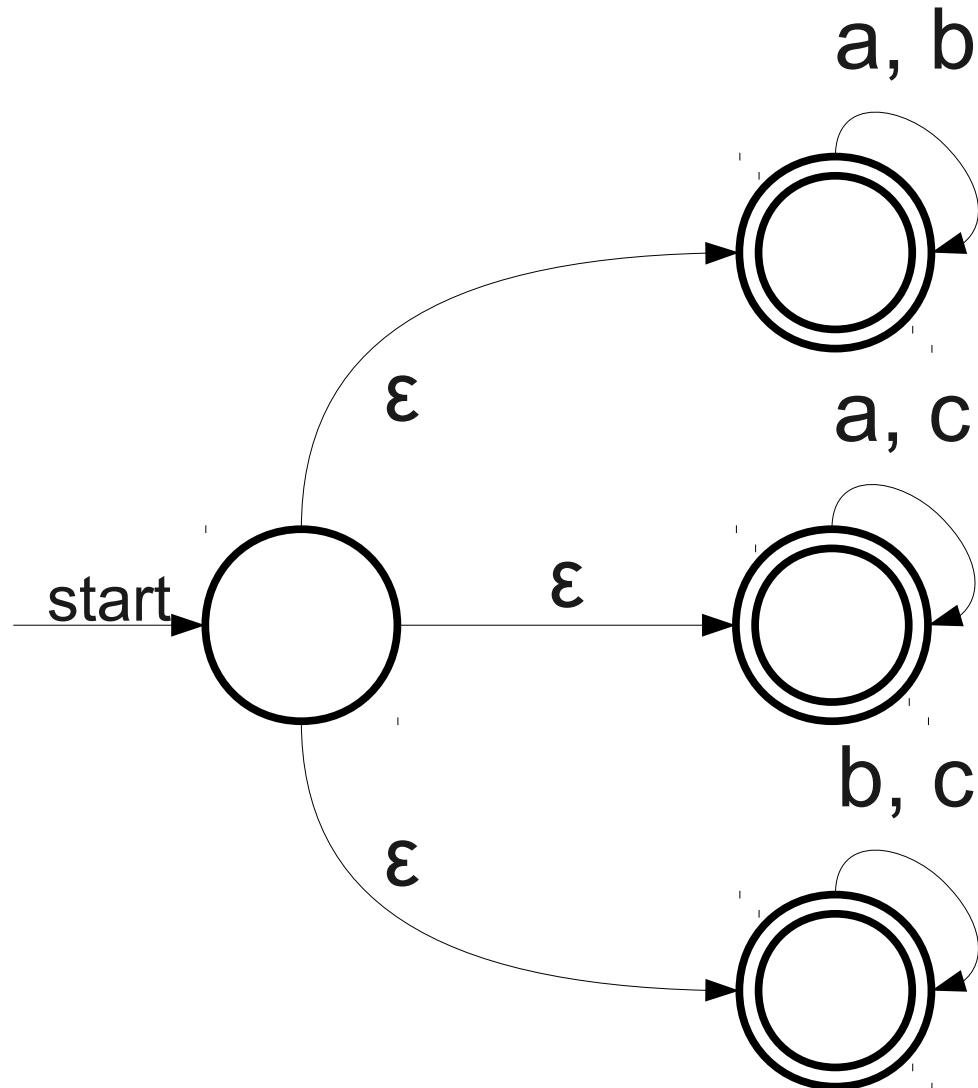


start



Guess-and-Check

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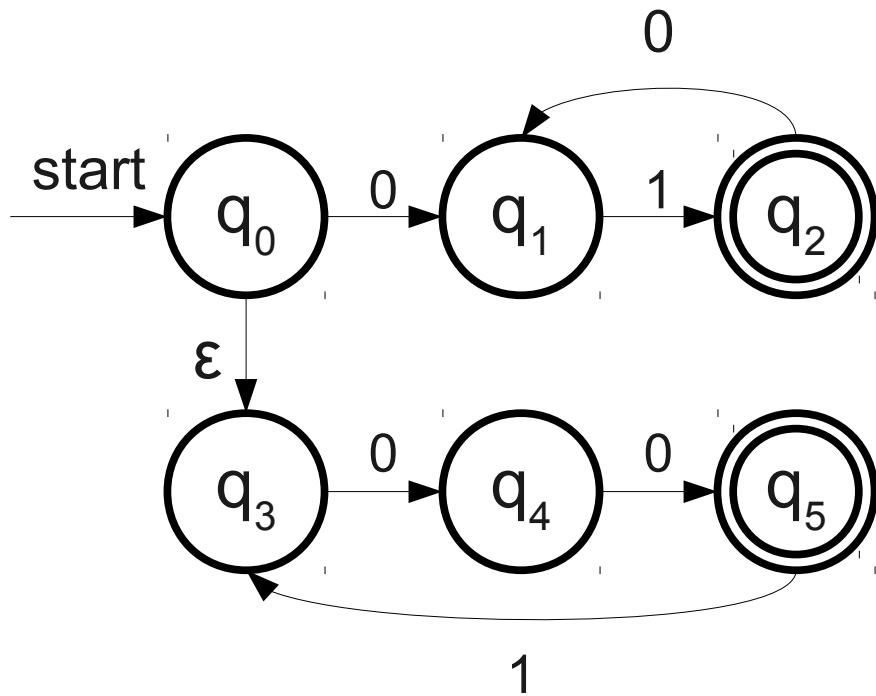
NFAs and DFAs

- Any language that can be accepted by a DFA can be accepted by an NFA.
- Why?
 - Just use the same set of transitions as before.
- **Question:** Can any language accepted by an NFA also be accepted by a DFA?
- Surprisingly, the answer is **yes!**

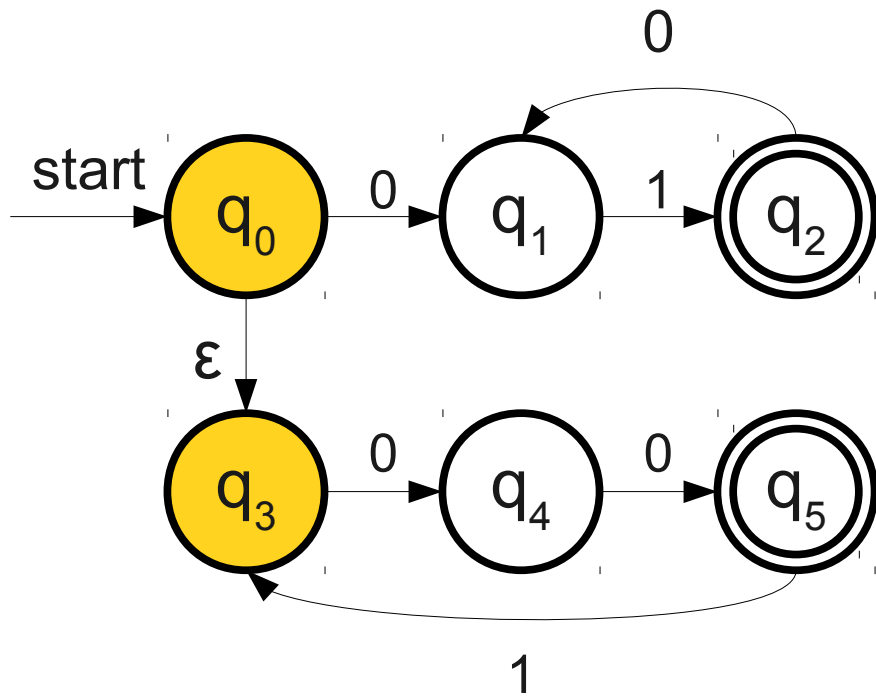
Simulation

- **Simulation** is a key technique in computability theory.
- If we can build an automaton A' whose behavior *simulates* that of another automaton A , then we can make a connection between A and A' .
- To show that any language accepted by an NFA can be accepted by a DFA, we will show how to make a DFA that *simulates* the execution of an NFA.

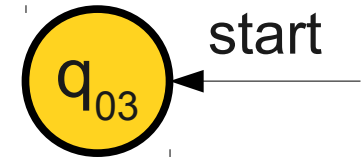
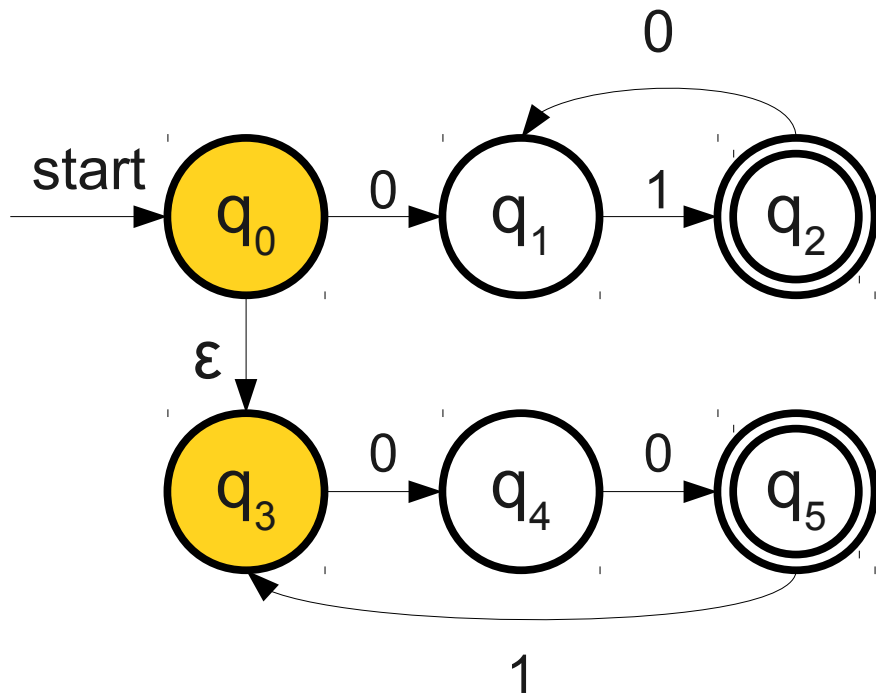
Simulating an NFA with a DFA



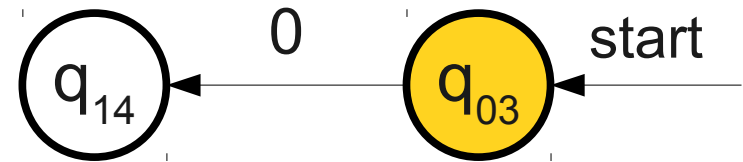
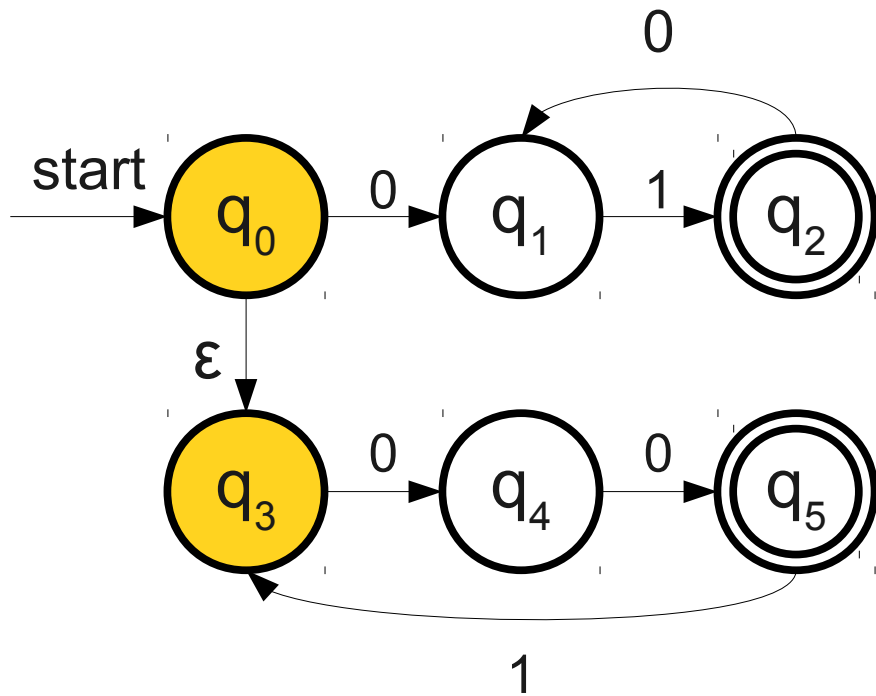
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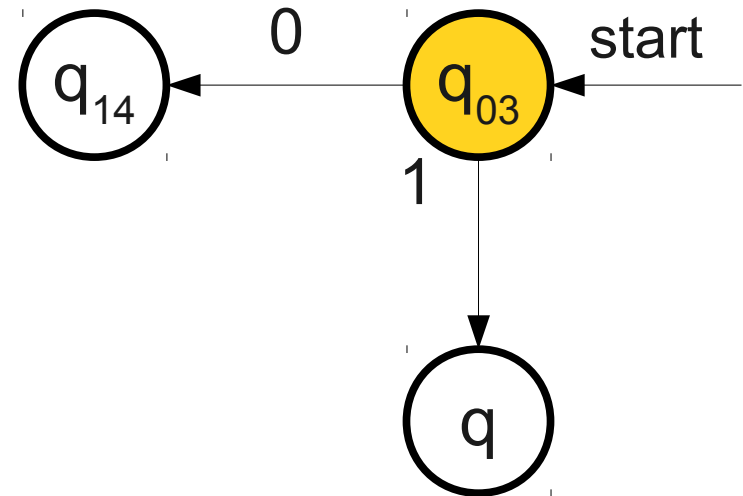
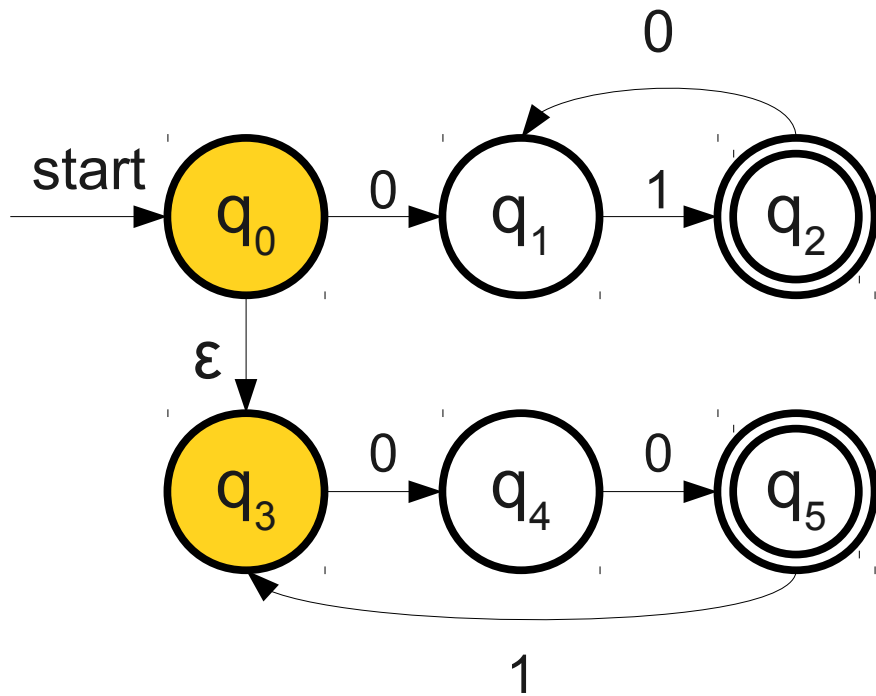
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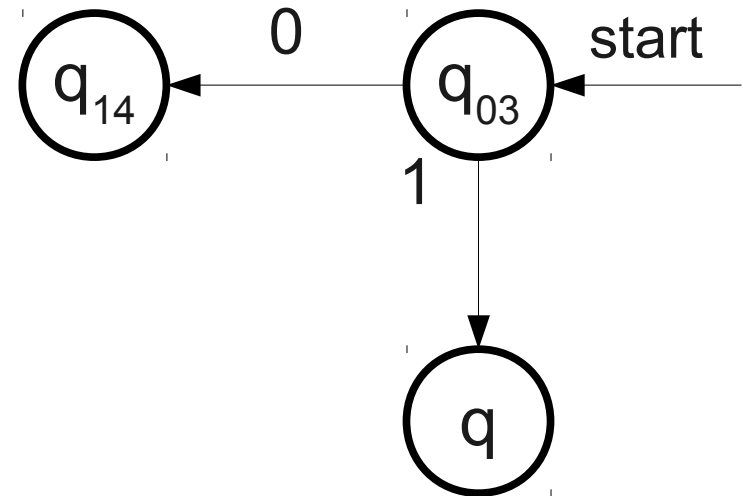
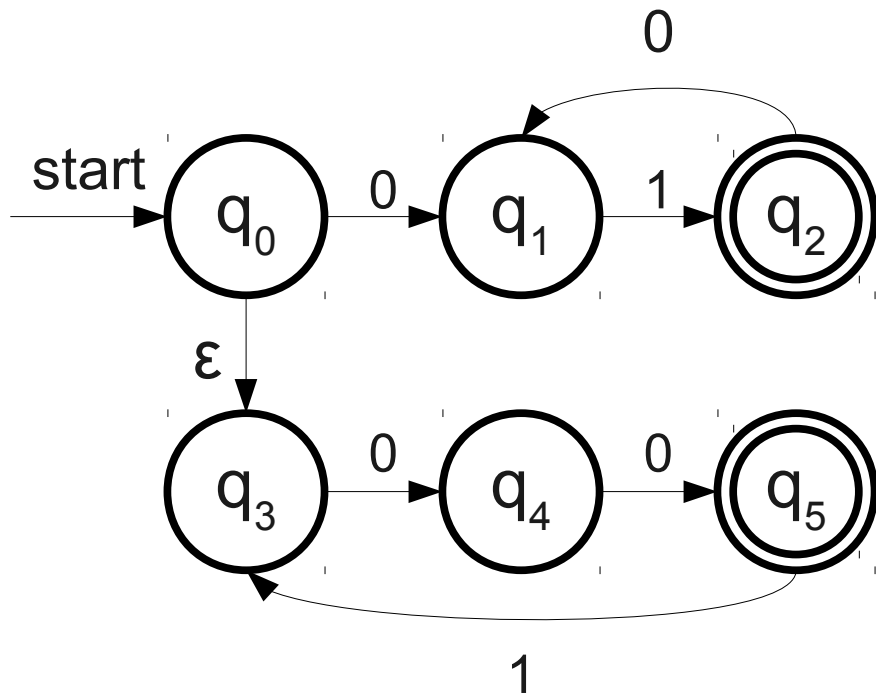
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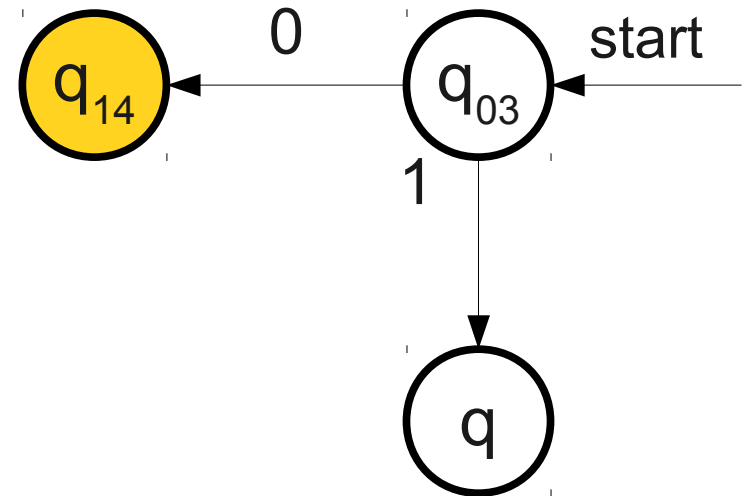
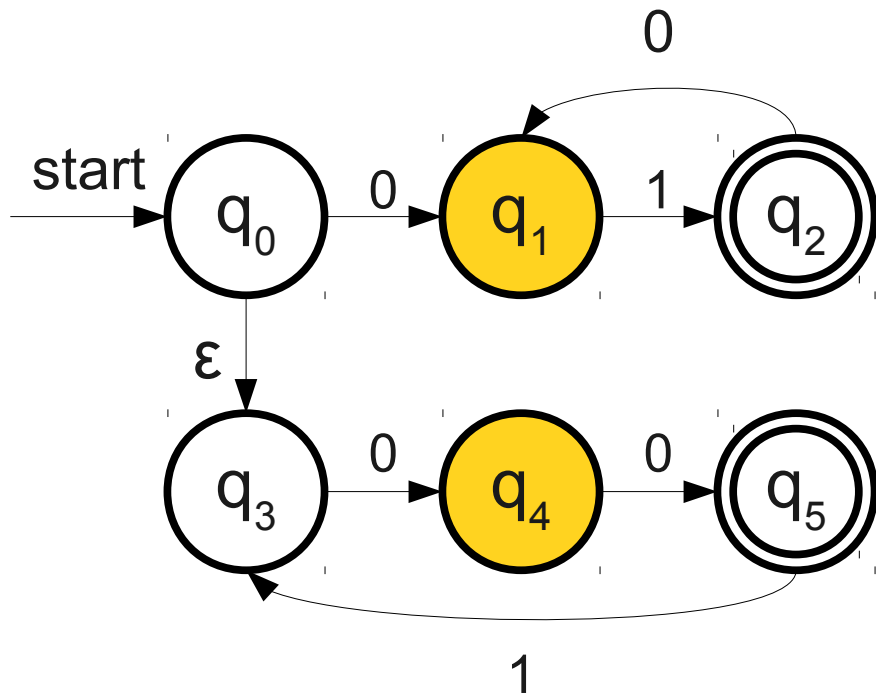
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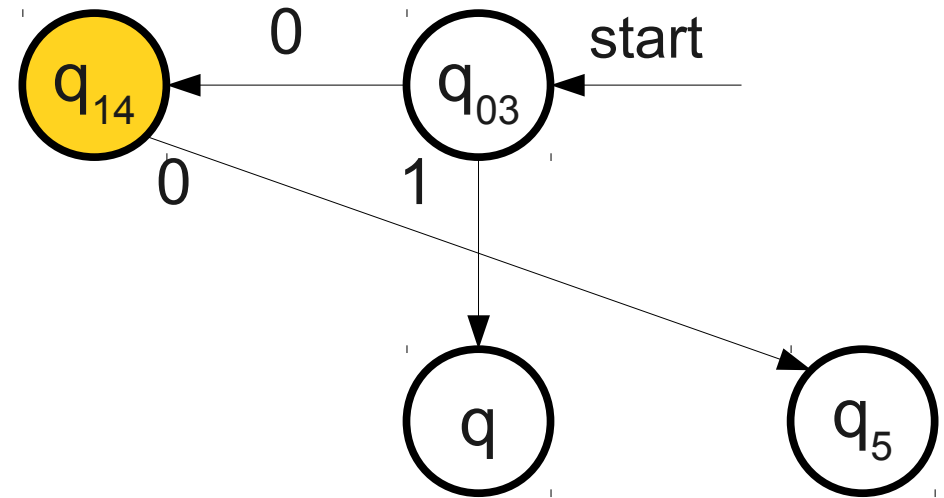
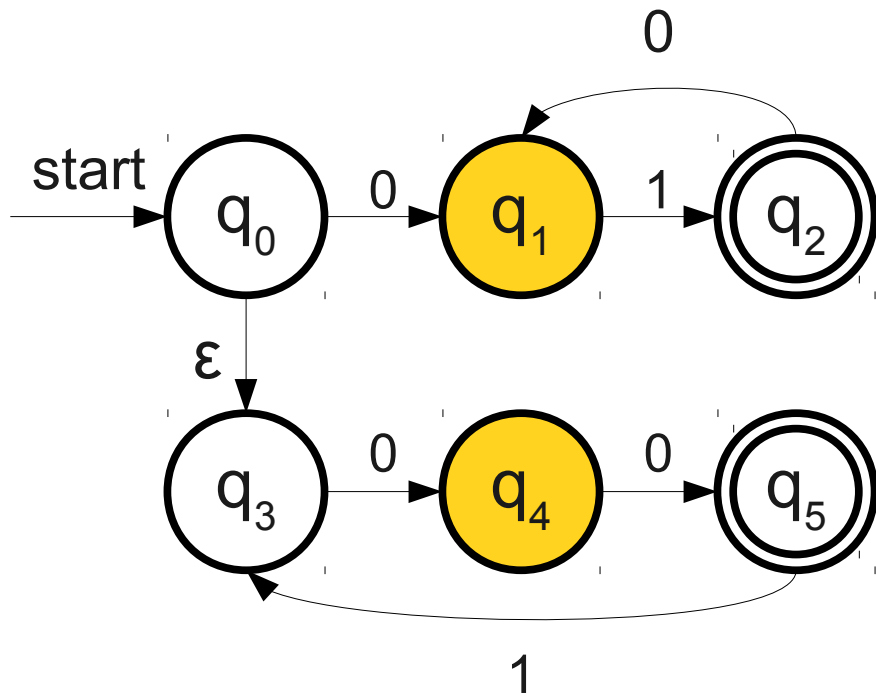
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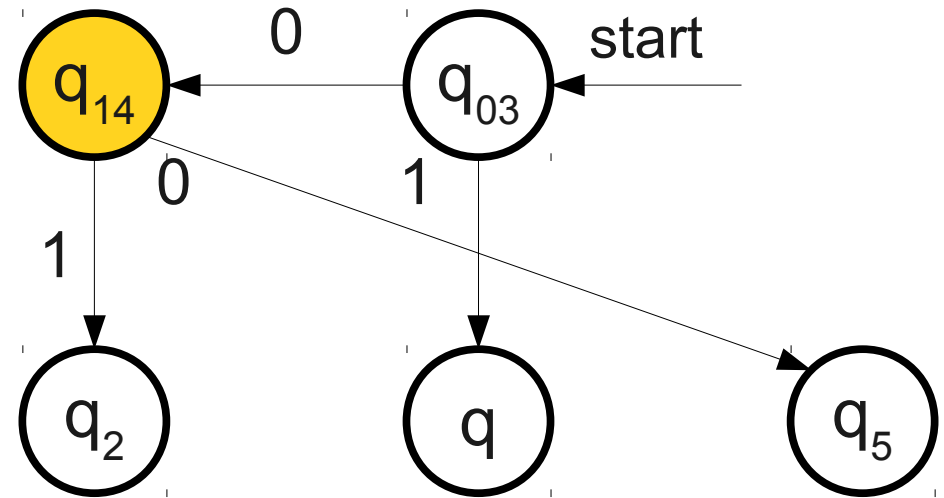
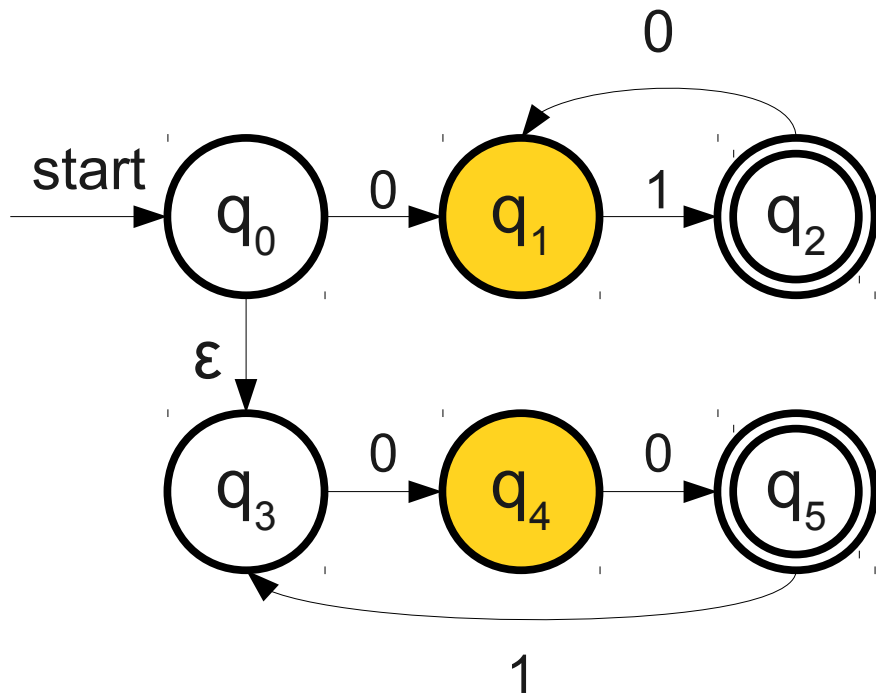
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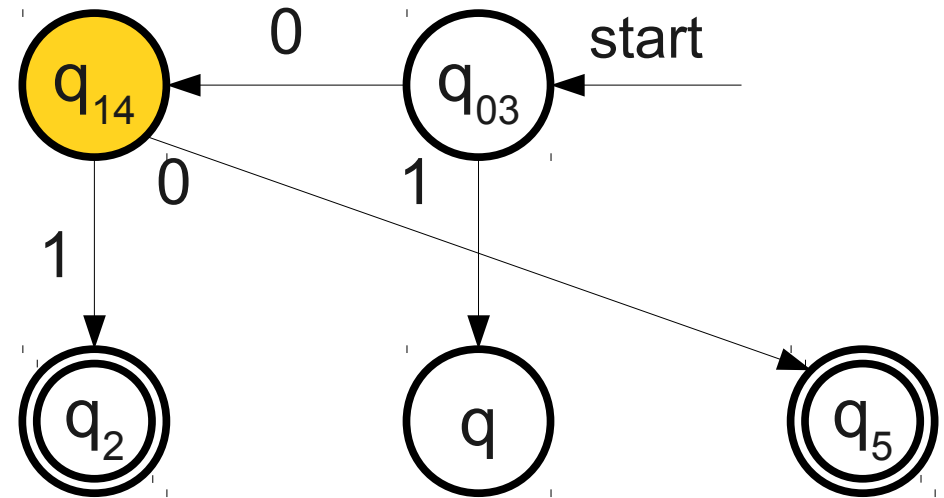
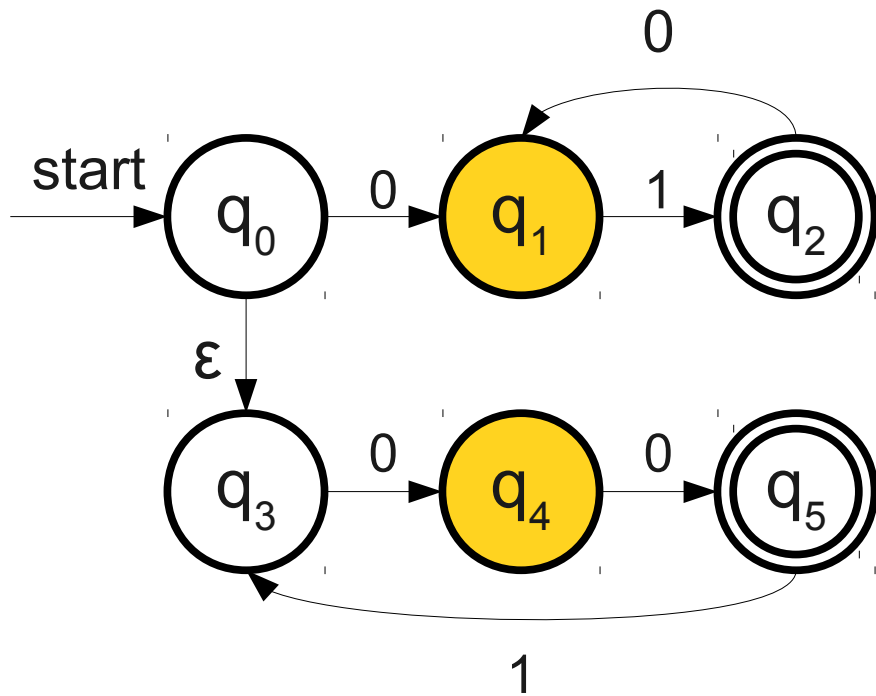
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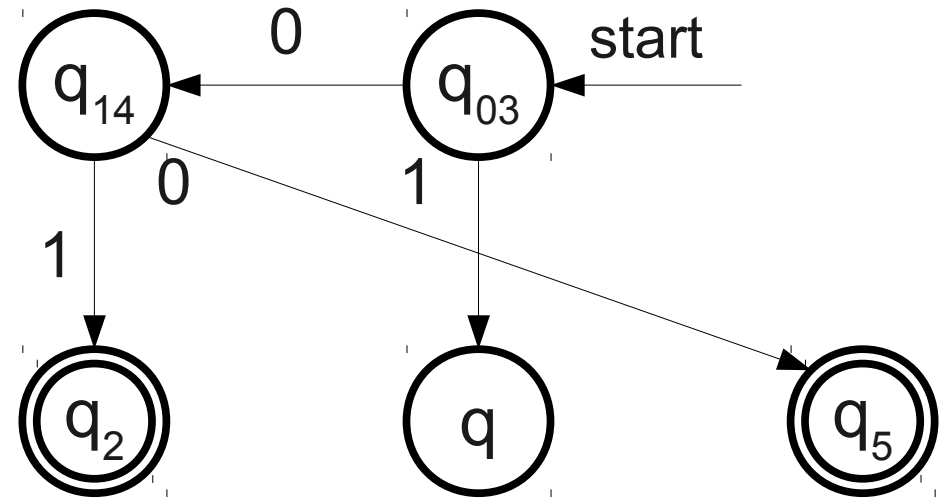
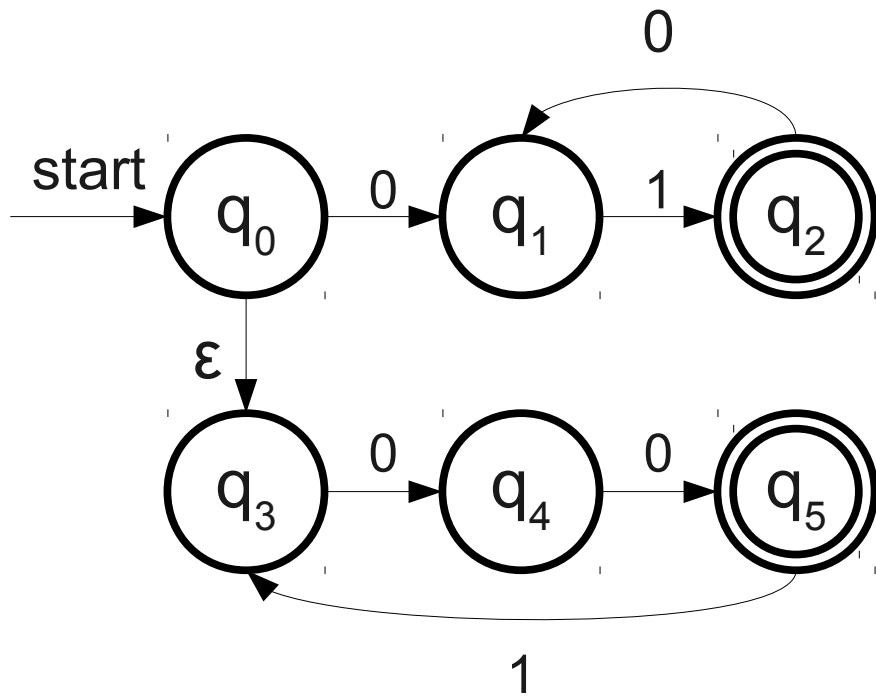
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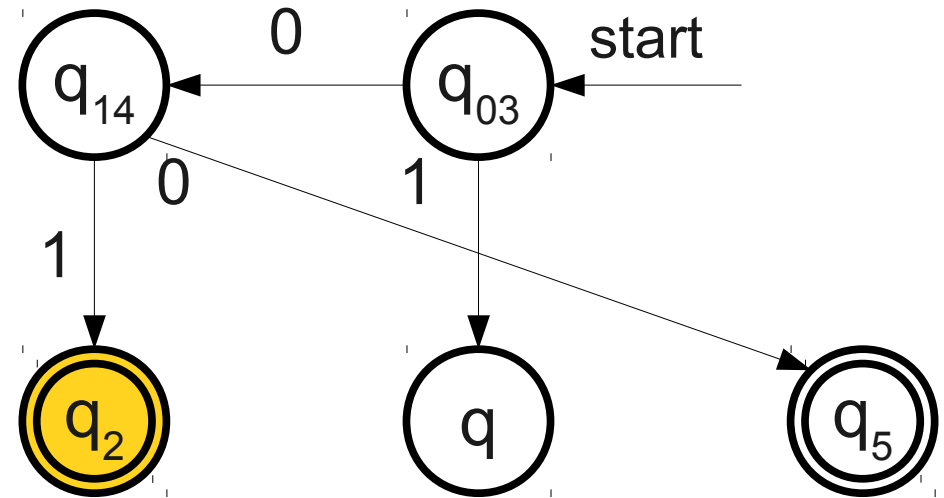
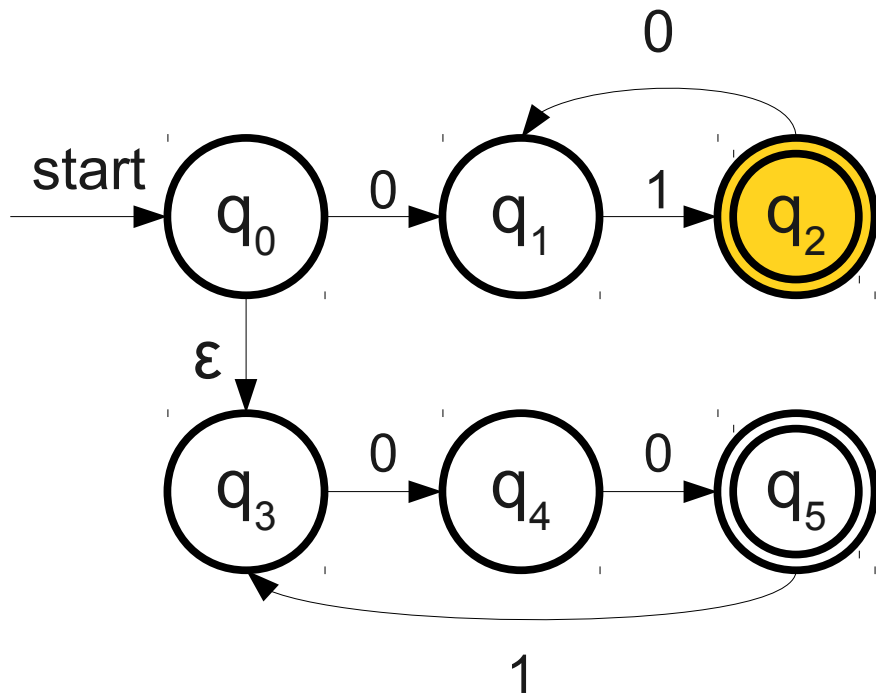
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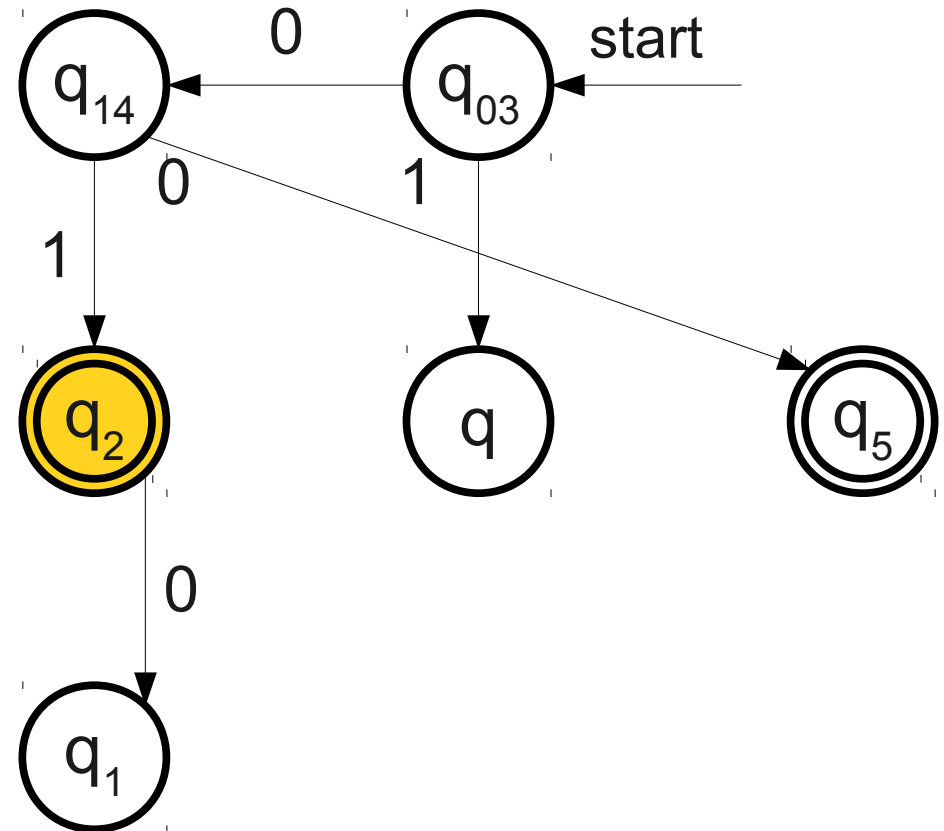
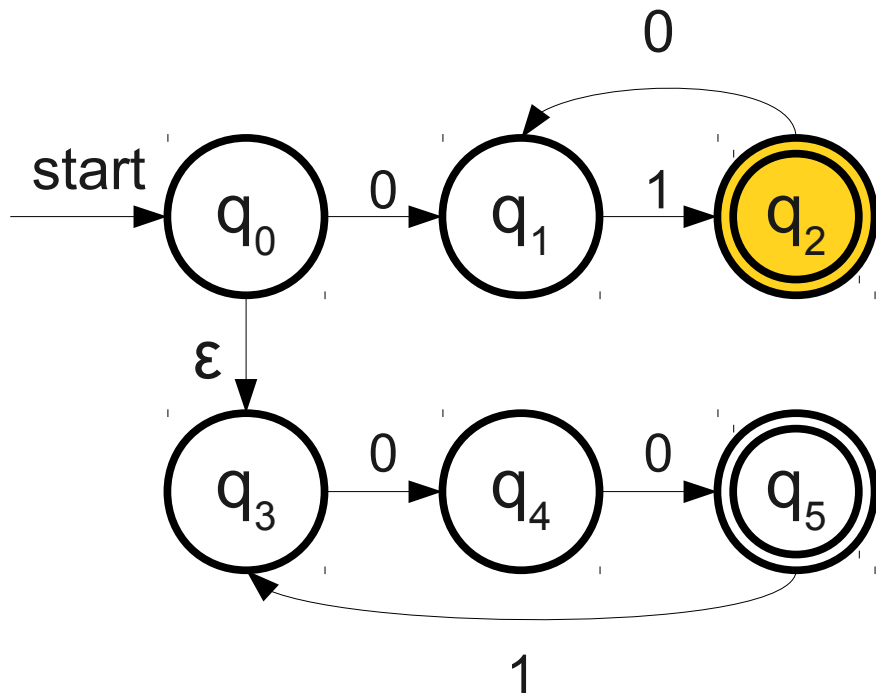
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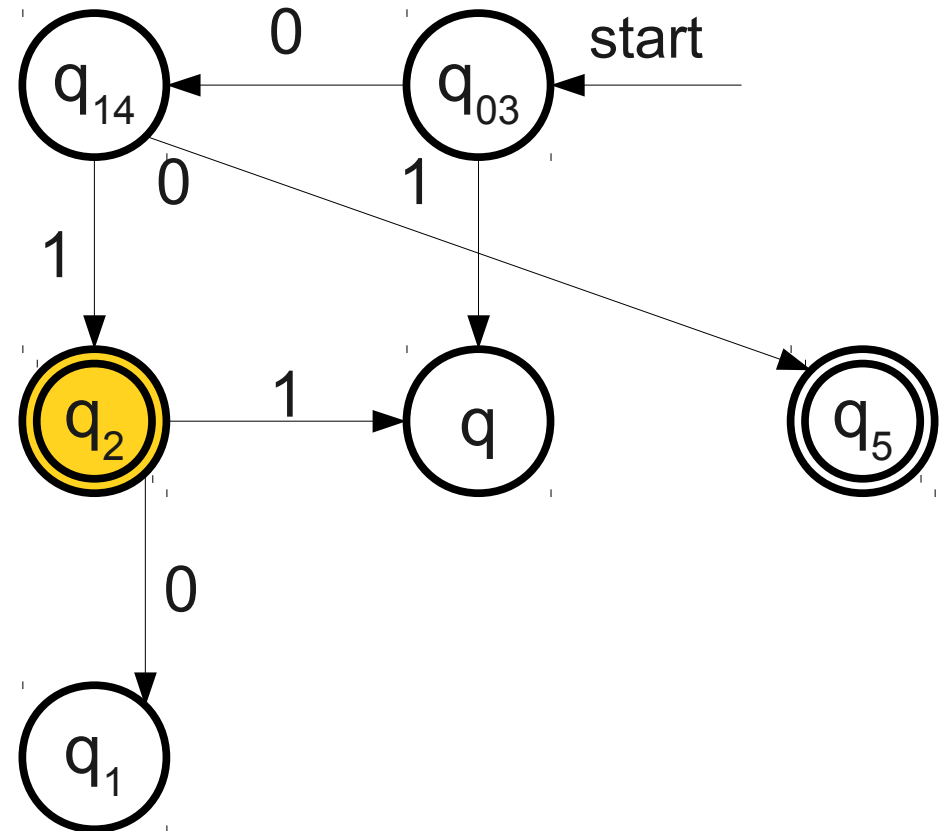
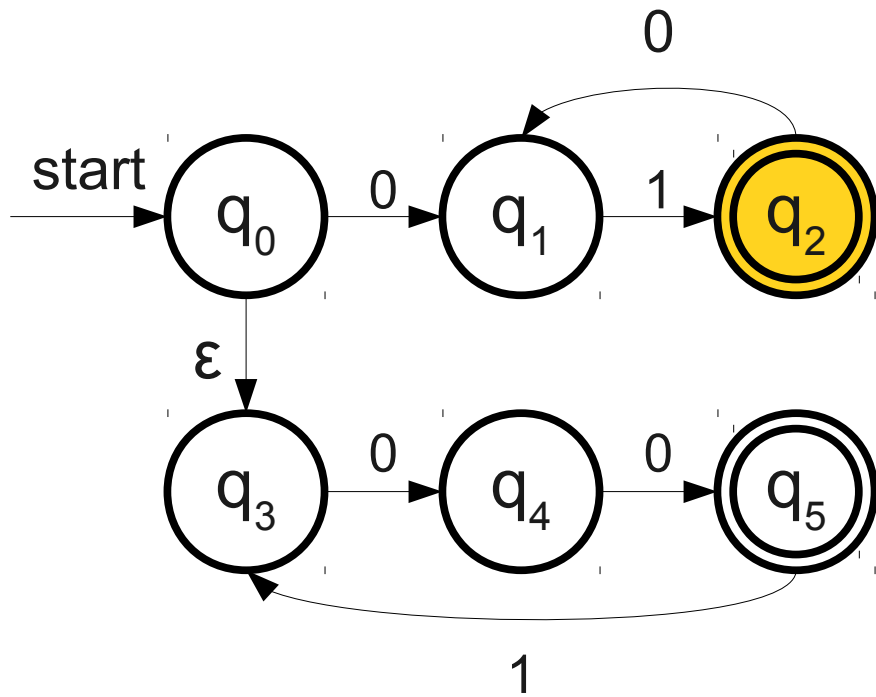
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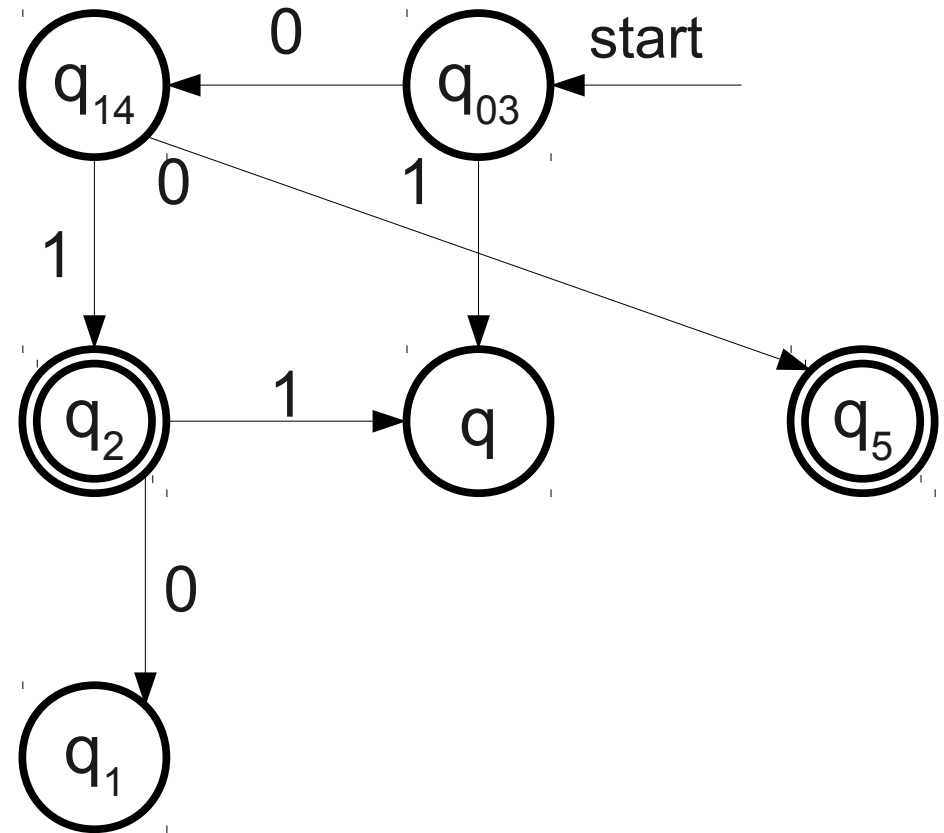
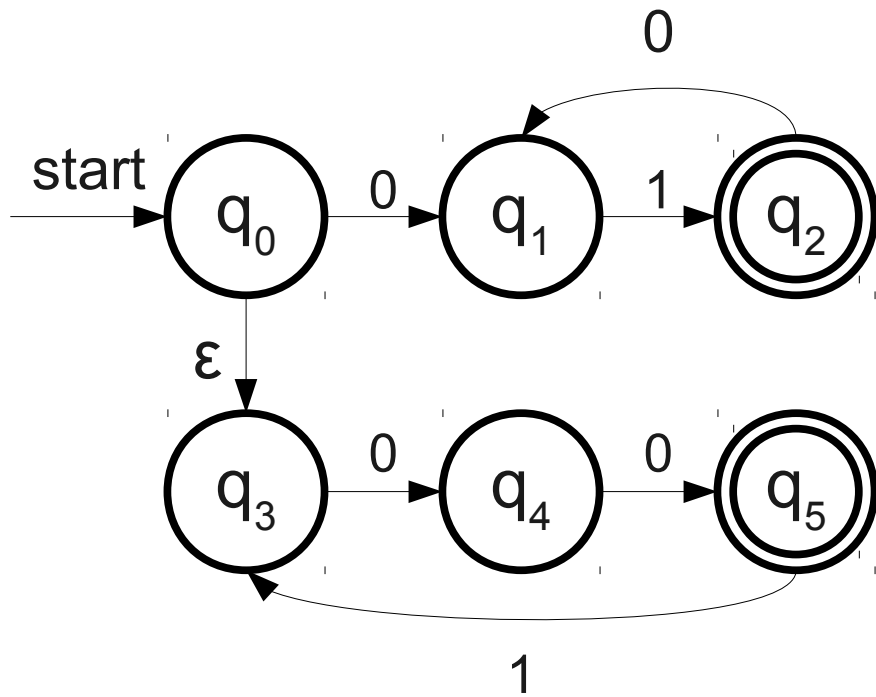
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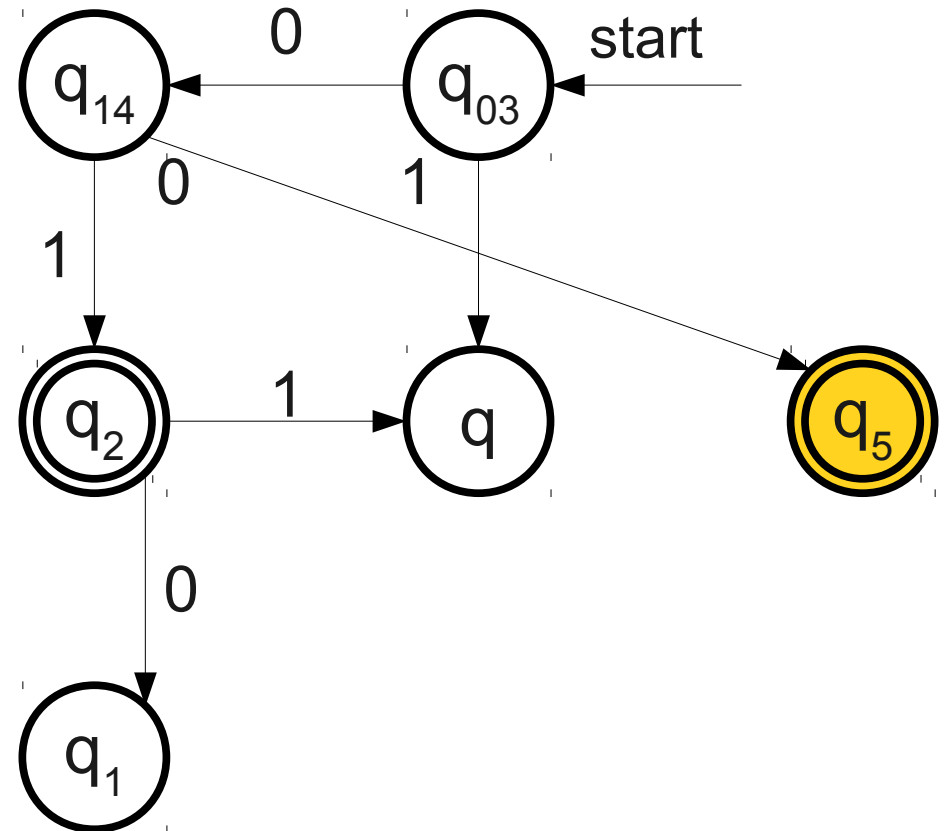
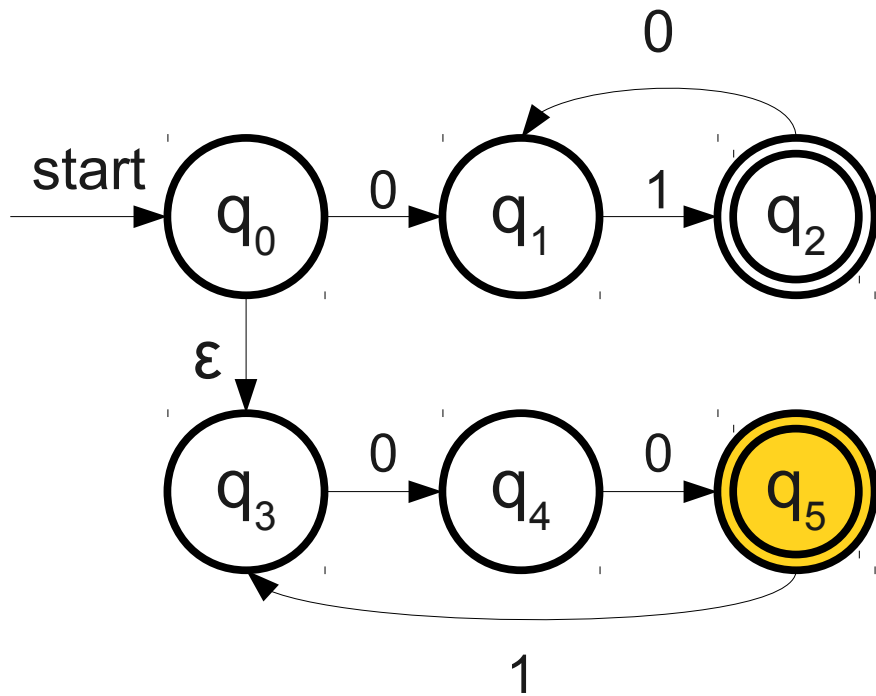
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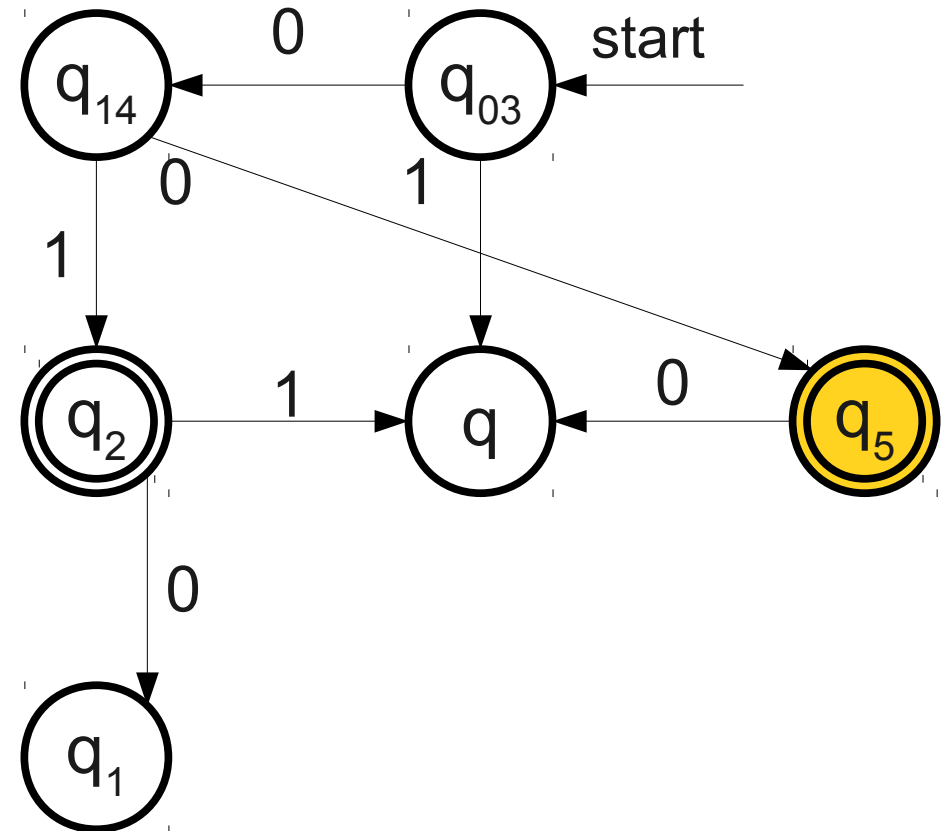
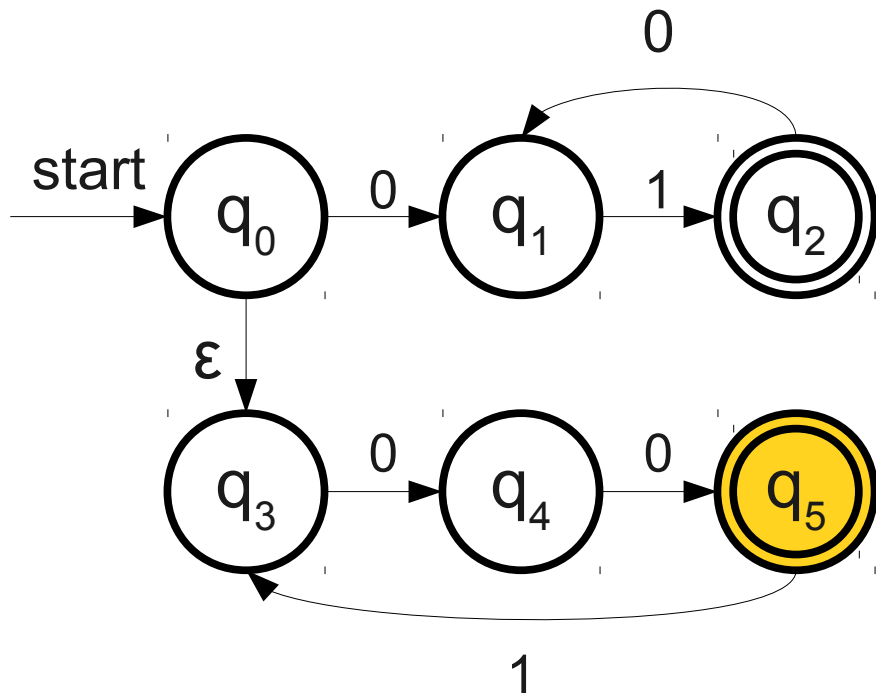
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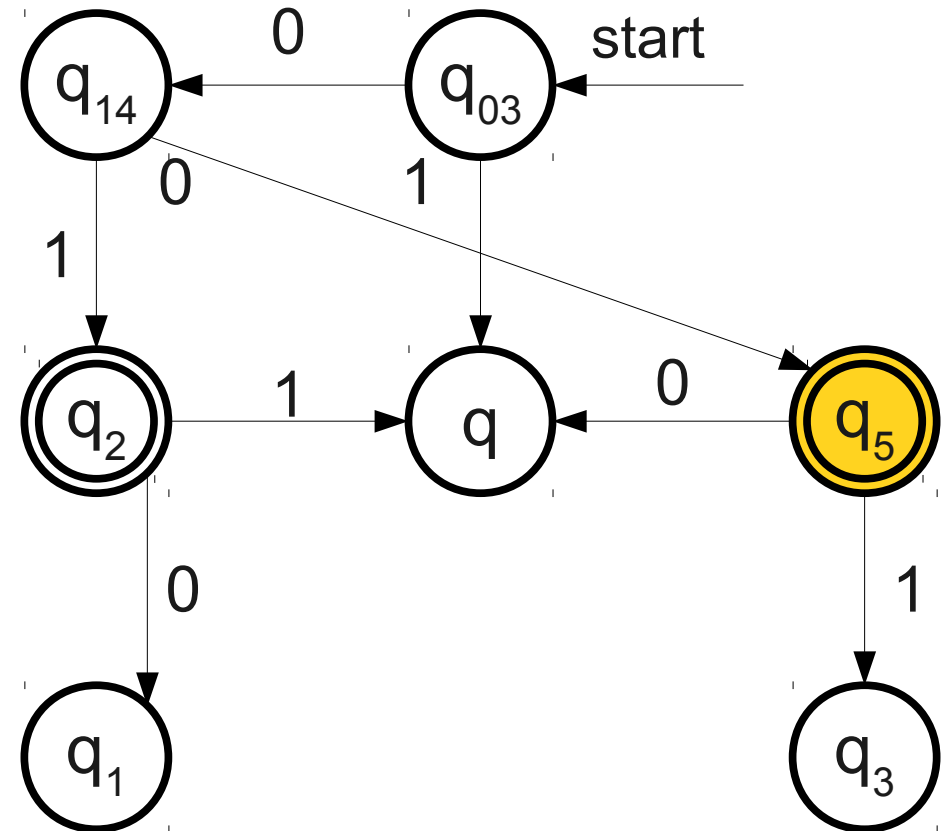
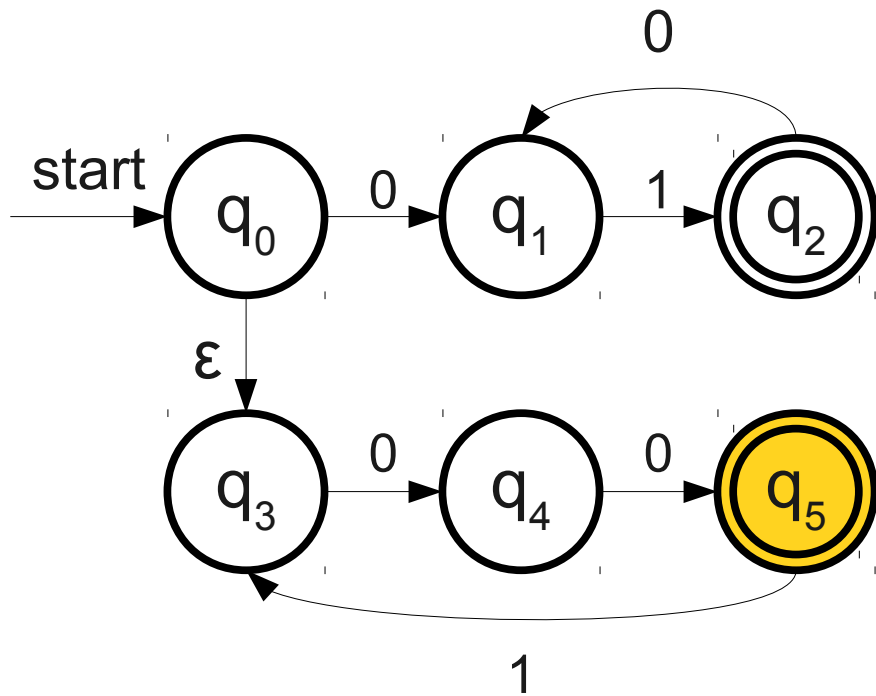
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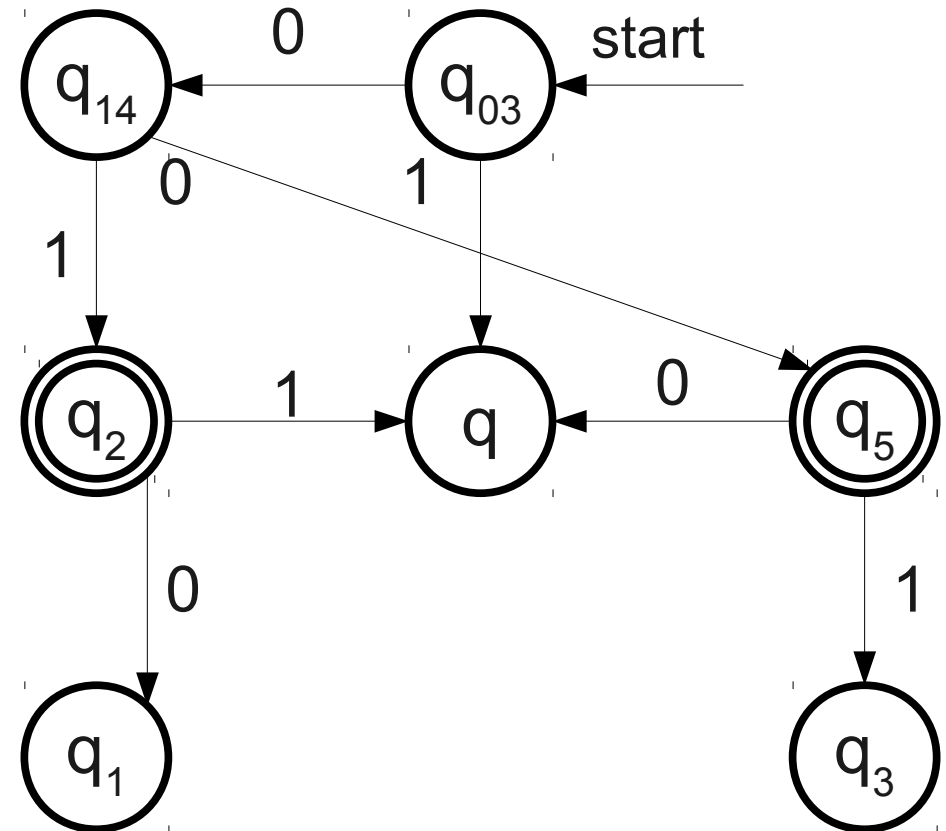
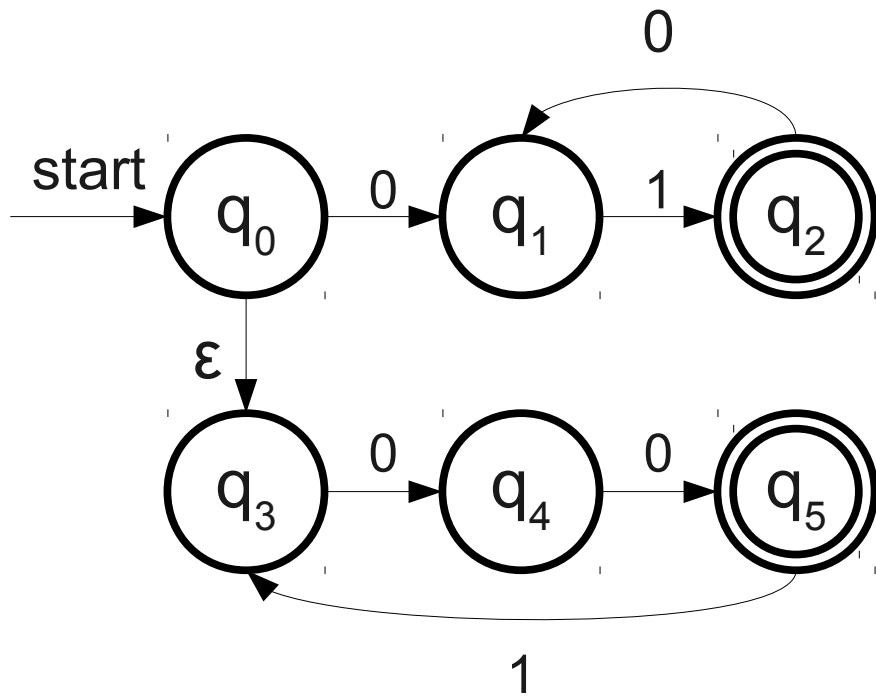
Simulating an NFA with a DFA



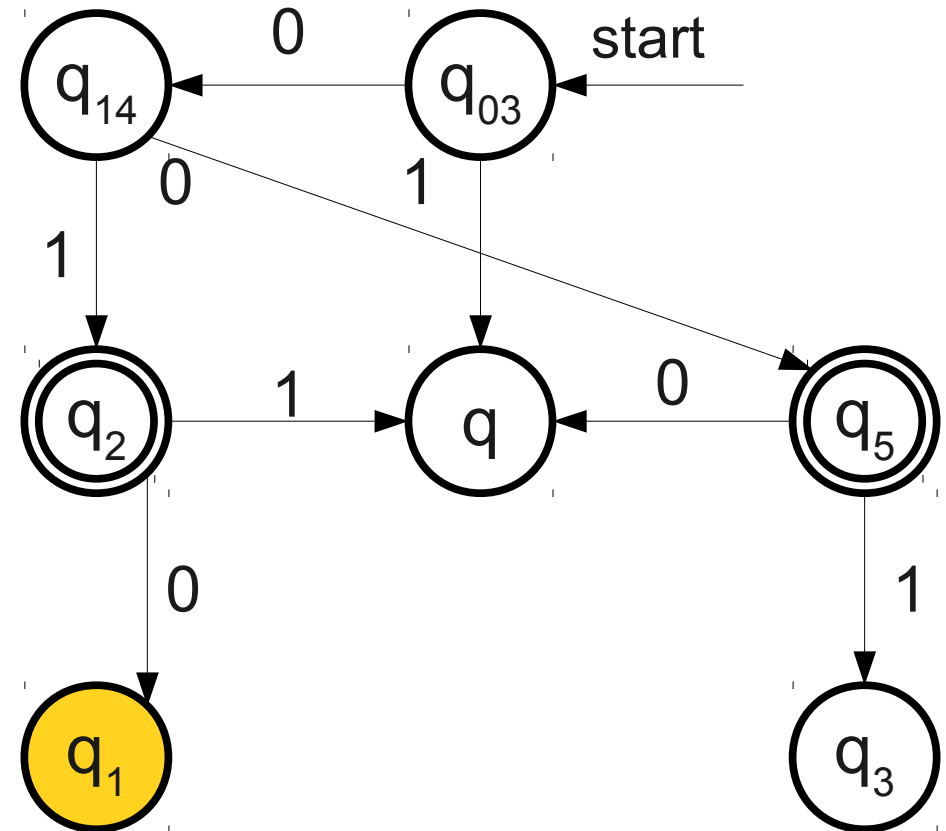
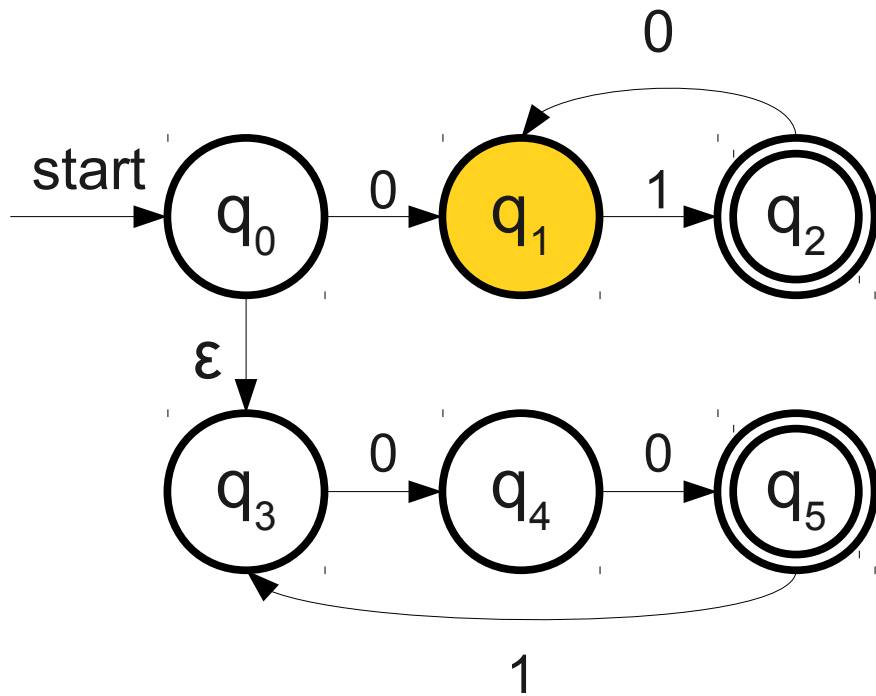
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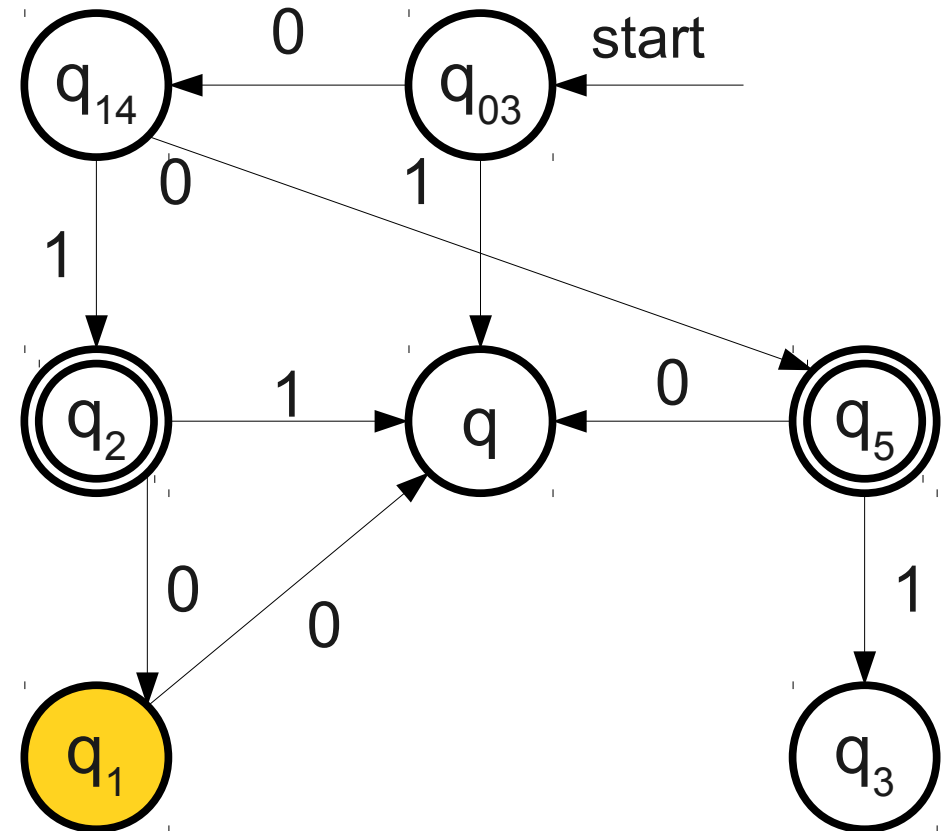
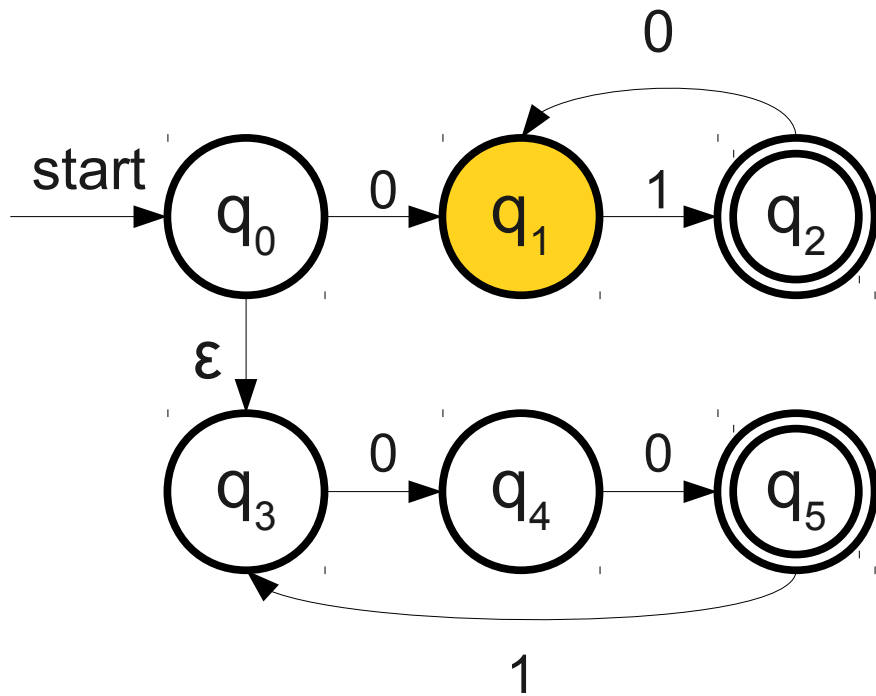
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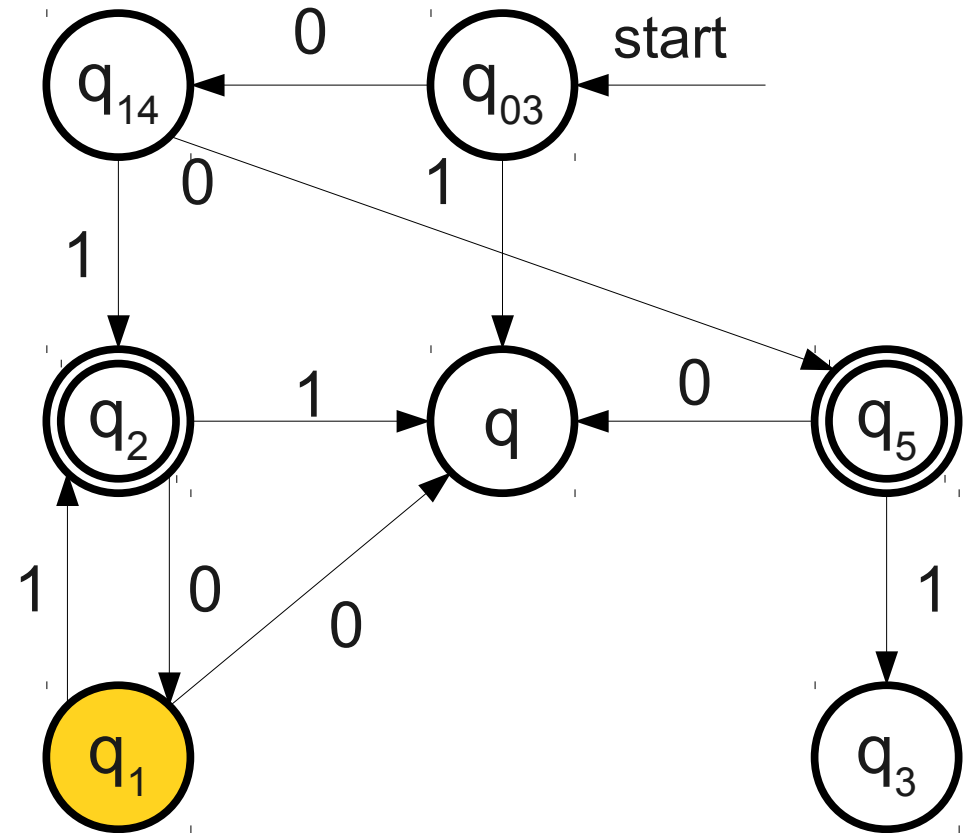
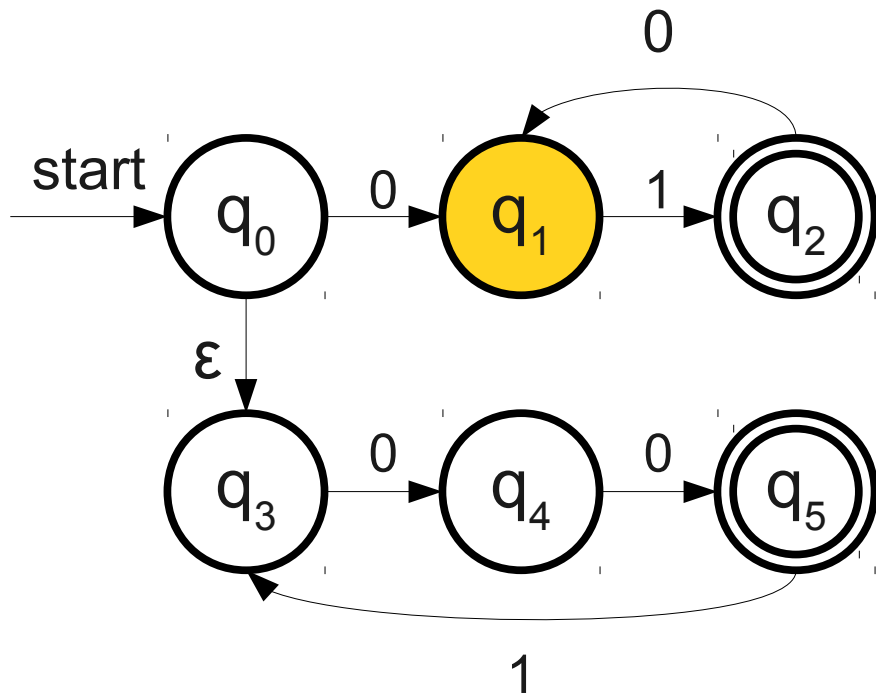
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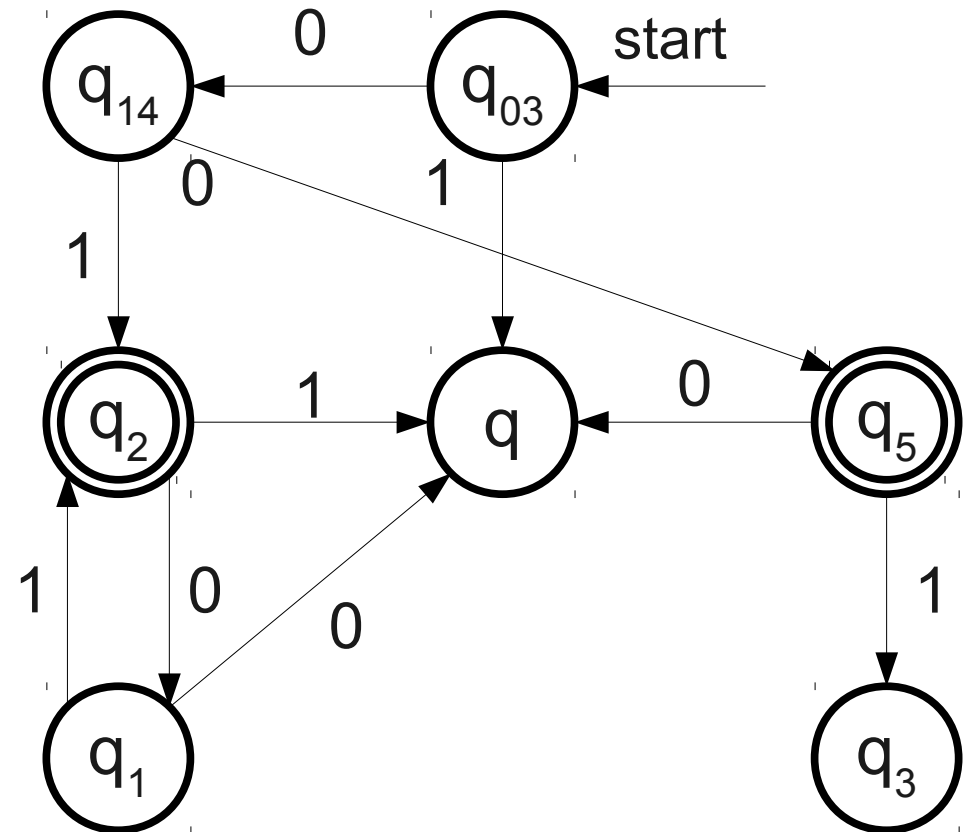
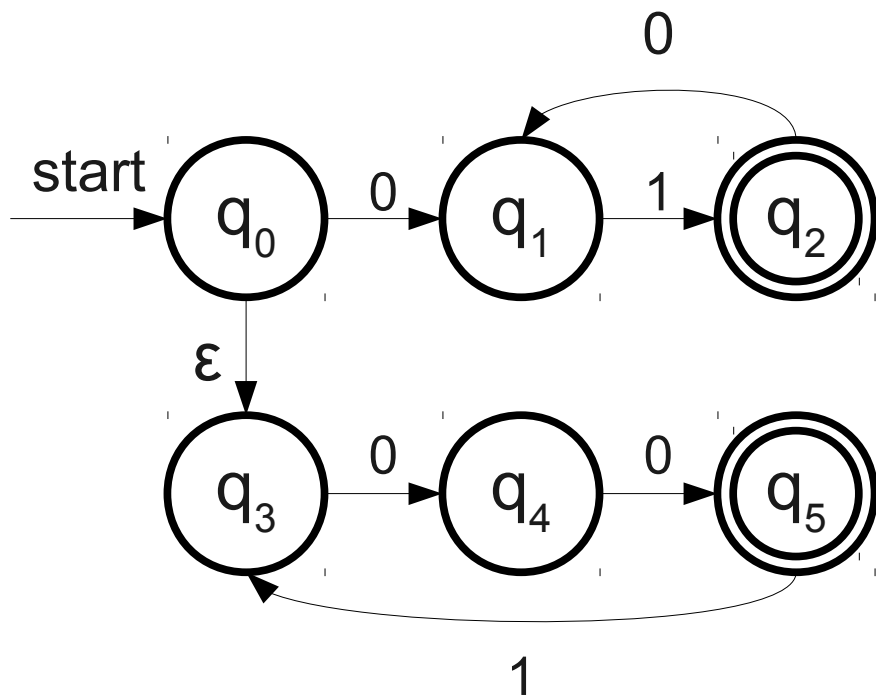
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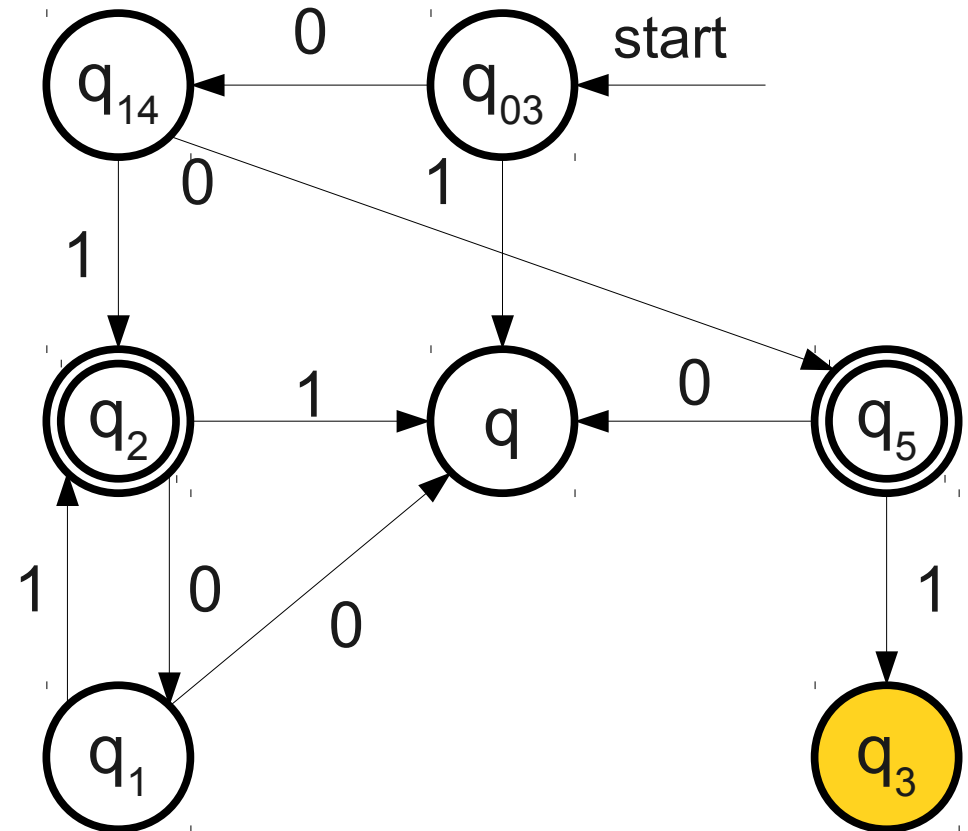
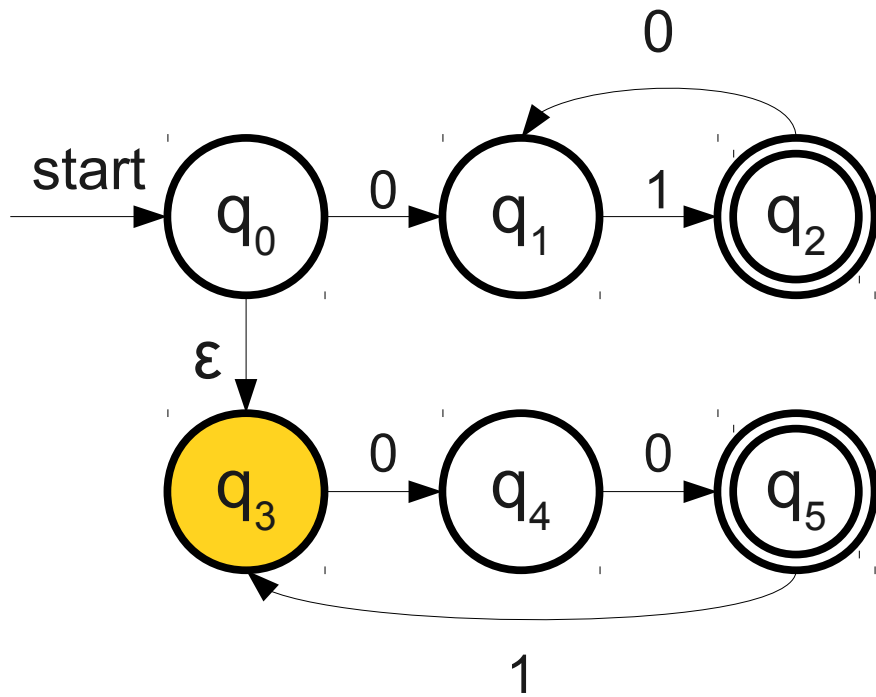
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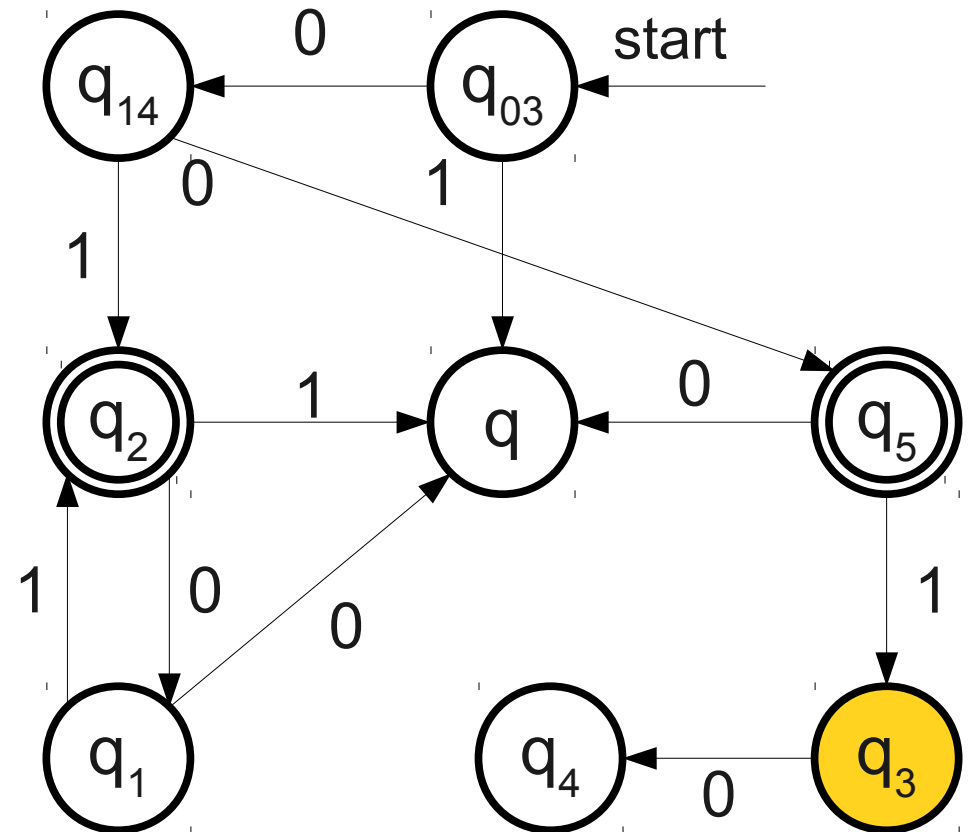
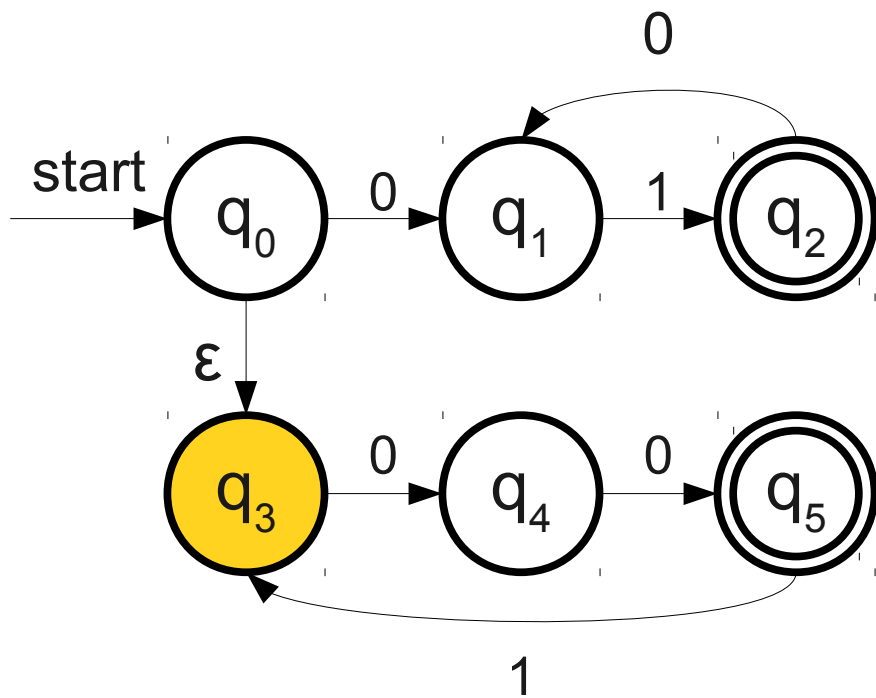
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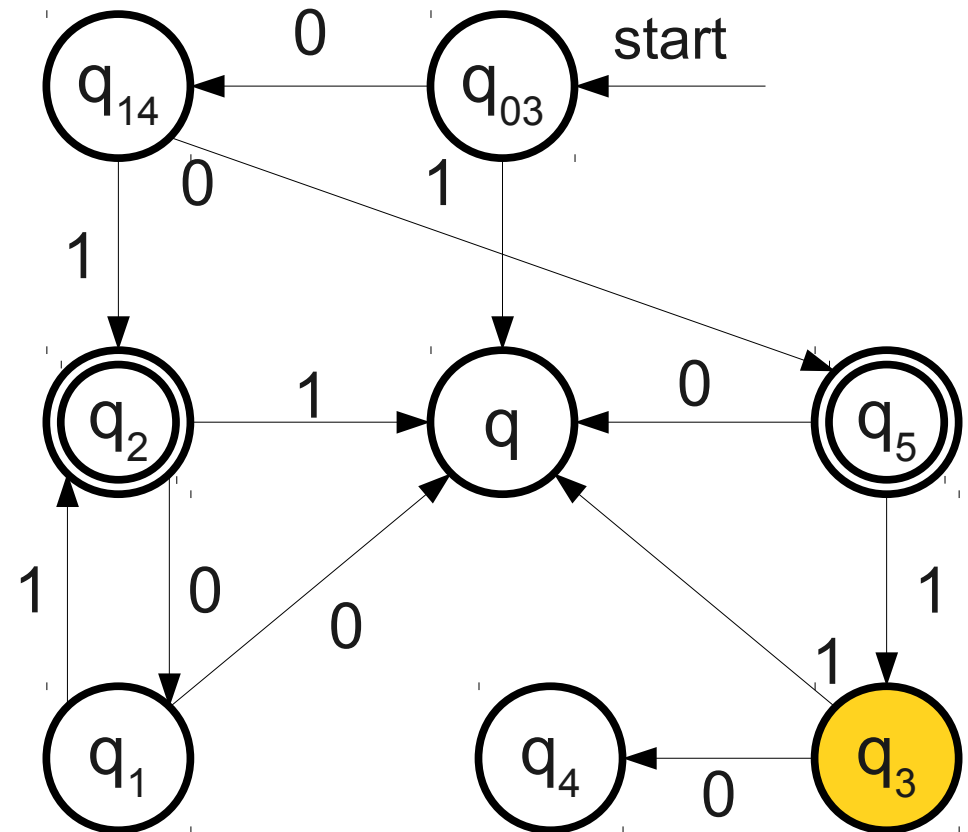
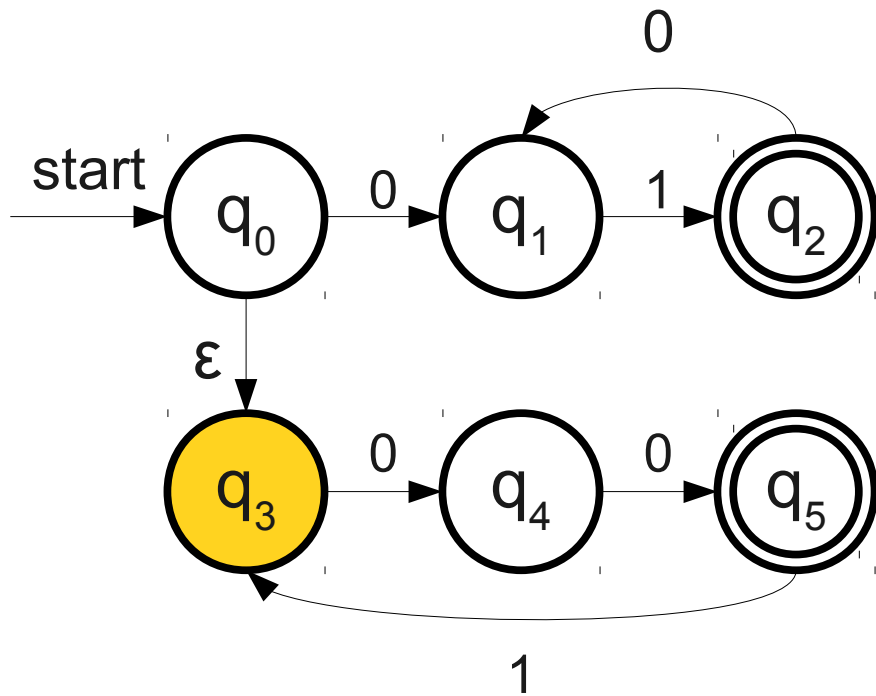
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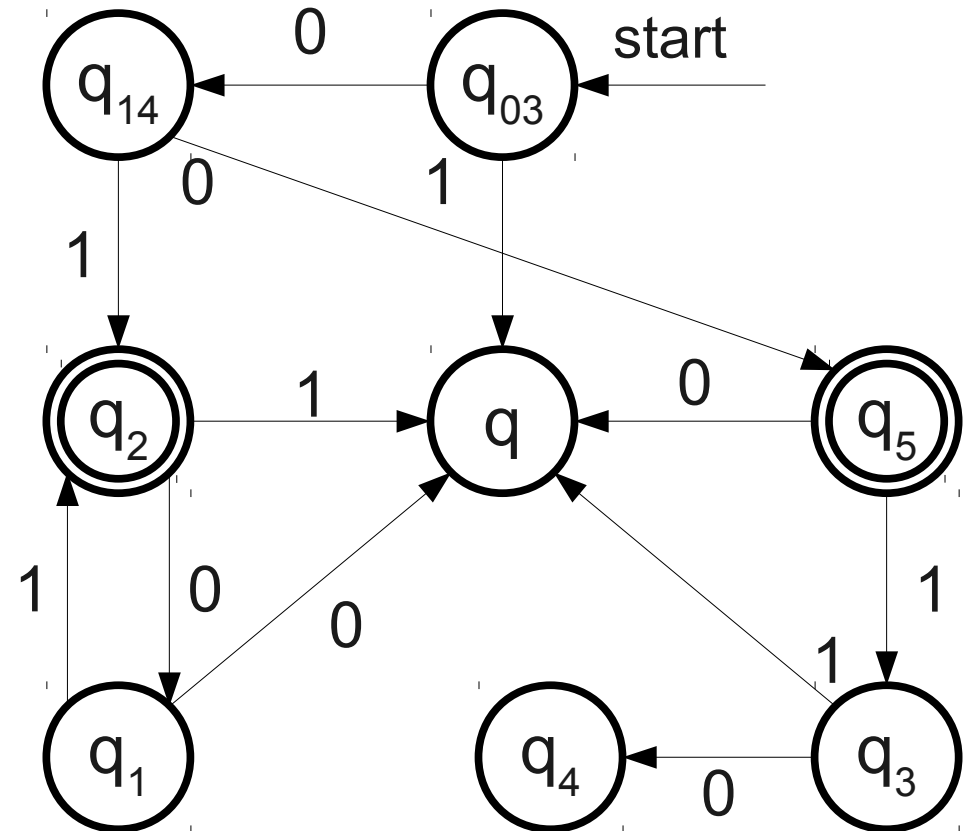
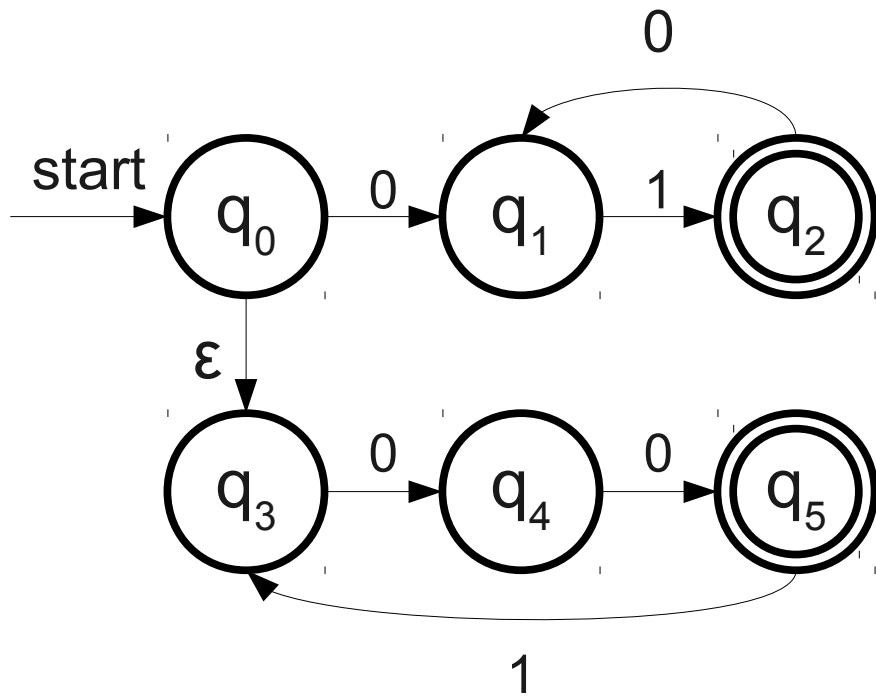
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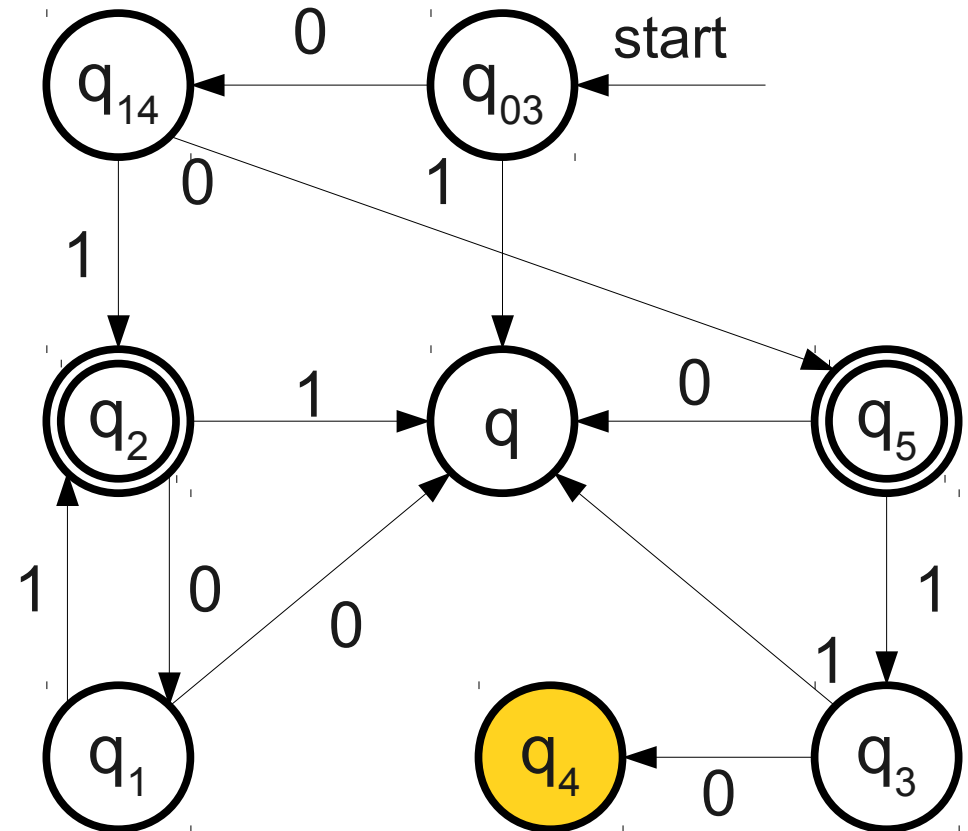
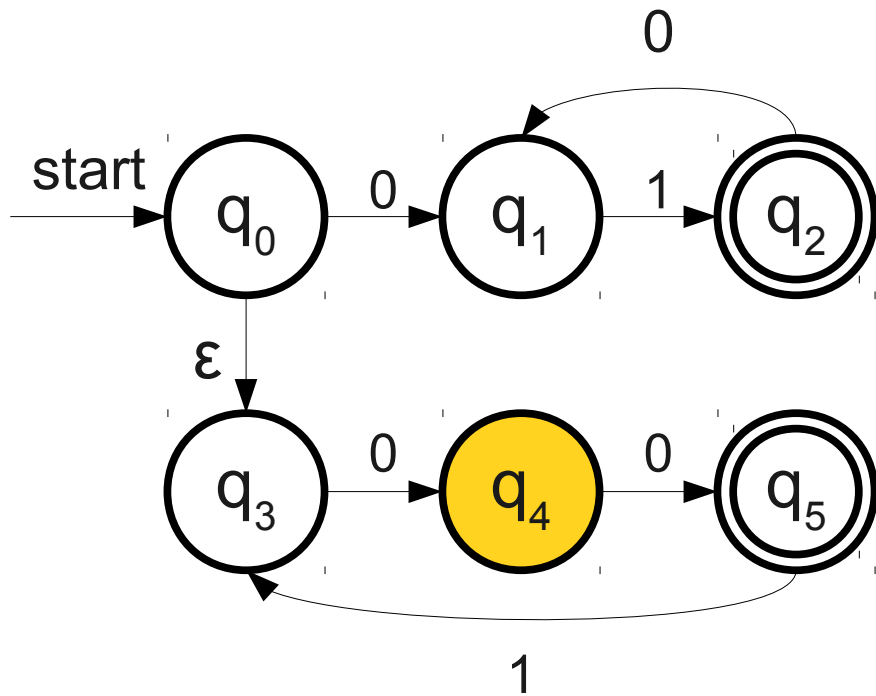
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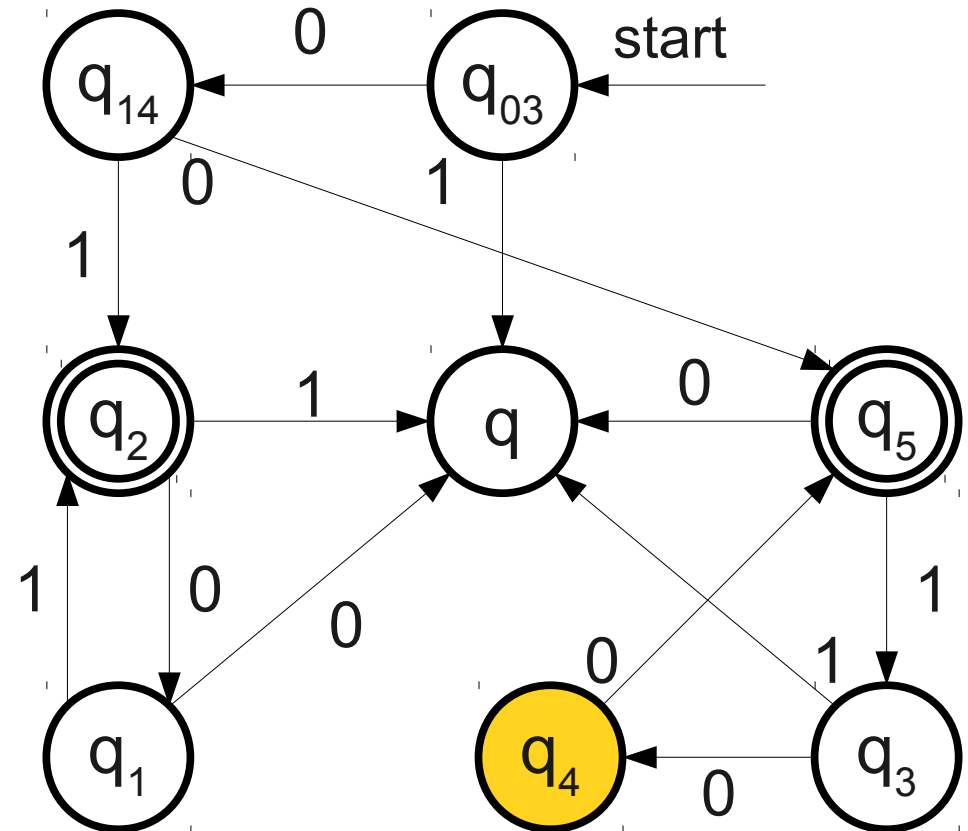
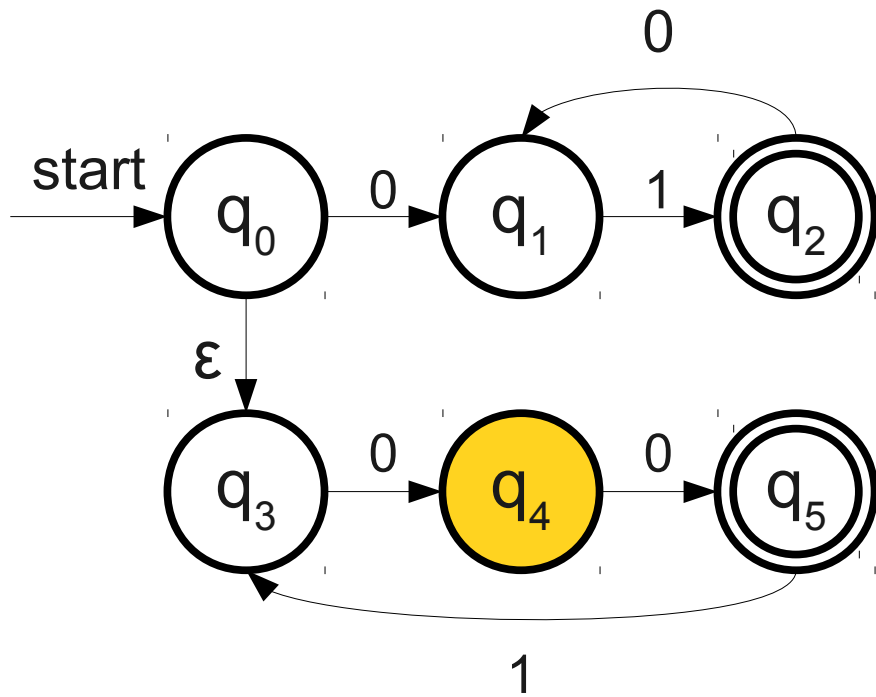
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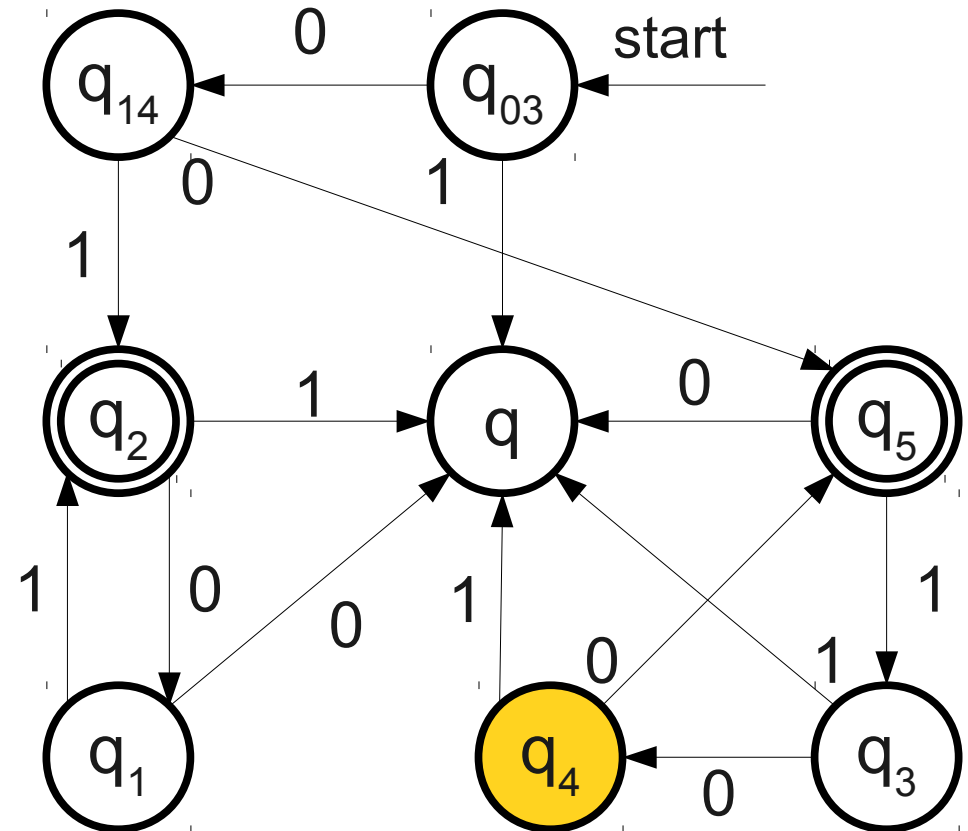
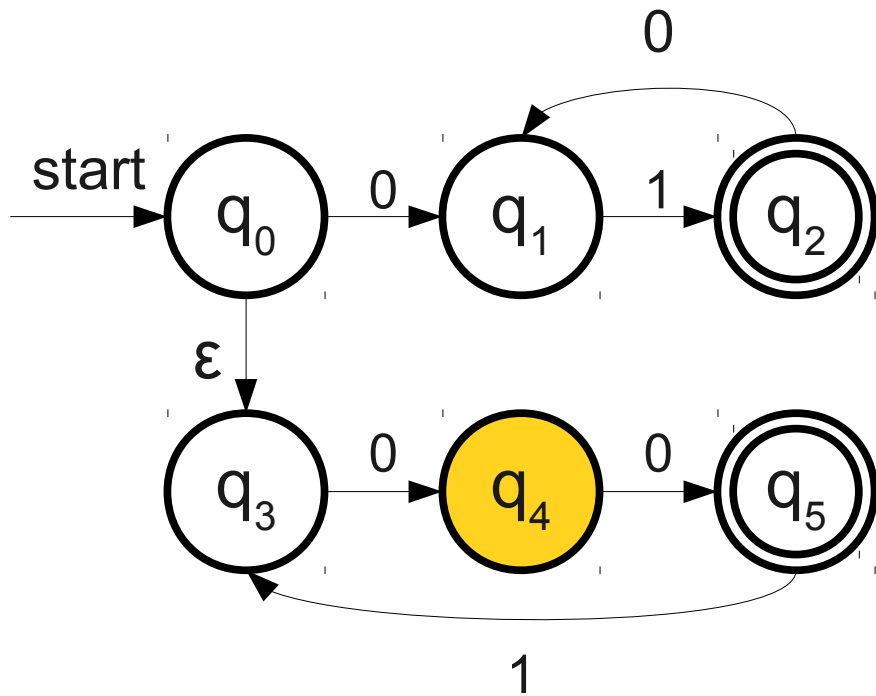
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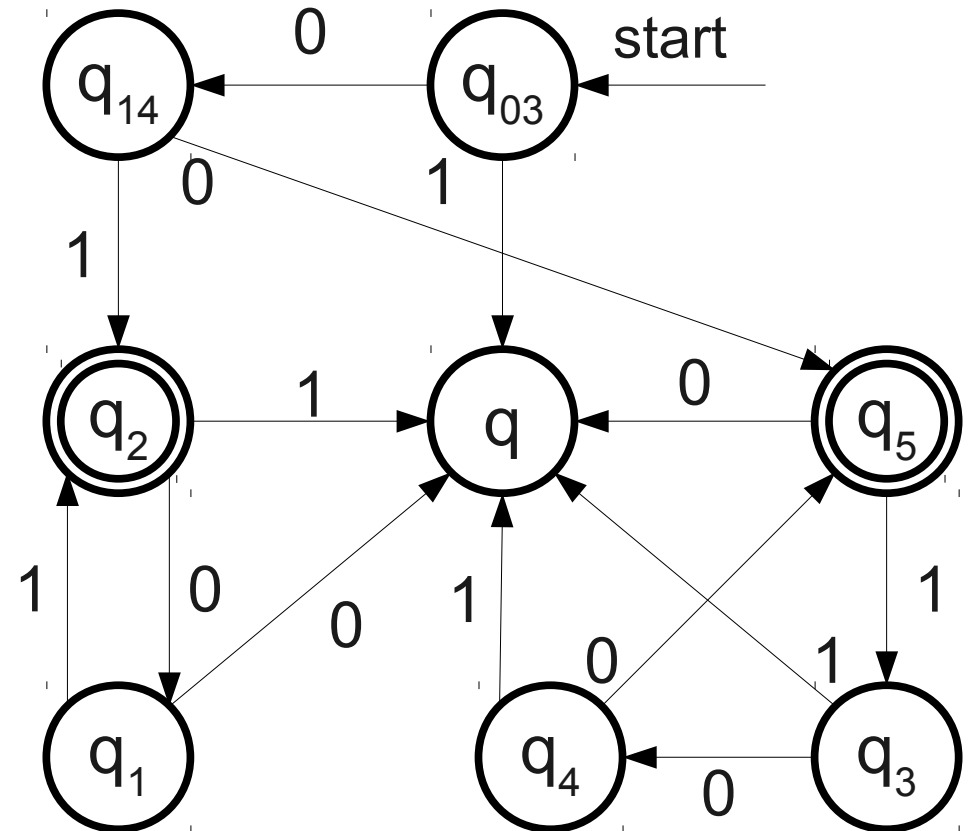
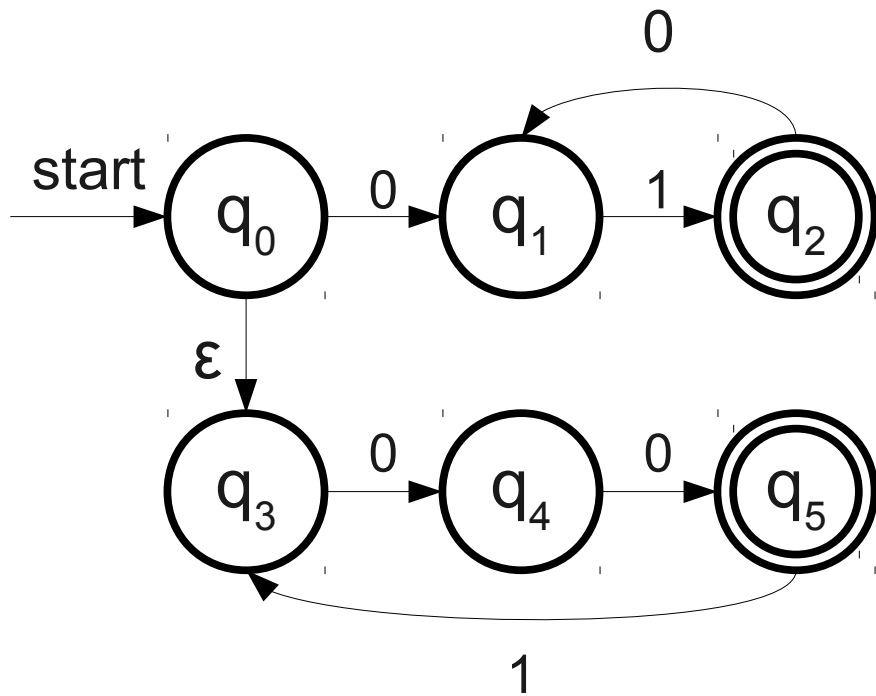
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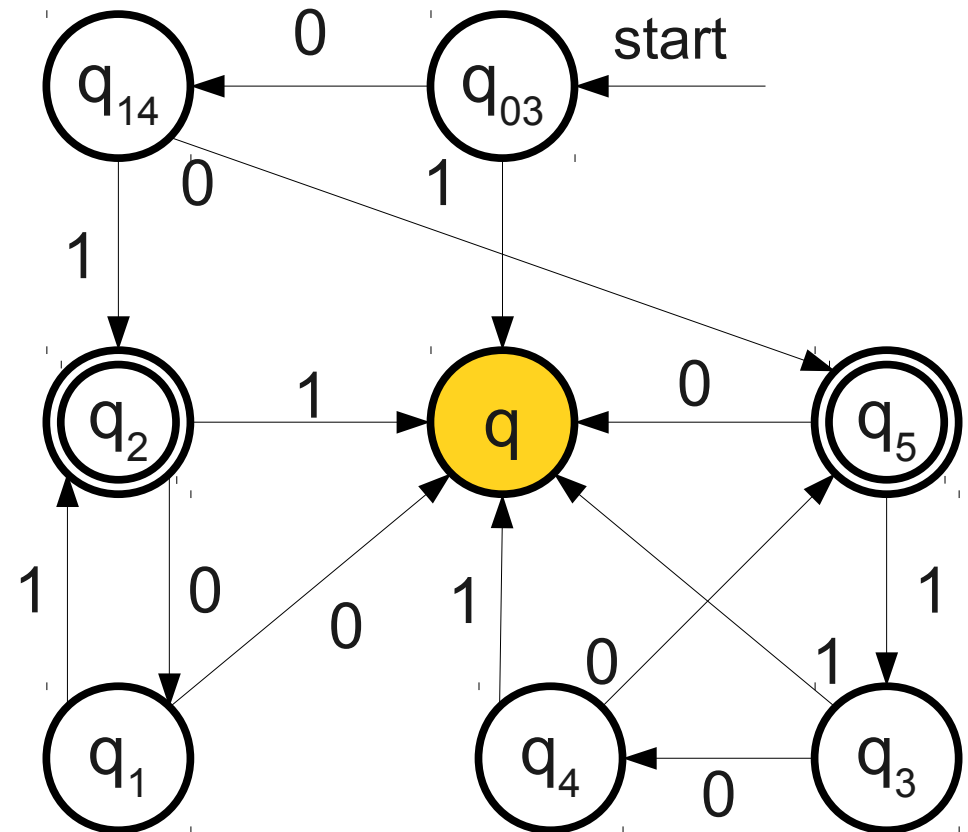
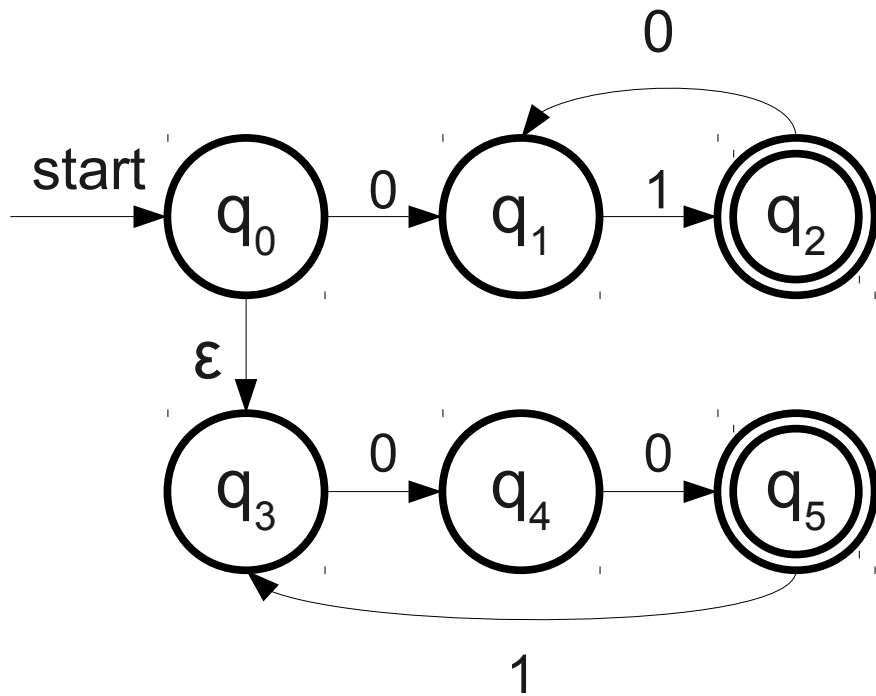
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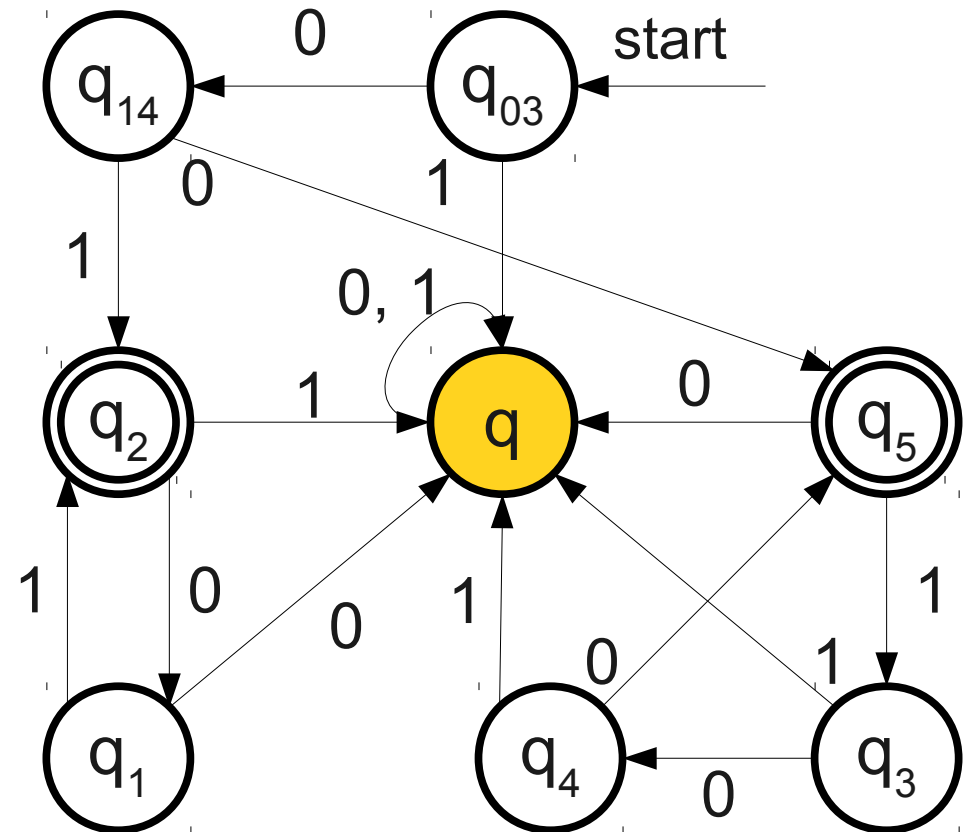
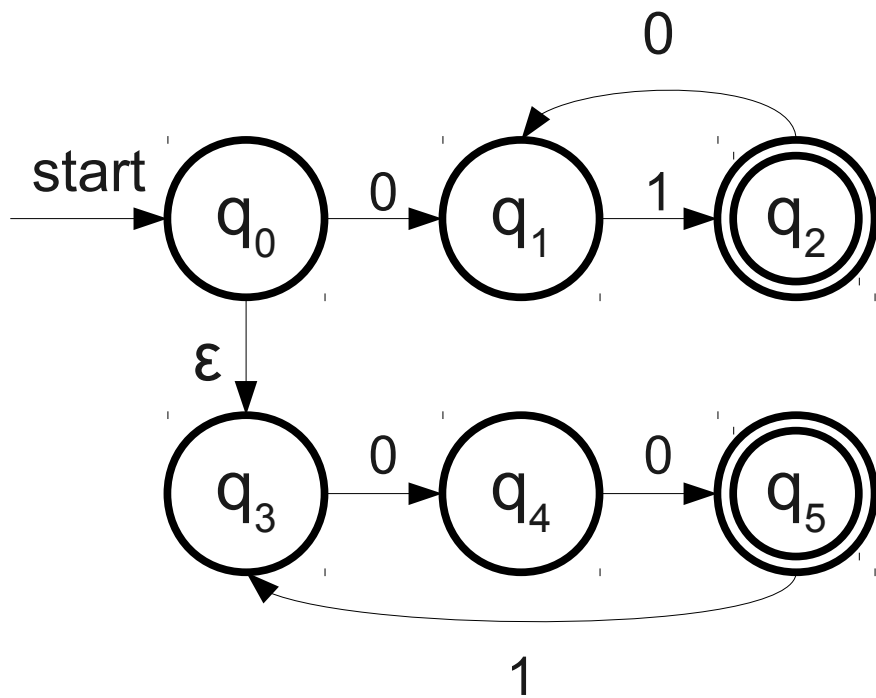
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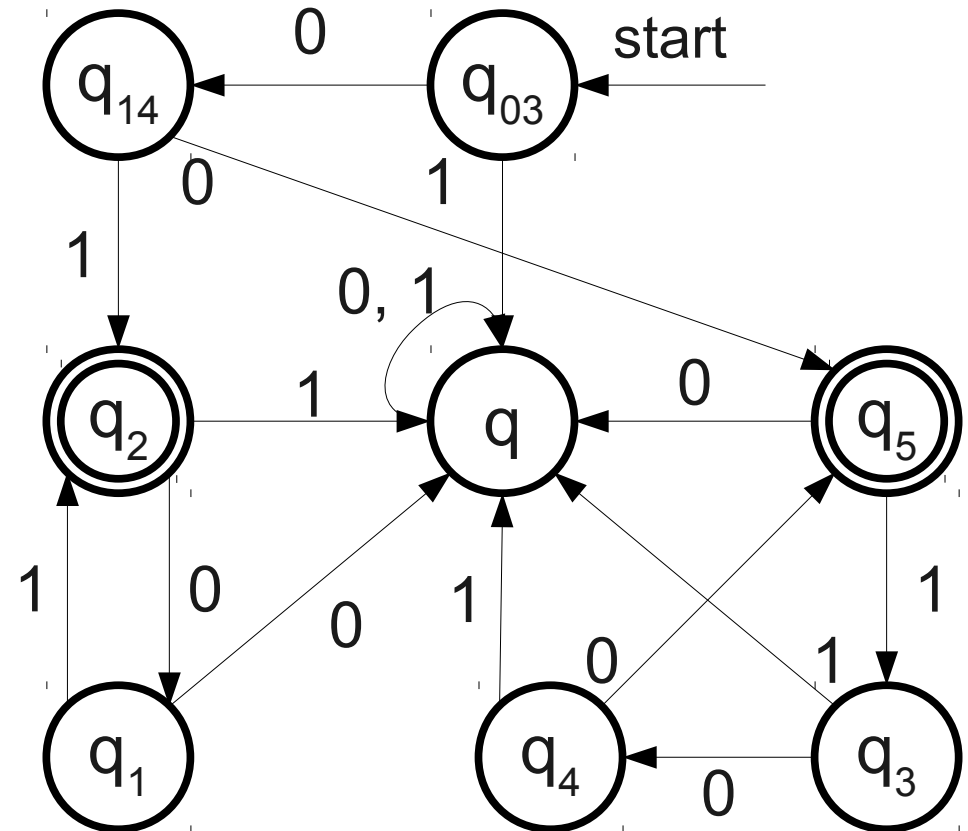
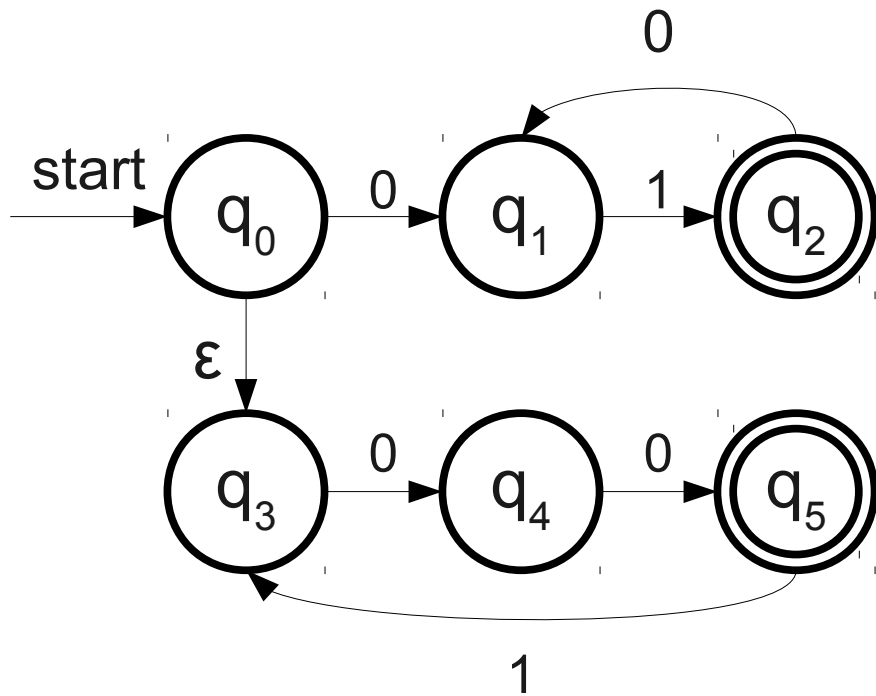
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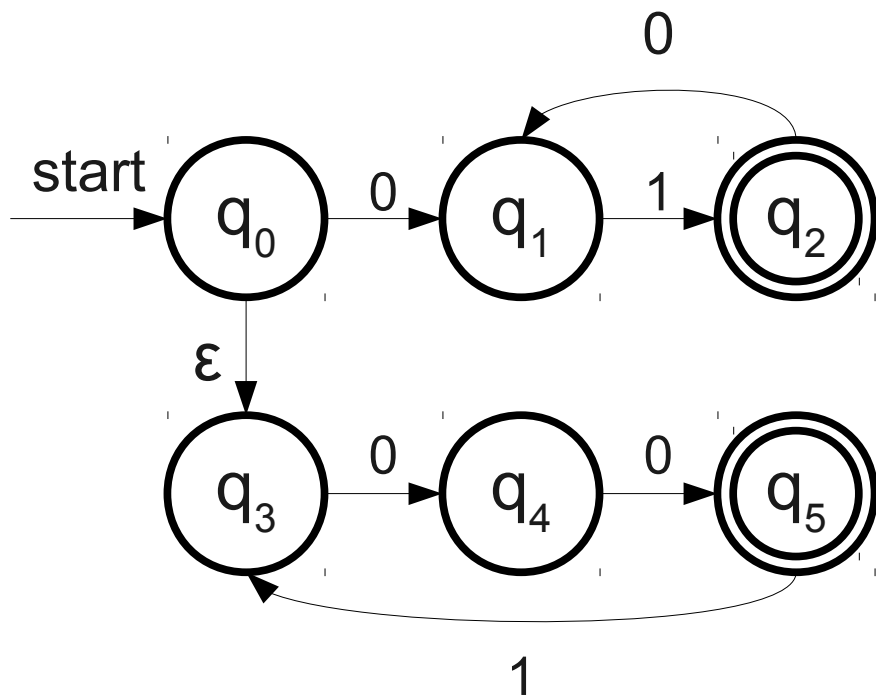
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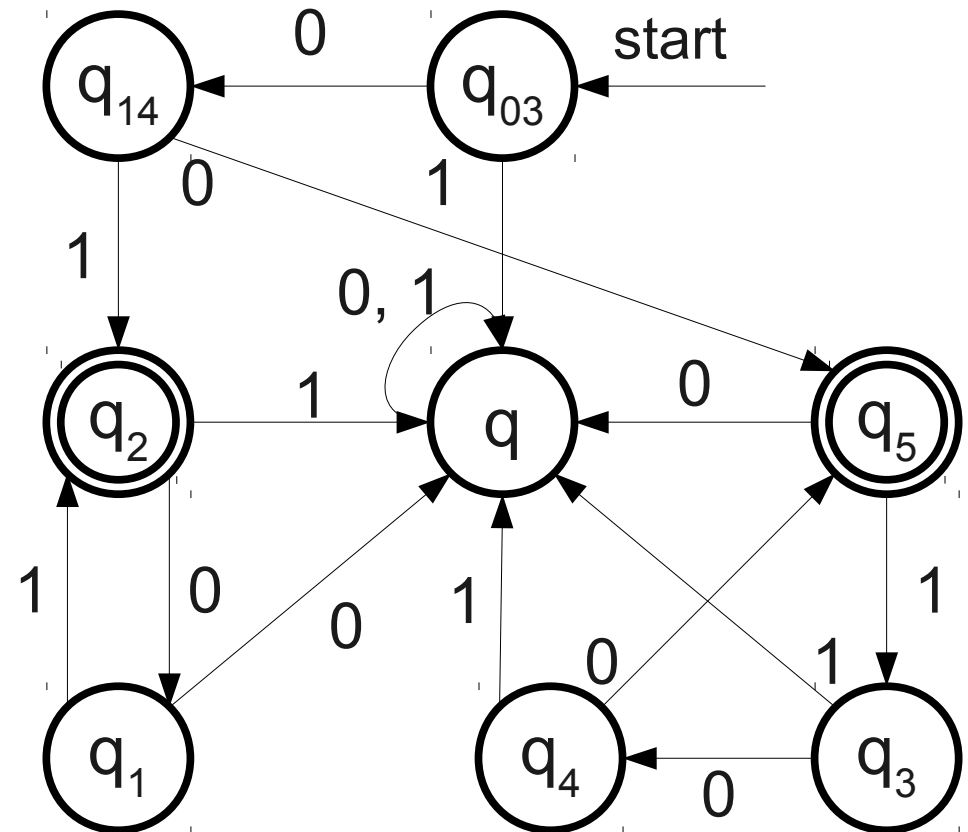
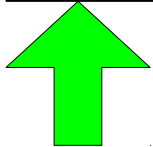
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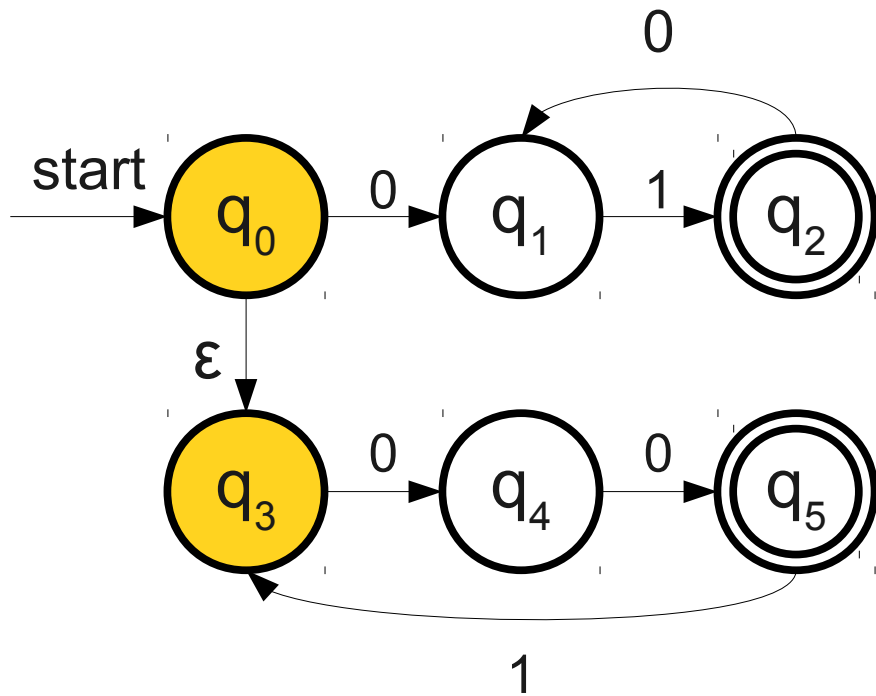
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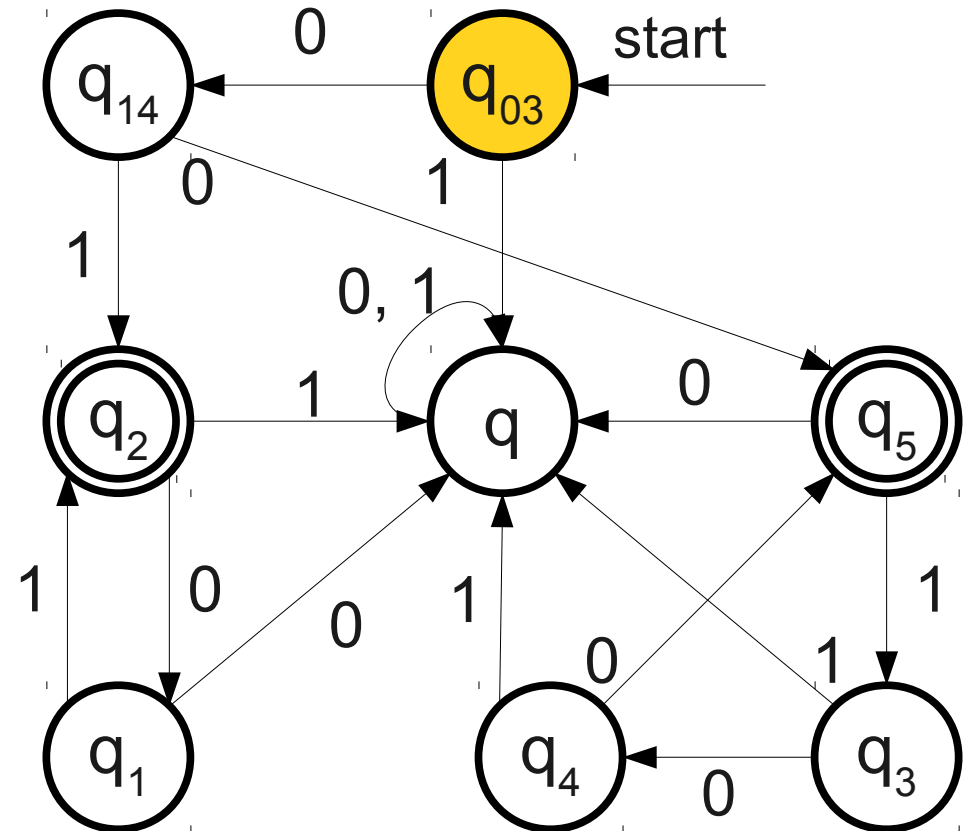
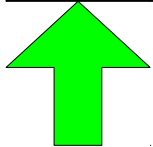
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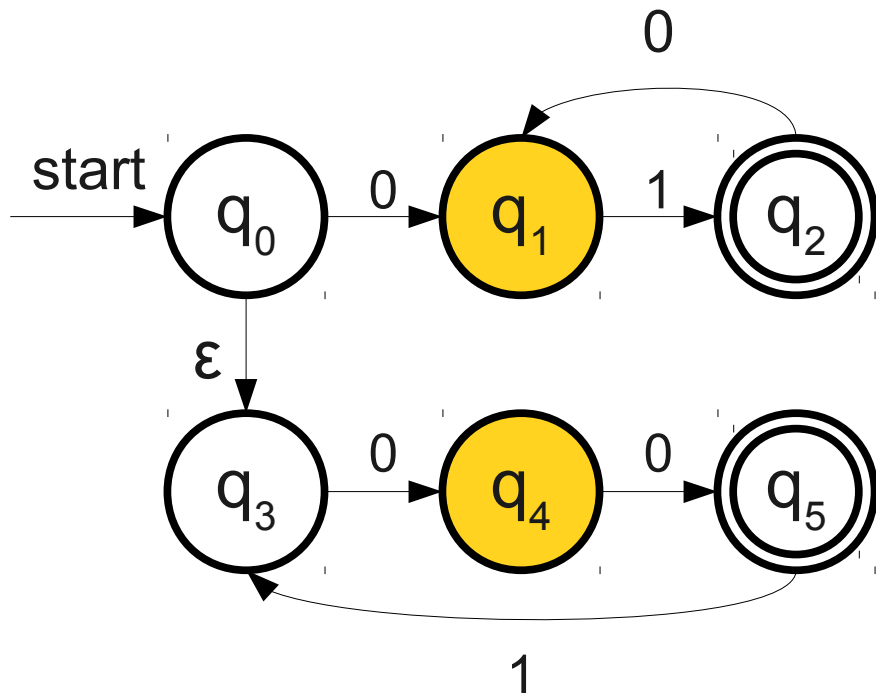
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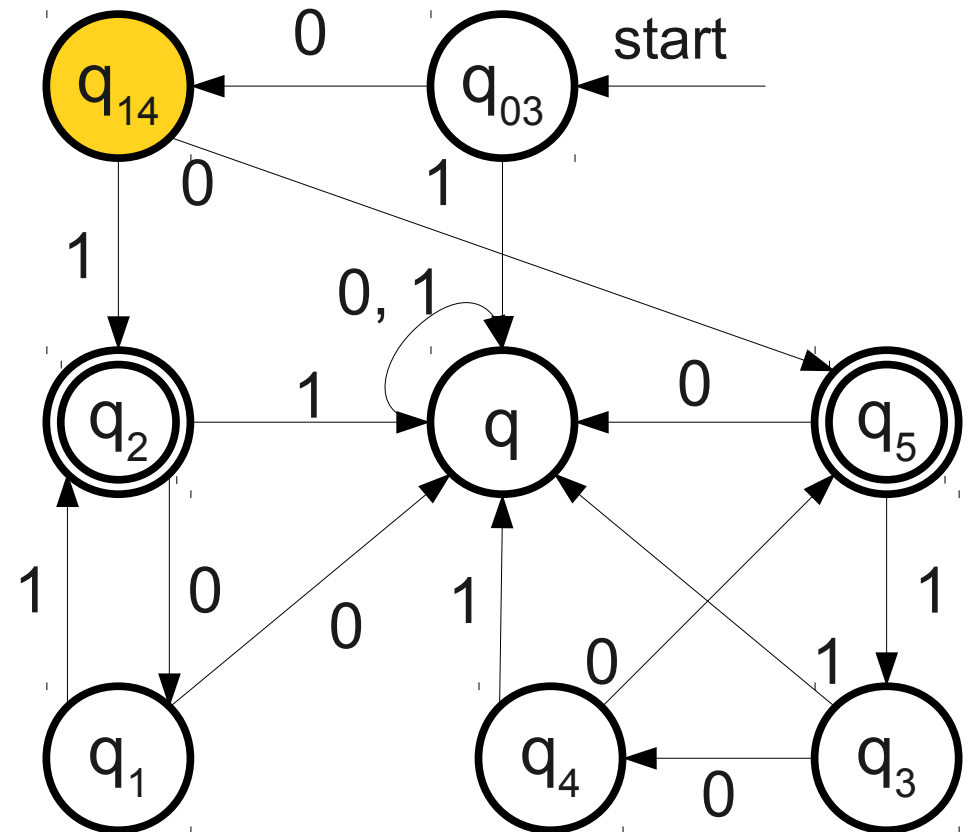
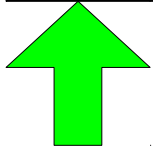
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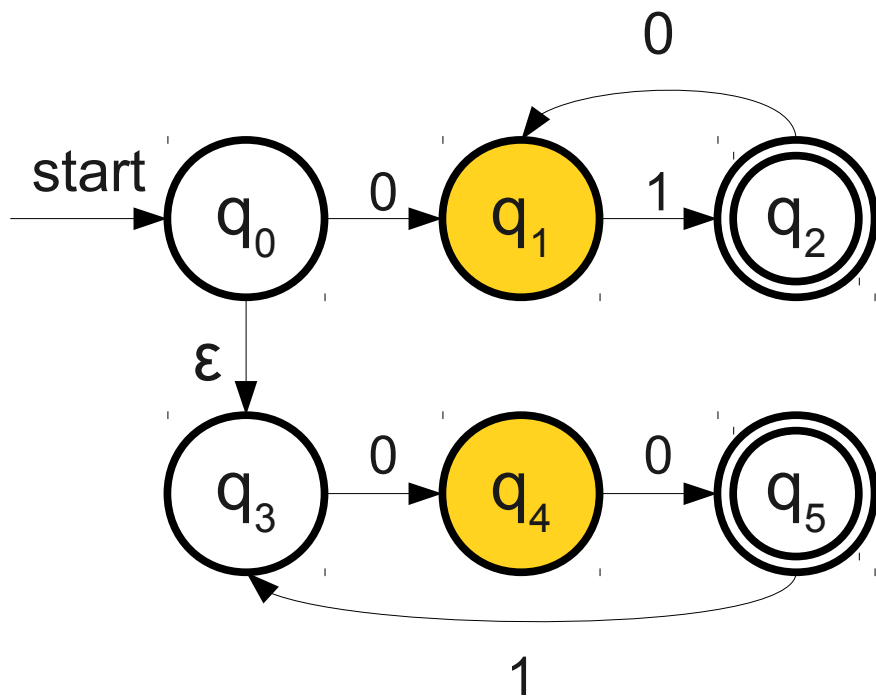
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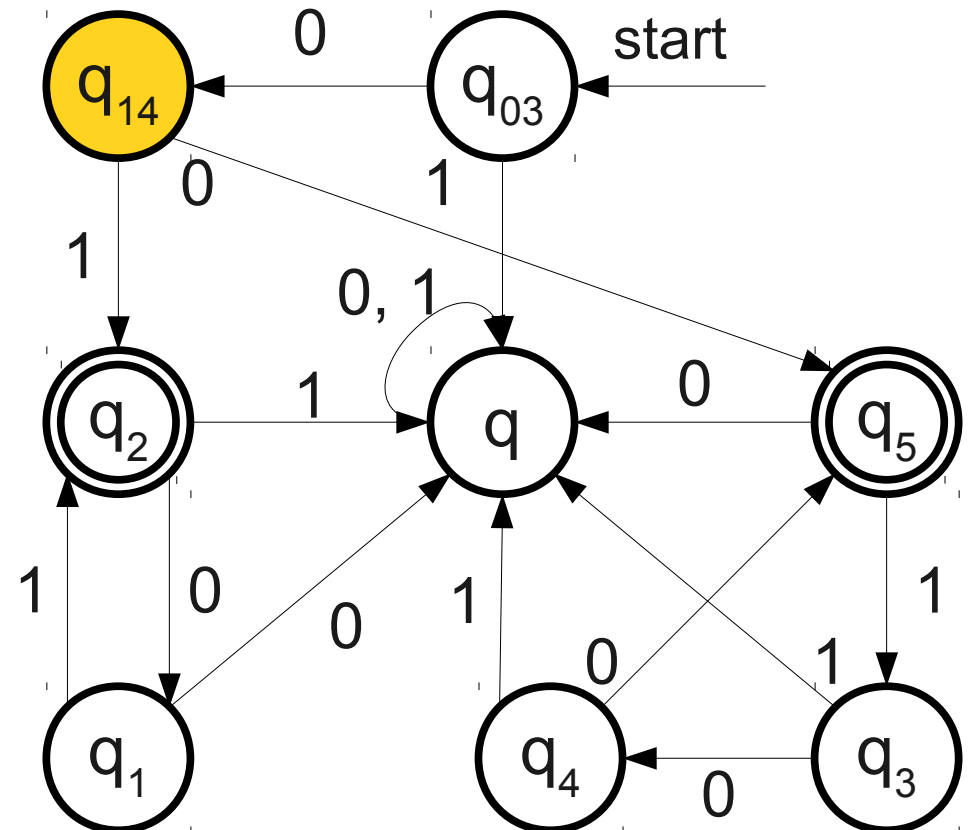
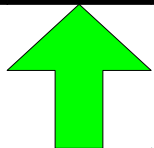
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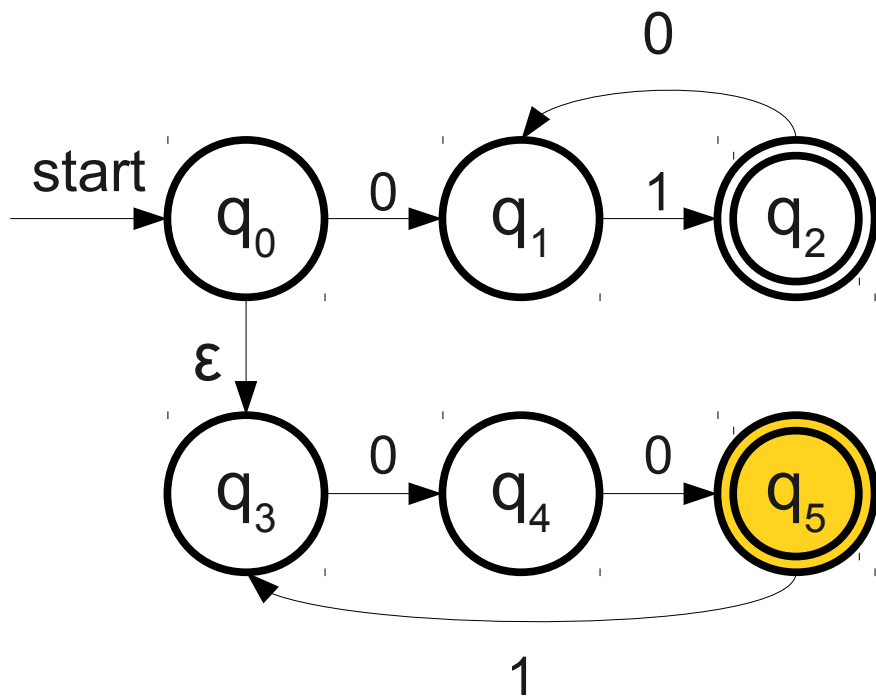
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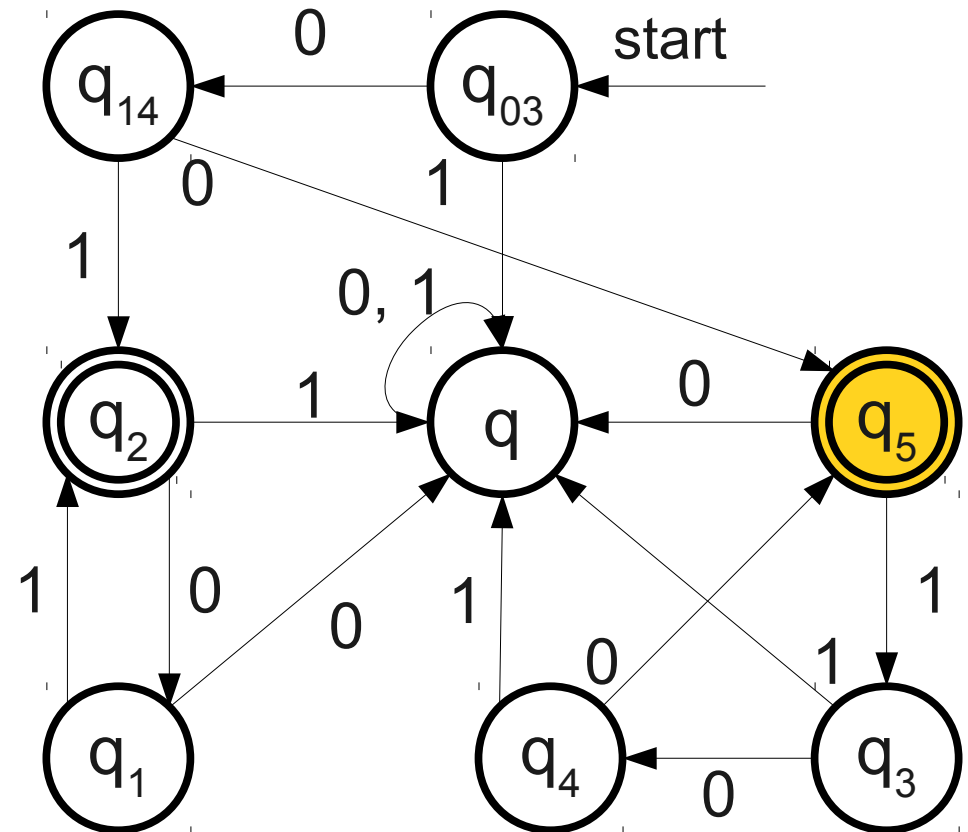
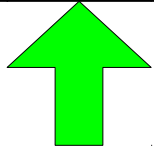
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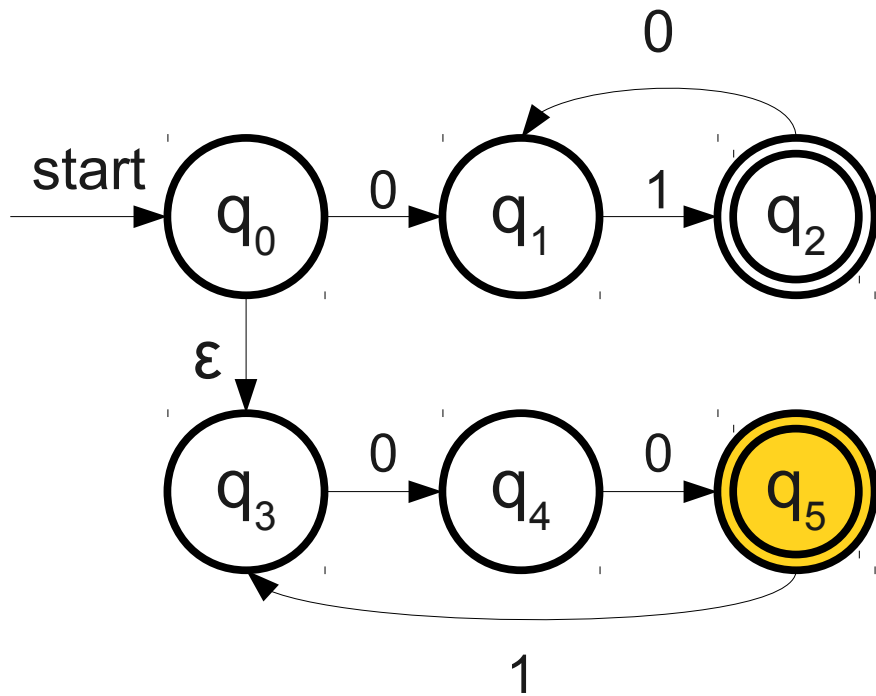
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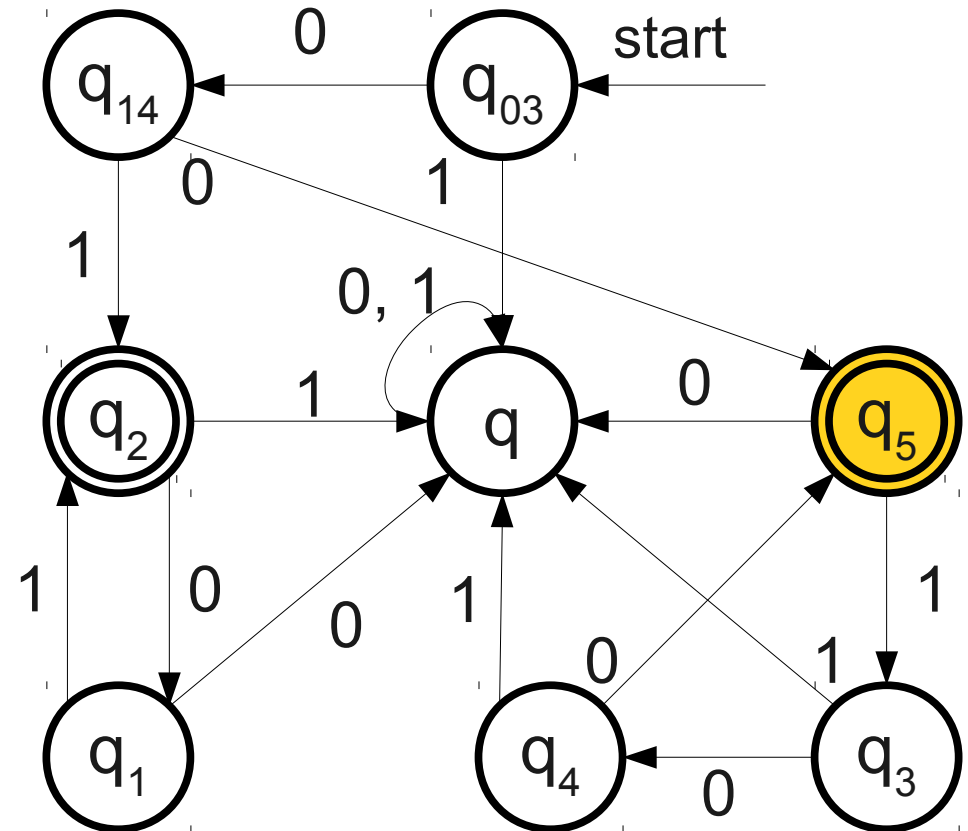
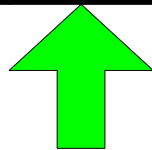
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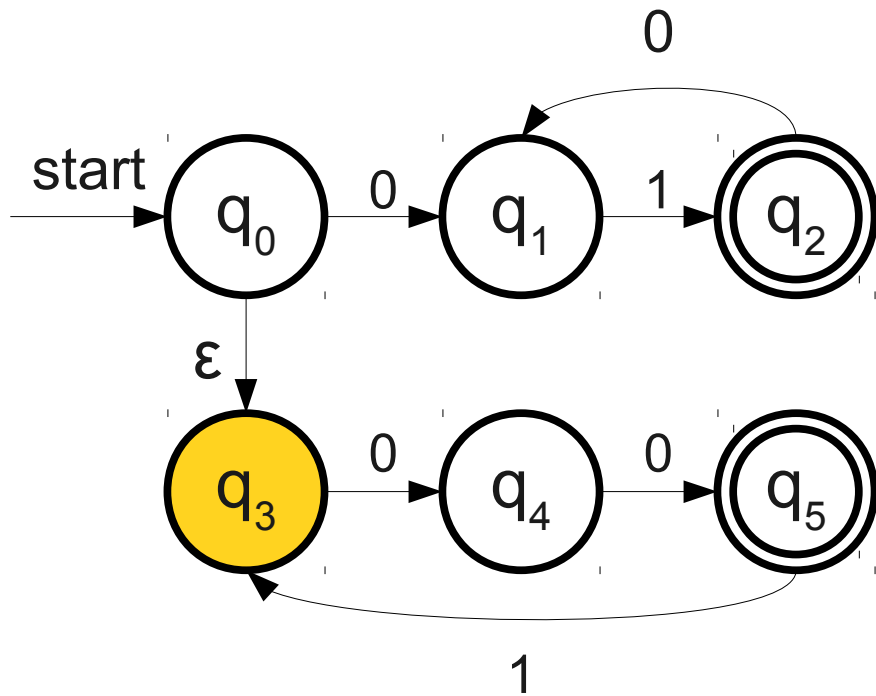
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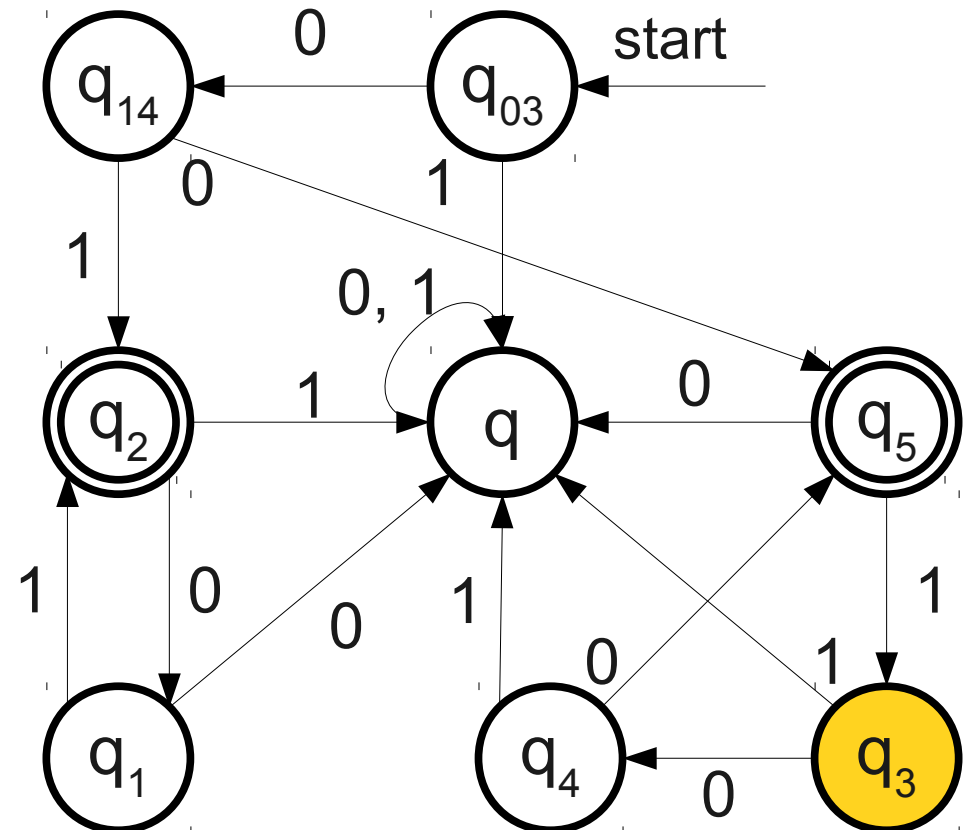
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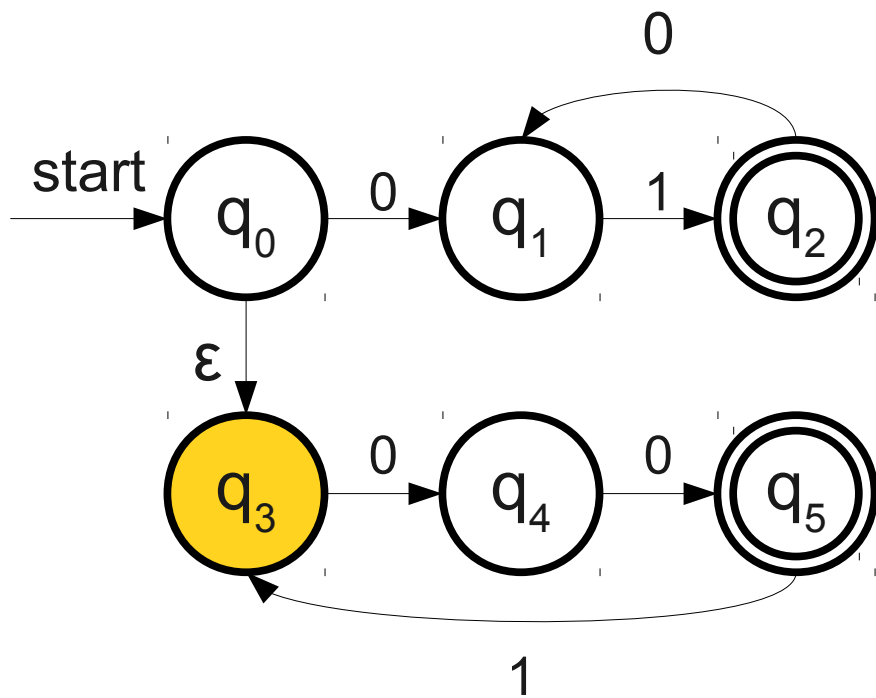
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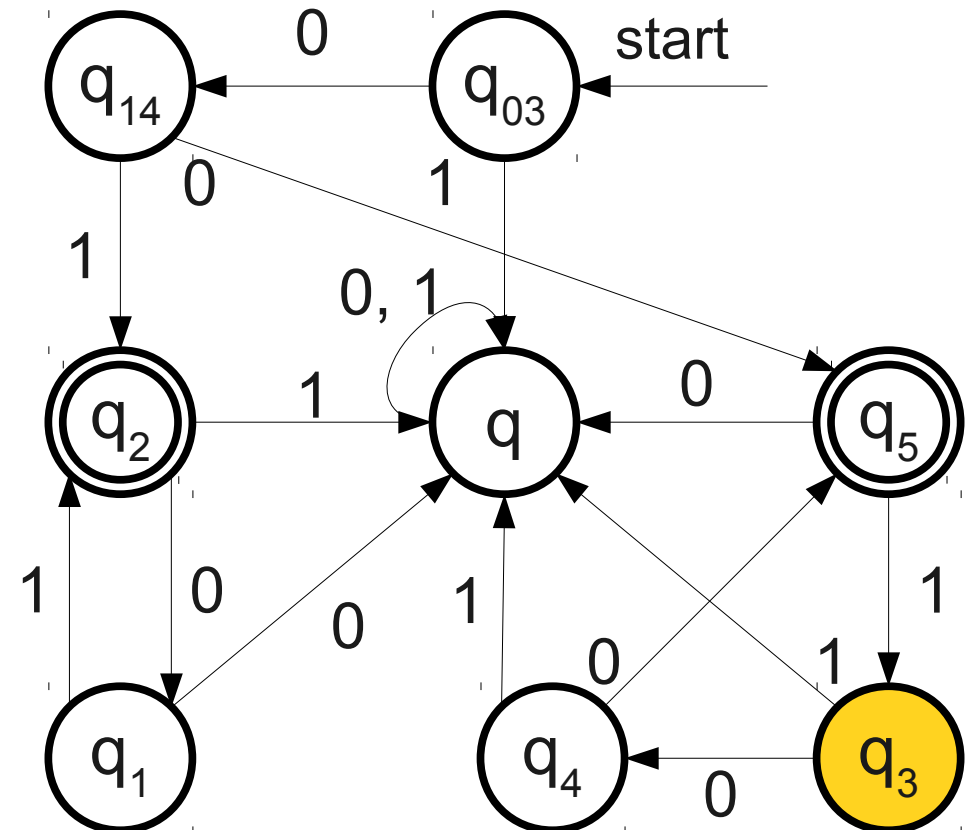
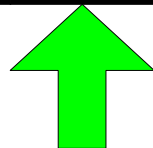
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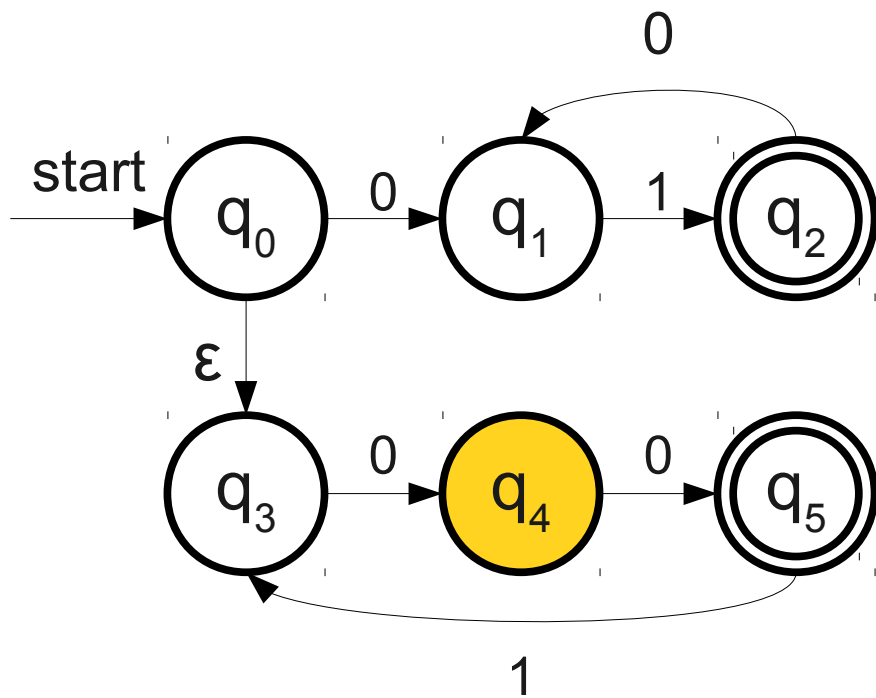
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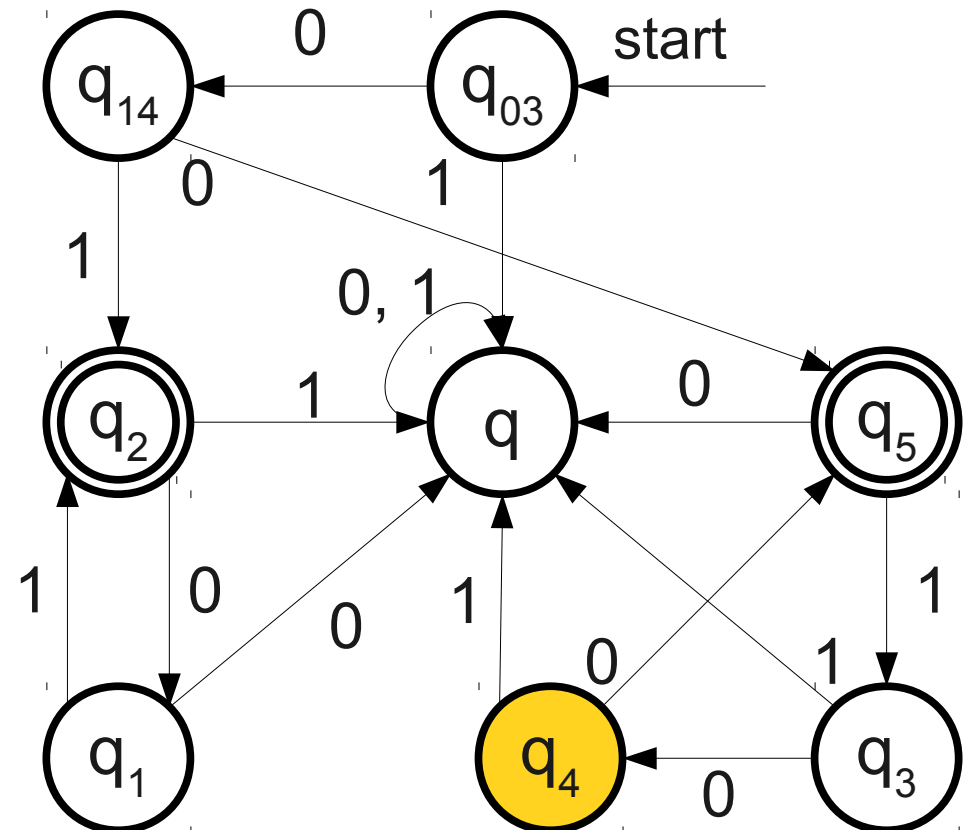
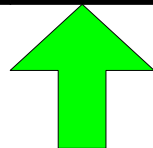
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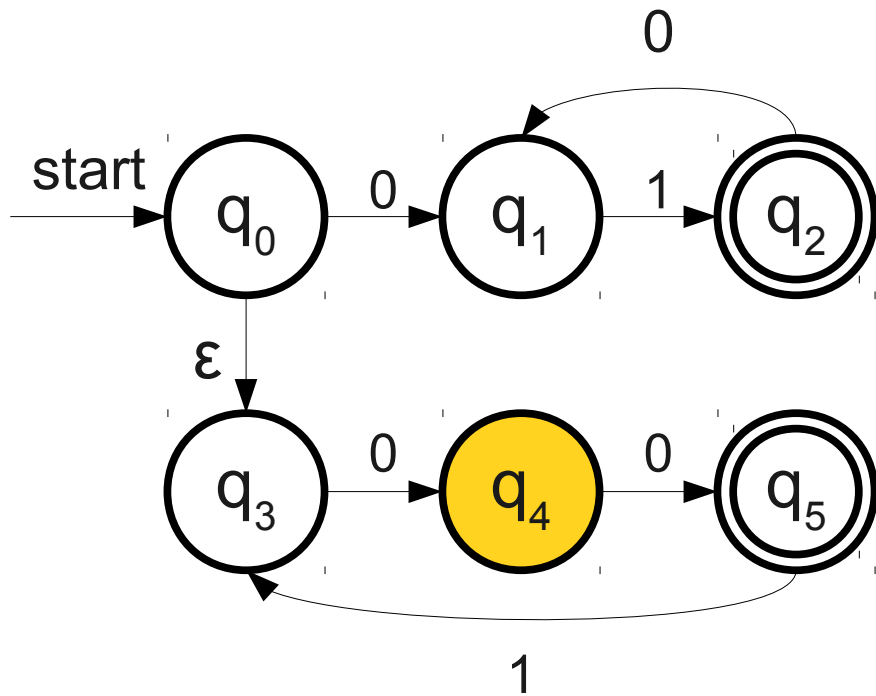
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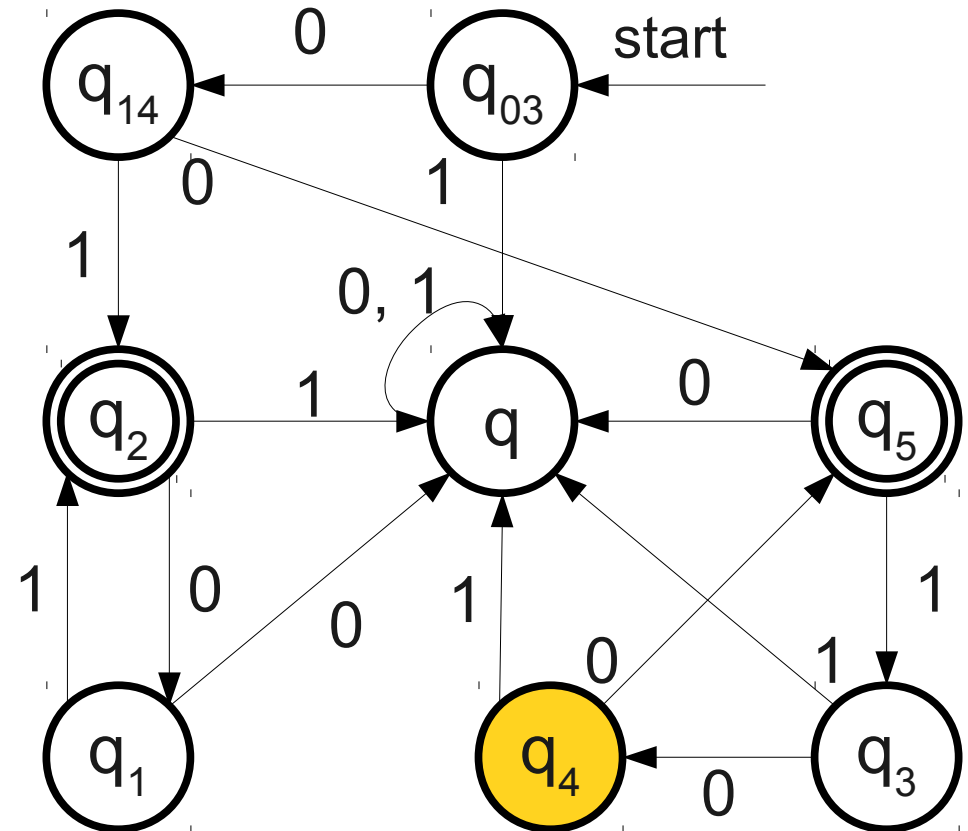
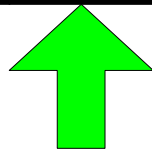
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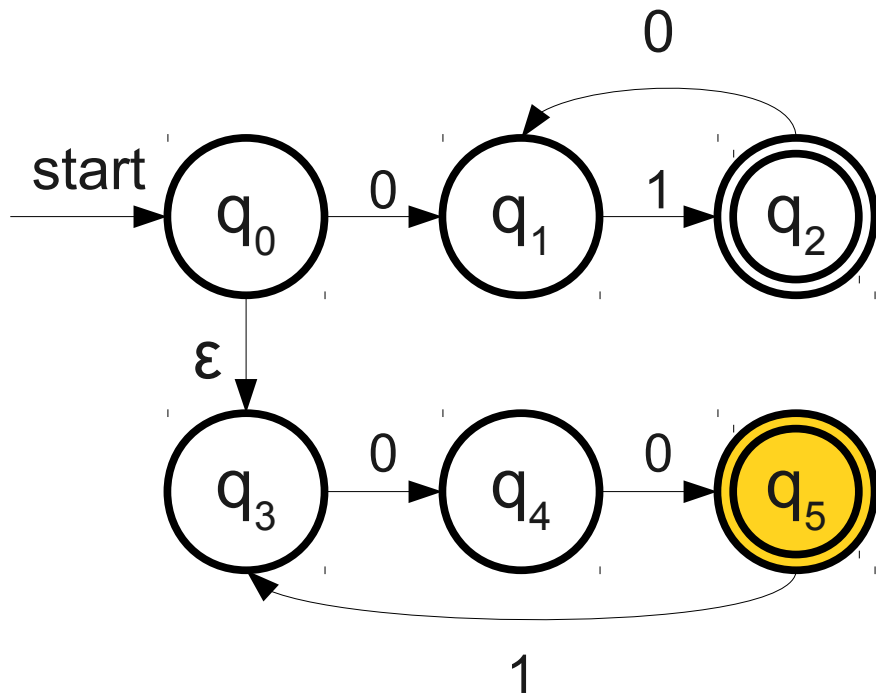
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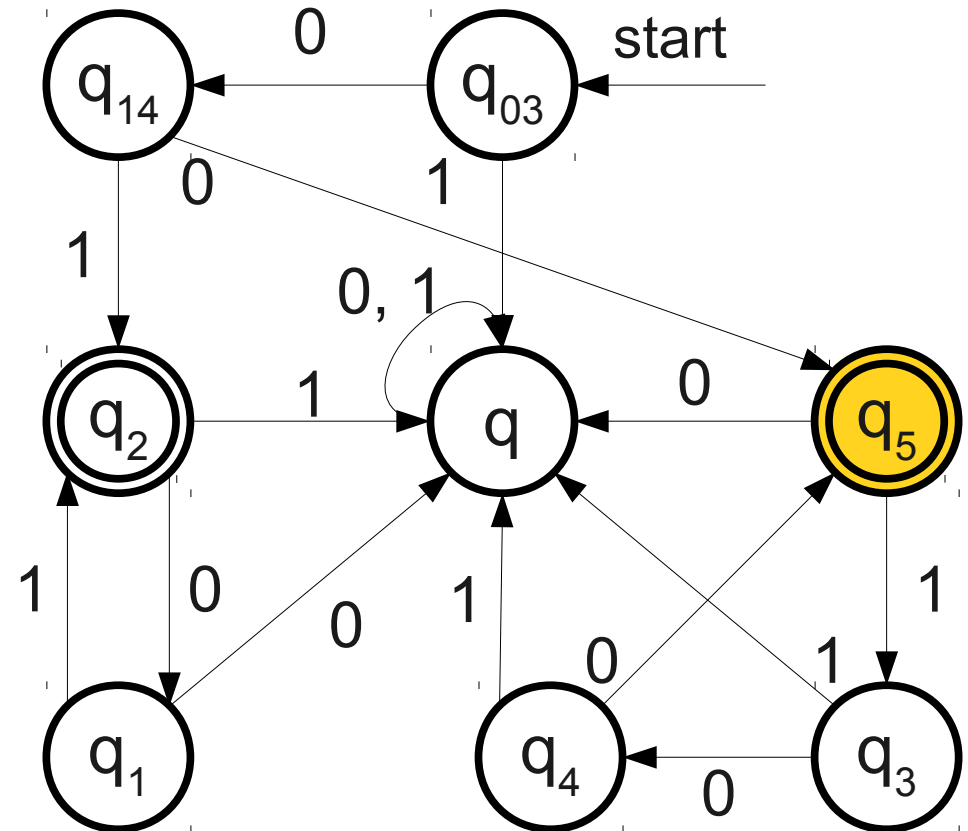
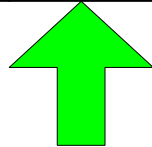
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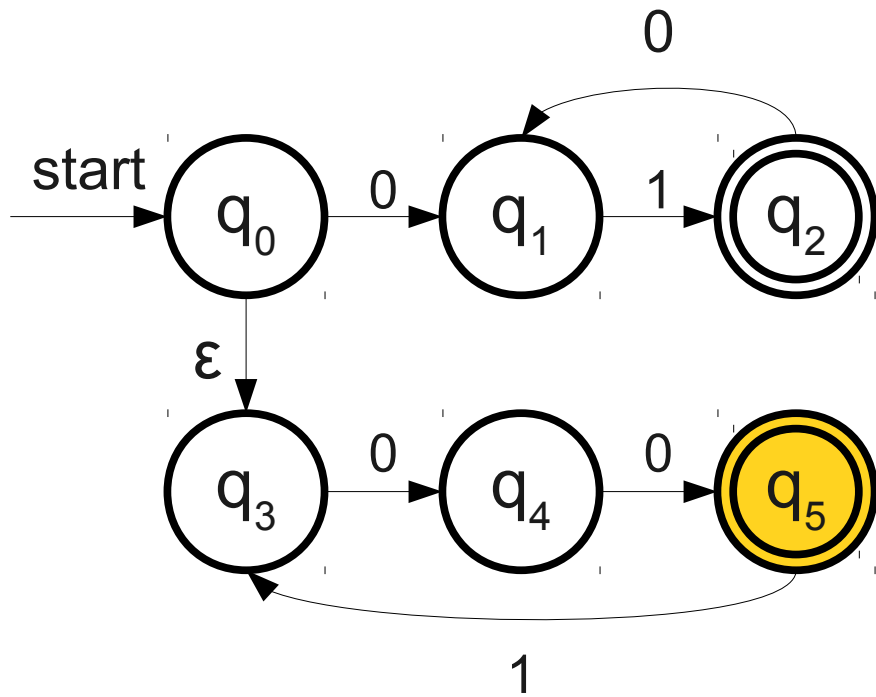
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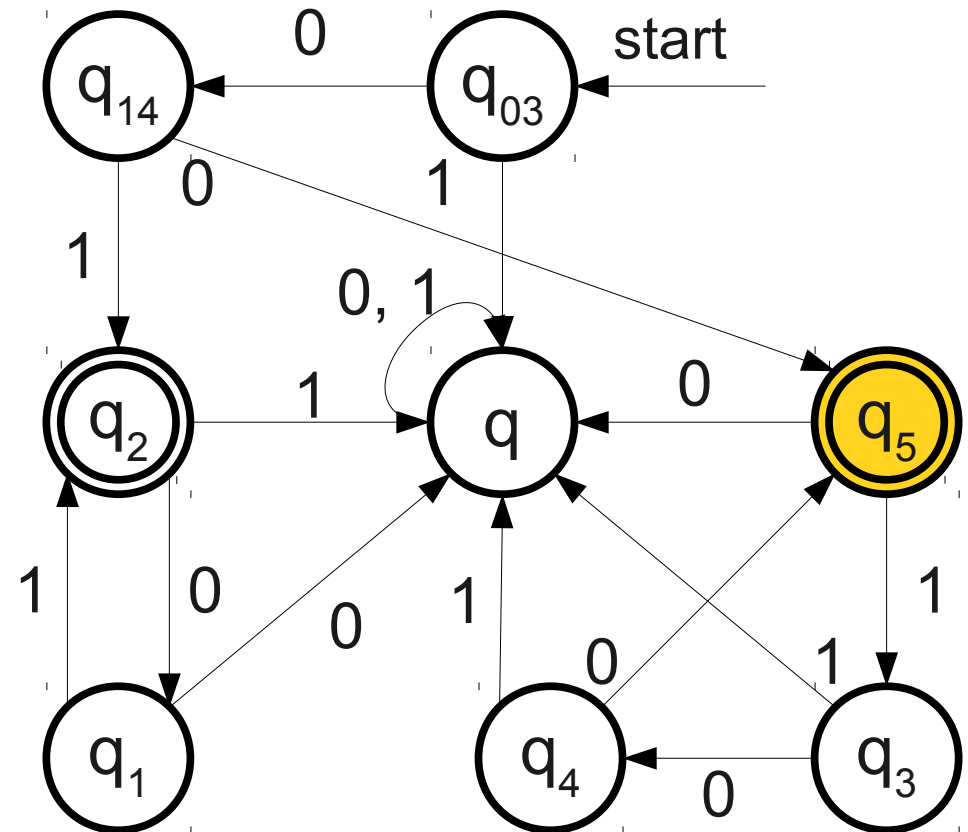
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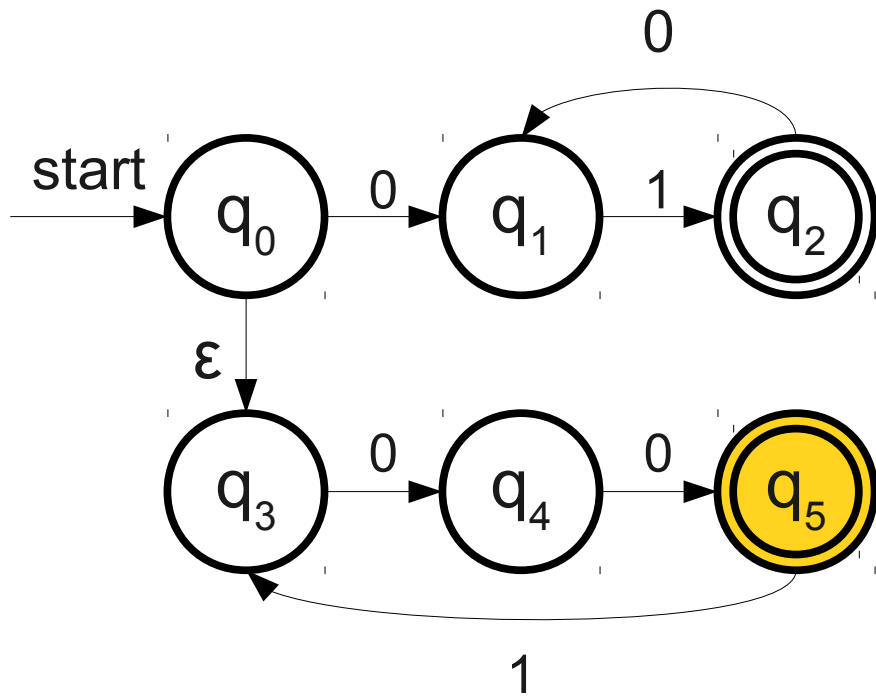
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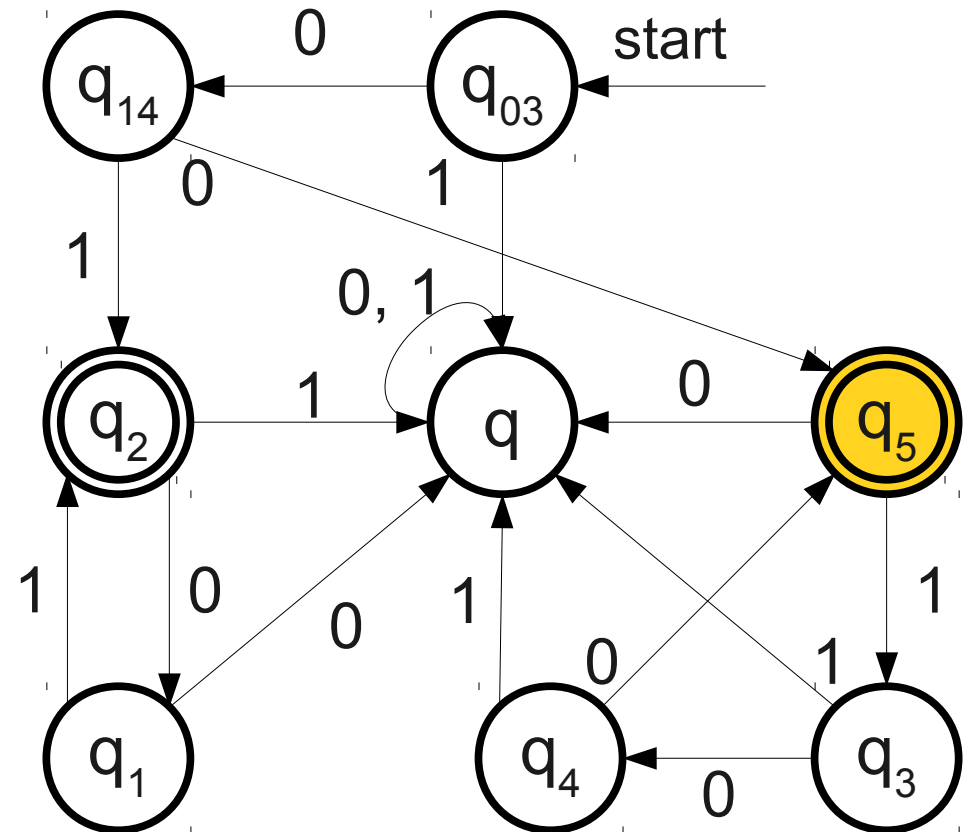
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Simulating an NFA with a DFA



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The Subset Construction

- This construction for transforming an NFA into a DFA is called the **subset construction** (or sometimes the **powerset construction**).
- Intuitively:
 - States of the new DFA correspond to **sets of states** of the NFA.
 - The initial state is the start state, plus all states reachable from the start state via ϵ -transitions.
 - Transition on state S on character a is found by following all possible transitions on a for each state in S , then taking the set of states reachable from there by ϵ -transitions.
 - Accepting states are any set of states where *some* state in the set is an accepting state.
- **Read Sipser for a formal account.**

The Subset Construction

- In converting an NFA to a DFA, the DFA's states correspond to sets of NFA states.
- Fact: $|\wp(S)| = 2^{|S|}$ for any finite set S .
- In the worst-case, the construction can result in a DFA that is *exponentially larger* than the original NFA.
- Interesting challenge: Find a language for which this worst-case behavior occurs (there are infinitely many of them!)

A language L is called a **regular language** iff there exists a DFA D such that $\mathcal{L}(D) = L$.

An Important Result

Theorem: A language L is regular iff there is some NFA N such that $\mathcal{L}(N) = L$.

Proof Sketch: If L is regular, there exists some DFA for it, which we can easily convert into an NFA. If L is accepted by some NFA, we can use the subset construction to convert it into a DFA that accepts the same language, so L is regular. ■

Why This Matters

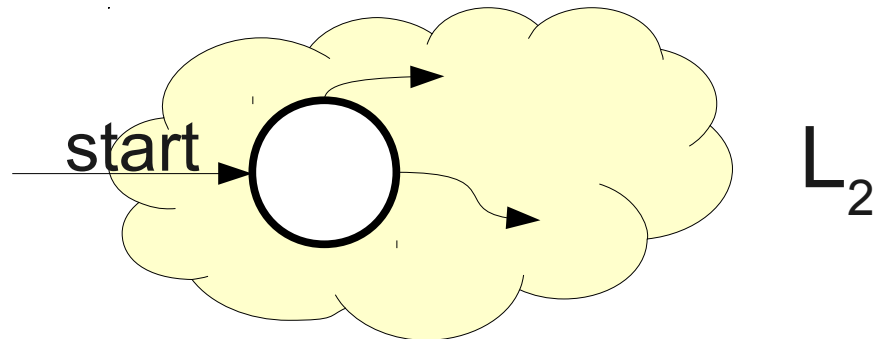
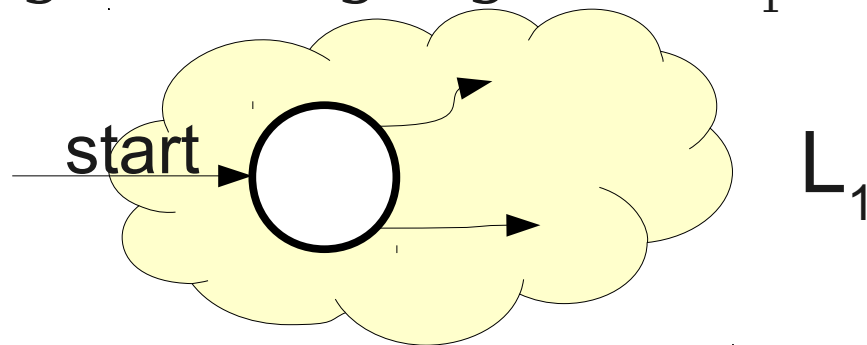
- Constructions on DFAs allowed us to prove that regular languages are closed under complement, intersection, and difference.
- We can now also use constructions on NFAs to prove that regular languages are closed under other properties.

The Union of Two Languages

- If L_1 and L_2 are languages over the alphabet Σ , the language $L_1 \cup L_2$ is the language of all strings in at least one of the two languages.
- If L_1 and L_2 are regular languages, is $L_1 \cup L_2$?

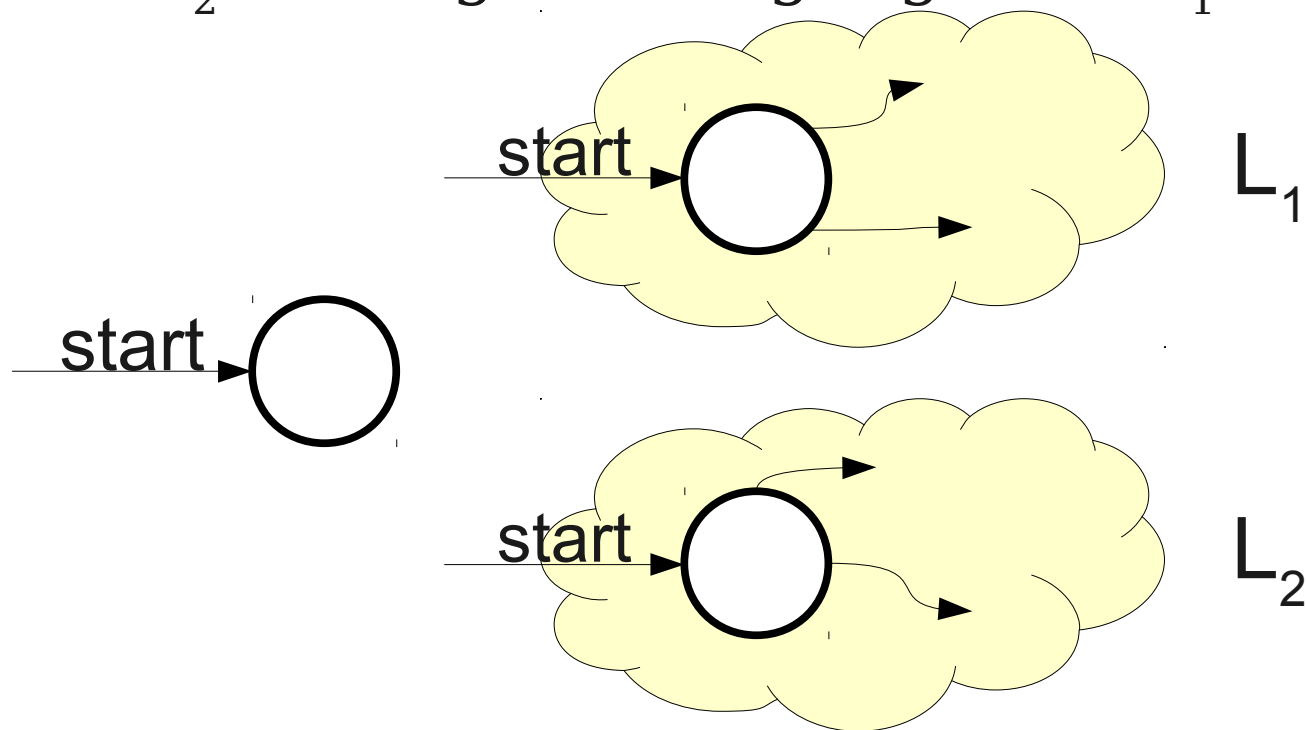
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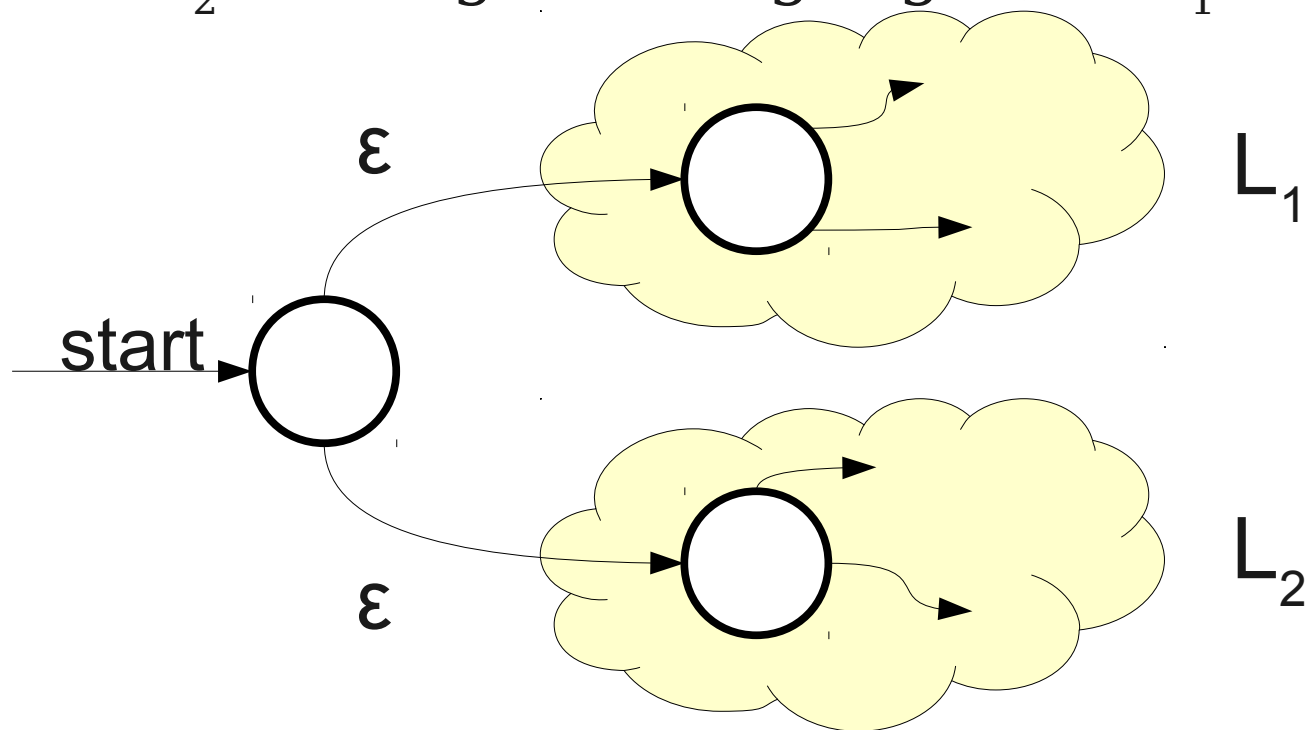
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The Intersection of Two Languages

- If L_1 and L_2 are languages over Σ , then $L_1 \cap L_2$ is the language of strings in both L_1 and L_2 .
- Question: If L_1 and L_2 are regular, is $L_1 \cap L_2$ regular as well?

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L_1

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\bar{L}_1

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$$\overline{\overline{L_1} \cup \overline{L_2}}$$

Concatenation

- The **concatenation** of two languages L_1 and L_2 over the alphabet Σ is the language

$$L_1 L_2 = \{ wx \in \Sigma^* \mid w \in L_1 \wedge x \in L_2 \}$$

- The set of strings that can be split into two pieces: a string from L_1 and a string from L_2 .

Concatenation Example

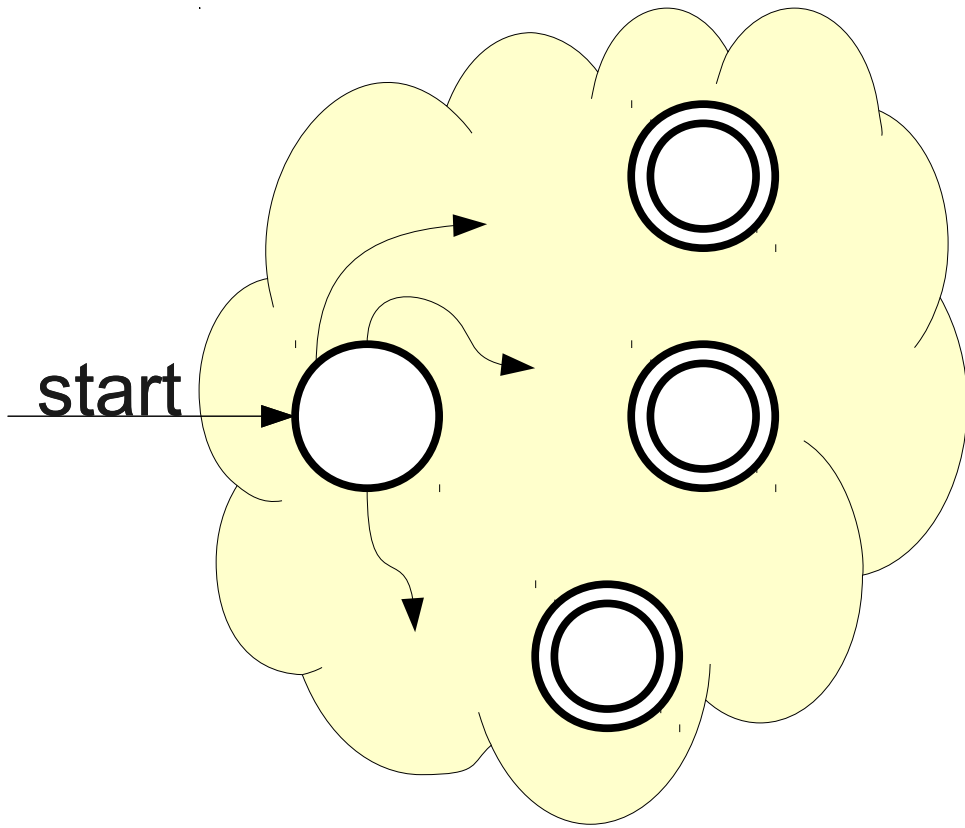
- Let $\Sigma = \{ a, b, \dots, z, A, B, \dots, Z \}$ and consider these languages over Σ :
 - **Noun** = { Velociraptor, Rainbow, Whale, ... }
 - **Verb** = { Eats, Juggles, Loves, ... }
 - **The** = { The }
- The language **TheNounVerbTheNoun** is
 - { TheVelociraptorEatsTheWhale,
TheWhaleLovesTheRainbow,
TheRainbowJugglesTheRainbow, ... }

Concatenating Regular Languages

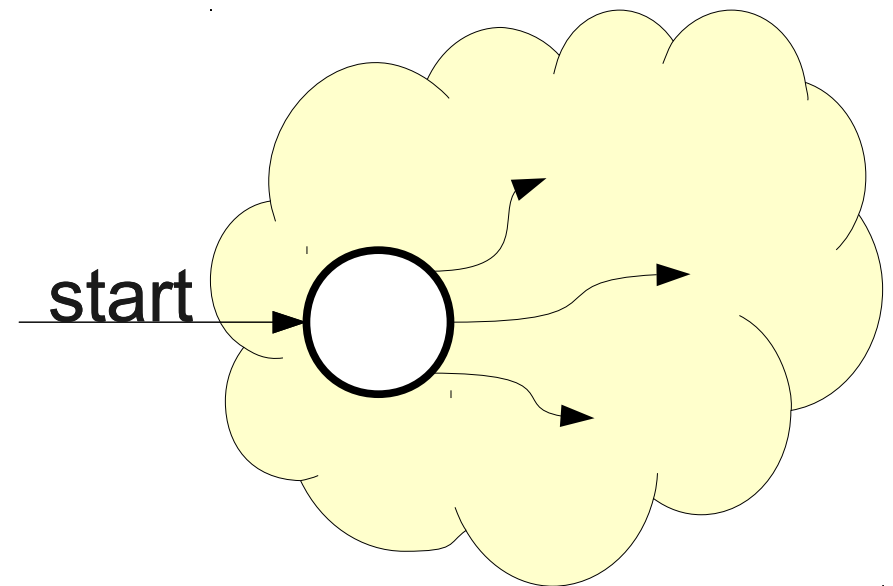
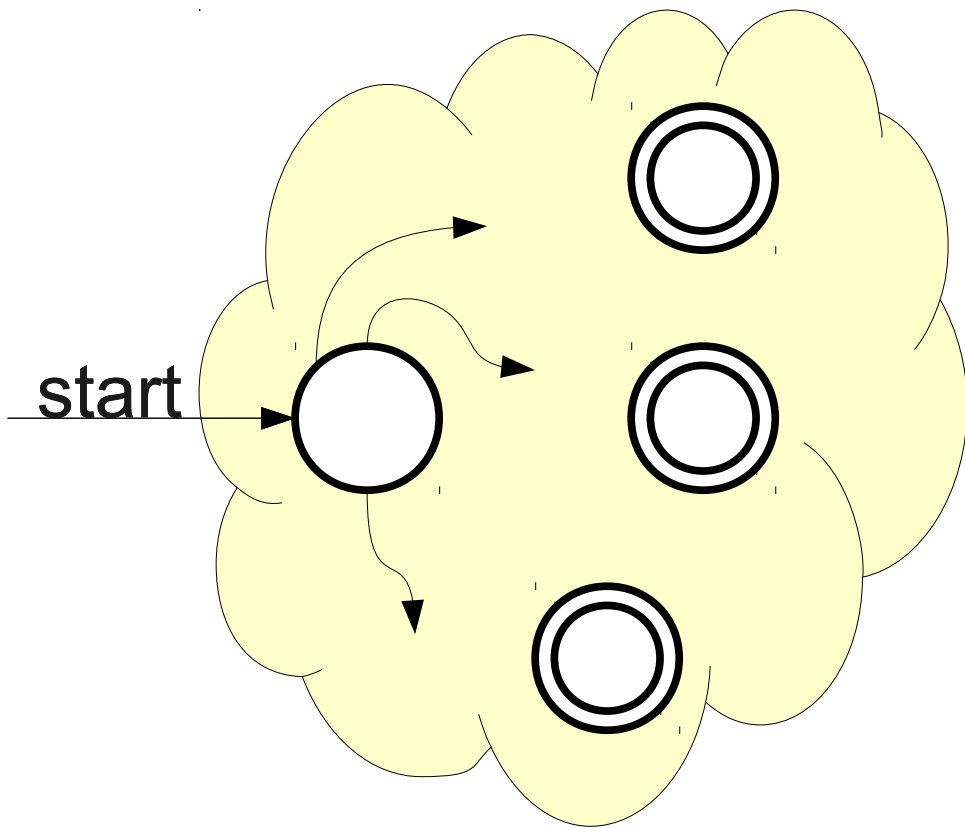
- If L_1 and L_2 are regular languages, is L_1L_2 ?
- Intuition - can we split a string w into two strings xy such that $x \in L_1$ and $y \in L_2$?
- **Idea:** Run the automaton for L_1 on w , and whenever L_1 reaches an accepting state, optionally hand the rest off w to L_2 .
 - If L_2 accepts the remainder, then L_1 accepted the first part and the string is in L_1L_2 .
 - If L_2 rejects the remainder, then the split was incorrect.

Concatenating Regular Languages

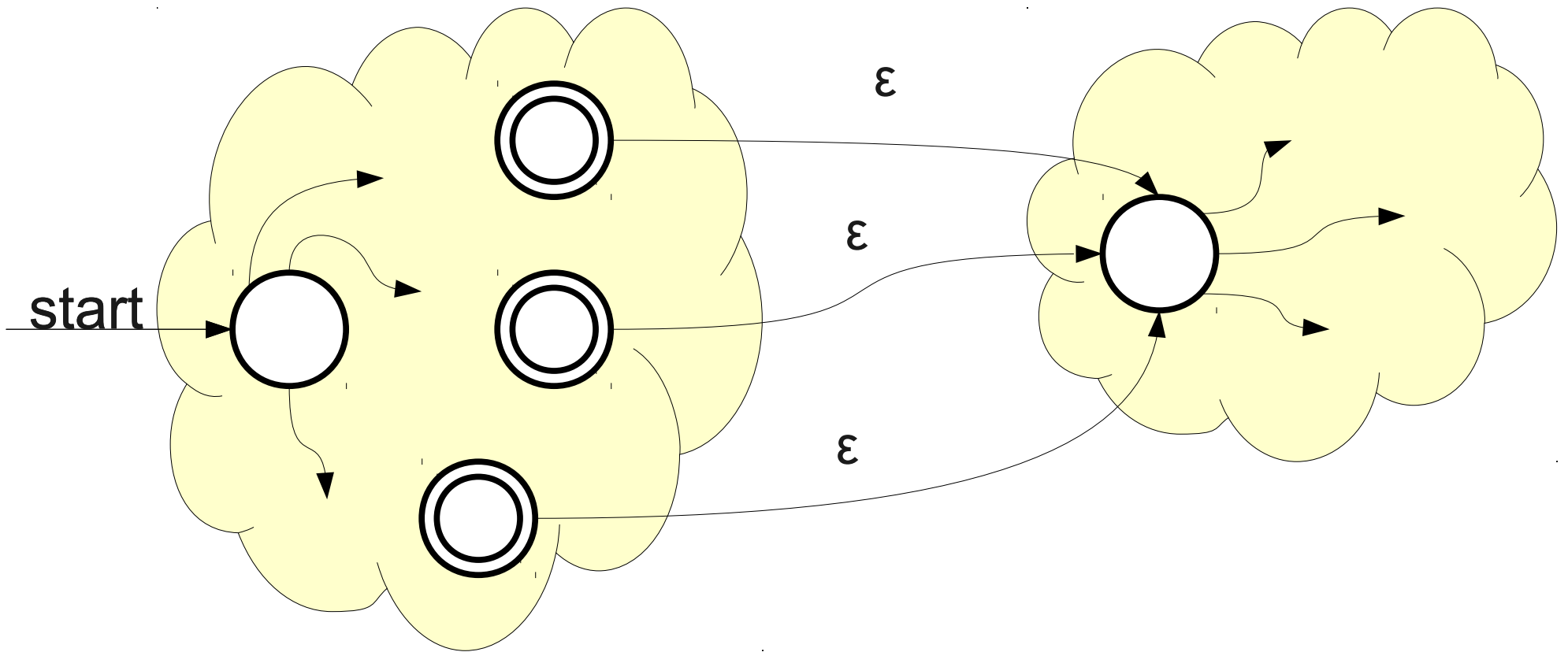
Concatenating Regular Languages



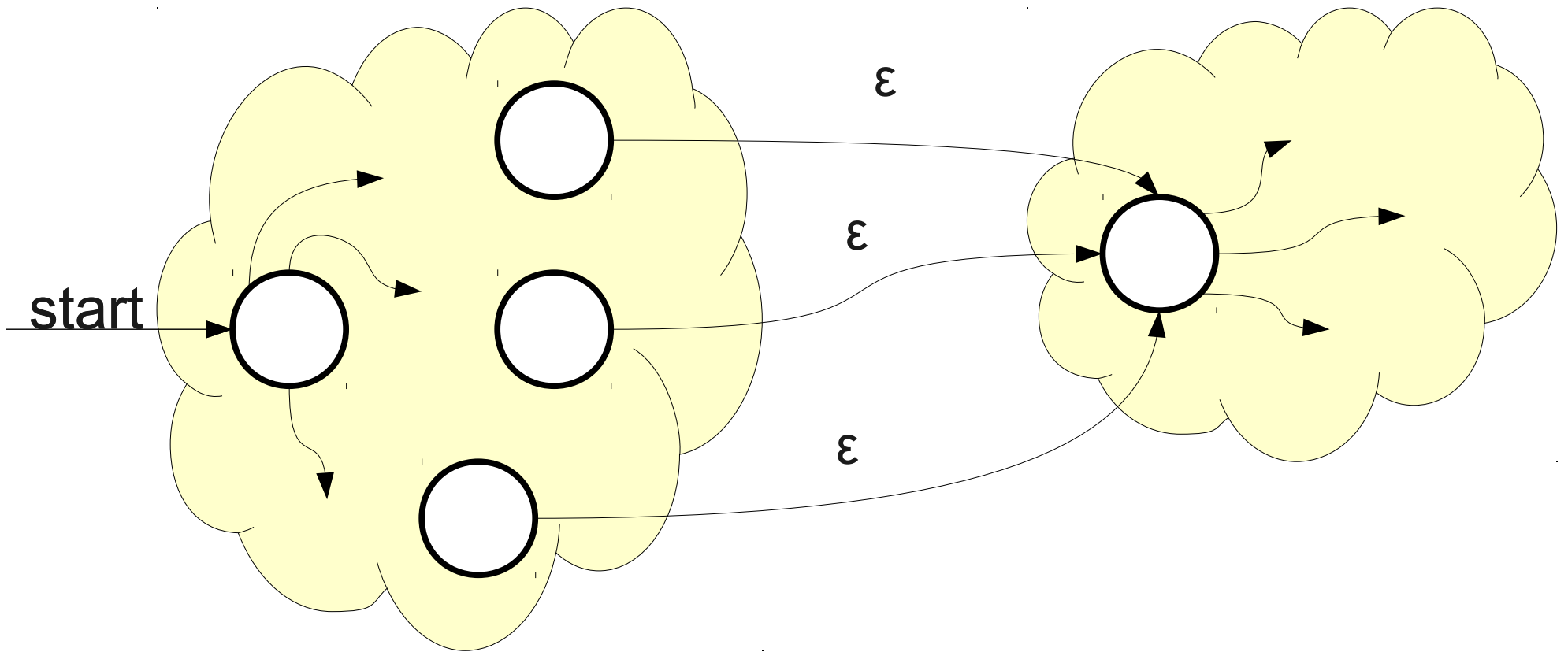
Concatenating Regular Languages



Concatenating Regular Languages



Concatenating Regular Languages



Lots and Lots of Concatenation

- Consider the language $L = \{ \text{aa}, \text{b} \}$
- LL is the set of strings formed by concatenating pairs of strings in L .
 - $\{ \text{aaaa}, \text{aab}, \text{baa}, \text{bb} \}$
- LLL is the set of strings formed by concatenating triples of strings in L .
 - $\{ \text{aaaaaa}, \text{aaaab}, \text{aabaa}, \text{aabb}, \text{baaaa}, \text{baab}, \text{bbaa}, \text{bbb} \}$
- $LLLL$ is the set of strings formed by concatenating quadruples of strings in L .
 - $\{ \text{aaaaaaaa}, \text{aaaaaab}, \text{aaaabaa}, \text{aaaabb}, \text{abaaaa}, \text{abaab}, \text{abbba}, \text{aabbb}, \text{baaaaa}, \text{baaab}, \text{baabaa}, \text{baabb}, \text{bbaaaa}, \text{bbaab}, \text{bbbaa}, \text{bbbb} \}$

Language Exponentiation

- We can define what it means to “exponentiate” a language as follows:
- $L^0 = \{ \varepsilon \}$
 - The set containing just the empty string.
 - Idea: Any string formed by concatenating zero strings together is the empty string.
- $L^{n+1} = LL^n$
 - Idea: Concatenating $(n + 1)$ strings together works by concatenating n strings, then concatenating one more.

The Kleene Closure

- An important operation on languages is the **Kleene Closure**, which is defined as

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

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$$L^* = \bigcup_{i=0}^{\infty} L^i$$

This is an **infinite union** of sets. It is defined as “the set of all x contained in L^i for any natural number i .”

The Kleene Closure

- An important operation on languages is the **Kleene Closure**, which is defined as

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

- Intuitively, all possible ways of concatenating any number of copies of strings in L together.

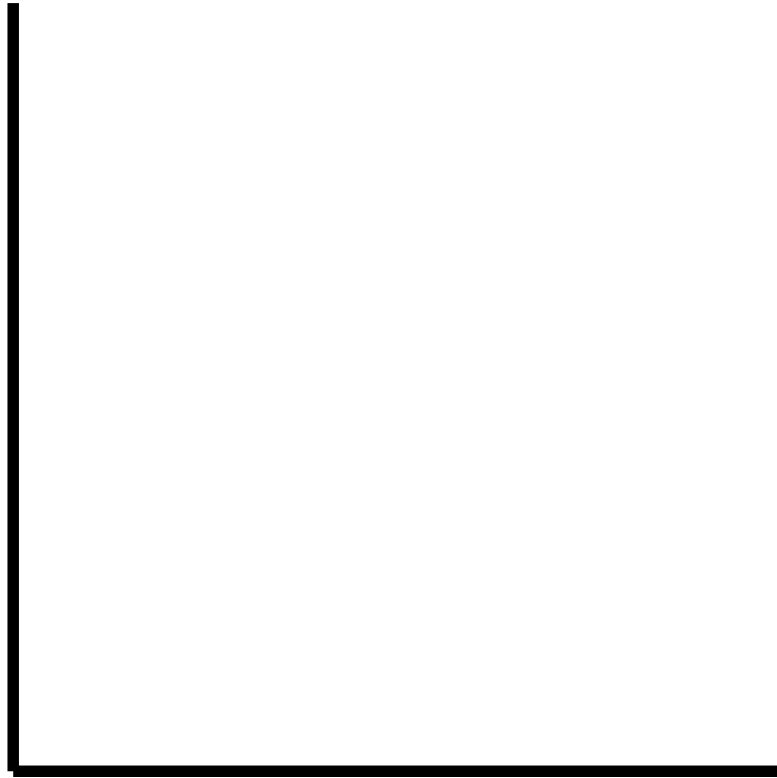
The Kleene Closure

If $L = \{ \mathbf{a}, \mathbf{bb} \}$, then $L^* = \{$
 $\epsilon,$
 $\mathbf{a}, \mathbf{bb},$
 $\mathbf{aa}, \mathbf{abb}, \mathbf{bba}, \mathbf{bbbb},$
 $\mathbf{aaa}, \mathbf{aabb}, \mathbf{abba}, \mathbf{abbbb}, \mathbf{bbaa}, \mathbf{bbabb}, \mathbf{bbbba}, \mathbf{bbbbbb},$
 \dots
 $\}$

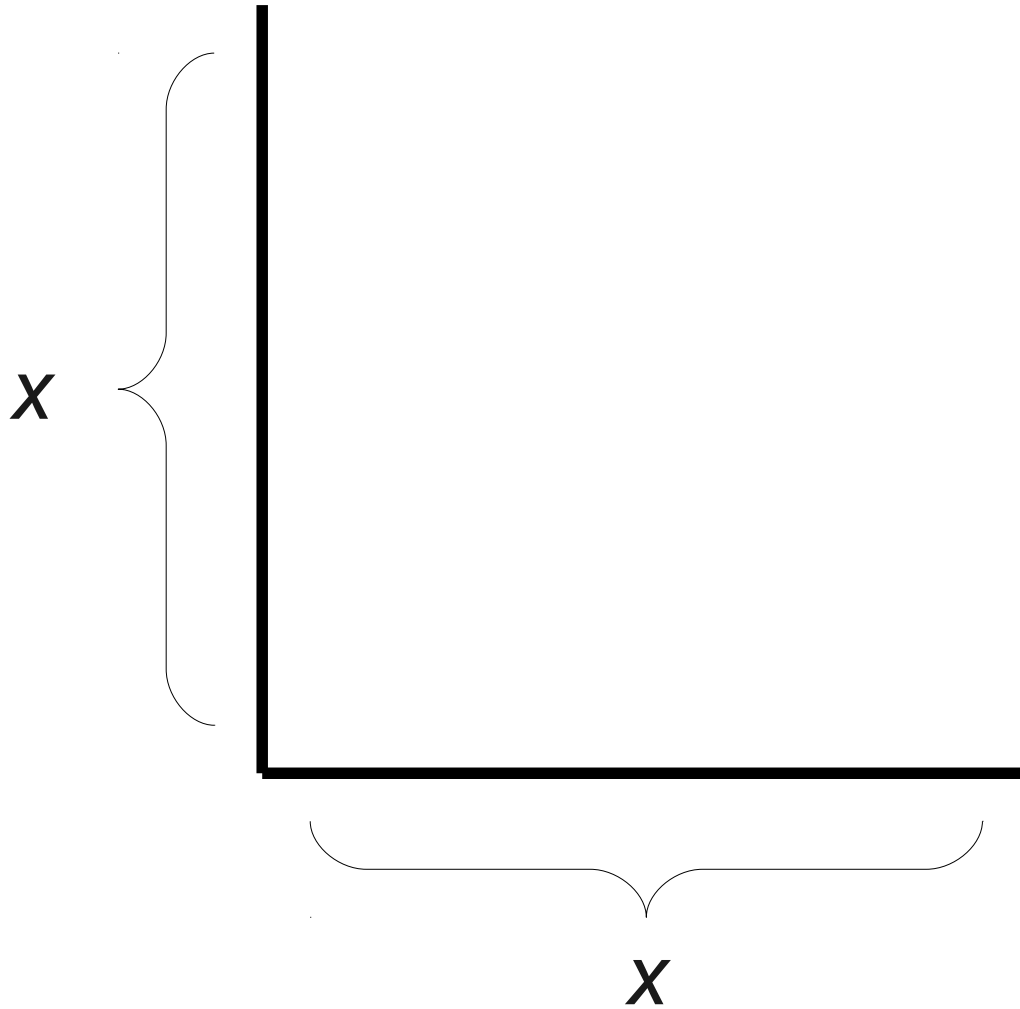
Reasoning about Infinity

- How do we prove properties of this infinite union?
- **A Bad Line of Reasoning:**
 - $L^0 = \{ \varepsilon \}$ is regular.
 - $L^1 = L$ is regular.
 - $L^2 = LL$ is regular
 - $L^3 = L(LL)$ is regular
 - ...
 - So their infinite union is regular.

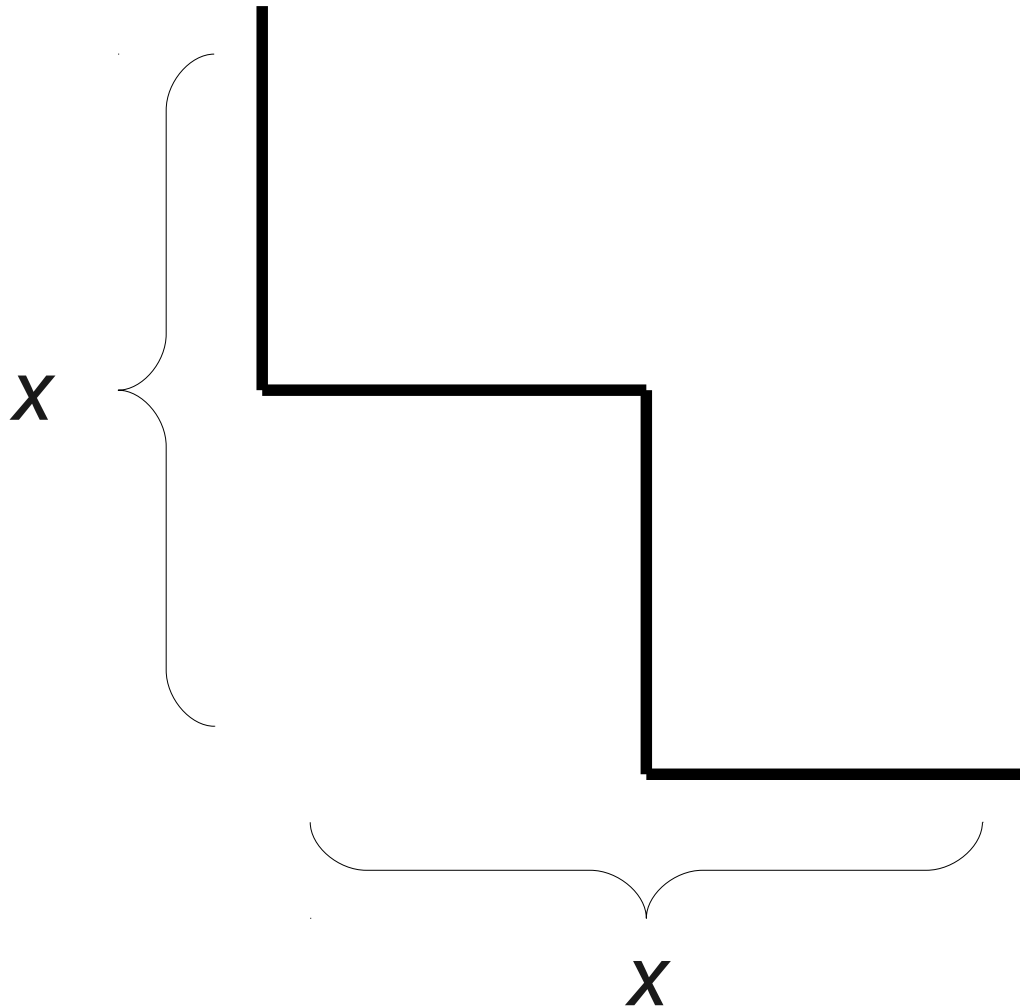
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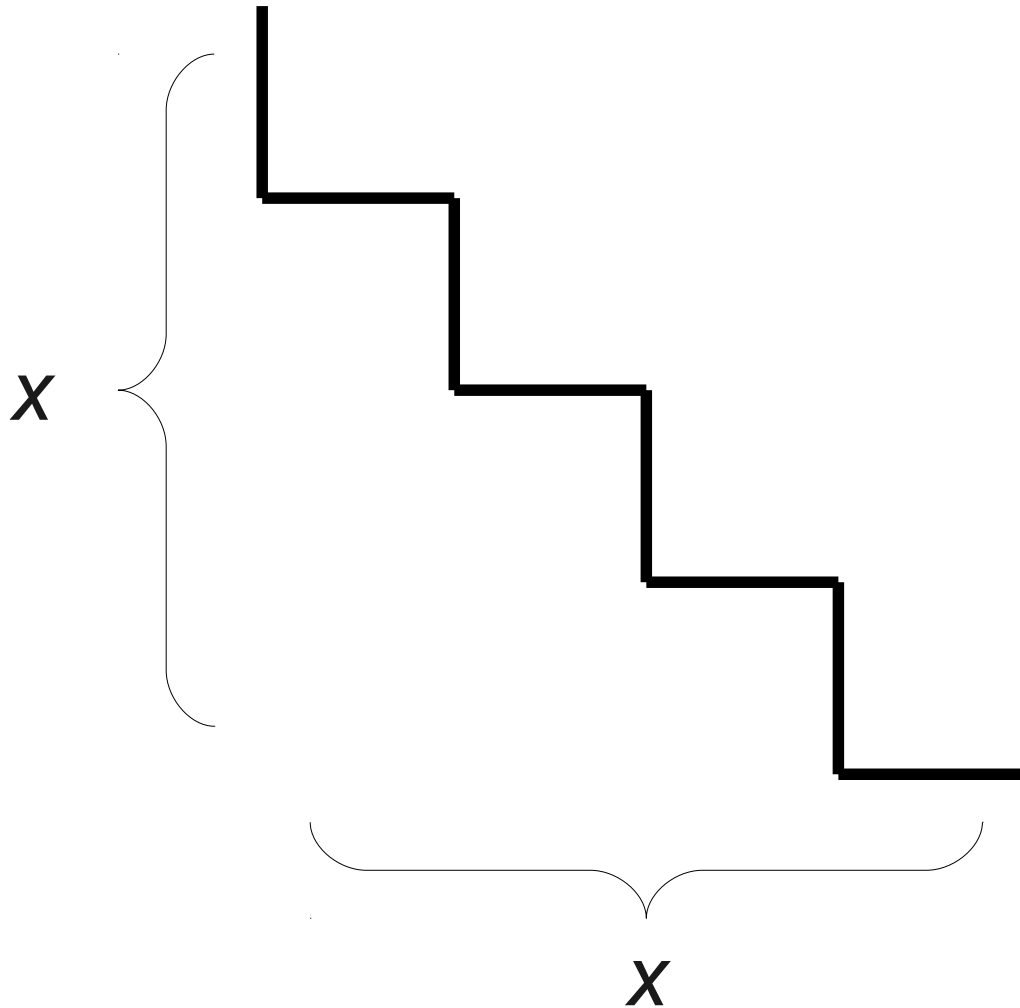
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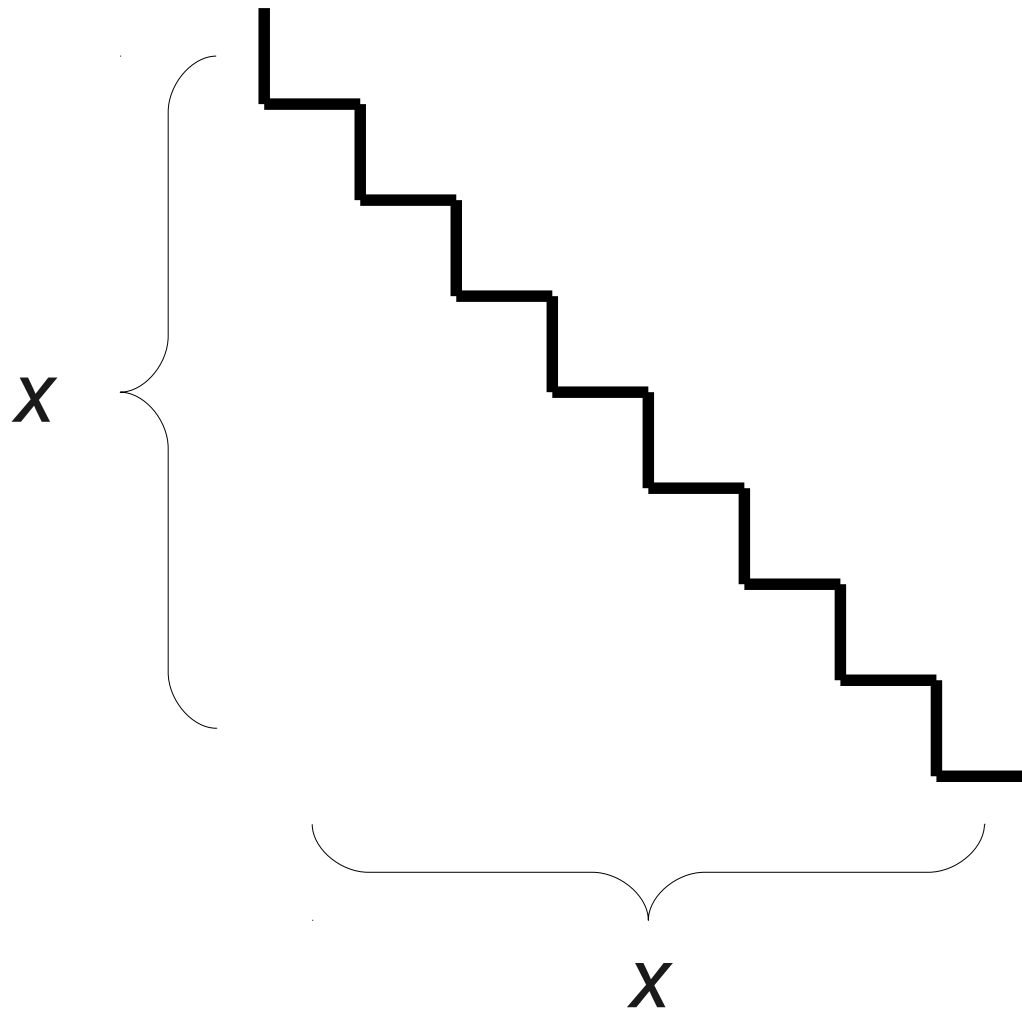
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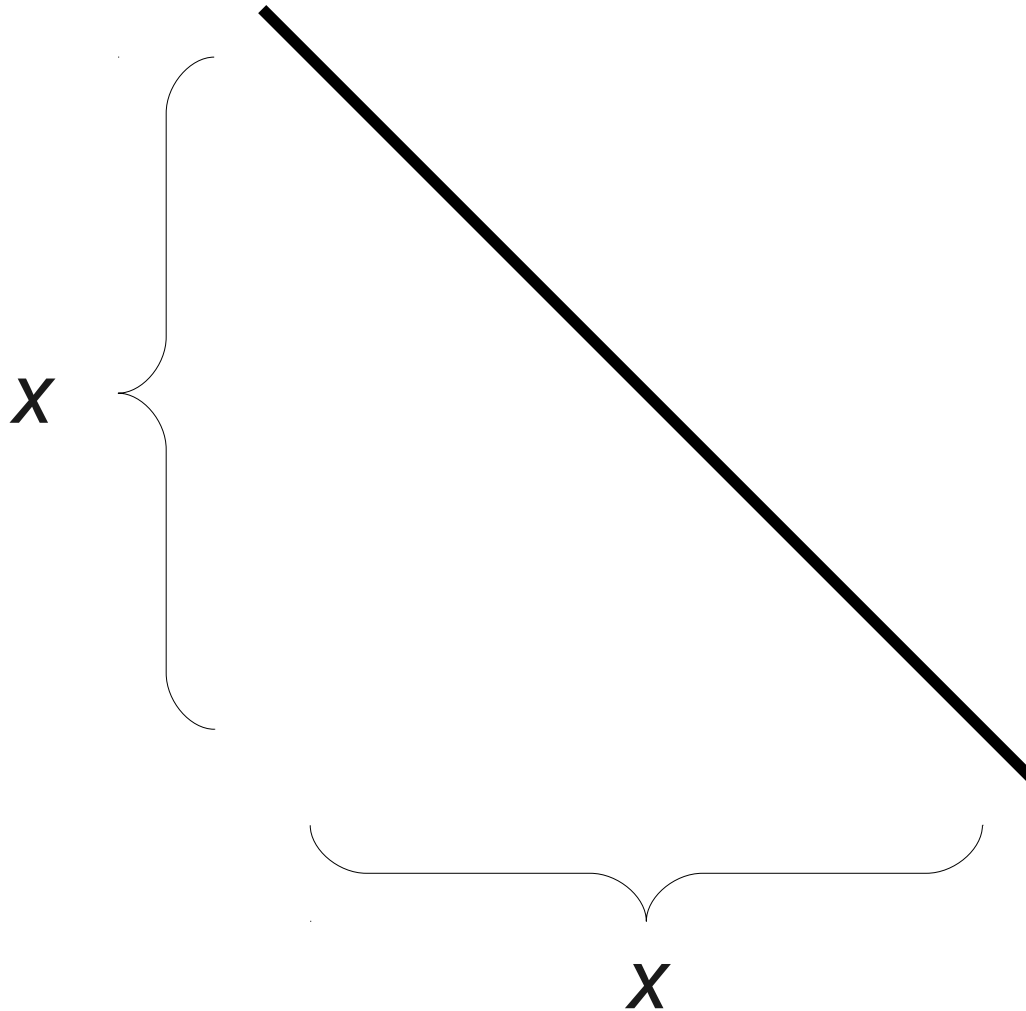
Reasoning about Infinity



Reasoning about Infinity



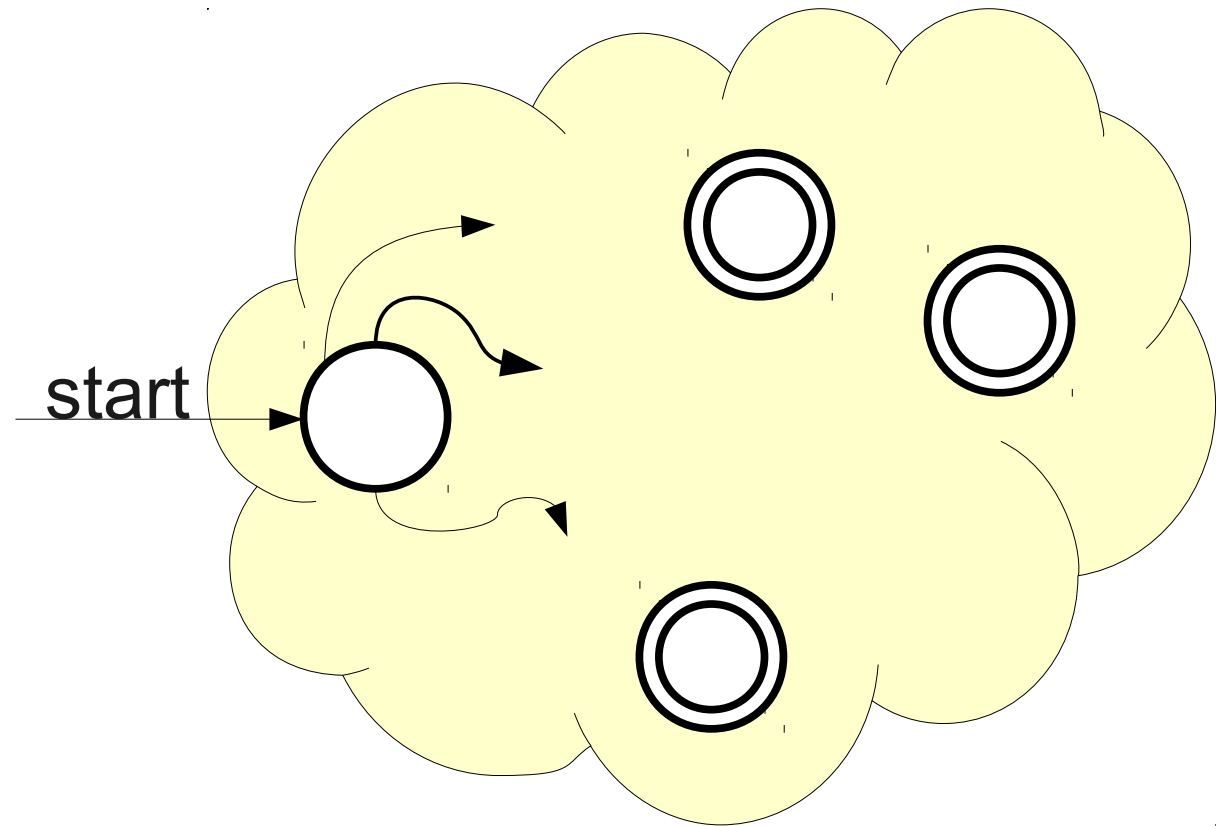
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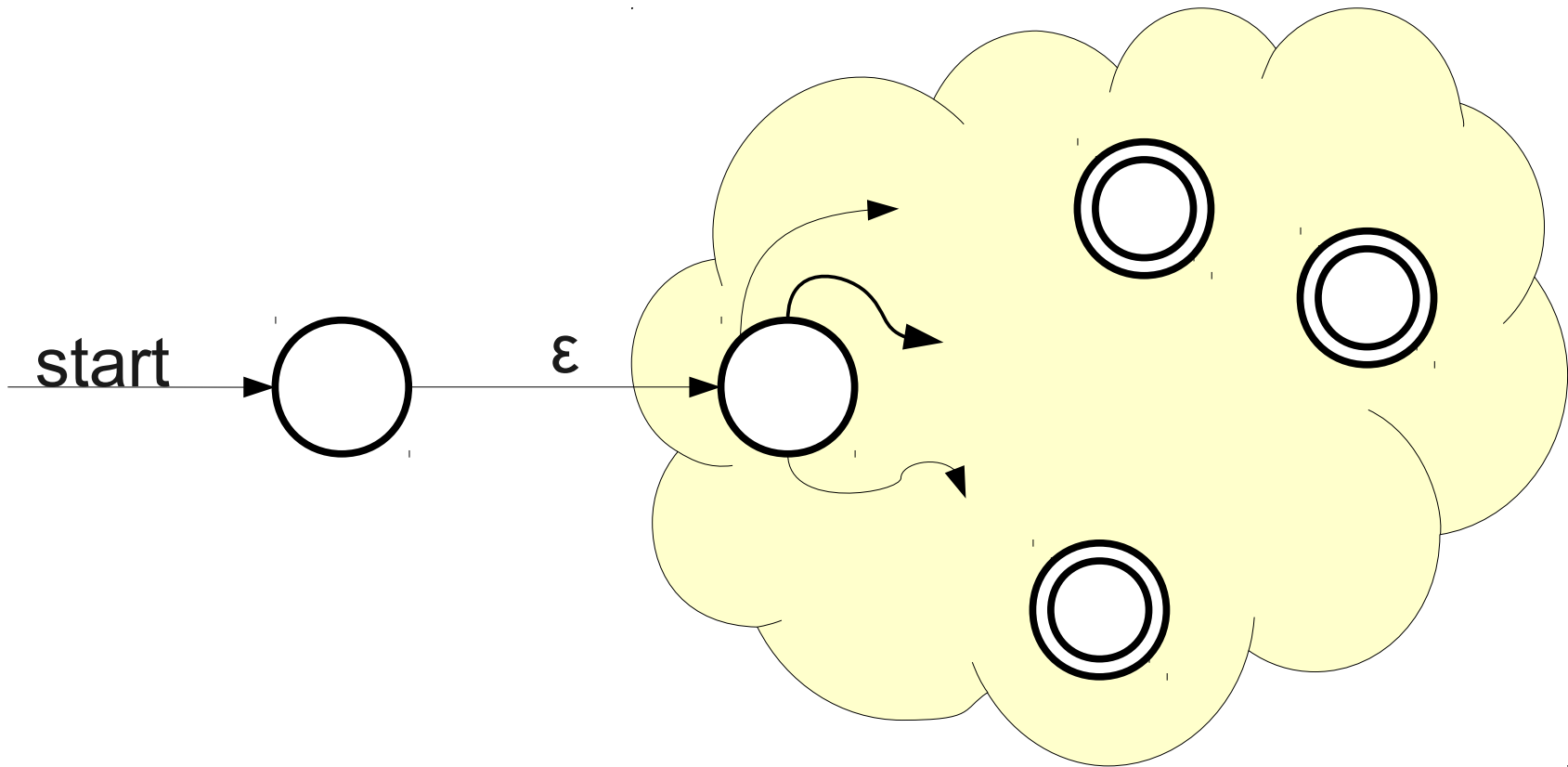
Reasoning About the Infinite

- If a series of finite objects all have some property, their infinite union **does not** necessarily have that property!
 - No matter how many times we zigzag that line, it's never straight.
 - Concluding that it must be equal “in the limit” is not mathematically precise.
 - (This is why calculus is interesting).
- **A better intuition:** Can we convert an NFA for the language L to an NFA for the language L^* ?

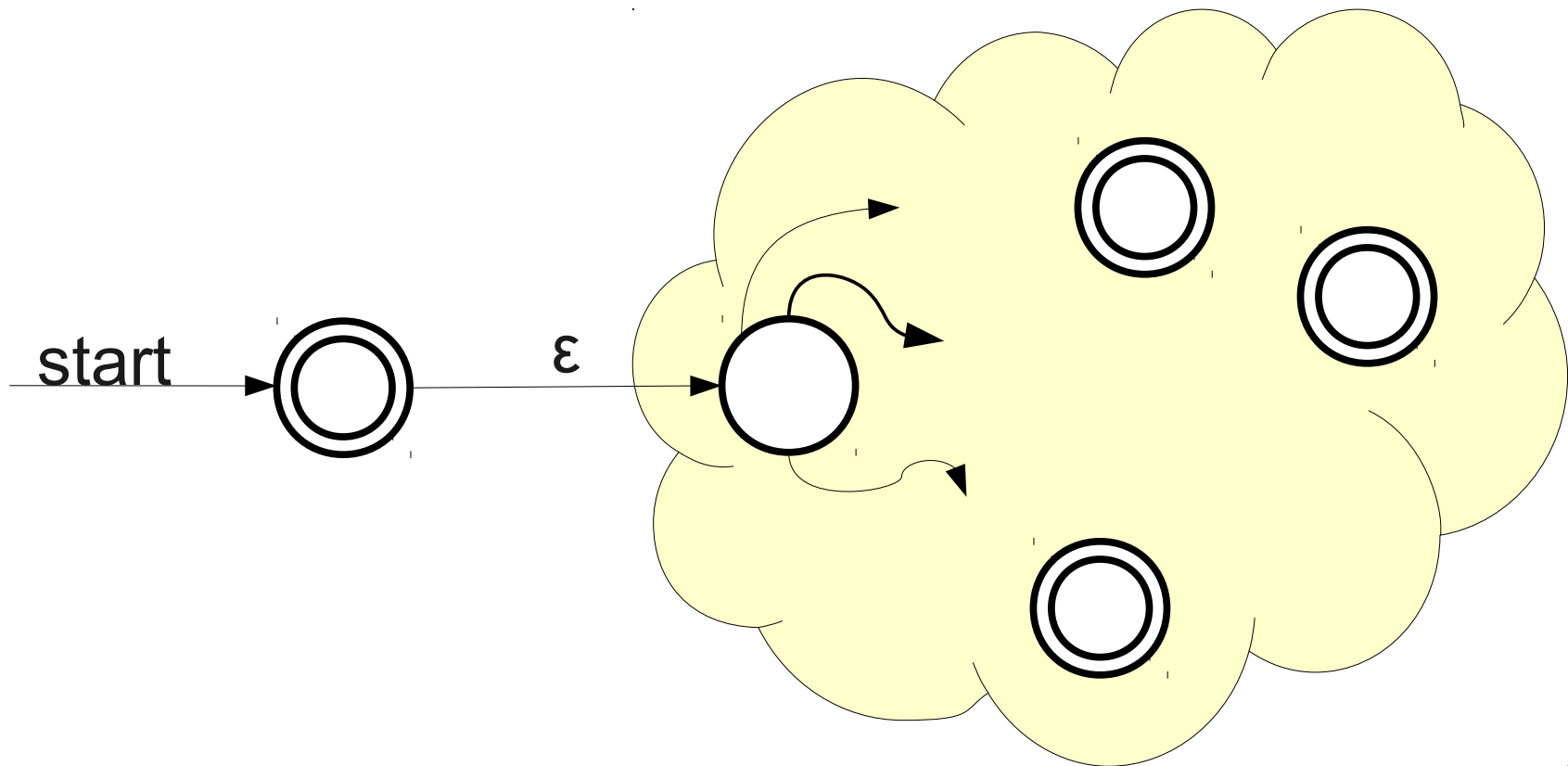
The Kleene Star



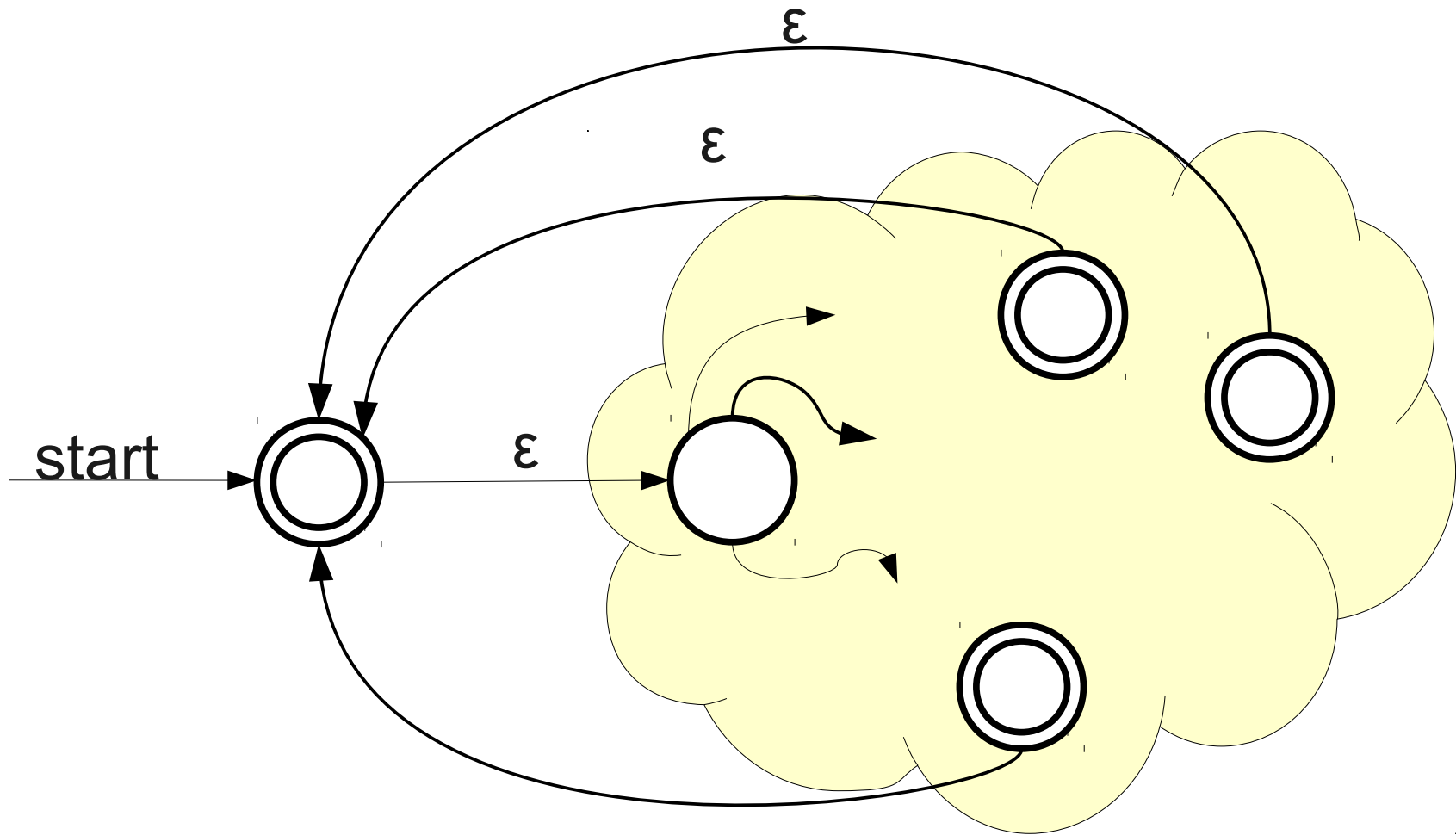
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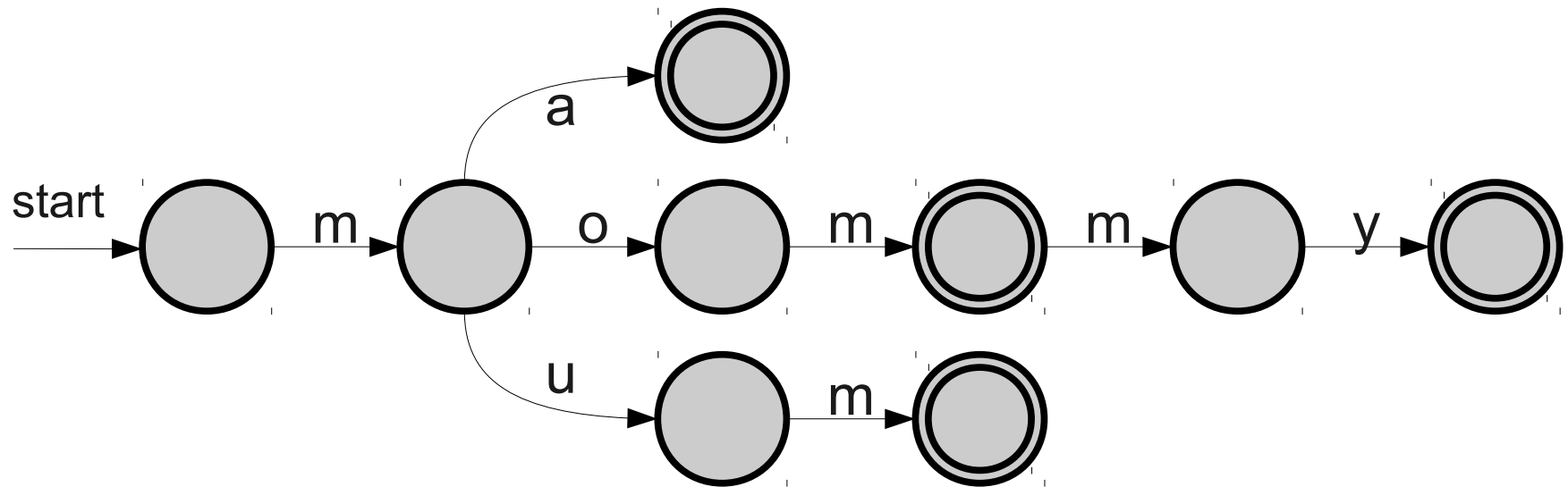


Kleene Star in Action

$L = \{ \text{ma, mom, mommy, mum} \}$

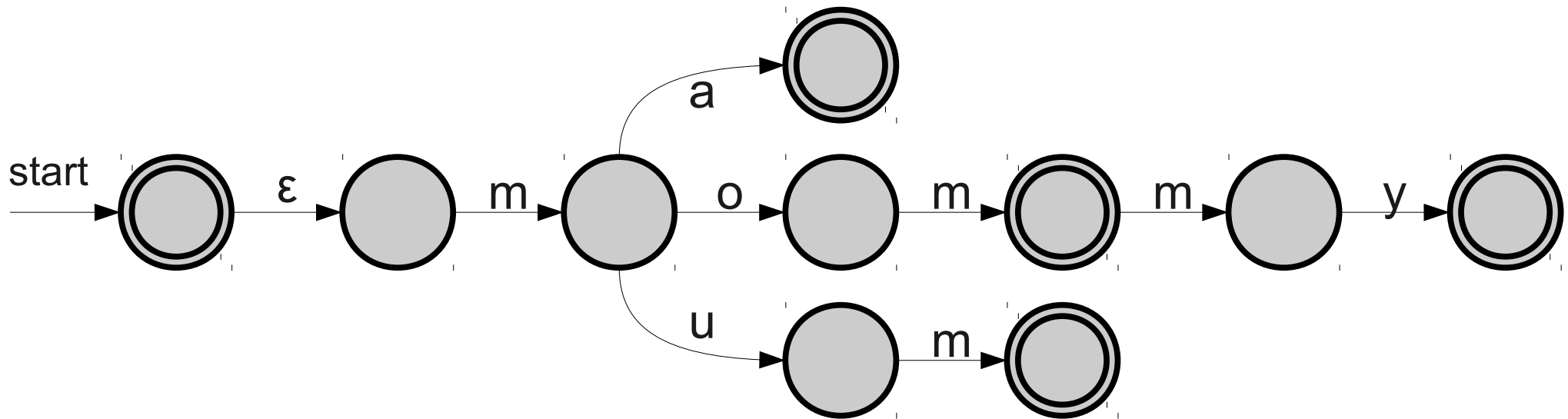
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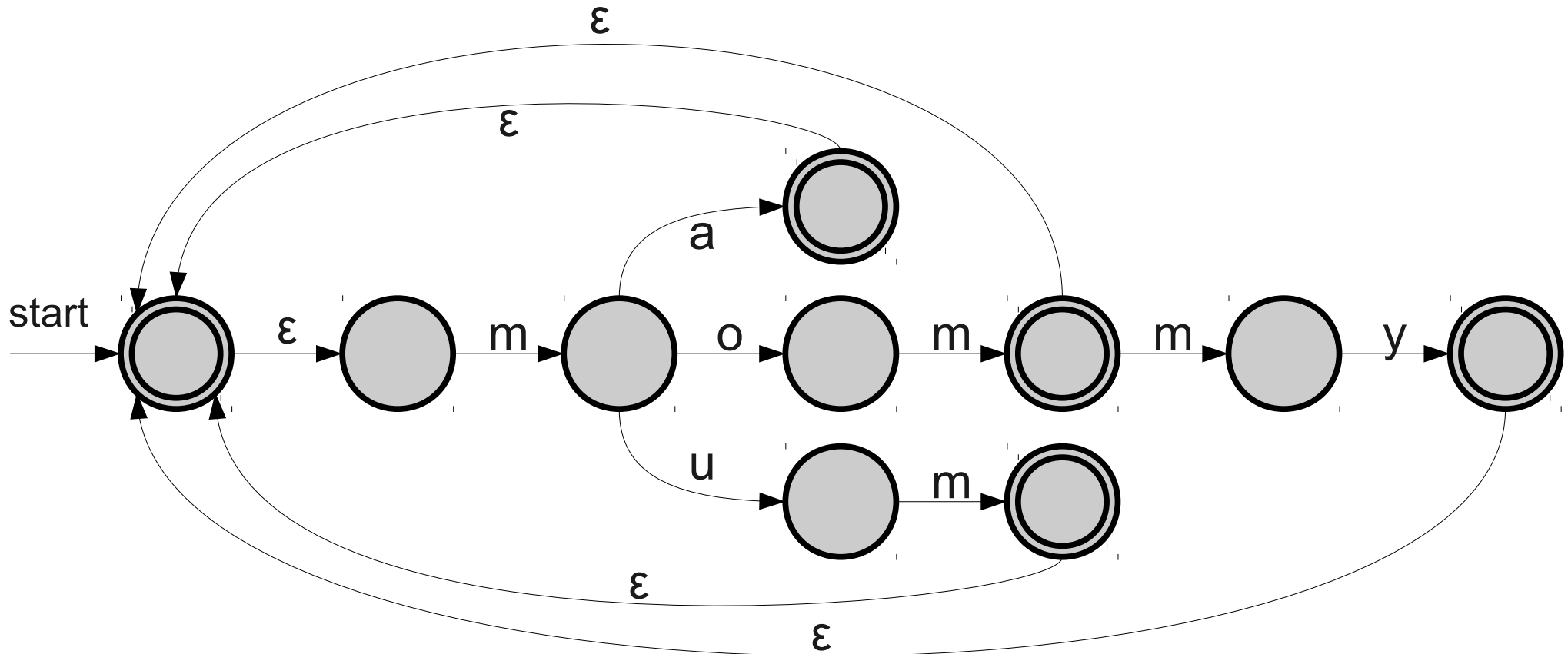
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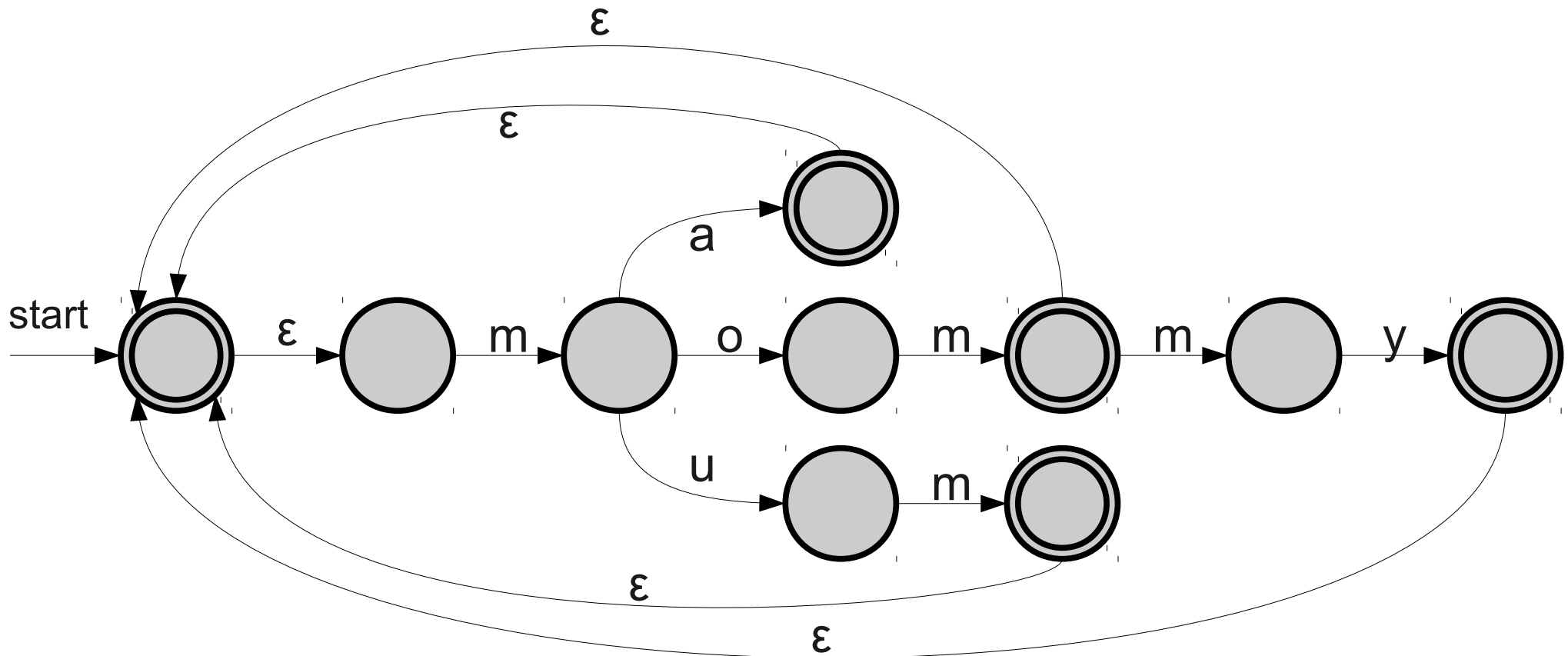
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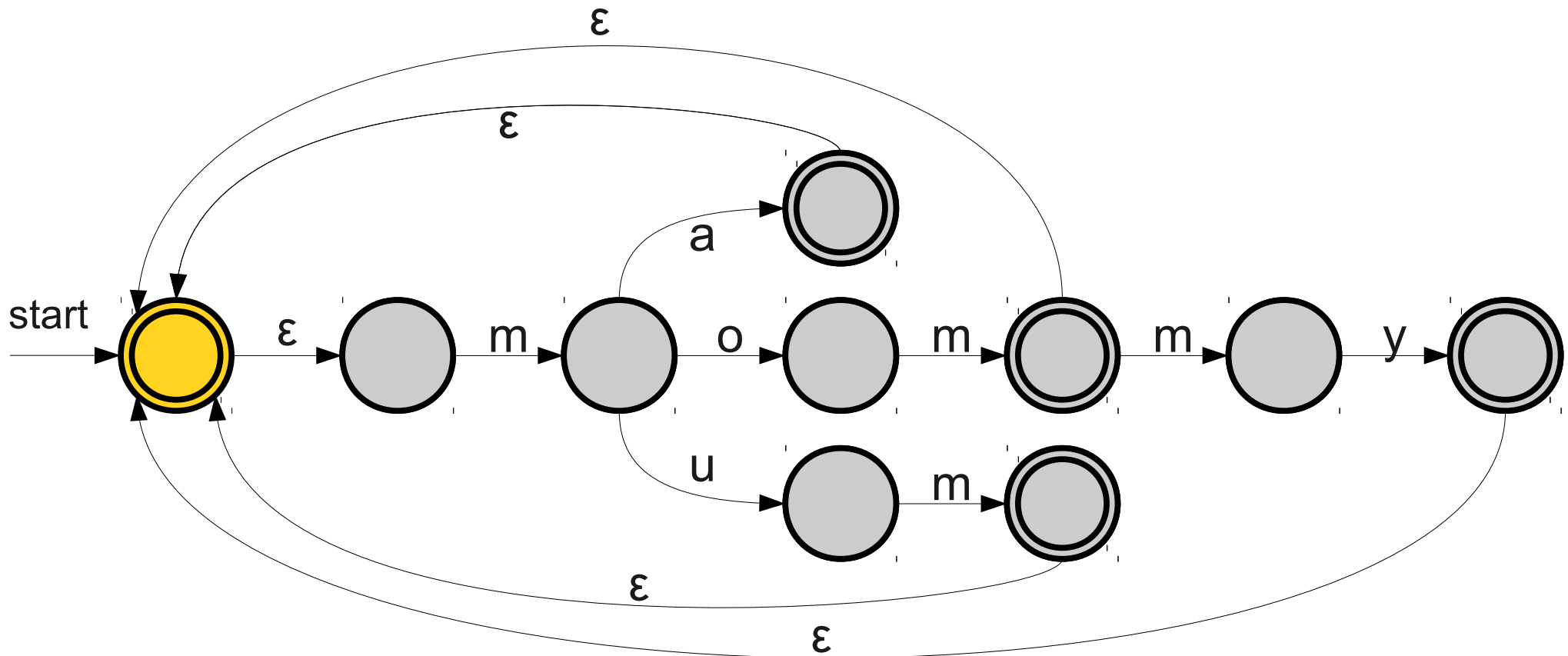
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m a m o m m u m

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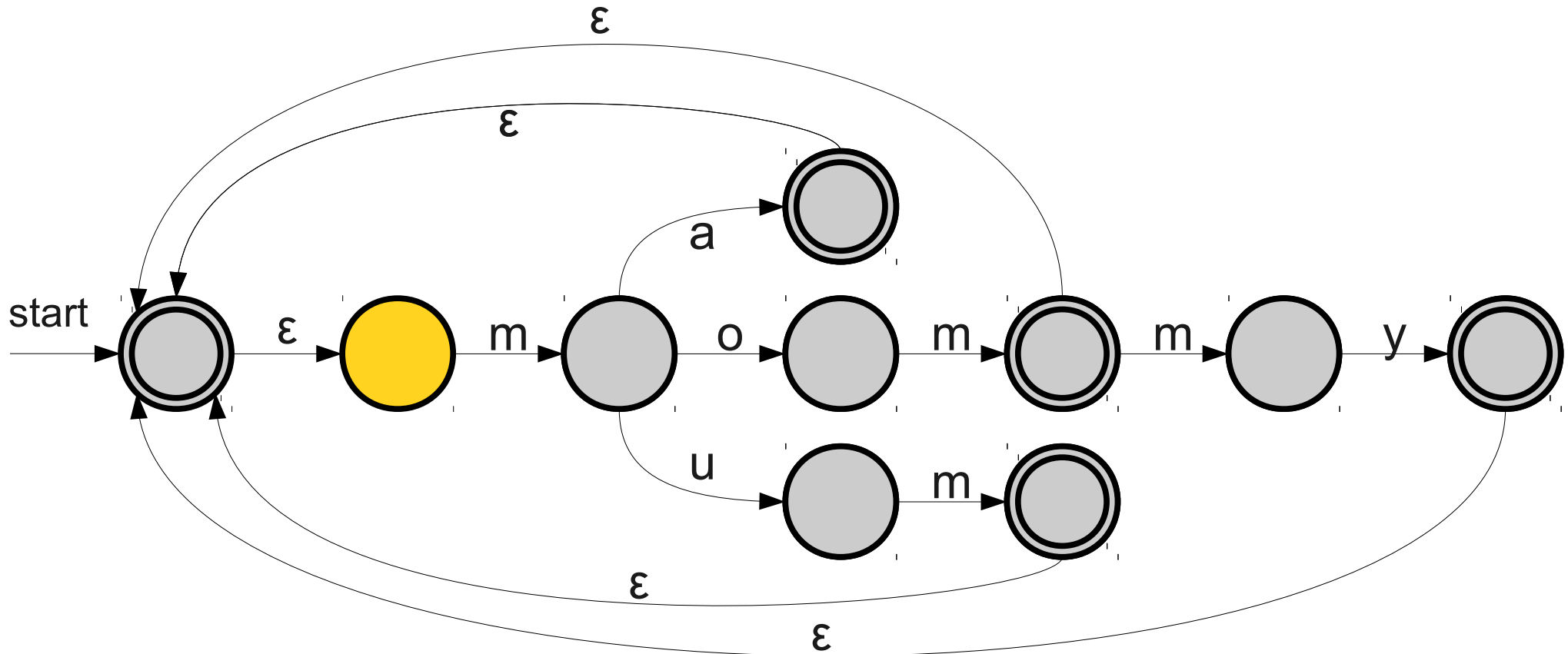
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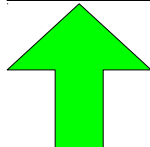
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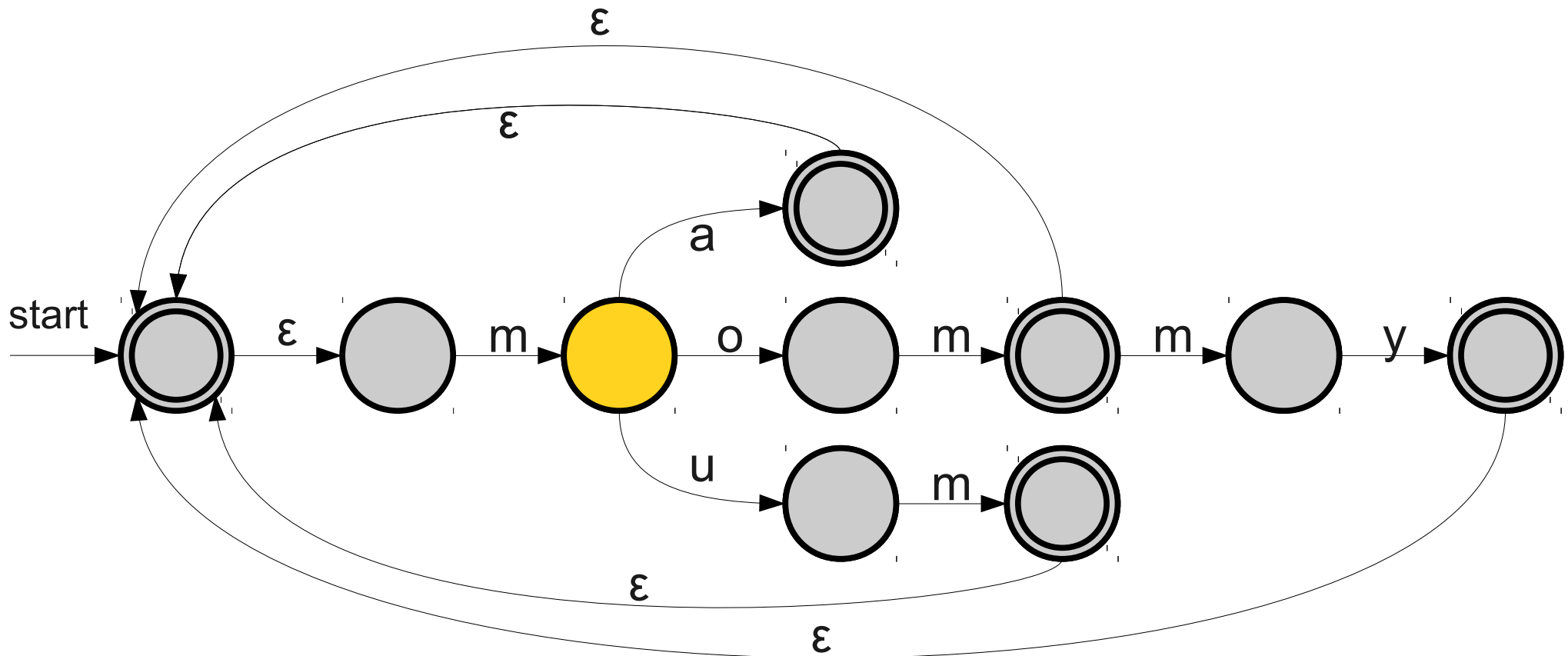


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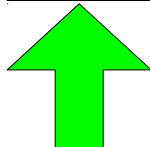


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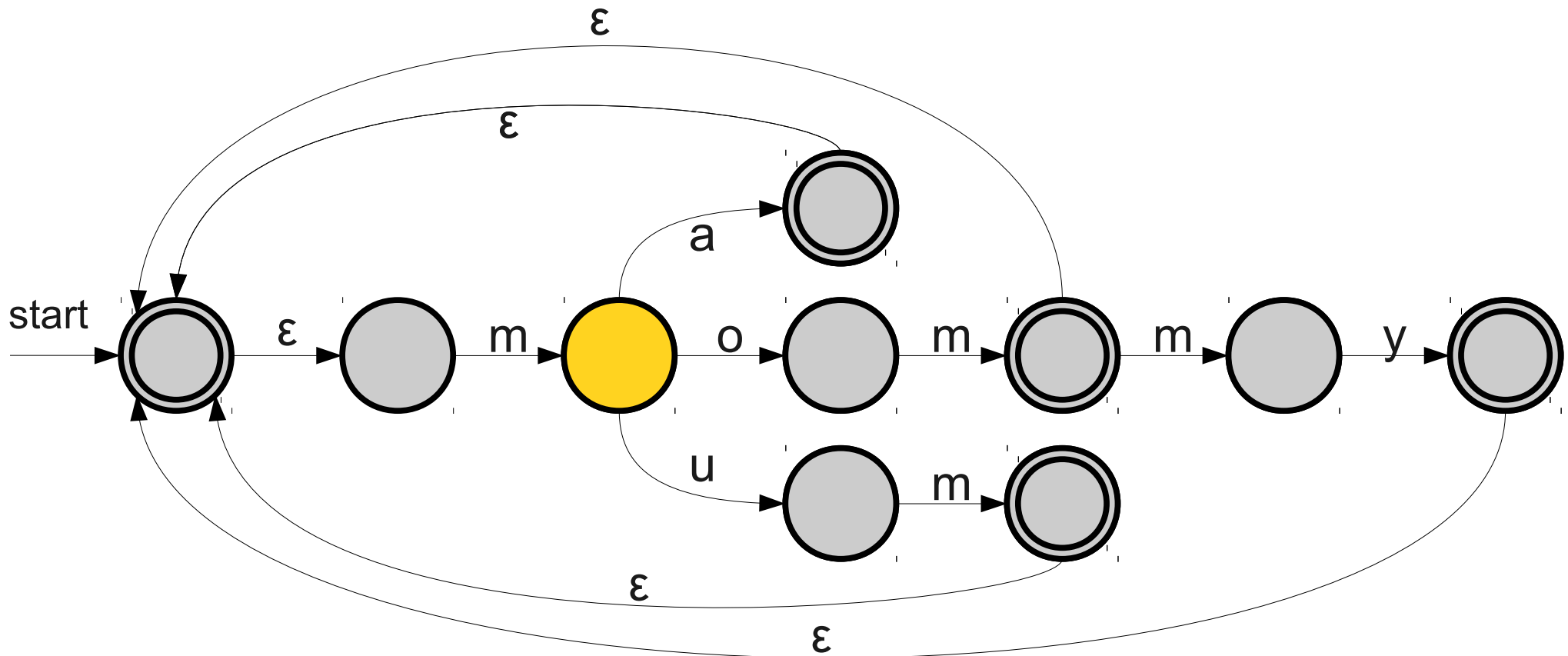


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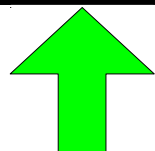


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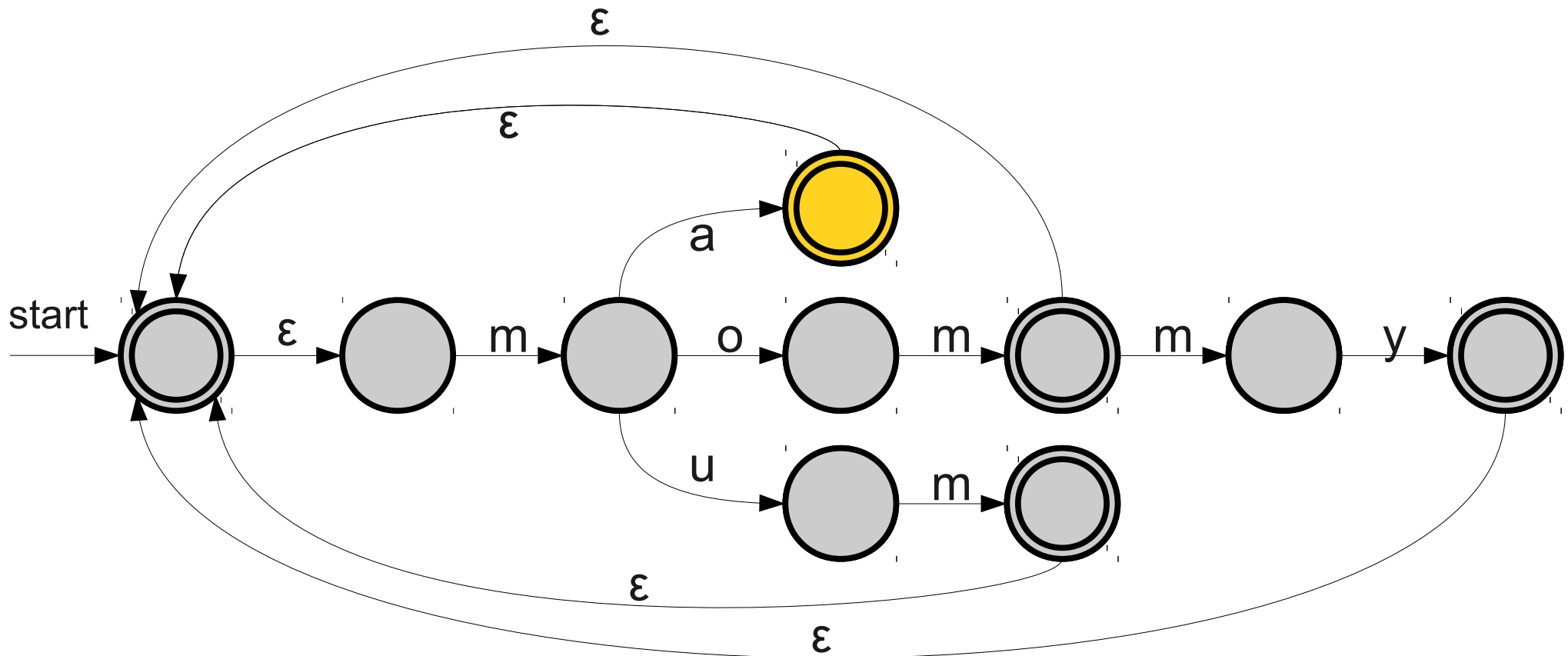


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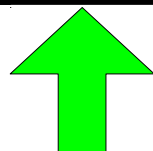


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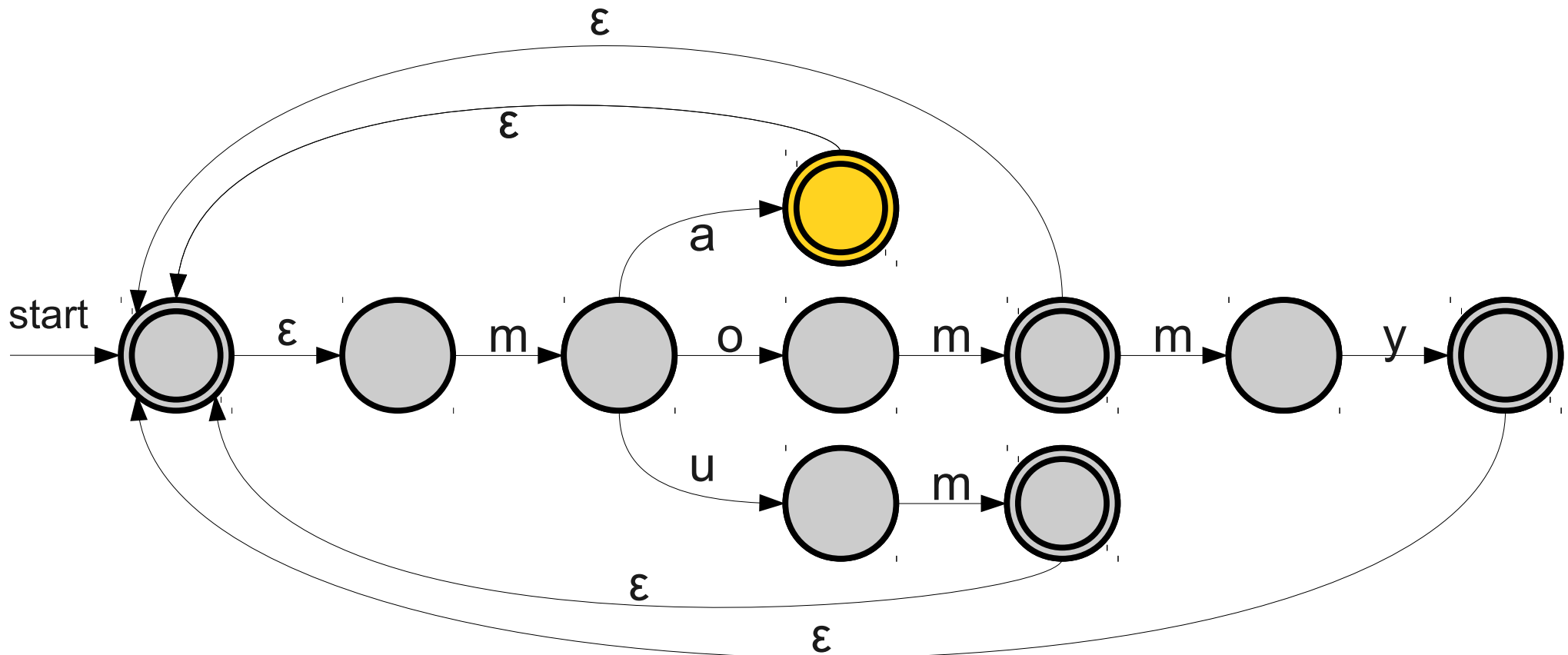


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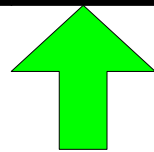


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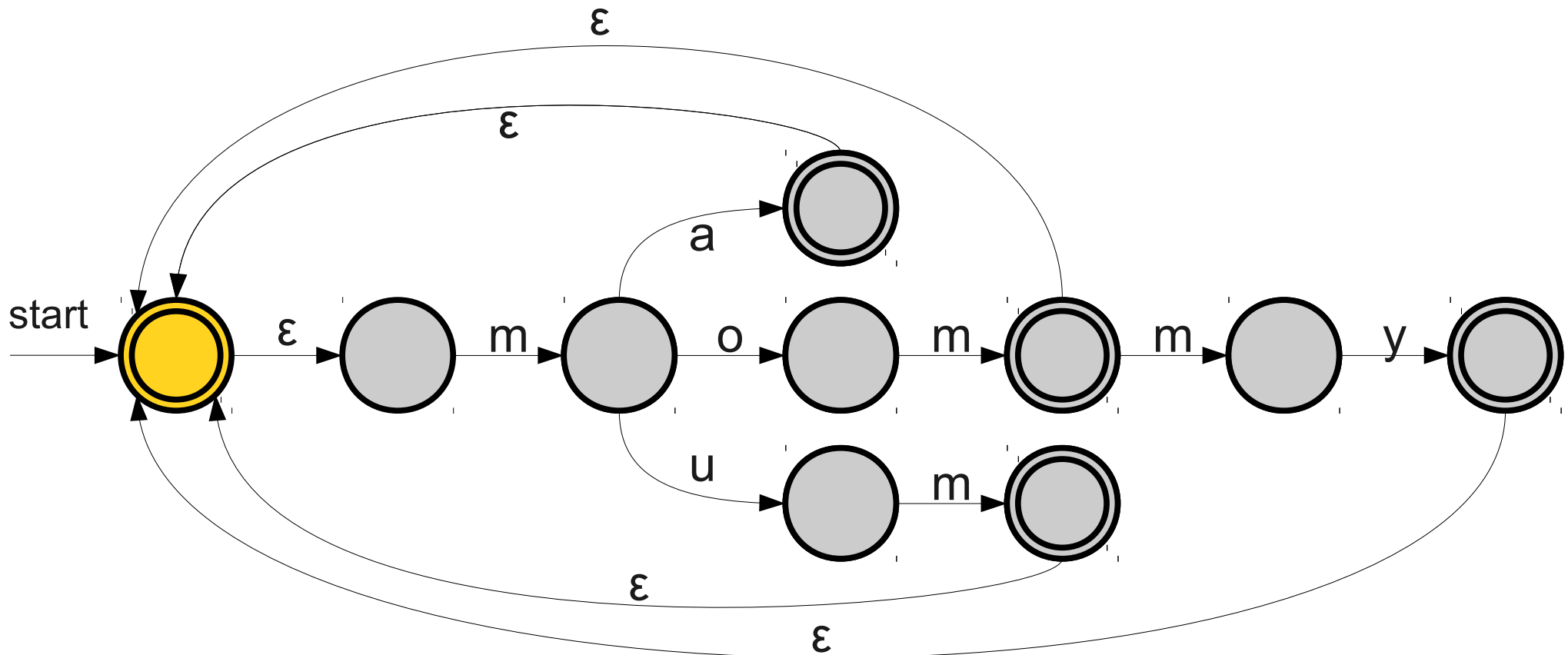


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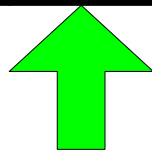


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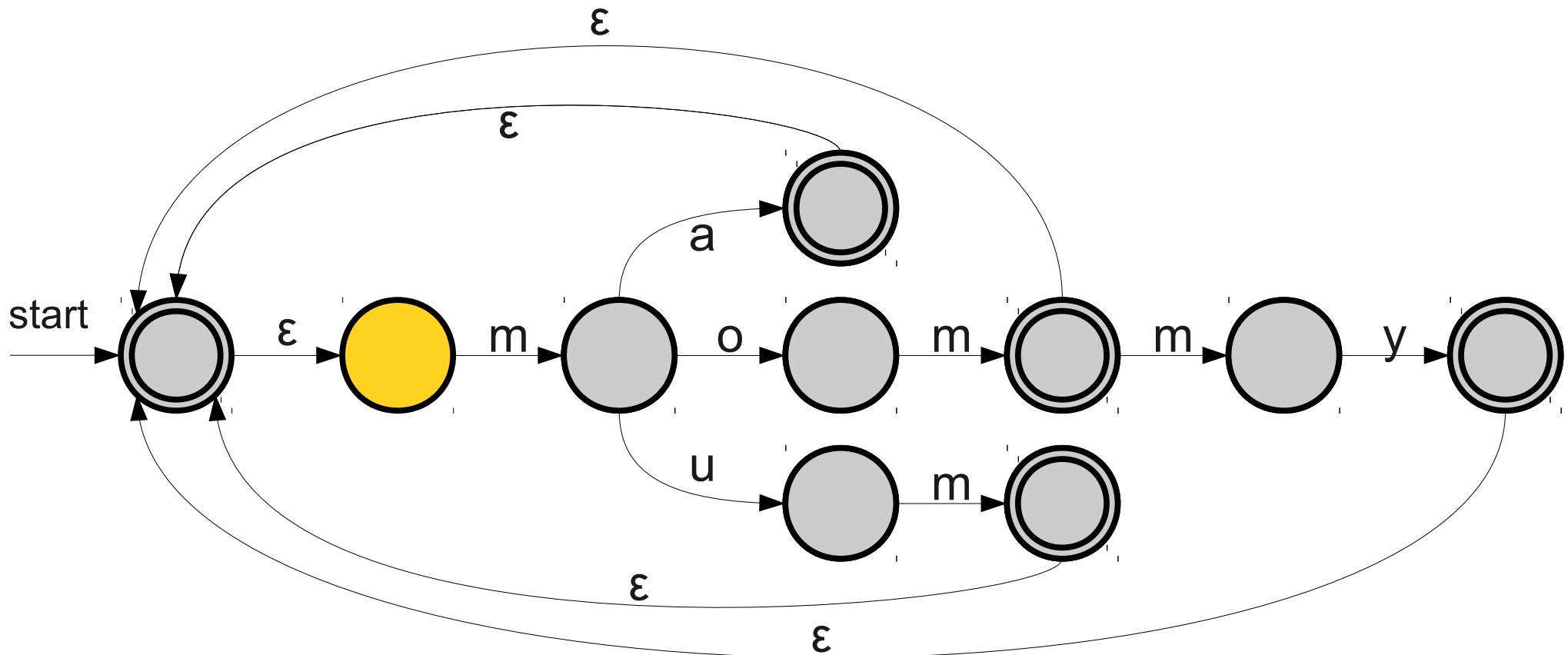


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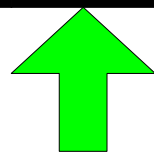


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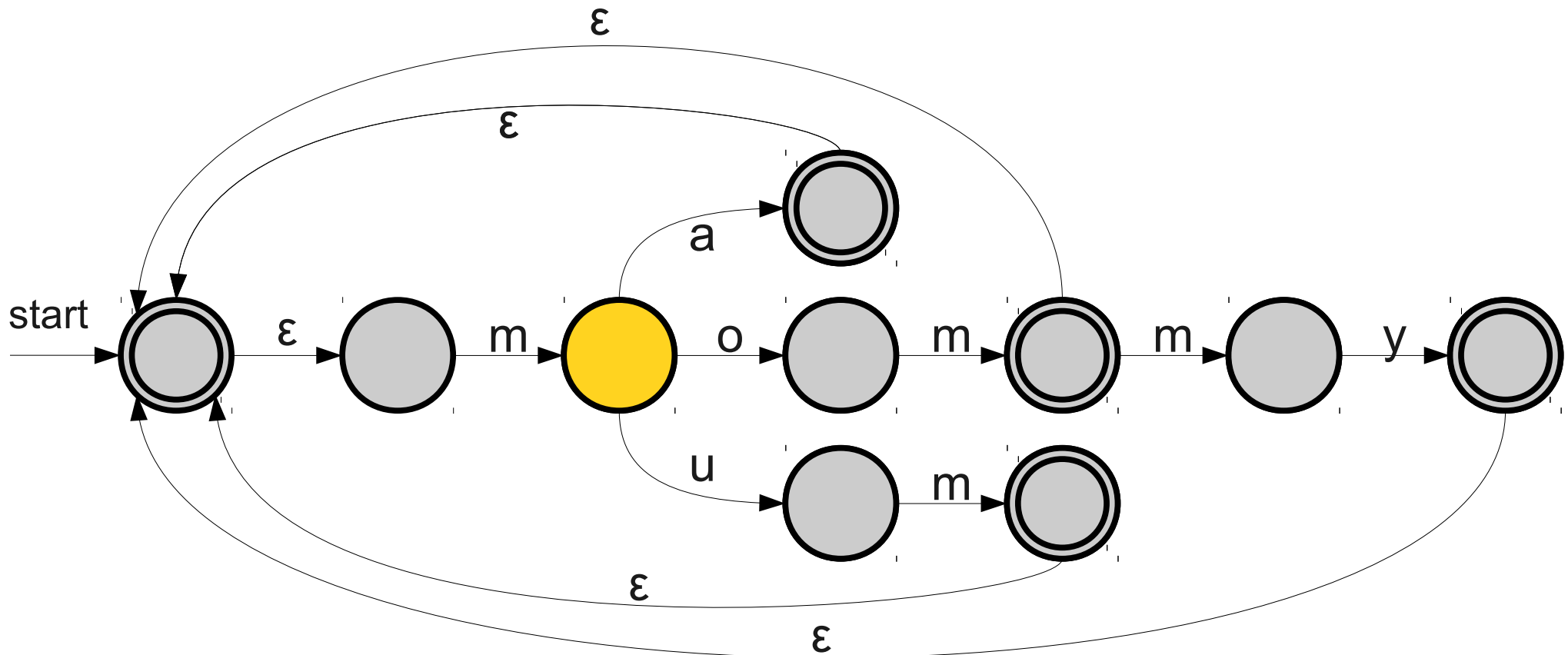


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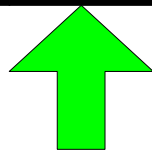


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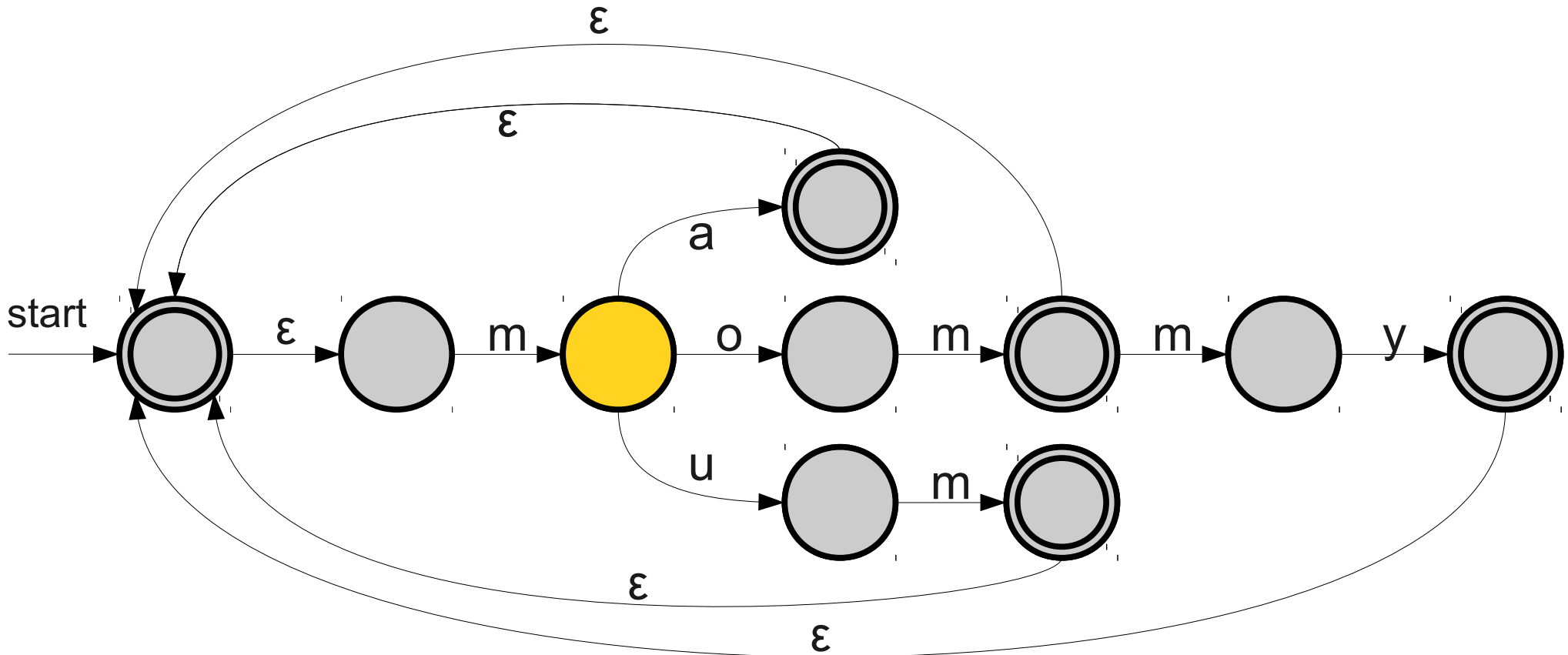


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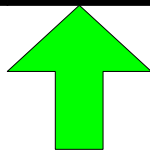


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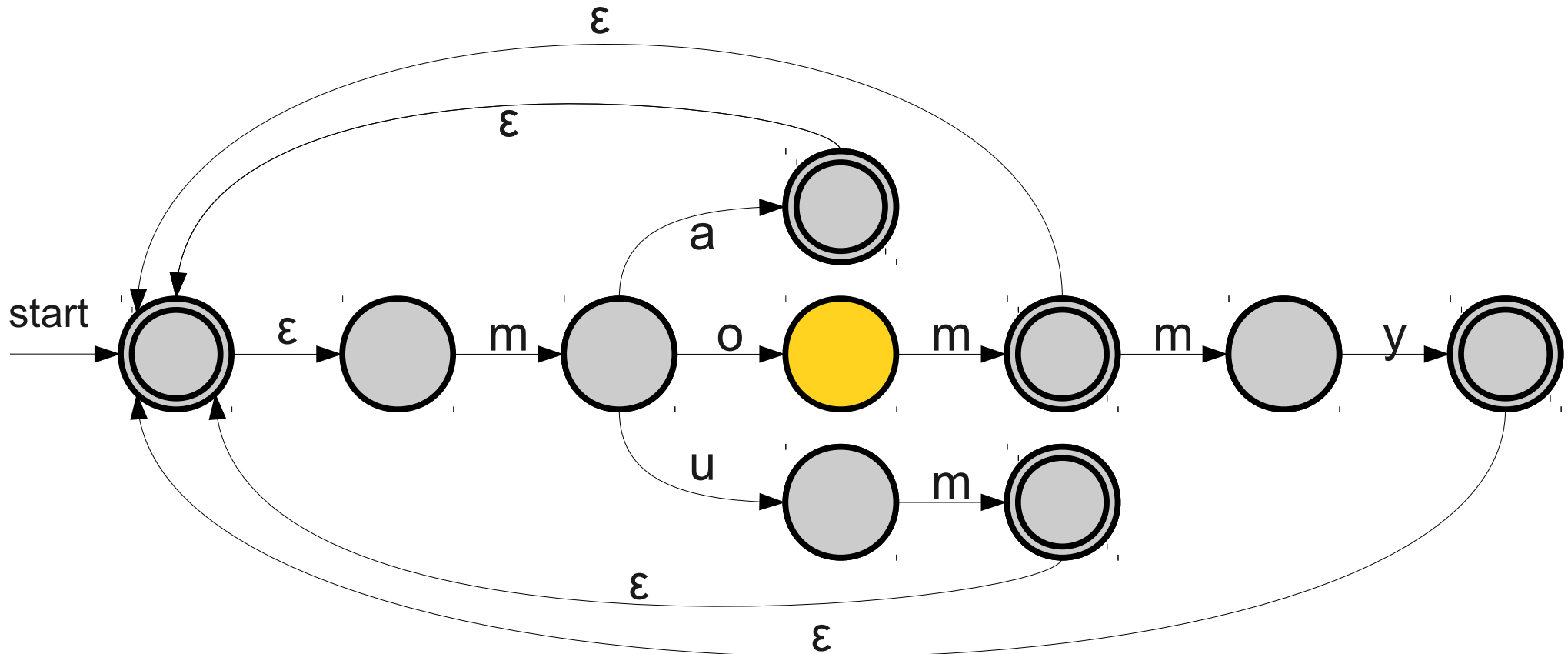


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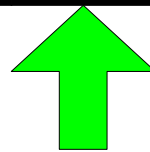


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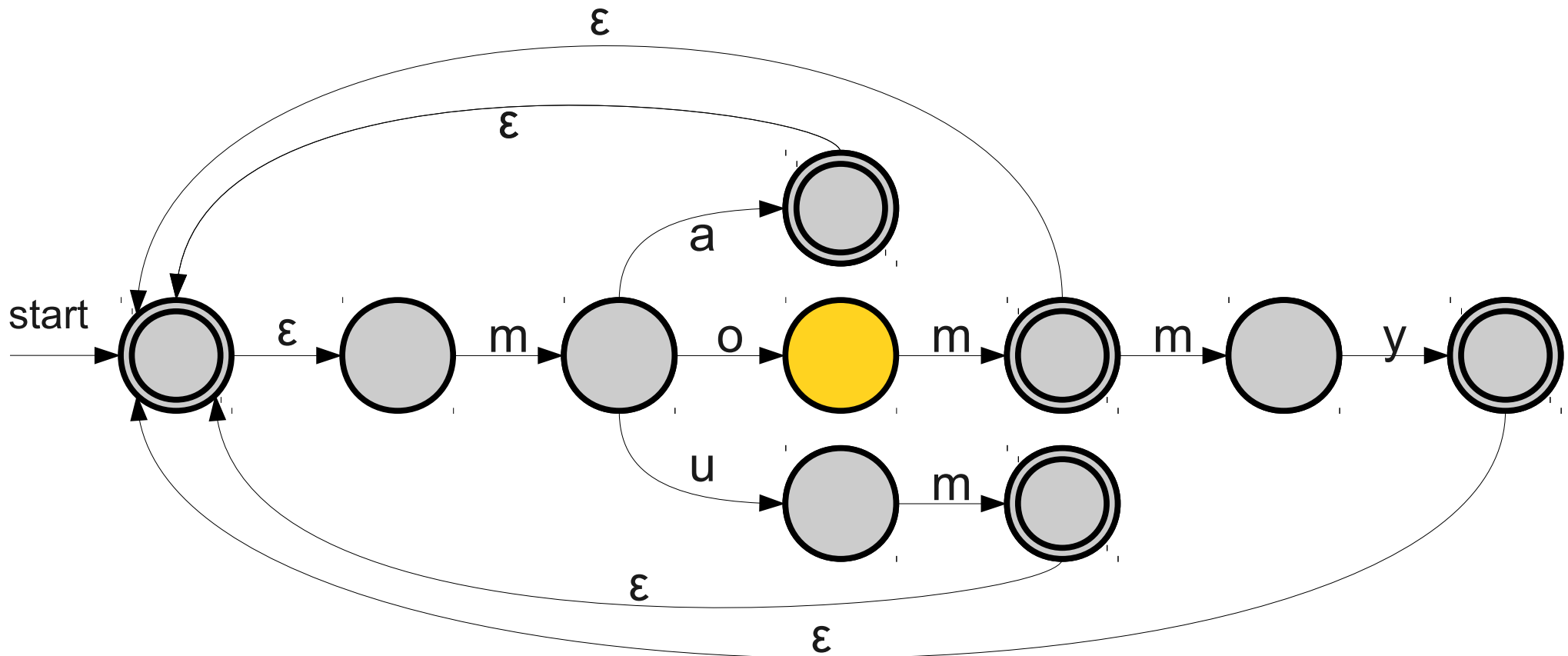


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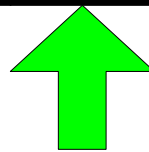


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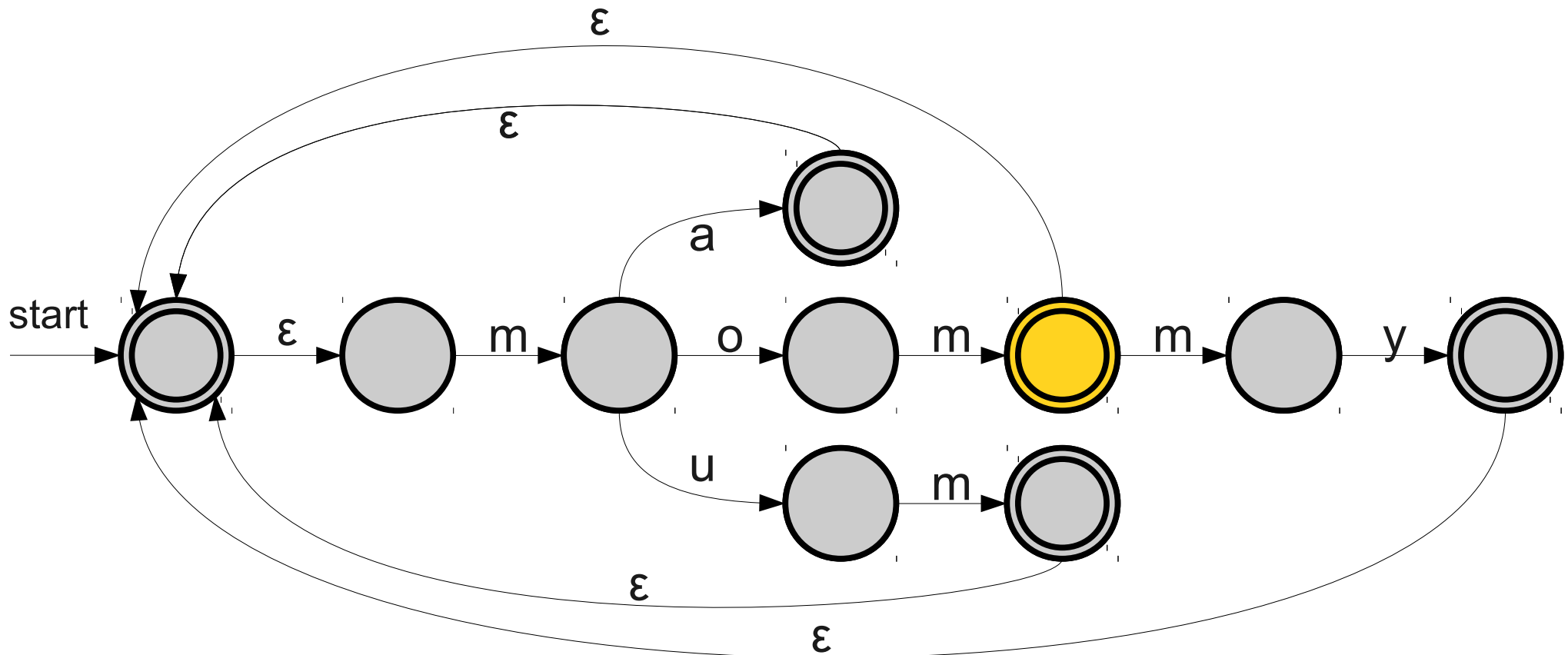


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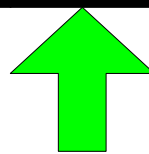


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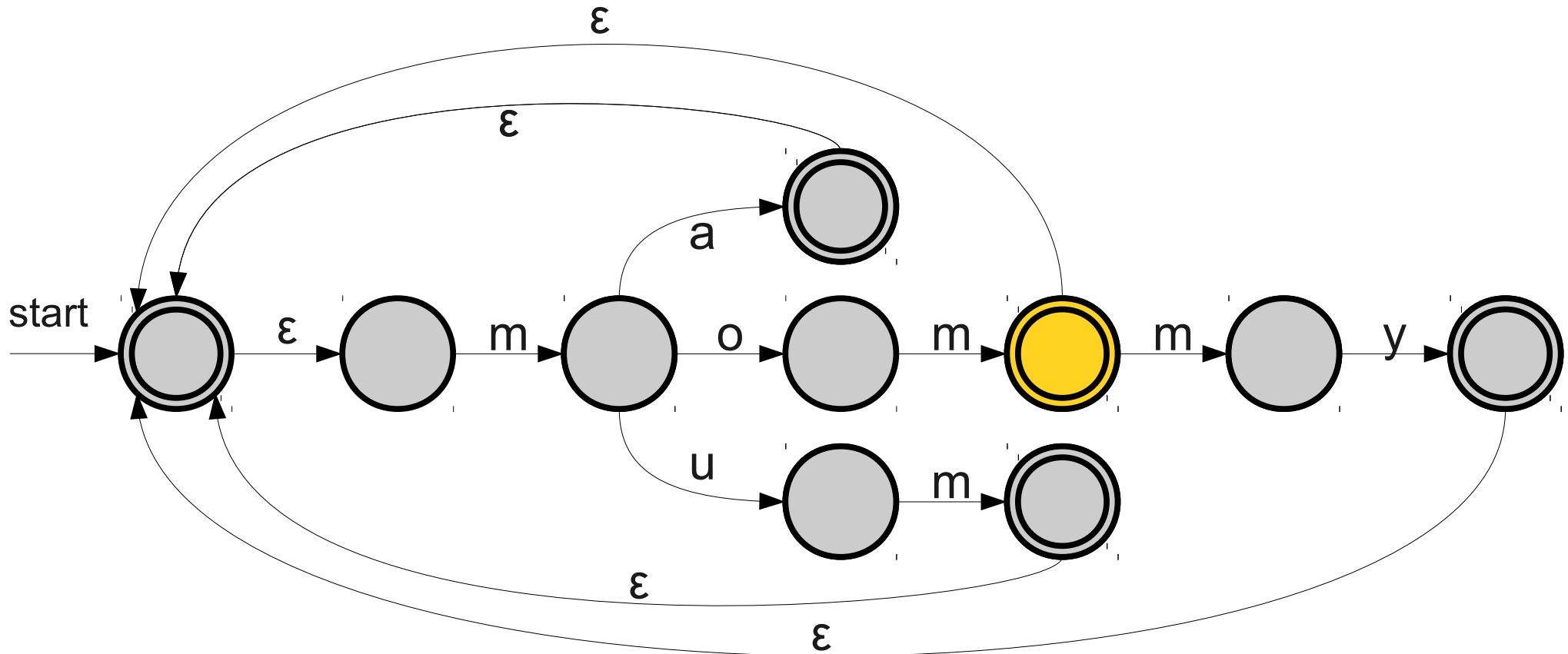


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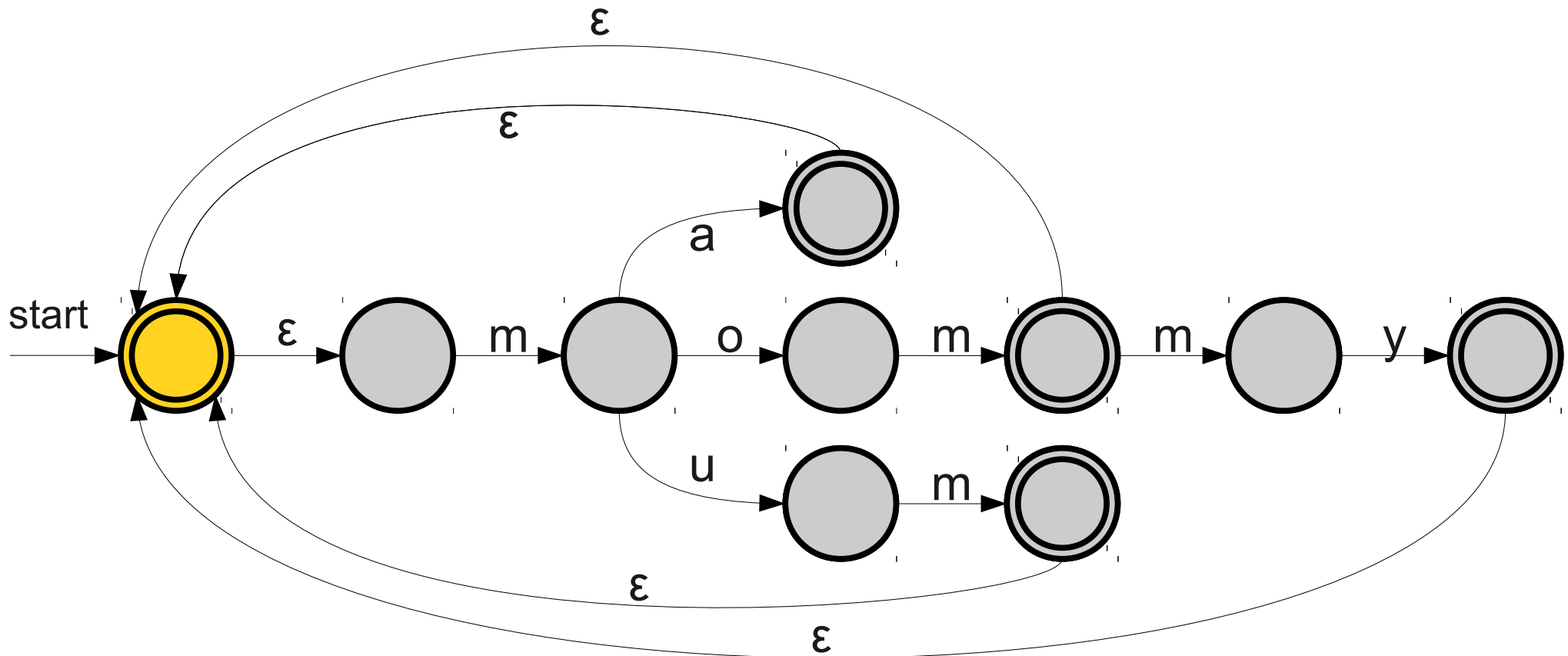


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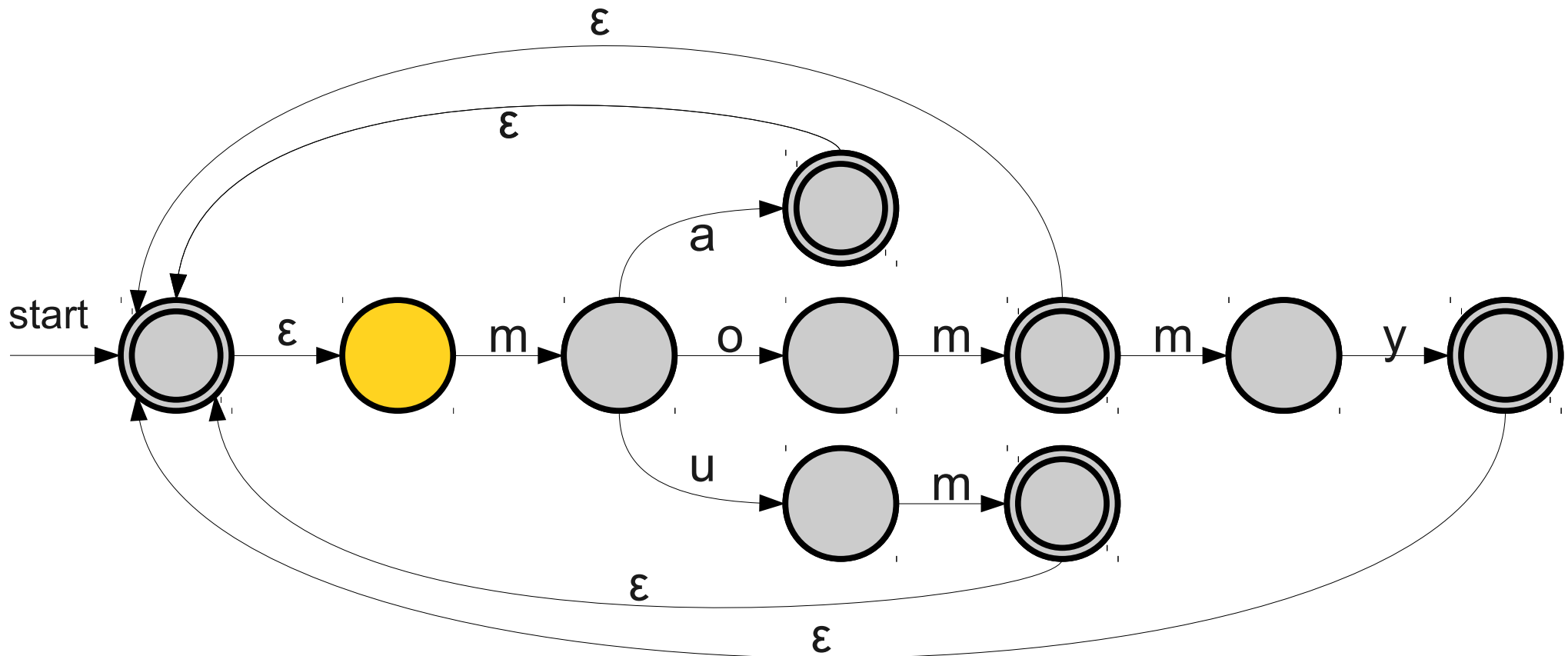


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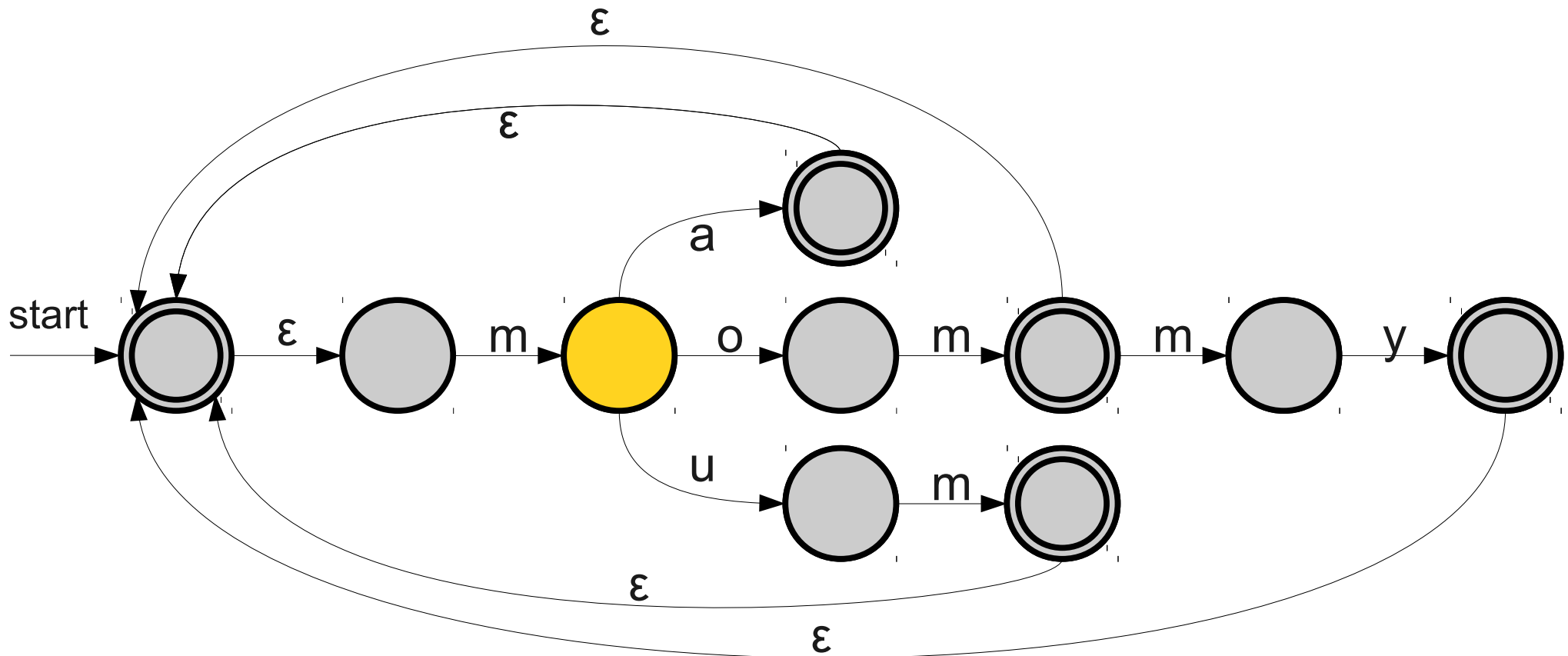


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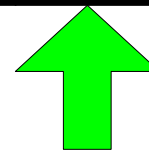


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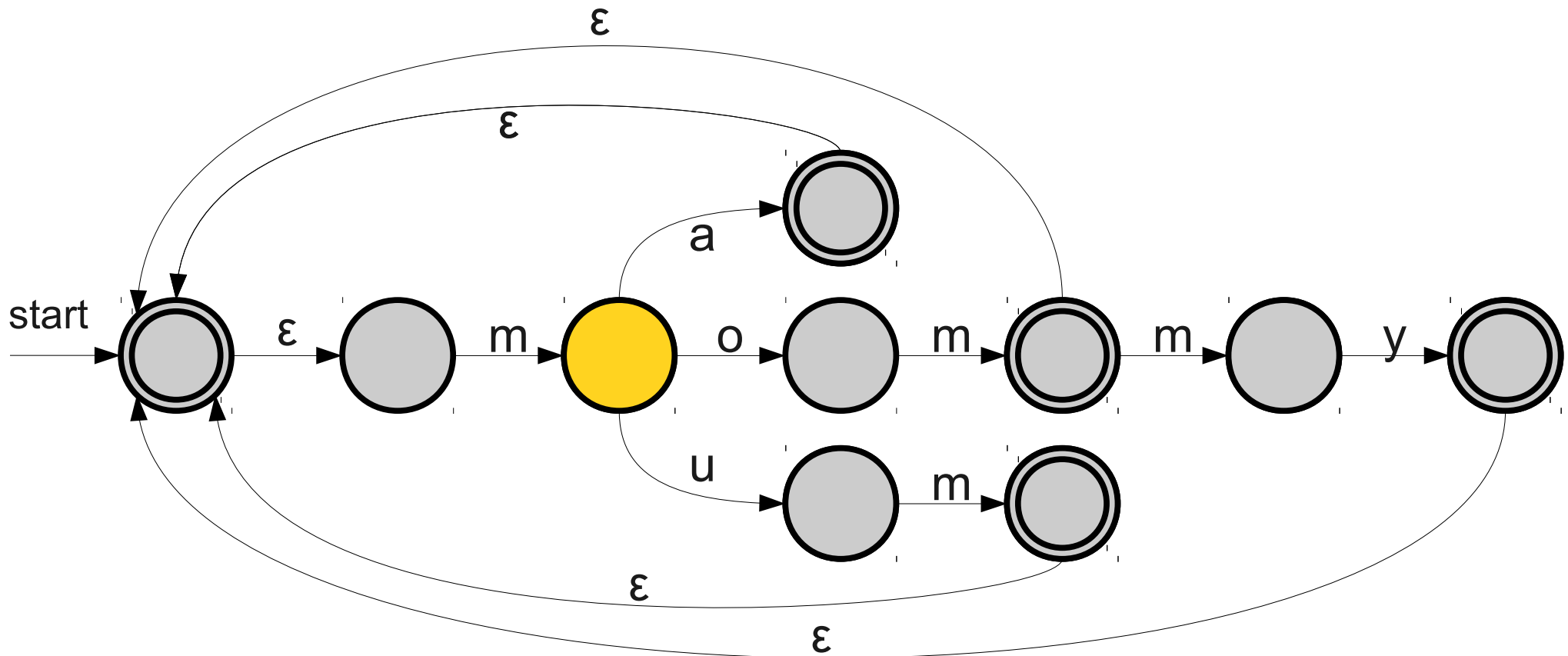


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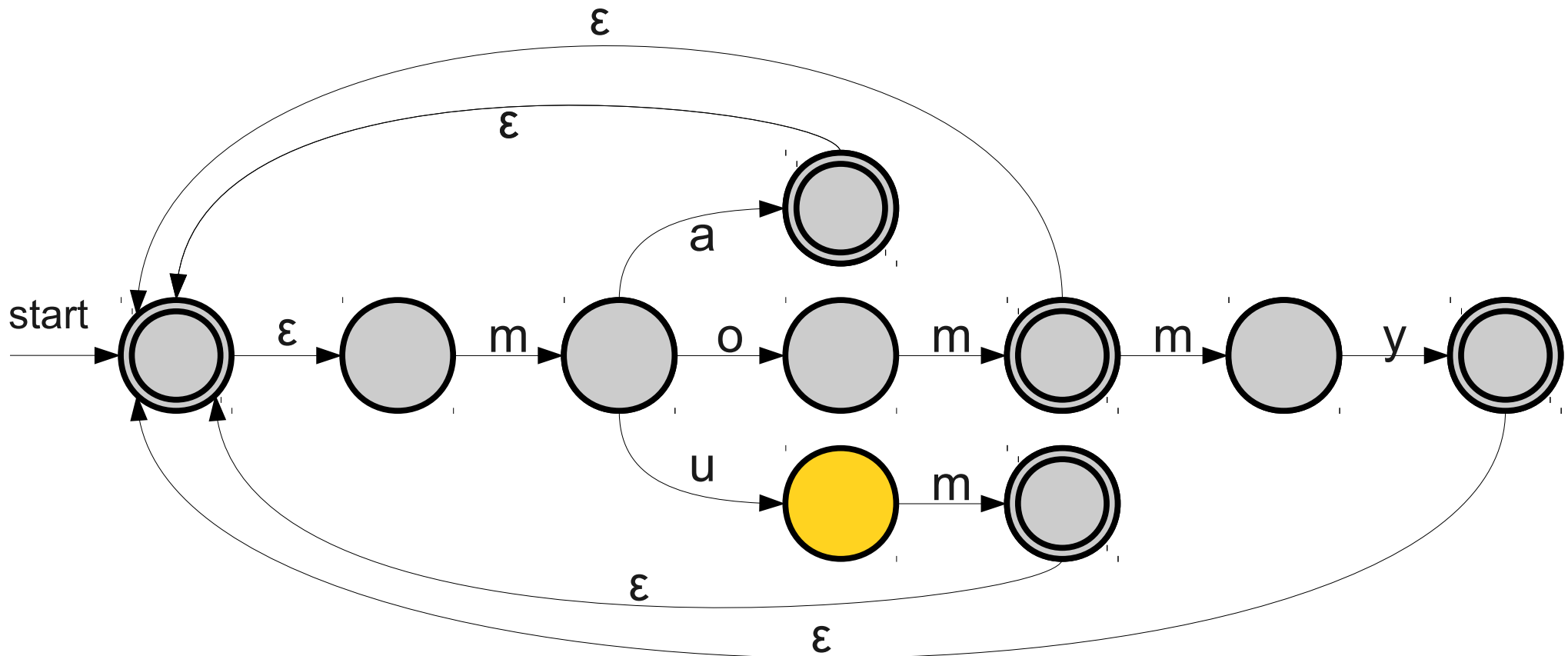


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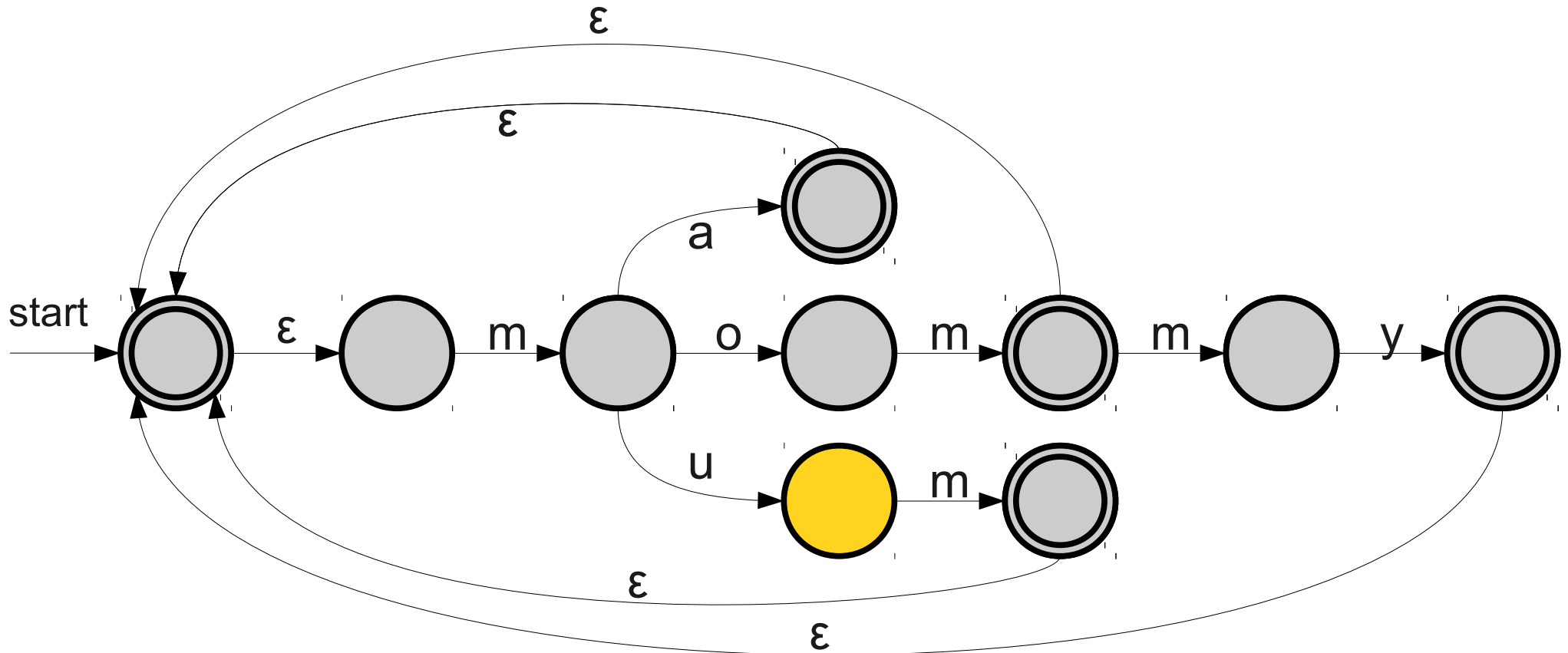


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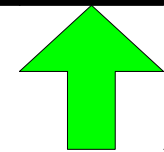


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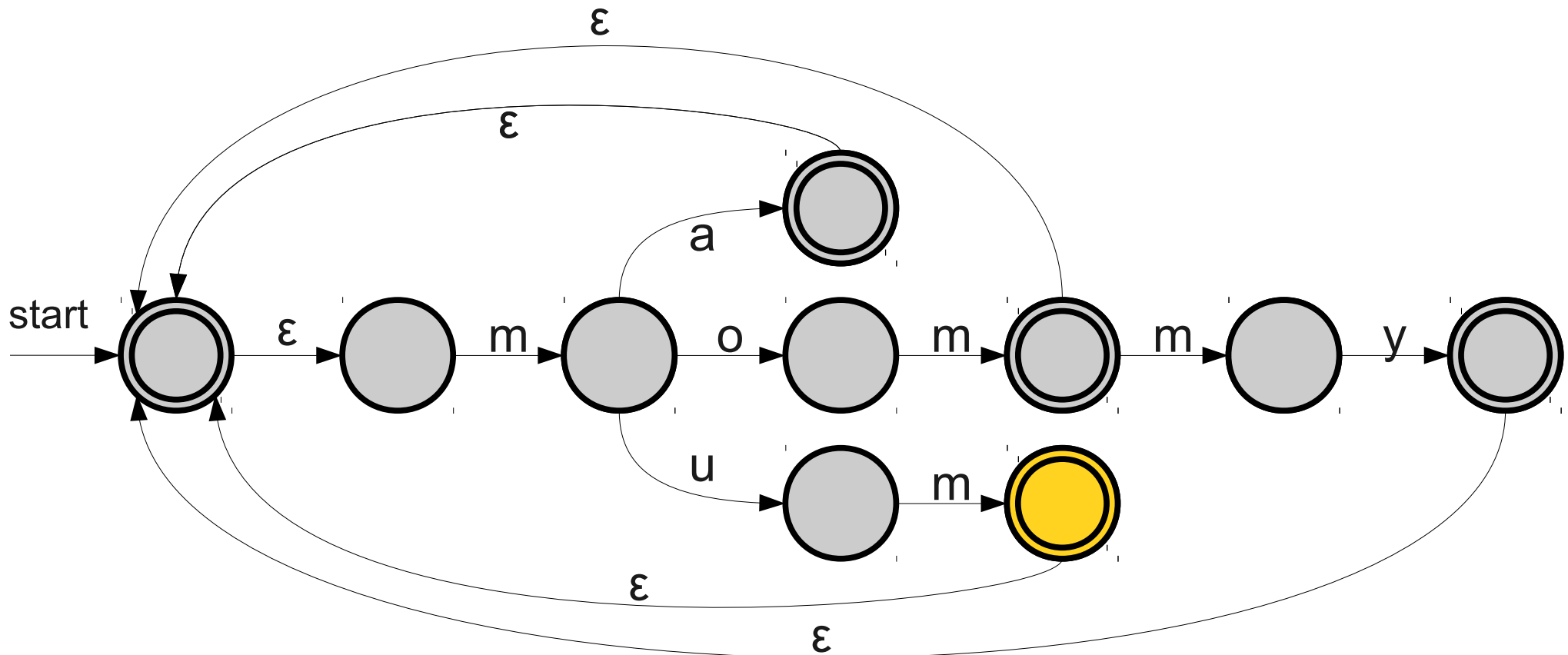


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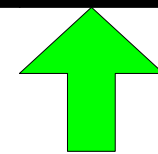


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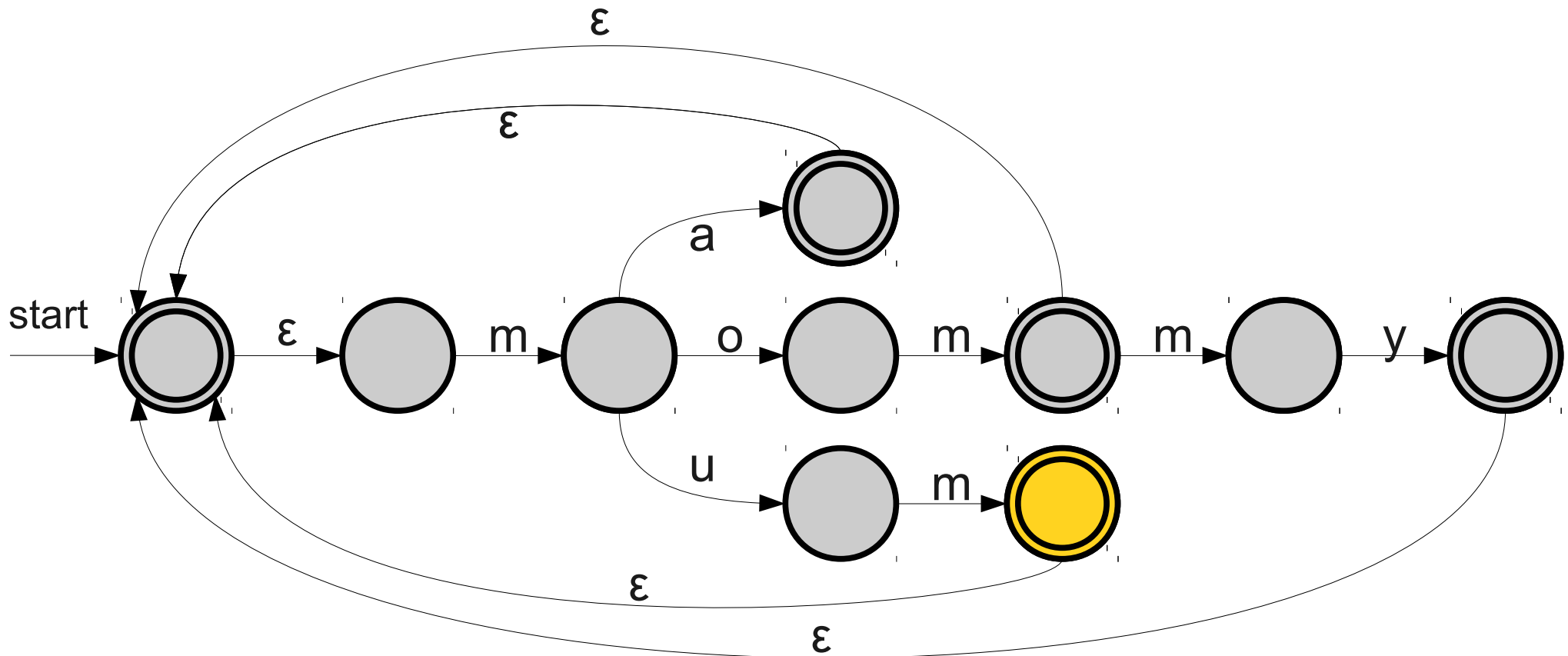


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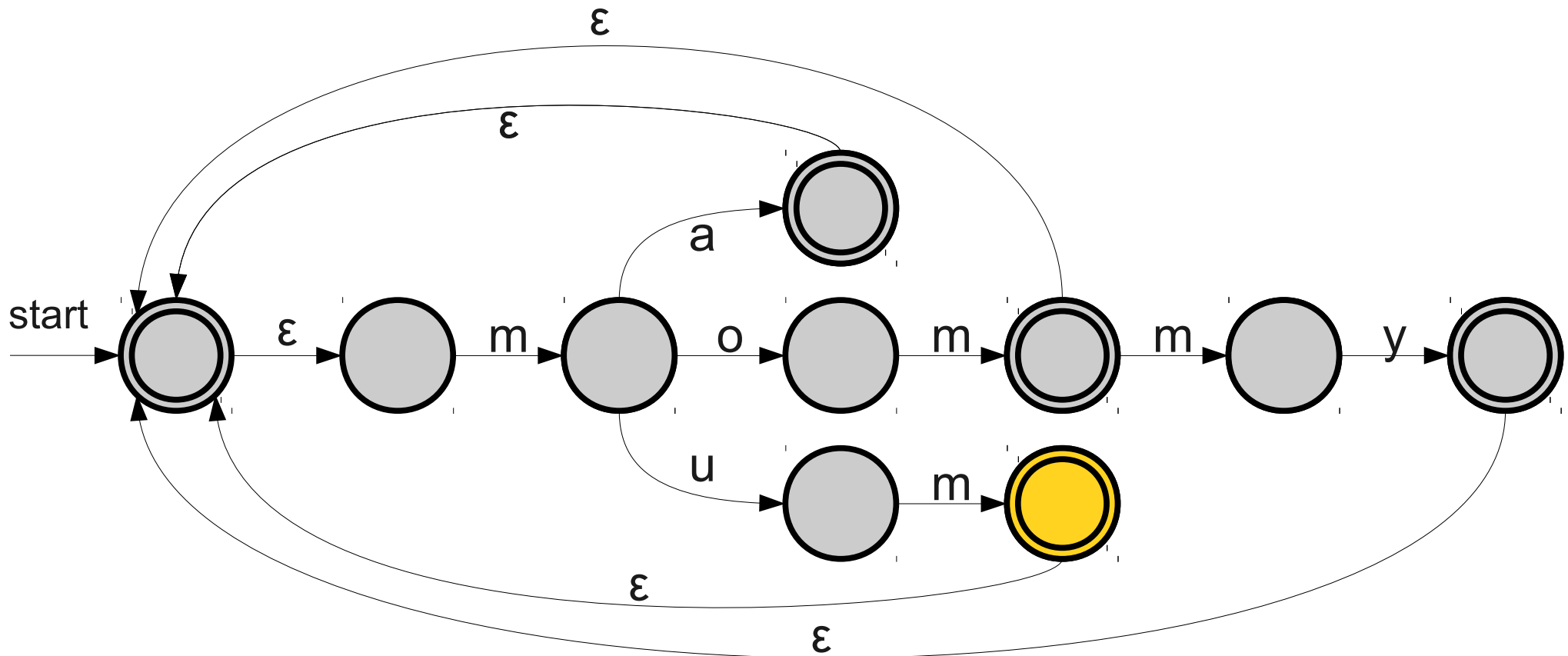
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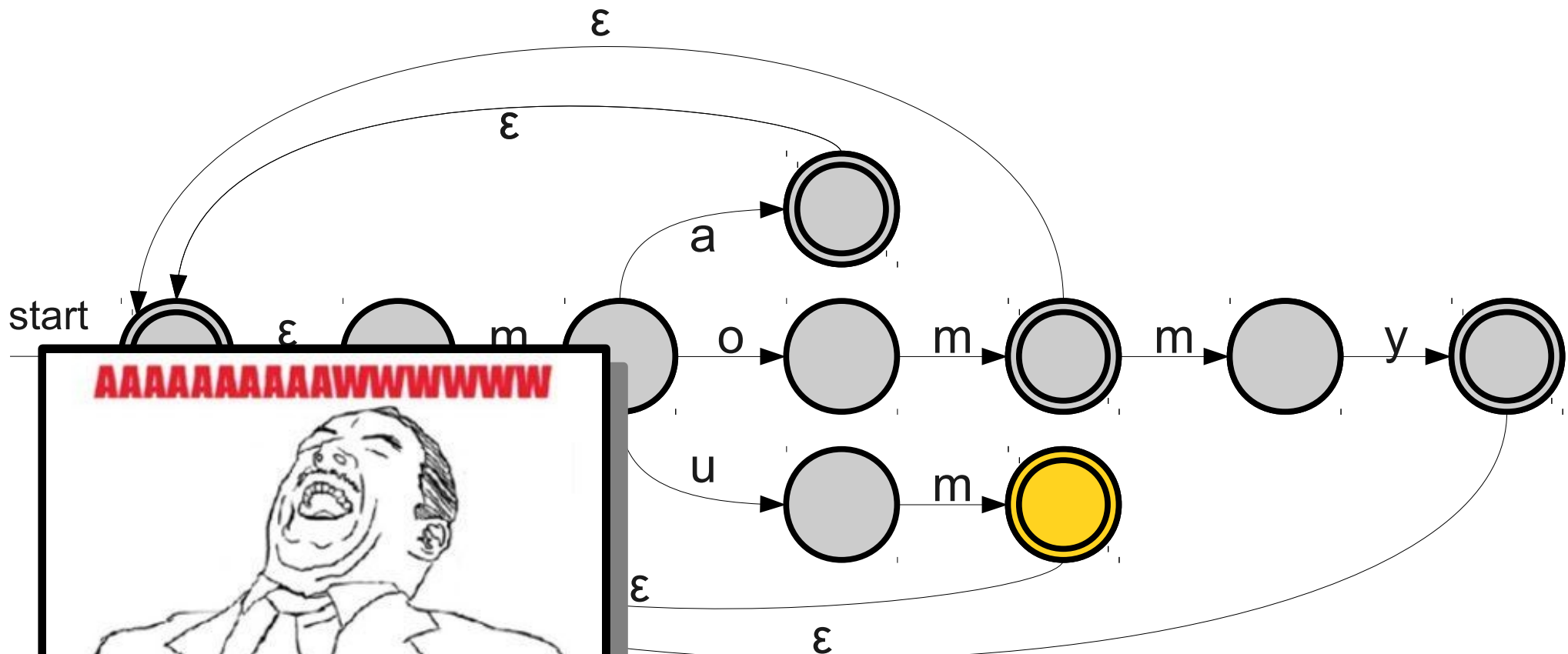
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m a m o m m u m

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m a m o m m u m

Summary

- NFAs are a powerful type of automaton that allows for **nondeterministic** choices.
- NFAs can also have **ϵ -transitions** that move from state to state without consuming any input.
- The **subset construction** shows that NFAs are not more powerful than DFAs, because any NFA can be converted into a DFA that accepts the same language.
- The union, intersection, difference, complement, concatenation, and Kleene closure of regular languages are all regular languages.

Another View of Regular Languages

Rethinking Regular Languages

- We currently have several tools for showing a language is regular.
 - Construct a DFA for it.
 - Construct an NFA for it.
 - Apply closure properties to existing languages.
- We have not spoken much of this last idea.

Constructing Regular Languages

- **Idea:** Build up all regular languages as follows:
 - Start with a small set of simple languages we already know to be regular.
 - Using closure properties, combine these simple languages together to form more elaborate languages.
- *A bottom-up approach to the regular languages.*

Regular Expressions

- **Regular expressions** are a family of descriptions that can be used to capture the regular languages.
- Often provide a compact and human-readable description of the language.
- Used as the basis for numerous software systems (Perl, **flex**, **grep**, etc.)

Atomic Regular Expressions

- The regular expressions begin with three simple building blocks.
- The symbol \emptyset is a regular expression that represents the empty language \emptyset .
- The symbol ϵ is a regular expression that represents the language $\{ \epsilon \}$
 - This is not the same as \emptyset !
- For any $a \in \Sigma$, the symbol a is a regular expression for the language $\{ a \}$

Compound Regular Expressions

- We can combine together existing regular expressions in four ways.
- If R_1 and R_2 are regular expressions, R_1R_2 is a regular expression representing the **concatenation** of the languages of R_1 and R_2 .
- If R_1 and R_2 are regular expressions, $R_1 | R_2$ is a regular expression representing the **union** of R_1 and R_2 .
- If R is a regular expression, R^* is a regular expression for the **Kleene closure** of R .
- If R is a regular expression, (R) is a regular expression with the same meaning as R .

Operator Precedence

- Regular expression operator precedence is

(R)

R^*

R_1R_2

$R_1 | R_2$

- So **$ab^*c | d$** is parsed as **$((a(b^*))c) | d$**

Regular Expression Examples

- The regular expression `trick|treat` represents the regular language { `trick`, `treat` }
- The regular expression `boo*` represents the regular language { `boo`, `booo`, `boooo`, ... }
- The regular expression `candy!(candy!)*` represents the regular language { `candy!`, `candy!candy!`, `candy!candy!candy!`, ... }

Regular Expressions, Formally

- The **language of a regular expression** is the language described by that regular expression.
- Formally:
 - $\mathcal{L}(\varepsilon) = \{\varepsilon\}$
 - $\mathcal{L}(\emptyset) = \emptyset$
 - $\mathcal{L}(\mathbf{a}) = \{\mathbf{a}\}$
 - $\mathcal{L}(R_1 R_2) = \mathcal{L}(R_1) \mathcal{L}(R_2)$
 - $\mathcal{L}(R_1 | R_2) = \mathcal{L}(R_1) \cup \mathcal{L}(R_2)$
 - $\mathcal{L}(R^*) = \mathcal{L}(R)^*$
 - $\mathcal{L}((R)) = \mathcal{L}(R)$