

# Unsolvable Problems

# Announcements

- Problem Set 5 graded, will be returned at end of lecture.
- Problem session tonight in 380-380X from 7PM – 7:50PM.
  - Optional, but highly recommended!
- CS Career Panel Tonight: 6PM in Gates 104.
  - Lots of cool people there!

# Unsolvable Problems

# Goals for Today

- Find concrete examples of problems that cannot be solved by computers.
- See how the procedure for finding languages that are not **R** or **RE** is fundamentally different from finding languages that are not regular or context-free.
- Set the stage for reductions and mapping reductions on Wednesday.

Recap from Friday

# Major Ideas from Last Time

- Every TM can be converted into a string representation of itself.
  - The **encoding** of  $M$  is denoted  $\langle M \rangle$ .
- The **universal Turing machine**  $U_{\text{TM}}$  accepts an encoding  $\langle M, w \rangle$  of a TM  $M$  and string  $w$ , then simulates the execution of  $M$  on  $w$ .
- The language of  $U_{\text{TM}}$  is the language  **$A_{\text{TM}}$** :

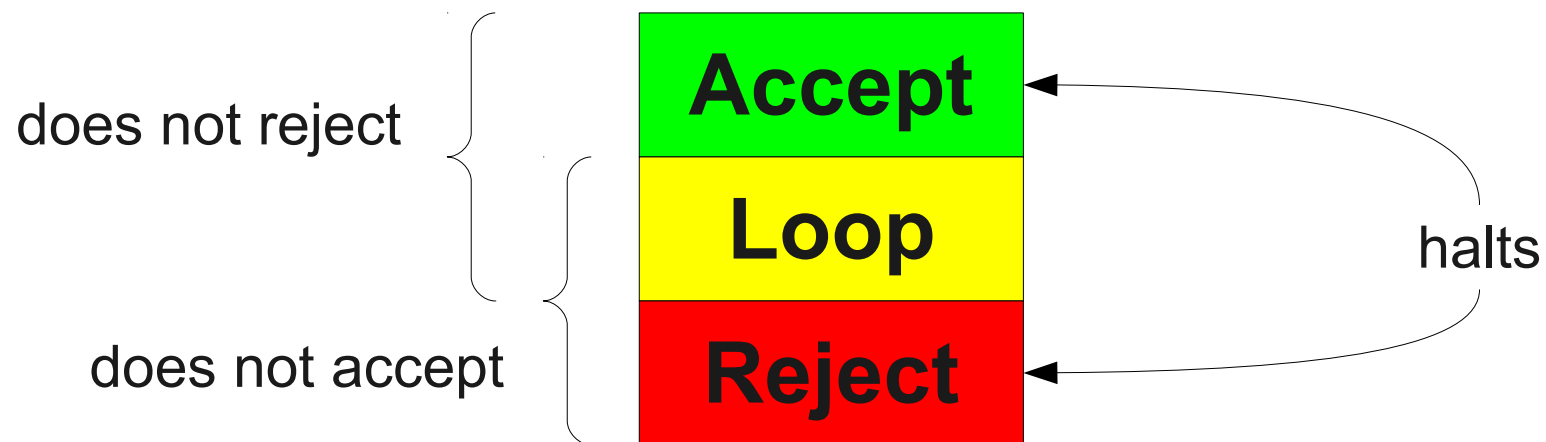
$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts } w. \}$$

- Equivalently:

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } w \in \mathcal{L}(M) \}$$

# Major Ideas from Last Time

- A TM **accepts** a string  $w$  if it enters its accept state.
- A TM **rejects** a string  $w$  if it enters its reject state.
- A TM **loops** on a string  $w$  if neither of these happens.
- A TM **does not accept** a string  $w$  if it either rejects  $w$  or loops infinitely on  $w$ .
- A TM **does not reject** a string  $w$  if it either accepts  $w$  or loops infinitely on  $w$ .
- A TM **halts** if it accepts or rejects.



What happens when we run  
a TM on a TM encoding?

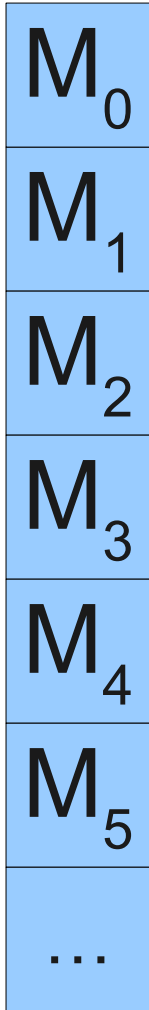


# Languages, TMs, and TM Encodings

- Recall: The language of a TM  $M$  is the set

$$\mathcal{L}(M) = \{ w \in \Sigma^* \mid M \text{ accepts } w \}$$

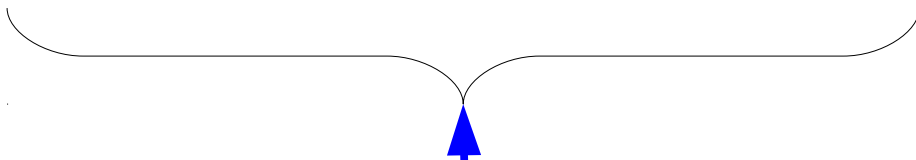
- Some of the strings in this set might be descriptions of TMs.
- What happens if we just focus on the set of strings that are legal TM descriptions?



All Turing machines,  
listed in some order.

$\langle M_0 \rangle$   $\langle M_1 \rangle$   $\langle M_2 \rangle$   $\langle M_3 \rangle$   $\langle M_4 \rangle$   $\langle M_5 \rangle$  ...

$M_0$   
 $M_1$   
 $M_2$   
 $M_3$   
 $M_4$   
 $M_5$   
...



All descriptions  
of TMs, listed in  
the same order.



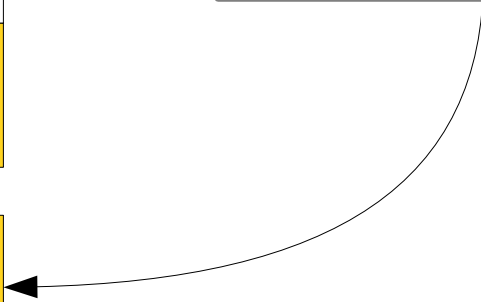
	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Acc Acc Acc No Acc No ...

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

Acc Acc Acc No Acc No ...

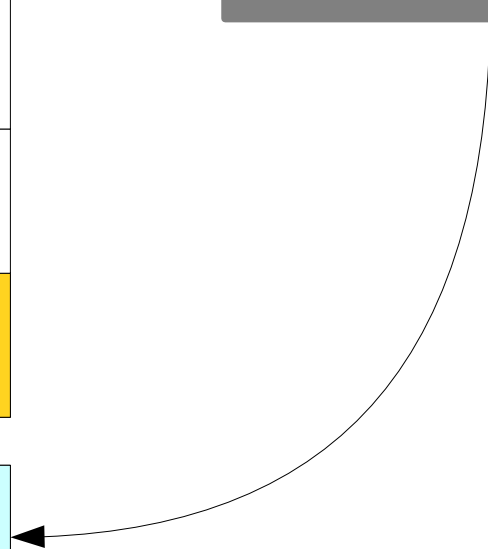
Flip all "accept" to "no" and vice-versa



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No TM has this behavior!

No No No Acc No Acc ...



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----



	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

**“The language of all TMs that do not accept their own description.”**

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

**$\{ \langle M \rangle \mid M \text{ is a TM that does not accept } \langle M \rangle \}$**

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

	$\langle M_0 \rangle$	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	$\langle M_5 \rangle$	...
$M_0$	Acc	No	No	Acc	Acc	No	...
$M_1$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_2$	Acc	Acc	Acc	Acc	Acc	Acc	...
$M_3$	No	Acc	Acc	No	Acc	Acc	...
$M_4$	Acc	No	Acc	No	Acc	No	...
$M_5$	No	No	Acc	Acc	No	No	...
...	...	...	...	...	...	...	...

**$\{ \langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin \mathcal{L}(M) \}$**

No	No	No	Acc	No	Acc	...
----	----	----	-----	----	-----	-----

# Diagonalization Revisited

- The **diagonalization language**  $L_D$  is defined as

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin \mathcal{L}(M) \}$$

- That is,  $L_D$  is the set of descriptions of Turing machines that do not accept themselves.

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin \mathcal{L}(M) \}$$

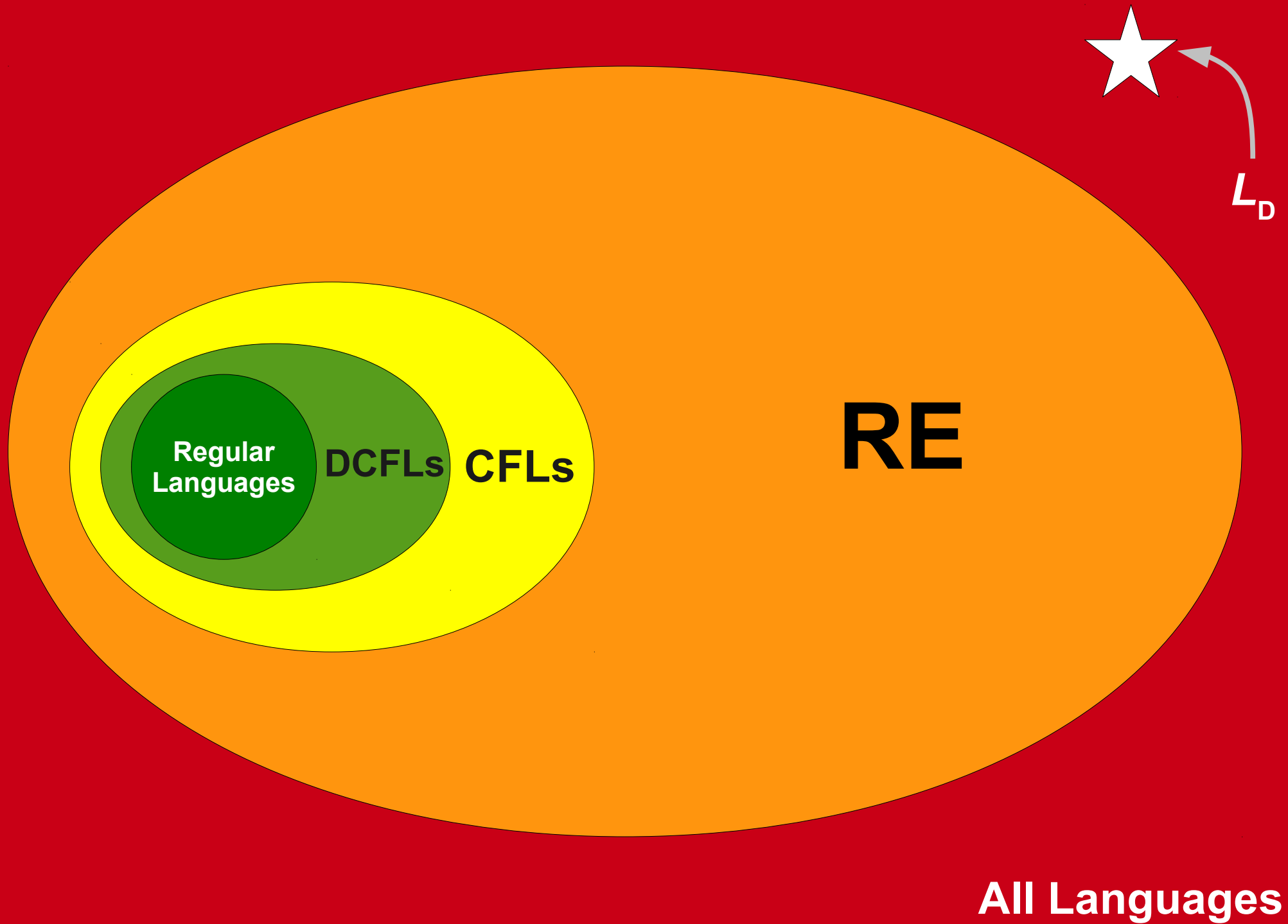
*Theorem:*  $L_D \notin \mathbf{RE}$ .

*Proof:* By contradiction; assume that  $L_D \in \mathbf{RE}$ . Then there must be some TM  $R$  such that  $\mathcal{L}(R) = L_D$ . We know that either  $\langle R \rangle \notin \mathcal{L}(R)$  or  $\langle R \rangle \in \mathcal{L}(R)$ . We consider each case separately:

*Case 1:*  $\langle R \rangle \notin \mathcal{L}(R)$ . By definition of  $L_D$ , since  $\langle R \rangle \notin \mathcal{L}(R)$ , we know that  $\langle R \rangle \in L_D$ . Since  $\langle R \rangle \notin \mathcal{L}(R)$  and  $\mathcal{L}(R) = L_D$ , we know that  $\langle R \rangle \notin L_D$ . But this is impossible, since it contradicts the fact that  $\langle R \rangle \in L_D$ .

*Case 2:*  $\langle R \rangle \in \mathcal{L}(R)$ . By definition of  $L_D$ , since  $\langle R \rangle \in \mathcal{L}(R)$ , we know that  $\langle R \rangle \notin L_D$ . Since  $\langle R \rangle \in \mathcal{L}(R)$  and  $\mathcal{L}(R) = L_D$ , we know that  $\langle R \rangle \in L_D$ . But this is impossible, since it contradicts the fact that  $\langle R \rangle \notin L_D$ .

In either case we reach a contradiction, so our assumption must have been wrong. Thus  $L_D \notin \mathbf{RE}$ . ■



# What Just Happened?

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin \mathcal{L}(M) \}$$

- What is it about  $L_D$  that makes it impossible to solve with a Turing machine?

## **Indirect self-reference.**

- Because TMs can be encoded as strings, TMs that compute over other TMs can be forced to compute some property of themselves *without realizing it*.
- The language  $L_D$  self-destructs given a Turing machine that recognizes  $L_D$  by stating “this machine accepts itself if and only if it does not accept itself.”

# Diagonalization Revisited

- In our original proof of Cantor's theorem, we constructed this diagonal set:

$$D = \{ x \in S \mid x \notin f(x) \}$$

- Note the similarity to the diagonalization language:

$$L_D = \{ \langle M \rangle \mid M \text{ is a TM and } \langle M \rangle \notin \mathcal{L}(M) \}$$

- We began this class by using Cantor's theorem to show the existence of an unsolvable problems.
- We have now used the exact same technique to single out a specific unsolvable problem.



# An Undecidable Problem

# Major Ideas from Last Time

- A Turing machine that halts on all inputs is called a **decider**.
- A language  $L$  is called **decidable** or **recursive** iff there is a decider  $M$  such that  $\mathcal{L}(M) = L$ .
- The Turing-decidable languages are, therefore, problems for which there is some computer that can always produce a yes or no answer.
- A problem is decidable precisely when there is some algorithm to solve it.
- **Decidability formalizes the definition of an algorithm.**

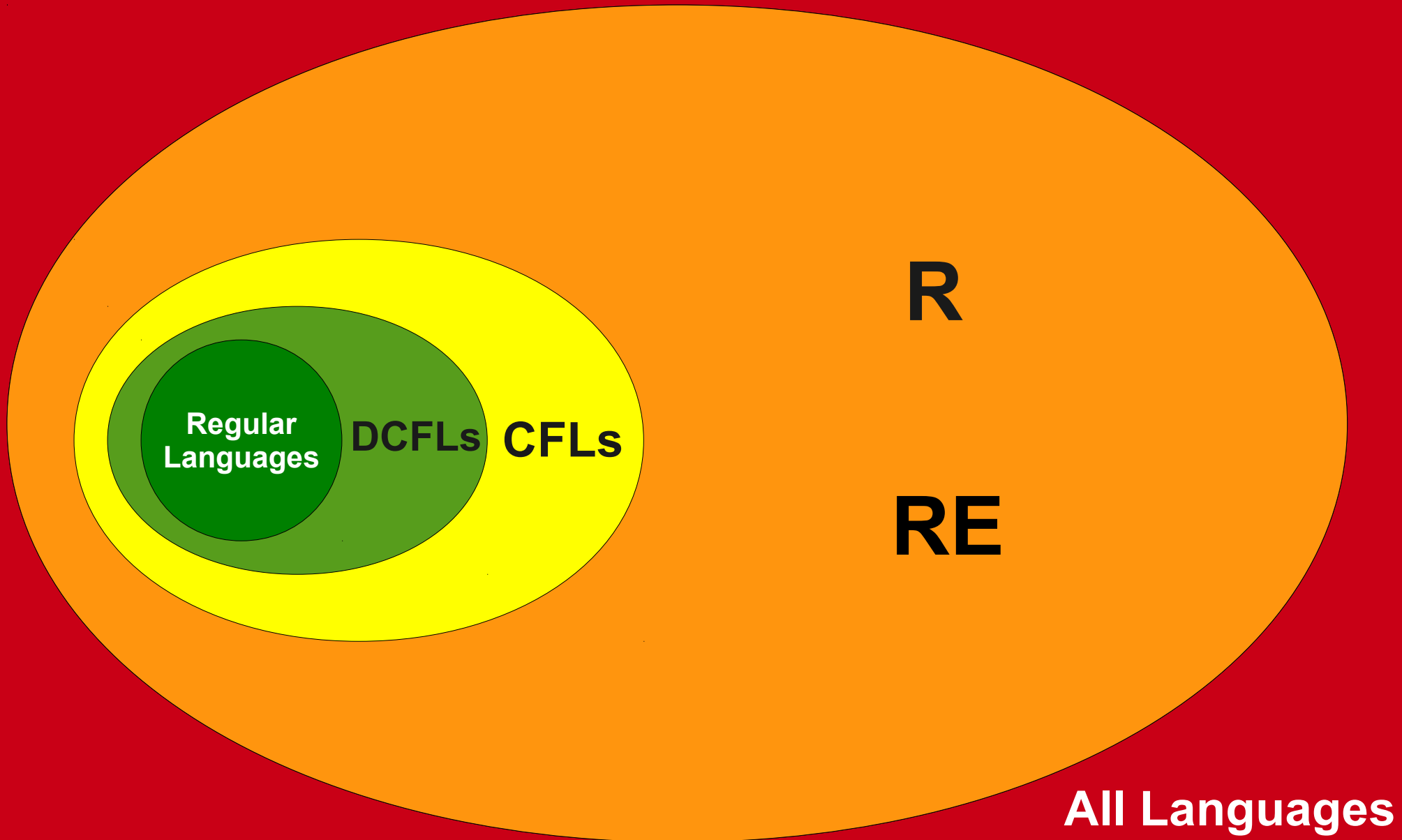
$$\mathbf{R} \stackrel{?}{=} \mathbf{RE}$$

- $\mathbf{R}$  is the set of all recursive languages.
- $\mathbf{RE}$  is the set of all recursively enumerable languages.
- Since all deciders are TMs,  $\mathbf{R} \subseteq \mathbf{RE}$ .

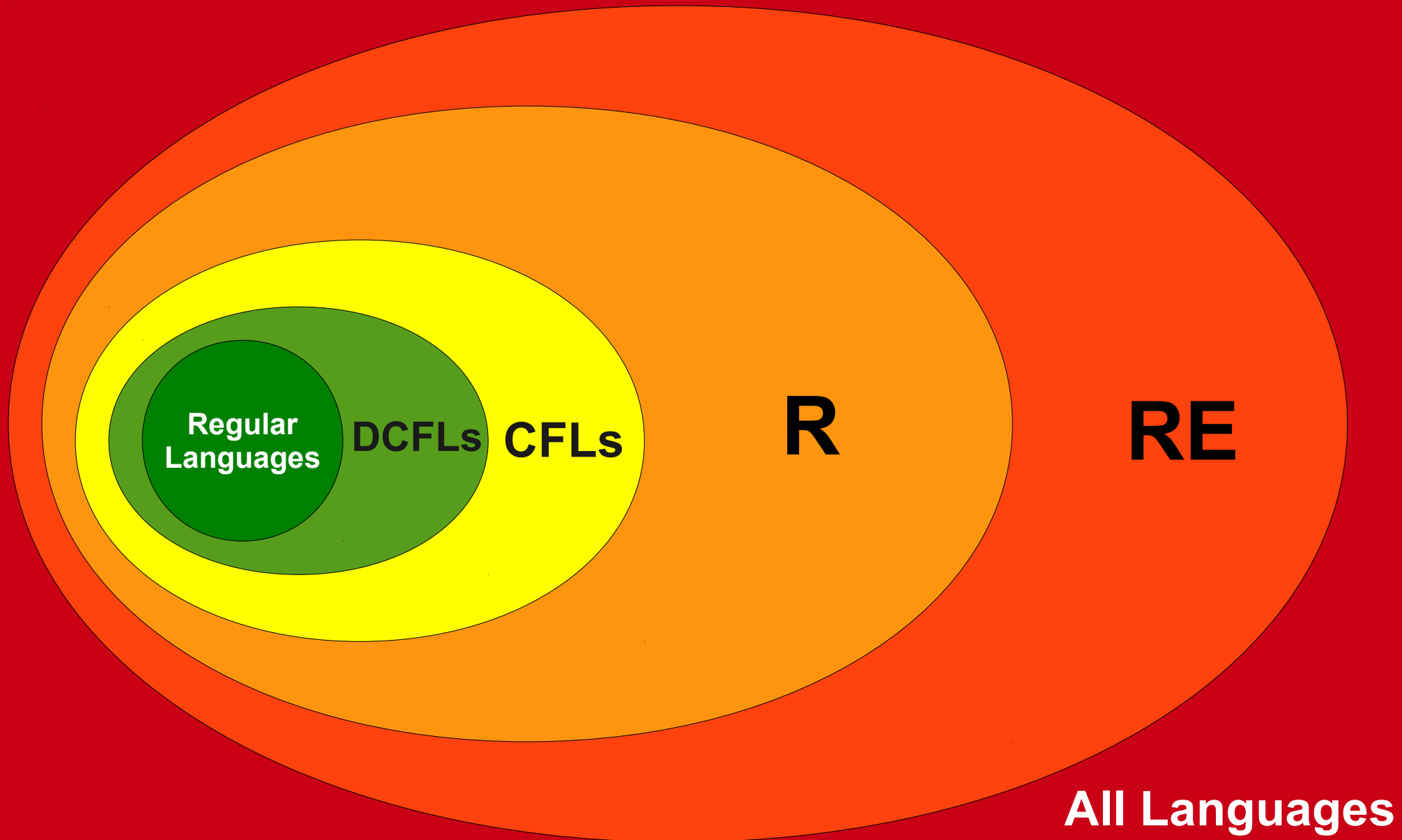
**Question: Is  $\mathbf{R} = \mathbf{RE}$ ?**

- If we can verify a “yes” answer to a problem, can we necessarily solve that problem directly to obtain a yes/no answer?

# Which Picture is Correct?



# Which Picture is Correct?



# Attacking this Problem

- To prove that  $\mathbf{R} = \mathbf{RE}$ , we need to show that for any recognizer, there was some equivalent decider.
- To prove that  $\mathbf{R} \neq \mathbf{RE}$ , we need to find a single recognizable language that is undecidable.

# Revisiting $A_{\text{TM}}$

- Recall that  $A_{\text{TM}}$  is the language

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } w \in \mathcal{L}(M) \}$$

- $A_{\text{TM}} \in \mathbf{RE}$ , because it is the language of the universal Turing machine  $U_{\text{TM}}$ .
- Important theorem:

$$\mathbf{R} = \mathbf{RE} \quad \text{iff} \quad A_{\text{TM}} \in \mathbf{R}$$

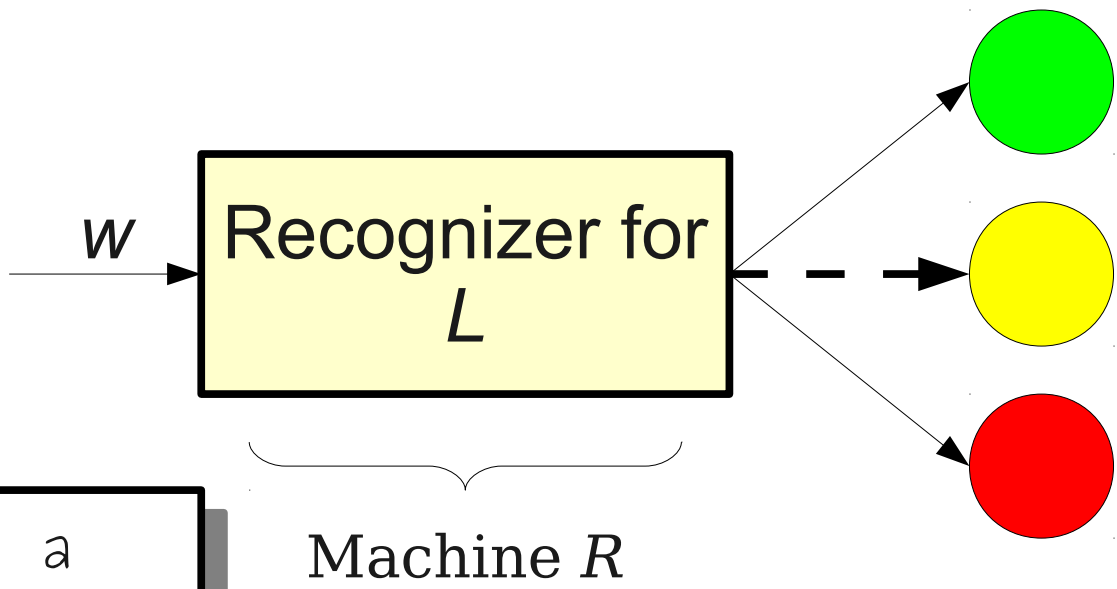
*Lemma:* If  $\mathbf{R} = \mathbf{RE}$ , then  $A_{\text{TM}} \in \mathbf{R}$ .

*Proof:* Assume  $\mathbf{R} = \mathbf{RE}$ . Since  $A_{\text{TM}} \in \mathbf{RE}$ , this means that  $A_{\text{TM}} \in \mathbf{R}$ . Therefore,  $A_{\text{TM}} \in \mathbf{R}$ . ■

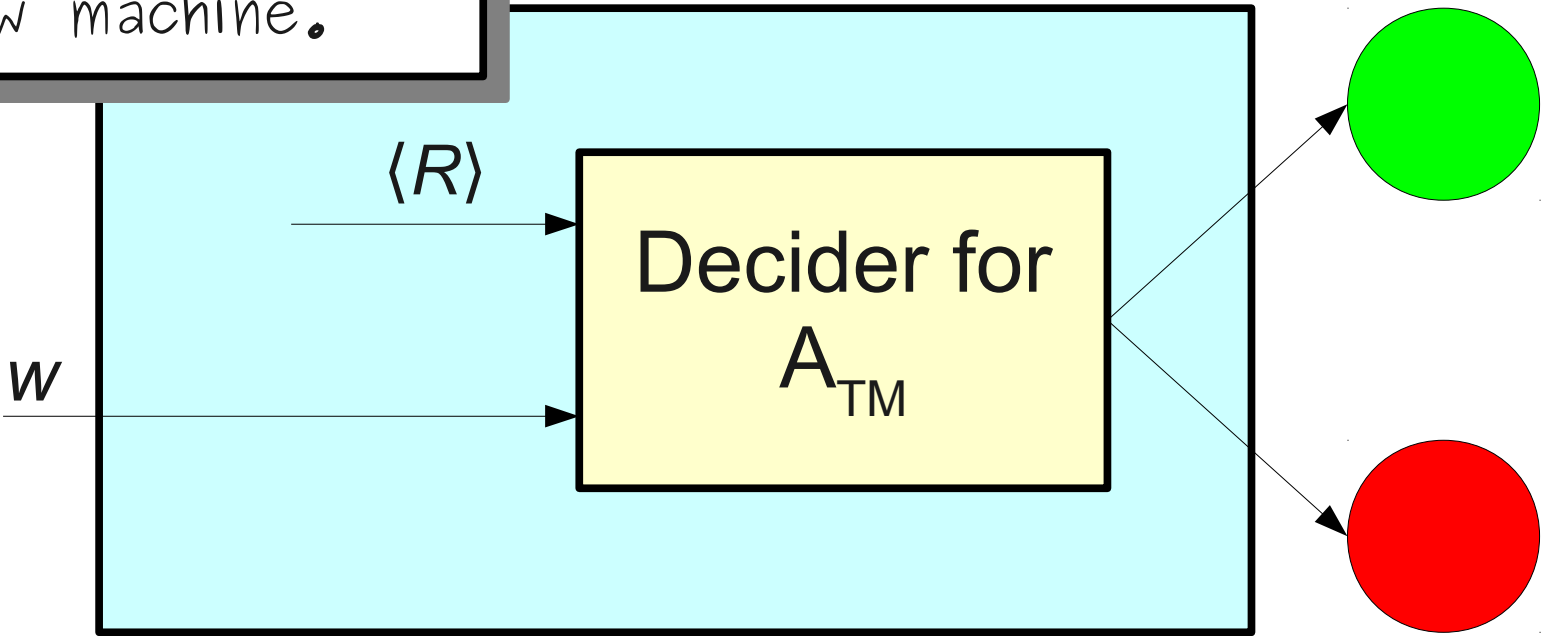


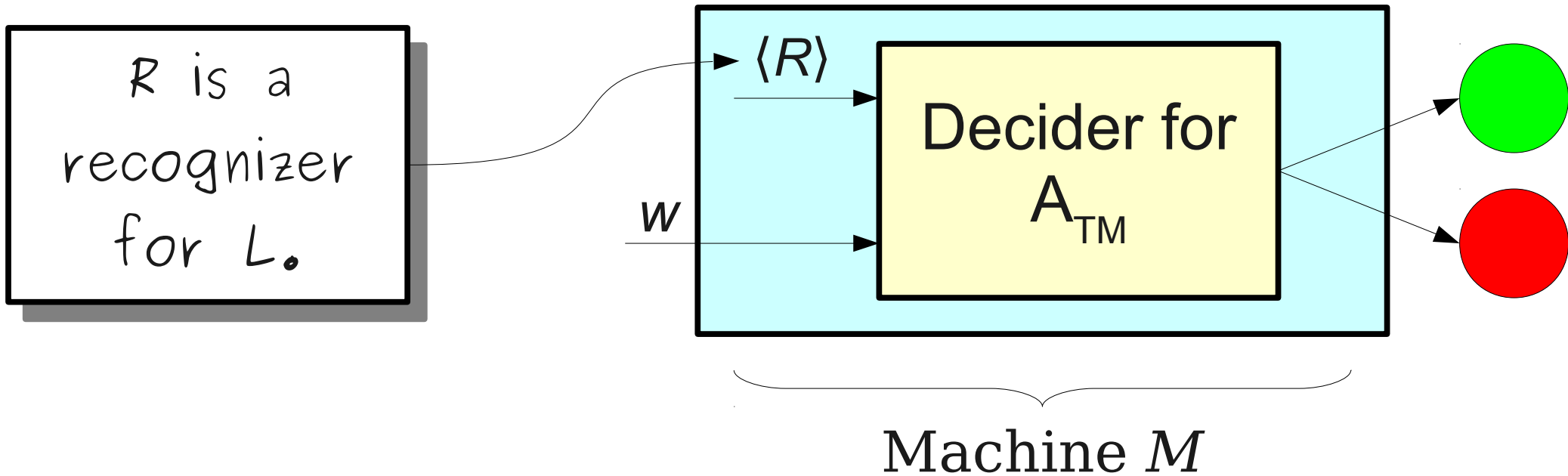
# The Other Direction

- We want to prove that if  $A_{\text{TM}} \in \mathbf{R}$ , then  $\mathbf{R} = \mathbf{RE}$ .
- We will show that if  $A_{\text{TM}}$  is decidable, then given any recognizer for a language  $L$ , we can construct a decider for  $L$ .



"Hard-code" a description of machine  $R$  into this new machine.





$M$  accepts  $w$   
 iff  
 The decider for  $A_{TM}$  accepts  $\langle R, w \rangle$   
 iff  
 $\langle R, w \rangle \in A_{TM}$   
 iff  
 $R$  accepts  $w$   
 iff  
 $w \in \mathcal{L}(R)$

$M$  is a decider  
 for  $\mathcal{L}(R)$ , so  
 $\mathcal{L}(R) \in \mathcal{R}$ .

*Theorem:* If  $A_{\text{TM}} \in \mathbf{R}$ , then  $\mathbf{R} = \mathbf{RE}$ .

*Proof:* Assume that  $A_{\text{TM}} \in \mathbf{R}$ . Then there must be a decider  $D$  such that  $\mathcal{L}(D) = A_{\text{TM}}$ . Consider any language  $L \in \mathbf{RE}$ ; we show that  $L \in \mathbf{R}$ . Since our choice of  $L$  was arbitrary, this shows that  $\mathbf{RE} \subseteq \mathbf{R}$ . Since  $\mathbf{R} \subseteq \mathbf{RE}$ , this proves  $\mathbf{R} = \mathbf{RE}$ .

Since  $L \in \mathbf{RE}$ , there is some recognizer for  $L$ ; call it  $R$ . Then consider the following TM  $M$ :

$M =$  “On input  $w$ :  
    Run  $D$  on  $\langle R, w \rangle$ .  
    If  $D$  accepts  $\langle R, w \rangle$ , accept  $w$ .  
    If  $D$  rejects  $\langle R, w \rangle$ , reject  $w$ .”

We prove that  $\mathcal{L}(M) = L$  and that  $M$  is a decider. To see that  $\mathcal{L}(M) = L$ , consider any string  $w$ . Then  $M$  accepts  $w$  iff  $D$  accepts  $\langle R, w \rangle$ . Note that  $D$  accepts  $\langle R, w \rangle$  iff  $R$  accepts  $w$ . Finally,  $R$  accepts  $w$  iff  $w \in \mathcal{L}(R) = L$ . Thus  $M$  accepts  $w$  iff  $w \in L$ , so  $\mathcal{L}(M) = L$ .

To show that  $M$  is a decider, consider what happens when we run  $M$  on an arbitrary string  $w$ .  $M$  first runs  $D$  on  $\langle R, w \rangle$ . Since  $D$  is a decider,  $D$  eventually halts. If  $D$  accepts  $\langle R, w \rangle$ , then  $M$  accepts. If  $D$  rejects  $\langle R, w \rangle$ , then  $M$  rejects. Thus  $M$  halts on all inputs, so it is a decider.

Since  $M$  is a decider for  $L$ , this proves  $L \in \mathbf{R}$  as required. ■

**$\mathbf{R} = \mathbf{RE}$  iff  $A_{\text{TM}} \in \mathbf{R}$ .**

So, is  $A_{\text{TM}} \in \mathbf{R}$ ?

# If $A_{TM}$ is Decidable...

- Let  $P(n) \equiv$  “Every tournament graph with  $n$  players has a winner.”
- For any fixed  $n$ , we can check whether  $P(n)$  is true by listing all tournament graphs and then seeing if they have a tournament winner.
- Consider this TM:
  - “On input  $w$ :
  - Ignore  $w$ .
  - For  $n = 1$  to  $\infty$ :
  - If  $P(n)$  is false, accept.”
- This TM accepts any string  $w$  iff there is some tournament graph with no winner.
- Using  $A_{TM}$ , we could decide whether the theorem is true by deciding whether this program accepts or rejects some string  $w$ .

# If $A_{TM}$ is Decidable...

- Consider the following TM:
  - “On input  $\varphi$ , where  $\varphi$  is a formula in first-order logic:
    - Nondeterministically** guess a proof of  $\varphi$ .
    - Deterministically** verify that this proof is valid.
  - If so, accept.
  - Otherwise, reject.”
- This TM accepts  $\varphi$  iff  $\varphi$  is provable.
- Using  $A_{TM}$ , we could automatically determine whether *any* formula was provable by deciding if the above TM accepts it.

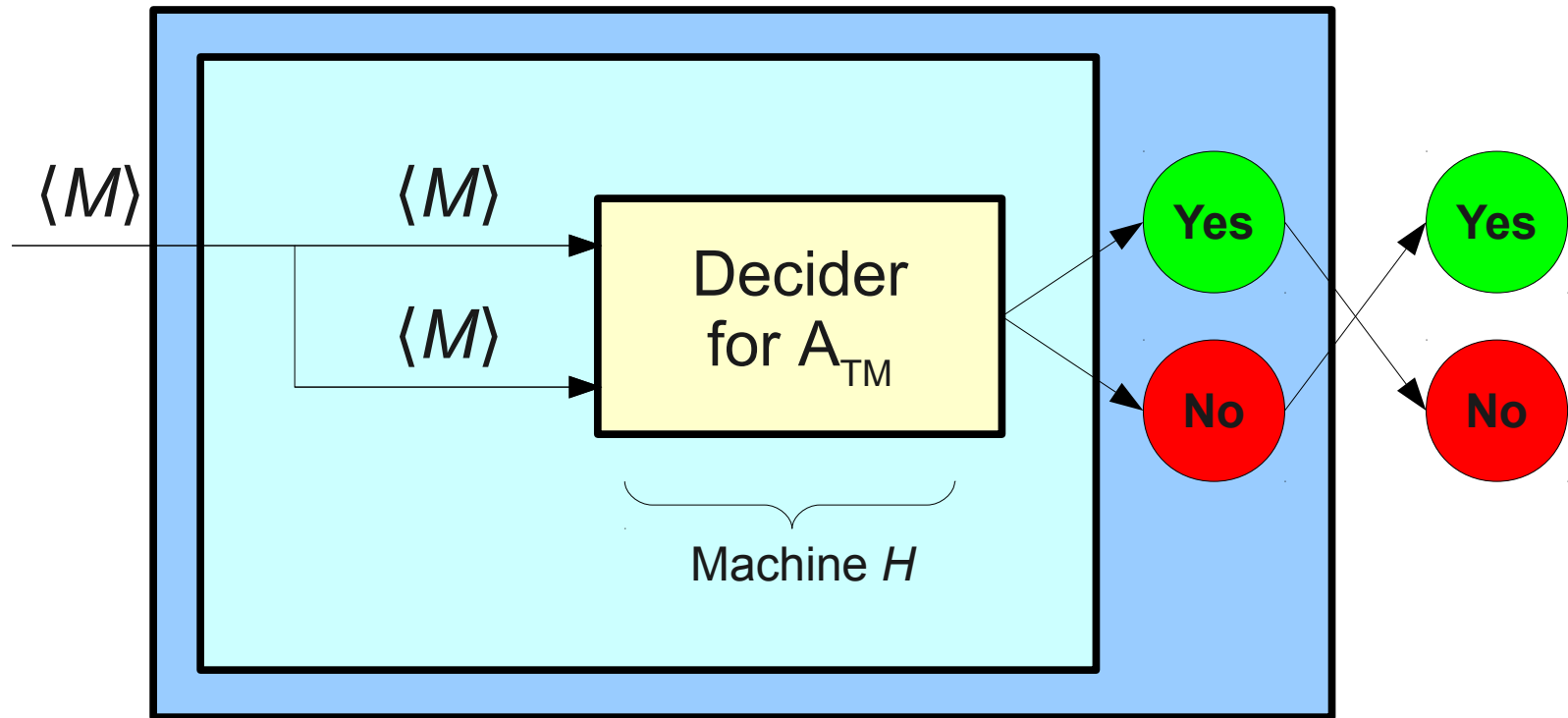
**Theorem:**  $A_{\text{TM}}$  is undecidable.

**Corollary:**  $\mathbf{R} \neq \mathbf{RE}$ .



Assume, for the sake of contradiction,  
that  $A_{\text{TM}}$  is decidable.

Let  $H$  be a decider for it.



“On input  $\langle M \rangle$ :  
 Construct  $\langle M, \langle M \rangle \rangle$ .  
 Run  $H$  on  $\langle M, \langle M \rangle \rangle$ .  
 If  $H$  accepts  $\langle M, \langle M \rangle \rangle$ , reject.  
 If  $H$  rejects  $\langle M, \langle M \rangle \rangle$ , accept.”

If  $\langle M \rangle \in \mathcal{L}(M)$ , reject.  
 If  $\langle M \rangle \notin \mathcal{L}(M)$ , accept.

This is a  
 decider for  
 $L_D!$

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } w \in \mathcal{L}(M) \}$$

*Theorem:*  $A_{\text{TM}} \notin \mathbf{R}$ .

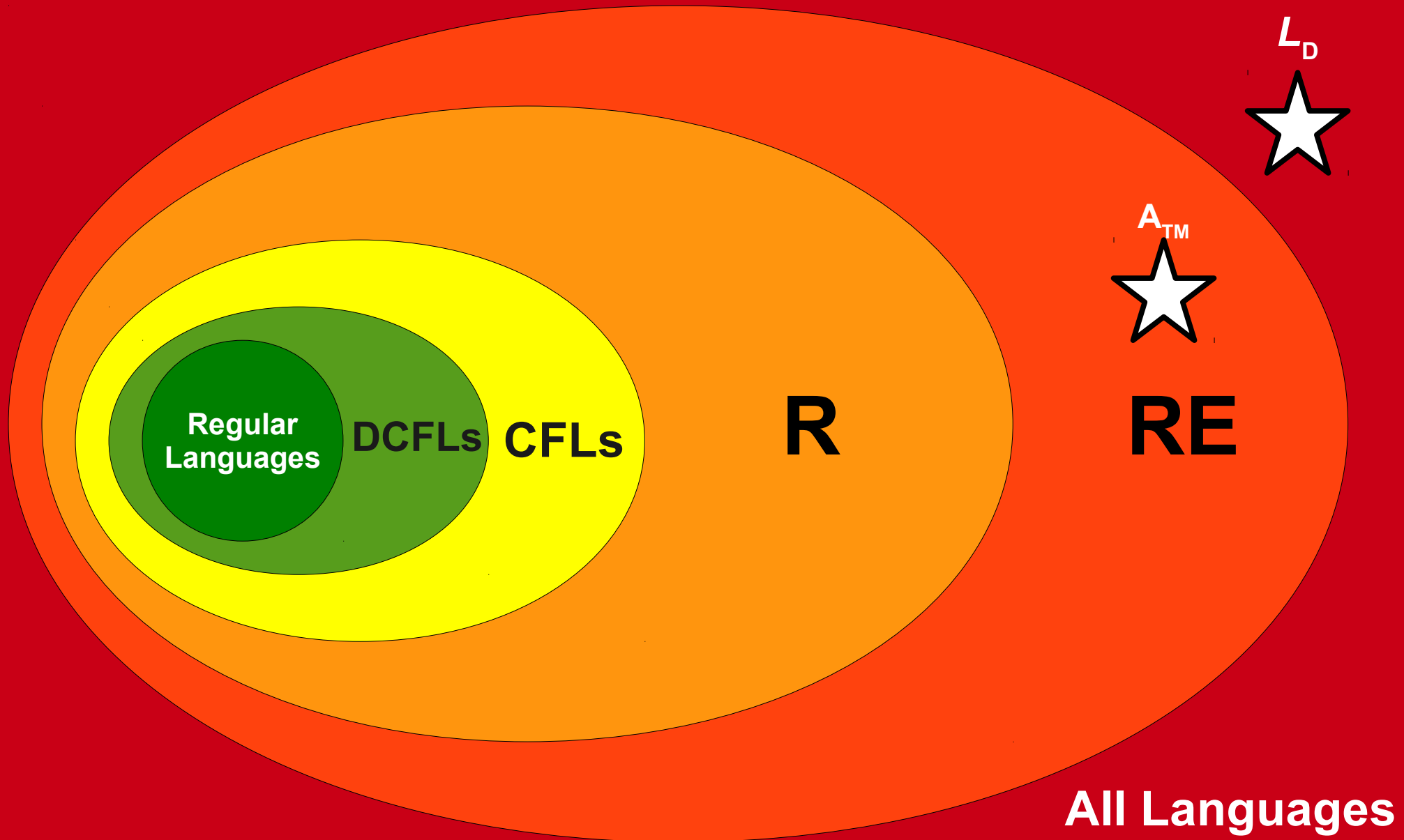
*Proof:* By contradiction; assume that  $A_{\text{TM}} \in \mathbf{R}$  and let  $H$  be a decider for it. Then consider this machine  $D$ :

$D =$  “On input  $\langle M \rangle$ :  
    Construct  $\langle M, \langle M \rangle \rangle$ .  
    Run  $H$  on  $\langle M, \langle M \rangle \rangle$ .  
    If  $H$  accepts  $\langle M, \langle M \rangle \rangle$ , reject.  
    If  $H$  rejects  $\langle M, \langle M \rangle \rangle$ , accept.”

We claim that  $\mathcal{A}(D) = L_D$ . To see this, note that  $D$  accepts  $\langle M \rangle$  iff  $H$  rejects  $\langle M, \langle M \rangle \rangle$ . Since  $H$  is a decider for  $A_{\text{TM}}$ ,  $H$  rejects  $\langle M, \langle M \rangle \rangle$  iff  $\langle M, \langle M \rangle \rangle \notin A_{\text{TM}}$ . Note that  $\langle M, \langle M \rangle \rangle \notin A_{\text{TM}}$  iff  $\langle M \rangle \notin \mathcal{L}(M)$ , since  $\langle M, \langle M \rangle \rangle$  is an encoding of a TM/string pair. Consequently, we have that  $D$  accepts  $\langle M \rangle$  iff  $\langle M \rangle \notin \mathcal{L}(M)$ . Therefore,  $\mathcal{A}(D) = L_D$ .

Since  $\mathcal{A}(D) = L_D$ , we know that  $L_D \in \mathbf{RE}$ . But this is impossible, since we know that  $L_D \notin \mathbf{RE}$ . We have reached a contradiction, so our assumption must have been wrong. Thus  $A_{\text{TM}} \notin \mathbf{R}$ . ■

# The Limits of Computability



# What Just Happened?

- Initially, we proved that  $L_D \notin \mathbf{RE}$ .
- Using this fact, we proved that  $A_{\text{TM}} \notin \mathbf{R}$  by using the following reasoning:
  - If  $A_{\text{TM}} \in \mathbf{R}$ , then  $L_D \in \mathbf{RE}$ .
  - $L_D \notin \mathbf{RE}$ .
  - Therefore,  $A_{\text{TM}} \notin \mathbf{R}$ .

# Finding Unsolvable Problems

- Unlike regular languages or context-free languages, there is no pumping lemma for **R** or **RE** languages.
  - The model of computation is just too powerful.
- Instead, we will find unsolvable problems using reasoning like before:
  - Assume that some language  $A$  is “solvable.”
  - Using the “solver” for  $A$ , build a “solver” for  $B$ .
  - Using advance knowledge that  $B$  is “unsolvable,” derive a contradiction.
  - Conclude, therefore, that  $A$  is “unsolvable.”

# A Different Perspective on $A_{TM}$

Assume  $H$  is a decider for  $A_{TM}$ .

$D =$  “On input  $\langle M \rangle$ :  
Construct  $\langle M, \langle M \rangle \rangle$ .  
Run  $H$  on  $\langle M, \langle M \rangle \rangle$ .  
If  $H$  accepts  $\langle M, \langle M \rangle \rangle$ , reject.  
If  $H$  rejects  $\langle M, \langle M \rangle \rangle$ , accept.”

What happens  
if we run  
 $D$  on  $\langle D \rangle$ ?

$D$  accepts  $\langle D \rangle$   
iff  
 $H$  rejects  $\langle D, \langle D \rangle \rangle$   
iff  
 $D$  does not accept  $\langle D \rangle$

# Another Undecidable Problem



# The Halting Problem

- The **halting problem** is the following problem:

**Given a TM  $M$  and string  $w$ ,  
does  $M$  halt on  $w$ ?**

- Note that  $M$  doesn't have to *accept*  $w$ ; it just has to *halt* on  $w$ .
- As a formal language:

$HALT = \{ \langle M, w \rangle \mid M \text{ is a TM that halts on } w. \}$

- Is  $HALT \in \mathbf{R}$ ? Is  $HALT \in \mathbf{RE}$ ?

# *HALT* is Recognizable

- Consider this Turing machine:

$H =$  “On input  $\langle M, w \rangle$ :

Run  $M$  on  $w$ .

If  $M$  accepts, accept.

If  $M$  rejects, accept.”

- Then  $H$  accepts  $\langle M, w \rangle$  iff  $M$  halts on  $w$ .
- Thus  $\mathcal{L}(H) = HALT$ , so  $HALT \in \mathbf{RE}$ .

***Theorem:***  $HALT \notin \mathbf{R}$ .

(The halting problem is undecidable)

# Proving $HALT \notin \mathbf{R}$

- Our proof will work as follows:
  - Suppose that  $HALT \in \mathbf{R}$ .
  - Using a decider for  $HALT$ , construct a decider for  $A_{TM}$ .
  - Reach a contradiction, since there is no decider for  $A_{TM}$  ( $A_{TM} \notin \mathbf{R}$ ).
  - Conclude, therefore, that  $HALT \notin \mathbf{R}$ .

# Deciding $A_{TM}$ using *HALT*

- Suppose you are given a TM  $M$  and a string  $w$ .
- You are promised that  $M$  halts on  $w$ .
- Can you decide whether  $M$  accepts  $w$ ?
- **Yes:** Just run it and see what happens.
- Now, suppose you have a decider for *HALT*.
- Can you decide whether  $M$  accepts  $w$ ?

$D =$  “On input  $\langle M, w \rangle$ :  
Run the decider for  $HALT$  on  $\langle M, w \rangle$ .  
If the decider rejects  $\langle M, w \rangle$ , reject.  
Otherwise: (the decider accepts  $\langle M, w \rangle$ )  
Run  $M$  on  $w$ .  
If  $M$  accepts  $w$ , accept.  
If  $M$  rejects  $w$ , reject.”

$D$  accepts  $\langle M, w \rangle$

iff

The decider for  $HALT$  accepts  $\langle M, w \rangle$  and  $M$  accepts  $w$

iff

$M$  halts on  $w$  and  $M$  accepts  $w$

iff

$M$  accepts  $w$

iff

$\langle M, w \rangle \in A_{TM}$

$D =$  “On input  $\langle M, w \rangle$ :

Run the decider for  $HALT$  on  $\langle M, w \rangle$ .

If the decider rejects  $\langle M, w \rangle$ , reject.

Otherwise: (the decider accepts  $\langle M, w \rangle$ )

Run  $M$  on  $w$ .

If  $M$  accepts  $w$ , accept.

If  $M$  rejects  $w$ , reject.”

$$\mathcal{L}(D) = A_{TM}$$

$D$  is a decider.

So  $A_{TM} \in \mathbf{R}$ .

Run  $D$  on any input  $\langle M, w \rangle$ .

If the decider for  $HALT$  rejects,  $\langle M, w \rangle$ ,  $D$  rejects.

Otherwise, we know  $M$  halts on  $w$ .

Then we run  $M$  on  $w$ .

We know  $M$  eventually halts on  $w$ .

If  $M$  accepts  $w$ ,  $D$  accepts; if  $M$  rejects  $w$ ,  $D$  rejects.

Thus  $D$  always halts.

**Theorem:  $HALT \notin \mathbf{R}$ .**

*Proof:* By contradiction; assume that  $HALT \in \mathbf{R}$  and let  $H$  be a decider for it.

Consider the following machine  $D$ :

$D =$  “On input  $\langle M, w \rangle$ :  
    Run  $H$  on  $\langle M, w \rangle$ .  
    If  $H$  rejects  $\langle M, w \rangle$ , reject.  
    If  $H$  accepts  $\langle M, w \rangle$ :  
        Run  $M$  on  $w$ .  
        If  $M$  accepts  $w$ , accept.  
        If  $M$  rejects  $w$ , reject.”

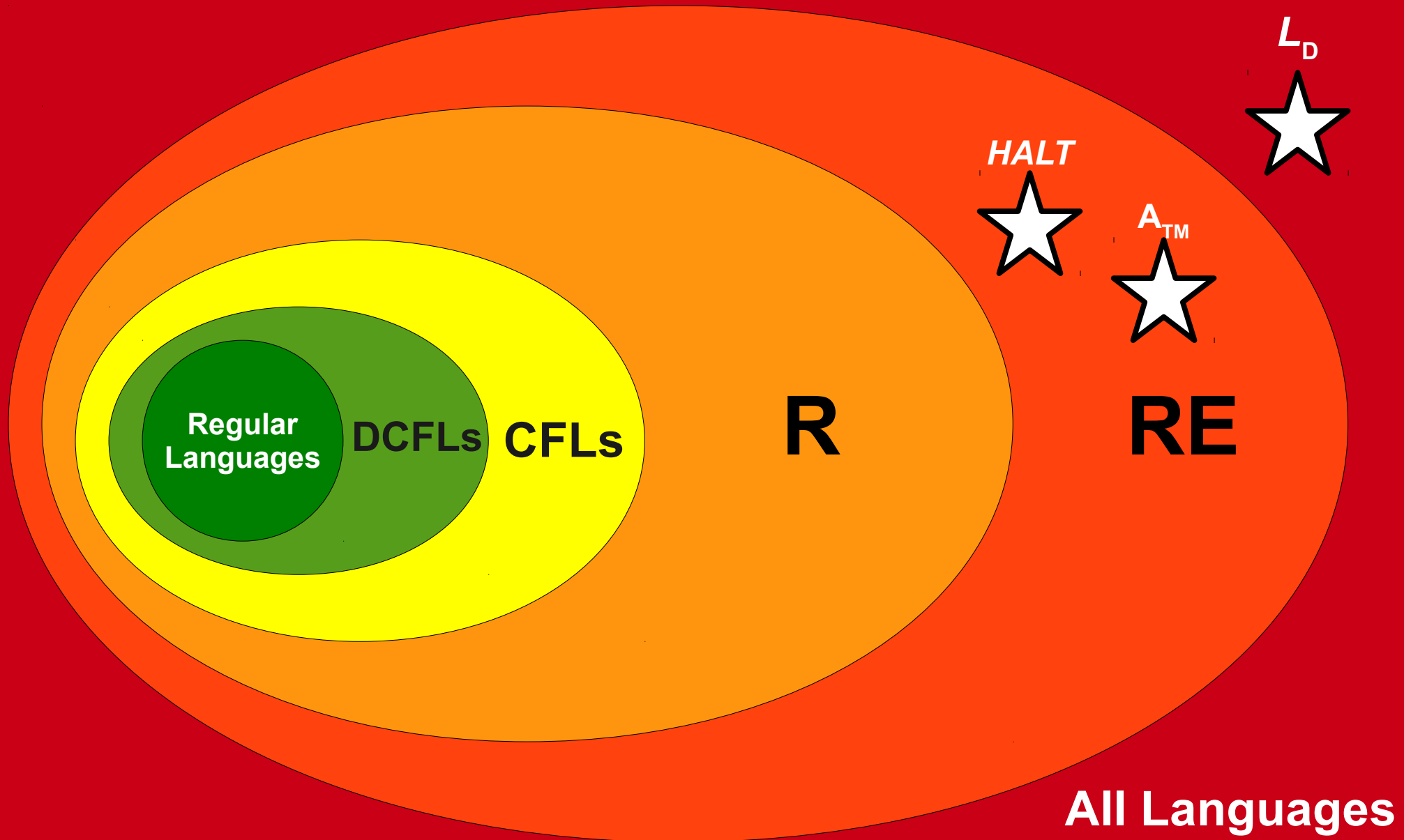
We claim that  $D$  is a decider for  $A_{TM}$ . First, we prove that  $D$  halts on all inputs. To see this, consider what happens if we run  $D$  on any TM/string pair  $\langle M, w \rangle$ .  $D$  first runs  $H$  on  $\langle M, w \rangle$ . If  $H$  rejects,  $D$  rejects and halts. Otherwise, since  $H$  is a decider,  $H$  accepts  $\langle M, w \rangle$ , so  $M$  halts on  $w$ .  $D$  then runs  $M$  on  $w$ . Since we know  $M$  halts on  $w$ ,  $M$  either accepts or rejects. If  $M$  accepts,  $D$  accepts; if  $M$  rejects,  $D$  rejects. Thus  $D$  halts on all inputs.

To see that  $\mathcal{L}(D) = A_{TM}$ , note that  $D$  accepts  $\langle M, w \rangle$  iff  $H$  accepts  $\langle M, w \rangle$  and  $M$  accepts  $w$ . Since  $H$  accepts  $\langle M, w \rangle$  iff  $M$  halts on  $w$ , we have that  $D$  accepts  $\langle M, w \rangle$  iff  $M$  halts on  $w$  and  $M$  accepts  $w$ . Since  $M$  halts on  $w$  iff either  $M$  accepts  $w$  or  $M$  rejects  $w$ , the statement “ $M$  halts on  $w$  and  $M$  accepts  $w$ ” is equivalent to “ $M$  accepts  $w$ .” Thus  $D$  accepts  $\langle M, w \rangle$  iff  $M$  accepts  $w$ . Since  $M$  accepts  $w$  iff  $\langle M, w \rangle \in A_{TM}$ , this means that  $D$  accepts  $\langle M, w \rangle$  iff  $\langle M, w \rangle \in A_{TM}$ . Thus  $\mathcal{L}(D) = A_{TM}$ .

Since  $\mathcal{L}(D) = A_{TM}$  and  $D$  is a decider, this means  $A_{TM} \in \mathbf{R}$ . But this is impossible, since we know  $A_{TM} \notin \mathbf{R}$ . We have reached a contradiction, so our assumption must have been wrong. Thus  $HALT \notin \mathbf{R}$ . ■



# The Limits of Computability



# $A_{\text{TM}}$ and *HALT*

- Both  $A_{\text{TM}}$  and *HALT* are undecidable.
  - There is no way to decide whether a TM will accept or eventually terminate.
- However, both  $A_{\text{TM}}$  and *HALT* are recognizable.
  - We can always run a TM on a string  $w$  and accept if that TM accepts or halts.
- Intuition: **The only general way to learn what a TM will do on a given string is to run it and see what happens.**

# Two More Unsolvable Problems

# More Unsolvable Problems

- Recall from last time:

If  $L \in \mathbf{RE}$  and  $\bar{L} \in \mathbf{RE}$ , then  $L \in \mathbf{R}$ .

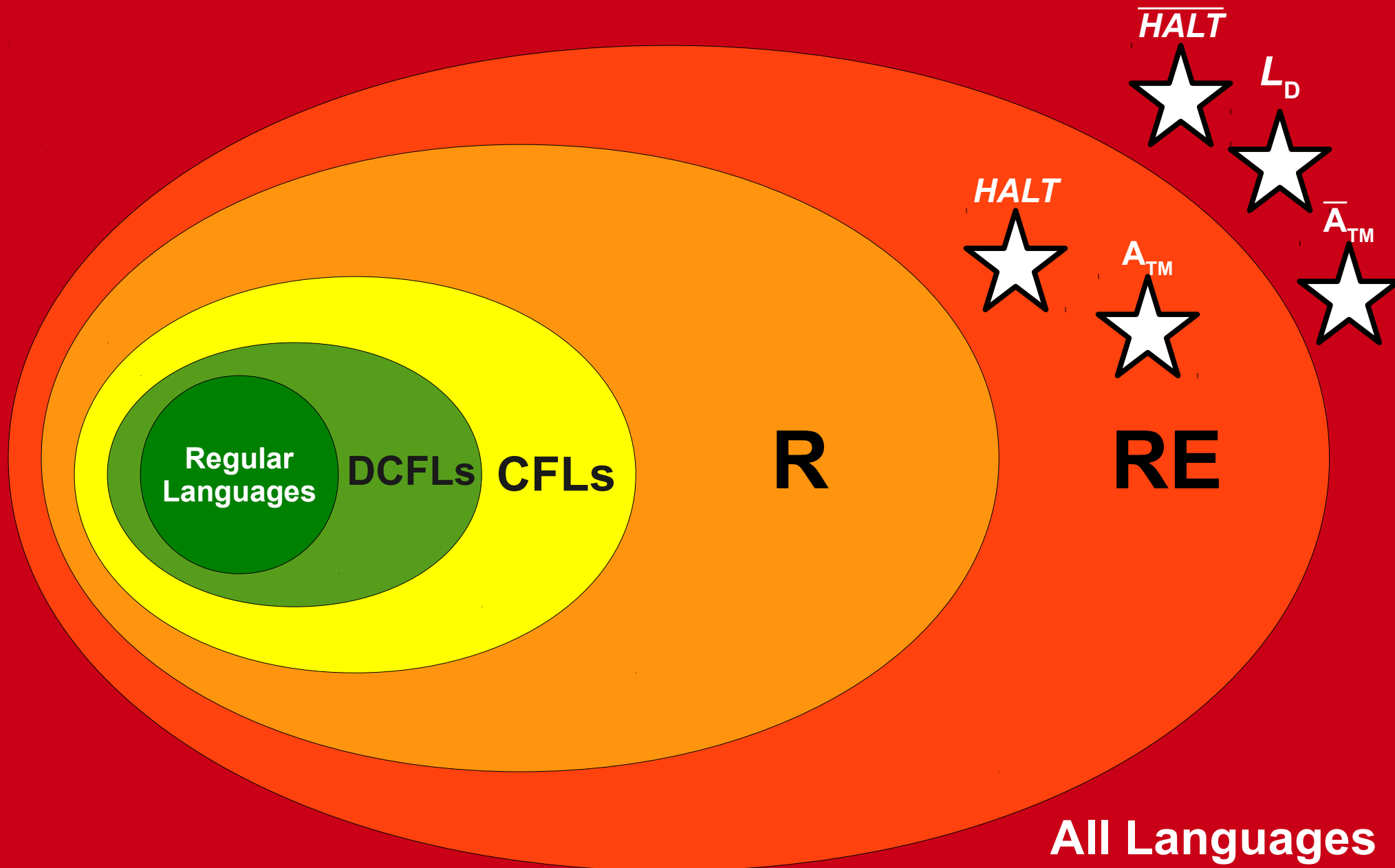
- Taking the contrapositive:

If  $L \notin \mathbf{R}$ , then  $L \notin \mathbf{RE}$  or  $\bar{L} \notin \mathbf{RE}$ .

- As a corollary:

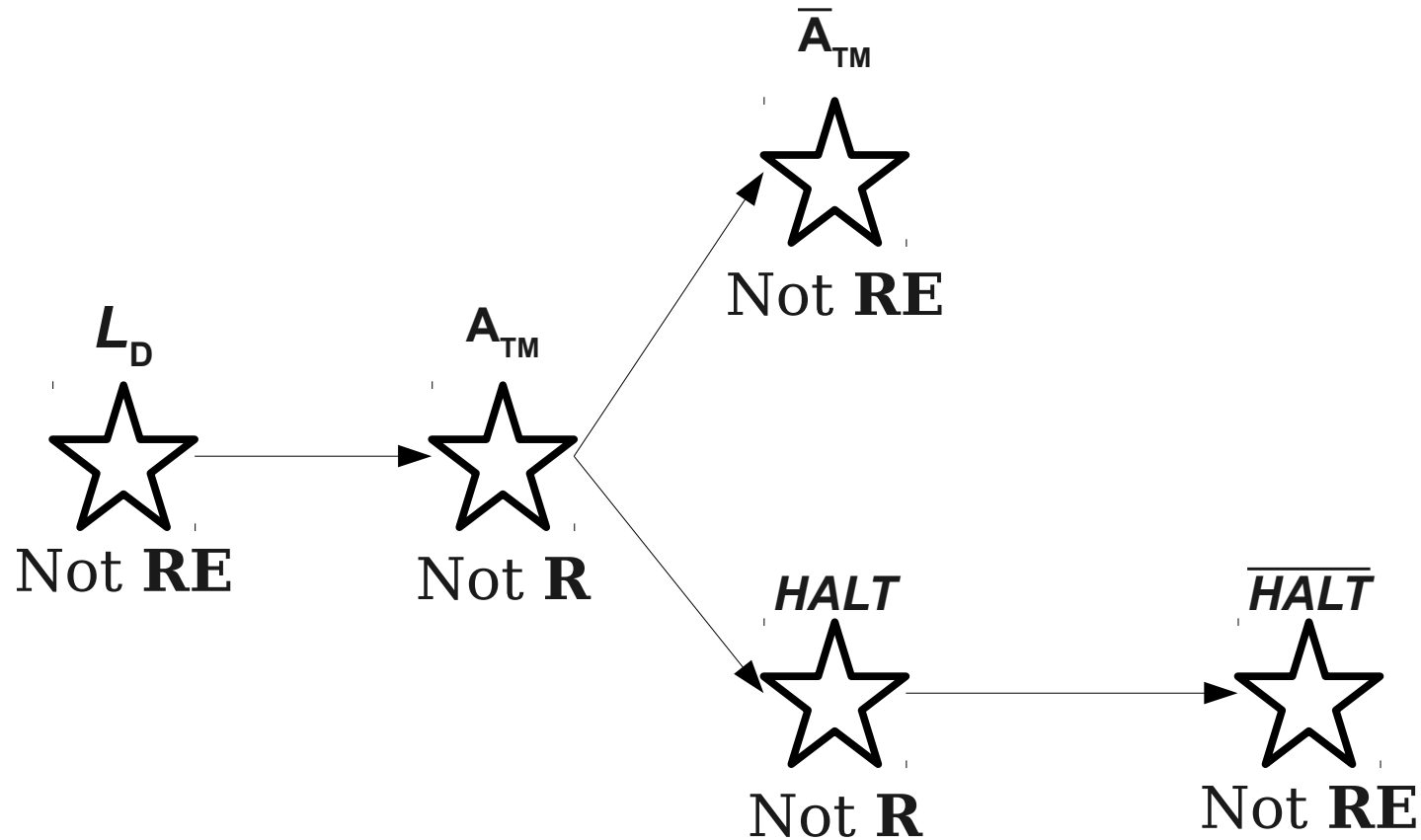
If  $L \notin \mathbf{R}$  and  $L \in \mathbf{RE}$ , then  $\bar{L} \notin \mathbf{RE}$ .

# The Limits of Computability



# Major Ideas from Today

# Finding Unsolvable Problems



# Finding Unsolvable Problems

- We directly proved that  $L_D \notin \mathbf{RE}$  by using a proof by diagonalization.
- We proved  $A_{\text{TM}} \notin \mathbf{R}$  (and thus  $\mathbf{R} \neq \mathbf{RE}$ ) by showing that if  $A_{\text{TM}} \in \mathbf{R}$ , then  $L_D \in \mathbf{RE}$  (which we know is not true).
- We proved  $HALT \notin \mathbf{R}$  by showing that if  $HALT \in \mathbf{R}$ , then  $A_{\text{TM}} \in \mathbf{R}$  (which we know is not true).
- We proved  $\overline{A_{\text{TM}}} \notin \mathbf{RE}$  and  $\overline{HALT} \notin \mathbf{RE}$  by showing that if they were in  $\mathbf{RE}$ , then  $A_{\text{TM}} \in \mathbf{R}$  and  $HALT \in \mathbf{R}$  (which we know is not true).



# Finding Unsolvable Problems

- Proving languages are not in **RE** or not in **R** is *fundamentally different* than proving languages are not regular or not context free.
- We will need to develop a more powerful array of tools to prove problems are undecidable or unrecognizable.

# Next Time

- **Reductions**

- Solving one problem using a solver for another.

- **Mapping Reductions**

- Relating the difficulty of problems to one another using reductions.

- **More Unsolvable Problems**

- What other problems cannot be solved by computers?