

# Binary Relations

Problem set Two  
checkpoint due in the box  
up front if you're using  
a late period.

# Studying Relationships

- We have just explored the graph as a way of studying relationships between objects.
- However, graphs are not the only formalism we can use to do this.

# Relationships

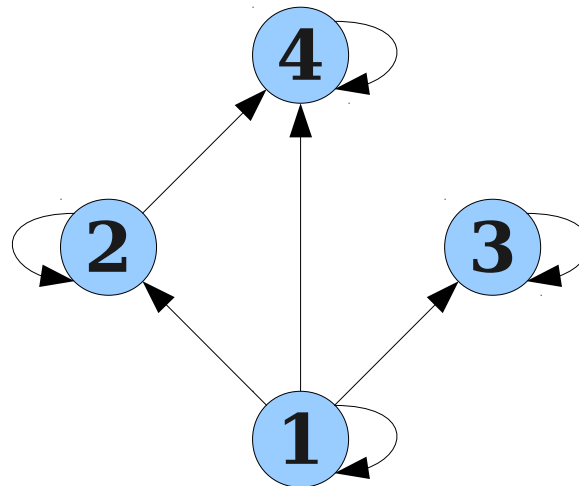
- We've seen different types of relationships
  - between sets:
    - $A \subseteq B$     $A \subset B$
  - between numbers:
    - $x < y$     $x \equiv_k y$
  - between nodes in a graph:
    - $u \leftrightarrow v$
- **Goal:** Focus on these types of relationships and study their properties.

# Binary Relations

- Intuitively speaking: a **binary relation over a set  $A$**  is some relation  $R$  where, for every  $x, y \in A$ , the statement  $xRy$  is either true or false.
- Examples:
  - $<$  can be a binary relation over  $\mathbb{N}$ ,  $\mathbb{Z}$ ,  $\mathbb{R}$ , etc.
  - $\leftrightarrow$  can be a binary relation over  $V$  for any undirected graph  $G = (V, E)$ .
  - $\equiv_k$  is a binary relation over  $\mathbb{Z}$  for any integer  $k$ .
- We'll give a formal definition later today.

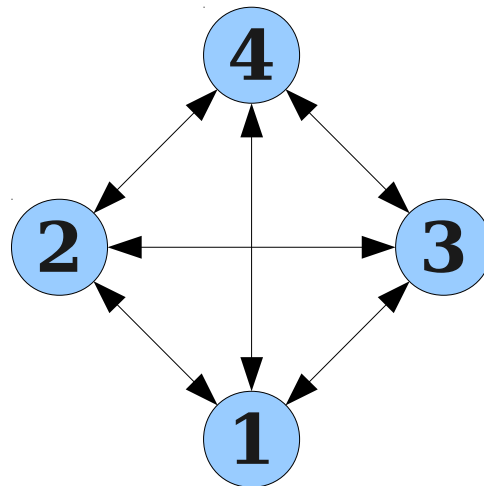
# Binary Relations and Graphs

- We can visualize a binary relation  $R$  over a set  $A$  as a graph:
  - The nodes are the elements of  $A$ .
  - There is an edge from  $x$  to  $y$  iff  $xRy$ .
- Example: the relation  $a \mid b$  (meaning “ $a$  divides  $b$ ”) over the set  $\{1, 2, 3, 4\}$  looks like this:



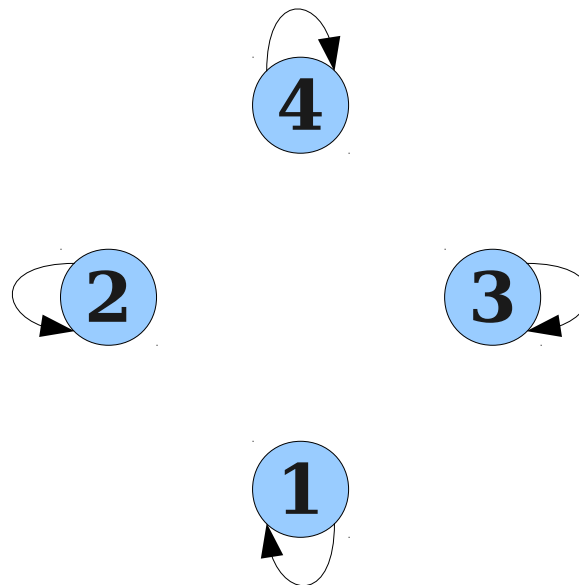
# Binary Relations and Graphs

- We can visualize a binary relation  $R$  over a set  $A$  as a graph:
  - The nodes are the elements of  $A$ .
  - There is an edge from  $x$  to  $y$  iff  $xRy$ .
- Example: the relation  $a \neq b$  over  $\{1, 2, 3, 4\}$  looks like this:



# Binary Relations and Graphs

- We can visualize a binary relation  $R$  over a set  $A$  as a graph:
  - The nodes are the elements of  $A$ .
  - There is an edge from  $x$  to  $y$  iff  $xRy$ .
- Example: the relation  $a = b$  over  $\{1, 2, 3, 4\}$  looks like this:



# Categorizing Relations

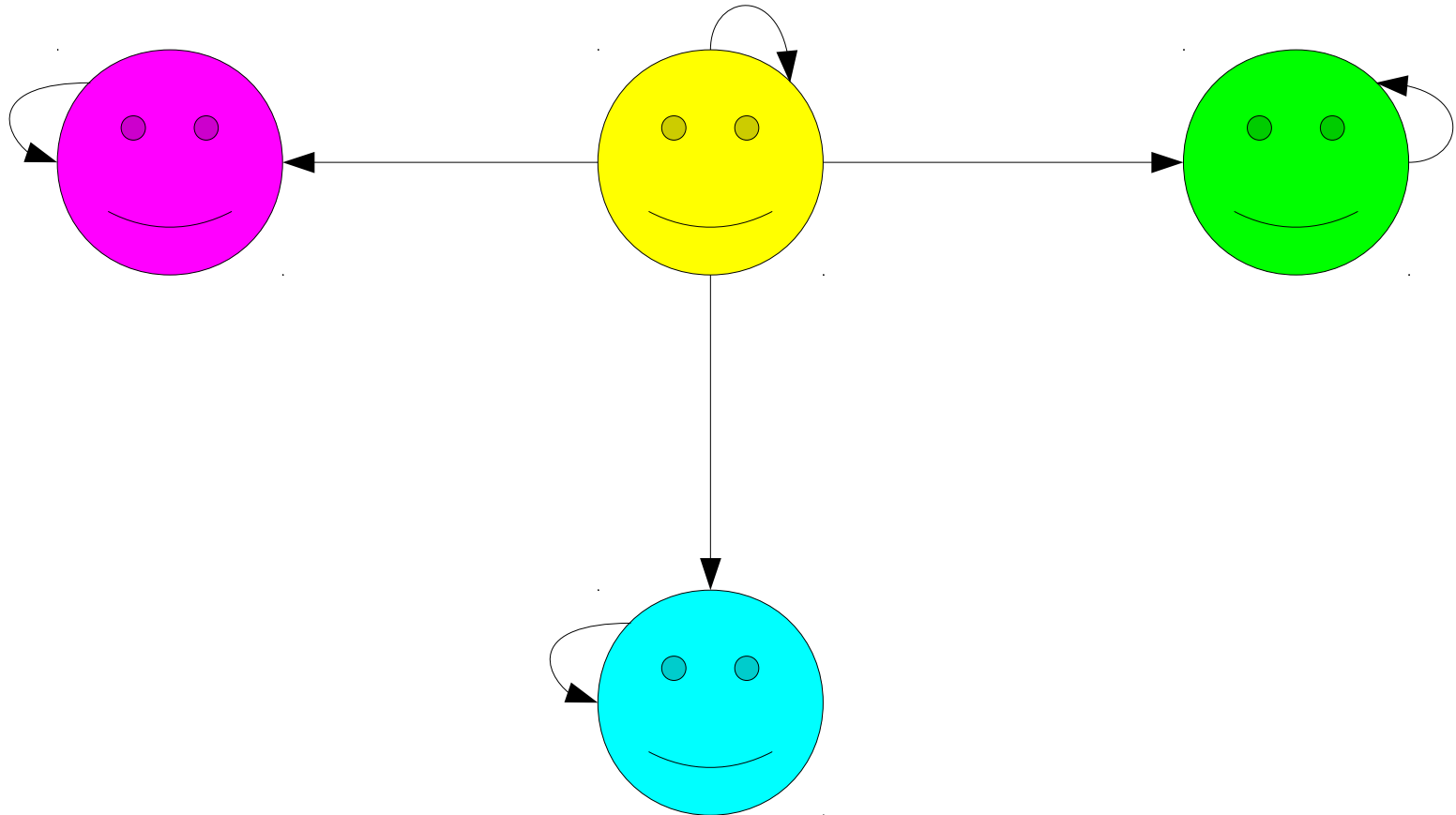
- Collectively, there are few properties shared by all relations.
- We often categorize relations into different types to study relations with particular properties.
- General outline for today:
  - Find certain properties that hold of the relations we've seen so far.
  - Categorize relations based on those properties.
  - See what those properties entail.



# Reflexivity

- Some relations always hold for any element and itself.
- Examples:
  - $x = x$  for any  $x$ .
  - $A \subseteq A$  for any set  $A$ .
  - $x \equiv_k x$  for any  $x$ .
  - $u \leftrightarrow u$  for any  $u$ .
- Relations of this sort are called **reflexive**.
- Formally: a binary relation  $R$  over a set  $A$  is **reflexive** iff for all  $x \in A$ , the relation  $xRx$  holds.

# An Intuition for Reflexivity

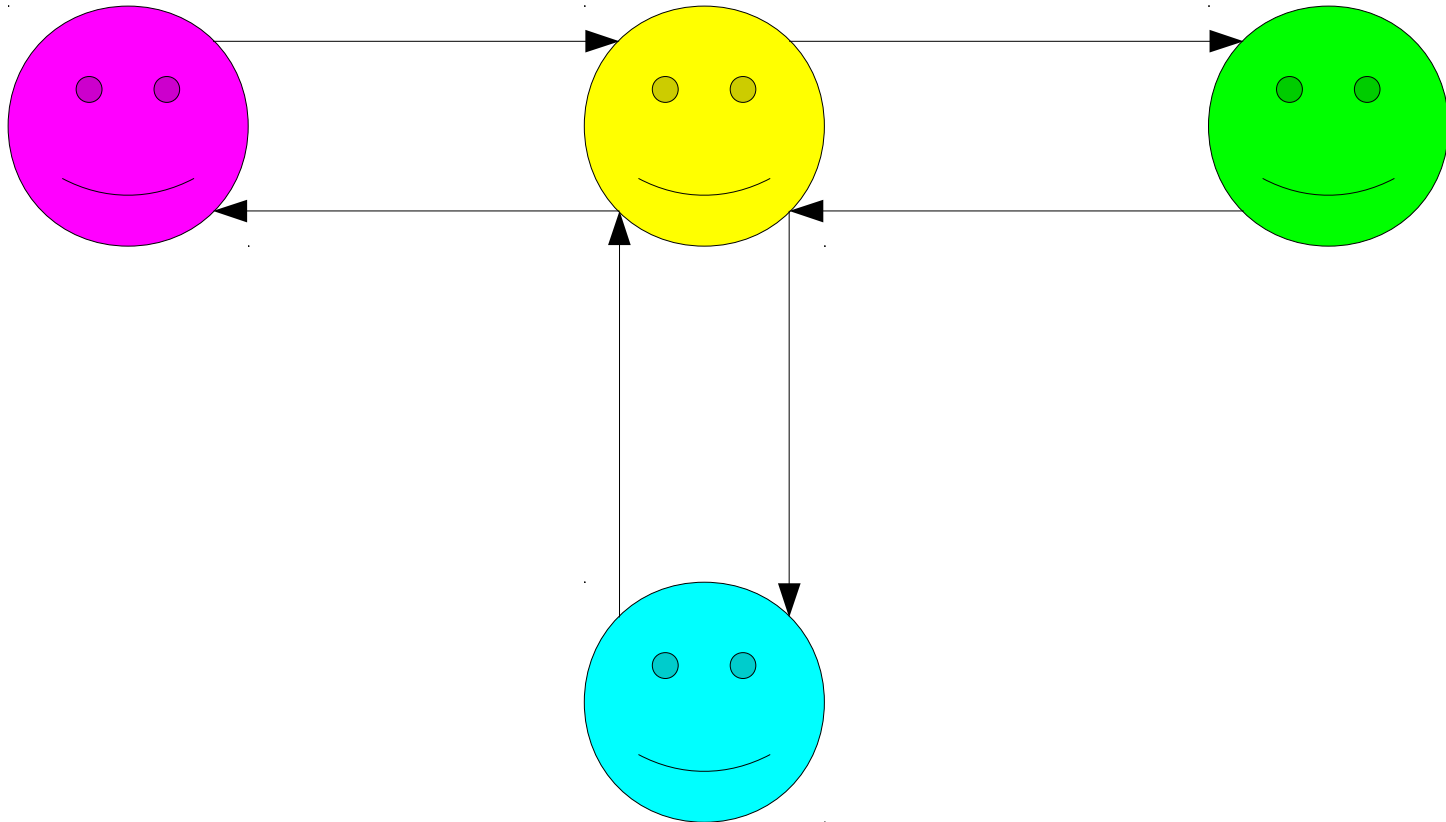


For every  $x \in A$ , the relation  $xRx$  holds.

# Symmetry

- In some relations, the relative order of the objects doesn't matter.
- Examples:
  - If  $x = y$ , then  $y = x$ .
  - If  $u \leftrightarrow v$ , then  $v \leftrightarrow u$ .
  - If  $x \equiv_k y$ , then  $y \equiv_k x$ .
- These relations are called **symmetric**.
- Formally: A binary relation  $R$  over a set  $A$  is called **symmetric** iff for all  $x, y \in A$ , if  $xRy$ , then  $yRx$ .

# An Intuition for Symmetry

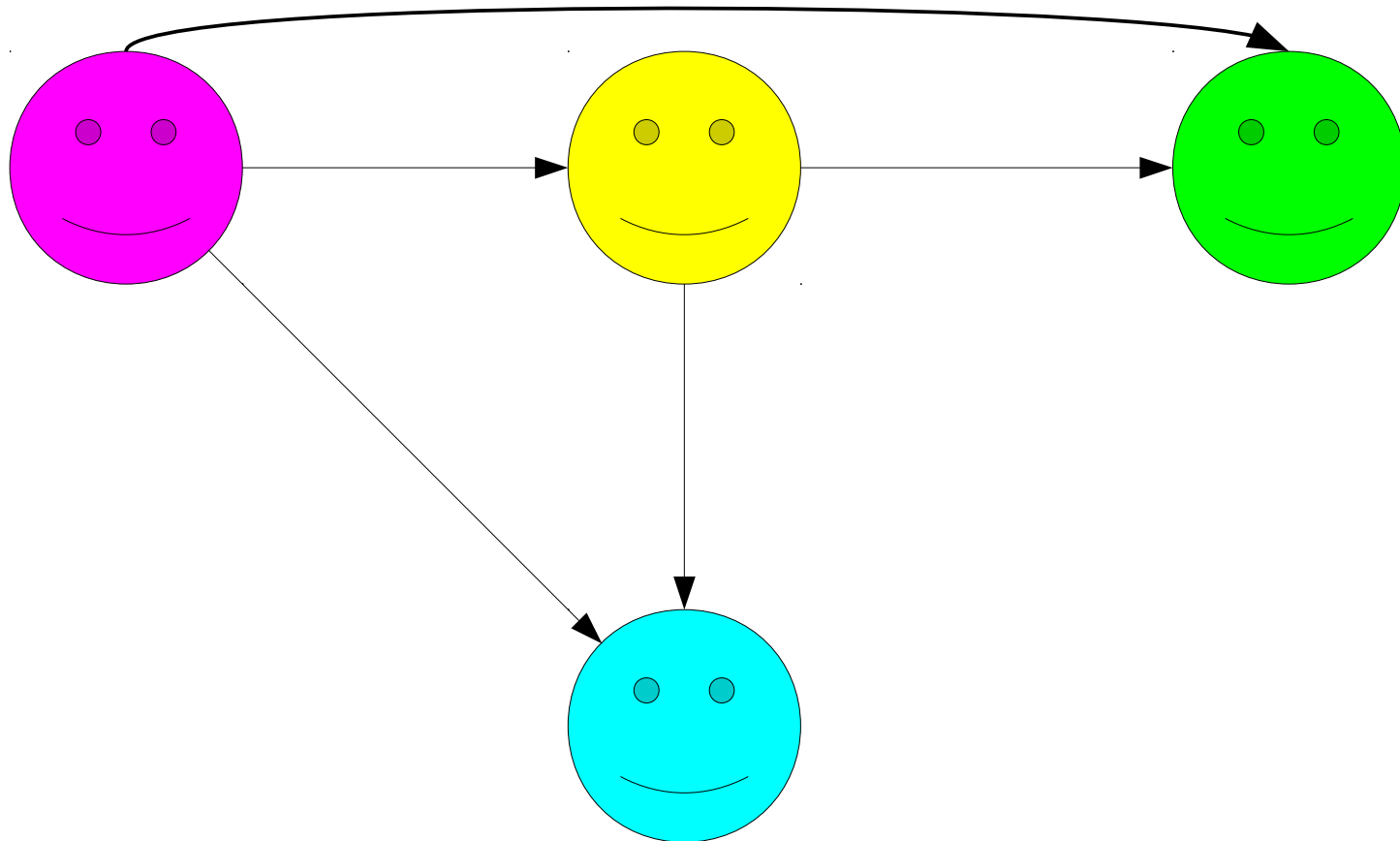


For any  $x \in A$  and  $y \in A$ ,  
if  $xRy$ , then  $yRx$ .

# Transitivity

- Many relations can be chained together.
- Examples:
  - If  $x = y$  and  $y = z$ , then  $x = z$ .
  - If  $u \leftrightarrow v$  and  $v \leftrightarrow w$ , then  $u \leftrightarrow w$ .
  - If  $x \equiv_k y$  and  $y \equiv_k z$ , then  $x \equiv_k z$ .
- These relations are called **transitive**.
- Formally: A binary relation  $R$  over a set  $A$  is called **transitive** iff for all  $x, y, z \in A$ , if  $xRy$  and  $yRz$ , then  $xRz$ .

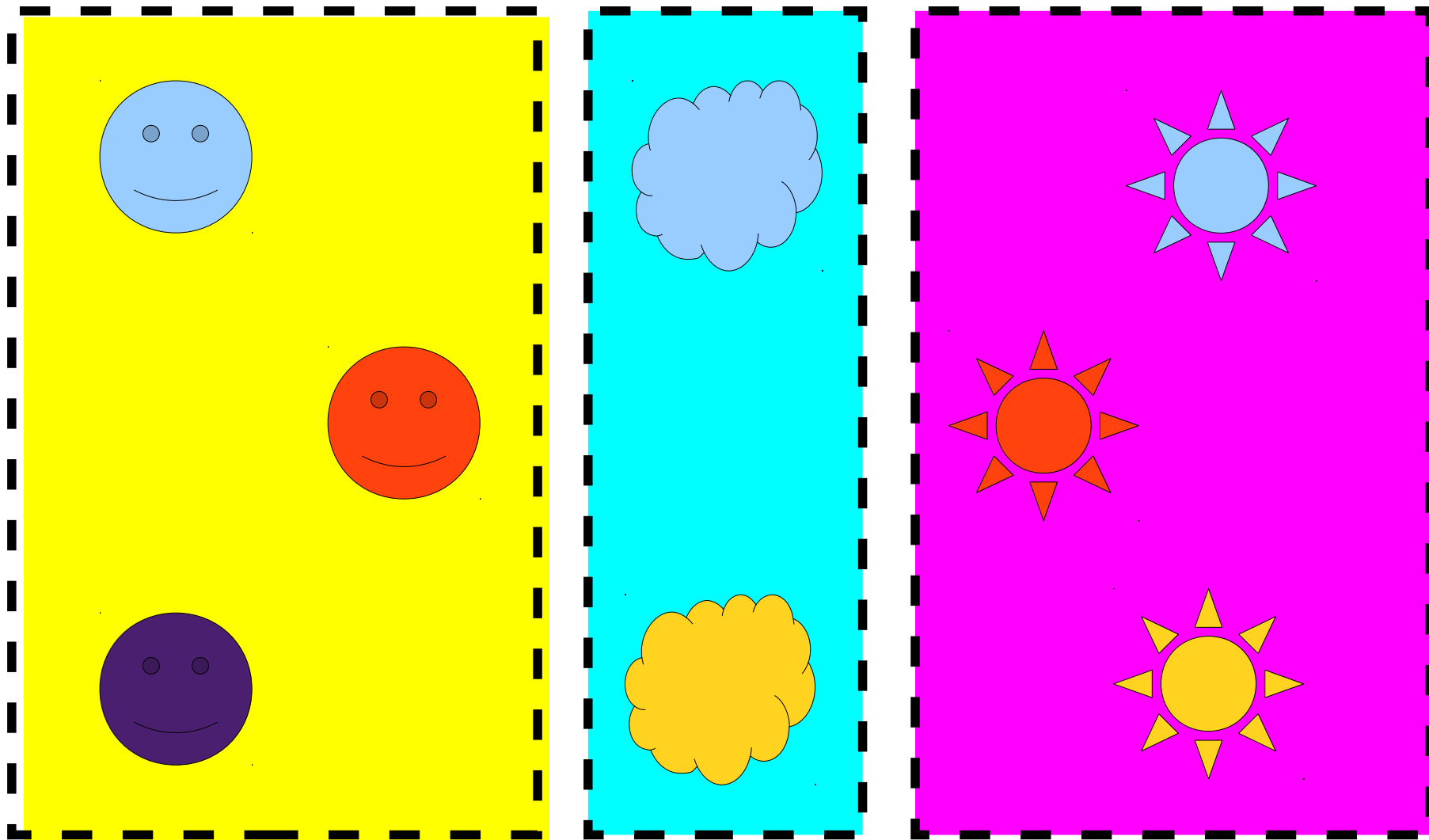
# An Intuition for Transitivity



For any  $x, y, z \in A$ ,  
if  $xRy$  and  $yRz$ ,  
then  $xRz$ .

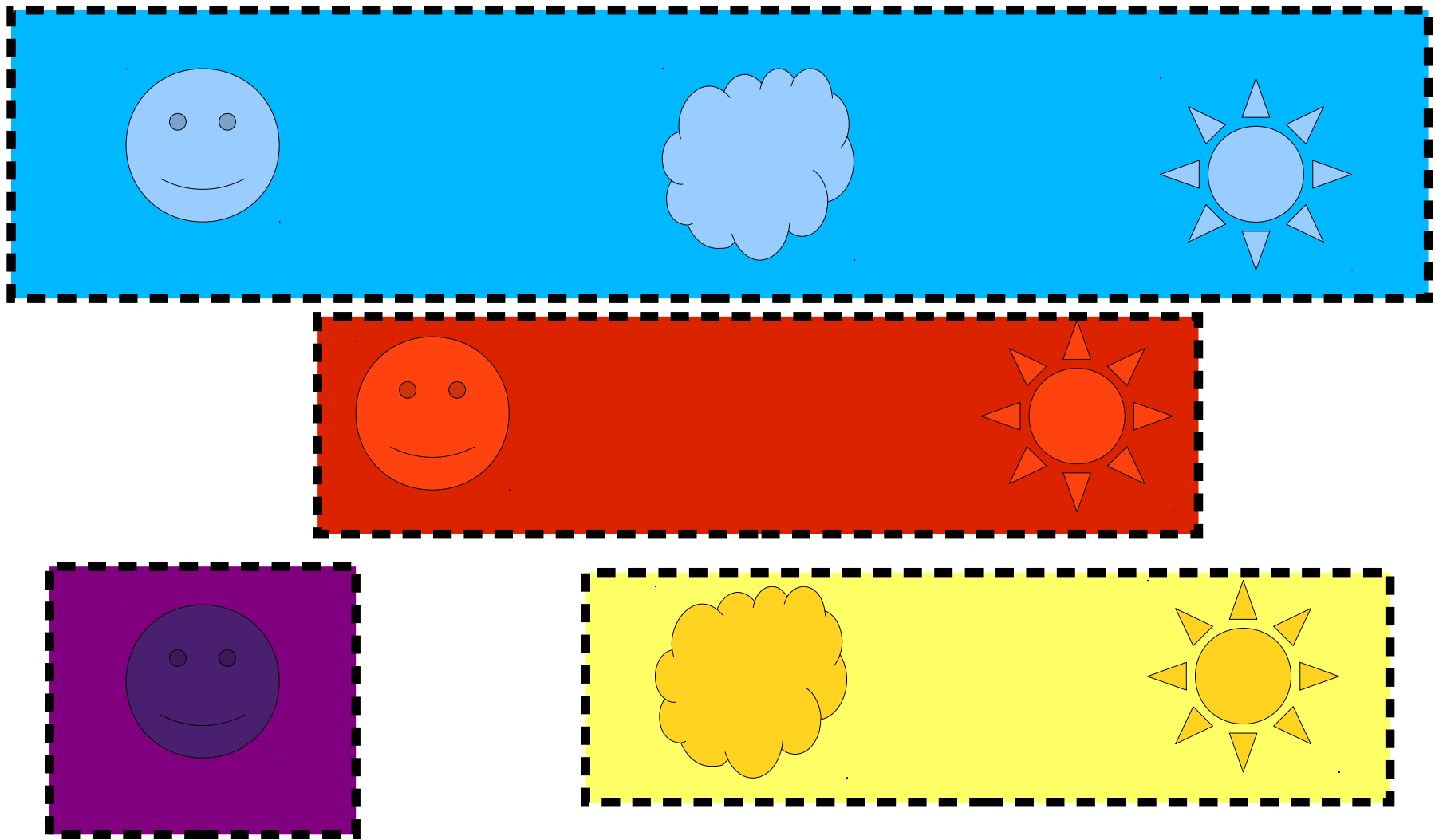
# Equivalence Relations

- Some relations are reflexive, symmetric, and transitive:
  - $x = y$
  - $u \leftrightarrow v$
  - $x \equiv_k y$
- Definition: An **equivalence relation** is a relation that is reflexive, symmetric and transitive.



$xRy \equiv x$  and  $y$  have the same shape.





$xRy \equiv x$  and  $y$  have the same **color**.

# Equivalence Classes

- Given an equivalence relation  $R$  over a set  $A$ , for any  $x \in A$ , the **equivalence class of  $x$**  is the set

$$[x]_R = \{ y \in A \mid xRy \}$$

- $[x]_R$  is the set of all elements of  $A$  that are related to  $x$ .
- **Theorem:** If  $R$  is an equivalence relation over  $A$ , then every  $a \in A$  belongs to exactly one equivalence class.

# Closing the Loop

- In any graph  $G = (V, E)$ , we saw that the connected component containing a node  $v \in V$  is given by

$$\{ x \in V \mid v \leftrightarrow x \}$$

- What is the equivalence class for some node  $v \in V$  under the relation  $\leftrightarrow$ ?

$$[v]_{\leftrightarrow} = \{ x \in V \mid v \leftrightarrow x \}$$

- *Connected components are just equivalence classes of  $\leftrightarrow$ !*

# Why This Matters

- Developing the right definition for a connected component was challenging.
- Proving every node belonged to exactly one equivalence class was challenging.
- Now that we know about equivalence relations, we get both of these for free!
- **If you arrive at the same concept in two or more ways, it is probably significant!**

Your Questions

“What are practical applications of planar graphs (besides the four-color theorem)?”

“How is complete induction any better than normal induction? If you show  $P(0)$  as your base case, don't both types of induction prove that  $P(n)$  is true for any natural number  $n$ ?”

Back to Relations!



# Partial Orders

# Partial Orders

- Many relations are equivalence relations:

$$x = y \qquad x \equiv_k y \qquad u \leftrightarrow v$$

- What about these sorts of relations?

$$x \leq y \qquad x \subseteq y$$

- These relations are called **partial orders**, and we'll explore their properties next.

# Antisymmetry

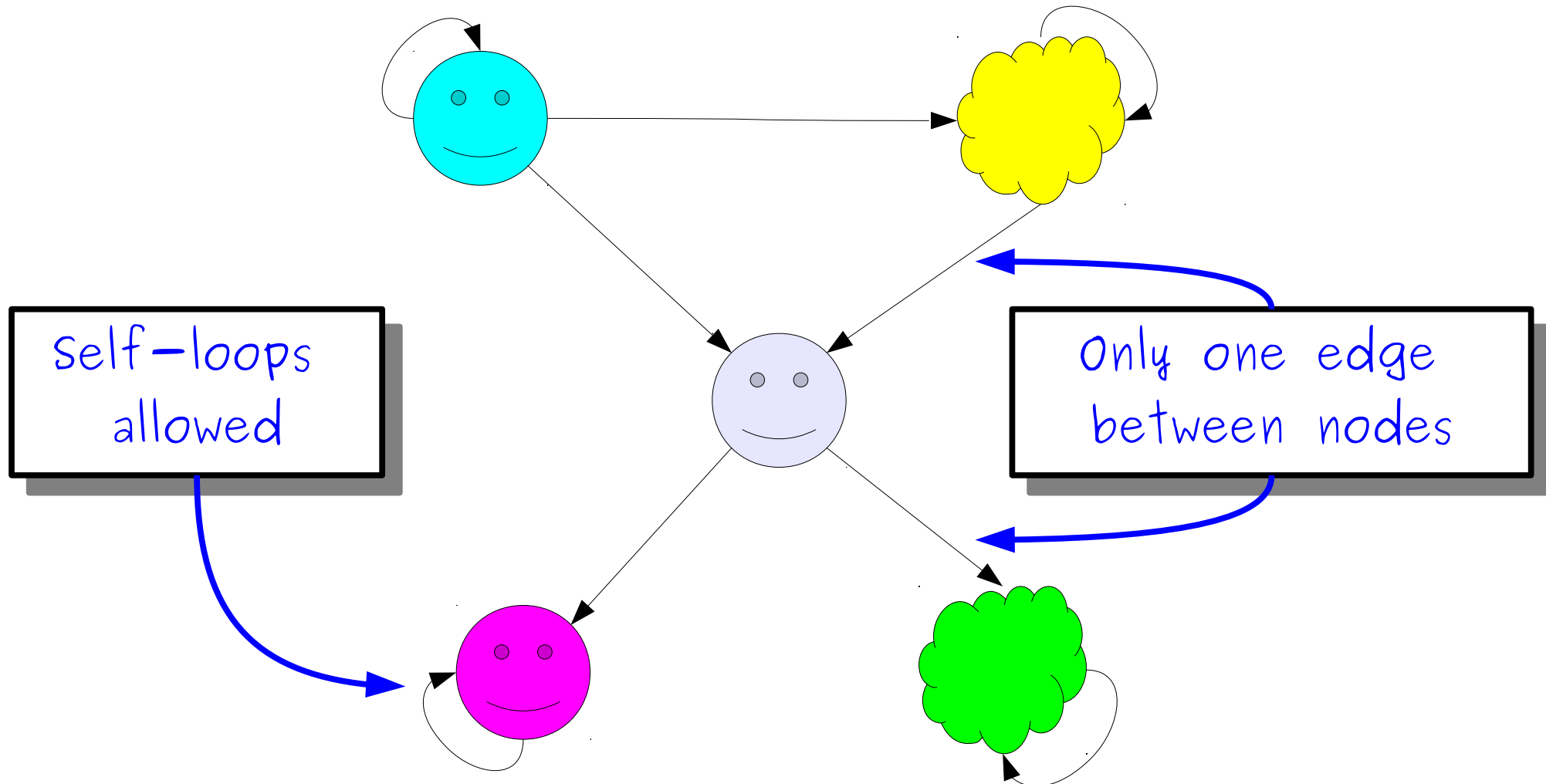
A binary relation  $R$  over a set  $A$  is called **antisymmetric** iff

For any  $x \in A$  and  $y \in A$ ,  
If  $xRy$  and  $x \neq y$ , then  $y \not R x$ .

Equivalently:

For any  $x \in A$  and  $y \in A$ ,  
if  $xRy$  and  $yRx$ , then  $x = y$ .

# An Intuition for Antisymmetry



For any  $x \in A$  and  $y \in A$ ,  
If  $xRy$  and  $y \neq x$ , then  $y \not R x$ .

# Partial Orders

- A binary relation  $R$  is a **partial order** over a set  $A$  iff it is
  - **reflexive**,
  - **antisymmetric**, and
  - **transitive**.



Why "partial"?

# 2012 Summer Olympics



Gold	Silver	Bronze	Total
46	29	29	104
38	27	23	88
29	17	19	65
24	26	32	82
13	8	7	28
11	19	14	44
11	11	12	34

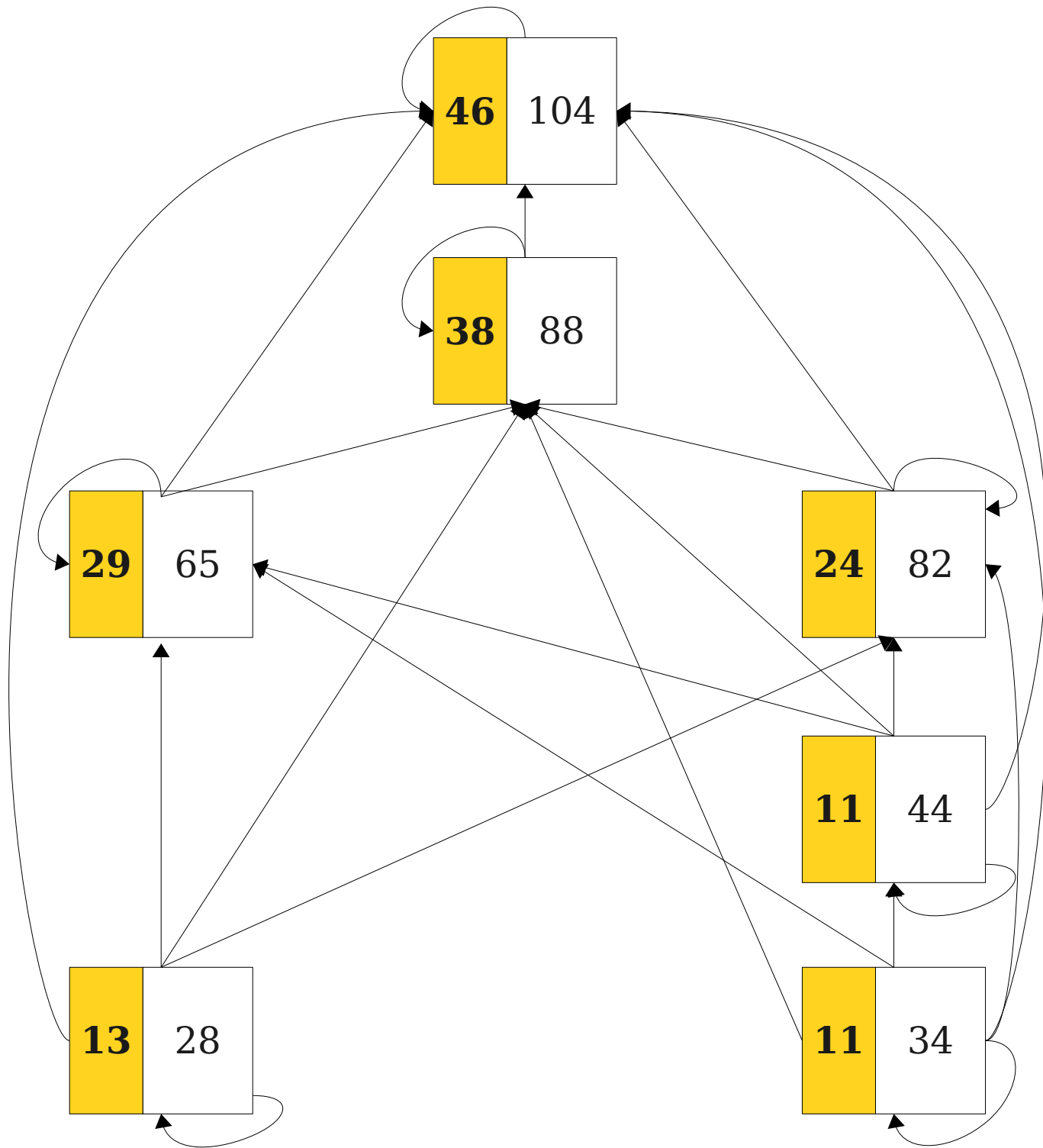
Inspired by <http://tartarus.org/simon/2008-olympics-hasse/>  
Data from <http://www.london2012.com/medals/medal-count/>

Define the relationship

**$(\text{gold}_0, \text{total}_0)R(\text{gold}_1, \text{total}_1)$**

to be true when

**$\text{gold}_0 \leq \text{gold}_1$  and  $\text{total}_0 \leq \text{total}_1$**

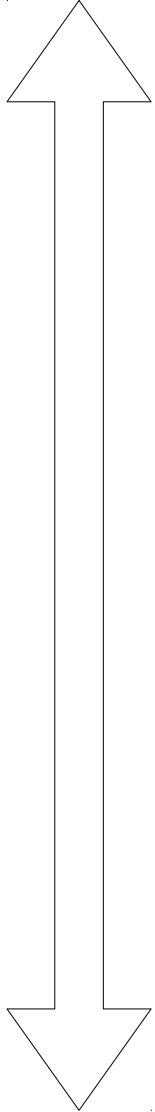




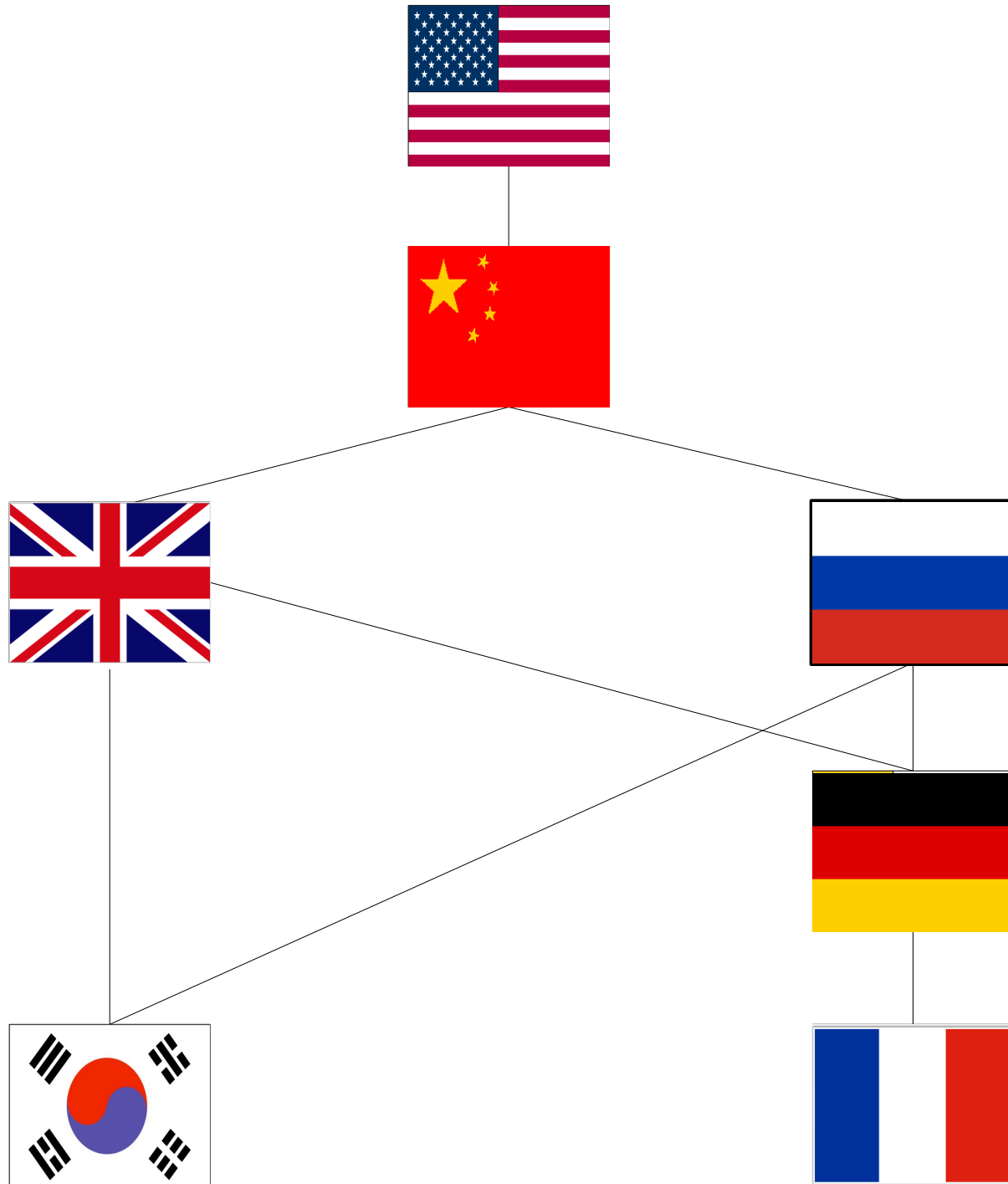
# Partial and Total Orders

- A binary relation  $R$  over a set  $A$  is called **total** iff for any  $x \in A$  and  $y \in A$ , at least one of  $xRy$  or  $yRx$  is true.
- A binary relation  $R$  over a set  $A$  is called a **total order** iff it is a partial order and it is total.
- Examples:
  - Integers ordered by  $\leq$ .
  - Strings ordered alphabetically.

More  
Medals

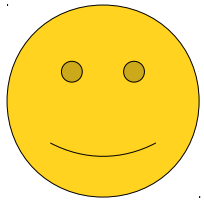


Fewer  
Medals

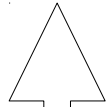


# Hasse Diagrams

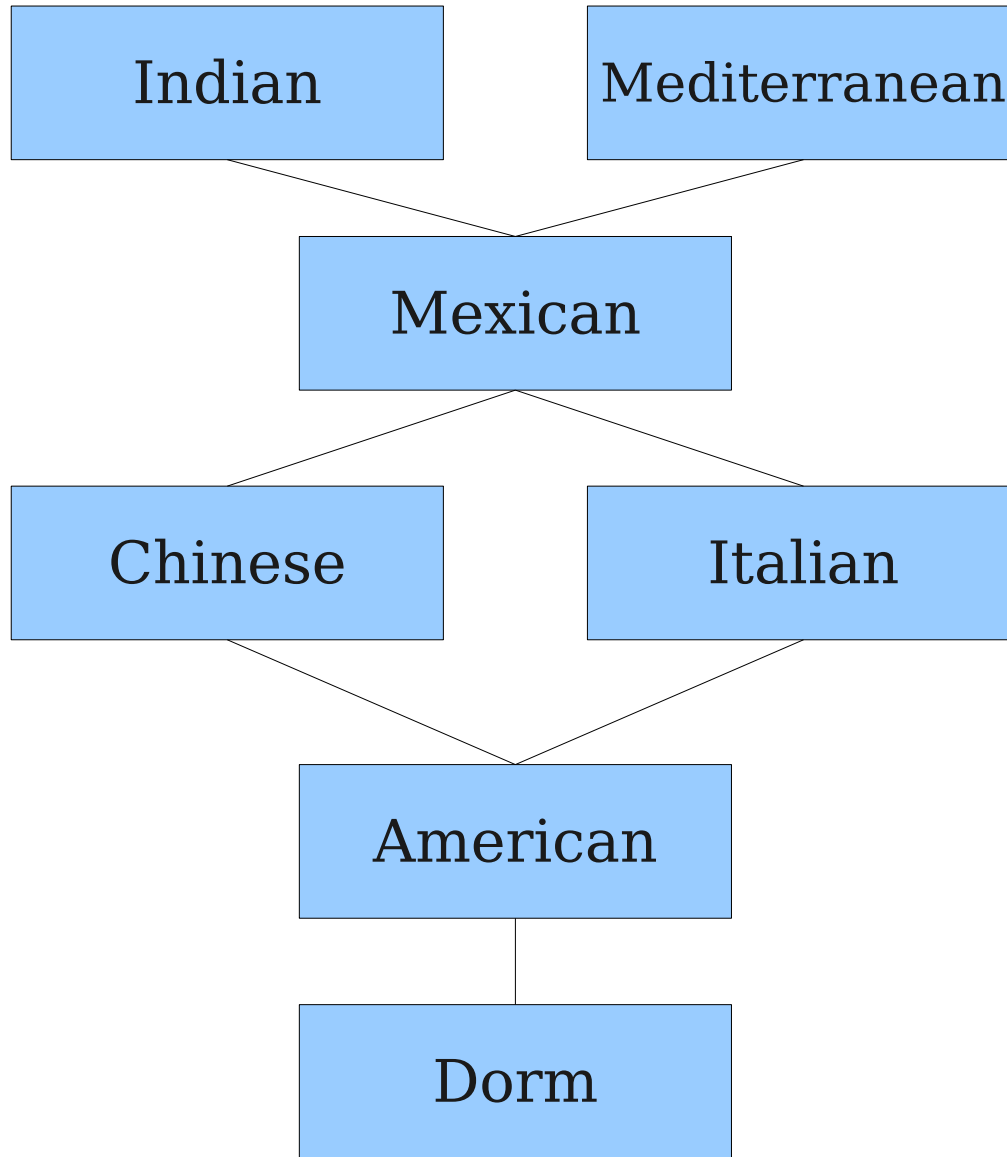
- A **Hasse diagram** is a graphical representation of a partial order.
- No self-loops: by **reflexivity**, we can always add them back in.
- Higher elements are bigger than lower elements: by **antisymmetry**, the edges can only go in one direction.
- No redundant edges: by **transitivity**, we can infer the missing edges.



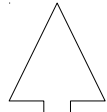
Tasty



Not Tasty



Larger



Smaller

...

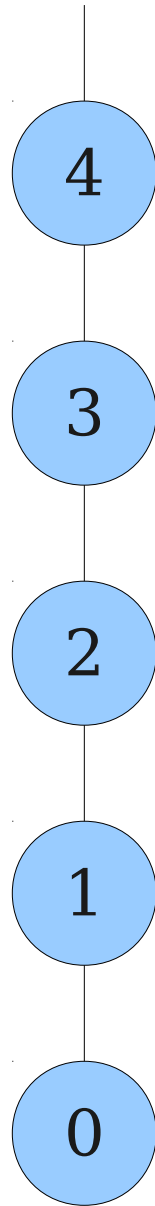
4

3

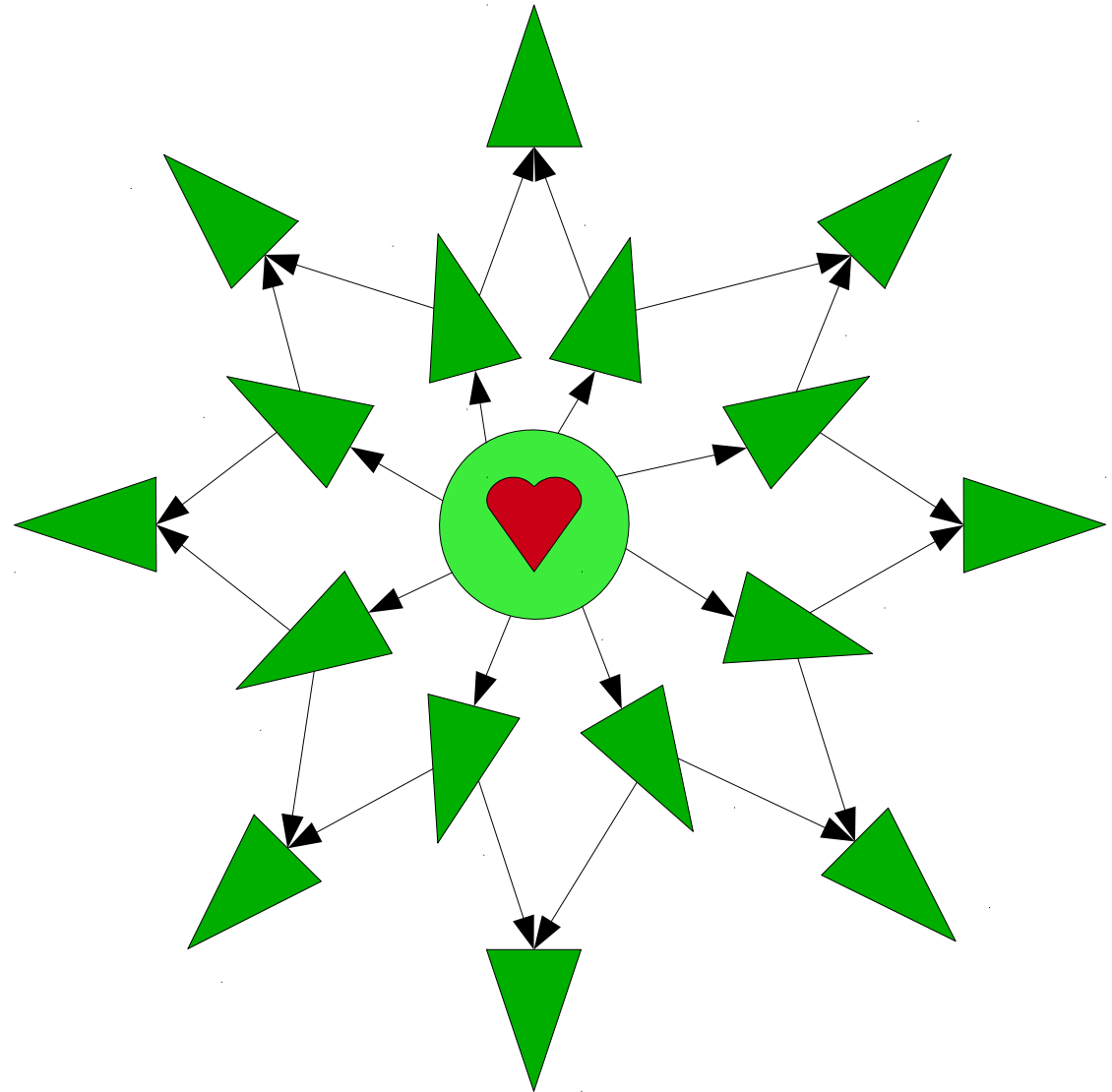
2

1

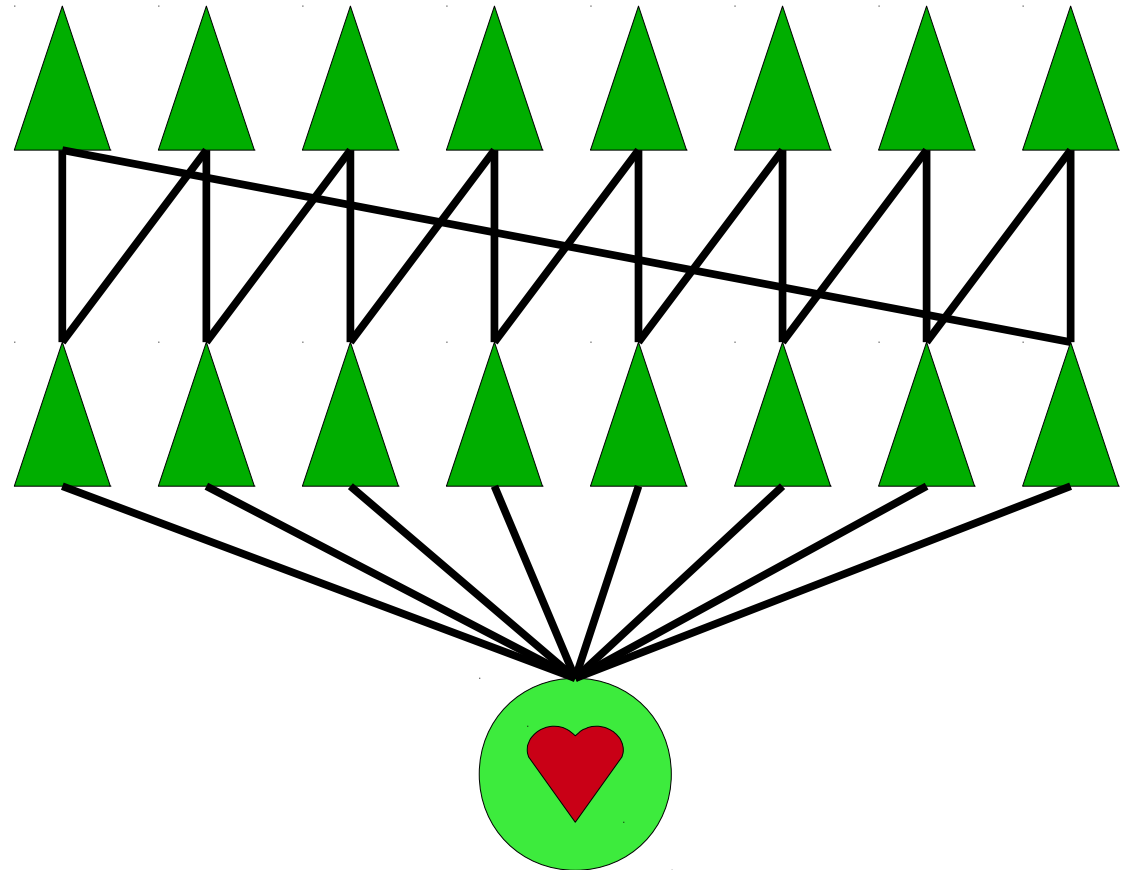
0



# Hasse Artichokes



# Hasse Artichokes



# For More on the Olympics:

<http://www.nytimes.com/interactive/2012/08/07/sports/olympics/the-best-and-worst-countries-in-the-medal-count.html>



# Formalizing Relations

# What is a Relation?

- Up to now, we have been using an informal definition of a binary relation over a set  $A$ .
- To wrap up our treatment of relations, we'll give a formal definition.

# The Cartesian Product

- The **Cartesian Product** of  $A \times B$  of two sets is defined as

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

$$\underbrace{\{ 0, 1, 2 \}}_A \times \underbrace{\{ a, b, c \}}_B = \left\{ \begin{array}{l} (0, a), (0, b), (0, c), \\ (1, a), (1, b), (1, c), \\ (2, a), (2, b), (2, c) \end{array} \right\}$$

# The Cartesian Product

- The **Cartesian Product** of  $A \times B$  of two sets is defined as

$$A \times B \equiv \{ (a, b) \mid a \in A \text{ and } b \in B \}$$

- We denote  $A^2 \equiv A \times A$

$$\underbrace{\{ 0, 1, 2 \}}_{A^2}^2 = \left\{ \begin{array}{l} (0, 0), (0, 1), (0, 2), \\ (1, 0), (1, 1), (1, 2), \\ (2, 0), (2, 1), (2, 2) \end{array} \right\}$$

# Relations, Formally

- A binary relation  $R$  over a set  $A$  is a subset of  $A^2$ .
- $xRy$  is shorthand for  $(x, y) \in R$ .
- A relation doesn't have to be meaningful; *any* subset of  $A^2$  is a relation.
- Interesting fact:
  - Number of English sentences is equal to the number of natural numbers. (*More on that later.*)
  - Each binary relation over  $\mathbb{N}$  is a subset of  $\mathbb{N}^2$ .
  - Number of binary relations over  $\mathbb{N}$ :  $|\wp(\mathbb{N}^2)|$
  - ***Some binary relations over  $\mathbb{N}$  are indescribable!***

# Next Time

- **The Pigeonhole Principle**
  - Poignant pigeon-powered proofs!
- **Functions**
  - How do we transform objects into one another?