

# Complexity Theory

## Part Two

Recap from Last Time

# The Complexity Class **P**

- The complexity class **P** (***polynomial time***) is defined as

$$\mathbf{P} = \{ L \mid \text{There is a polynomial-time decider for } L \}$$

- Intuitively, **P** contains all decision problems that can be solved efficiently.
- This is like class **R**, except with “efficiently” tacked onto the end.

# The Complexity Class **NP**

- The complexity class **NP** (*nondeterministic polynomial time*) contains all problems that can be verified in polynomial time.
- Formally:

$$\mathbf{NP} = \{ L \mid \text{There is a polynomial-time verifier for } L \}$$

- Intuitively, **NP** is the set of problems where “yes” answers can be checked efficiently.
- This is like the class **RE**, but with “efficiently” tacked on to the definition.

The Biggest Unsolved Problem in  
Theoretical Computer Science:

$$\mathbf{P} \stackrel{?}{=} \mathbf{NP}$$

***Theorem (Baker-Gill-Solovay):*** Any proof that purely relies on universality and self-reference cannot resolve  $\mathbf{P} \stackrel{?}{=} \mathbf{NP}$ .

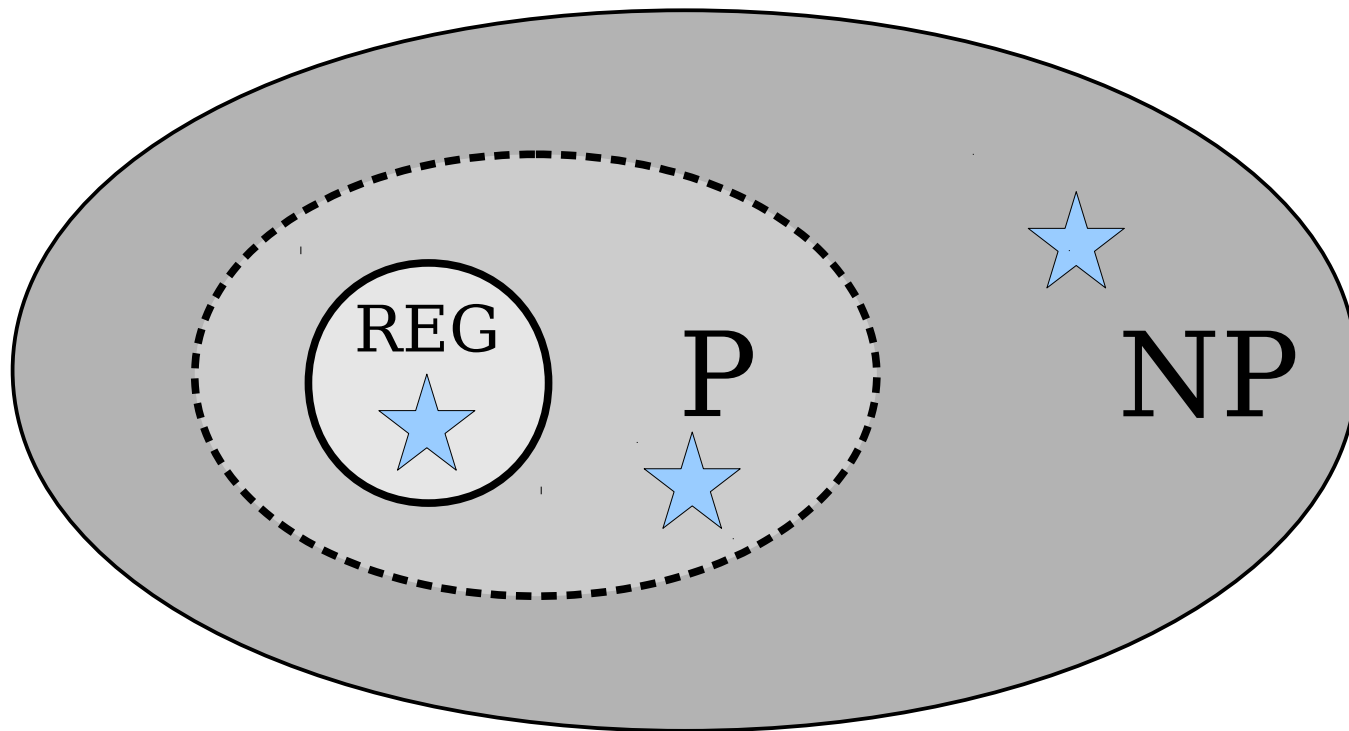
***Proof:*** Take CS154!

So how *are* we going to  
reason about **P** and **NP**?

New Stuff!



# A Challenge



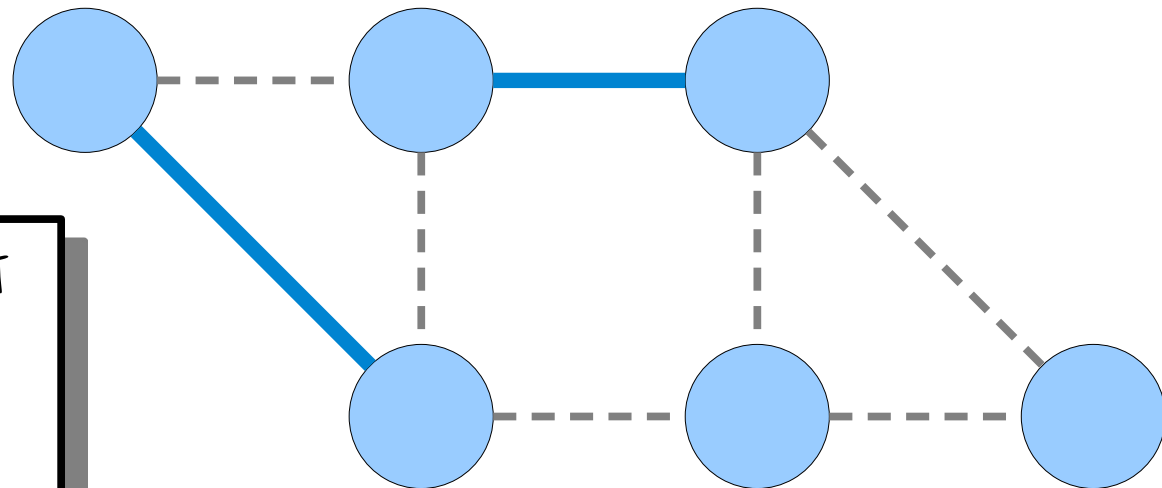
Problems in **NP** vary widely in their difficulty, even if **P = NP**.

How can we rank the relative difficulties of problems?

Reducibility

# Maximum Matching

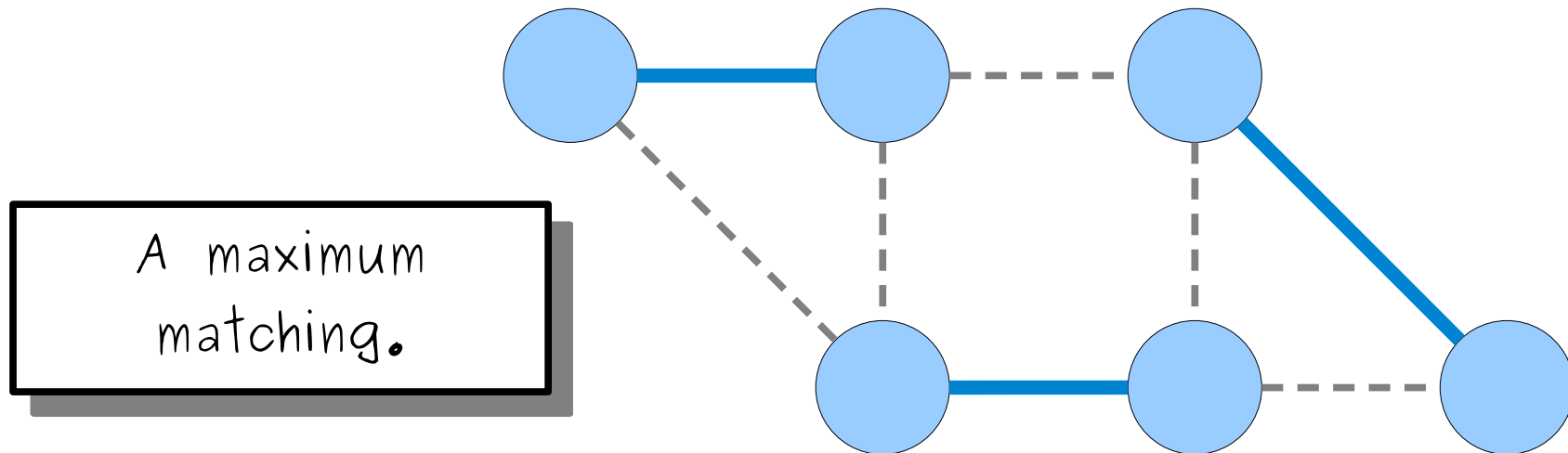
- Given an undirected graph  $G$ , a **matching** in  $G$  is a set of edges such that no two edges share an endpoint.
- A **maximum matching** is a matching with the largest number of edges.



A matching, but  
not a maximum  
matching.

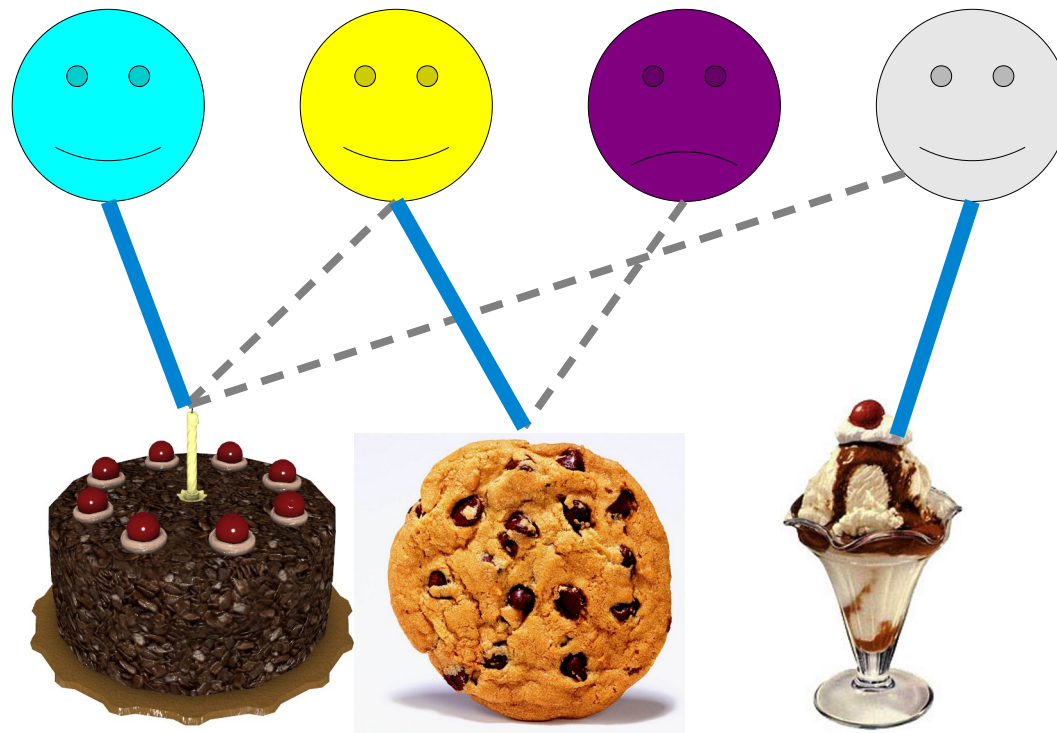
# Maximum Matching

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# Maximum Matching

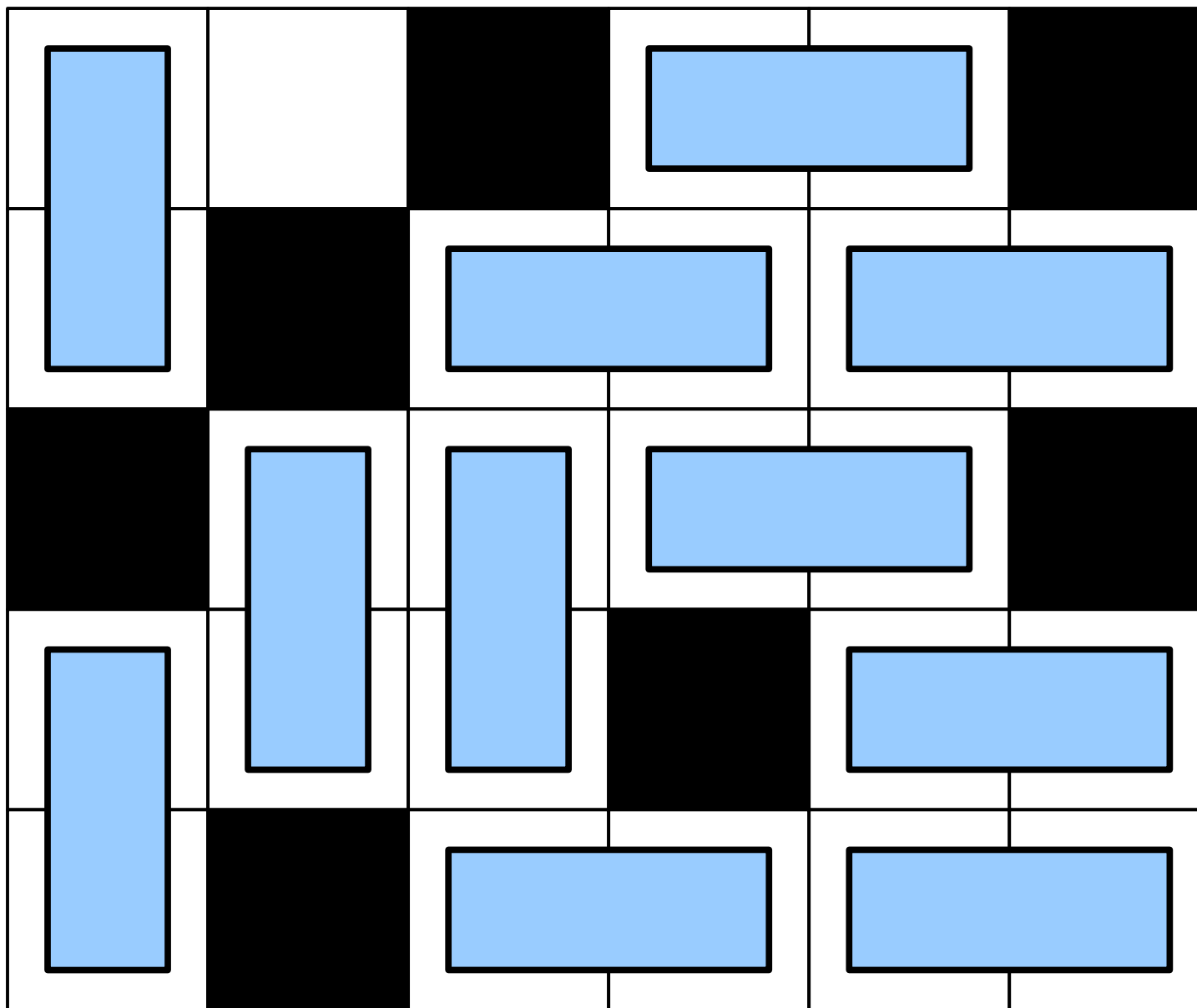
- Given an undirected graph  $G$ , a **matching** in  $G$  is a set of edges such that no two edges share an endpoint.
- A **maximum matching** is a matching with the largest number of edges.



# Maximum Matching

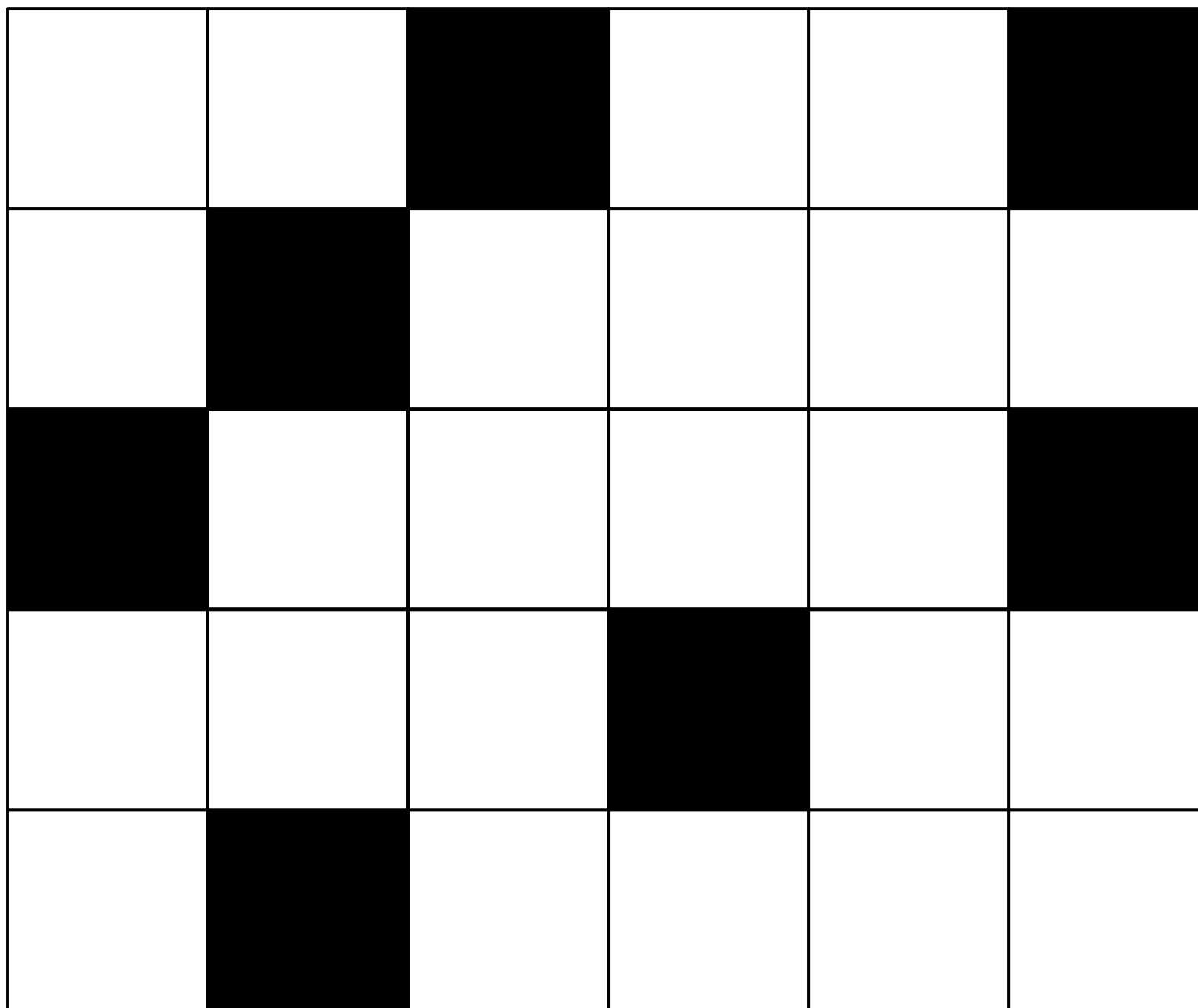
- Jack Edmonds' paper “Paths, Trees, and Flowers” gives a polynomial-time algorithm for finding maximum matchings.
  - He's the guy from last time with the quote about “better than decidable.”
- Using this fact, what other problems can we solve?

# Domino Tiling

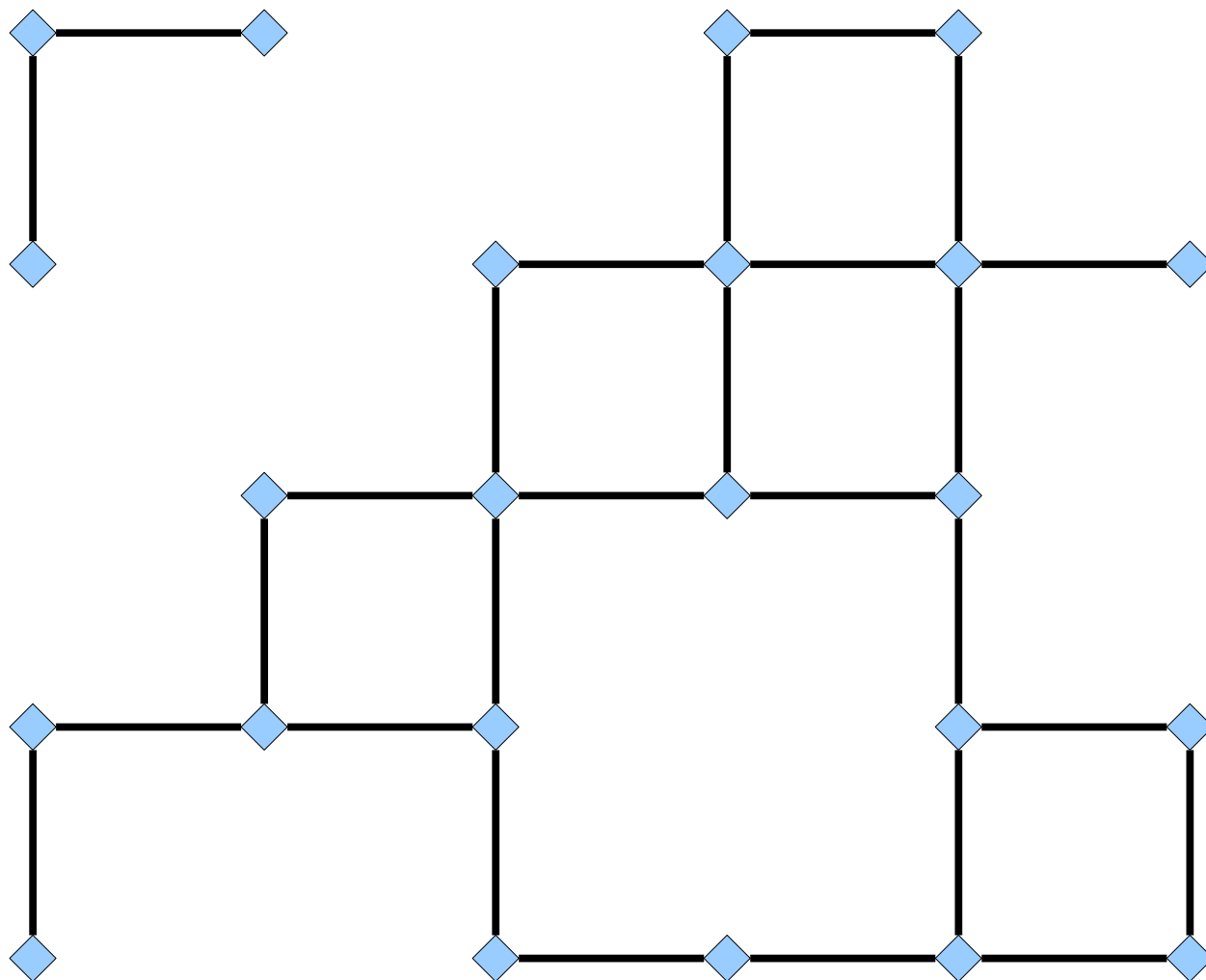




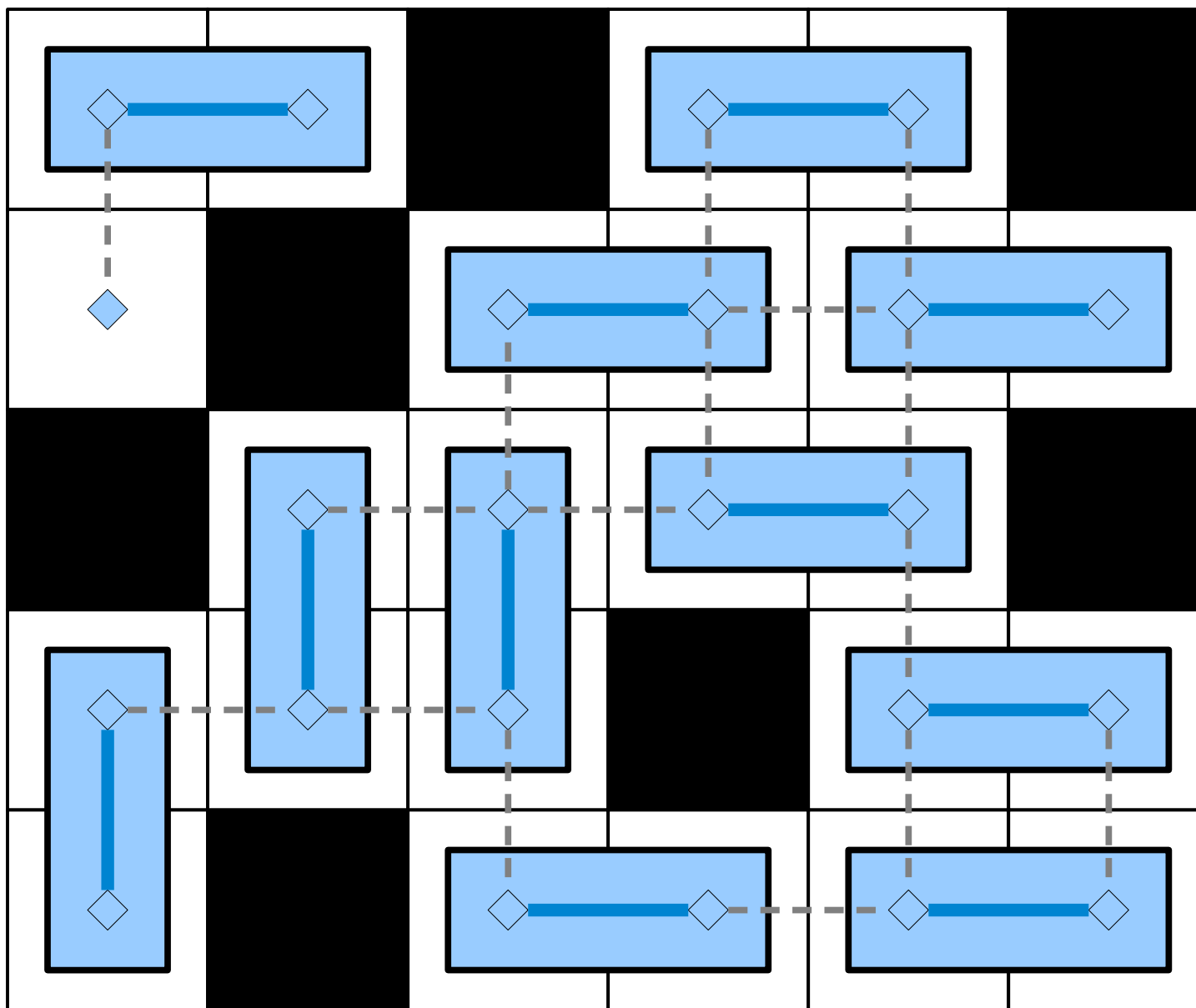
# Solving Domino Tiling



# Solving Domino Tiling



# Solving Domino Tiling



# In Pseudocode

```
boolean canPlaceDominoes(Grid  $G$ , int  $k$ ) {  
    return hasMatching(gridToGraph( $G$ ),  $k$ );  
}
```

## ***Intuition:***

Tiling a grid with dominoes can't be “harder” than solving maximum matching, because if we can solve maximum matching efficiently, we can solve domino tiling efficiently.

Another Example

# Reachability

- Consider the following problem:  
**Given an directed graph  $G$  and nodes  $s$  and  $t$  in  $G$ , is there a path from  $s$  to  $t$ ?**
- This problem can be solved in polynomial time (use DFS or BFS).

# Converter Conundrums

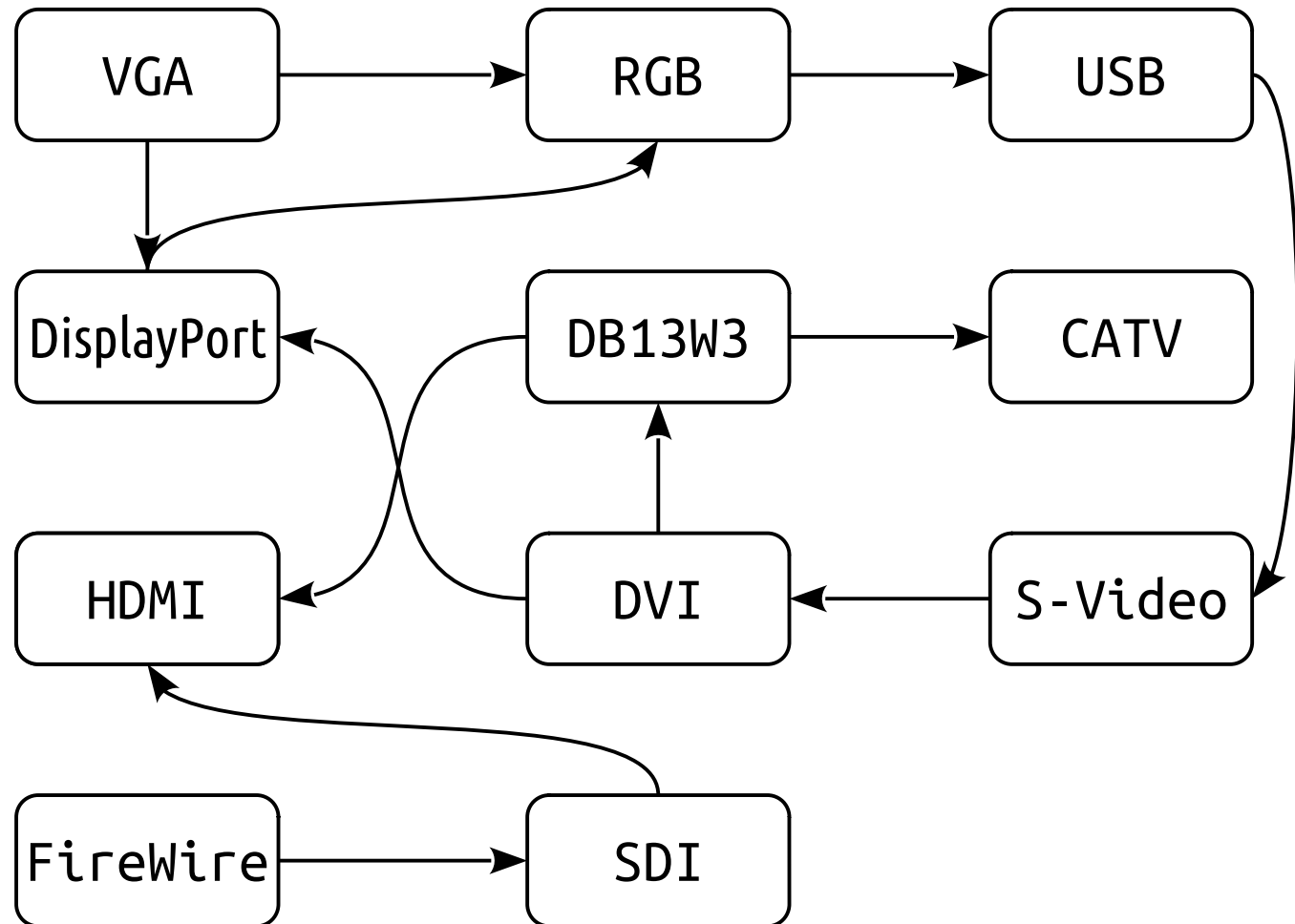
- Suppose that you want to plug your laptop into a projector.
- Your laptop only has a VGA output, but the projector needs HDMI input.
- You have a box of connectors that convert various types of input into various types of output (for example, VGA to DVI, DVI to DisplayPort, etc.)
- **Question:** Can you plug your laptop into the projector?



# Converter Conundrums

## Connectors

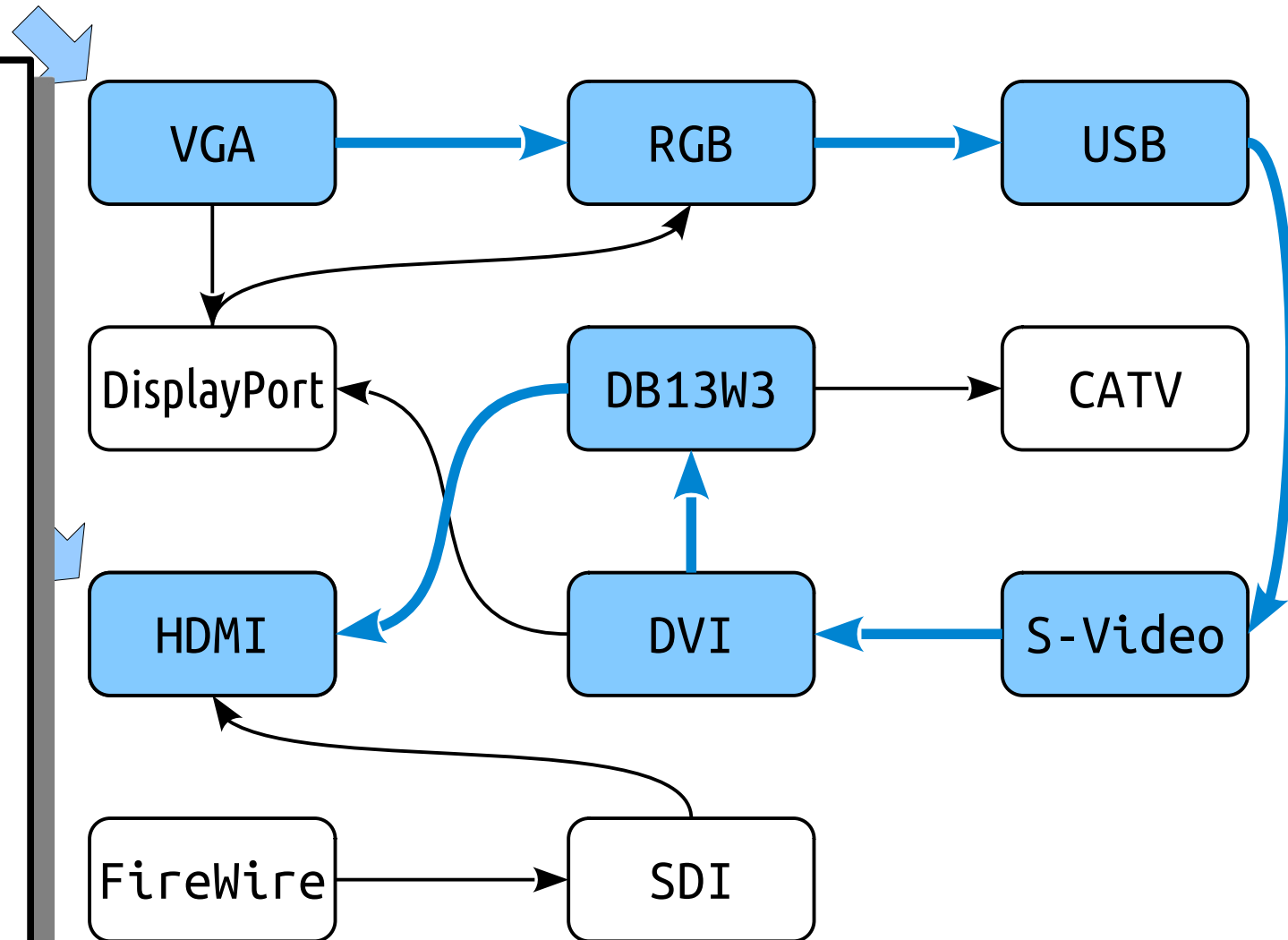
RGB to USB  
VGA to DisplayPort  
DB13W3 to CATV  
DisplayPort to RGB  
DB13W3 to HDMI  
DVI to DB13W3  
S-Video to DVI  
FireWire to SDI  
VGA to RGB  
DVI to DisplayPort  
USB to S-Video  
SDI to HDMI



# Converter Conundrums

## Connectors

RGB to USB  
VGA to DisplayPort  
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SDI to HDMI



# In Pseudocode

```
bool canPlugIn(vector<Plug> plugs) {  
    return isReachable(plugsToGraph(plugs),  
                        VGA, HDMI);  
}
```

## ***Intuition:***

Finding a way to plug a computer into a projector can't be “harder” than determining reachability in a graph, since if we can determine reachability in a graph, we can find a way to plug a computer into a projector.

```
bool solveProblemA(string input) {  
    return solveProblemB(translate(input));  
}
```

### ***Intuition:***

Problem  $A$  can't be “harder” than problem  $B$ , because solving problem  $B$  lets us solve problem  $A$ .

```
bool solveProblemA(string input) {  
    return solveProblemB(translate(input));  
}
```

- If  $A$  and  $B$  are problems where it's possible to solve problem  $A$  using the strategy shown above\*, we write

$$A \leq_p B.$$

- We say that ***A is polynomial-time reducible to B.***

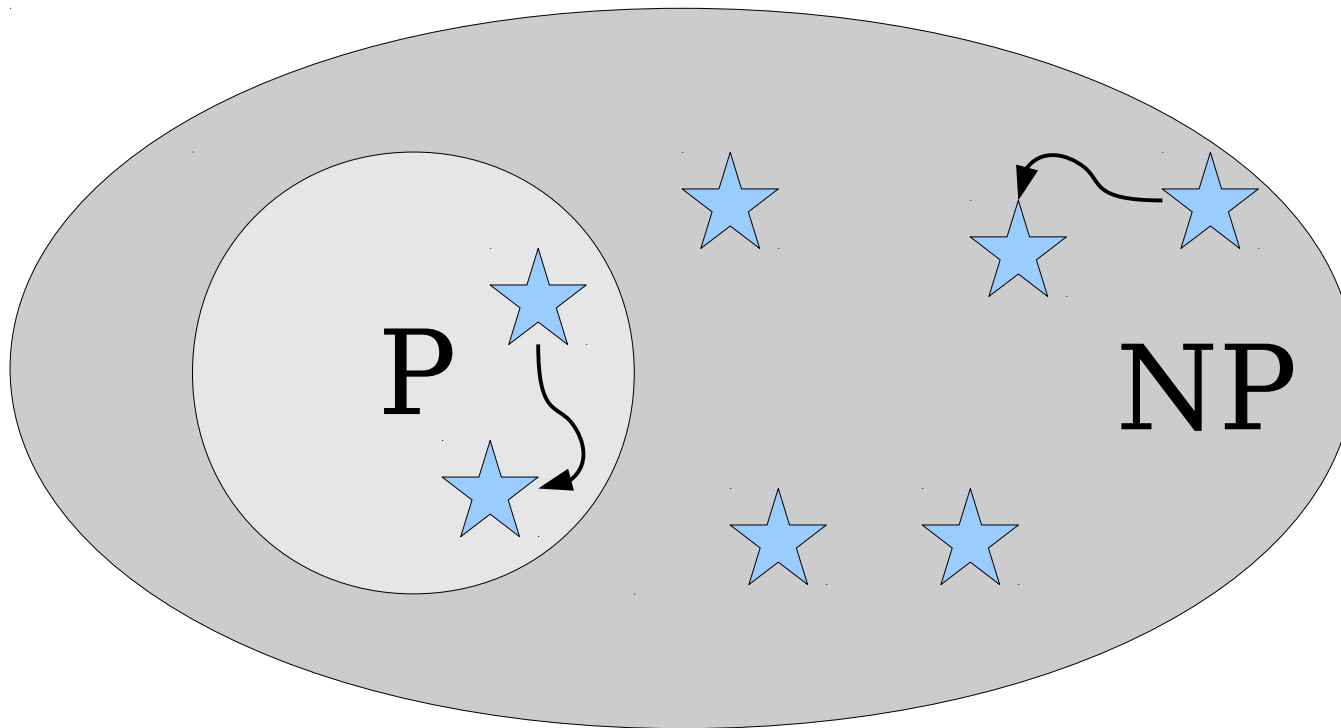
\* Assuming that `translate` runs in polynomial time.

```
bool solveProblemA(string input) {  
    return solveProblemB(translate(input));  
}
```

- This is a powerful general problem-solving technique. You'll see it a lot in CS161.

# Polynomial-Time Reductions

- If  $A \leq_p B$  and  $B \in \mathbf{P}$ , then  $A \in \mathbf{P}$ .
- If  $A \leq_p B$  and  $B \in \mathbf{NP}$ , then  $A \in \mathbf{NP}$ .





This  $\leq_p$  relation lets us rank the relative difficulties of problems in **P** and **NP**.

What else can we do with it?

Time-Out for Announcements!

***Please evaluate this course on Axess.***

Your feedback makes a difference.

# Final Exam Logistics

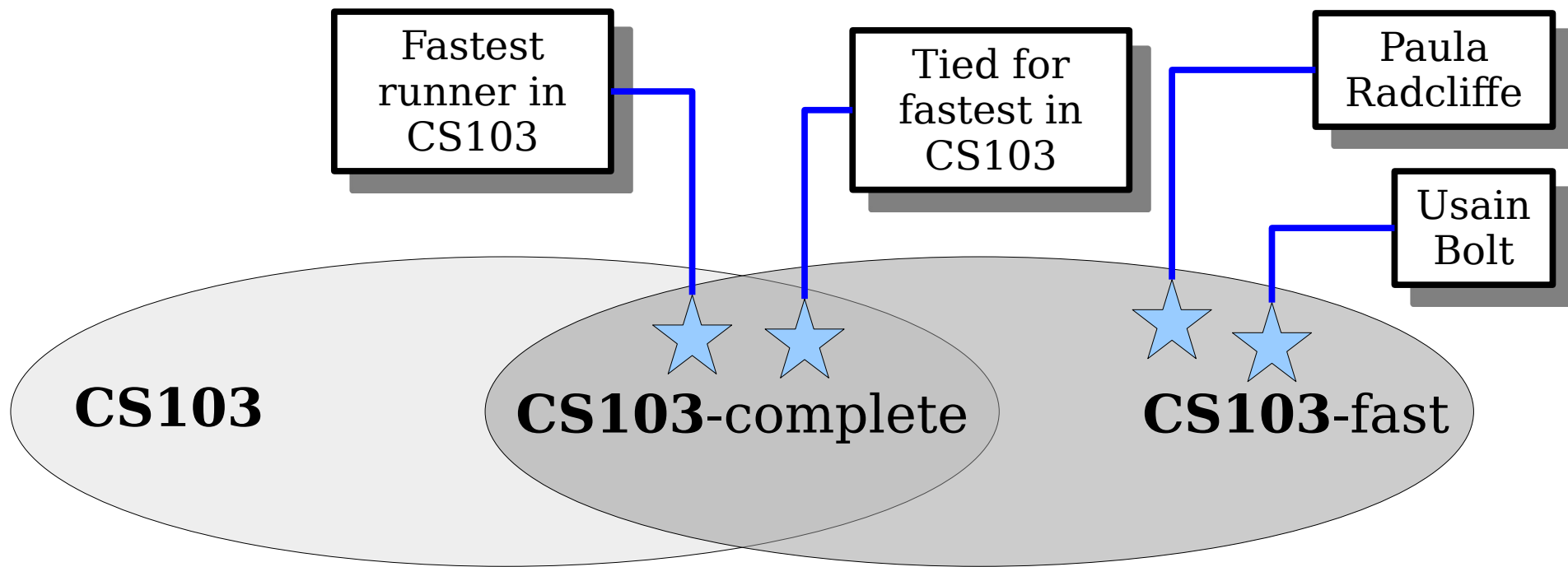
- Our final exam is a take-home exam that goes out this Friday at 2:30PM and comes due next Thursday (December 9<sup>th</sup>) at 3:30PM.
- Like the midterms, you can work on the exam for any amount of time in that period.
- Like the midterms, the exam is open-book and open-note, but you cannot communicate with other humans or solicit solutions.
- Unlike the midterms, this exam is designed to take about six hours to complete and covers all topics from the course (PS1 – PS9, plus L00 – L27).

# Preparing for the Final

- We've posted a gigantic list of cumulative review problems on the course website that you can use to get more practice with whatever topics you're interested in.
- Our recommendation: Look back over the exams and problem sets and redo any problems that you didn't really get the first time around.
- Keep the TAs in the loop: stop by office hours to have them review your answers and offer feedback.

Back to CS103!

***An Analogy:*** Running Really Fast



For people  $A$  and  $B$ , we say  $A \leq_r B$  if  $A$ 's top running speed is at most  $B$ 's top speed.  
(*Intuitively:  $B$  can run at least as fast as  $A$ .*)

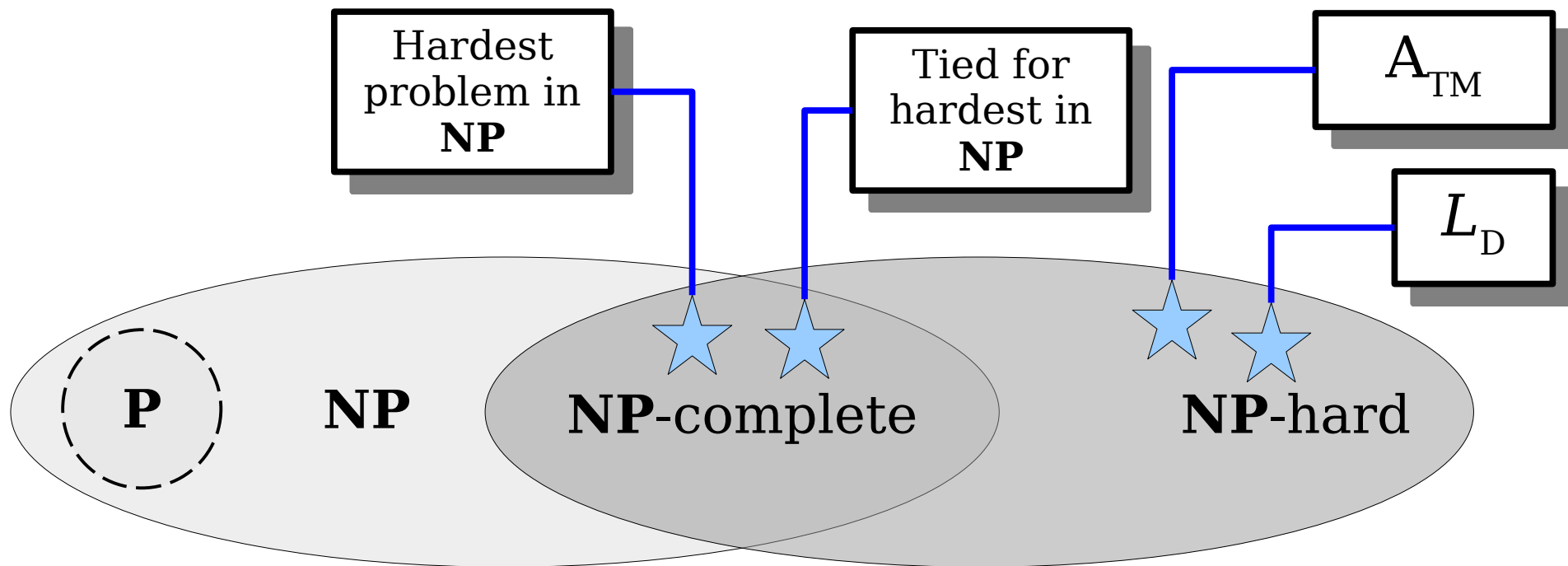
We say that person  $P$  is **CS103-fast** if  
 $\forall A \in \text{CS103}. A \leq_r P.$

(*How fast are you if you're CS103-fast?*)

We say that person  $P$  is **CS103-complete** if  
 $P \in \text{CS103}$  and  $P$  is **CS103-fast**.

(*How fast are you if you're CS103-complete?*)





For languages  $A$  and  $B$ , we say  $A \leq_p B$  if  $A$  reduces to  $B$  in polynomial time.

*(Intuitively:  $B$  is at least as hard as  $A$ .)*

We say that a language  $L$  is **NP-hard** if

$$\forall A \in \mathbf{NP}. A \leq_p L.$$

*(How hard is a problem that's NP-hard?)*

We say that a language  $L$  is **NP-complete** if

$$L \in \mathbf{NP} \text{ and } L \text{ is } \mathbf{NP}\text{-hard}.$$

*(How hard is a problem that's NP-complete?)*

***Intuition:*** The **NP**-complete problems are the hardest problems in **NP**.

If we can determine how hard those problems are, it would tell us a lot about the **P**  $\stackrel{?}{=}$  **NP** question.

# The Tantalizing Truth

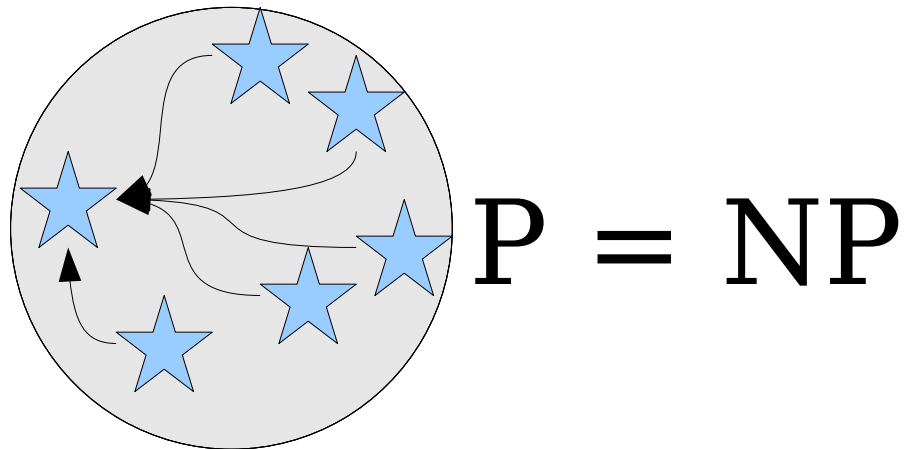
**Theorem:** If *any* **NP**-complete language is in **P**, then **P** = **NP**.

**Intuition:** This means the hardest problems in **NP** aren't actually that hard. We can solve them in polynomial time. So that means we can solve all problems in **NP** in polynomial time.

# The Tantalizing Truth

**Theorem:** If *any* **NP**-complete language is in **P**, then **P** = **NP**.

**Proof:** Suppose that  $L$  is **NP**-complete and  $L \in \mathbf{P}$ . Now consider any arbitrary **NP** problem  $A$ . Since  $L$  is **NP**-complete, we know that  $A \leq_p L$ . Since  $L \in \mathbf{P}$  and  $A \leq_p L$ , we see that  $A \in \mathbf{P}$ . Since our choice of  $A$  was arbitrary, this means that **NP**  $\subseteq$  **P**, so **P** = **NP**. ■



# The Tantalizing Truth

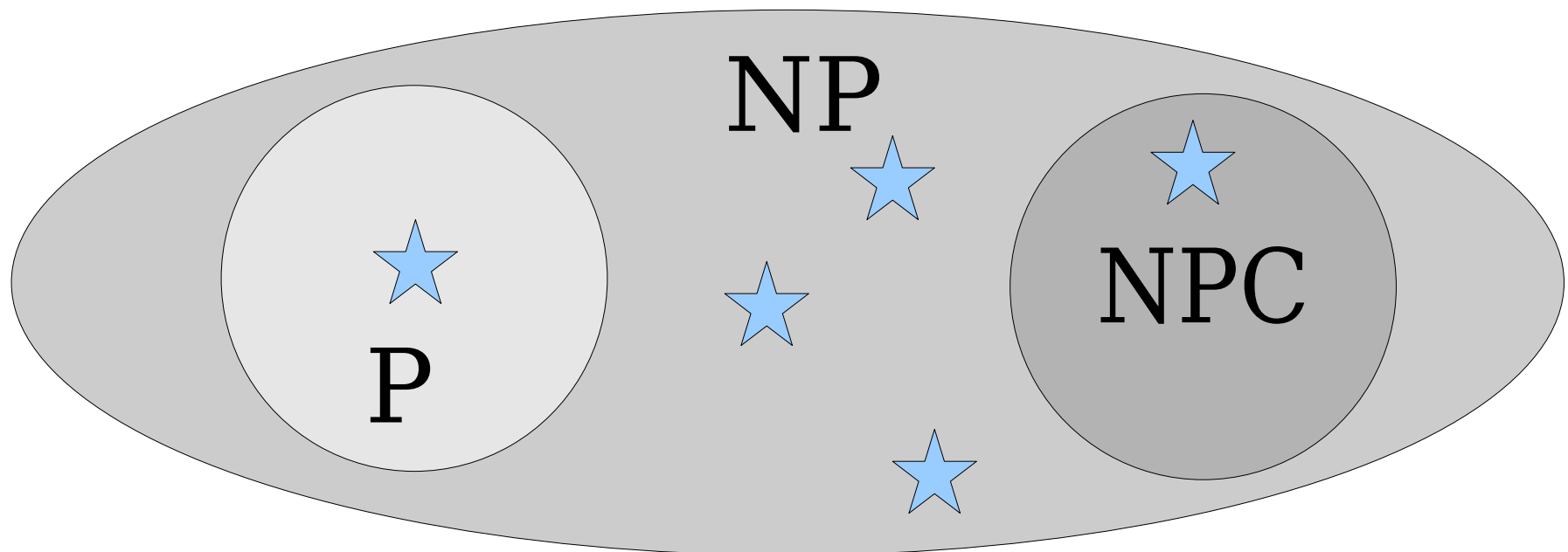
**Theorem:** If *any* **NP**-complete language is not in **P**, then  $\mathbf{P} \neq \mathbf{NP}$ .

**Intuition:** This means the hardest problems in **NP** are so hard that they can't be solved in polynomial time. So the hardest problems in **NP** aren't in **P**, meaning  $\mathbf{P} \neq \mathbf{NP}$ .

# The Tantalizing Truth

**Theorem:** If *any* **NP**-complete language is not in **P**, then  $\mathbf{P} \neq \mathbf{NP}$ .

**Proof:** Suppose that  $L$  is an **NP**-complete language not in **P**. Since  $L$  is **NP**-complete, we know that  $L \in \mathbf{NP}$ . Therefore, we know that  $L \in \mathbf{NP}$  and  $L \notin \mathbf{P}$ , so  $\mathbf{P} \neq \mathbf{NP}$ . ■



***How do we even know NP-complete problems exist in the first place?***

# Satisfiability

- A propositional logic formula  $\varphi$  is called **satisfiable** if there is some assignment to its variables that makes it evaluate to true.
  - $p \wedge q$  is satisfiable.
  - $p \wedge \neg p$  is unsatisfiable.
  - $p \rightarrow (q \wedge \neg q)$  is satisfiable.
- An assignment of true and false to the variables of  $\varphi$  that makes it evaluate to true is called a **satisfying assignment**.



# SAT

- The *boolean satisfiability problem* (***SAT***) is the following:

**Given a propositional logic formula  $\varphi$ , is  $\varphi$  satisfiable?**

- Formally:

**$SAT = \{ \langle \varphi \rangle \mid \varphi \text{ is a satisfiable PL formula} \}$**

***Theorem (Cook-Levin):*** SAT is **NP**-complete.

***Proof Idea:*** To see that **SAT**  $\in$  **NP**, show how to make a polynomial-time verifier for it. Key idea: have the certificate be a satisfying assignment.

To show that **SAT** is **NP**-hard, given a polynomial-time verifier  $V$  for an arbitrary **NP** language  $L$ , for any string  $w$  you can construct a polynomially-sized formula  $\varphi(w)$  that says “there is a certificate  $c$  where  $V$  accepts  $\langle w, c \rangle$ .” This formula is satisfiable if and only if  $w \in L$ , so deciding whether the formula is satisfiable decides whether  $w$  is in  $L$ . ■-ish

***Proof:*** Take CS154!

# Why All This Matters

- Resolving  $\mathbf{P} \stackrel{?}{=} \mathbf{NP}$  is equivalent to just figuring out how hard SAT is.

$$\text{SAT} \in \mathbf{P} \quad \leftrightarrow \quad \mathbf{P} = \mathbf{NP}$$

- We've turned a huge, abstract, theoretical problem about solving problems versus checking solutions into the concrete task of seeing how hard one problem is.
- You can get a sense for how little we know about algorithms and computation given that we can't yet answer this question!

# Why All This Matters

- You will almost certainly encounter **NP**-hard problems in practice – they're everywhere!
- If a problem is **NP**-hard, then there is no known algorithm for that problem that
  - is efficient on all inputs,
  - always gives back the right answer, and
  - runs deterministically.
- ***Useful intuition:*** If you need to solve an **NP**-hard problem, you will either need to settle for an approximate answer, an answer that's likely but not necessarily right, or have to work on really small inputs.

# Sample NP-Hard Problems

- **Computational biology:** Given a set of genomes, what is the most probable evolutionary tree that would give rise to those genomes? (*Maximum parsimony problem*)
- **Game theory:** Given an arbitrary perfect-information, finite, two-player game, who wins? (*Generalized geography problem*)
- **Operations research:** Given a set of jobs and workers who can perform those tasks in parallel, can you complete all the jobs within some time bound? (*Job scheduling problem*)
- **Machine learning:** Given a set of data, find the simplest way of modeling the statistical patterns in that data (*Bayesian network inference problem*)
- **Medicine:** Given a group of people who need kidneys and a group of kidney donors, find the maximum number of people who can receive transplants. (*Cycle cover problem*)
- **Systems:** Given a set of processes and a number of processors, find the optimal way to assign those tasks so that they complete as soon as possible (*Processor scheduling problem*)

***Coda:*** What if  $\mathbf{P} \stackrel{?}{=} \mathbf{NP}$  is resolved?

# Next Time

- ***Why All This Matters***
- ***Where to Go from Here***
- ***A Final “Your Questions”***
- ***Parting Words!***