

# Programming Abstractions

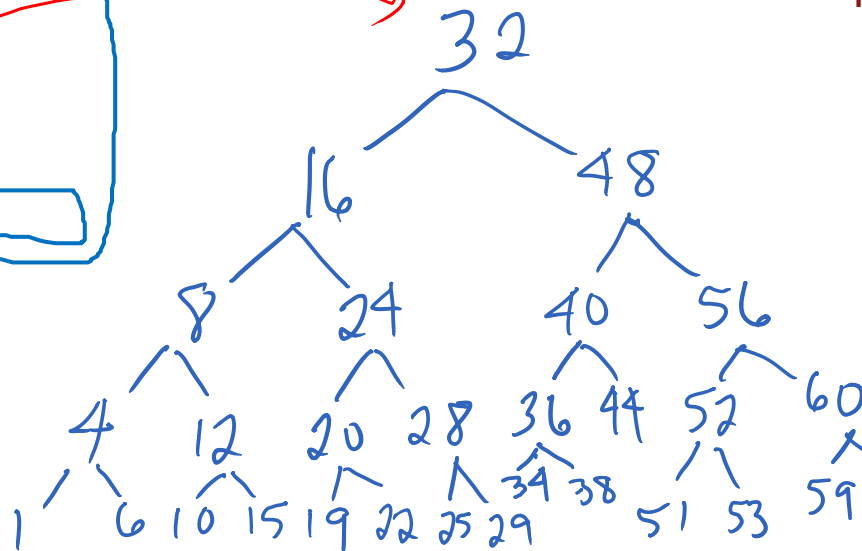
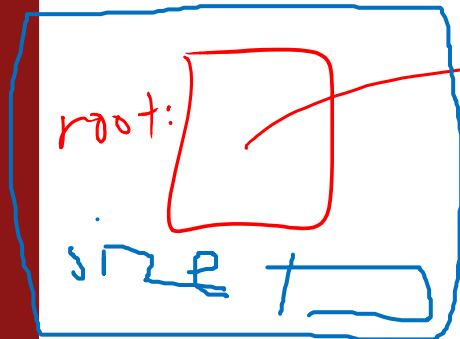
CS106X

Cynthia Lee

# Topics:

- Binary Search Tree (BST)
  - › Starting with a dream: binary search in a linked list?
  - › How our dream provided the inspiration for the BST
    - Note: we do NOT actually construct BSTs using this method
  - › BST insert
  - › Big-O analysis of BST
  - › BST balance issues
- Traversals
  - › Pre-order
  - › In-order
  - › Post-order
  - › Breadth-first
- Applications of Traversals

# An Idealized Binary Search Tree



## Important note of clarification:

When I was talking about setting up the tree as a binary search (pic at left), that was an explanation of the *inspiration* for BST. Lining up the values and then arranging the pointers all at once is not how we use them (insert one at a time using algorithm we talked about).

What is the worst case cost for doing  
containsKey() in BST *if the BST is balanced?*

**$O(\log N)$ —awesome!**

**BSTs is that they are great when balanced**

**BST is bad when unbalanced**

Balance depends on order of insert of elements

## Ok, so, long-chain BSTs are bad, should we worry about it? [math puzzle time]

One way to create a bad BST is to insert the elements in *decreasing* order: 34, 22, 9, 3

That's not the only way...

How many **distinctly structured** BSTs are there that exhibit the worst case height (height equals number of nodes) for a tree with the 4 nodes listed above?

- A. 2-3
- B. 4-5
- C. 6-7
- D. 8-9
- E. More than 9

*Bonus question: general formula for any BST of size  $n$ ?*

*Extra bonus question (CS109): what is this as a fraction of all trees (i.e., probability of worst-case tree).*

# BST Balance Strategies

So we definitely need to balance, how can we do that if the tree location is fixed when we insert?

## Red-Black trees

One of the most famous (and most tricky) strategies for keeping a BST balanced

Not guaranteed to be perfectly balanced, but “close enough” to keep  $O(\log n)$  *guarantee* on operations

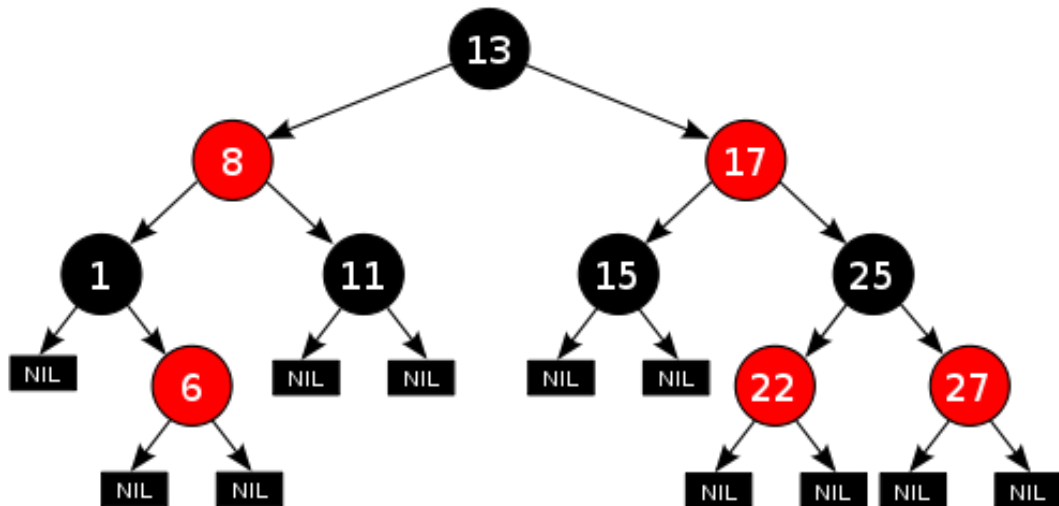
## Red-Black trees

In addition to the requirements imposed on a binary search trees, red–black trees must meet these:

- A node is either red or black.
- The root is black.
- All leaves (null children) are black.
- Both children of every red node are black.
- **Every simple path from a given node to any of its descendant leaves contains the same number of black nodes.**
  - › (This is what guarantees “close” to balance)



## Red-Black trees



**Every simple path from a given node to any of its descendant leaves contains the same number of black nodes.**

- (This is what guarantees “close” to balance)

## Other BST balance strategies

Red-Black tree

AVL tree

Treap (BST + heap in one tree! What could be cooler than that, amirite? ❤️ ❤️ ❤️ )

Other fun types of **BST**:

Splay tree

B-Tree

## Other fun types of **BST**

### Splay tree

- Rather than only worrying about balance, Splay Tree dynamically readjusts based on **how often users search for an item**. Most commonly-searched items move to the top, saving time
  - › For search terms, imagine “**Bieber**” would be near the **root**, and “polymorphism” would be further down by the leaves, because humanity is disappointing sometimes...

### B-Tree

- Like BST, but a node can have many children, not just 2
- Used for huge databases

# BST and Heap quick recap/cheat sheet

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## Heap (Priority Queue)

- **Structure:** must be “complete”
- **Order:** parent priority must be  $\leq$  both children
  - › This is for min-heap, opposite is true for max-heap
  - › No rule about whether left child is  $>$  or  $<$  the right child
- **Big-O:** guaranteed  $\log(n)$  enqueue and dequeue
- **Operations:** always add to end of array and then “bubble up”; for dequeue do “trickle down”

## BST (Map)

- **Structure:** any valid binary tree
- **Order:**  $\text{leftchild.key} < \text{self.key} < \text{rightchild.key}$ 
  - › No duplicate keys
  - › Because it's a Map, values go along for the ride w/keys
- **Big-O:**  $\log(n)$  if balanced, but might not be balanced, then linear
- **Operations:** recursively repeat: start at root and go left if  $\text{key} < \text{root}$ , go right if  $\text{key} > \text{root}$

# Tree Traversals!

These are not only for Binary Search Trees, but we often do them on BSTs

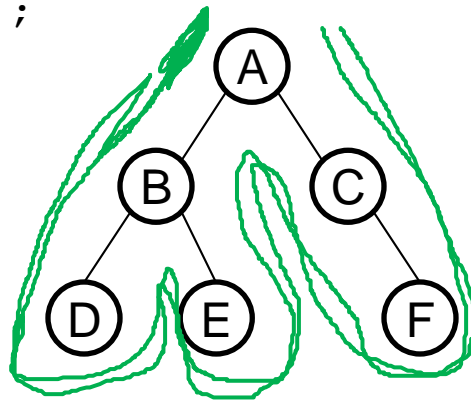
# What does this print?

(assume we call traverse on the root node to start)

pre-order traversal

```
void traverse(Node *node) {  
    if (node != NULL) {  
        cout << node->key << " ";  
        traverse(node->left);  
        traverse(node->right);  
    }  
}
```

- A. ABCDEF
- B. ABDECF
- C. DBEFC A
- D. DEBFCA
- E. Other/none/more



# What does this print?

(assume we call traverse on the root node to start)

post-order traversal

```
void traverse(Node *node) {  
    if (node != NULL) {  
        traverse(node->left);  
        traverse(node->right);  
        cout << node->key << " ";  
    }  
}
```

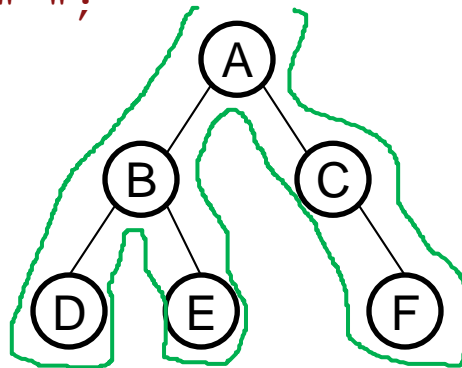
A. ABCDEF

B. ABDECF

C. DBEFCA

D. DEBFCA

E. Other/none/more





# What does this print?

(assume we call traverse on the root node to start)

in-order traversal

```
void traverse(Node *node) {  
    if (node != NULL) {  
        traverse(node->left);  
        cout << node->key << " ";  
        traverse(node->right);  
    }  
}
```

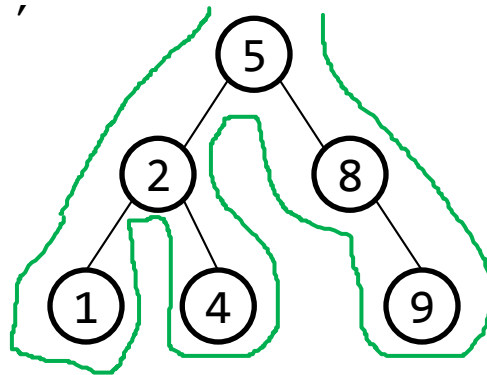
A. 1 2 4 5 8 9

B. 1 4 2 9 8 5

C. 5 2 1 4 8 9

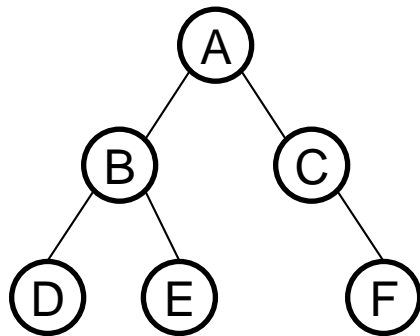
D. 5 2 8 1 4 9

E. Other/none/more



How can we get code to print our ABCs in order as shown? (note: not BST order)

```
void traverse(Node *node) {  
    if (node != NULL) {  
        ?? cout << node->key << " ";  
        traverse(node->left);  
        traverse(node->right);  
    }  
}
```



You can't do it by using this code and moving around the cout—we already tried moving the cout to all 3 possible places and it didn't print in order

- You can but you use a queue instead of recursion
- **“Breadth-first”** search
- Again we see this key theme

# Applications of Tree Traversals

Beautiful little things from an algorithms/theory standpoint, but they have a practical side too!

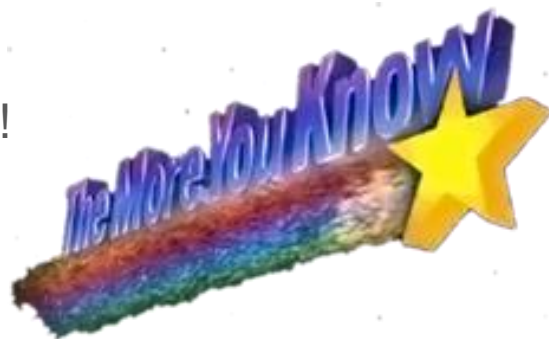
## Traversals a very commonly-used tool in your CS toolkit

```
void traverse(Node *node) {  
    if (node != NULL) {  
        traverse(node->left);  
        // “do something”  
        traverse(node->right);  
    }  
}
```

- Customize and move the “do something,” and that’s the basis for dozens of algorithms and applications

## Map interface implemented with BST

- Remember how when you iterate over the Stanford library Map you get the keys in sorted order?
    - (we used this for the word occurrence counting code example in class)
- ```
void printMap(const Map<string, int>& themap) {  
    for (string s : themap) {  
        cout << s; // printed in sorted order  
    }  
}
```
- Now you know why it can do that in  $O(N)$  time!
    - “In-order” traversal



## Applications of the traversals

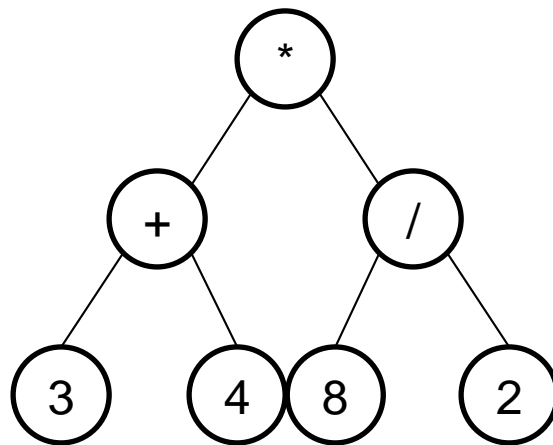
- You have a tree that represents evaluation of an arithmetic expression. Which traversal would form the foundation of your evaluation algorithm?

A. Pre-order

B. In-order

C. Post-order

D. Breadth-first



$$(3+4) * (8/2)$$

## Applications of the traversals

- You are writing the **destructor** for a BST class. Given a pointer to the root, it needs to free each node. Which traversal would form the foundation of your destructor algorithm?

- A. Pre-order
- B. In-order
- C. Post-order
- D. Breadth-first

