

# CS107 Lecture 11

## Introduction to Assembly

reading:

*B&O 3.1-3.4*

# Warm-up: Float review (bank)

Lisa was unable to deposit \$1 into her bank account with \$16,777,216. Why?

32-bit float for  $V = 16,777,216 = 1.0 \times 2^{24}$

s	exponent (8 bits)	fraction (23 bits):
0	1011 0111	0000 0000 0000 0000 0000 000

$$V = (-1)^s \times M \times 2^E$$

$$E = \text{exponent} - 127$$

$$M = 1.\text{[fraction bits]}$$

- A. Overflow in float when trying to store 16,777,217
- B. float does not have enough **precision** to store 16,777,217
- C. float does not have enough **range** to store 16,777,217
- D. Bug in the code
- E. Use double (64-bit floating point)
- F. Other



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- B. float does not have enough precision to store 16,777,217**
- C. float does not have enough range to store 16,777,217
- D. Bug in the code
- E. Use double (64-bit floating point)
- F. Other

✗ overflow for floats means INF;  
underflow means 0

Precision  $\approx$  # bits in fraction

Range  $\approx$  # bits in exponent

Maybe 😊

Different account balances could still have  
limitations in precision

# Mid-quarter feedback

Thank you for your honest opinions about CS107!

We're happy that CS107 is working well for some of you, but we also realize that CS107 is a *\*huge\** step up in **difficulty**, **time**, and **independent learning** compared to the CS106 series.

Learning goals  
for this course:

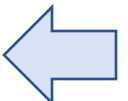
Improve programming skills

Learn C and computer  
system design/layout

Develop ability to glean important information from dense resources  
(C manual, website, lecture, lab/assignment specs, **textbook**)

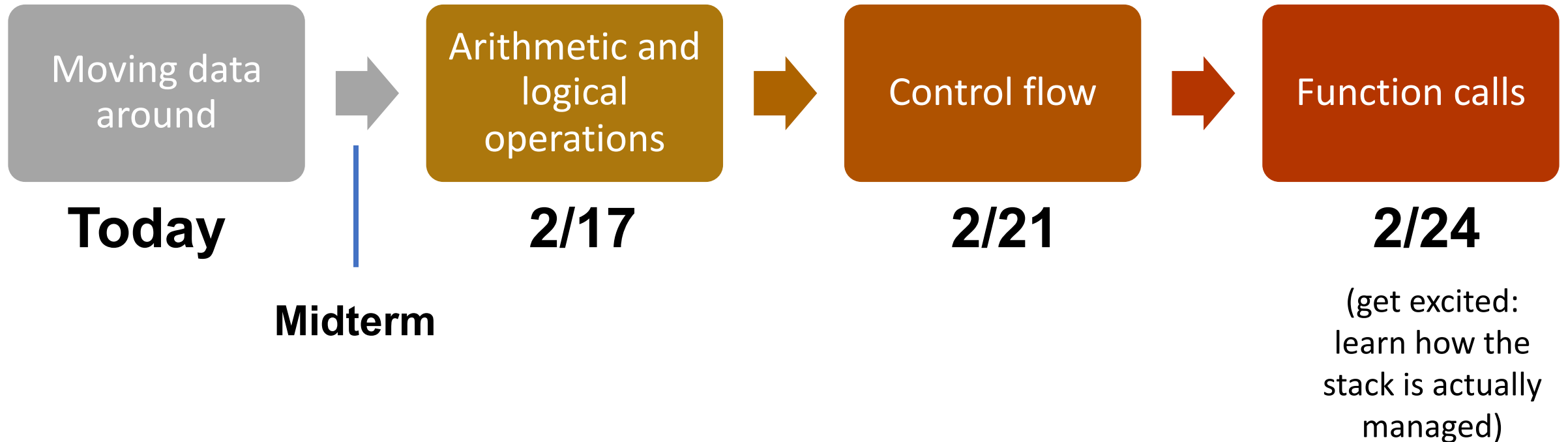
(We also realize that Hewlett 200 is a *\*gigantic\** lecture hall!)

# Course Topic Overview

1. **Bits and Bytes** - *How can a computer represent integer numbers?*
  2. **Chars and C-Strings** - *How can a computer represent and manipulate more complex data like text?*
  3. **Pointers, Stack and Heap** – *How can we effectively manage all types of memory in our programs?*
  4. **Generics** - *How can we use our knowledge of memory and data representation to write code that works with any data type?*
- 
5. **Floats** - *How can a computer represent floating point numbers in addition to integer numbers?*
  6. **Assembly** - *How does a computer interpret and execute C programs?* 
  7. **Heap Allocators** - *How do core memory-allocation operations like malloc and free work?*

# **CS107 Topic 6: How does a computer interpret and execute C programs?**

# Learning Assembly



# Today's Learning Goals

- Learn what assembly language is and why it is important
- Become familiar with the format of human-readable assembly and x86
- Learn the **mov** instruction and how data moves around at the assembly level

# Plan For Today

- **Overview:** GCC and Assembly
- **Demo:** Looking at an executable
- Registers and The Assembly Level of Abstraction
- **Break:** Announcements
- The **mov** instruction

```
cp -r /afs/ir/class/cs107/samples/lectures/lect11 .
```

# Plan For Today

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# It's bits all the way down...

## Data representation so far

- Integer (unsigned int, 2's complement signed int)
- char (ASCII)
- Address (unsigned long)
- float/double (IEEE floating point)
- Aggregates (arrays, structs)

## The code itself is binary too!

- Instructions (machine encoding)

# GCC

High-level  
programming  
code

```
int sum_array(int arr[], int nelems) {  
    int sum = 0;  
    for (int i = 0; i < nelems; i++) {  
        sum += arr[i];  
    }  
    return sum;  
}
```

Assembly  
code

```
mov    $0x0,%edx  
mov    $0x0,%eax  
jmp    4005cb <sum_array+0x15>  
movslq %edx,%rcx
```

Machine  
code

```
ba 00 00 00 00  
b8 00 00 00 00  
eb 09  
48 63 ca
```

gcc (compiler) compiles human-readable code into machine-readable instructions (bits and bytes).

Assembly/machine code is **processor-dependent** (C code isn't).

Assembly code is a shorthand/legible version of machine code.

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# Demo: Looking at an Executable (objdump -d)



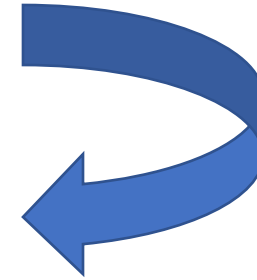
# Our First Assembly

```
int sum_array(int arr[], int nelems) {  
    int sum = 0;  
    for (int i = 0; i < nelems; i++) {  
        sum += arr[i];  
    }  
    return sum;  
}
```

**What does this look like in assembly?**

# Our First Assembly

```
int sum_array(int arr[], int nelems) {  
    int sum = 0;  
    for (int i = 0; i < nelems; i++) {  
        sum += arr[i];  
    }  
    return sum;  
}
```



make  
objdump -d sum

00000000004005b6 <sum\_array>:

4005b6:	ba 00 00 00 00	mov	\$0x0,%edx
4005bb:	b8 00 00 00 00	mov	\$0x0,%eax
4005c0:	eb 09	jmp	4005cb <sum_array+0x15>
4005c2:	48 63 ca	movslq	%edx,%rcx
4005c5:	03 04 8f	add	(%rdi,%rcx,4),%eax
4005c8:	83 c2 01	add	\$0x1,%edx
4005cb:	39 f2	cmp	%esi,%edx
4005cd:	7c f3	j1	4005c2 <sum_array+0xc>
4005cf:	f3 c3	repz retq	

# What's in an object file?

**function pointer:** name of function, mem. address of code

00000000004005b6 <sum\_array>:

4005b6: ba 00 00 00 00  
4005bb: b8 00 00 00 00  
4005c0: eb 09  
4005c2: 48 63 ca  
4005c5: 03 04 8f  
4005c8: 83 c2 01  
4005cb: 39 f2  
4005cd: 7c f3  
4005cf: f3 c3

sequential  
instructions are  
at sequential  
addresses

**machine code**  
each instruction  
encoded in binary

mov \$0x0,%edx  
mov \$0x0,%eax  
jmp 4005cb <sum\_array+0x15>  
movslq %edx,%rcx  
add (%rdi,%rcx,4),%eax  
add \$0x1,%edx  
cmp %esi,%edx  
jl 4005c2 <sum\_array+0xc>  
repz retq

**assembly code**  
each machine instruction decoded  
into human-readable assembly

# What is an assembly instruction?

00000000004005b6 <sum\_array>:

```
4005b6:    ba 00 00 00 00
4005bb:    b8 00 00 00 00
4005c0:    eb 09
4005c2:    48 63 ca
4005c5:    03 04 8f
4005c8:    83 c2 01
4005cb:    39 f2
4005cd:    7c f3
4005cf:    f3 c3
```

**\$0x1** is constant  
value ("**immediate**")

opcode (instruction name/type)	operands (arguments to instruction)
mov	\$0x0,%edx
mov	\$0x0,%eax
jmp	4005cb
movslq	%edx,%rcx
add	(%rdi,%rcx,4),%eax
add	\$0x1,%edx
cmp	%esi,%edx
j1	4005c2 <sum_array+0xc>
repz retq	

**%eax** is **register** name  
(storage location on CPU)

**4005c2** is direct  
address in memory

# Plan For Today

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- The **mov** instruction

# Registers

## What is a register?

A register is a fast read/write memory slot right on the CPU that can hold variable values.

Registers are **not** located in memory.

# Registers



%rax



%rsi



%r8



%r12



%rbx



%rdi



%r9



%r13



%rcx



%rbp



%r10



%r14



%rdx



%rsp



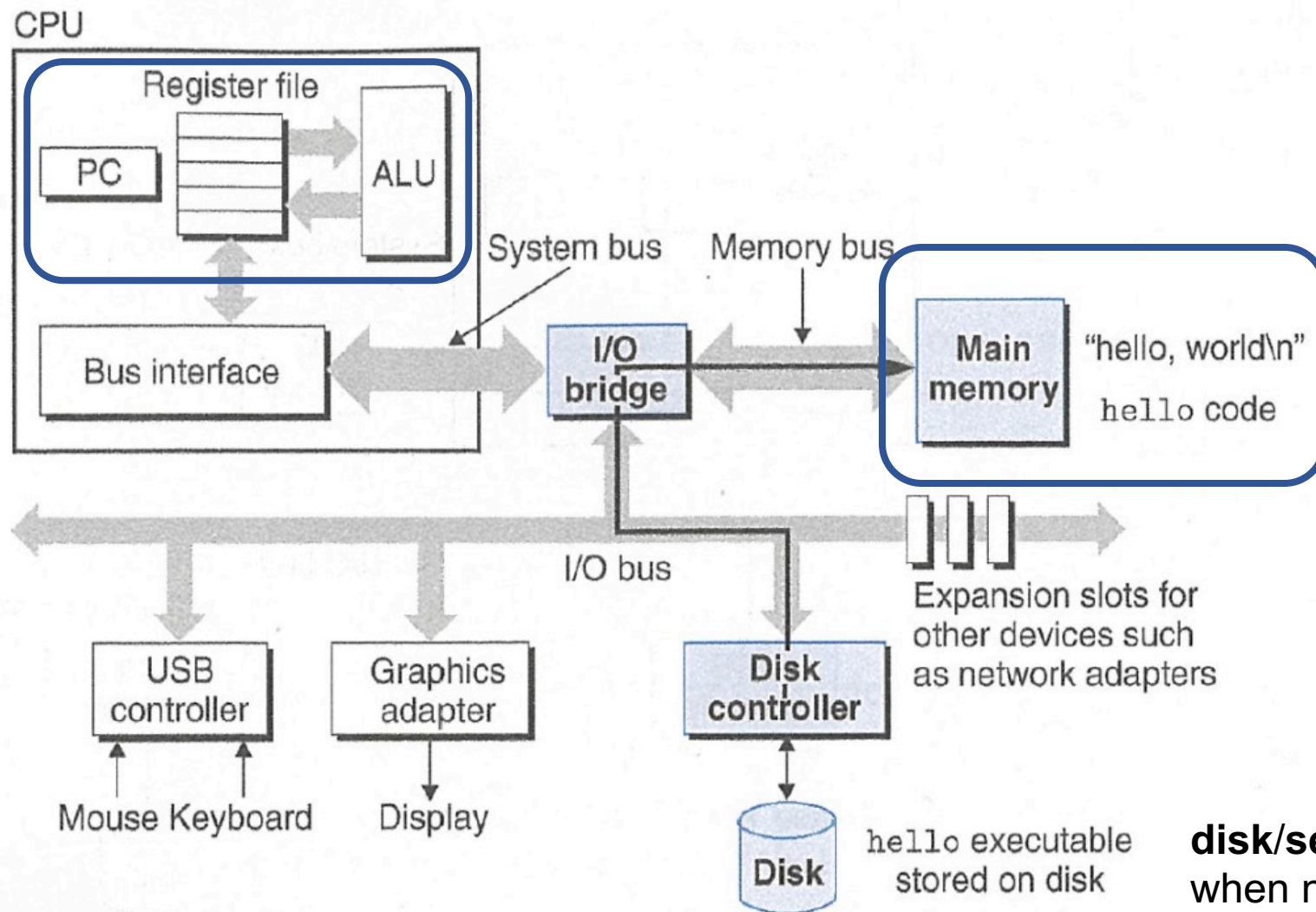
%r11



%r15

# Computer architecture

**registers** accessed  
by name  
**ALU** is main  
workhorse of CPU



**memory** needed  
for program  
execution  
(stack, heap, etc.)  
accessed by address

**disk/server** stores program  
when not executing

# Registers

- A **register** is a 64-bit space inside the processor.
- There are 16 registers available, each with a unique name.
- Registers are like “scratch paper” for the processor. Data being calculated or manipulated is moved to registers first. Operations are performed on registers.
- Registers also hold parameters and return values for functions.
- Registers are extremely *fast* memory!
- Processor instructions consist mostly of **moving data into/out of registers** and performing arithmetic on them. This is the level of logic your program must be in to execute!

# Storage abstraction: C vs assembly

High-level programming language (C)

## Variable

- Variable type (`int`, `char`, `void*`, etc.) determines # of bytes stored + valid ops
- Local to stack frame (current function call)

Assembly language (x86-**64**)

## Register

- **64**-bit space inside processor, simply holds bits
- Registers are shared across all function calls

# Storage abstraction: C vs assembly

## High-level programming language (C)

### Variable

- Variable type (`int`, `char`, `void*`, etc.) determines # of bytes stored + valid ops
- Local to stack frame (current function call)

## Assembly language (x86-64)

### Register

- 64-bit space inside processor, simply holds bits
- Registers are shared across all function calls

## Shared abstraction (C and x86-64)

### Memory

- Byte-addressable:  
Each memory address refers to the start of one byte
- Stack managed automatically in C, manually in assembly

## Shared abstraction (C and x86-64)

- Read/write to memory
- Assignment to variable/register
- Arithmetic on variables/registers

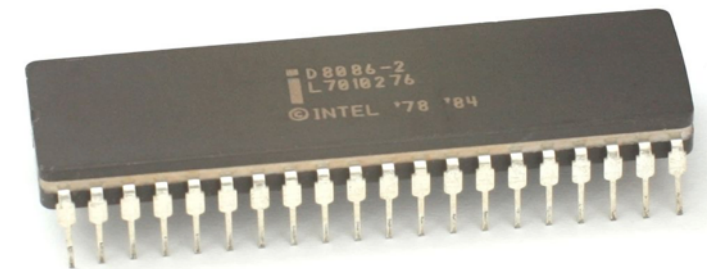
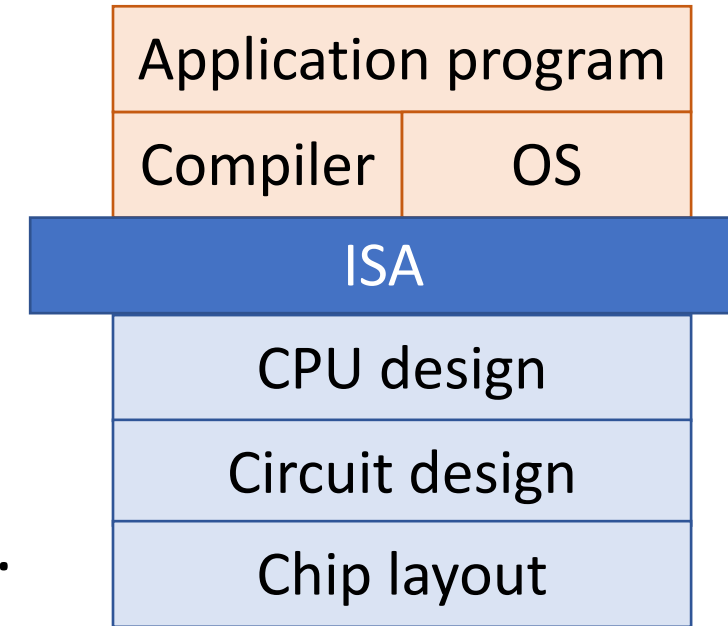
# Instruction set architecture (ISA)

A contract between program/compiler and hardware:

- Defines operations that the processor (CPU) can execute
- Data read/write/transfer operations
- Control mechanisms

Intel originally designed their instruction set back in 1978.

- Legacy support is a huge issue for x86-64
- Originally 16-bit processor, then 32 bit, now 64 bit.  
These design choices dictated the register sizes  
(and even register/instruction names).



# Two major categories of ISAs

CISC (e.g., x86)

- C for “Complex”
- **Large** set of expressive, specialized instructions
- Used in most computers
- Developed by AMD, cross-licensed by Intel

RISC (e.g., ARM, MIPS)

- R for “Reduced”
- **Small** set of simple instructions, but more instructions in code
- Used in low-power, low-cost embedded systems
- Former Stanford President John Hennessy designed the MIPS processor

**JOHN L HENNESSY** 

United States – 2017

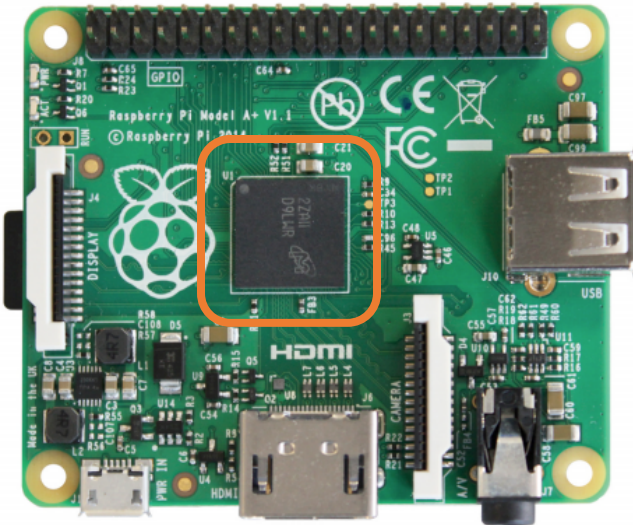
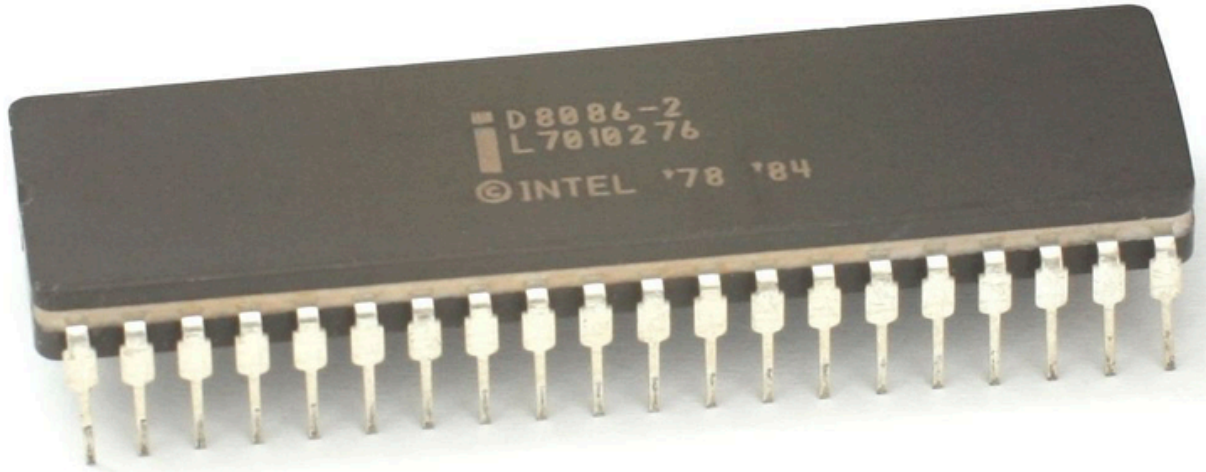
**CITATION**

For pioneering a systematic, quantitative approach to the design and evaluation of computer architectures with enduring impact on the microprocessor industry.



# Central Processing Units (CPUs)

Intel 8086, 16-bit  
microprocessor  
(\$86.65, 1978)

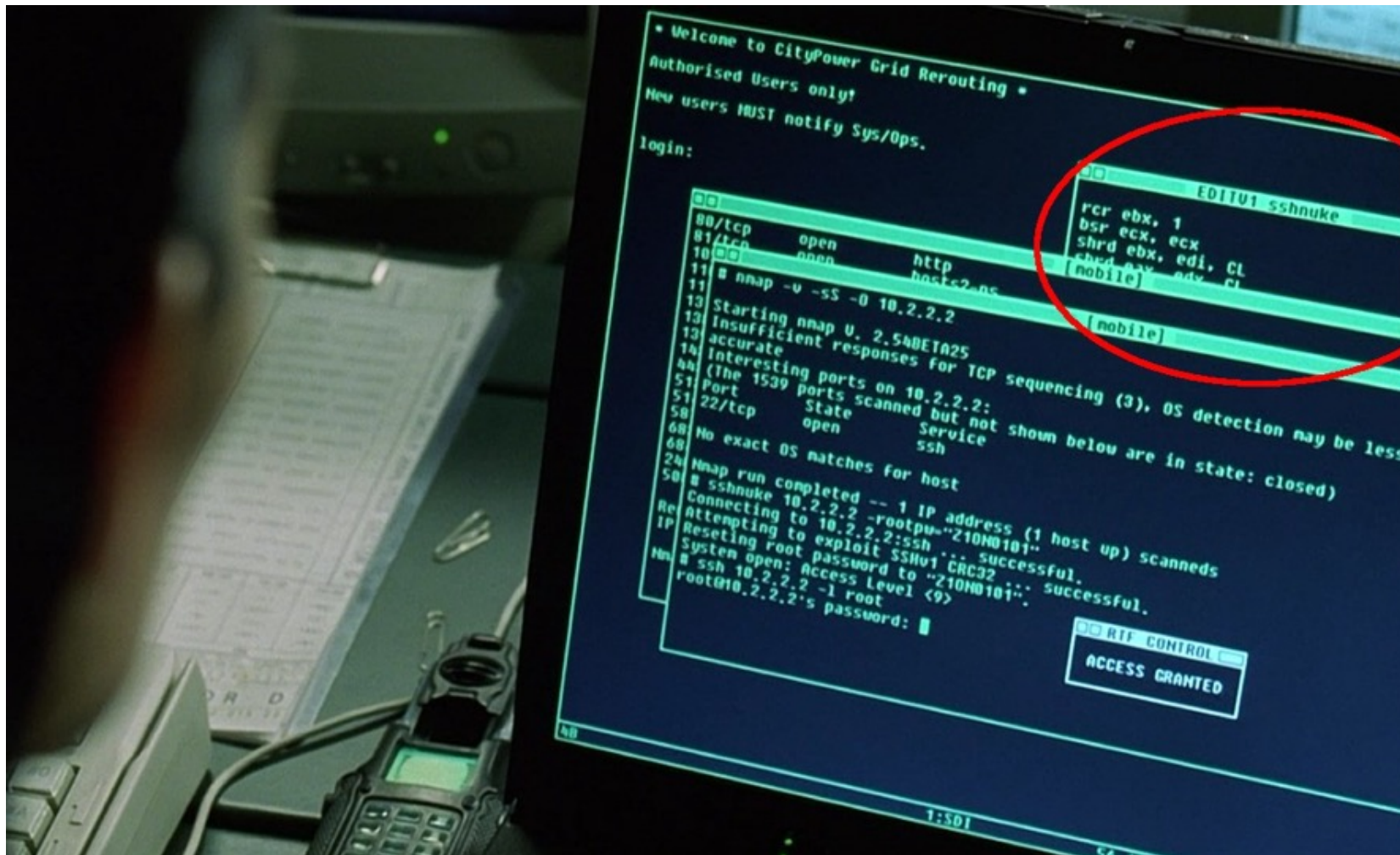


Raspberry Pi BCM2836  
32-bit **ARM** microprocessor  
(\$35 for everything, 2015)



Intel Core i9-9900K 64-bit  
8-core multi-core processor  
(\$449, 2018)

# Assembly code in movies



Trinity saving the world by  
hacking into the power grid  
using Nmap Network  
Scanning  
*The Matrix Reloaded*, 2003

# Plan For Today

- **Overview:** GCC and Assembly
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- **Break:** Announcements
- The **mov** instruction

# Midterm Exam

The midterm exam is **Fri. 2/14 12:30PM-2:20PM in Hewlett 200.**

- Covers material through **lab4/assign4** (no floats or assembly language)
- Closed-book, 1 2-sided page of notes permitted, C reference sheet provided

Administered via BlueBook software (on your laptop)

- Practice materials and BlueBook download available on course website

Assignment 4 on time deadline is tonight, assignment 5 goes out today and is due **Fri. 2/21**. We recommend starting to work on it *after* the midterm exam.

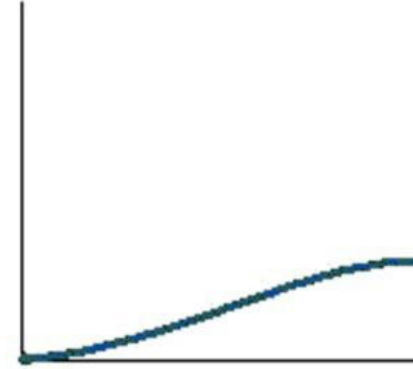
# Joke break

Classical learning  
curves for some  
common editors

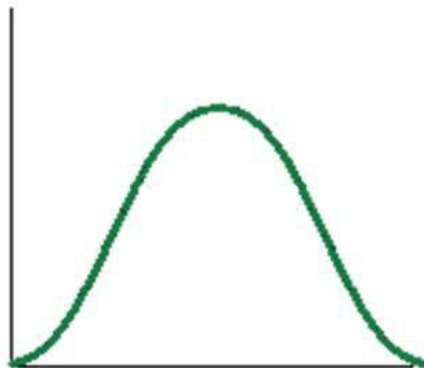
**Notepad**



**Pico**



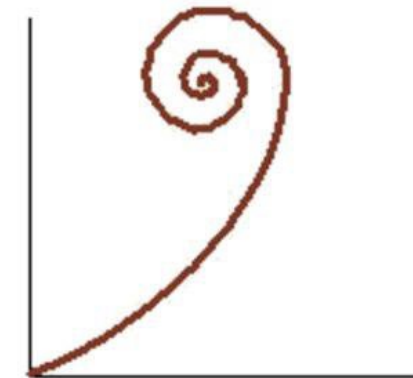
**Visual Studio**



**vi**



**emacs**



# Plan For Today

- **Overview:** GCC and Assembly
- **Demo:** Looking at an executable
- Registers and The Assembly Level of Abstraction
- **Break:** Announcements
- The **mov** instruction

# mov

The **mov** instruction copies bytes from one place to another; it is similar to the assignment operator (=) in C.

**mov**                      **src, dst**

The **src** and **dst** can each be one of:

- Immediate (constant value, like a number) (*only src*)
- Register
- Memory Location  
(*at most one of src, dst*)

**\$0x104**

**%rbx**

Direct address      **0x6005c0**

# Operand forms: load/store

**mov    \$0x0, 0x6040**

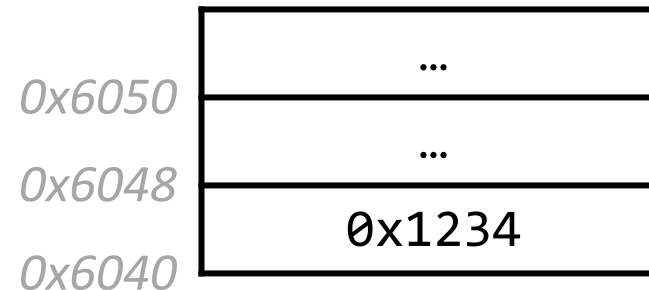
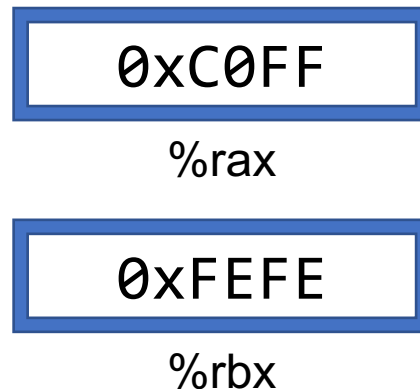
*Store the value 0 into memory  
at address 0x6040.*

**mov    %rbx, %rax**

*Load the value in register %rbx,  
store into register %rax*

**mov    0x6040, %rbx**

*Load value from address  
0x6040 into register %rbx*



# Practice #1: Imm/reg/direct

What are the results of the following move instructions (executed separately)?

1. `mov $0x100,%rax`

2. `mov 0x100,%rax`

3. `mov %rbx,0x120`

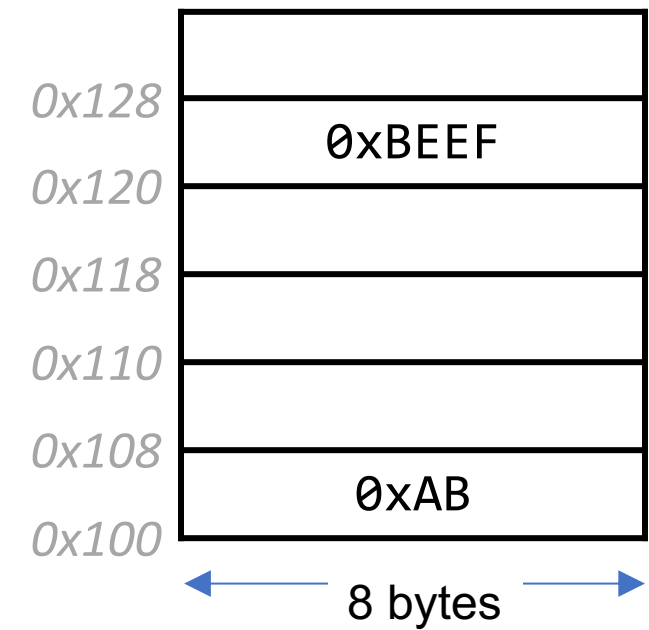


%rax



0xCD

%rbx



# mov

The **mov** instruction copies bytes from one place to another; it is similar to the assignment operator (=) in C.

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The **src** and **dst** can each be one of:

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- Memory Location  
(*at most one of src, dst*)

**\$0x104**

**%rbx**

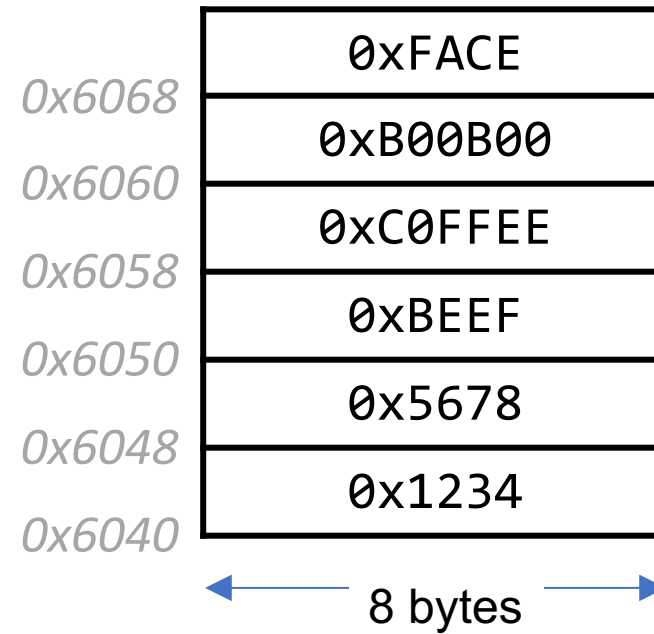
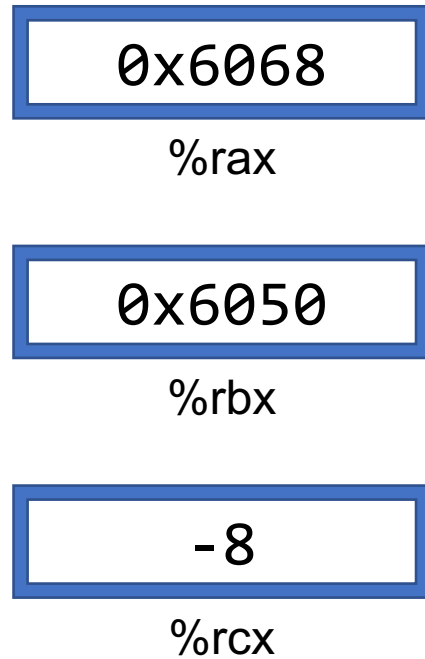
Direct address

**0x6005c0**

Indirect address

**(%rbx)**

# Example for next slide



# Operand forms: Indirect (1/2)

**mov**    **(%rax), %rax**

*Load value at address %rax and store into register %rax.*

**mov**    **\$0x0, 16(%rbx)**

*Store the constant 0 at address (16 plus %rbx)*

**mov**    **\$0x0, (%rbx, %rcx)**

*Store the constant 0 at address (%rbx + %rcx)*

$\text{Imm}(r_b, r_i)$  is equivalent to address  $\text{Imm} + R[r_b] + R[r_i]$

**Displacement:** positive or negative constant

**Base:** register

**Index**

# Practice #2: Operand Forms

What are the results of the following move instructions (executed separately)?

1. `mov $0x42, (%rax)`

0x108

%rax

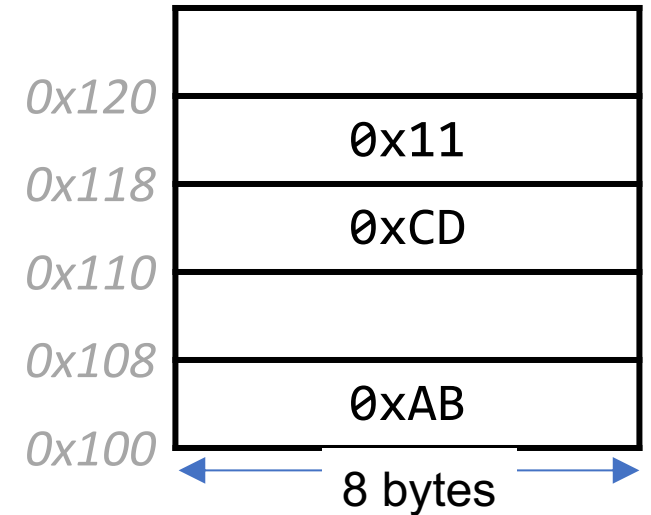
2. `mov -8(%rax), %rbx`

%rbx

3. `mov 9(%rax,%rcx), %rbx`

0x7

%rcx



$\text{Imm}(r_b, r_i)$  is equivalent to address  
 $\text{Imm} + R[r_b] + R[r_i]$   
Displacement      Base      Index



# Operand forms: Indirect (2/2)

**mov** (, %rax, 4), %rbx

*Load value at address  
(4 times %rax) and store in  
register %rbx.*

**mov** \$0x0, 0x10(%rbx, %rax, 2)

*Store the constant 0 at  
address (0x10 plus  
%rbx + (2 times %rax))*

$\text{Imm}(r_b, r_i, s)$  is equivalent to  
address  $\text{Imm} + R[r_b] + R[r_i] * s$

**Displacement:** pos/neg  
constant (if missing, = 0)

**Index**

**Base:** register (if missing, = 0)

**Scale** must be 1, 2, 4, or 8  
(if missing, = 1)

# Practice #3: Operand Forms

What are the results of the following move instructions (executed separately)?

1. `mov $0x42,0xfc(,%rbx,4)`

2. `mov (%rax,%rcx,4),%rdx`

$\text{Imm}(r_b, r_i, s)$  is equivalent to  
address  $\text{Imm} + R[r_b] + R[r_i] * s$   
Displacement      Base      Index      Scale  
(1,2,4,8)

0x108

%rax

0x1

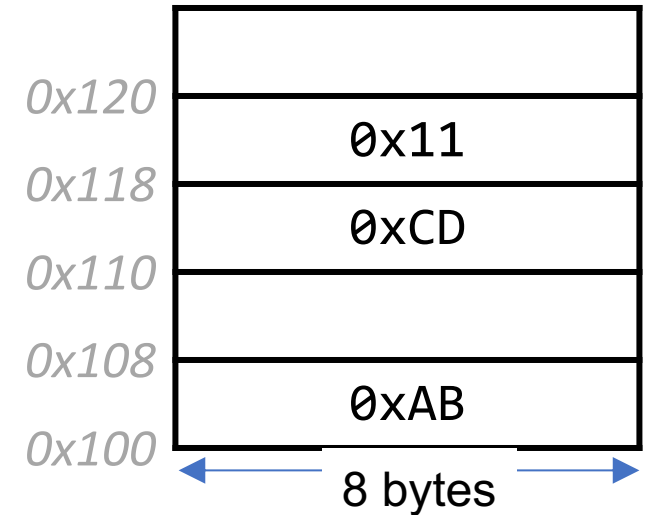
%rbx

0x2

%rcx

-1

%rdx



# Most General Operand Form

**$\text{Imm}(r_b, r_i, s)$**

*is equivalent to...*

**$\text{Imm} + R[r_b] + R[r_i]*s$**

# Operand Forms

Type	Form	Operand Value	Name
Immediate	$\$Imm$	$Imm$	Immediate
Register	$r_a$	$R[r_a]$	Register
Memory	$Imm$	$M[Imm]$	Absolute
Memory	$(r_a)$	$M[R[r_a]]$	Indirect
Memory	$Imm(r_b)$	$M[Imm + R[r_b]]$	Base + displacement
Memory	$(r_b, r_i)$	$M[R[r_b] + R[r_i]]$	Indexed
Memory	$Imm(r_b, r_i)$	$M[Imm + R[r_b] + R[r_i]]$	Indexed
Memory	$(, r_i, s)$	$M[R[r_i] \cdot s]$	Scaled indexed
Memory	$Imm(, r_i, s)$	$M[Imm + R[r_i] \cdot s]$	Scaled indexed
Memory	$(r_b, r_i, s)$	$M[R[r_b] + R[r_i] \cdot s]$	Scaled indexed
Memory	$Imm(r_b, r_i, s)$	$M[Imm + R[r_b] + R[r_i] \cdot s]$	Scaled indexed

**Figure 3.3 from the book: “Operand forms.** Operands can denote immediate (constant) values, register values, or values from memory. The scaling factor  $s$  must be either. 1, 2, 4, or 8.”

# Goals of indirect addressing: C

Why are there so many forms of indirect addressing?

We see these indirect addressing paradigms in C as well!

# Goals of indirect addressing: C

```
1 long exchange(long *xp, long y) {  
2     long x = *xp;  
3     *xp = y;  
4     return y;  
5 }  
6 void last_element(long *arr, int nelems) {  
7     long z = arr[nelems - 1];  
8 }
```

$\text{Imm}(r_b, r_i, s)$  is equivalent to  
address  $\text{Imm} + R[r_b] + R[r_i] * s$

Displacement	Base	Index	Scale
			(1,2,4,8)

Try your intuition: How do you think each of the C assignments *might* map to mov instructions? (many right answers!)



# Our First Assembly

```
int sum_array(int arr[], int nelems) {  
    int sum = 0;  
    for (int i = 0; i < nelems; i++) {  
        sum += arr[i];  
    }  
    return sum;  
}
```

We're 1/4<sup>th</sup> of the way to understanding assembly!  
**What looks understandable right now?**

Some notes:

- Registers store addresses and values
- `mov src, dst` ***copies*** value into `dst`
- `sizeof(int)` is 4
- Instructions executed sequentially

00000000004005b6 <sum\_array>:

```
4005b6:  ba 00 00 00 00  
4005bb:  b8 00 00 00 00  
4005c0:  eb 09  
4005c2:  48 63 ca  
4005c5:  03 04 8f  
4005c8:  83 c2 01
```

We'll come back to this  
example in a week!

```
mov    $0x0,%edx  
mov    $0x0,%eax  
jmp     4005cb <sum_array+0x15>  
movslq %edx,%rcx  
add     (%rdi,%rcx,4),%eax  
add     $0x1,%edx  
cmp     %esi,%edx  
jl      4005c2 <sum_array+0xc>  
repz   retq
```

