



CS107, Lecture 23

Optimization

Reading: B&O 5

[Ed Discussion](#)

Lecture Plan

- What is optimization?
- GCC Optimization
- Limitations of GCC Optimization

```
cp -r /afs/ir/class/cs107/lecture-code/lect23 .
```

Optimization

- Optimization is the task of making your program faster or more efficient with space or time. You've seen explorations of efficiency with Big-O notation!
- *Targeted, intentional* optimizations to alleviate bottlenecks can result in big gains. But it's important to only work to optimize where necessary.

Optimization

Most of what you need to do with optimization can be summarized by:

- 1) If you're doing something infrequently, and only on small inputs, do whatever is simplest to code, understand, read, and debug.
- 2) If you're doing something very often, and/or on big inputs, make the primary algorithm's Big-O cost reasonable.
- 3) **Let gcc do its magic from there.**
- 4) Optimize explicitly as a last resort.

GCC Optimization

- Today, we'll be comparing two levels of optimization in the gcc compiler:
 - `gcc -O0` // mostly just literal translation of C
 - `gcc -O2` // enable nearly all reasonable optimizations
 - (we also use `-Og`, which is like `-O0`, but more debugging friendly)
- There are other custom and more aggressive levels of optimization, e.g.:
 - `-O3` // more aggressive than `O2`, trade size for speed
 - `-Os` // optimize for size
 - `-Ofast` // disregard standards compliance (!!)
- Optimizations may target one or more of:
 - static instruction count
 - dynamic instruction count, which translates to cycle count and execution time
- Exhaustive list of optimization-drive gcc flags is [right here](#).

Example: Matrix Multiplication

Here's a standard matrix multiply, a triply-nested for loop:

```
void mmm(double a[][DIM], double b[][DIM], double c[][DIM], int n) {
    for (size_t i = 0; i < n; i++) {
        for (size_t j = 0; j < n; j++) {
            for (size_t k = 0; k < n; k++) {
                c[i][j] += a[i][k] * b[k][j];
            }
        }
    }
}
```

```
./mult // -O0 (no optimization)
matrix multiply 25^2: cycles 1.32M
matrix multiply 50^2: cycles 10.61M
matrix multiply 100^2: cycles 18.09M
```

```
./mult_opt // -O2 (with optimization)
matrix multiply 25^2: cycles 0.19M (opt)
matrix multiply 50^2: cycles 2.04M (opt)
matrix multiply 100^2: cycles 13.92M (opt)
```

GCC Optimizations

- Constant Folding
- Common Sub-expression Elimination
- Dead Code
- Strength Reduction
- Code Motion
- Tail Recursion
- Loop Unrolling

Constant Folding

Constant Folding pre-calculates constants at compile-time where possible.

```
int seconds = 60 * 60 * 24 * n_days;
```

Constant Folding

```
int fold(int param) {  
    char arr[5];  
    int a = 0x107;  
    int b = a * sizeof(arr);  
    int c = sqrt(2.0);  
    return a * param + (a + 0x15 / c + strlen("Hello") * b - 0x37) / 4;  
}
```

Constant Folding: Before (-00)

```
0000000000011b9 <fold>:
11b9: 55                push   %rbp
11ba: 48 89 e5          mov    %rsp,%rbp
11bd: 41 54            push  %r12
11bf: 53              push  %rbx
11c0: 48 83 ec 30      sub   $0x30,%rsp
11c4: 89 7d cc         mov   %edi,-0x34(%rbp)
11c7: c7 45 ec 07 01 00 00  movl  $0x107,-0x14(%rbp)
11ce: 8b 45 ec         mov   -0x14(%rbp),%eax
11d1: 48 98           cltq
11d3: 89 c2           mov   %eax,%edx
11d5: 89 d0           mov   %edx,%eax
11d7: c1 e0 02        shl   $0x2,%eax
11da: 01 d0           add   %edx,%eax
11dc: 89 45 e8        mov   %eax,-0x18(%rbp)
11df: 48 8b 05 2a 0e 00 00  mov   0xe2a(%rip),%rax      # 2010 <_IO_stdin_used+0x10>
11e6: 66 48 0f 6e c0   movq  %rax,%xmm0
11eb: e8 b0 fe ff ff   callq 10a0 <sqrt@plt>
11f0: f2 0f 2c c0     cvttsd2si %xmm0,%eax
11f4: 89 45 e4        mov   %eax,-0x1c(%rbp)
11f7: 8b 45 ec        mov   -0x14(%rbp),%eax
11fa: 0f af 45 cc     imul  -0x34(%rbp),%eax
11fe: 41 89 c4        mov   %eax,%r12d
1201: b8 15 00 00 00  mov   $0x15,%eax
1206: 99             cltd
1207: f7 7d e4        idivl -0x1c(%rbp)
120a: 89 c2           mov   %eax,%edx
120c: 8b 45 ec        mov   -0x14(%rbp),%eax
120f: 01 d0           add   %edx,%eax
1211: 48 63 d8        movslq %eax,%rbx
1214: 48 8d 3d ed 0d 00 00  lea  0xded(%rip),%rdi      # 2008 <_IO_stdin_used+0x8>
121b: e8 20 fe ff ff   callq 1040 <strlen@plt>
1220: 8b 55 e8        mov   -0x18(%rbp),%edx
1223: 48 63 d2        movslq %edx,%rdx
1226: 48 0f af c2     imul  %rdx,%rax
122a: 48 01 d8        add   %rbx,%rax
122d: 48 83 e8 37     sub   $0x37,%rax
1231: 48 c1 e8 02     shr   $0x2,%rax
1235: 44 01 e0        add   %r12d,%eax
1238: 48 83 c4 30     add   $0x30,%rsp
123c: 5b             pop   %rbx
123d: 41 5c         pop   %r12
123f: 5d           pop   %rbp
1240: c3           retq
```

Constant Folding: After (-O2)

```
00000000000011b0 <fold>:  
 11b0: 69 c7 07 01 00 00    imul  $0x107,%edi,%eax  
 11b6: 05 a5 06 00 00    add   $0x6a5,%eax  
 11bb: c3                retq
```

What is the consequence of this for you as a programmer? What should you do differently or the same knowing that compilers can do this for you?

Constant Folding: Less Contrived

```
unsigned int cf(unsigned long val) {  
    unsigned long ones = ~0U / UCHAR_MAX;  
    unsigned long highs = ones << (CHAR_BIT - 1);  
    return (val - ones) & highs;  
}
```

```
// compiled with -O0  
push %rbp  
mov %rsp,%rbp  
mov %rdi,-0x18(%rbp)  
movq $0x1010101,-0x8(%rbp)  
mov -0x8(%rbp),%rax  
shl $0x7,%rax  
mov %rax,-0x10(%rbp)  
mov -0x18(%rbp),%rax  
mov %eax,%edx  
mov -0x8(%rbp),%rax  
sub %eax,%edx  
mov -0x10(%rbp),%rax  
and %edx,%eax  
pop %rbp  
retq
```

The compiler doesn't need to emit assembly for work that can be managed at CT. Here, it **folds** all constants into two instructions.

```
// compiled with -O2  
lea -0x1010101(%rdi),%eax  
and $0x80808080,%eax  
retq
```

Common Sub-Expression Elimination

Common Sub-Expression Elimination prevents the recalculation of the same thing many times by doing it once and saving the result.

```
int a = (param2 + 0x107);  
int b = param1 * (param2 + 0x107) + a;  
return a * (param2 + 0x107) + b * (param2 + 0x107);
```

Common Sub-Expression Elimination

Common Sub-Expression Elimination prevents the recalculation of the same thing many times by doing it once and saving the result.

```
int a = (param2 + 0x107);
int b = param1 * (param2 + 0x107) + a;
return a * (param2 + 0x107) + b * (param2 + 0x107);
// = 2 * a * a + param1 * a * a
```

```
00000000000011b0 <subexp>: // param1 in %edi, param2 in %esi
11b0: lea    0x107(%rsi),%eax    // %eax stores a
11b6: imul  %eax,%edi          // param1 * a
11b9: lea    (%rdi,%rax,2),%esi  // 2 * a + param1 * a
11bc: imul  %esi,%eax          // a * (2 * a + param1 * a)
11bf: retq
```

Common Sub-Expression Elimination

Why should we bother saving repeated calculations in variables if the compiler has common subexpression elimination?

- The compiler may not always be able to optimize every instance. Plus, it can help reduce redundancy!
- Makes code more readable!

Dead Code

Dead code elimination removes code that doesn't serve a purpose:

```
if (param1 < param2 && param1 > param2) {  
    printf("This test can never be true!\n");  
}
```

```
for (int i = 0; i < 1000; i++); // Empty for loop
```

```
// If/else that does the same operation in both cases
```

```
if (param1 == param2) {  
    param1++;  
} else {  
    param1++;  
}
```

```
// If/else that more obliquely does the same thing in both cases
```

```
if (param1 == 0) {  
    return 0;  
} else {  
    return param1;  
}
```

Dead Code: Before (-00)

```
000000000011a9 <dead_code>:
11a9: 55                push   %rbp
11aa: 48 89 e5          mov    %rsp,%rbp
11ad: 48 83 ec 20       sub   $0x20,%rsp
11b1: 89 7d ec          mov   %edi,-0x14(%rbp)
11b4: 89 75 e8          mov   %esi,-0x18(%rbp)
11b7: 8b 45 ec          mov   -0x14(%rbp),%eax
11ba: 3b 45 e8          cmp   -0x18(%rbp),%eax
11bd: 7d 19            jge   11d8 <dead_code+0x2f>
11bf: 8b 45 ec          mov   -0x14(%rbp),%eax
11c2: 3b 45 e8          cmp   -0x18(%rbp),%eax
11c5: 7e 11            jle   11d8 <dead_code+0x2f>
11c7: 48 8d 3d 36 0e 00 00 lea   0xe36(%rip),%rdi      # 2004 <_IO_stdin_used+0x4>
11ce: b8 00 00 00 00   mov   $0x0,%eax
11d3: e8 68 fe ff ff   callq 1040 <printf@plt>
11d8: c7 45 fc 00 00 00 00 movl  $0x0,-0x4(%rbp)
11df: eb 04            jmp   11e5 <dead_code+0x3c>
11e1: 83 45 fc 01      addl  $0x1,-0x4(%rbp)
11e5: 81 7d fc e7 03 00 00 cmpl  $0x3e7,-0x4(%rbp)
11ec: 7e f3            jle   11e1 <dead_code+0x38>
11ee: 8b 45 ec          mov   -0x14(%rbp),%eax
11f1: 3b 45 e8          cmp   -0x18(%rbp),%eax
11f4: 75 06            jne   11fc <dead_code+0x53>
11f6: 83 45 ec 01      addl  $0x1,-0x14(%rbp)
11fa: eb 04            jmp   1200 <dead_code+0x57>
11fc: 83 45 ec 01      addl  $0x1,-0x14(%rbp)
1200: 83 7d ec 00      cmpl  $0x0,-0x14(%rbp)
1204: 75 07            jne   120d <dead_code+0x64>
1206: b8 00 00 00 00   mov   $0x0,%eax
120b: eb 03            jmp   1210 <dead_code+0x67>
120d: 8b 45 ec          mov   -0x14(%rbp),%eax
1210: c9              leaveq
1211: c3              retq
```

Dead Code: After (-02)

00000000000011b0 <dead_code>:

11b0: 8d 47 01

11b3: c3

lea 0x1(%rdi),%eax

retq

Strength Reduction

Strength reduction changes divide to multiply, multiply to add/shift, and mod to AND to avoid using instructions that cost many cycles (multiply and divide).

```
int a = param2 * 32;
```

```
int b = a * 7;
```

```
int c = b / 3;
```

```
int d = param2 % 2;
```

```
for (int i = 0; i <= param2; i++) {
```

```
    c += param1[i] + 0x107 * i;
```

```
}
```

```
return c + d;
```

Code Motion

Code motion moves code outside of a loop if possible.

```
for (int i = 0; i < n; i++) {  
    sum += arr[i] + foo * (bar + 3);  
}
```

Common subexpression elimination deals with expressions that appear multiple times in the code. Here, the expression appears once, but is calculated each loop iteration.

Tail Recursion

Tail recursion is an example of where GCC can identify recursive patterns that can be more efficiently implemented iteratively.

```
long factorial(int n) {  
    if (n <= 1) {  
        return 1;  
    }  
    else return n * factorial(n - 1);  
}
```

Tail recursion example: Lab6 bonus

Recall the factorial problem from an earlier lecture:

```
unsigned int factorial(unsigned int n) {  
    if (n <= 1) {  
        return 1;  
    }  
    return n * factorial(n - 1);  
}
```

What happens with **factorial(-1)**?

- Infinite recursion → Literal stack overflow!
- Compiled with -Og!

Factorial: -0g vs -02

```
401146 <+0>: cmp    $0x1,%edi
401149 <+3>: jbe    0x40115b <factorial+21>
40114b <+5>: push   %rbx
40114c <+6>: mov    %edi,%ebx
40114e <+8>: lea   -0x1(%rdi),%edi
401151 <+11>: callq  0x401146 <factorial>
401156 <+16>: imul  %ebx,%eax
401159 <+19>: pop    %rbx
40115a <+20>: retq
40115b <+21>: mov    $0x1,%eax
401160 <+26>: retq
```



-02:

- What happened?
- Did the compiler “fix” the infinite recursion?

```
4011e0 <+0>: mov    $0x1,%eax
4011e5 <+5>: cmp    $0x1,%edi
4011e8 <+8>: jbe    0x4011fd <factorial+29>
4011ea <+10>: nopw  0x0(%rax,%rax,1)
4011f0 <+16>: mov    %edi,%edx
4011f2 <+18>: sub    $0x1,%edi
4011f5 <+21>: imul  %edx,%eax
4011f8 <+24>: cmp    $0x1,%edi
4011fb <+27>: jne    0x4011f0 <factorial+16>
4011fd <+29>: retq
```

Loop Unrolling

Loop Unrolling: Do n loop iterations' worth of work per actual loop iteration, so we save ourselves from doing the loop overhead (test and jump) every time and instead incur overhead only every n -th time.

```
for (int i = 0; i <= n - 4; i += 4) {  
    sum += arr[i];  
    sum += arr[i + 1];  
    sum += arr[i + 2];  
    sum += arr[i + 3];  
} // after the loop handle any leftovers
```

Limitations of GCC Optimization

GCC can't optimize everything! You ultimately may know more than GCC does.

```
int char_sum(char *s) {
    int sum = 0;
    for (size_t i = 0; i < strlen(s); i++) {
        sum += s[i];
    }
    return sum;
}
```

What is the bottleneck? **strlen called for every character**
What can GCC do? **code motion – pull strlen out of loop**

Limitations of GCC Optimization

GCC can't optimize everything! You ultimately may know more than GCC does.

```
void lower1(char *s) {
    for (size_t i = 0; i < strlen(s); i++) {
        if (s[i] >= 'A' && s[i] <= 'Z') {
            s[i] -= ('A' - 'a');
        }
    }
}
```

What is the bottleneck?

strlen called for every character

What can GCC do?

nothing! s is changing, so gcc doesn't know if length is constant across iterations. We, however, do!

Callgrind

- callgrind is another tool in the valgrind suite of tools
- callgrind is a **profiler** that measures instruction counts – another way to measure efficiency
- can measure the number of instructions executed in a given run of our program, and where they came from
- useful for optimizing – we can see where large #s of instruction executions come from

Demo: limitations.c and callgrind



Why not always optimize?

Why not always just compile with -O2?

- Difficult to debug optimized executables – only optimize when complete
- Optimizations may not *always* improve your program. The compiler does its best, but may not work, or slow things down, etc. Experiment to see what works out!