



CS107, Lecture 24

Optimization

Reading: B&O 5

[Ed Discussion](#)

Optimization

- Optimization is the task of making your program more efficient in **space** and **time**. You've studied Big-O notation in prerequisite courses, so you know something about efficiency already!
- **Targeted** and **intentional** optimizations designed to alleviate true bottlenecks can result in huge speed and memory gains.
 - But it's important to prioritize optimizations that really make an impact.

Optimization

Most of what you need to do with optimization can be summarized this way:

- 1) If you're doing something infrequently, and only on small inputs, do whatever is simplest to code, understand, read, and debug.
- 2) If you're doing something often or on big inputs, make the primary algorithm's Big-O cost reasonably low.
- 3) Let **gcc** work its magic from there.
- 4) Optimize explicitly as a last resort.

GCC Optimization

- Today, we'll be comparing two levels of optimization in the `gcc` compiler:
 - `gcc -O0` // mostly just literal translation of C
 - `gcc -O2` // enable nearly all reasonable optimizations
 - (we also use `-Og`, which is like `-O0`, but it's more `gdb`-friendly)
- There are other custom and more aggressive levels of optimization, e.g.:
 - `-O3` // more aggressive than `O2`, trade size for speed
 - `-Os` // optimize for code size
 - `-Ofast` // disregard standards compliance (!!)
- Optimizations may target one or more of:
 - static instruction count
 - dynamic instruction count, which translates to cycle count and execution time
- Exhaustive list of optimization-drive gcc flags is [right here](#).

Example: Matrix Multiplication

Here's your standard matrix multiply, a triply-nested for loop:

```
void mmm(double a[][DIM], double b[][DIM], double c[][DIM], size_t n) {  
    for (size_t i = 0; i < n; i++) {  
        for (size_t j = 0; j < n; j++) {  
            for (size_t k = 0; k < n; k++) {  
                c[i][j] += a[i][k] * b[k][j];  
            }  
        }  
    }  
}
```

```
./mult // -O0 (no optimization)  
matrix multiply 25^2: cycles 1.32M  
matrix multiply 50^2: cycles 10.61M  
matrix multiply 100^2: cycles 18.09M
```

```
./mult_opt // -O2 (with optimization)  
matrix multiply 25^2: cycles 0.19M (opt)  
matrix multiply 50^2: cycles 2.04M (opt)  
matrix multiply 100^2: cycles 13.92M (opt)
```

GCC Optimizations

- Constant Folding
- Common Sub-expression Elimination
- Dead Code
- Strength Reduction
- Code Motion
- Tail Recursion
- Loop Unrolling

Constant Folding

Constant Folding pre-calculates constants at compile time whenever possible.

```
int seconds = 60 * 60 * 24 * n_days;
```

```
int fold(int param) {  
    char arr[5];  
    int a = 0x107;  
    int b = a * sizeof(arr);  
    int c = sqrt(2.0);  
    return a * param + (a + 0x15 / c + strlen("Hello") * b - 0x37) / 4;  
}
```

Constant Folding: Before (-00)

```

00000000000011b9 <fold>:
11b9: 55                push    %rbp
11ba: 48 89 e5          mov     %rsp,%rbp
11bd: 41 54             push    %r12
11bf: 53               push    %rbx
11c0: 48 83 ec 30       sub     $0x30,%rsp
11c4: 89 7d cc          mov     %edi,-0x34(%rbp)
11c7: c7 45 ec 07 01 00 00 movl    $0x107,-0x14(%rbp)
11ce: 8b 45 ec          mov     -0x14(%rbp),%eax
11d1: 48 98             cltq
11d3: 89 c2             mov     %eax,%edx
11d5: 89 d0             mov     %edx,%eax
11d7: c1 e0 02          shl     $0x2,%eax
11da: 01 d0             add     %edx,%eax
11dc: 89 45 e8          mov     %eax,-0x18(%rbp)
11df: 48 8b 05 2a 0e 00 00 mov     0xe2a(%rip),%rax
11e6: 66 48 0f 6e c0     movq    %rax,%xmm0
11eb: e8 b0 fe ff ff     call    10a0 <sqrt@plt>
11f0: f2 0f 2c c0       cvtsd2si %xmm0,%eax
11f4: 89 45 e4          mov     %eax,-0x1c(%rbp)
11f7: 8b 45 ec          mov     -0x14(%rbp),%eax
11fa: 0f af 45 cc       imul    -0x34(%rbp),%eax
11fe: 41 89 c4          mov     %eax,%r12d
1201: b8 15 00 00 00     mov     $0x15,%eax
1206: 99               cltd
1207: f7 7d e4          idivl   -0x1c(%rbp)
120a: 89 c2             mov     %eax,%edx
120c: 8b 45 ec          mov     -0x14(%rbp),%eax
120f: 01 d0             add     %edx,%eax
1211: 48 63 d8          movslq  %eax,%rbx
1214: 48 8d 05 ed 0d 00 00 lea     0xded(%rip),%rax
121b: 48 89 c7          mov     %rax,%rdi
121e: e8 1d fe ff ff     call    1040 <strlen@plt>
1223: 8b 55 e8          mov     -0x18(%rbp),%edx
1226: 48 63 d2          movslq  %edx,%rdx
1229: 48 0f af c2       imul    %rdx,%rax
122d: 48 01 d8          add     %rbx,%rax
1230: 48 83 e8 37       sub     $0x37,%rax
1234: 48 c1 e8 02       shr     $0x2,%rax
1238: 44 01 e0          add     %r12d,%eax
123b: 48 83 c4 30       add     $0x30,%rsp
123f: 5b               pop     %rbx
1240: 41 5c             pop     %r12
1242: 5d               pop     %rbp
1243: c3               ret
# 2010 <_IO_stdin_used+0x10>
# 2008 <_IO_stdin_used+0x8>

```


Constant Folding: After (-O2)

```
00000000000011b0 <fold>:
11b0:  69 c7 07 01 00 00    imul  $0x107,%edi,%eax
11b6:  05 a5 06 00 00    add   $0x6a5,%eax
11bb:  c3                retq
```

What is the consequence of this for you as a programmer? What should you do differently or the same knowing that compilers can do this for you?

Constant Folding: Less Contrived

```
unsigned int cf(unsigned long val) {  
    unsigned long ones = ~0U / UCHAR_MAX;  
    unsigned long highs = ones << (CHAR_BIT - 1);  
    return (val - ones) & highs;  
}
```

```
// compiled with -O0  
push %rbp  
mov %rsp,%rbp  
mov %rdi,-0x18(%rbp)  
movq $0x1010101,-0x8(%rbp)  
mov -0x8(%rbp),%rax  
shl $0x7,%rax  
mov %rax,-0x10(%rbp)  
mov -0x18(%rbp),%rax  
mov %eax,%edx  
mov -0x8(%rbp),%rax  
sub %eax,%edx  
mov -0x10(%rbp),%rax  
and %edx,%eax  
pop %rbp  
retq
```

The compiler doesn't need to emit assembly for work that can be managed at CT. Here, it **folds** all constants into two instructions.

```
// compiled with -O2  
lea -0x1010101(%rdi),%eax  
and $0x80808080,%eax  
retq
```

Common Sub-Expression Elimination

Common Sub-Expression Elimination prevents the recalculation of the same thing many times by doing it once and saving the result.

```
int a = (param2 + 0x107);  
int b = param1 * (param2 + 0x107) + a;  
return a * (param2 + 0x107) + b * (param2 + 0x107);
```

Common Sub-Expression Elimination

Common Sub-Expression Elimination prevents the recalculation of the same thing many times by doing it once and saving the result.

```
int a = (param2 + 0x107);  
int b = param1 * (param2 + 0x107) + a;  
return a * (param2 + 0x107) + b * (param2 + 0x107);  
// = 2 * a * a + param1 * a * a
```

```
000000000000011b0 <subexp>: // param1 in %edi, param2 in %esi  
11b0: lea 0x107(%rsi),%eax // %eax stores a  
11b6: imul %eax,%edi // param1 * a  
11b9: lea (%rdi,%rax,2),%esi // 2 * a + param1 * a  
11bc: imul %esi,%eax // a * (2 * a + param1 * a)  
11bf: retq
```

Common Sub-Expression Elimination

Why should we bother saving repeated calculations in variables if the compiler has common subexpression elimination?

- The compiler may not always be able to optimize in every instance. Plus, it can minimize code replication.
- Makes code more readable.

Dead Code Elimination

Dead code elimination removes code that doesn't serve a purpose:

```
if (param1 < param2 && param1 > param2) {  
    printf("This test can never be true!\n");  
}  
  
for (int i = 0; i < 1000; i++); // Empty for loop  
  
// If/else that does the same operation in both cases  
if (param1 == param2) {  
    param1++;  
} else {  
    param1++;  
}  
  
// If/else that more obliquely does the same thing in both cases  
if (param1 == 0) {  
    return 0;  
} else {  
    return param1;  
}
```

Dead Code: Before (-00)

00000000000011a9 <dead_code>:

```
11a9: 55
11aa: 48 89 e5
11ad: 48 83 ec 20
11b1: 89 7d ec
11b4: 89 75 e8
11b7: 8b 45 ec
11ba: 3b 45 e8
11bd: 7d 1c
11bf: 8b 45 ec
11c2: 3b 45 e8
11c5: 7e 14
11c7: 48 8d 05 36 0e 00 00
11ce: 48 89 c7
11d1: b8 00 00 00 00
11d6: e8 65 fe ff ff
11db: c7 45 fc 00 00 00 00
11e2: eb 04
11e4: 83 45 fc 01
11e8: 81 7d fc e7 03 00 00
11ef: 7e f3
11f1: 8b 45 ec
11f4: 3b 45 e8
11f7: 75 06
11f9: 83 45 ec 01
11fd: eb 04
11ff: 83 45 ec 01
1203: 83 7d ec 00
1207: 75 07
1209: b8 00 00 00 00
120e: eb 03
1210: 8b 45 ec
1213: c9
1214: c3
```

```
push    %rbp
mov     %rsp,%rbp
sub     $0x20,%rsp
mov     %edi,-0x14(%rbp)
mov     %esi,-0x18(%rbp)
mov     -0x14(%rbp),%eax
cmp     -0x18(%rbp),%eax
jge     11db <dead_code+0x32>
mov     -0x14(%rbp),%eax
cmp     -0x18(%rbp),%eax
jle     11db <dead_code+0x32>
lea     0xe36(%rip),%rax
mov     %rax,%rdi
mov     $0x0,%eax
call    1040 <printf@plt>
movl    $0x0,-0x4(%rbp)
jmp     11e8 <dead_code+0x3f>
addl    $0x1,-0x4(%rbp)
cmpl    $0x3e7,-0x4(%rbp)
jle     11e4 <dead_code+0x3b>
mov     -0x14(%rbp),%eax
cmp     -0x18(%rbp),%eax
jne     11ff <dead_code+0x56>
addl    $0x1,-0x14(%rbp)
jmp     1203 <dead_code+0x5a>
addl    $0x1,-0x14(%rbp)
cmpl    $0x0,-0x14(%rbp)
jne     1210 <dead_code+0x67>
mov     $0x0,%eax
jmp     1213 <dead_code+0x6a>
mov     -0x14(%rbp),%eax
leave
ret
```

Dead Code: After (-02)

```
00000000000011b0 <dead_code>:  
    11b0:    8d 47 01          lea    0x1(%rdi),%eax  
    11b3:    c3                retq
```


Strength Reduction

Strength reduction changes divide to multiply, multiply to add/shift, and mod to and to avoid more expensive instructions, like multiply and divide.

```
int a = param2 * 32;  
int b = a * 7;  
int c = b / 3;  
int d = param2 % 2;  
  
for (int i = 0; i <= param2; i++) {  
    c += param1[i] + 0x107 * i;  
}  
return c + d;
```

Code Motion

Code motion moves code outside of a loop if possible.

```
for (int i = 0; i < n; i++) {  
    sum += arr[i] + foo * (bar + 3);  
}
```

Common subexpression elimination deals with expressions that appear multiple times in the code. Here, the expression appears once but is calculated each loop iteration.

Tail Recursion

Tail recursion is an example of where GCC can identify recursive patterns that can be more efficiently implemented iteratively.

```
unsigned long factorial(unsigned long n) {  
    if (n <= 1) {  
        return 1;  
    }  
    return n * factorial(n - 1);  
}
```

Tail Recursion

Recall the factorial implementation from the code generation lecture:

```
unsigned long factorial(unsigned long n) {  
    if (n <= 1) {  
        return 1;  
    }  
    return n * factorial(n - 1);  
}
```

What happens with **factorial(-1)**?

- Infinite recursion → Literal stack overflow!
- Compiled with `-Og`!

Factorial: -0g vs -02

```
401146 <+0>:  cmp    $0x1,%edi
401149 <+3>:  jbe     0x40115b <factorial+21>
40114b <+5>:  push    %rbx
40114c <+6>:  mov     %edi,%ebx
40114e <+8>:  lea     -0x1(%rdi),%edi
401151 <+11>:  callq   0x401146 <factorial>
401156 <+16>:  imul    %ebx,%eax
401159 <+19>:  pop     %rbx
40115a <+20>:  retq
40115b <+21>:  mov     $0x1,%eax
401160 <+26>:  retq
```



-02:

- What happened?
- Did the compiler fix the infinite recursion?

```
4011e0 <+0>:  mov     $0x1,%eax
4011e5 <+5>:  cmp     $0x1,%edi
4011e8 <+8>:  jbe     0x4011fd <factorial+29>
4011ea <+10>:  nopw    0x0(%rax,%rax,1)
4011f0 <+16>:  mov     %edi,%edx
4011f2 <+18>:  sub     $0x1,%edi
4011f5 <+21>:  imul    %edx,%eax
4011f8 <+24>:  cmp     $0x1,%edi
4011fb <+27>:  jne     0x4011f0 <factorial+16>
4011fd <+29>:  retq
```

Loop Unrolling

Loop Unrolling: Do n loop iterations' worth of work per actual loop iteration, so we save ourselves from doing the loop overhead (test and jump) every time and instead incur overhead only every n -th time.

```
for (size_t i = 0; i <= n - 4; i += 4) {  
    sum += arr[i];  
    sum += arr[i + 1];  
    sum += arr[i + 2];  
    sum += arr[i + 3];  
} // after the loop handle any leftovers
```

Limitations of GCC Optimization

GCC can't always optimize everything! But you may know more than **gcc** does.

```
size_t char_sum(char *s) {  
    size_t sum = 0;  
    for (size_t i = 0; i < strlen(s); i++) {  
        sum += s[i];  
    }  
    return sum;  
}
```

What is the bottleneck? **strlen called for every character**
What can GCC do? **code motion – pull strlen out of loop**

Limitations of GCC Optimization

GCC can't optimize everything! You ultimately may know more than GCC does.

```
void lower1(char *s) {  
    for (size_t i = 0; i < strlen(s); i++) {  
        if (s[i] >= 'A' && s[i] <= 'Z') {  
            s[i] -= ('A' - 'a');  
        }  
    }  
}
```

What is the bottleneck?

What can GCC do?

strlen called for every character

nothing! s is changing, so gcc doesn't know if length is constant across iterations. We, however, do!

Callgrind

- **callgrind** is another tool in the **valgrind** suite
- **callgrind** is a **profiler** that measures instruction counts – another way to measure efficiency
- can measure the number of instructions executed in each program run and where they came from
- useful for optimizing – we can see where large #s of instruction executions come from

Demo: limitations.c and callgrind



Why not always optimize?

Why not always just compile with `-O2`?

- Difficult to debug optimized executables, so only optimize when complete
- Optimizations may not truly optimize program execution. The compiler does its best, but it may slow things down, etc. Experiment to see what works out.