This is a closed book, closed note, closed electronic device exam, except for one doublesided US-Letter-sized (8.5"x11") page of your own prepared notes which you may refer to during the exam, as well as the provided exam reference sheet. You have 180 minutes to complete all problems. You don't need to **#include** any header files, and you needn't guard against any errors or system call failures unless specifically instructed to do so. For coding questions, the majority of the points are typically focused on the correctness of the code. However, there may be deductions for code that is roundabout/awkward/inefficient when more appropriate alternatives exist. For any coding questions, your answers should compile cleanly and not have any memory leaks or errors. Solutions that violate any specified restrictions may get partial credit. Style is secondary to correctness (e.g., there are no style deductions for using magic numbers). There is 1 point per minute of the exam.

Good luck!

SUNet ID (username):	@stanford.edu
Last Name:	
First Name:	
I accept the letter and spirit of t	he honor code.
[signed]	
Problems:	

1. Multiprocessing Mania	11 points
2. Bridge Crossing	55 points
3. Read-Write Locks	33 points
4. Short Answer	29 points
5. Thread Dispatching and Scheduling	27 points
6. Virtual Memory	25 points

180 points total

#### Problem 1: Multiprocessing Mania [11 points]

Consider the following program - assume that the child process spawned always has pid 111:

```
int main(int argc, char *argv[]) {
    unordered_map<pid_t, int> counters;
    counters[0] = 0;
    cout << counters << endl;</pre>
    pid t pidOrZero = fork();
    counters[pidOrZero] = 4;
    if (pidOrZero == 0) {
        counters[getpid()] = 5;
    }
    cout << counters << endl;</pre>
    while (true) {
        pid_t pid = waitpid(-1, NULL, 0);
        if (pid == -1) break;
        else counters[0]++;
    }
    cout << counters << endl;</pre>
```

A) [5 points] No matter how many times we run the program, the first and last lines printed will always be the same. What are they? (assume it prints in the format {key: value, key2: value2}, eg. {11: 21, 124, 2}).

**B)** [6 points] List all possible orderings for this program's output.

## Problem 2: Bridge Crossing [55 points]

Caltrans has hired you to build a control system for a bridge. Your program must restrict the number of cars on the bridge in order to conform to a weight limit. You must define a C++ class Bridge with a constructor and two methods:

```
Bridge(int limit);
void arrive(int direction, int weight);
void leave(int direction, int weight);
```

The **limit** argument to the constructor indicates the weight limit of the bridge, in pounds. When a car arrives at the bridge it will invoke the **arrive** method, which must not return until it is safe for the car to cross the bridge. When the car finishes crossing the bridge, it will invoke **leave**. Cars can travel in two directions on the bridge; the **direction** argument to **arrive** and **leave** will be 0 or 1 to indicate the car's direction. The **weight** argument to **arrive** and **leave** gives the weight of the car, in pounds.

Your solution must satisfy the following requirements:

- The total weight of cars on the bridge must never exceed the weight limit.
- Each direction should be allowed to use half of the total weight limit. However, if the total weight of cars traveling or waiting to travel in one direction does not consume half of the limit, then the other direction may consume the remainder.
- You do not need to ensure fairness among the cars travelling in a given direction, and there will not be a penalty for unnecessary wakeups.
- You may assume that the total weight limit is at least 2x the weight of the heaviest possible car.
- You must write your solution in C++, using locks and condition variables.
- Use the monitor style for your code, as discussed in class and required for the multithreading assignment.
- Your solution must not use busy-waiting.
- Try to make your solution simple and obvious; we will reduce your score by up to 20% if your solution is overly complicated.

```
using namespace std;
class Bridge {
public:
    Bridge(int weight_limit);
    void arrive(int direction, int weight);
    void leave(int direction, int weight);
private:
    mutex lock_;
    // Add any additional instance variables here
```

};

Bridge::Bridge(int weight\_limit) {
 // your code here

```
void Bridge::arrive(int direction, int weight) {
    // your code here
```

```
void Bridge::leave(int direction, int weight) {
    // your code here
```

## Problem 3: Read-Write Locks [33 points]

The read-write lock (implemented by the **rwlock** class) is a mutex-like class with three public methods:

```
class rwlock {
public:
    rwlock();
    void acquireAsReader();
    void acquireAsWriter();
    void release();

private:
    // object state omitted
};
```

Any number of threads can acquire the lock as a reader without blocking one another. However, if a thread acquires the lock as a <u>writer</u>, then all other **acquireAsReader** and **acquireAsWriter** requests block until the writer releases the lock. Waiting for the write lock will block until all readers release the lock so that the writer is guaranteed exclusive access to the resource being protected.

This is useful if, say, you want some kind of mutable data structure that only very periodically needs to be modified. All reads from the data structure require you to hold the reader lock (so as many threads as you want can read the data structure at once), but any writes require you to hold the writer lock (giving the writing thread exclusive access).

The implementation ensures that as soon as one thread tries to get the writer lock, all other threads trying to acquire the lock—either as a reader or a writer—block until that writer gets the locks and releases it. That means the state of the lock can be one of three things:

- 1. Ready, meaning that no one is trying to get the write lock.
- 2. Pending, meaning that someone is trying to get the write lock but is waiting for all the readers to finish.
- 3. Writing, meaning that someone is writing.

The private fields within **rwlock** are below - it uses two **mutexes** and two **condition\_variable\_anys**:

```
class rwlock {
public:
    rwlock();
    void acquireAsReader();
    void acquireAsWriter();
    void release();
private:
    int numReaders;
    // writeState can be either Ready, Pending or Writing
    enum State { Ready, Pending, Writing };
    State writeState;
    mutex readLock;
    mutex stateLock;
    condition_variable_any readCond;
    condition variable any stateCond;
};
```

Here is the class implementation:

```
rwlock::rwlock() {
    numReaders = 0;
    writeState = Ready;
}
void rwlock::acquireAsReader() {
    unique lock<mutex> uls(stateLock);
    while (writeState != Ready) {
        stateCond.wait(stateLock);
    }
    unique_lock<mutex> ulr(readLock);
    numReaders++;
}
void rwlock::acquireAsWriter() {
    stateLock.lock();
    while (writeState != Ready) {
        stateCond.wait(stateLock);
    }
    writeState = Pending;
    stateLock.unlock();
    unique_lock<mutex> ulr(readLock);
    while (numReaders != 0) {
        readCond.wait(readLock);
    }
```

```
writeState = Writing;
}
void rwlock::release() {
    stateLock.lock();
    if (writeState == Writing) {
        writeState = Ready;
        stateLock.unlock();
        stateCond.notify_all();
        return;
    }
    stateLock.unlock();
    unique_lock<mutex> ulr(readLock);
    numReaders--;
    if (numReaders == 0) readCond.notify_one();
}
```

Very carefully study the implementation of the three methods, and answer the questions that appear on the next few pages. Your answers to each of the following questions should be 50 words or less. Responses longer than 50 words will receive 0 points. You needn't write in complete sentences provided it's clear what you're saying.

A) [7 points] The implementation of acquireAsReader acquires the stateLock (via the unique\_lock) before it does anything else, and it doesn't release the stateLock until the method exits. Why can't the implementation be this instead?

```
void rwlock::acquireAsReader() {
    stateLock.lock();
    while (writeState != Ready) {
        stateCond.wait(stateLock);
    }
    stateLock.unlock();
    unique_lock lgr(readLock);
    numReaders++;
}
```

**B)** [6 points] The implementation of acquireAsWriter acquires the stateLock before it does anything else and it releases the stateLock just before it acquires the readLock. Why can't acquireAsWriter adopt the same approach as acquireAsReader and just hold onto stateLock until the method returns?

**C) [8 points]** Notice that we have a single release method instead of **releaseAsReader** and **releaseAsWriter** methods. How does the implementation know if the thread acquired the **rwlock** as a writer instead of a reader (assuming proper use of the class)?

**D) [12 points]** A thread that owns the lock as a reader might want to upgrade its ownership of the lock to that of a writer without releasing the lock first. Besides the fact that it's a waste of time, what's the advantage of not releasing the read lock before re-acquiring it as a writer, and how could be the implementation of **acquireAsWriter** be updated so it can be called after **acquireAsReader** without an intervening **release** call?

### Problem 4: Short Answer [28 points]

Answer the following short-answer questions below.

A) [5 points] Descriptors can be configured so they are automatically closed when **execvp** is called, and whether or not a descriptor is self-closing on **execvp** boundaries is tracked using just one bit of information. Where is that bit stored? In the descriptor table entry? Or in the open file table entry that's referenced by the descriptor? Briefly defend your answer.

**B)** [6 points] The vfork system call has the same effect as fork, except that the child process created by vfork cannot modify any variables whatsoever, and the child process must either lead to a call to \_exit (a special form of exit) or one of the exec\* functions (e.g. execvp, execlp, execve, etc). vfork can be used in instead of fork to boost the performance of time- and resource-sensitive applications, because it doesn't create a new virtual address space for the child until execvp is called. Explain why the implementation of vfork (as opposed to fork) necessarily suspends the parent process until the child process has either terminated or called one of the exec\* functions.

**C)** [5 **points**] For this part and the next 2 question parts, suppose you are asked to design a new file system that will be used exclusively for storing and playing videos on a new video upload/watching Web site called StanfordTube. Describe the access patterns that you expect to be most common in this file system.

**D**) [6 points] For the same StanfordTube Web site file system discussed in the previous part, other than the maximum file size, how would you expect the design of this file system to differ from the Unix v6 filesystem design discussed in class, and why?

**E) [6 points]** For the same StanfordTube Web site file system discussed in the previous part, the project team comes to you with the desire to build a logging mechanism into their system for crash recovery. They let you know that they have ample space for log storage, and want to prioritize minimizing data loss as much as possible. Provide suggestions for what their crash recovery mechanism could look like to work within these constraints / priorities.

# Problem 5: Thread Dispatching and Scheduling [28 points]

Answer the following questions below.

**A) [7 points]** For each of the following thread state transitions, give a brief example scenario that would cause that transition, or briefly state why that transition is not possible:

- 1. Running to Ready
- 2. Ready to Blocked
- 3. Running to Blocked
- 4. Blocked to Running

**B)** [7 **points**] When a page fault occurs, it turns out that the thread triggering that page fault becomes blocked until the page fault is resolved - and the disk operations happen in the background, rather than synchronously as on the virtual memory assignment. This is just like a thread triggering any other I/O operation, such as a thread wanting to read something from disk. When that happens (including when a page fault happens), the triggering thread becomes blocked, the requested disk operation(s) happen in the background, and other threads can get a chance to run. With this in mind, a friend asserts that if a system has lots of runnable threads, it can use them

to hide the cost of page faults. Explain whether your friend is right, wrong, or both.

**C) [4 points]** Your friend suggests a performance improvement for the clock algorithm. Rather than skipping over pages whose reference bit is set, your friend suggests just evicting the first page the algorithm encounters. Is this a good idea or a bad idea, and why?

**D**) **[10 points]** In lecture, we saw the pseudocode for a lock implementation that guaranteed a FIFO ordering (meaning that the thread waiting the longest for the lock would be the next one to get it). The pseudocode was presented as follows:

```
void Lock::lock() {
    IntrGuard guard;
    if (!locked) {
        locked = 1;
    } else {
        q.add(currentThread);
        blockThread();
    }
}
void Lock::unlock() {
    IntrGuard guard;
    if (q.empty()) {
        locked = 0;
    } else {
        unblockThread(q.remove());
    }
}
```

Could we have instead implemented FIFO locks as follows? Why or why not?

```
void Lock::lock() {
    IntrGuard guard;
    if (!locked) {
        locked = 1;
    } else {
        q.add(currentThread);
        blockThread();
        locked = 1; // NEW
    }
}
void Lock::unlock() {
    IntrGuard guard;
    if (q.empty()) {
        locked = 0;
    } else {
        unblockThread(q.remove());
        locked = 0; // NEW
    }
```

## Problem 6: Virtual Memory [25 points]

Answer the following questions below.

**A) [3 points]** True or false (explain your answer briefly): virtual addresses must be same size as physical addresses.

**B) [3 points]** True or false (explain your answer briefly): page offsets in virtual addresses must be the same size as page offsets in physical addresses.

**C) [4 points]** One possible design modification to the clock algorithm you implemented on the virtual memory assignment is, if the clock hand encounters a page that that is eligible to be kicked out, but it is marked as dirty, the clock algorithm doesn't kick out the page, but instead clears the dirty bit and starts writing the page to disk. Explain the benefit of this design change.

**D**) [6 points] What does it mean for the system behavior as a whole if the clock hand for the clock algorithm is sweeping very slowly over time? What does it mean if the clock hand is sweeping very quickly?

E) [4 points] Give one benefit and one drawback of increasing the size of a memory page.

**F)** [5 points] One optimization implemented in paging systems is to use a cache to store frequentlyaccessed virtual to physical translations. In other words, when we must translate a virtual address, first we look in our cache to see if the translation mapping we need is there. If it is, we immediately use the cached physical address. If it's not, we go to the page table and perform the translation, and add it to our cache (potentially kicking out an older entry). There is one of these caches (called a "Translation Lookaside Buffer", or TLB) for the entire system. Explain why adding a TLB necessitates additional work when context-switching to a new process.