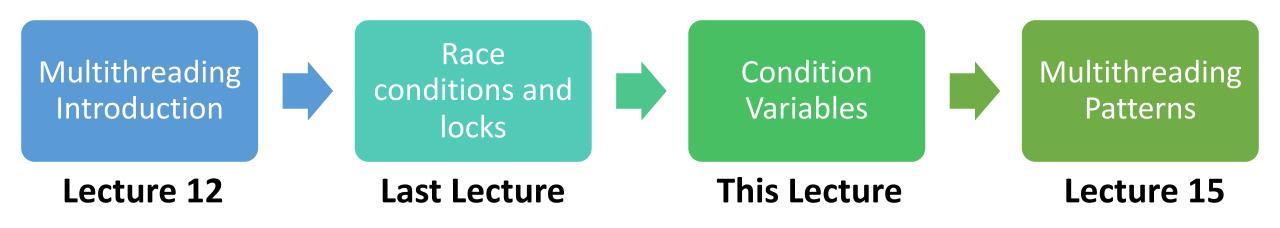
CS111, Lecture 14 Condition Variables

Optional reading:

Operating Systems: Principles and Practice (2nd Edition): Sections 5.2-5.4 and Section 6.5

CS111 Topic 3: Multithreading, Part 1

<u>Topic 3: Multithreading</u> - How can we have concurrency within a single process? How does the operating system support this?



assign4: implement several multithreaded programs while eliminating race conditions!

Learning Goals

- Learn about ways to add constraints to our programs to prevent deadlock
- Learn how condition variables can let threads signal to each other and wait for conditions to become true

Plan For Today

- Recap: mutexes and dining philosophers
- Encoding resource constraints
- Condition Variables

Plan For Today

- Recap: mutexes and dining philosophers
- Encoding resource constraints
- Condition Variables

Mutexes

A **mutex** ("mutual exclusion") is a variable type that lets us enforce the pattern of only 1 thread having access to something at a time.

- You make a mutex for each distinct thing you need to limit access to.
- You call lock() on the mutex to attempt to take the lock
- You call unlock() on the mutex when you are done to give the lock back
- A way to add a constraint to your program: "only one thread may access or execute this at a time".

Ticket Agents

```
static void sellTickets(size_t id, size_t& remainingTickets, mutex&
counterLock) {
   while (true) {
        counterLock.lock(); // only 1 thread can proceed at a time
        if (remainingTickets == 0) {
            counterLock.unlock(); // must give up lock before exiting
           break;
        size t myTicket = remainingTickets;
        remainingTickets--;
        counterLock.unlock(); // once thread passes here, another can go
        sleep for(500); // simulate "selling a ticket"
```

Deadlock

Deadlock occurs when multiple threads are all blocked, waiting on a resource owned by one of the other threads. None can make progress! Example:

```
Thread A
mutex1.lock();
mutex2.lock();
mutex2.lock();
...
Thread B
mutex2.lock();
mutex1.lock();
...
```

- E.g. if thread A executes 1 line, then thread B executes 1 line, deadlock!
- One prevention technique prevent circularities: all threads request resources in the same order (e.g., always lock mutex1 before mutex2.)
- Another limit number of threads competing for a shared resource

Deadlock Example: Dining Philosophers Simulation

- Five philosophers sit around a circular table, eating spaghetti
- There is one fork for each of them
- Each philosopher thinks, then eats, and repeats this three times for their three daily meals.
- **To eat**, a philosopher must grab the fork on their left *and* the fork on their right. Then they chow on spaghetti to nourish their big, philosophizing brain.
- When they're full, they put down the forks in the same order they picked them up and return to thinking for a while.
- **To think**, a philosopher keeps to themselves for some amount of time. Sometimes they think for a long time, and sometimes they barely think at all.

Dining Philosophers

eat is modeled as grabbing the two forks, sleeping for some amount of time, and putting the forks down.

```
static void eat(size_t id, mutex& left, mutex& right) {
    left.lock();
    right.lock();
    cout << oslock << id << " starts eating om nom nom
nom." << endl << ost Spoiler: there is a race condition here that
    sleep for(getEat
                      leads to deadlock - deadlock occurs when
    cout << oslock</pre>
         << osunlock multiple threads are all blocked, waiting on a
                      resource owned by one of the other blocked
    left.unlock();
    right.unlock();
                      threads. When could this happen?
```

Food For Thought

What if: all philosophers grab their left fork and then go off the CPU?

- Deadlock! All philosophers will wait on their right fork, which will never become available
- Testing our hypothesis: insert a sleep_for call in between grabbing the two forks
- We should be able to insert a **sleep_for** call anywhere in a thread routine and have no concurrency issues.
- We (incorrectly) assumed that at least one philosopher is always able to pick up both of their forks. How can we fix this?



Plan For Today

- Recap: mutexes and dining philosophers
- Encoding resource constraints
- Condition Variables

Encoding Resource Constraints

Goal: we must encode resource constraints into our program.

Example: how many philosophers can try to eat at the same time? Four.

- Alternatively: how many philosophers can eat at the same time? **Two**.
- Why might the first one be better? Imposes less bottlenecking while still solving the issue.

How can we encode this into our program?

Have a counter of "permits". Initially 4. A philosopher must have a permit (decrement counter or wait) to try to eat. Once done eating, a philosopher returns its permit (increment counter).

Tickets, Please...

```
int main(int argc, const char *argv[]) {
    mutex forks[kNumForks];
    size t permits = kNumForks - 1;
    mutex permitsLock;
    thread philosophers[kNumPhilosophers];
    for (size t i = 0; i < kNumPhilosophers; i++) {</pre>
        philosophers[i] = thread(philosopher, i, ref(forks[i]),
                   ref(forks[(i + 1) % kNumPhilosophers]),
                   ref(permits), ref(permitsLock));
    for (thread& p: philosophers) p.join();
    return 0;
```

Tickets, Please...

A philosopher thinks and eats, and repeats this 3 times.

```
static void philosopher(size_t id, mutex& left, mutex&
right, size_t& permits, mutex& permitsLock) {
    for (size_t i = 0; i < kNumMeals; i++) {
        think(id);
        eat(id, left, right, permits, permitsLock);
    }
}</pre>
```

Tickets, Please...

```
static void eat(size_t id, mutex& left, mutex& right,
size t& permits, mutex& permitsLock) {
    waitForPermission(permits, permitsLock);
    left.lock();
    right.lock();
    cout << oslock << id << " starts eating om nom nom</pre>
nom." << endl << osunlock;</pre>
    sleep_for(getEatTime());
    cout << oslock << id << " all done eating." << endl</pre>
         << osunlock;
    grantPermission(permits, permitsLock);
    left.unlock();
    right.unlock();
```

grantPermission

To put a permit back, increment the counter by 1 and continue.

```
static void grantPermission(size_t& permits, mutex&
permitsLock) {
    permitsLock.lock();
    permits++;
    permitsLock.unlock();
}
```

waitForPermission

- If there are permits, decrement the counter by 1 and continue
- If there aren't permits, wait for a permit, then decrement by 1 and continue

```
static void waitForPermission(size t& permits, mutex&
permitsLock) {
 while (true) {
    permitsLock.lock();
    if (permits > 0) break;
    permitsLock.unlock();
   // wait a little while (how??)
  permits--;
  permitsLock.unlock();
```

waitForPermission

- If there are permits, decrement the counter by 1 and continue
- If there aren't permits, wait for a permit, then decrement by 1 and continue

static void waitForPermission(size_t& permits, mutex&

```
permitsLock) {
  while (true) {
    permitsLock.lock();
    if (permits > 0) break;
    permitsLock.unlock();
    sleep(??);
  permits--;
  permitsLock.unlock();
```

This is called busy waiting (bad). We are unnecessarily and arbitrarily using CPU time to check when a permit is available.

It would be nice if someone could let us know when they return their permit. Then, we can sleep until this happens.

Plan For Today

- Recap: mutexes and dining philosophers
- Encoding resource constraints
- Condition Variables

A **condition variable** is a variable type that can be shared across threads and used for one thread to <u>notify</u> other thread(s) when something happens. Conversely, a thread can also use this to <u>wait</u> until it is notified by another thread.

- You make one for each distinct event you need to wait / notify for.
- We can call wait on the condition variable to sleep until another thread signals this condition variable (no busy waiting).
- You call **notify_all** on the condition variable to send a notification to all waiting threads and wake them up.
- Analogy: radio station broadcast and tune in

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- 4. Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

- 1. Identify a single kind of event that we need to wait / notify for
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The event here is "some permits are again available".

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- 4. Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

We can check whether there are permits now available by checking the permits count.

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- 4. Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

```
int main(int argc, const char *argv[]) {
    mutex forks[kNumForks];
    size t permits = kNumForks - 1;
    mutex permitsLock;
    condition variable any permitsCV;
    thread philosophers[kNumPhilosophers];
    for (size_t i = 0; i < kNumPhilosophers; i++) {</pre>
        philosophers[i] = thread(philosopher, i, ref(forks[i]),
                   ref(forks[(i + 1) % kNumPhilosophers]),
                   ref(permits), ref(permitsCV),
                   ref(permitsLock));
    for (thread& p: philosophers) p.join();
    return 0;
```

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- 4. Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

When someone returns a permit and there were no permits available previously, notify all.

grantPermission

We must notify all once permits have become available again to wake up waiting threads.

```
static void grantPermission(size_t& permits,
condition_variable_any& permitsCV, mutex& permitsLock) {
    permitsLock.lock();
    permits++;
    if (permits == 1) permitsCV.notify_all();
    permitsLock.unlock();
}
```

When someone returns a permit and there were no permits available previously (meaning some people might be waiting), notify all.

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- 4. Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

If we need a permit but there are none available, wait.

waitForPermission (In Progress)

If no permits are available, we must wait until one becomes available.

Key Idea: we must give up ownership of the lock when we wait, so that someone else can put a permit back.

```
static void waitForPermission(size_t& permits,
condition variable any& permitsCV, mutex& permitsLock) {
   permitsLock.lock();
   if (permits == 0) {
    permitsLock.unlock();
    permitsLock.lock();
   permits--;
   permitsLock.unlock();
```

grantPermission

Other threads need the lock to return permits:

```
static void grantPermission(size_t& permits,
condition_variable_any& permitsCV, mutex& permitsLock) {
    permitsLock.lock();
    permits++;
    if (permits == 1) permitsCV.notify_all();
    permitsLock.unlock();
}
```

waitForPermission (In Progress)

If no permits are available, we must wait until one becomes available.

Key Idea: we must give up ownership of the lock when we wait, so that someone else can put a permit back.

```
static void waitForPermission(size_t& permits,
condition_variable_any& permitsCV, mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
      permitsLock.unlock();
      permitsCV.wait();
                             // (note: not final form of wait)
      permitsLock.lock();
                              This is the idea for what we want to do – but
    permits--;
                             there are some additional cases/ quirks we
    permitsLock.unlock();
                              need to account for.
```

waitForPermission (Final version)

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    while (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

This is the final implementation with the final version of wait() that takes a mutex parameter and which is called in a while loop. Let's build our way to this solution!

Deadlock, Round 2

Spoiler: there is a race condition that could lead to deadlock. Hints:

- As soon as we release a lock, another thread can use it
- if a thread isn't waiting on a CV, it won't get a notification from another thread

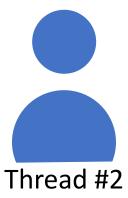


Nobody has responded yet.

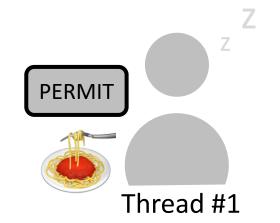
Hang tight! Responses are coming in.

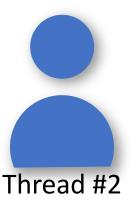


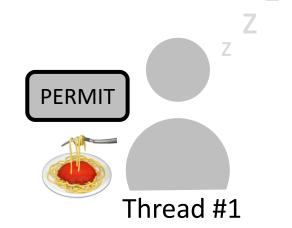




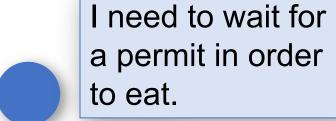
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
   if (permits == 0) {
        permitsLock.unlock();
        permitsCV.wait();  // (note: not final form of wait)
       permitsLock.lock();
    permits--;
    permitsLock.unlock();
                                   permits = 0
```



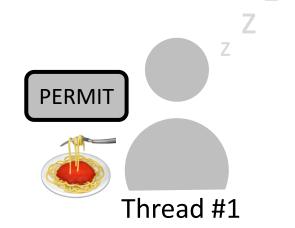














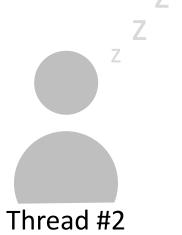
I need to wait for a permit in order to eat.



All done eating! I will return my permit.



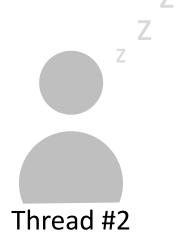




All done eating! I will return my permit.





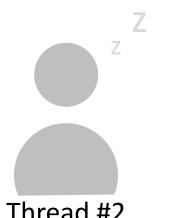


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsLock.unlock();
        permitsCV.wait();
                          // (note: not final form of wait)
        permitsLock.lock();
    permits--;
    permitsLock.unlock();
```

Oh! I should notify that there is a permit now.





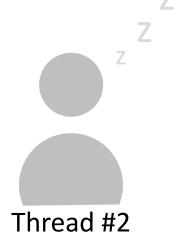


"Attention waiting threads, a permit is available!"

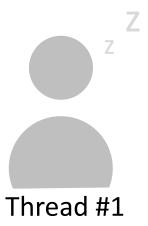


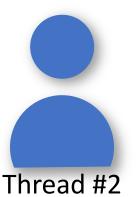




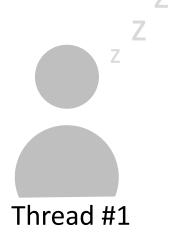


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
   if (permits == 0) {
        permitsLock.unlock();
        permitsCV.wait();  // (note: not final form of wait)
       permitsLock.lock();
    permits--;
    permitsLock.unlock();
                                   permits = 1
```





```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
   if (permits == 0) {
        permitsLock.unlock();
        permitsCV.wait();  // (note: not final form of wait)
        permitsLock.lock();
    permits--;
    permitsLock.unlock();
```







100 years later



Key ideas:

- We must release the lock when waiting so someone else can put a permit back (which requires having the lock)
- But if we release the lock before calling wait, someone else could swoop in and put a permit back before we call wait(), meaning we will miss the notification! If that is the last notification, we may wait forever.

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

Solution: condition variables are meant for these situations.

- wait() takes a mutex as a parameter
- It will unlock the mutex for us after we are put to sleep.
- When we are notified, it will only return once it has reacquired the mutex for us.

Condition Variable Wait

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock.lock();
  if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

cv.wait() does the following:

- 1. it puts the caller to sleep and unlocks the given lock, all atomically
- 2. it wakes up when the cv is signaled
- 3. upon waking up, it tries to acquire the given lock (and blocks until it's able to do so)
- 4. then, cv.wait returns

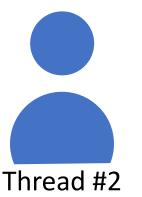
waitForPermission (In progress)

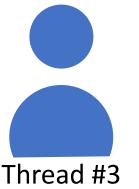
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

Spoiler: there is a race condition here that could lead to negative permits if multiple threads are waiting on a permit (e.g. say we limit permits to 3) and just 1 is returned.

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```



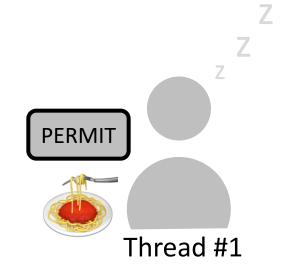


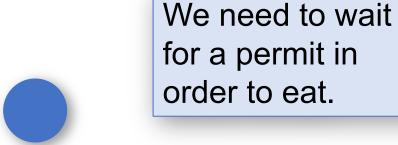


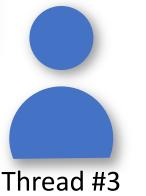
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```



Thread #2

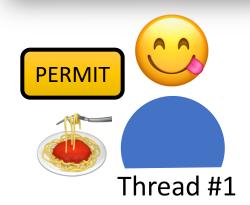


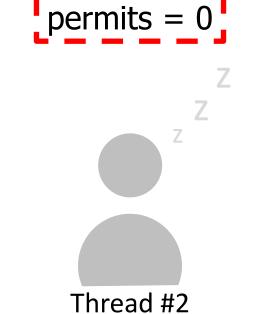




```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

All done eating! I will return my permit.



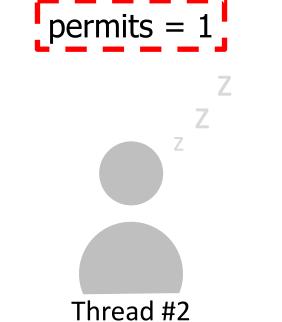


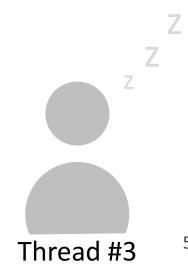


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

All done eating! I will return my permit.

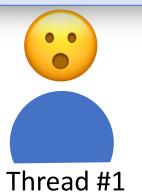


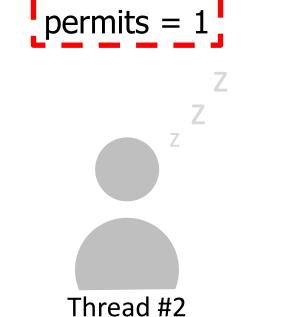


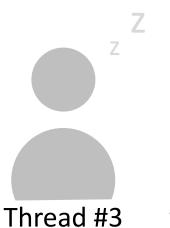


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

Oh! I should notify that there is a permit now.

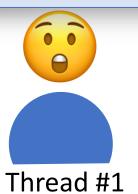


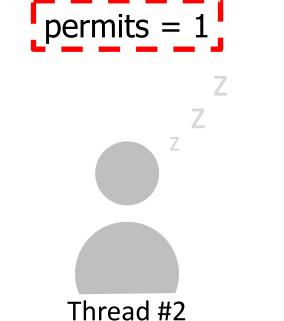


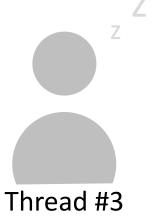


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

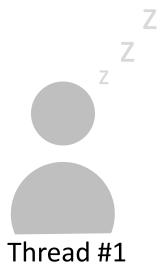
"Attention waiting threads, a permit is available!"



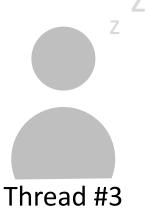




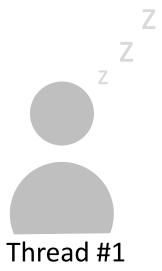
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```



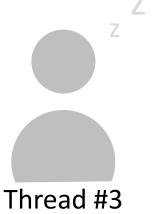




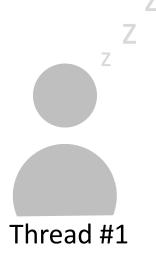
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```



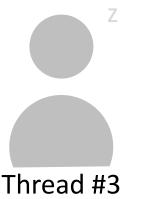




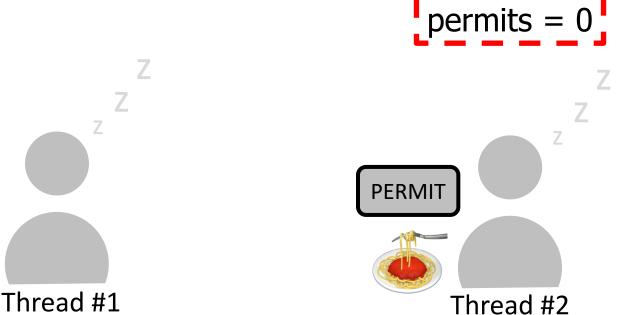
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```







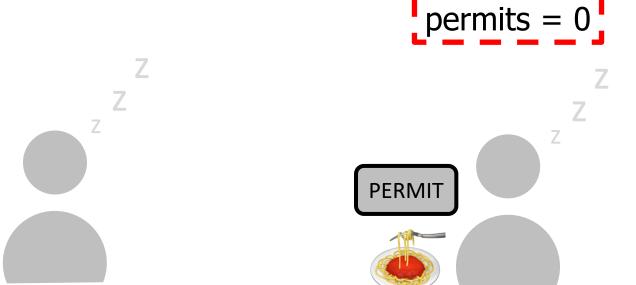
```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```





```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

Thread #2

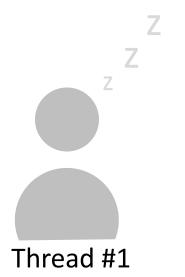


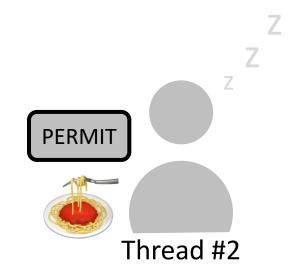
Thread #1

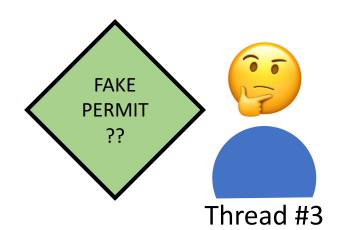


```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

permits = <very large number>







Key Idea: If we are waiting and then woken up by a notification, it's possible by the time we exit wait(), there are no permits, so we must wait again.

Note: wait() reacquires the lock before returning

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    if (permits == 0) {
        permitsCV.wait(permitsLock);
        // by the time we wake up here, all the permits could already be gone!
    }
    permits--;
    permits--;
    permitsLock.unlock();
}
```

waitForPermission (Final version)

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    while (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

Solution: we must call *wait()* in a <u>loop</u>, in case we must call it again to wait longer.



Spurious Wakeups

```
static void waitForPermission(size_t& permits, condition_variable_any& permitsCV,
mutex& permitsLock) {
    permitsLock.lock();
    while (permits == 0) {
        permitsCV.wait(permitsLock);
    }
    permits--;
    permitsLock.unlock();
}
```

It turns out that in addition to this reason, condition variables can have *spurious* wakeups — they wake us up even when not being notified by another thread! Thus, we should *always* wrap calls to **wait** in a while loop.

Condition Variable Key Takeaways

A **condition variable** is a variable type that can be shared across threads and used for one thread to <u>notify</u> other thread(s) when something happens. Conversely, a thread can also use this to <u>wait</u> until it is notified by another thread.

- We can call wait(lock) to sleep (no busy waiting) until another thread signals this condition variable. The condition variable will unlock and re-lock the specified lock for us.
 - This is necessary because we must give up the lock while waiting so another thread may return a permit, but if we unlock before waiting, there is a race condition.
- We can call notify_all() to send a signal to waiting threads and wake them up.
- We call wait(lock) in a loop in case we are woken up but must wait longer
 - This could happen if multiple threads are woken up for a single new permit, or because of spurious wakeups.

Condition Variables

- 1. Identify a single kind of event that we need to wait / notify for
- 2. Ensure there is proper state to check if the event has happened
- 3. Create a condition variable and share it among all threads either waiting for that event to happen or triggering that event
- Identify who will notify that this happens, and have them notify via the condition variable
- 5. Identify who will wait for this to happen, and have them wait via the condition variable

Recap

- Recap: mutexes and dining philosophers
- Encoding resource constraints
- Condition Variables

Lecture 14 takeaway:

Condition variables let us wait on an event to occur and notify other threads that an event has occurred, all without busy waiting.

Next time: more about race conditions