

# CS111, Lecture 3

## Unix V6 Filesystem

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Based on slides and notes created by John Ousterhout, Jerry Cain, Chris Gregg, and others.

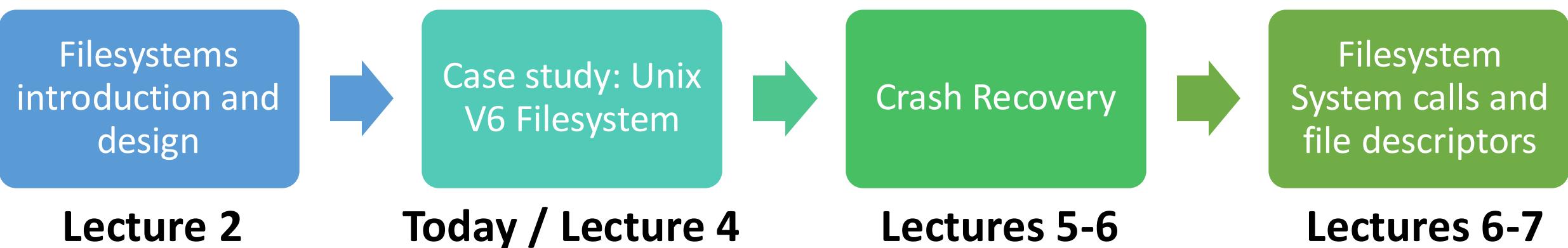
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# Announcements

- Lecture credit starts with today's lecture – you can submit PollEV polls in person during live lecture, or complete the corresponding Canvas quiz by 30min prior to next lecture to get credit.
- Assign0 due Monday at 11:59PM, **no late submissions accepted** (except for OAE/Head TA extensions)
  - Check out our assignment Ed post for each assignment for pinned posts!
- Assignments preview: assign1 and assign3 are longer than 0 and 2, 4-6 similar to assign1 & 3
- Small style guide update – added information about avoiding using the variable type **auto**, except in isolated cases such as iterating through key/value pairs in a map

# CS111 Topic 1: Filesystems

**Key Question:** *How can we design filesystems to manage files on disk, and what are the tradeoffs inherent in designing them? How can we interact with the filesystem in our programs?*



**assign1:** implement portions of the Unix v6 filesystem!

# Learning Goals

- Explore the design of the Unix V6 filesystem
- Understand how we can use inodes to store and access file data
- Learn about how inodes can accommodate small and large files

# Plan For Today

- Recap: filesystems so far
- The Unix V6 Filesystem and Inodes
- Practice: reading file data
- Large files and Singly-Indirect Addressing
- Practice: singly-indirect addressing
- Large files and Doubly-Indirect Addressing
- Assignment 1

# Plan For Today

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# Recap: Filesystems So Far

A **filesystem** is the portion of the OS that manages the disk (persistent storage). The disk only knows how to read a sector and write a sector.

- Blocks are the storage unit used by the filesystem, can be 1 or more sectors.

Designs we've discussed so far:

- *Contiguous allocation* allocates a file in one contiguous space
- *Linked files* allocates files by splitting them into blocks and having each block store the location of the next block.
- *Windows FAT* is like linked files but stores the links in a “file allocation table” in memory for faster access.
- *Multi-level indexes* instead store all block numbers for a file together so we can quickly jump to any point in the file (but how?). Example: Unix v6 Filesystem
- Many other designs possible – many use a tree-like structure

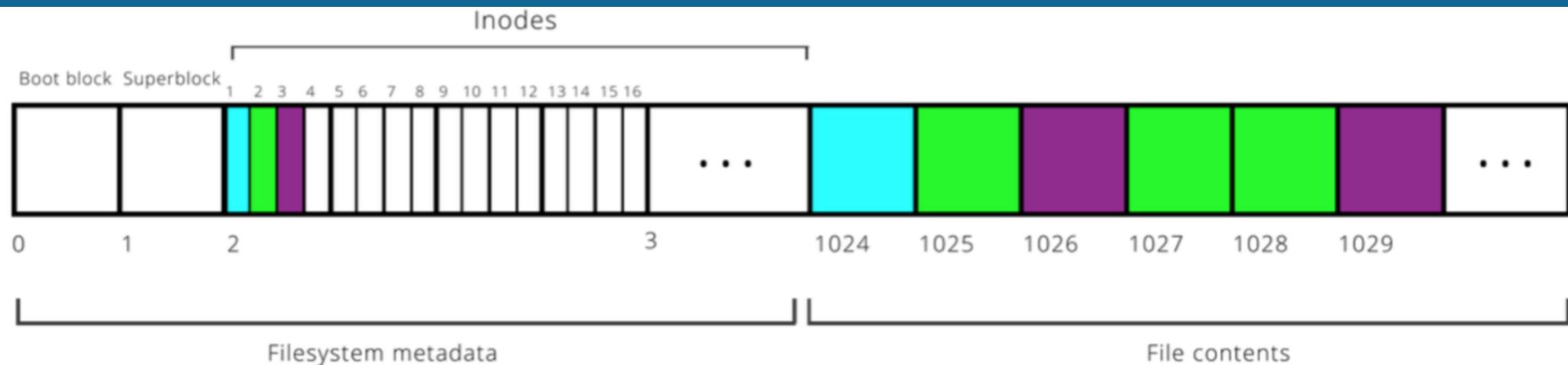
# Fragmentation

- **Internal Fragmentation:** space allocated for a file is larger than what is needed. A file may not take up all the space in the blocks it's using. E.g. block = 512 bytes, but file is only 300 bytes. (you could share blocks between multiple files, but this gets complex)
- **External Fragmentation (issue with contiguous allocation):** no single space is large enough to satisfy an allocation request, even though enough aggregate free disk space is available
- Wait, how do we look up / find files? (we'll talk more about this!)

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# Unix V6 Inodes



- An **inode** ("index node") is a grouping of data about a single file.
- The Unix v6 filesystem stores inodes on disk together in a fixed-size **inode table**. Each inode lives on disk, but we can read one into memory when a file is open.
- This inode table starts at block 2 (block 0 is "boot block" containing hard drive info, block 1 is "superblock" containing filesystem info). The inode table can span many blocks. Typically, at most 10% of the drive stores metadata.
- Inodes are 32 bytes big, and 1 block = 1 sector = 512 bytes, so 16 inodes/block.
- Filesystem goes from **filename** to **inode number** ("inumber") to **file data**.

# Unix V6 Inodes

Each inode stores file information and has space for 8 block numbers.

```
struct inode {  
    uint16_t  i_mode;          // bit vector of file  
                           // type and permissions  
    uint8_t   i_nlink;         // number of references  
                           // to file  
    uint8_t   i_uid;           // owner  
    uint8_t   i_gid;           // group of owner  
    uint8_t   i_size0;          // most significant byte  
                           // of size  
    uint16_t  i_size1;          // lower two bytes of size  
                           // (size is encoded in a  
                           // three-byte number)  
    uint16_t  i_addr[8];        // device addresses  
                           // constituting file  
    uint16_t  i_atime[2];       // access time  
    uint16_t  i_mtime[2];       // modify time  
};
```

# Unix V6 Inodes

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    uint16_t  i_atime[2];       // access time  
    uint16_t  i_mtime[2];       // modify time  
};
```

For now, we just need **i\_addr**; an array of 8 block numbers. **i\_addr** entries are in file data order, not necessarily numerical order - the blocks could be scattered all over disk. E.g. a file could have **i\_addr** = [12, 200, 56, ...]. It uses only as many **i\_addr** entries as needed to store file data.

# Reading Second 16 Inodes From Disk

How do we access inodes on disk? E.g. how can we iterate over inodes 17-32?

```
for (int i = 17; i < 33; i++) {  
    ...inodeTable[i]... // like this?  
}
```

This won't work – inodes (like file data) are stored on disk, not in memory. To access them, we must read them into memory first, sector by sector.

# Reading Second 16 Inodes From Disk

Let's imagine that the hard disk creators provide software to let us interface with the disk. These can operate on 1 sector, regardless of what type of data it holds.

```
void readSector(size_t sectorNumber, void *data);  
void writeSector(size_t sectorNumber, const void *data);  
(Refresher: size_t is an unsigned number, void * is a generic pointer)
```

We also have our struct inode:

```
struct inode {  
    uint16_t i_addr[8]; // block numbers  
    ...  
};
```

# Reading Second 16 Inodes From Disk

```
int inodesPerBlock = DISKIMG_SECTOR_SIZE / sizeof(struct inode);
struct inode inodes[inodesPerBlock];
readSector(3, inodes);

// Loop over each inode in sector 3
for (size_t i = 0; i < inodesPerBlock; i++) {
    printf("%d\n", inodes[i].i_addr[0]); // print first block num
}
```

# Reading Second 16 Inodes From Disk

We can pass in an array of any type to store the read-in data, but it's easiest to use an array of the same type as the data being read in from disk.

```
// use array of chars - harder to work with
char buf[DISKIMG_SECTOR_SIZE];
readSector(3, buf); // always reads in 512 bytes
```

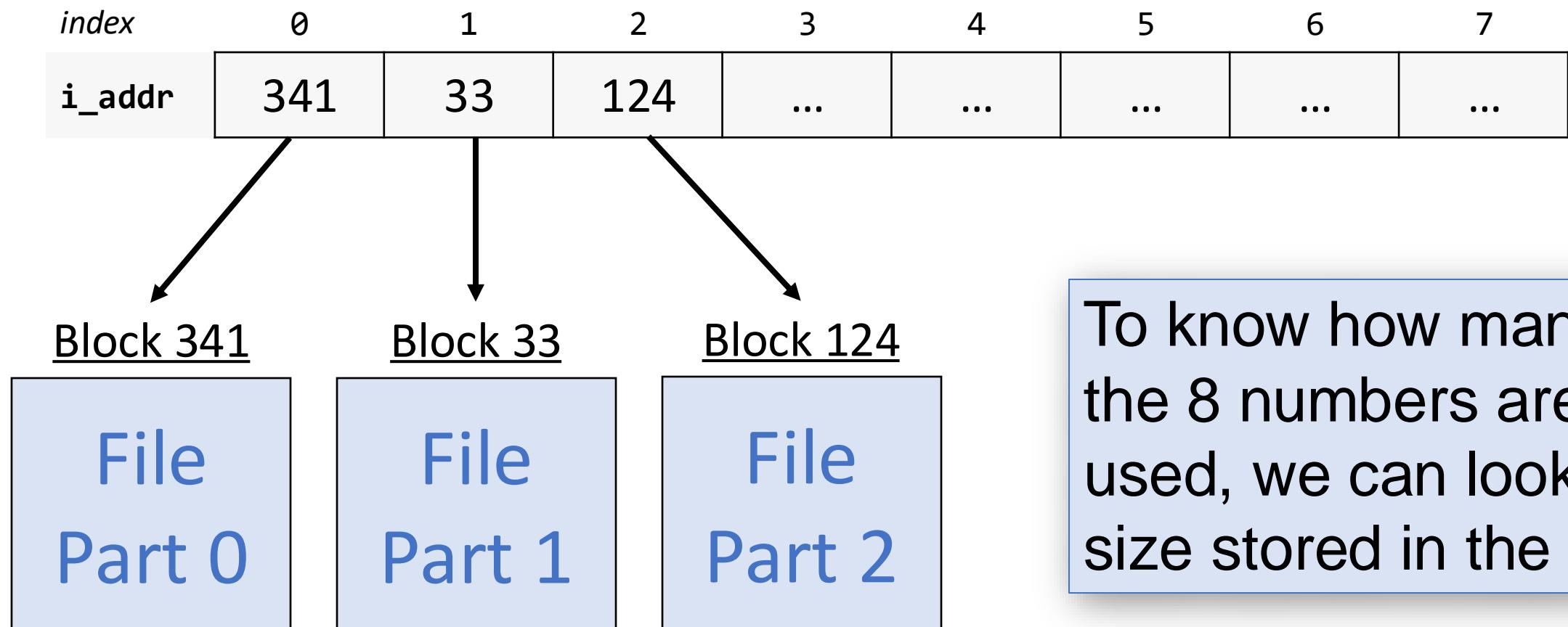
```
// now buf is filled with 512 bytes from block 3
// but it's an array of chars...must cast to access each inode
```

# Plan For Today

- Recap: filesystems so far
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- **Practice: reading file data**
- Large files and Singly-Indirect Addressing
- Practice: singly-indirect addressing
- Large files and Doubly-Indirect Addressing

# File Data

(For now) **i\_addr** stores the numbers of blocks that contain payload data.



To know how many of the 8 numbers are used, we can look at the size stored in the inode.

# Practice #1: File Data

Let's say we have an inode that looks like the following (remember 1 block = 1 sector = 512 bytes):

## Inode:

size = 600 bytes

i\_addr = [122, 56, .... ]

- How many bytes of block 122 store file payload data?
- How many bytes of block 56 store file payload data?

# Practice #1: File Data

Let's say we have an inode that looks like the following (remember 1 block = 1 sector = 512 bytes):

## Inode:

size = 600 bytes

i\_addr = [122, 56, .... ]

- How many bytes of block 122 store file payload data? **512** (bytes 0-511)
- How many bytes of block 56 store file payload data? **88** (bytes 512-599)

**Key Idea:** we must read a full sector with **readSector**, but can ignore unused data

# Practice #2: File Data

Let's say we have an inode that looks like the following (remember 1 block = 1 sector = 512 bytes):

## Inode:

size = 2000 bytes

i\_addr = [56, 122, 45, 22, ... ]

**Which block number stores the index-1500th byte (0-indexed) of the file?**

**Respond on PollEv: [pollev.com/cs111](http://pollev.com/cs111)**  
or text CS111 to 22333 once to join.



With file size = 2000 bytes and block numbers = [56, 122, 45, 22], which block number stores the index-1500th (0-indexed) byte of the file?

56

0%

122

0%

45

0%

22

0%

# Practice #2: File Data

Let's say we have an inode that looks like the following (remember 1 block = 1 sector = 512 bytes):

## Inode:

size = 2000 bytes

i\_addr = [56, 122, 45, 22, ... ]

Which block number stores the index-1500th byte (0-indexed) of the file?

*Bytes 0-511 reside within block 56, bytes 512-1023 within block 122, bytes 1024-1535 within block 45, and bytes 1536-1999 at the front of block 22.*

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# File Size

**Problem:** with 8 block numbers per inode, the largest a file can be is  $512 * 8 = 4096$  bytes (~4KB). That definitely isn't realistic!

Assuming that the size of an inode is fixed, what can we do?

**Solution:** Unix V6 has two inode “modes”: *small* and *large*, that dictate how it uses `i_addr`.

```
if ((inode.i_mode & ILARG) != 0) { // inode is “large mode”
```

A “small mode” inode is what we have seen already. But what is a “large mode” inode?

# “Large Mode” Inodes

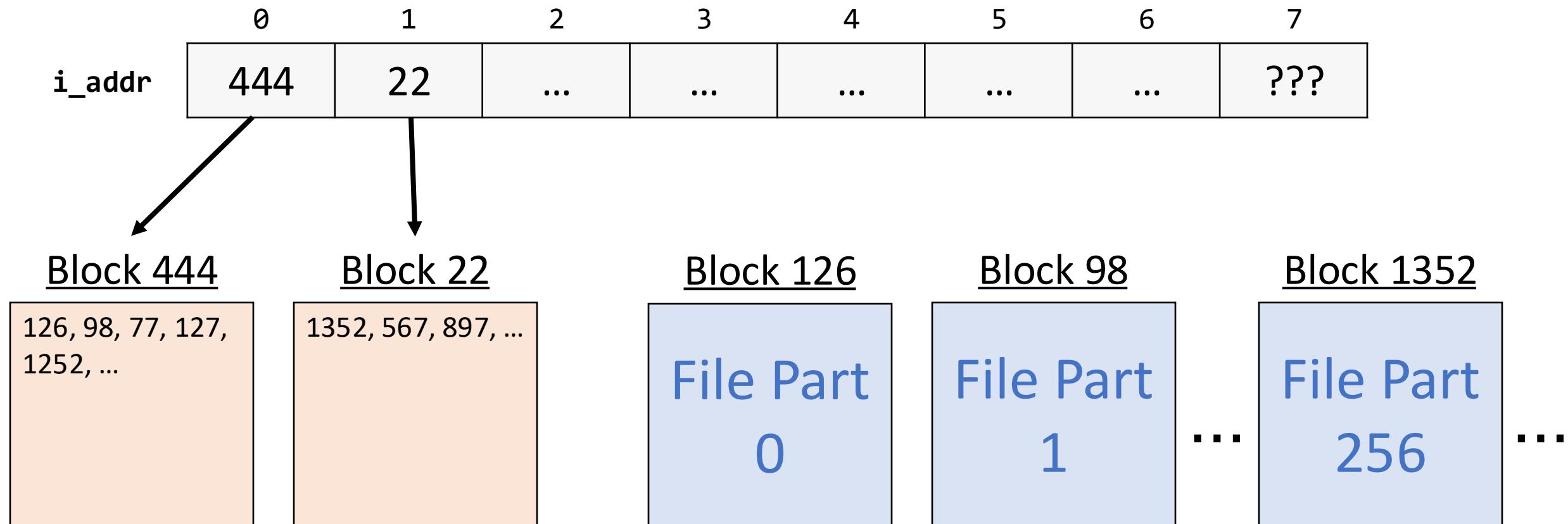
Let's say a file's data is stored across 300 blocks:

126, 98, 77, 127, 1252, 377, 81, 48, 198, 409, 150, 105, 110, 143, 338, 382, 173, 149, 178, 423...

**Key idea:** the inode only fits 8. So let's store each group of 256 (512 / 2-byte-numbers) block numbers *in a block*, and then store *that* block's number in the inode! This approach is called *singly-indirect addressing*.

# Singly-Indirect Addressing

If the inode is “large mode”, **i\_addr** stores 7 numbers of blocks that contain block numbers, and those block numbers are of blocks that contain payload data. 8th block number? we'll get to that :)



# “Large Mode” Inodes

The Unix V6 filesystem uses *singly-indirect addressing* (blocks that store payload block numbers) just for “large mode” files.

- check flag in inode to know whether it is a “small mode” file (direct addressing) or “large mode” one (indirect addressing)
  - If small: all 8 block numbers are direct block numbers (block numbers of blocks that store file data)
  - If large: first 7 block numbers are singly-indirect block numbers (block numbers of blocks that store direct block numbers), and 8<sup>th</sup> is TBD ☺

# Indirect Addressing: Design Decisions

We could use singly-indirect addressing in other ways, too – all design decisions!

- We could make *just some* of the block numbers in an inode use singly-indirect addressing, and others still be direct addressing
- We could have just one “mode” for files, instead of 2

*One argument against indirect addressing: it takes more steps to get to the data and uses more blocks.*

Block 422

451, 42, 15,  
67, 125, 665,  
467, 231,  
162,136

Block 451

The quick  
brown fox  
jumped over  
the...

# Practice: Indirect Addressing

Let's say we have a "large mode" inode with the following information (remember 1 block = 1 sector = 512 bytes, and block numbers are 2 bytes big):

## Inode:

size = 200,000 bytes

i\_addr = [56, 122, ... ]

Which singly-indirect block stores the block number holding the index-150,000th byte of the file? (Hint:  $256 * 512 = 131,072$ )

*Bytes 0-131,071 reside within blocks whose block numbers are in block 56. Bytes 131,072 (256\*512) - 199,999 reside within blocks whose block numbers are in block 122.*

# Indirect Addressing

Let's assume for now that an inode for a large file uses all 8 block numbers for singly-indirect addressing. What is the largest file size this supports? Each block number is 2 bytes big.

8 block numbers in an inode x

256 block numbers per singly-indirect block x

512 bytes per block

= ~1MB

# Even Larger Files

**Problem:** even with singly-indirect addressing, the largest a file can be is  $8 * 256 * 512 = 1,048,576$  bytes (~1MB). That still isn't realistic!

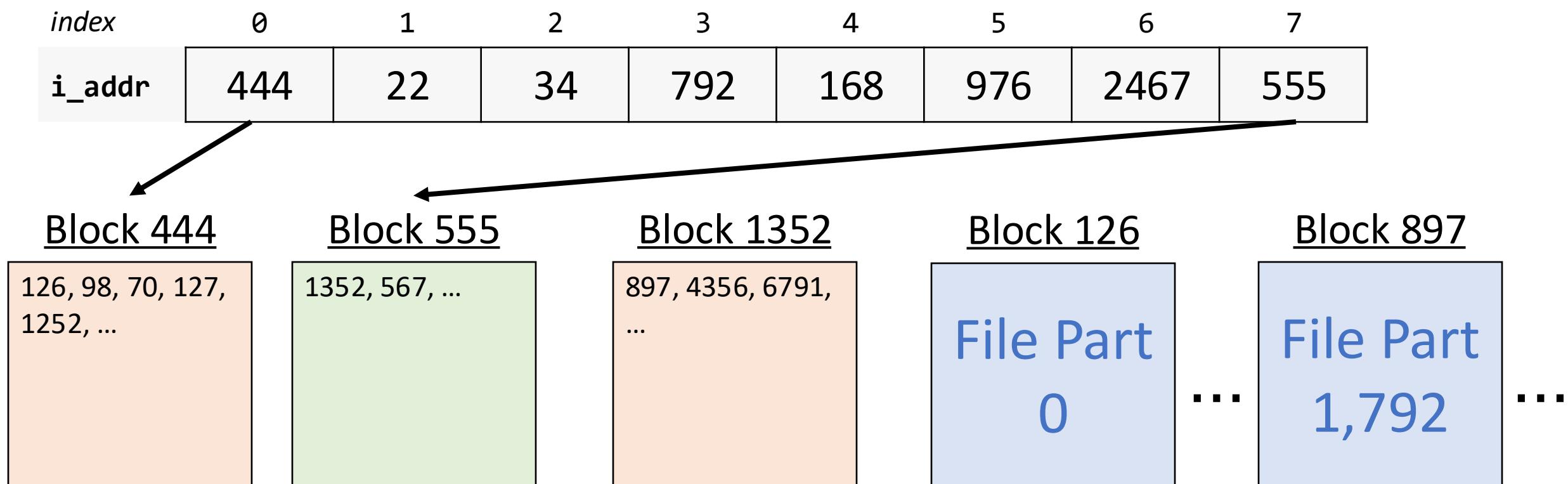
**Solution:** let's have the 8<sup>th</sup> entry in **i\_addr** use *doubly-indirect addressing*; store a block number for a block that contains *singly-indirect block numbers*.

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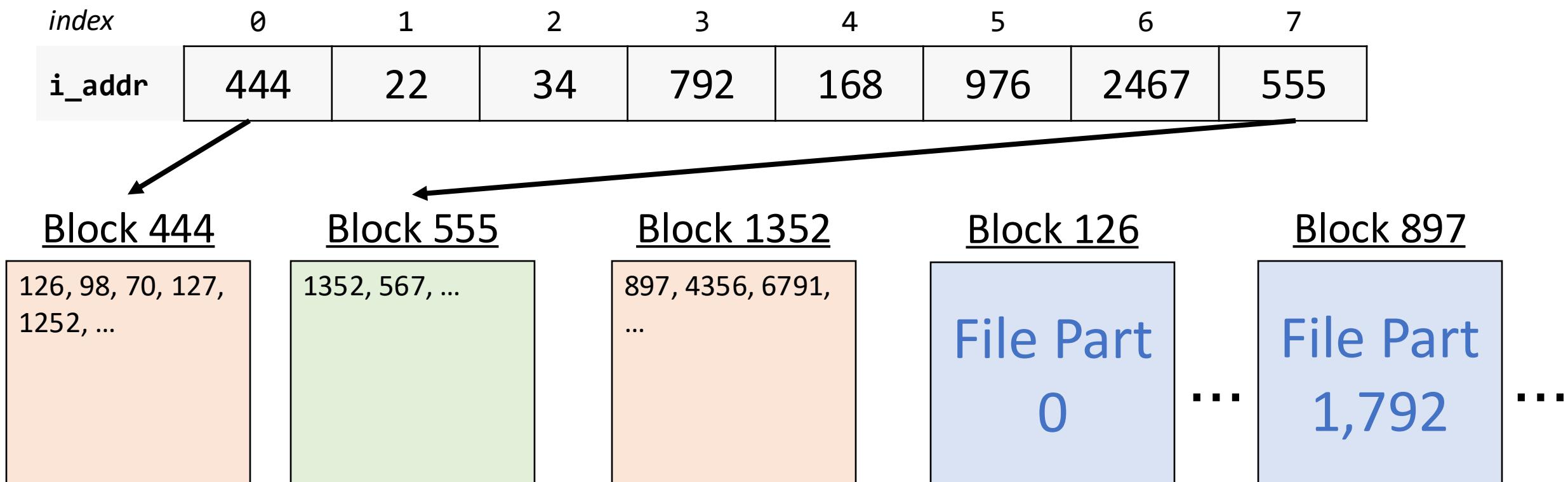
# Large File Scheme

If the file is large, the first 7 entries in **i\_addr** are *singly-indirect block numbers* (block numbers of blocks that contain direct block numbers). The 8<sup>th</sup> entry (if needed) is a *doubly-indirect block number* (the number of a block that contains singly-indirect block numbers).



# Large File Scheme

**Another way to think about it:** a file can be represented using at most  $7 + 256 = 263$  singly-indirect blocks. The numbers of the first seven are stored in the inode. The numbers of the remaining 256 are stored in a block whose block number is stored in the inode.



# Large File Scheme

An inode for a large file stores 7 singly-indirect block numbers and 1 doubly-indirect block number. What is the largest file size this supports? Each block number is 2 bytes big.

(7+256) singly-indirect block numbers total x

256 block numbers per singly-indirect block x

512 bytes per block

= ~34MB

# Large File Scheme

An inode for a large file stores 7 singly-indirect block numbers and 1 doubly-indirect block number. What is the largest file size this supports? Each block number is 2 bytes big.

OR:

$$(7 * 256 * 512) + (256 * 256 * 512) = \sim 34MB$$

*(singly indirect) + (doubly indirect)*

Better! still not sufficient for today's standards, but perhaps in 1975. Moreover, since block numbers are 2 bytes, we can number at most  $2^{16} = 65,536$  blocks, meaning the entire filesystem can be at most  $65,536 * 512 \sim 33MB$ .

# “Large Mode” Inodes

The Unix V6 filesystem uses *indirect addressing* (blocks that store payload block numbers) just for “large mode” files.

- check flag in inode to know whether it is a “small mode” file (direct addressing) or “large mode” one (indirect addressing)
  - If small: all 8 block numbers are direct block numbers (block numbers of blocks that store file data)
  - If large: **first 7 block numbers** are singly-indirect block numbers (block numbers of blocks that store direct block numbers), 8<sup>th</sup> block number (if needed) is doubly-indirect (it refers to a block that stores singly-indirect block numbers)
- Files only use the block numbers they need (depending on their size)
- Note: doubly-indirect is useful (and there are many other possible designs!), but it means even more steps to access data.

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- **Assignment 1**

# Assignment 1

Implement core functions to read from a Unix v6 filesystem disk!

- **inode\_iget** -> fetch a specific inode
- **inode\_indexlookup** -> fetch a specific payload block number
- **file\_getblock** -> fetch a specified payload block
- **directory\_findname** -> fetch directory entry with the given name
- **pathname\_lookup** -> fetch inumber for the file with the given path

# Assignment 1

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can start immediately

will discuss next time!

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**Lecture 3 takeaway:** The Unix v6 filesystem represents small files by storing direct block numbers, and larger files by using indirect addressing - storing 7 singly-indirect and 1 doubly-indirect block number.

**Next time:** directories, file lookup and links