

# Divide-and-Conquer Algorithms

## Part Three

# Announcements

- Problem Set One graded; will be returned at the end of lecture.
  - If you submitted by email, let us know if you don't hear back by 5PM today.
  - If you submitted through the SCPD office, we'll return your problem set through the SCPD office.
- Handout: “Mathematical Terms and Identities.”
  - Covers useful mathematical definitions, terms, and identities that we'll be using over the rest of the quarter.
  - Let us know if there's anything you'd like us to add for future quarters!

# Outline for Today

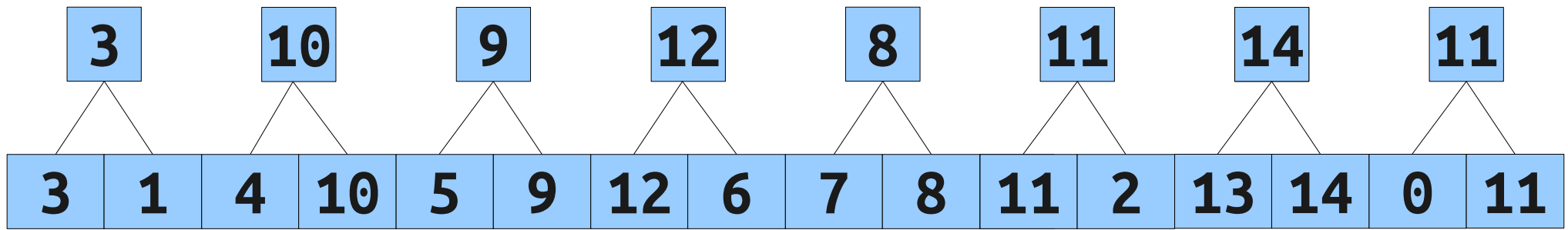
- **The Master Theorem**
  - A powerful tool for solving recurrences.
- **Applications of the Master Theorem**
  - Rapidly solving a variety of recurrence relations!

# One More Recurrence Relation

# Finding the Maximum Value

3	1	4	10	5	9	12	6	7	8	11	2	13	14	0	11
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# Finding the Maximum Value

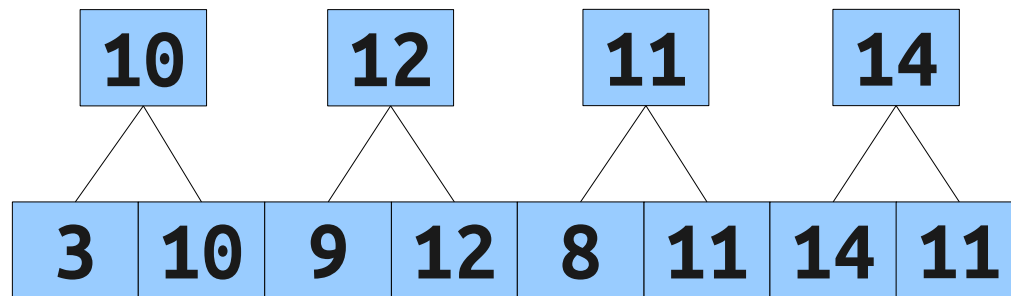


# Finding the Maximum Value

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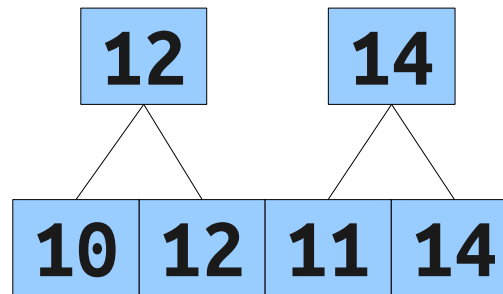
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# Finding the Maximum Value



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$$T(1) = \Theta(1)$$

$$T(n) = T(\lceil n / 2 \rceil) + \Theta(n)$$

# Finding the Maximum Value

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$$T(1) \leq c$$

$$T(n) \leq T(\lceil n / 2 \rceil) + cn$$

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$$T(1) \leq c$$

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$$cn + cn/2 + \dots + c$$

# Finding the Maximum Value

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$$T(1) \leq c$$

$$T(n) \leq T(n/2) + cn$$

$$\begin{aligned} & cn + cn/2 + \dots + c \\ &= cn (1 + 1/2 + \dots + 1/n) \end{aligned}$$

# Finding the Maximum Value

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12 14

10 12 11 14

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$$T(1) \leq c$$

$$T(n) \leq T(n/2) + cn$$

$$\begin{aligned} & cn + cn/2 + \dots + c \\ &= cn (1 + 1/2 + \dots + 1/n) \\ &\leq cn (1 + 1/2 + 1/4 + \dots) \end{aligned}$$

# Finding the Maximum Value

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12 14

10 12 11 14

3 10 9 12 8 11 14 11

3 1 4 10 5 9 12 6 7 8 11 2 13 14 0 11

$$T(1) \leq c$$

$$T(n) \leq T(n/2) + cn$$

$$\begin{aligned} & cn + cn/2 + \dots + c \\ &= cn (1 + 1/2 + \dots + 1/n) \\ &\leq cn (1 + 1/2 + 1/4 + \dots) \\ &= 2cn \end{aligned}$$

# Finding the Maximum Value

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$$T(1) \leq c$$

$$T(n) \leq T(n/2) + cn$$

$$\begin{aligned} & cn + cn/2 + \dots + c \\ &= cn (1 + 1/2 + \dots + 1/n) \\ &\leq cn (1 + 1/2 + 1/4 + \dots) \\ &= 2cn = \mathbf{O(n)} \end{aligned}$$

# Three Recurrences

$$\begin{aligned}T(0) &= \Theta(1) \\T(1) &= \Theta(1) \\T(n) &= T(\lceil n / 2 \rceil) + T(\lfloor n / 2 \rfloor) + \Theta(n)\end{aligned}$$

Solves to  $O(n \log n)$

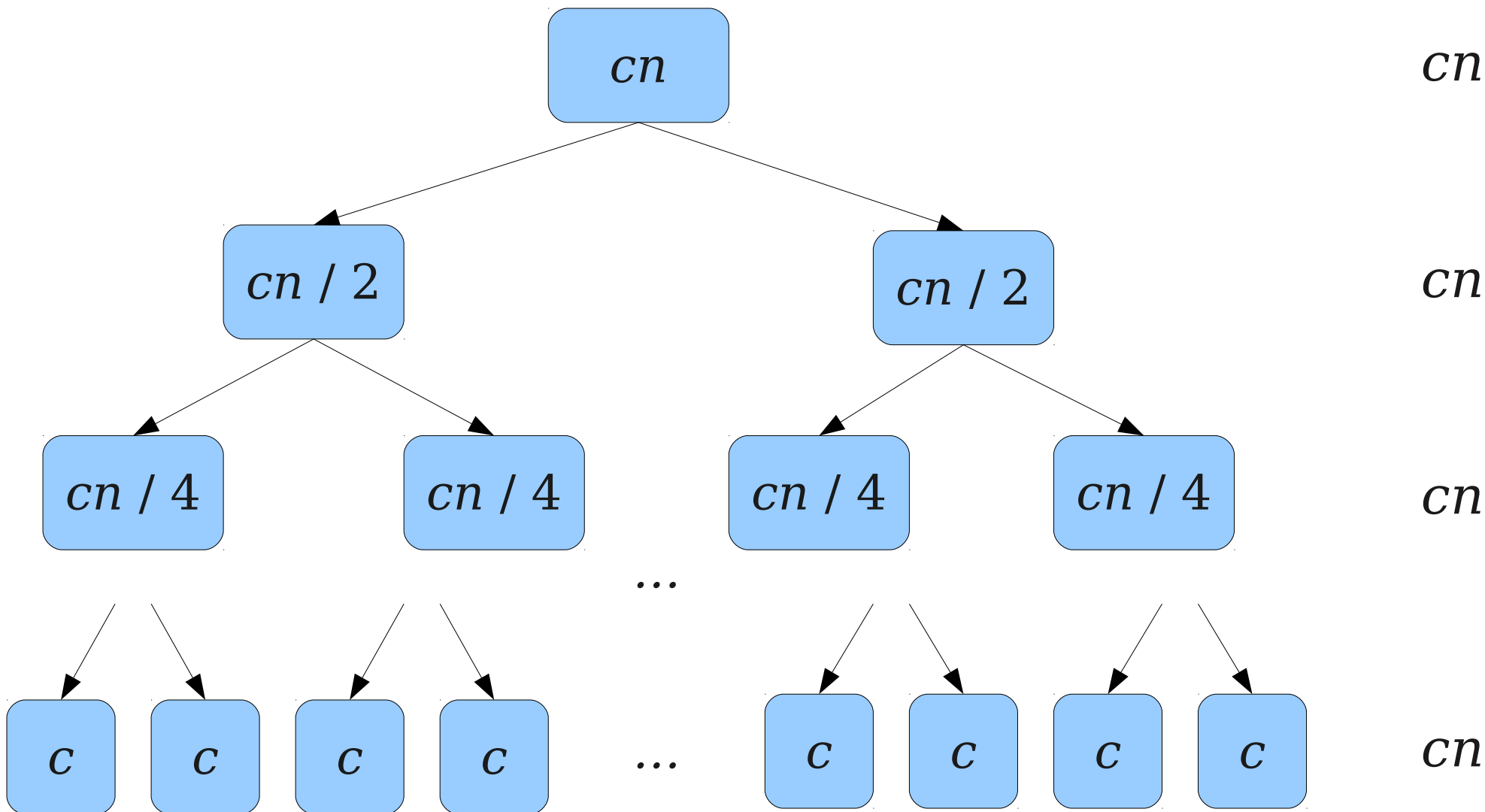
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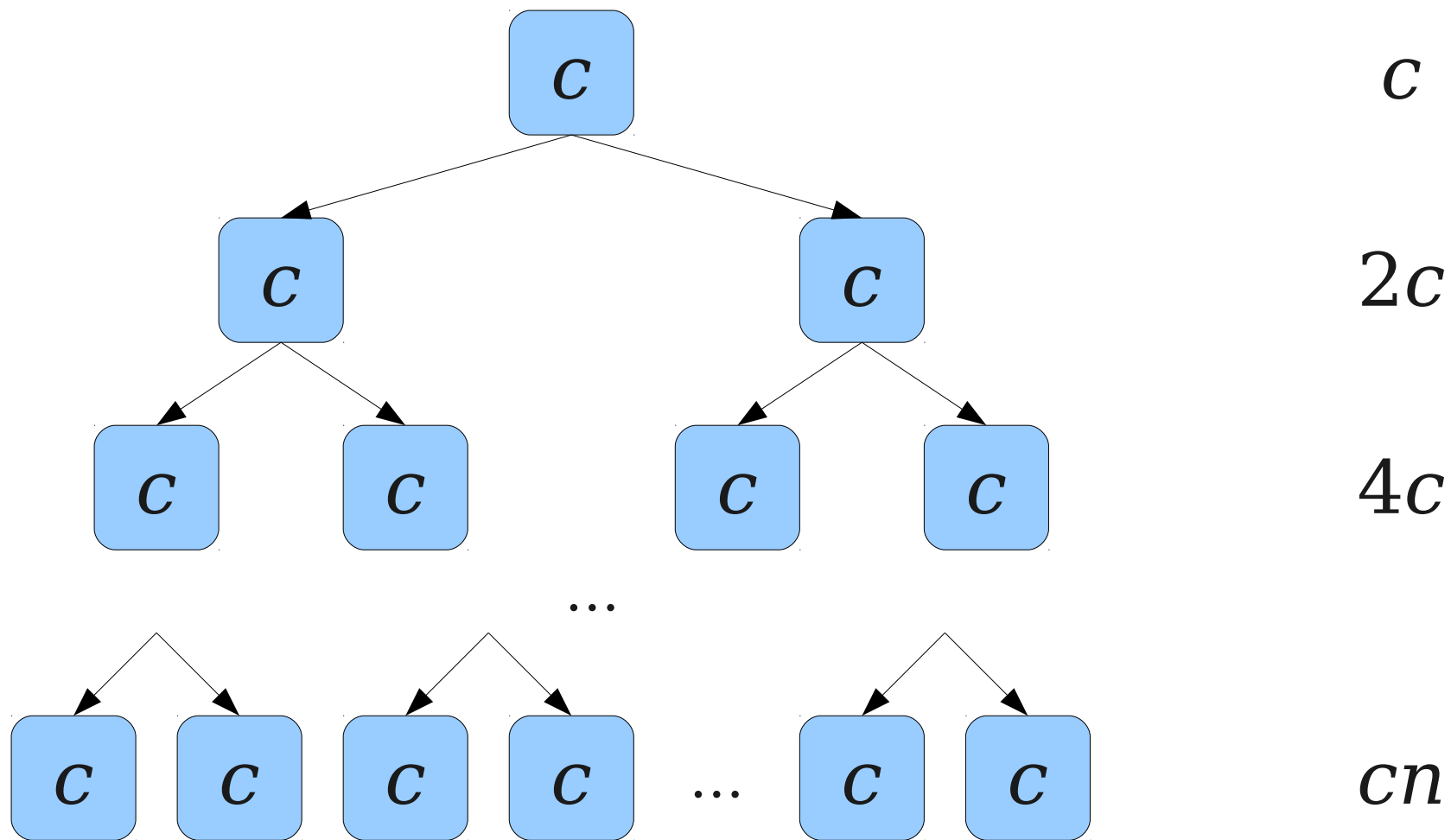
$$T(1) \leq c$$
$$T(n) \leq 2T(n/2) + cn$$



$$O(n \log n)$$

$$T(1) \leq c$$

$$T(n) \leq 2T(n/2) + c$$



$O(n)$



$$T(1) \leq c$$

$$T(n) \leq T(n/2) + cn$$

$cn$

$cn$

$cn/2$

$cn/2$

$cn/4$

$cn/4$

...

$c$

$c$

$O(n)$

# Categorizing Recurrences

- The recurrences we have seen so far can be categorized into three groups:
  - **Topheavy recurrences**, where the majority of the runtime is dominating by the initial call.
    - Runtime is dominated by initial call.
  - **Balanced recurrences**, where each level in the tree does the same amount of work.
    - Runtime is determined by number of layers times the work per layer.
  - **Bottomheavy recurrences**, where the majority of the runtime is accounted for in the leaves.
    - Runtime is dominated by the work per leaf times the number of leaves.

# The Master Theorem

- The **Master Theorem** (given on the next slide) is a theorem for asymptotically bounding recurrences of the type we've seen so far.
- Intuitively, categorizes recurrences into one of the three groups just mentioned, then determines the runtime based on that category.

# The Master Theorem

**Theorem:** Let  $T(n)$  be defined as follows:

$$\begin{aligned} T(1) &\leq \Theta(1) \\ T(n) &\leq aT(\lceil n / b \rceil) + O(n^d) \end{aligned}$$

Then

$$T(n) = \begin{cases} O(n^d) & \text{if } \log_b a < d \\ O(n^d \log n) & \text{if } \log_b a = d \\ O(n^{\log_b a}) & \text{if } \log_b a > d \end{cases}$$

# Solving Existing Recurrences

- Consider the mergesort recurrence

$$T(0) = \Theta(1)$$

$$T(1) = \Theta(1)$$

$$T(n) = T(\lceil n / 2 \rceil) + T(\lfloor n / 2 \rfloor) + \Theta(n)$$

# Solving Existing Recurrences

- Consider the mergesort recurrence

$$T(0) = \Theta(1)$$

$$T(1) = \Theta(1)$$

$$T(n) \leq 2T(\lceil n / 2 \rceil) + \Theta(n)$$

# Solving Existing Recurrences

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- What are  $a$ ,  $b$ , and  $d$ ?

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- What are  $a$ ,  $b$ , and  $d$ ?  **$a = 2$ ,  $b = 2$ ,  $d = 1$ .**



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- What is  $\log_b a$ ?

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- What are  $a$ ,  $b$ , and  $d$ ?  **$a = 2, b = 2, d = 1$** .
- What is  $\log_b a$ ? **1**
- By the Master Theorem,  $T(n) = \mathbf{O(n \log n)}$ .

# Solving Existing Recurrences

- Consider the weakly unimodal maximum recurrence:

$$T(1) \leq c$$

$$T(n) \leq 2T(n / 2) + c$$

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# Solving Existing Recurrences

- Consider the recurrence for the code to find the maximum value in an array:

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- What are  $a$ ,  $b$ ,  $d$ ?  **$a = 1$ ,  $b = 2$ ,  $d = 1$**

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- What is  $\log_b a$ ? **0**
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# Proving the Master Theorem

- We can prove the Master Theorem by writing out a generic proof using a recursion tree.
  - Draw out the tree.
  - Determine the work per level.
  - Sum across all levels.
- The three cases of the Master Theorem correspond to whether the recurrence is topheavy, balanced, or bottomheavy.

# Simplifying the Recurrence

- The recurrence given by the Master Theorem is shown here:

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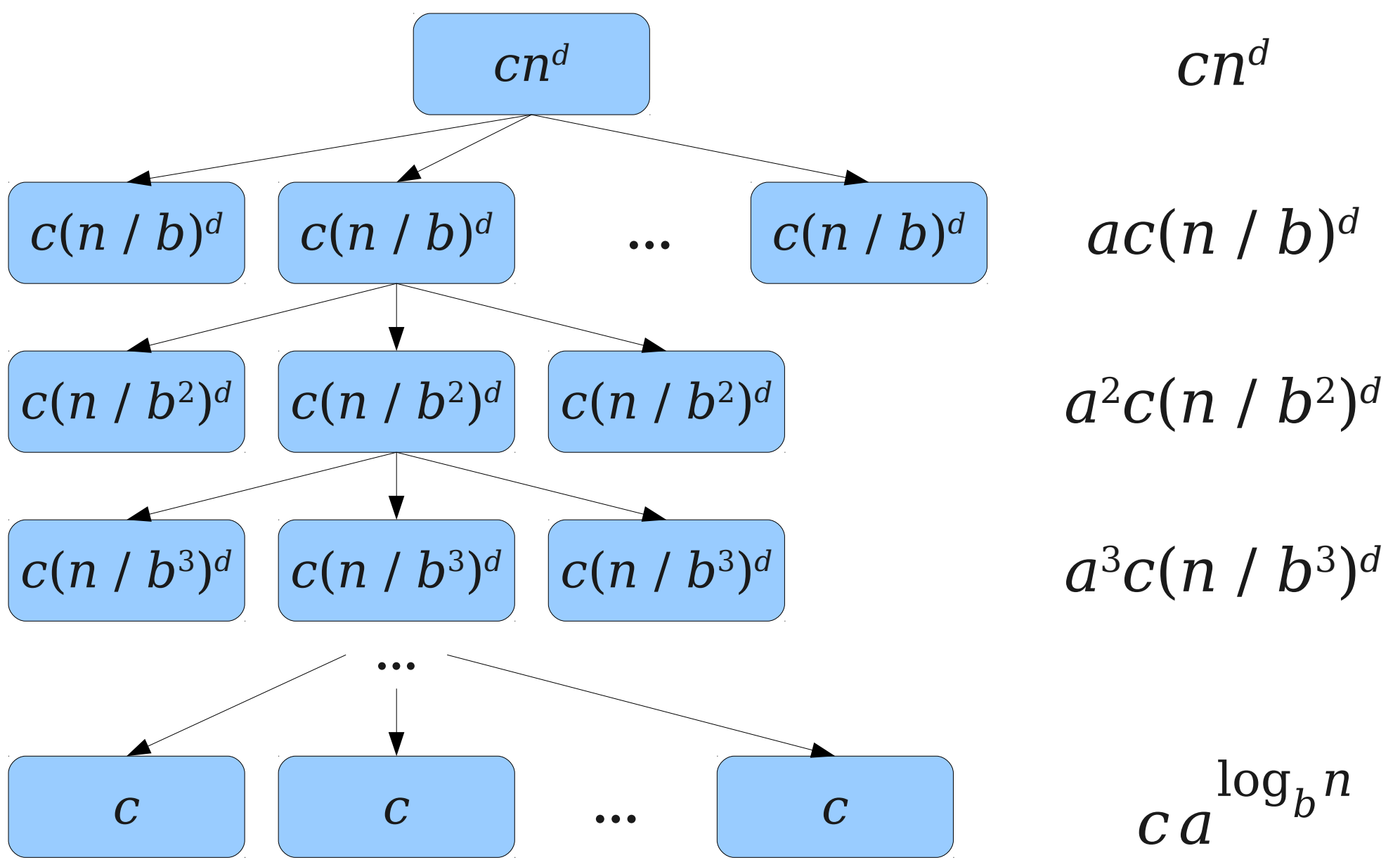
$$\begin{aligned}T(1) &\leq \Theta(1) \\T(n) &\leq aT(\lfloor n / b \rfloor) + O(n^d)\end{aligned}$$

- We will apply our standard simplifications to this recurrence:
  - Assume inputs are powers of  $b$ .
  - Replace  $\Theta$  and  $O$  with constant multiples.

$$\begin{aligned}T(1) &\leq c \\T(n) &\leq aT(n / b) + cn^d\end{aligned}$$

$$T(1) \leq c$$

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# Hairy Scary Math

- At internal level  $k$  of the tree, the work done is

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- Therefore:

$$T(n) \leq ca^{\log_b n} + \sum_{k=0}^{\log_b n - 1} cn^d \left( \frac{a}{b^d} \right)^k$$



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- Therefore:

$$\begin{aligned} T(n) &\leq ca^{\log_b n} + \sum_{k=0}^{\log_b n - 1} cn^d \left( \frac{a}{b^d} \right)^k \\ &= ca^{\log_b n} + cn^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k \end{aligned}$$

# Icky Tricky Math

- Let's see if we can simplify

$$T(n) \leq c a^{\log_b n} + \sum_{k=0}^{c-1} n^d \log_b n - 1 \left( \frac{a}{b^d} \right)^k$$

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- Let's look at the first term. Note that

$$a^{\log_b n} = (b^{\log_b a})^{\log_b n}$$

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$$\begin{aligned} a^{\log_b n} &= (b^{\log_b a})^{\log_b n} \\ &= b^{(\log_b a)(\log_b n)} \\ &= (b^{\log_b n})^{\log_b a} \\ &= n^{\log_b a} \end{aligned}$$

so 
$$T(n) \leq c n^{\log_b a} + c n^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k$$

# Frightening Enlightening Math

- All that's left to do now is to simplify

$$T(n) \leq cn^{\log_b a} + cn^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k$$



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$$T(n) \leq cn^{\log_b a} + cn^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k$$

- **Case 1:** What if  $a / b^d = 1$ ?

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- **Case 1:** What if  $a / b^d = 1$ ?

$$\begin{aligned} a / b^d &= 1 \\ a &= b^d \end{aligned}$$

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$$a / b^d = 1$$

$$a = b^d$$

$$\log_b a = d$$

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- **Case 1:** What if  $a / b^d = 1$ ? Then  $\log_b a = d$ , so

$$T(n) \leq cn^d + cn^d \sum_{k=0}^{\log_b n - 1} 1$$

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- **Case 1:** What if  $a / b^d = 1$ ? Then  $\log_b a = d$ , so

$$\begin{aligned} T(n) &\leq cn^d + cn^d \sum_{k=0}^{\log_b n - 1} 1 \\ &= cn^d + cn^d \log_b n \end{aligned}$$

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- **Case 1:** What if  $a / b^d = 1$ ? Then  $\log_b a = d$ , so

$$\begin{aligned} T(n) &\leq cn^d + cn^d \sum_{k=0}^{\log_b n - 1} 1 \\ &= cn^d + cn^d \log_b n \\ &= O(n^d \log n) \end{aligned}$$



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$$T(n) \leq cn^{\log_b a} + cn^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k$$

- **Case 2:** What if  $a / b^d < 1$ ? Then  $\log_b a < d$ , so

$$T(n) < cn^d + cn^d \sum_{k=0}^{\log_b n - 1} \left( \frac{a}{b^d} \right)^k$$

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 &= cn^{\log_b a} + cn^{\log_b a} \Theta(1) \\
 &= O(n^{\log_b a})
 \end{aligned}$$



# Why the Master Theorem Matters

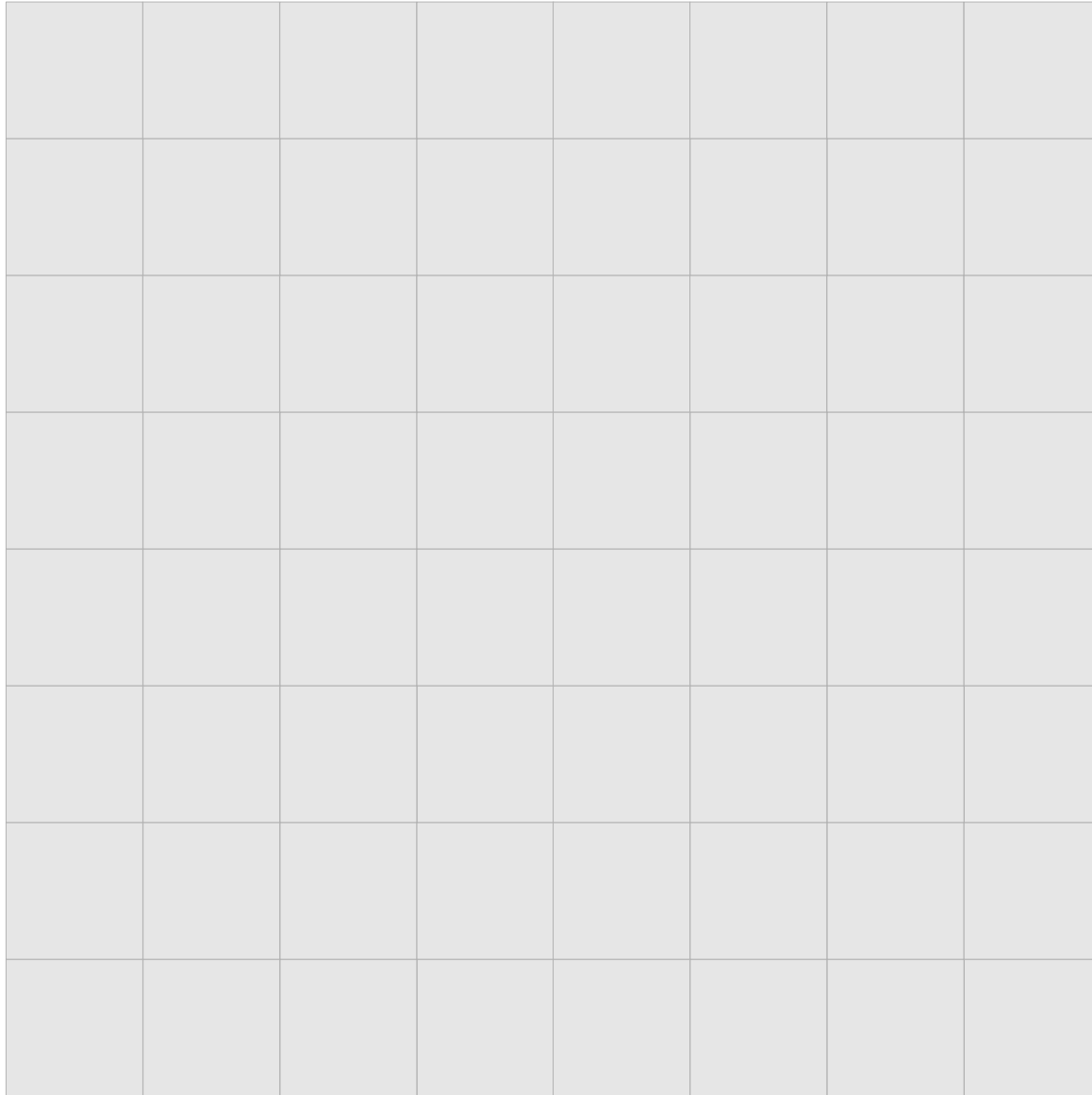
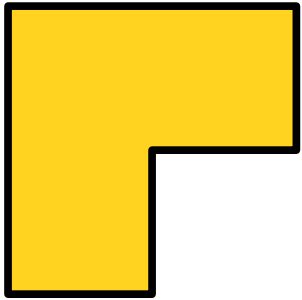
- The proof of the Master Theorem can be thought of as a single proof that works for all recurrences of the form handled by the theorem.
- From this point forward, we can just call back to the Master Theorem when applicable.
- Not all recurrences can be solved by the Master Theorem; more on that next time.

# Applications of the Master Theorem: A Sampler of Algorithms

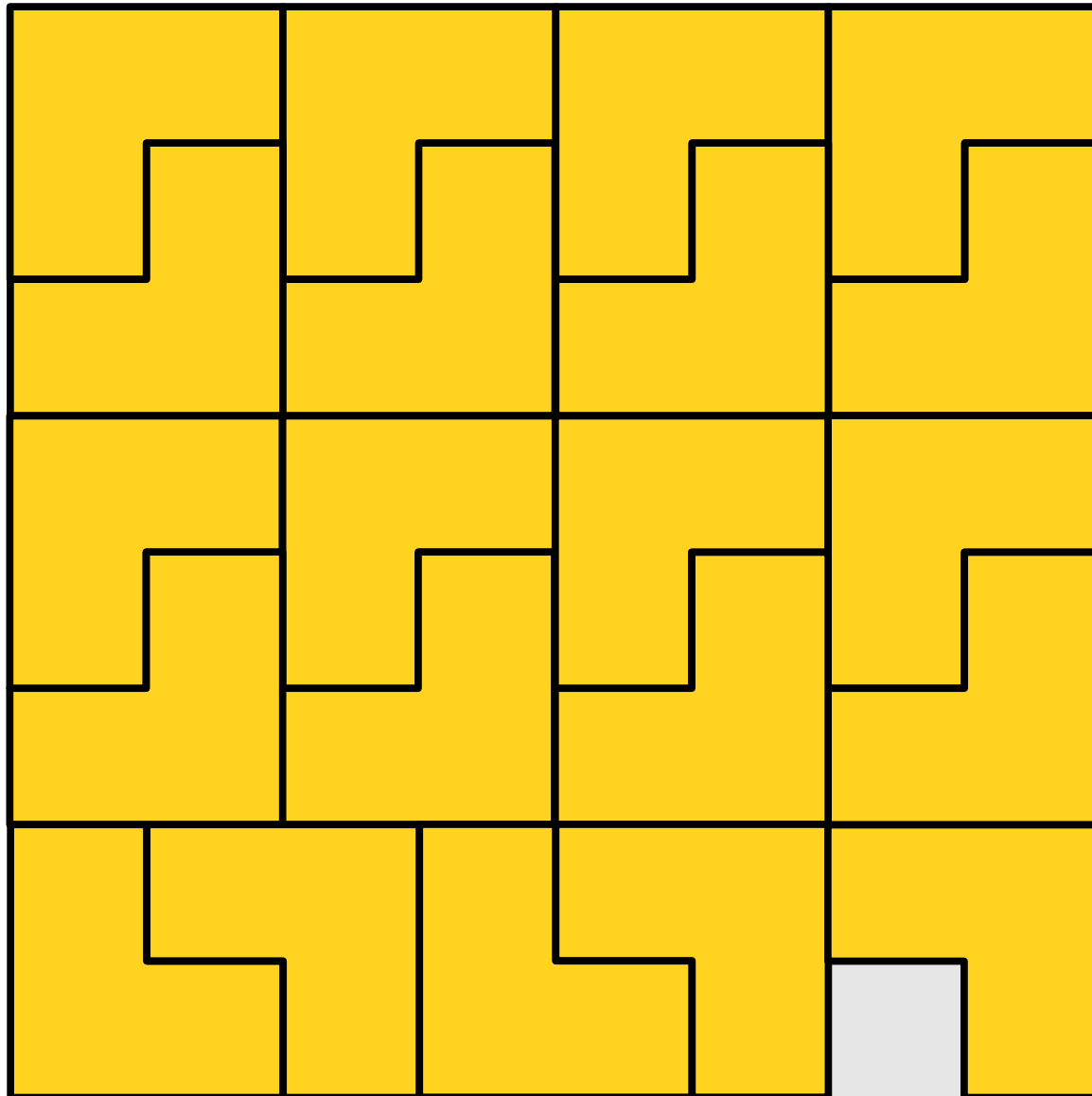
# Tiling with Triominoes



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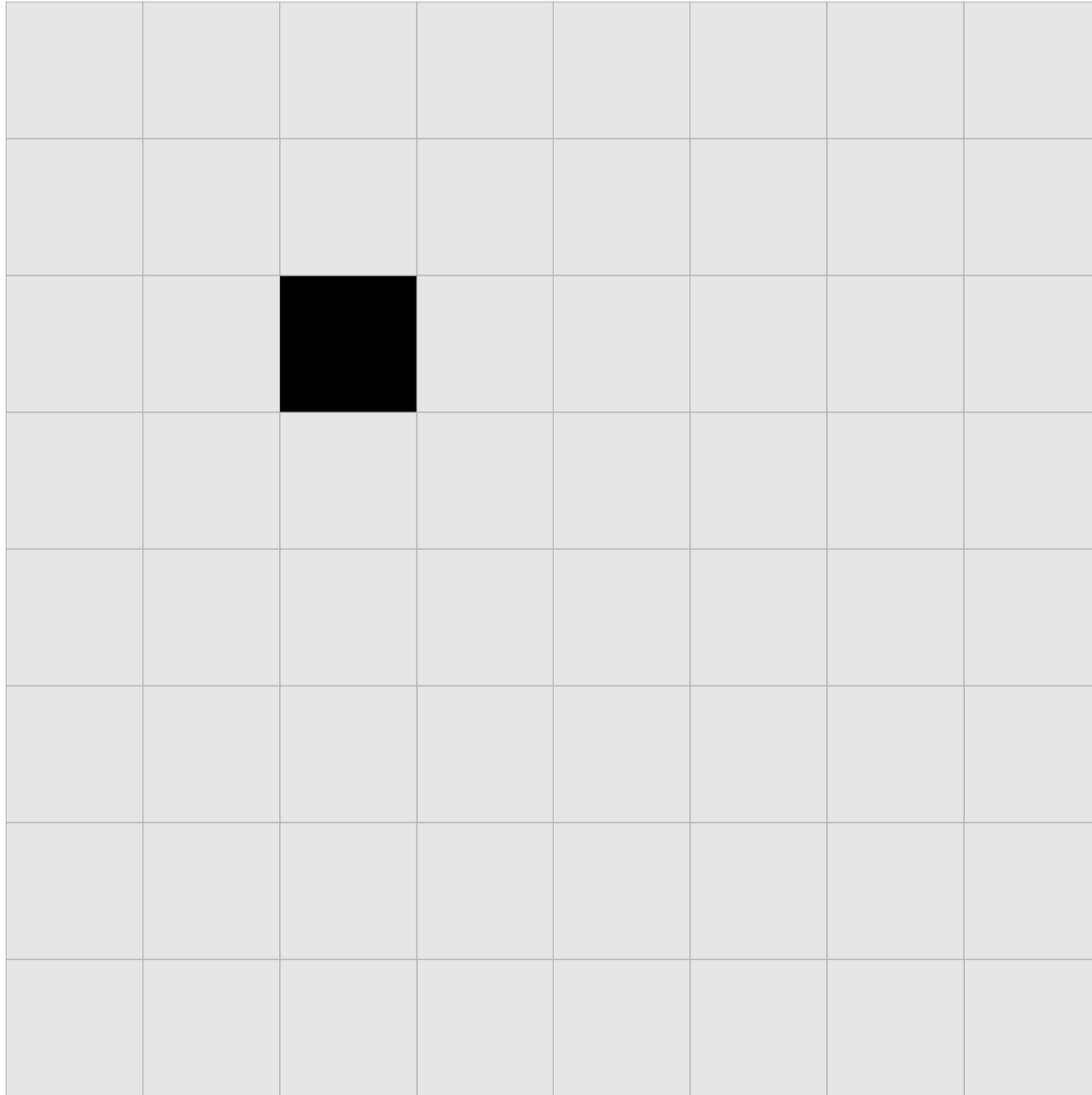
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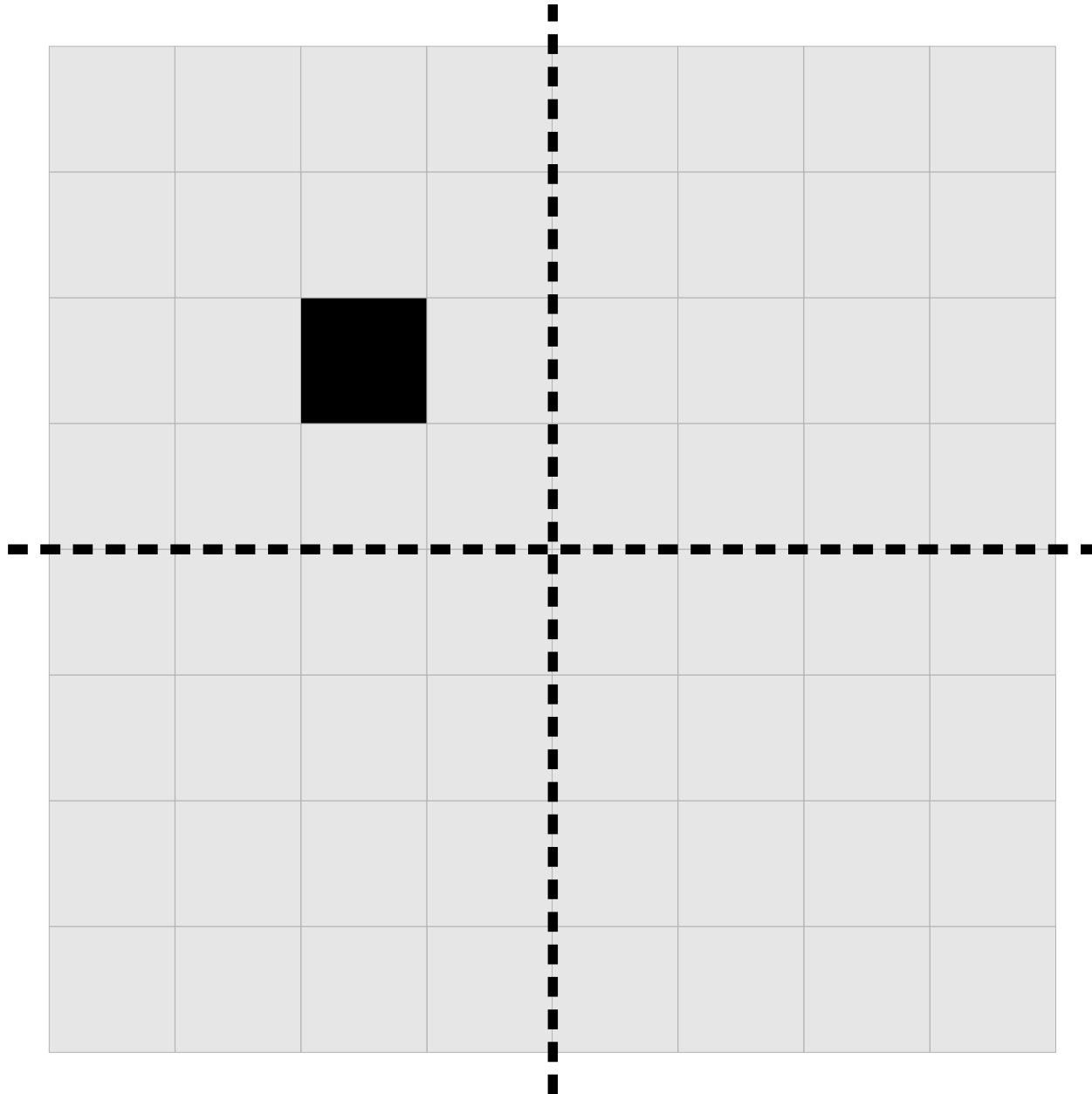
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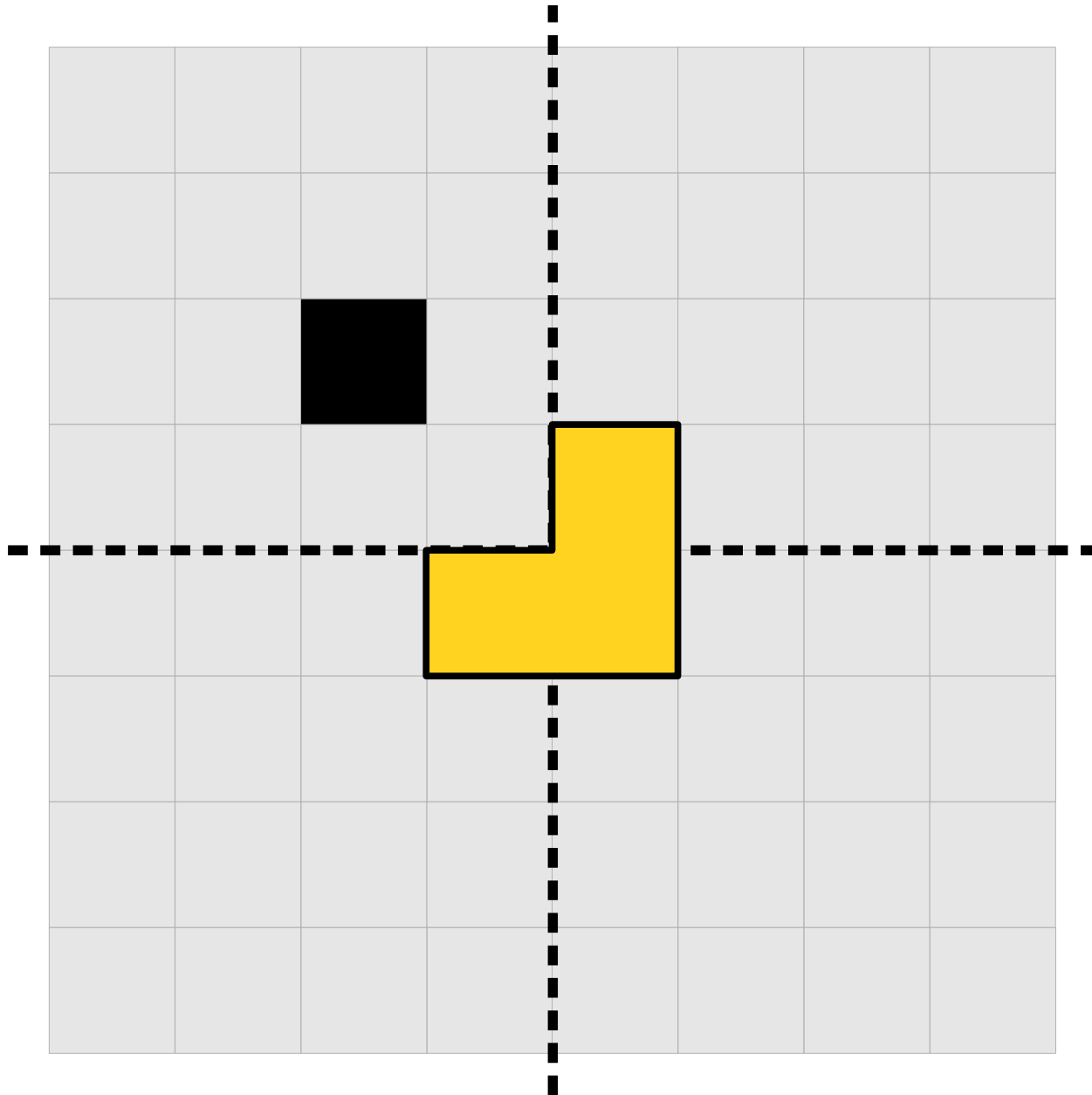


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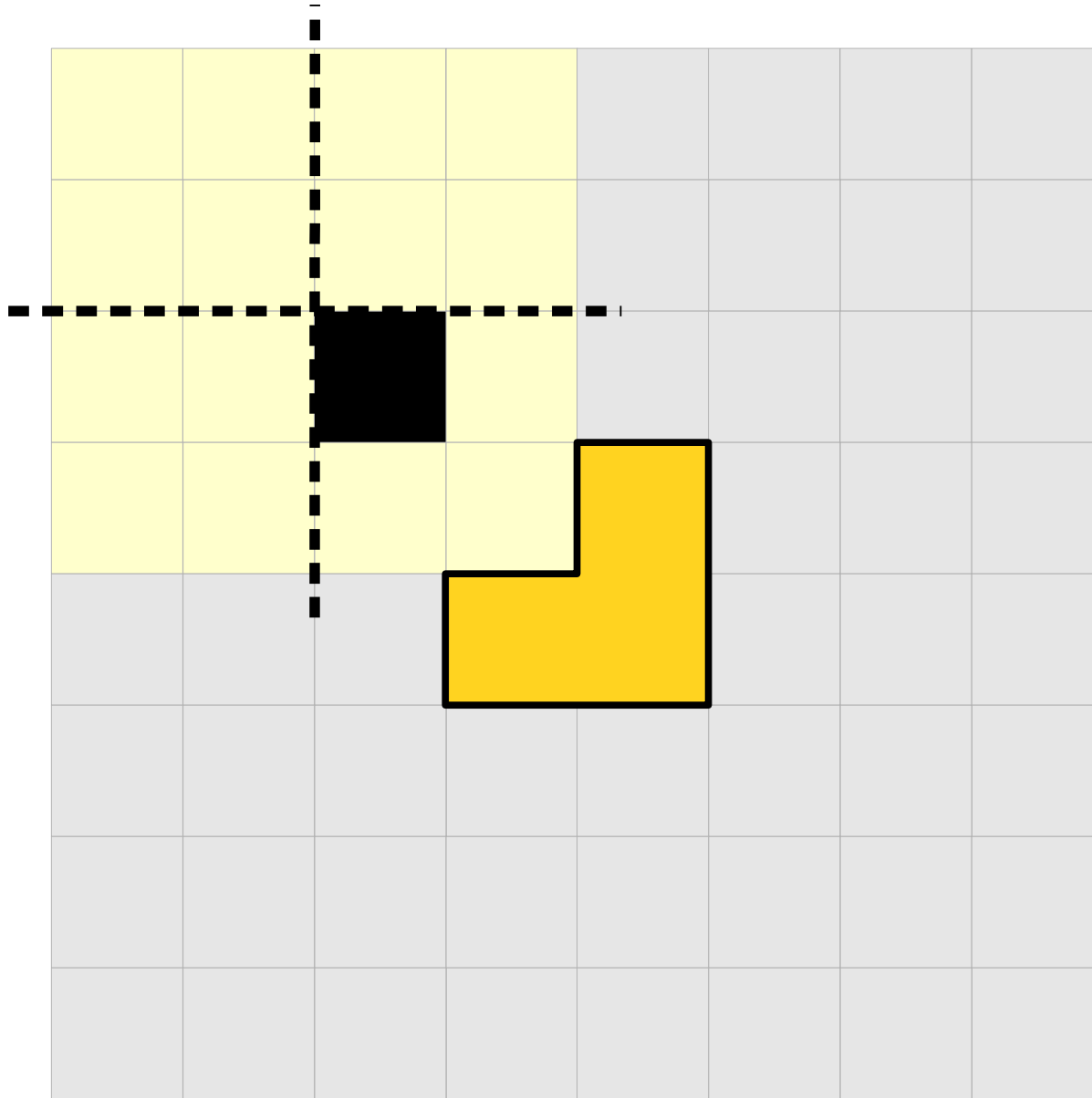


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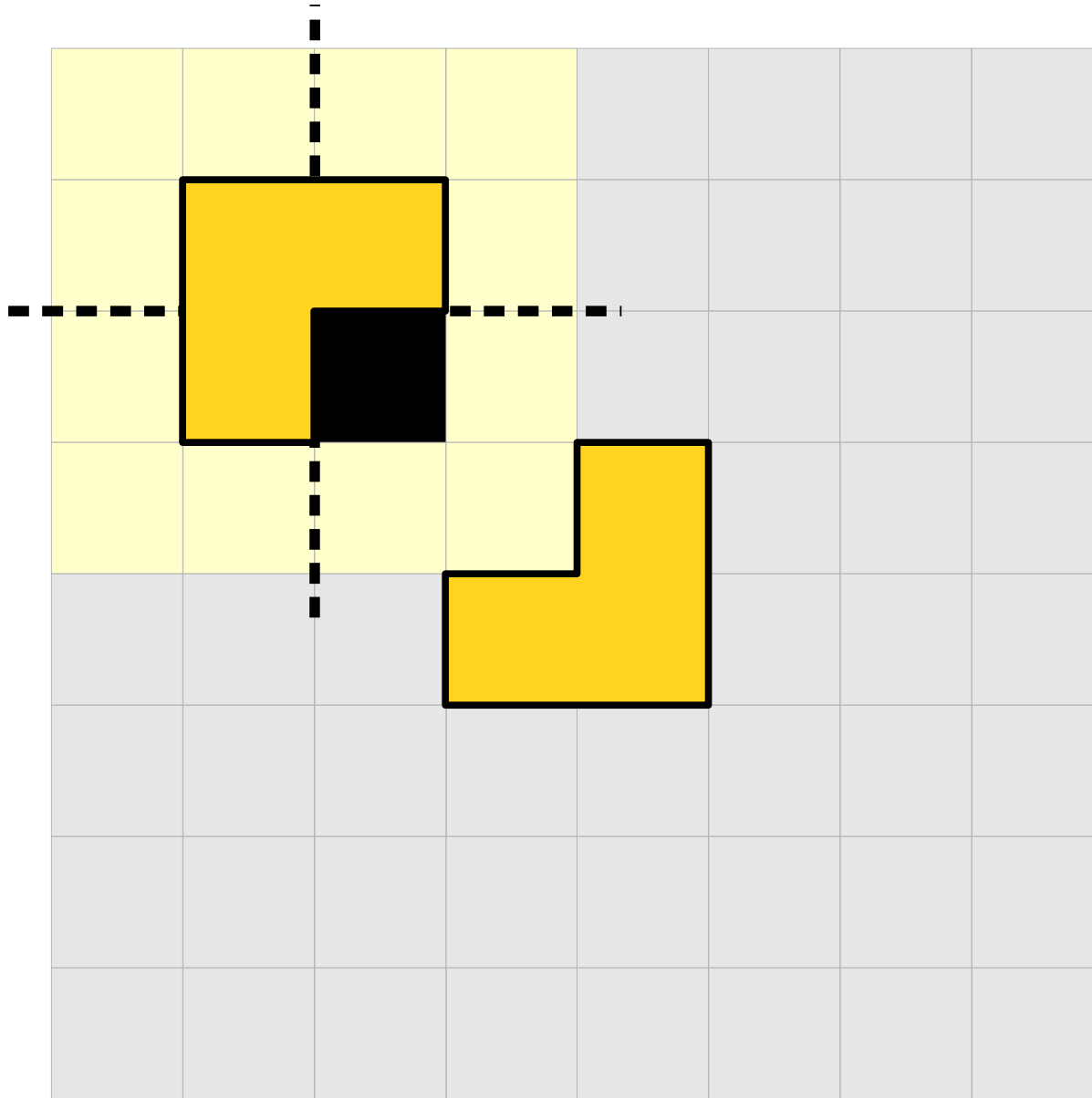




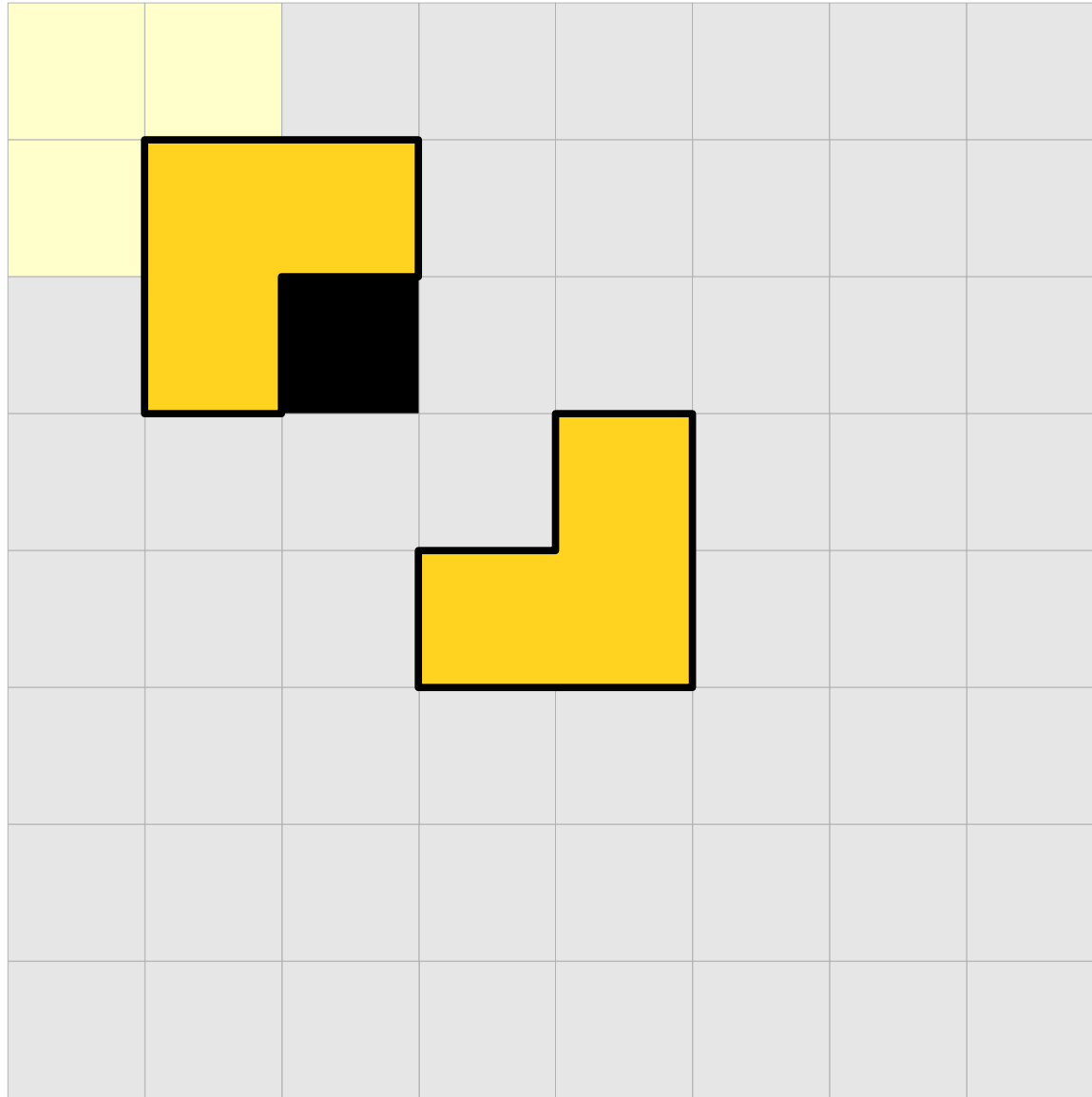
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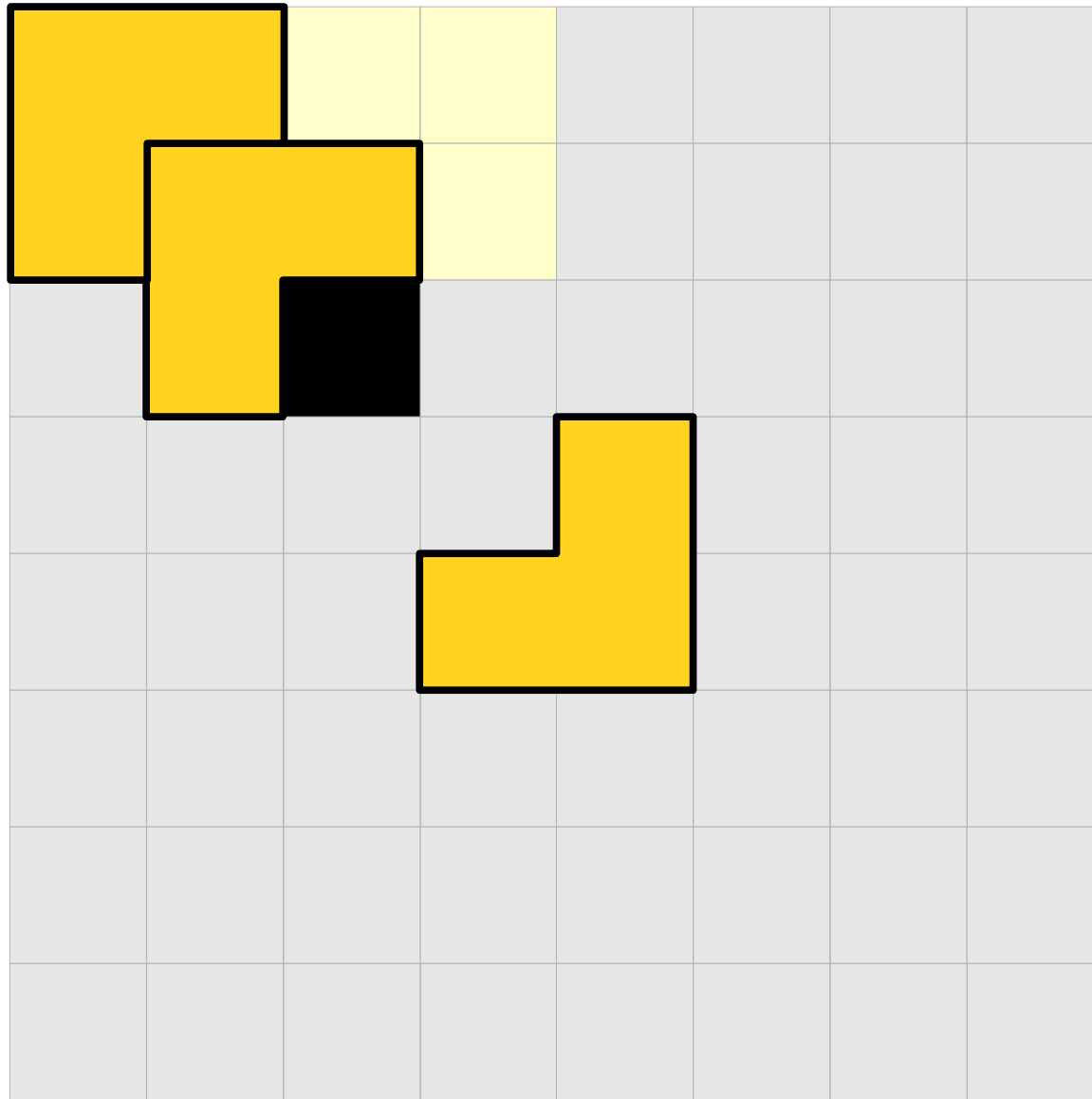


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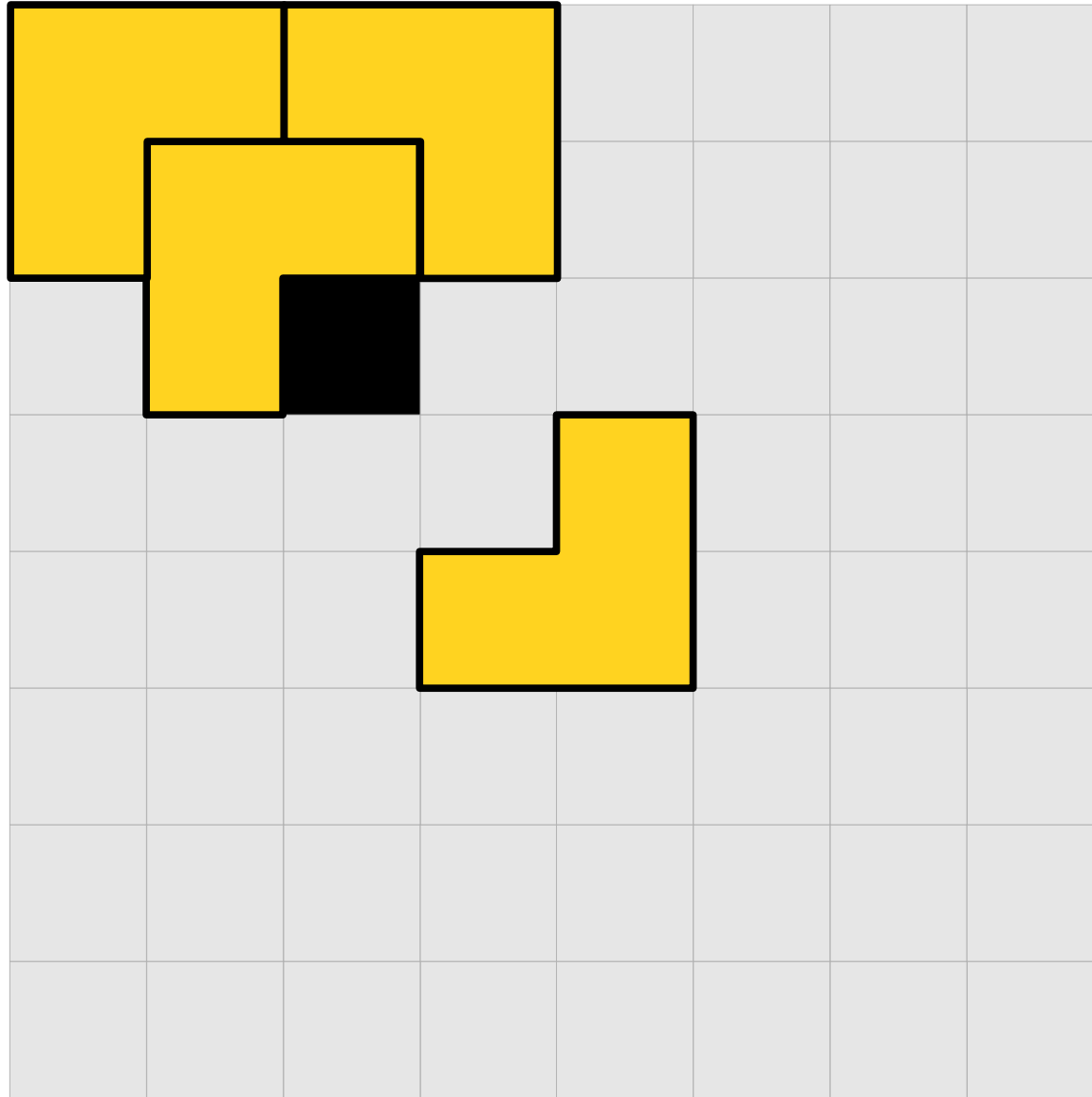




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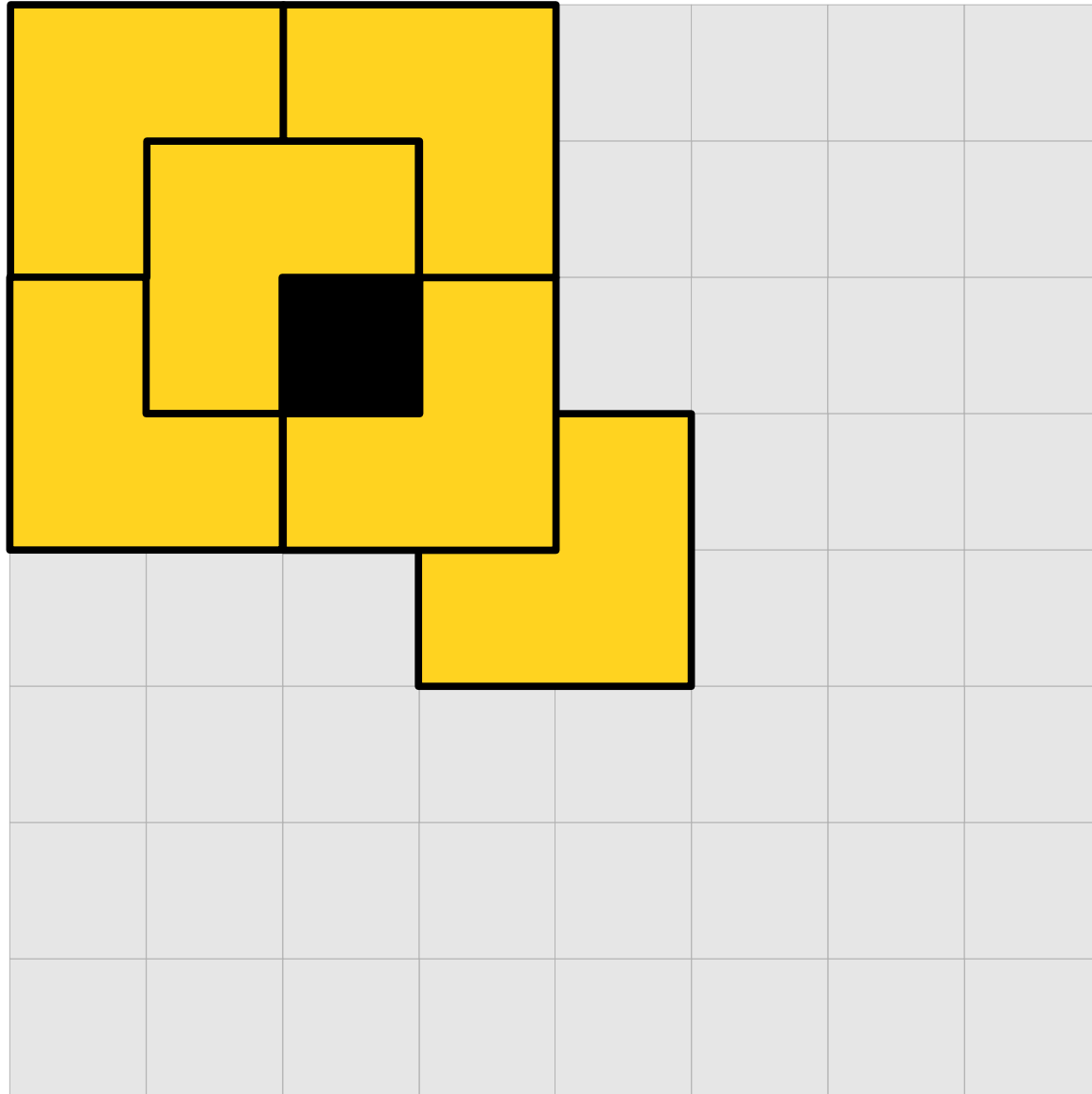


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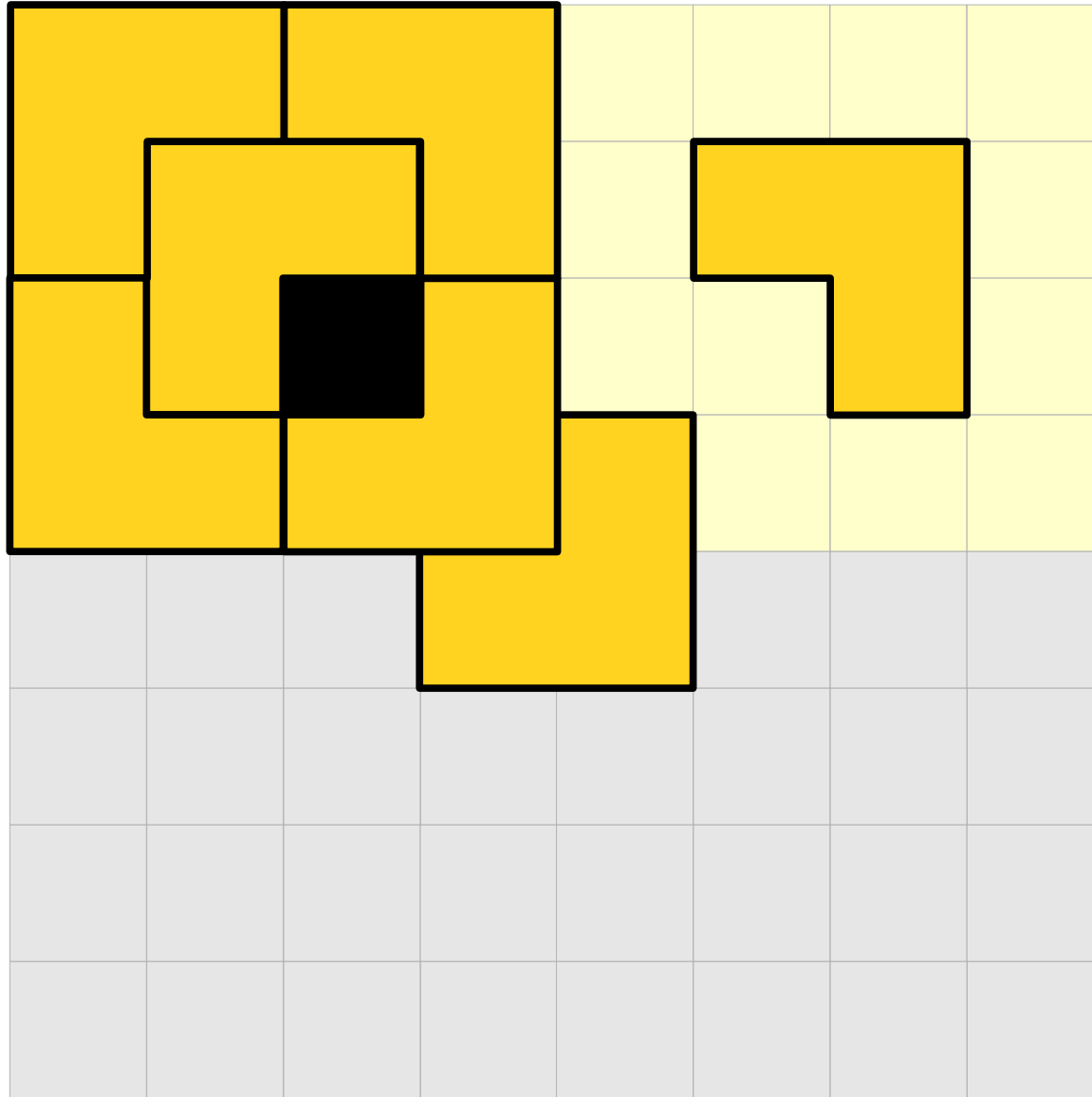


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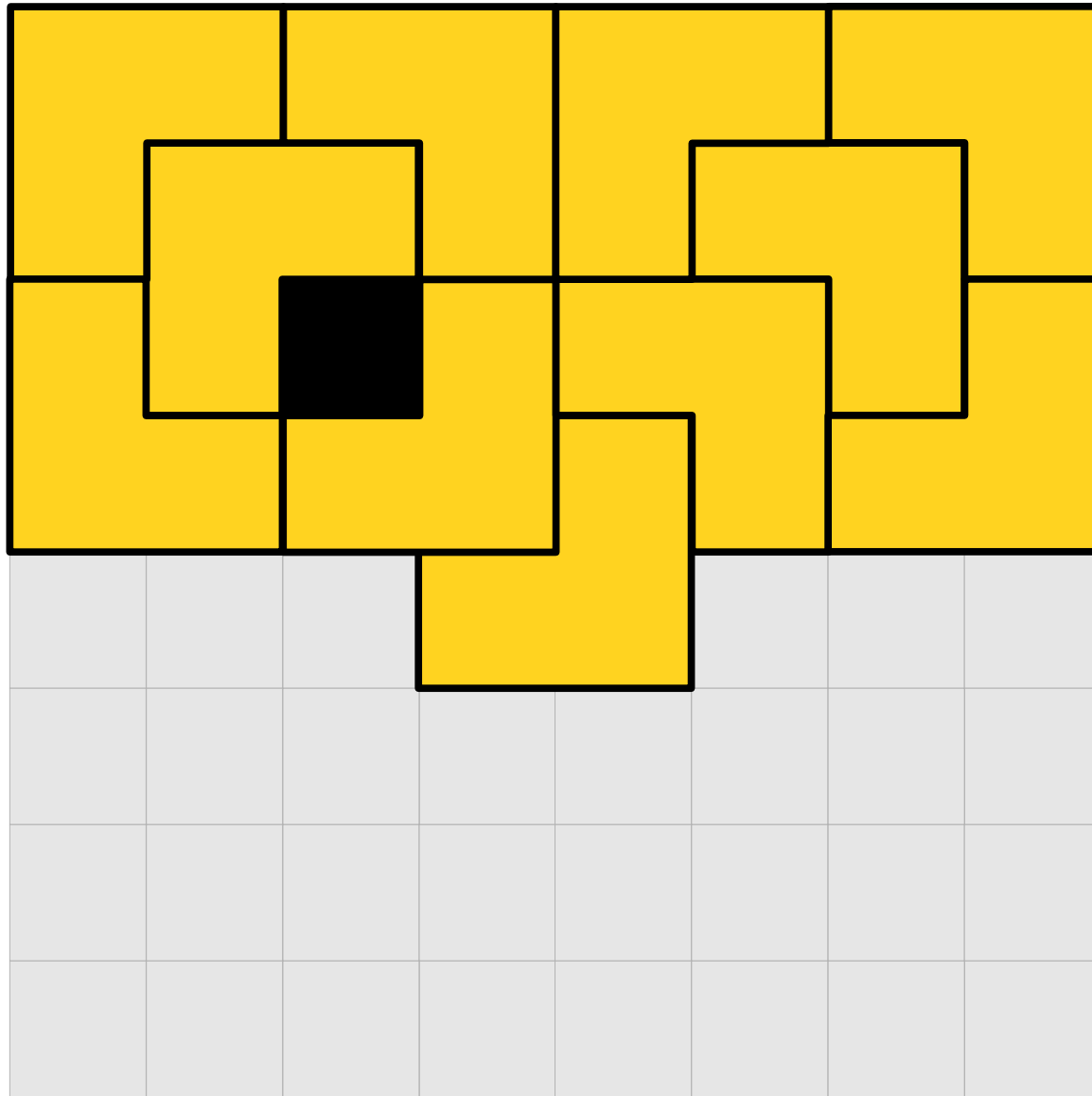




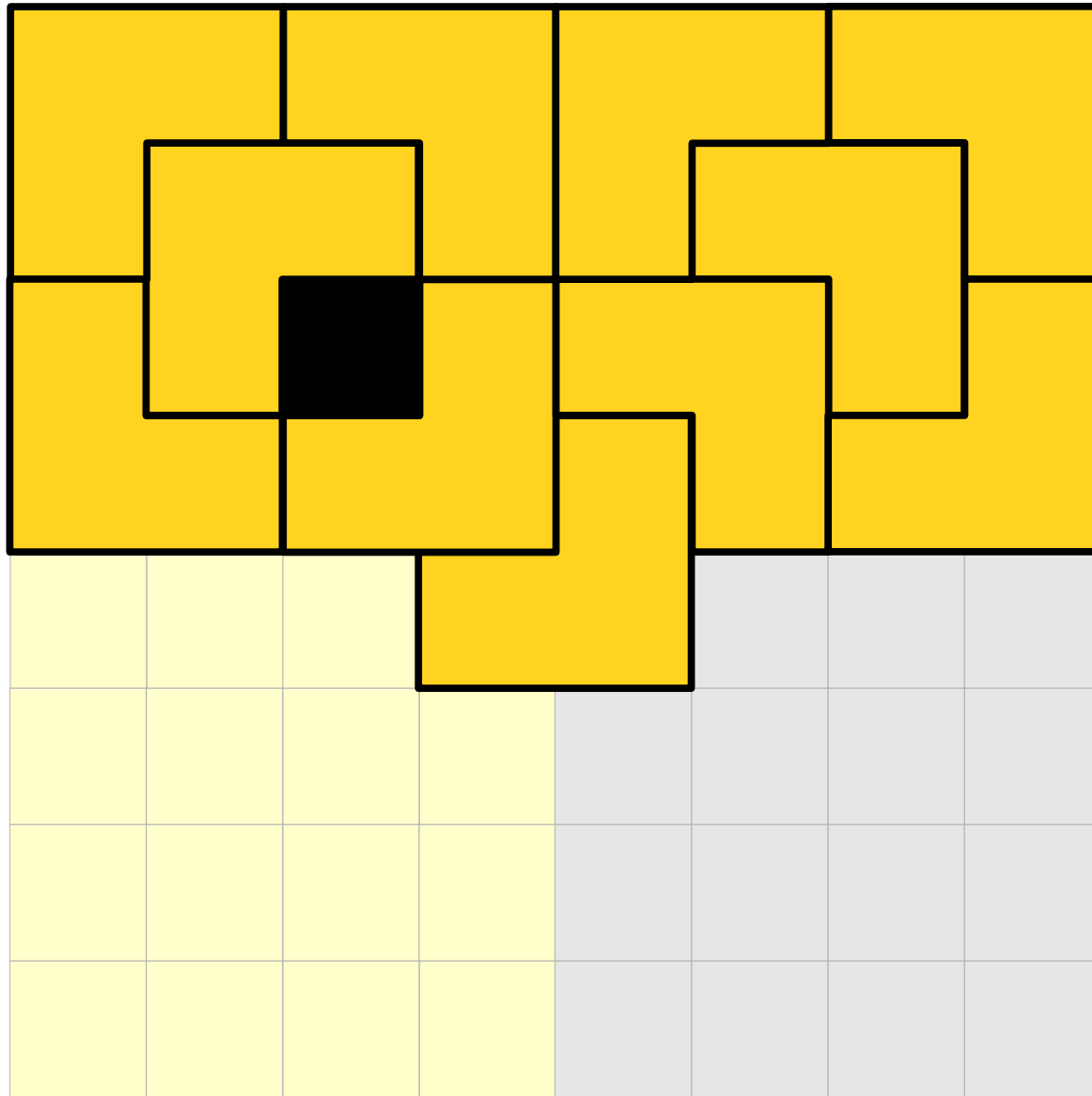
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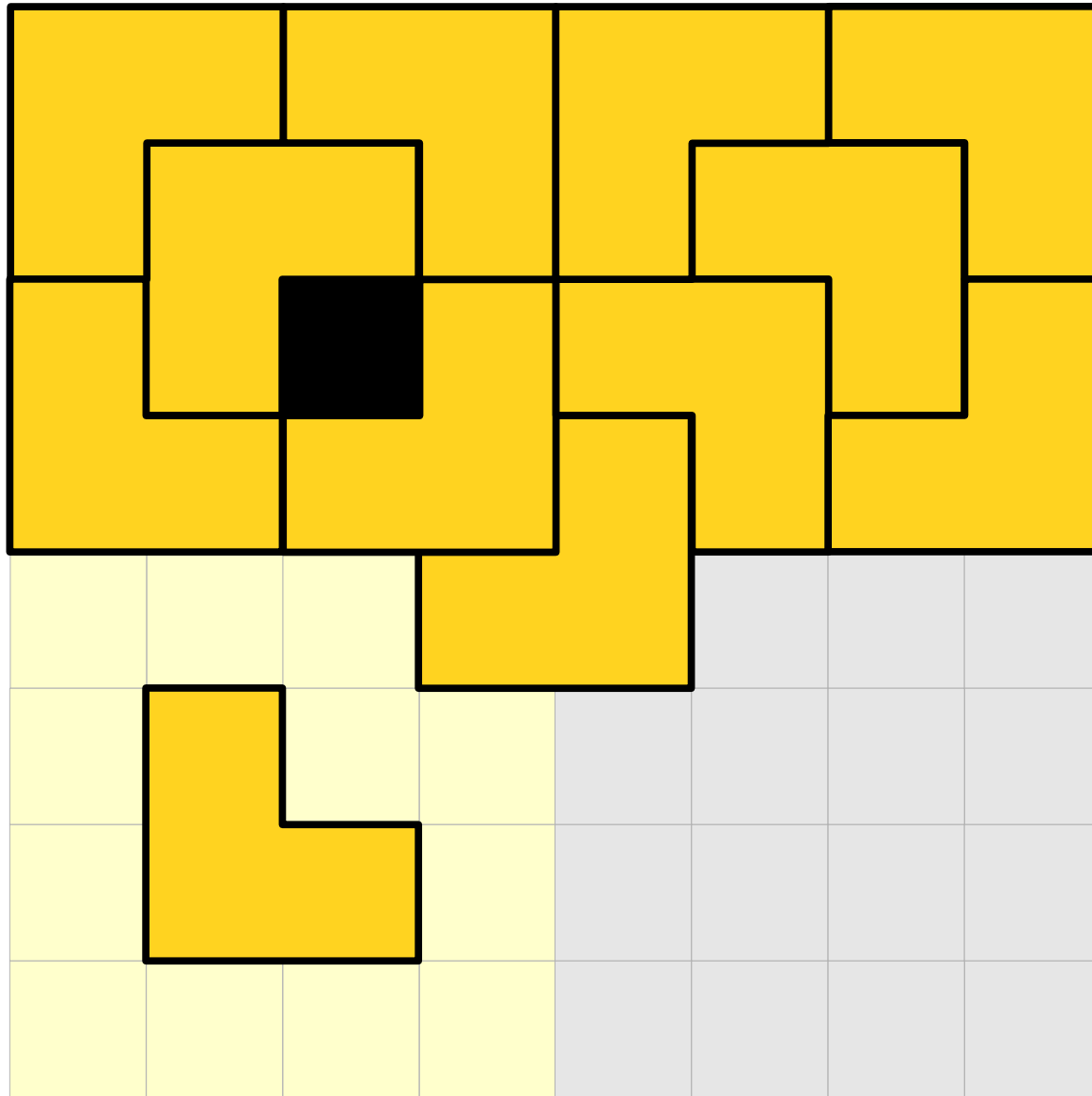
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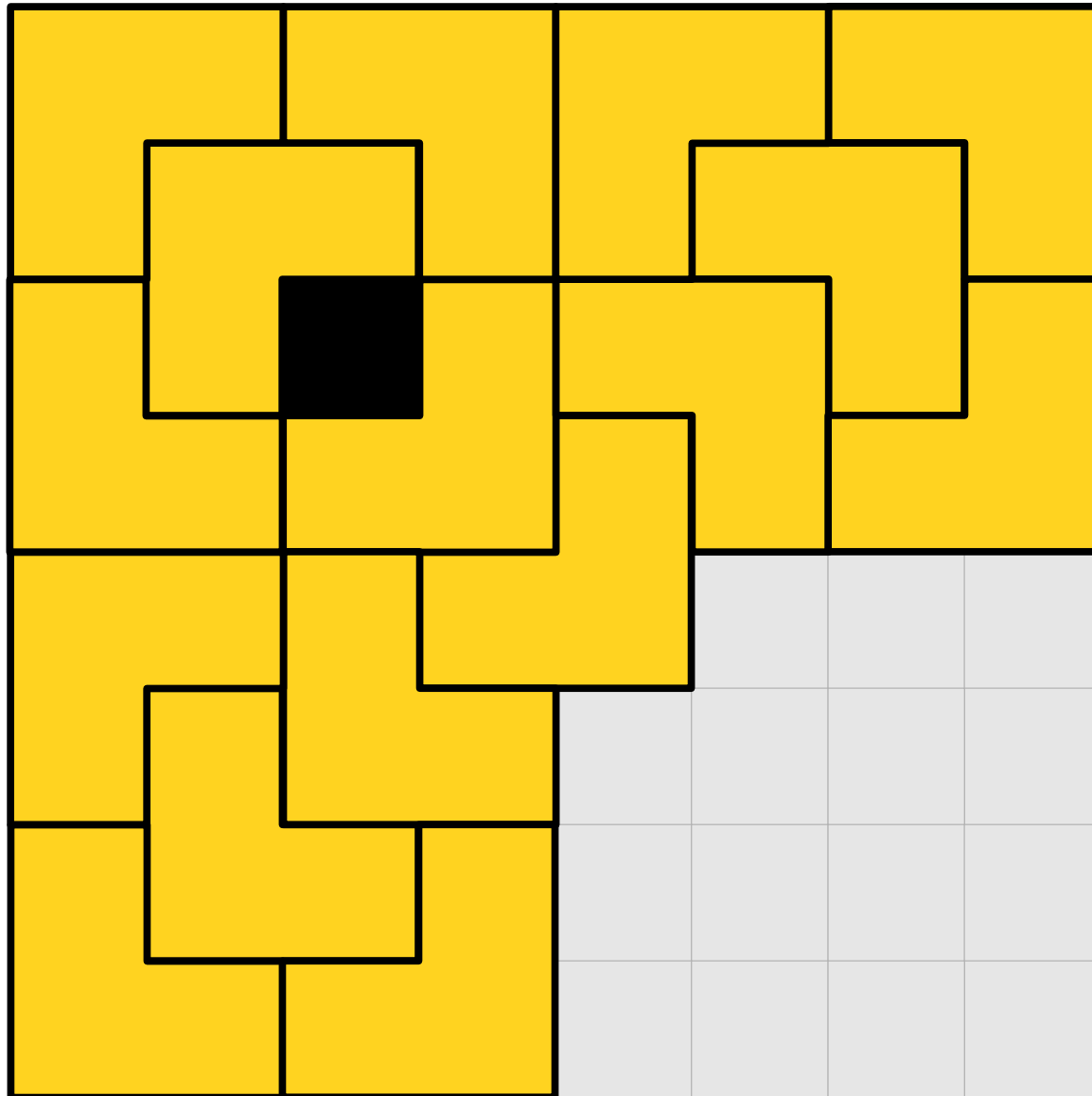
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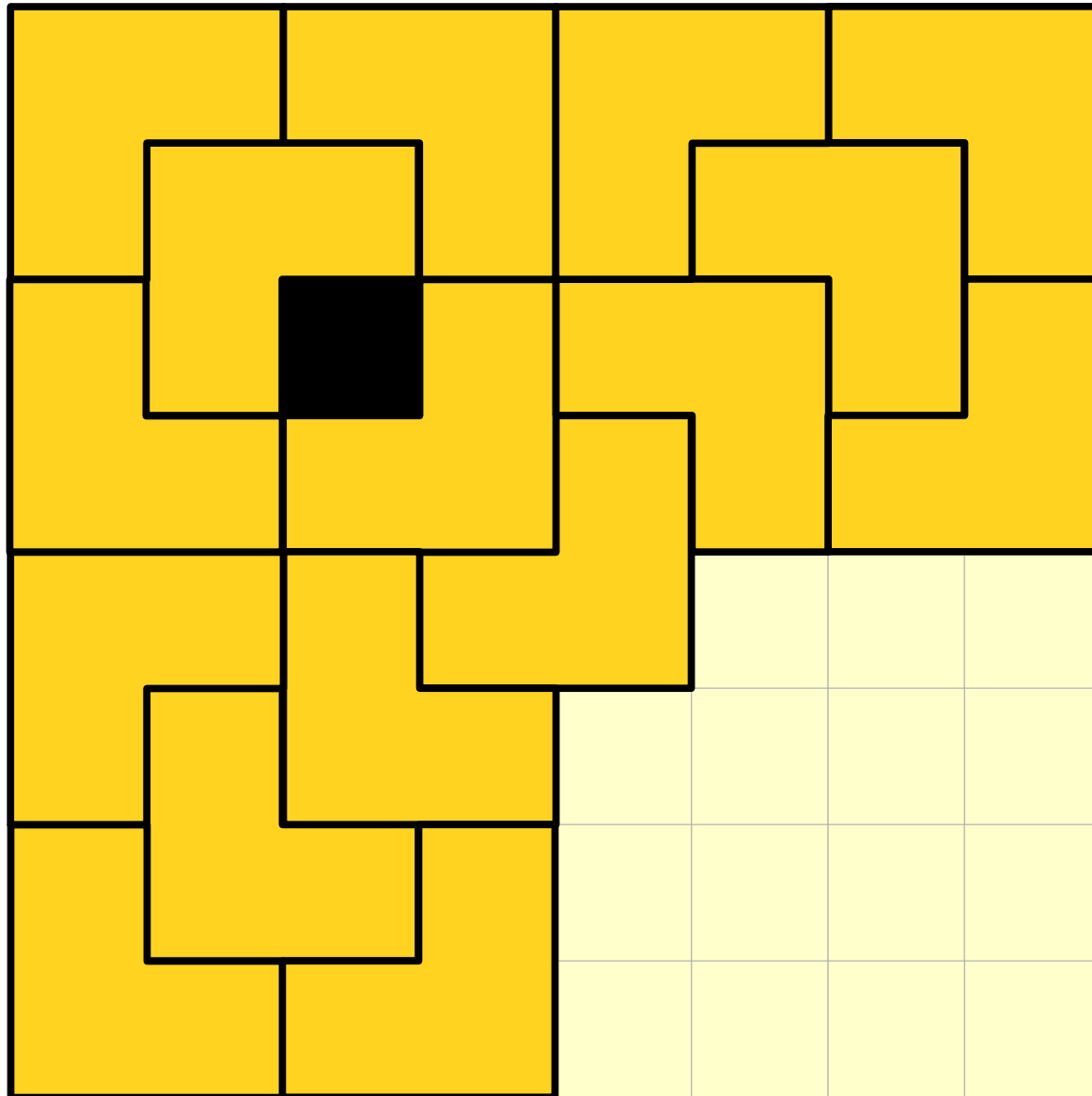
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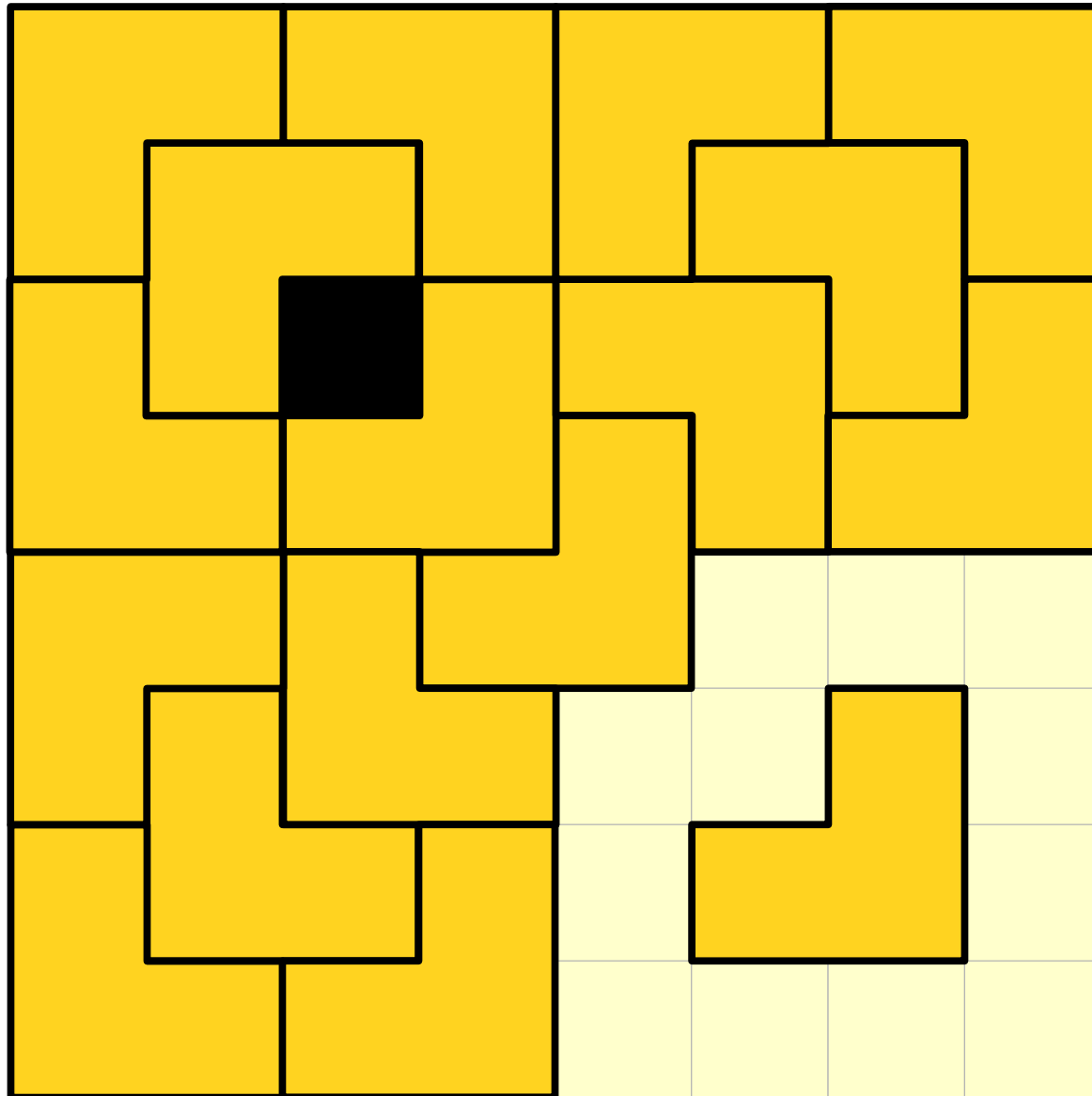


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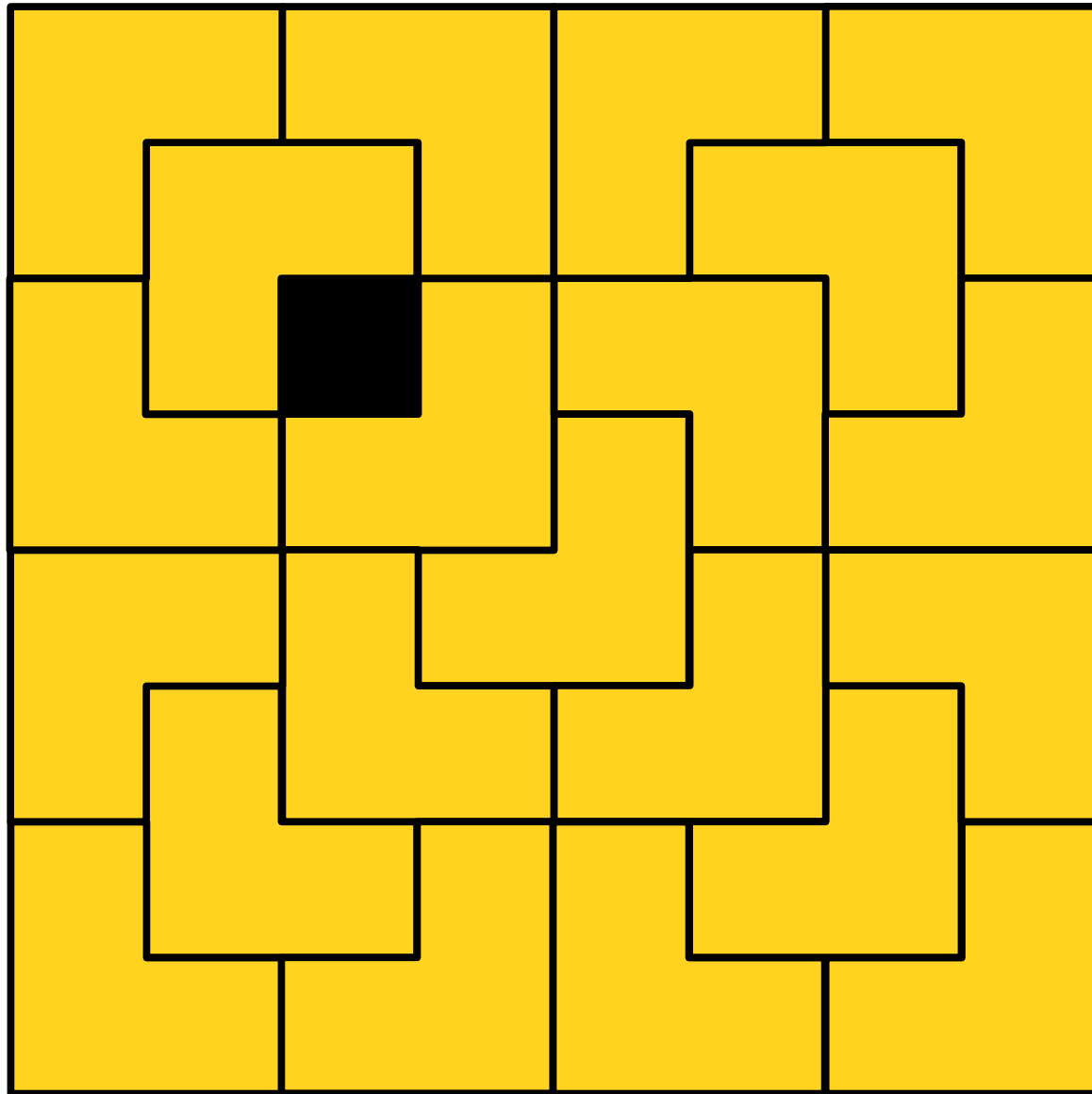




# Tiling with Triominoes



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# Tiling with Triominoes

- To tile a  $2^k \times 2^k$  board missing a single square, do the following:
  - If the board has size  $1 \times 1$ , it has no uncovered squares (because one square is missing) and we're done.
  - Otherwise, place a triomino in the center to cover up one square from each quadrant that isn't missing a square, then recursively fill in the four smaller squares.

$$T(1) = \Theta(1)$$

$$T(n) = 4T(n / 2) + \Theta(1)$$

# Solving the Recurrence

- We have the recurrence

$$T(1) = \Theta(1)$$

$$T(n) = 4T(n / 2) + \Theta(1)$$

- What are  $a$ ,  $b$ , and  $d$ ?
- What is  $\log_b a$ ?
- What runtime do we get from the Master Theorem?
- Does that make sense?

# Searching a Grid, Take Two

<b>10</b>	<b>12</b>	<b>13</b>	<b>21</b>	<b>32</b>	<b>34</b>	<b>43</b>	<b>51</b>
<b>16</b>	<b>21</b>	<b>23</b>	<b>26</b>	<b>40</b>	<b>54</b>	<b>65</b>	<b>67</b>
<b>21</b>	<b>23</b>	<b>31</b>	<b>33</b>	<b>54</b>	<b>58</b>	<b>74</b>	<b>77</b>
<b>32</b>	<b>46</b>	<b>59</b>	<b>65</b>	<b>74</b>	<b>88</b>	<b>99</b>	<b>103</b>
<b>53</b>	<b>75</b>	<b>96</b>	<b>115</b>	<b>124</b>	<b>131</b>	<b>132</b>	<b>136</b>
<b>85</b>	<b>86</b>	<b>98</b>	<b>145</b>	<b>146</b>	<b>151</b>	<b>173</b>	<b>187</b>

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$$T(0) = \Theta(1)$$

$$T(1) = \Theta(1)$$

$$T(Z) \leq 3T(\lfloor Z / 4 \rfloor) + \Theta(1)$$

# Recursive Sorted Searching

- We now have the recurrence

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# Recursive Sorted Searching

- We now have the recurrence

$$T(0) = \Theta(1)$$

$$T(1) = \Theta(1)$$

$$T(Z) \leq 3T(\lfloor Z / 4 \rfloor) + \Theta(1)$$

- What are  $a$ ,  $b$ , and  $d$ ?
- What does this recurrence solve to?



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- What are  $a$ ,  $b$ , and  $d$ ?
- What does this recurrence solve to?
- Since  $T(Z) = O(Z^{\log_4 3})$ , the runtime is  **$O((mn)^{\log_4 3}) \approx O((mn)^{0.79})$**

One More Example:  
**Integer Multiplication**

# Some Efficiency Claims

- Claim: The following can be done in  $\Theta(1)$  time:
  - Multiplying two one-digit numbers.
  - Adding two one-digit numbers.
- Suppose that  $A$  and  $B$  have  $n$  digits each. Then these operations have the following costs:
  - Computing  $A + B$ :  $\Theta(n)$
  - Computing  $A - B$ :  $\Theta(n)$
  - Computing  $A \cdot 10^k$ :  $O(n + k)$
  - Computing  $A \bmod 10^k$ :  $O(n + k)$

# Algorithm Efficiency

- Recall: **Algorithm** refers to place-value arithmetic.
- What is the cost of computing  $A \cdot B$ , where  $A$  and  $B$  are  $n$ -digit numbers?
  - Does  $\Theta(n)$  rounds of the following:
    - Multiply each digit in  $A$  by a digit in  $B$ :  $\Theta(n)$  time, including time to carry across columns.
    - Shift the resulting number  $O(n)$  places:  $O(n)$  time.
  - $\Theta(n)$  additions of  $O(n)$ -digit numbers: time  $\Theta(n^2)$ .
  - Overall runtime:  **$\Theta(n^2)$** .

# A Quick History Lesson

# Multiplying with Divide-and-Conquer

- Suppose that you want to multiply together two numbers  $X$  and  $Y$ , both of which are  $n$  digits long.

- Write

$$X = a \cdot 10^{\lfloor n/2 \rfloor} + b$$

$$Y = c \cdot 10^{\lfloor n/2 \rfloor} + d$$

where  $b, d < 10^{\lfloor n/2 \rfloor}$

- If  $X = 13579$  and  $Y = 24680$ , what are  $a, b, c$  and  $d$ ?

# Multiplying with Divide-and-Conquer

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# Multiplying with Divide-and-Conquer

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$$X \cdot Y = (a \cdot 10^{\lfloor n/2 \rfloor} + b) \cdot (c \cdot 10^{\lfloor n/2 \rfloor} + d)$$



# Multiplying with Divide-and-Conquer

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$$\begin{aligned} X \cdot Y &= (a \cdot 10^{\lfloor n/2 \rfloor} + b) \cdot (c \cdot 10^{\lfloor n/2 \rfloor} + d) \\ &= ac \cdot 10^{2\lfloor n/2 \rfloor} + ad \cdot 10^{\lfloor n/2 \rfloor} + bc \cdot 10^{\lfloor n/2 \rfloor} + bd \end{aligned}$$

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- What is the cost of directly evaluating this expression?
  - Does 4 multiplications on numbers with  $\lfloor n/2 \rfloor$  digits.
  - Does three additions of numbers with  $O(n)$  digits.
  - Does two multiplications by powers of ten, each of which takes  $O(n)$  time.

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$$T(n) = 4T(\lfloor n/2 \rfloor) + O(n)$$

# Solving the Recurrence

- We now have the recurrence

$$\begin{aligned} T(1) &= \Theta(1) \\ T(n) &= 4T(\lceil n/2 \rceil) + O(n) \end{aligned}$$

- What does the Master Theorem say?
- Runtime is  $O(n^2)$ . But that's no better than before...

# Karatsuba's Observation

- Karatsuba arrived at this expression:

$$X \cdot Y = \mathbf{ac} \cdot 10^{2\lfloor n/2 \rfloor} + (\mathbf{ad} + \mathbf{bc}) \cdot 10^{\lfloor n/2 \rfloor} + \mathbf{bd}$$

- Karatsuba's key question: Is it possible to compute  $\mathbf{ac}$ ,  $\mathbf{ad} + \mathbf{bc}$ , and  $\mathbf{bd}$  without making four multiplications?

# Karatsuba's Observation

- Consider these three products:

$$**E = ac**$$

$$**F = bd**$$

$$**G = (a + b)(c + d) = ac + ad + bc + bd**$$

- We can compute these values with two additions and three multiplications.
- Note that

$$**ac = E**$$

$$**bd = F**$$

$$**ad + bc = G - E - F**$$

# Karatsuba's Algorithm

- Write  $X = a \cdot 10^{\lfloor n/2 \rfloor} + b$  and  $Y = c \cdot 10^{\lfloor n/2 \rfloor} + d$
- Recursively compute

$$E = ac \quad F = bd \quad G = (a + b)(c + d)$$

- Then

$$X \cdot Y = E \cdot 10^{2\lfloor n/2 \rfloor} + (G - E - F) \cdot 10^{\lfloor n/2 \rfloor} + F$$

- Does two multiplications by powers of ten ( $O(n)$  each), four additions ( $O(n)$  each), two subtractions ( $O(n)$  each), and three recursive multiplies on numbers with at most  $\lfloor n/2 \rfloor$  digits.

$$T(1) = \Theta(1)$$

$$T(n) = 3T(\lfloor n/2 \rfloor) + O(n)$$



# Karatsuba's Algorithm

- We now have the recurrence

$$\begin{aligned}T(1) &= \Theta(1) \\T(n) &= 3T(\lceil n / 2 \rceil) + O(n)\end{aligned}$$

- What does the Master Theorem tell us?
- Runtime is  $O(n^{\log_2 3}) \approx \mathbf{O}(n^{1.585})$
- This is asymptotically better than the normal algorithm!
- **Standard algorithm is not the optimal algorithm!**

# After Karatsuba

- Several other algorithms for multiplying numbers have arisen since Karatsuba's algorithm.
- **Toom-Cook** uses a similar set of techniques to multiply  $n$ -digit numbers in time  $O(n^{\log_3 5})$ .
- **Schönhage-Strassen** uses a completely different approach (based on the fast Fourier transform) to achieve  $O(n \log n \log \log n)$  runtime.
- Recently (2008), **Fürer's algorithm** achieved runtime  $n \log n 2^{O(\log^* n)}$ , where  $\log^* n$  is an *extremely* slowly-growing function.
- **Finding an optimal multiplication algorithm is still an open problem!**

# Next Time

- The Selection Problem
- The Median of Medians Algorithm
- The Substitution Method