

CS 161

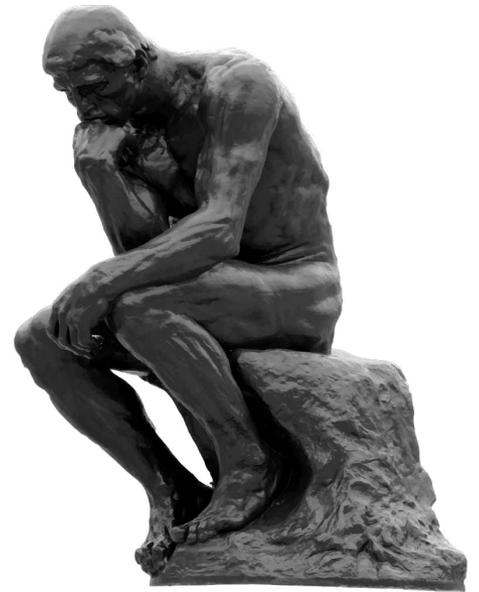
Design and Analysis of Algorithms

Lecture 1:

Logistics, introduction, and multiplication!

The big questions

- Who are we?
 - Professor, TAs, students?
- Why are we here?
 - Why learn about algorithms?
- What is going on?
 - What is this course about?
 - Logistics?
- Can we multiply integers?
 - And can we do it quickly?



Who are we?

- Instructor:

- Mary Wootters



- Embedded EthiCS Instructor:

- Diana Acosta Navas



Grant

- Awesome CAs!

- Amrita Palaparathi (co-head CA)
- Shubham Jain (co-head CA)
- Rishu Garg (Student Liaison)
- Ruiqi Wang
- Ricky Parada
- Praneeth Kolichala
- Aditya Agrawal
- Yuzu Ido
- Melinda Zhu
- Sophia Sanchez
- Felipe Godoy
- Callum Burgess
- Michelle Xu
- Saumya Goyal
- Anooshree Sengupta
- Wenzheng Li
- WenXin Dong
- Robert Thompson
- Apoorva Dixit
- Bharat Khandelwal
- Tathagat Verma
- Bharath Namboothiry
- Kevin Su
- Grant Hugh
- Stephan Sharkov (ACE CA)



Amrita



Aditya



Anooshree



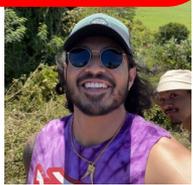
Apoorva



Bharat



Tathagat



Bharath



Callum



Felipe



Kevin



Melinda



WenXin



Michelle



Praneeth



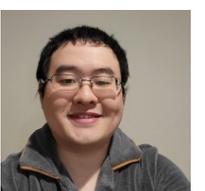
Ricky



Rishu



Robert



Wenzheng



Ruiqi



Saumya



Shubham



Sophia



Stephan



Yuzu

Who are you?

- Freshman
- Juniors
- Sophomores
- Seniors
- MA/MS Students
- NDO Students
- PhD Students
- JD Students

Concentrating in:

- Aero/Astro
- Applied Physics
- Art Practice
- Biology
- Bio Eng.
- Biomedical Informatics
- Chemical Eng.
- Chemistry
- Civil & Env. Eng.
- Classics
- CME
- Computer Science
- Creative Writing
- Digital Humanities
- East Asian Studies
- Economics
- Education
- EE
- English
- Ethics in Society
- French
- Geological Sciences
- Global Studies
- History
- Human Biology
- International Relations
- Linguistics
- Math
- Modern Languages
- Music
- MS&E
- Mech. Eng.
- Physics
- Philosophy
- Political Science
- Psychology
- Sociology
- Statistics
- Symbolic Systems
- Undeclared

Why are we here?

- I'm here because I'm super excited about algorithms!



You are better equipped to answer this question than I am, but I'll give it a go anyway...

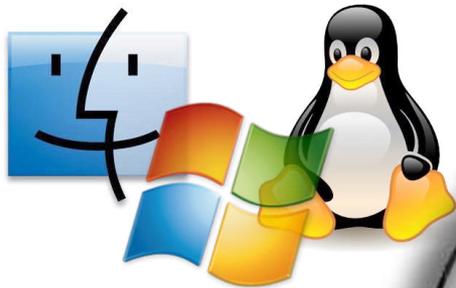
Why are you here?

- Algorithms are **fundamental**.
- Algorithms are **useful**.
- Algorithms are **fun!**
- CS161 is a **required course**.

Why is CS161 required?

- Algorithms are **fundamental**.
- Algorithms are **useful**.
- Algorithms are **fun!**

Algorithms are fundamental



Operating Systems (CS 140)

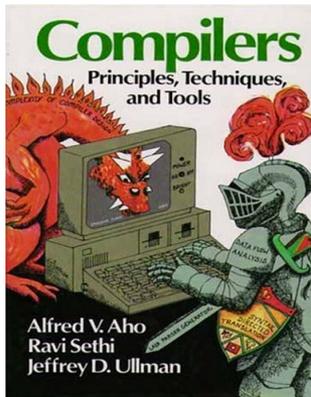


The
Algorithmic
Lens

229)



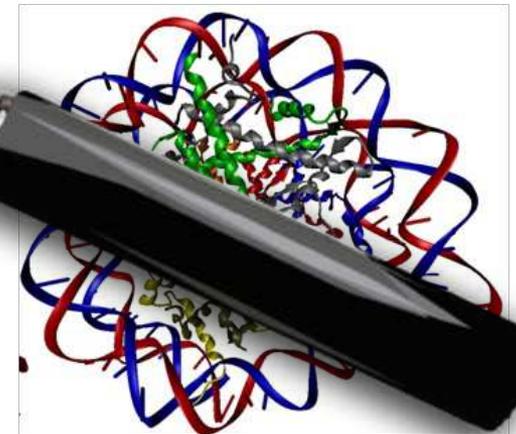
Cryptography (CS 255)



Compilers (CS 143)



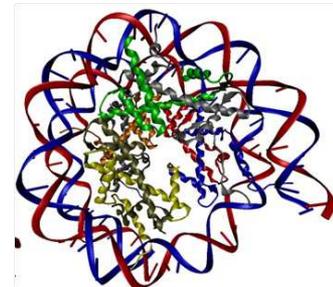
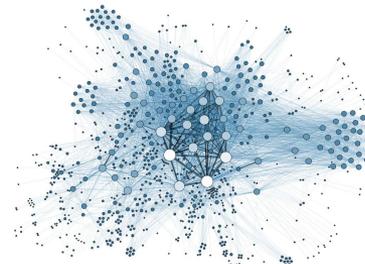
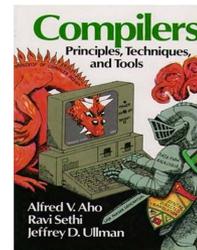
Networking (CS 144)



Computational Biology (CS 262)

Algorithms are useful

- All those things without the course numbers.
- As inputs get bigger and bigger, having good algorithms becomes more and more important!



Algorithms are fun!

- Algorithm design is both an **art** and a **science**.
- Many **surprises!**
- Many **exciting research questions!**

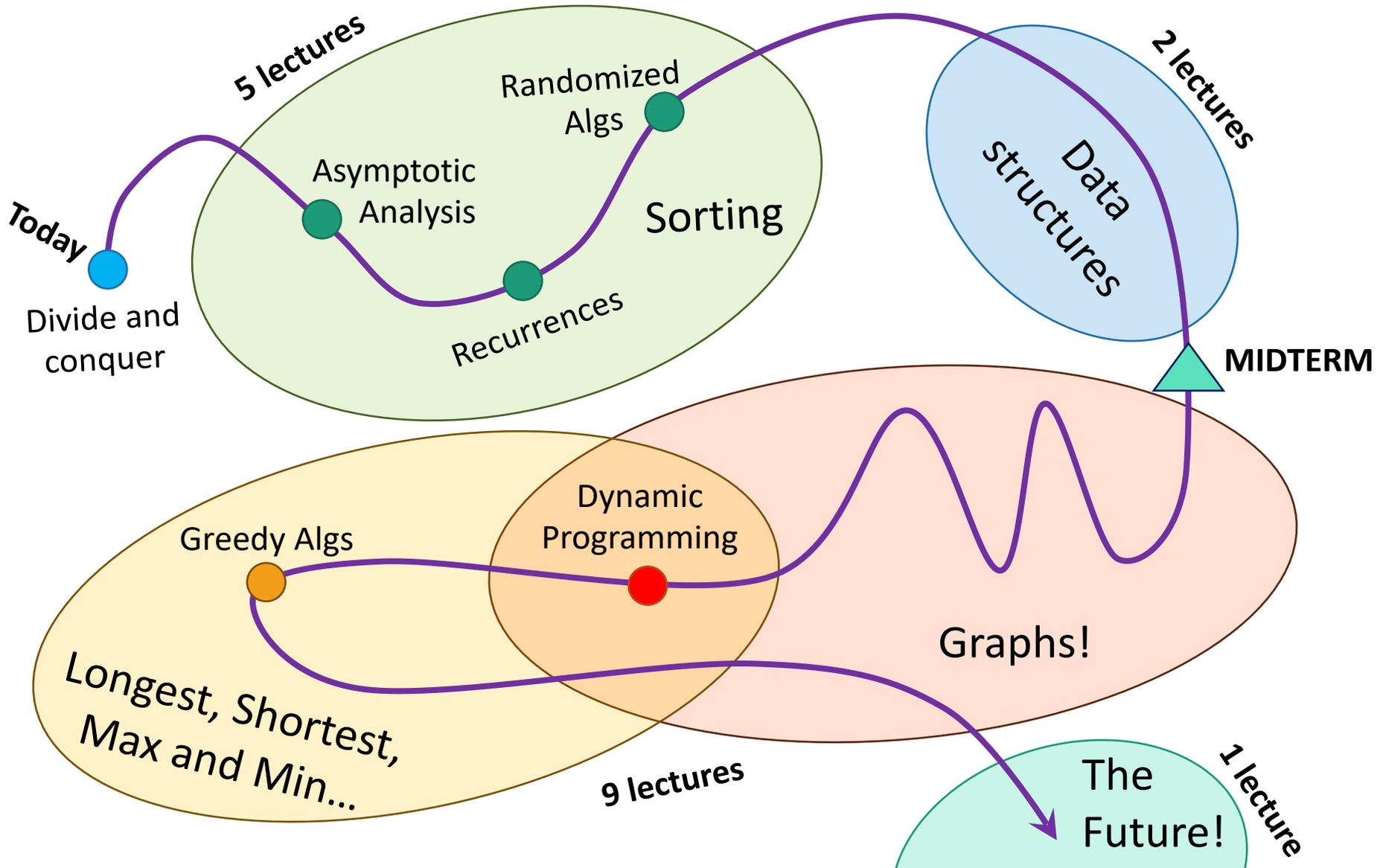
What's going on?

- Course goals/overview
- Logistics

Course goals

- The **design and analysis** of algorithms
 - These go hand-in-hand
- In this course you will learn:
 - **Design:** Flesh out an “algorithmic toolkit”
 - **Analysis:** Learn to **think analytically** about algorithms
 - **Communication:** Learn to **communicate clearly** about algorithms

Roadmap

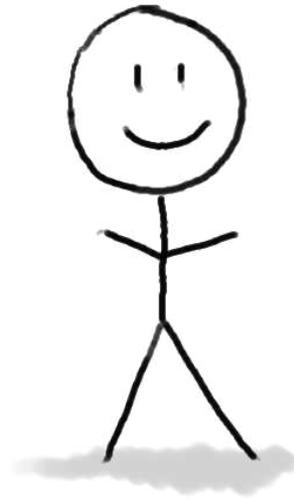


Our guiding questions:

Does it work?

Is it fast?

Can I do better?



Our internal monologue...

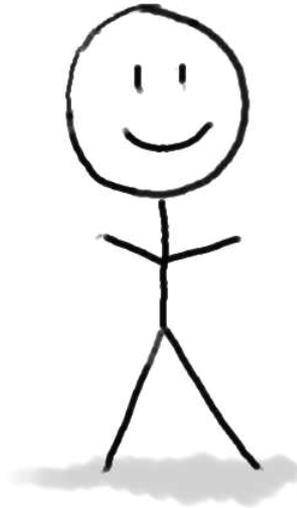
What exactly do we mean by better? And what about that corner case? Shouldn't we be zero-indexing?



Plucky the
Pedantic Penguin

Detail-oriented
Precise
Rigorous

Does it work?
Is it fast?
Can I do better?



Both sides are necessary!

Dude, this is just like that other time. If you do the thing and the stuff like you did then, it'll totally work real fast!



Lucky the
Lackadaisical Lemur

Big-picture
Intuitive
Hand-wavy

The bigger picture

- Does it work?
 - Is it fast?
 - Can I do better?
- We want to reduce crime.
 - It would be more “efficient” to put cameras in everyone’s homes/cars/etc.
- We want advertisements to reach to the people to whom they are most relevant.
 - It would be more “efficient” to make everyone’s private data public.
- We want to design algorithms, that work well, on average, in the population.
 - It would be more “efficient” to focus on the majority population.
- Should it work?
 - Should it be fast?

Embedded EthiCS

- Throughout the course, we will take a step back and focus on how algorithm design can affect society, and the ethical implications of that.
- Embedded EthiCS instructor: Diana Acosta Navas

Introducing Embedded Ethics

Diana Acosta Navas

Postdoctoral Fellow, *McCoy Family Center for Ethics in Society and Institute for Human Centered Artificial Intelligence*

Who am I?

I'm Diana, a post-doctoral fellow at the *McCoy Family Center for Ethics in Society* and *Stanford Human-Centered Artificial Intelligence*

I finished my Ph.D. in Philosophy at Harvard University

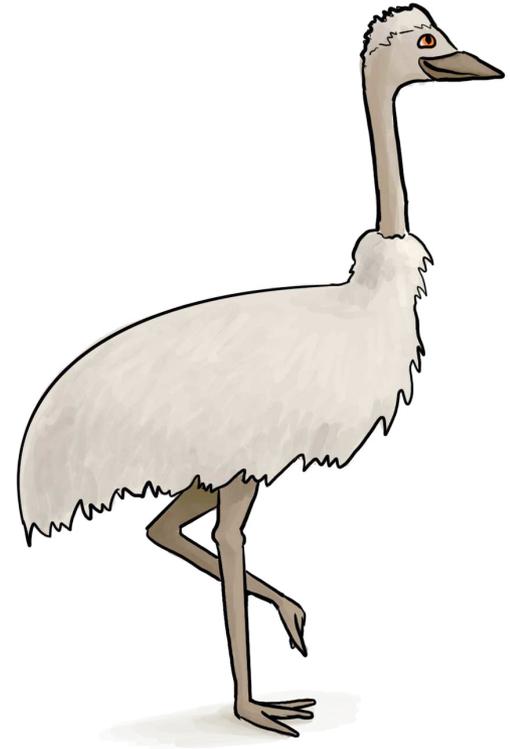
I taught ethics at the Harvard Kennedy School of Government

I also became part of Embedded EthiCS@Harvard

Now I'm helping Stanford to develop our Embedded Ethics program

What is Embedded Ethics?

Training the next generation of computer scientists to “consider ethical issues from the outset rather than building technology and letting problems surface downstream” by integrating skills and habits of ethical analysis throughout the Stanford Computer Science curriculum.

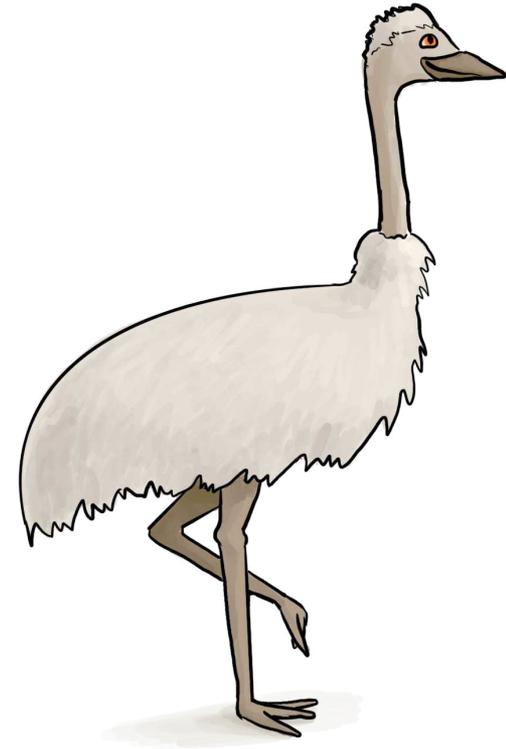


ELAN the ETHICAL EMU!

What is Embedded Ethics?

The Vision

- Responsible ethical reasoning is a highly valuable professional skill.
- One that needs to be integrated with technical, managerial, and other skills we apply in our professional lives
- Successfully integrating these skills requires a distributed pedagogy approach

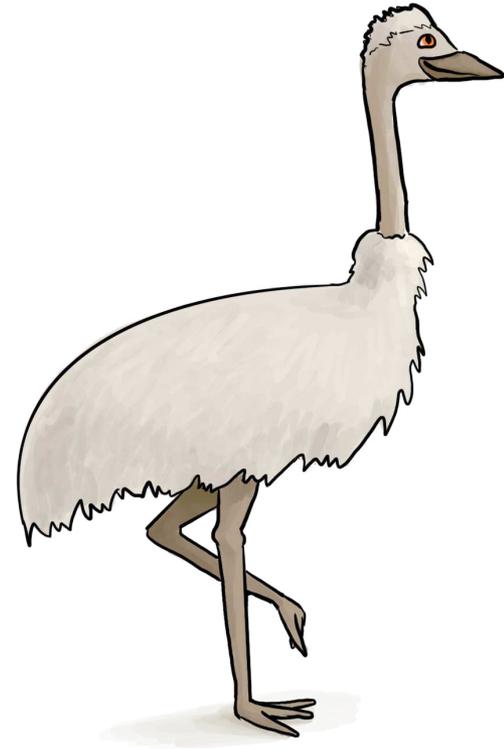


ELAN the ETHICAL EMU!

What is Embedded Ethics?

What we teach

- Issue spotting and ethical sensitivity.
- Recognizing values in design choices.
- Developing language to talk about moral choices.
- Professional responsibilities of computer scientists & software engineers.
- Important topics in technology ethics: bias & fairness, inequality, privacy, surveillance, data control & consent, trust, disinformation, participatory design, concentration of power.



ELAN the ETHICAL EMU!

Where is Embedded Ethics?

Outside Stanford

- Harvard (2017)
- Georgetown (2017)
- Brown (2019)
- Northeastern (2019)
- MIT (2020)
- Andover (2021)
- ... and many other places

At Stanford

- CS106A
- CS106B
- CS107
- CS109
- CS221
- CS247
- CS147
- ... and more over the next two years

And what has ethics
got to do with
algorithms?

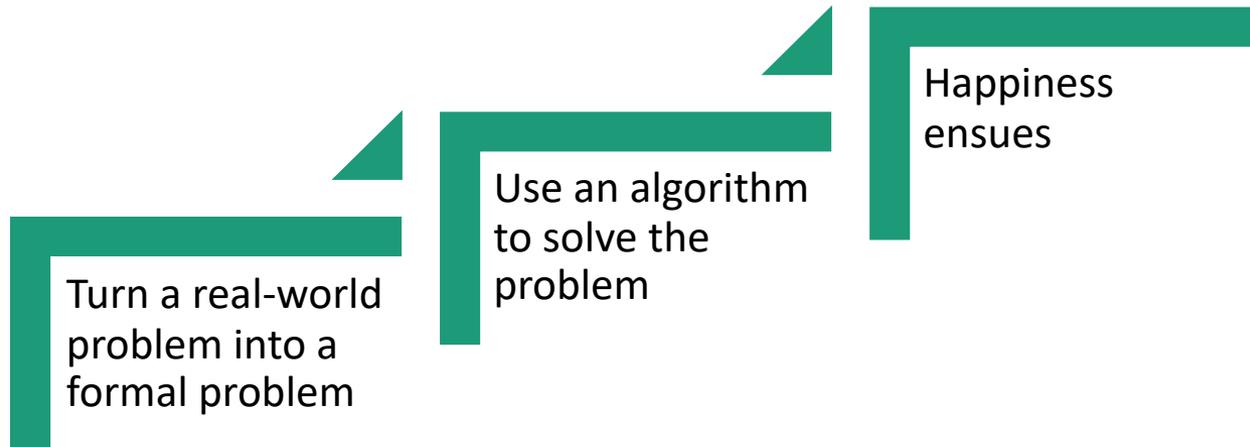


If algorithms are fundamental (which they are) ...

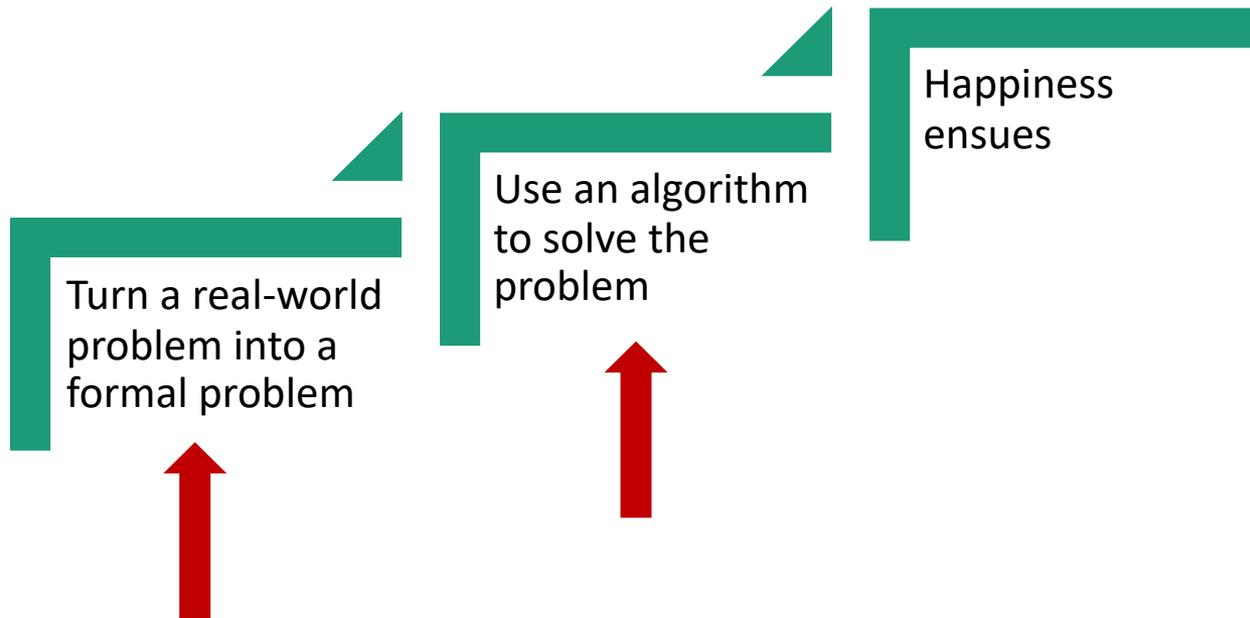
...then some of the biggest and most consequential choices you will make as computer scientists are:

- Deciding *which problem* to solve
 - This often is a huge ethical question
- Deciding how to turn that problem into something *algorithmically tractable*
 - This also can involve serious ethical decisions
- Deciding *which algorithm* to use to solve it and what tradeoffs to accept
 - Which often requires ethical reasoning

Algorithms & the Good



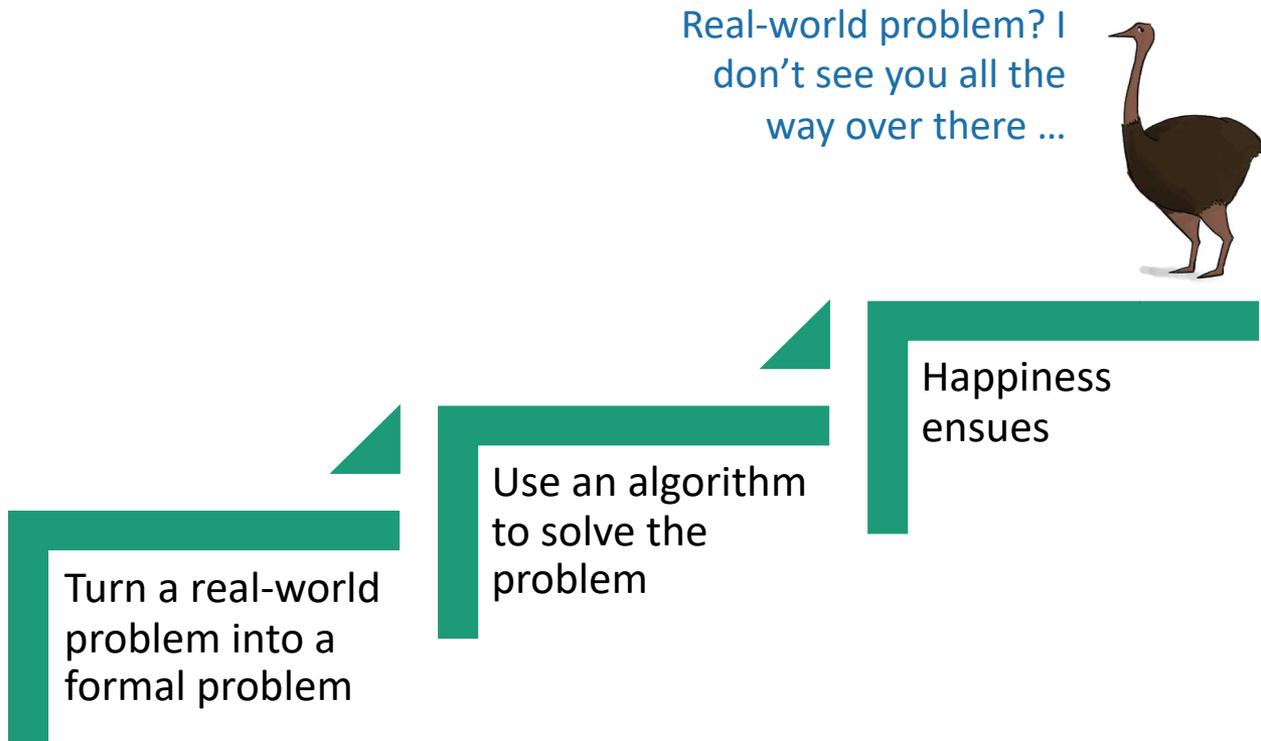
Algorithms & the Good



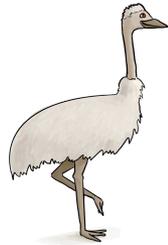
Some (potentially impactful) decisions:

We often need to ignore or change aspects of a real-world situation in order to turn it into an algorithmically solvable problem. For example, we can write an algorithm that sorts a numbered list without knowing what the numbers are numbers of.

- **Abstraction** is when we omit details of the real-world situation.
 - Omit the kind of thing being sorted by our algorithm, or what condition it is in, or what color it is, or how long it has been in the list.
- **Idealization** is when we deliberately change aspects of the real-world situation.
 - Round the numbers being sorted to make them whole numbers.



So how do we make sure we aren't losing important features of the real-world problem when we formalize it?

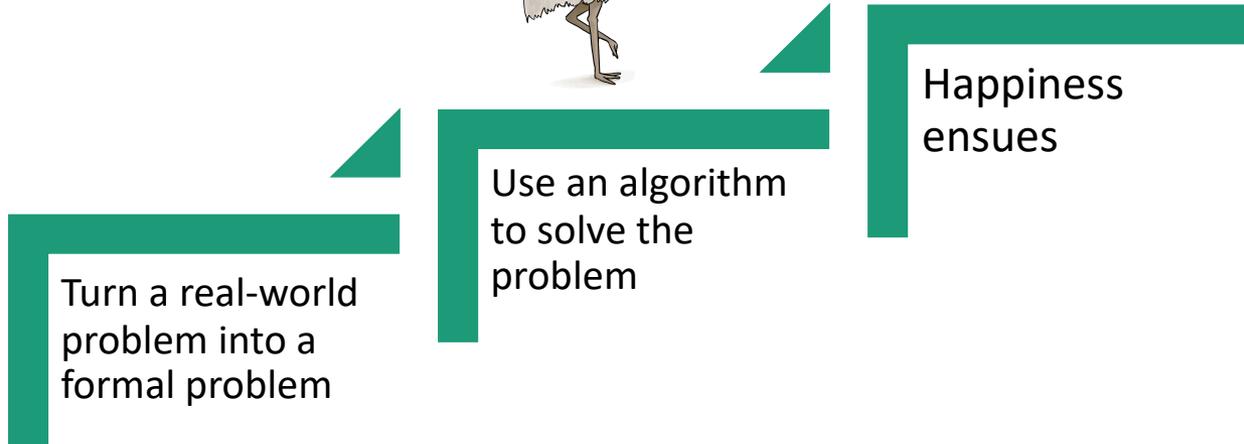
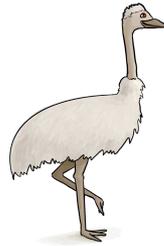


Turn a real-world problem into a formal problem

Use an algorithm to solve the problem

Happiness ensues

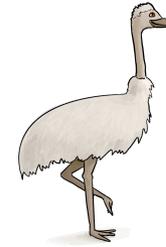
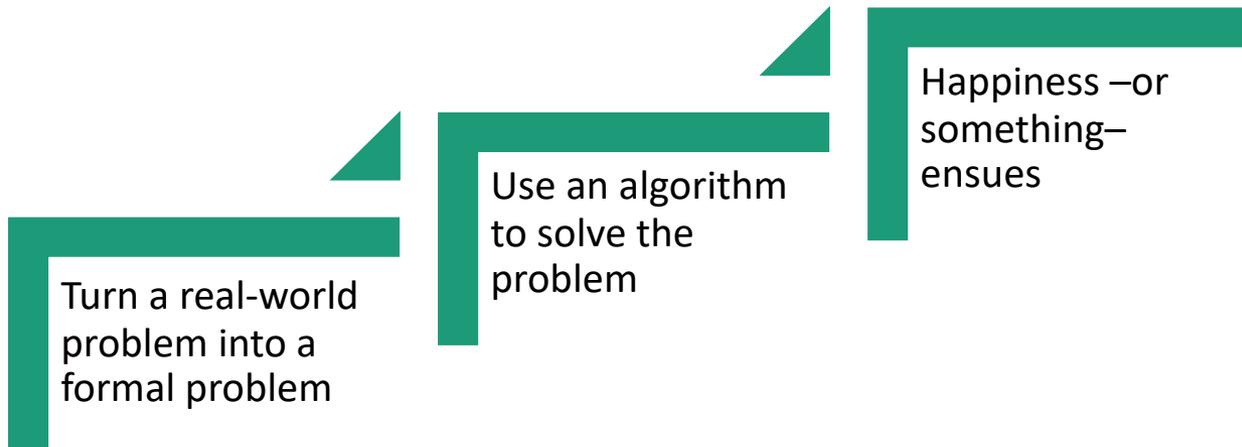
By the time you finish 161 you will have an “algorithmic tool kit”– which one is right for the job?



Turn a real-world problem into a formal problem

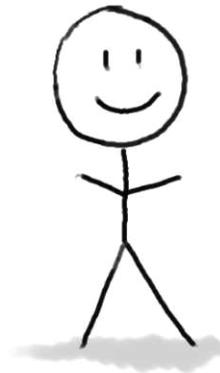
Use an algorithm to solve the problem

Happiness ensues



Did we achieve what we set out achieve in the first place? What did we lose along the way? Is this a desirable outcome?

Our guiding questions:



Does it work?

Is it fast?

Can I do better?

Can I do it right?

Thank you!

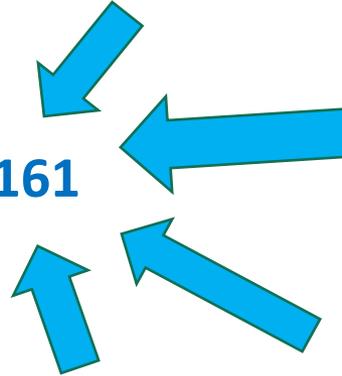
You can always email me at

dacostan@stanford.edu

Course elements and resources

- **Course website:**

- web.stanford.edu/class/cs161



This link doesn't seem to be working yet!
Follow the link from Canvas for now!

- Lectures

- Video recordings/slides
- Pre-lecture exercises
- Textbook readings
- IPython Notebooks

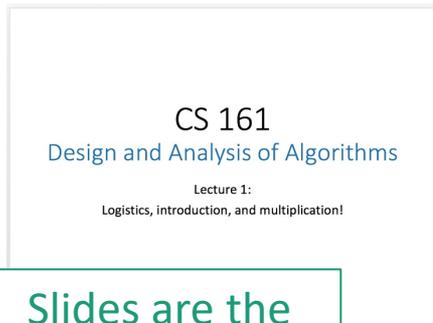
- Homework

- Exams

- Office hours, Sections, and Ed

Lectures

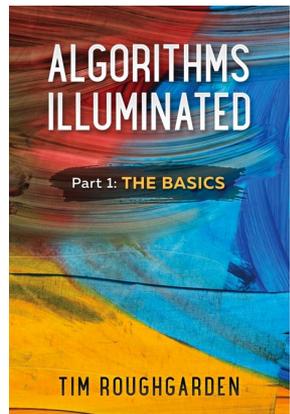
- Right here (Bishop Auditorium), M/W, 1:30-2:50!
- Resources available:
 - Slides, Videos, Book, IPython notebooks



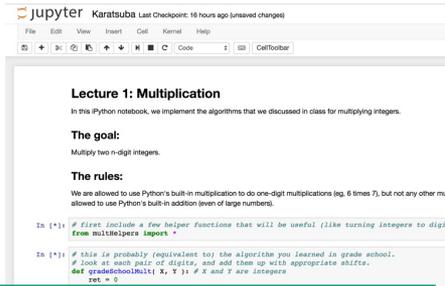
Slides are the slides from lecture.



Videos from lecture are available!



Textbook (and occasional hand-outs) have mathy details that slides may omit



IPython notebooks have implementation details that slides may omit.

How to get the most out of lectures

- **During lecture:**

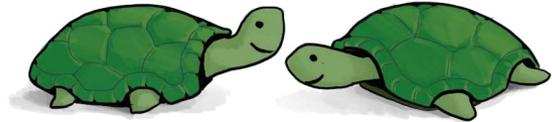
- Show up or tune in, ask questions.
- Engage with in-class questions.

- **Before lecture:**

- Do ***pre-lecture exercises*** on the website.

- **After lecture:**

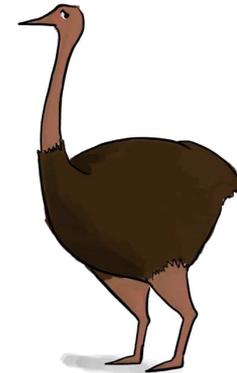
- Go through the exercises on the slides.



Think-Pair-Share Terrapins
(in-class questions)



Siggi the Studious Stork
(recommended exercises)



Ollie the Over-achieving Ostrich
(challenge questions)

- ***Do the reading***

- either before or after lecture, whatever works best for you.
- **do not wait to “catch up” the week before the exam.**

Homework!

- Weekly assignments, posted Wednesday, due the next Wednesday at 1pm (before class).
- HW1 posted this Wednesday!

- Exception: Homework 0!
 - Goal: assess your background and pre-requisites
 - Out now
 - Due THIS FRIDAY April 7!
 - Graded for completion

HW will be in groups of size up to 3

Except HW0

- How to form groups?
 - For the first HW, form whatever groups you like.
 - Canvas/Ed, Homework Parties, and OH are a good place to look for group-mates. We can provide more help if needed.

- Collaboration with Professor Shima Salehi (Stanford Ed School)
 - Investigating how best to do group work.
 - With your permission, we will do a study in this class, for HW 2-7!
 - Keep an eye out for a survey with more information, and to get your feedback on whether you want to participate.



Prof. Salehi

Exams

- Two exams
 - **Exam 1:** Thursday May 4, 6-9pm.
 - **Exam 2:** June 12, 3:30-6:30pm.
- We will release practice exams and hold review sessions before each.
- **If you have a conflict with these exams, let us know ASAP (before Wednesday April 12).**
- Post privately on Ed, or email the course staff at cs161-spr2223-staff@lists.stanford.edu



Out of Class Midterm!!
Put it on your calendar!!

Talk to us!

- Join Ed:
 - You should be auto-enrolled
 - Course announcements will be posted there
 - Discuss material with TAs and your classmates
- Office hours:
 - See course website for schedule
 - Start in week 2 (except HW PARTY in week 1!)
- Sections:
 - Thursdays and Fridays
 - See course website for schedule
 - Technically optional, but ***highly recommended!***
 - Extra practice with the material, example problems, etc.
 - One section will be recorded

Talk to each other!

- Collaboration on HW
- Answer your peers' questions on Ed!
- We will host **Homework Parties** Thursday evenings.
 - 6-8pm, in Fujitsu Lounge (Gates 403), starting Week 1!
 - There will be snacks!

Course elements and resources

- **Course website:**

- web.stanford.edu/class/cs161

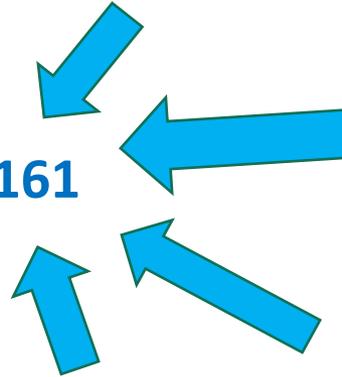
- Lectures

- Video recordings/slides
- Pre-lecture exercises
- Textbook: Algorithms Illuminated
- IPython Notebooks

- Homework

- Exams

- Office hours, Sections, and Ed



This link doesn't seem to be working yet!
Follow the link from Canvas for now!



Course Policies

- Course policies are listed on the website.
 - Collaboration Policy, Academic Honesty, ...
- Read them and adhere to them.

RULES

Bug bounty!



- We hope all PSETs and slides will be bug-free.
- However, I sometimes make typos.
- **If you find a typo** (that affects understanding*) on slides, IPython notebooks, Section material or PSETs:
 - Let us know! (Post on Ed or tell a CA).
 - The first person to catch a bug gets a bonus point.



Bug Bounty Hunter

*So, typos like **thees onse** don't count, although please point those out too. Typos like **2 + 2 = 5** do count, as does pointing out that we omitted some crucial information.

For SCPD Students



- SCPD CA: Praneeth Kolichala
- There will be some online office hours.
- One of the sections will be recorded.
- See the website for more details!

OAE forms

- Please send OAE forms to

cs161-spr2223-staff@lists.stanford.edu

- Note: this list is read only by Mary, Shubham, Amrita and Rishu.

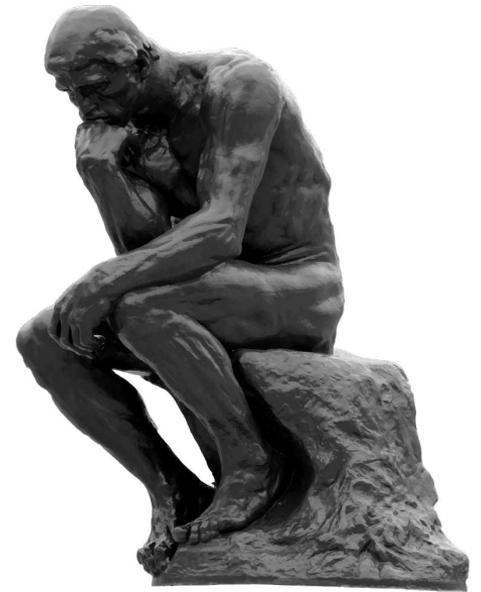
Everyone can succeed in this class!

1. Work hard
2. Work smart
3. Ask for help



The big questions

- Who are we?
 - Professor, TA's, students?
- Why are we here?
 - Why learn about algorithms?
- What is going on?
 - What is this course about?
 - Logistics?
- Can we multiply integers?
 - And can we do it quickly?

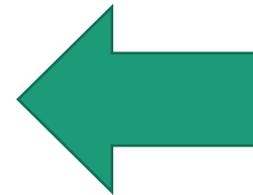


Course goals

- **Design**: Flesh out an “algorithmic toolkit”
- **Analysis**: Think analytically about algorithms
- **Communication**: Learn to communicate clearly about algorithms

Today's goals

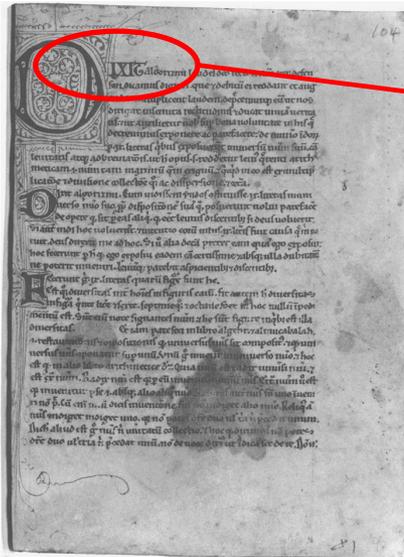
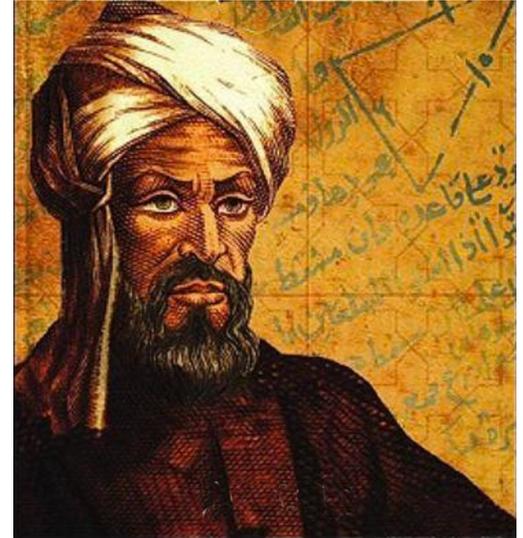
- Karatsuba Integer Multiplication
- Algorithmic Technique:
 - Divide and conquer
- Algorithmic Analysis tool:
 - Intro to asymptotic analysis



Let's start at the beginning

Etymology of “Algorithm”

- Al-Khwarizmi was a 9th-century scholar, born in present-day Uzbekistan, who studied and worked in Baghdad during the Abbasid Caliphate.
- Among many other contributions in mathematics, astronomy, and geography, he wrote a book about how to multiply with Arabic numerals.
- His ideas came to Europe in the 12th century.



Dixit algorizmi
(so says Al-Khwarizmi)

- Originally, “Algorisme” [old French] referred to just the Arabic number system, but eventually it came to mean “Algorithm” as we know today.

This was kind of a big deal

XLIV × XCVII = ?

$$\begin{array}{r} 44 \\ \times 97 \\ \hline \end{array}$$



Integer Multiplication

$$\begin{array}{r} 44 \\ \times 97 \\ \hline \end{array}$$

Integer Multiplication

$$\begin{array}{r} 1234567895931413 \\ \times 4563823520395533 \\ \hline \end{array}$$

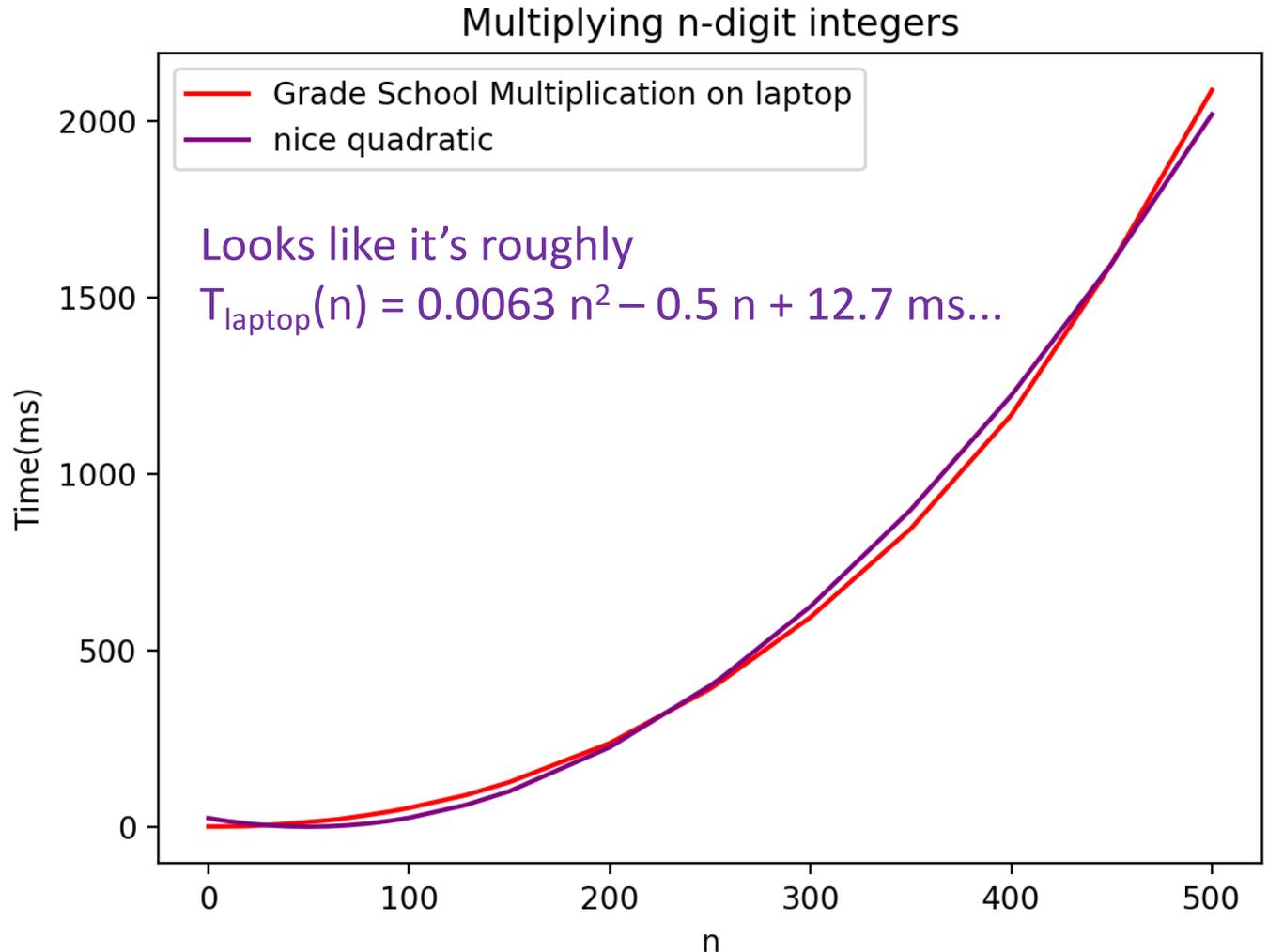
Big-Oh Notation

- We say that Grade-School Multiplication
“runs in time $O(n^2)$ ”
- Formal definition coming Wednesday!
- Informally, big-Oh notation tells us how the running time scales with the size of the input.

highly non-optimized

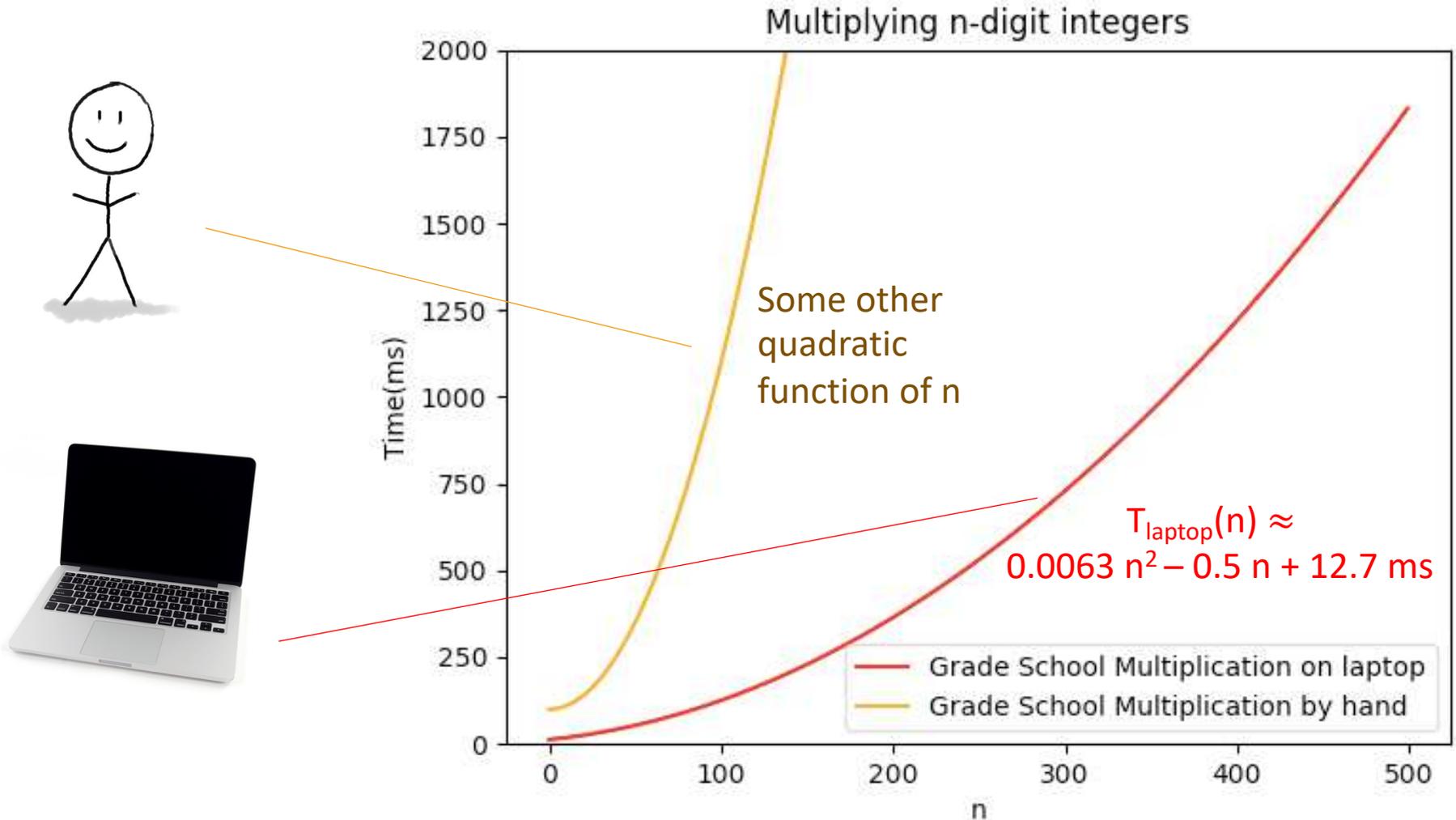
Implemented in Python, on my laptop

The runtime “scales like” n^2

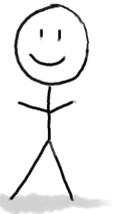
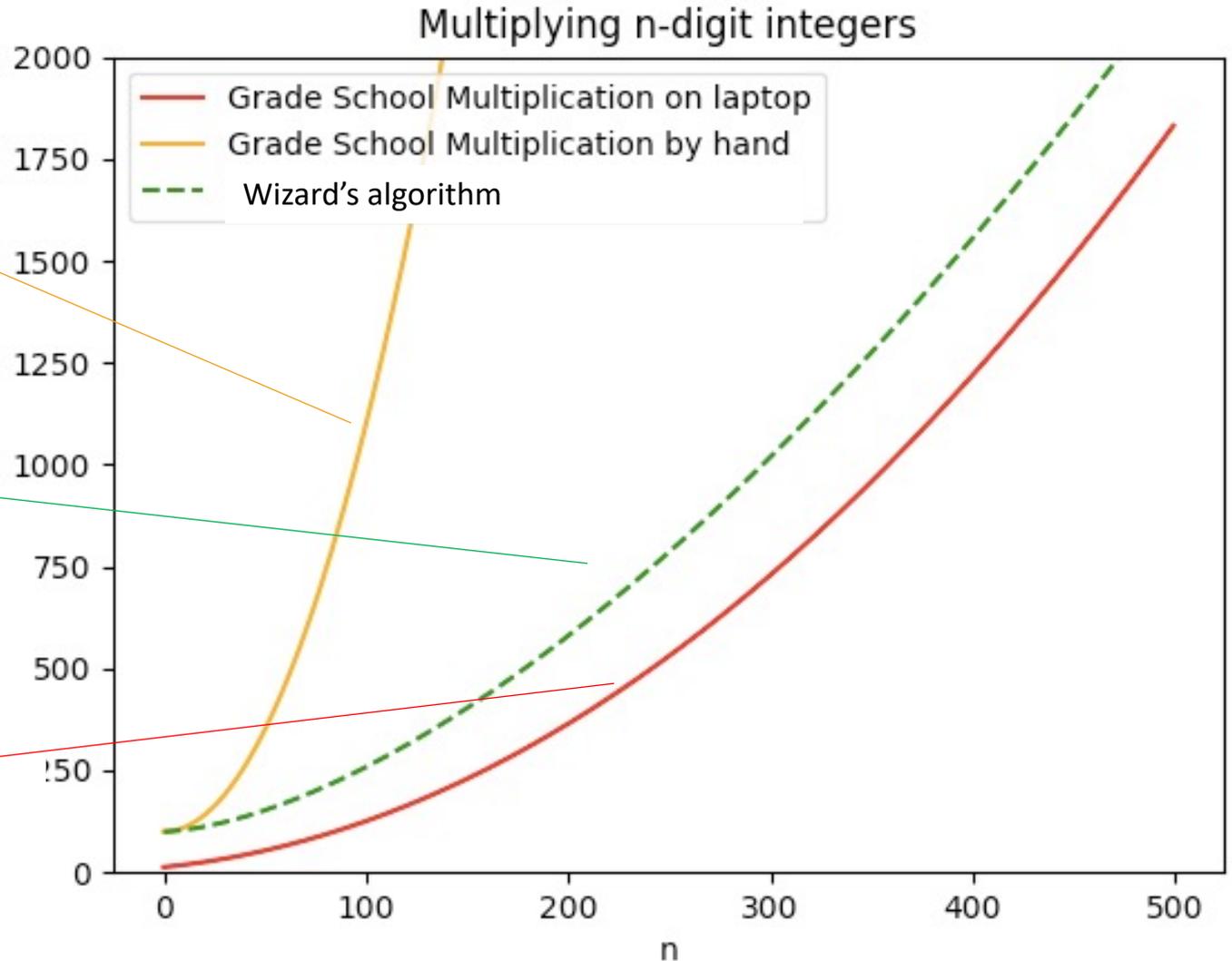


Implemented by hand

The runtime still “scales like” n^2



Why is big-Oh notation meaningful?

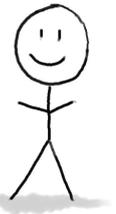


$$\approx \frac{n^{1.6}}{10} + 100$$



$$\approx .0063n^2$$

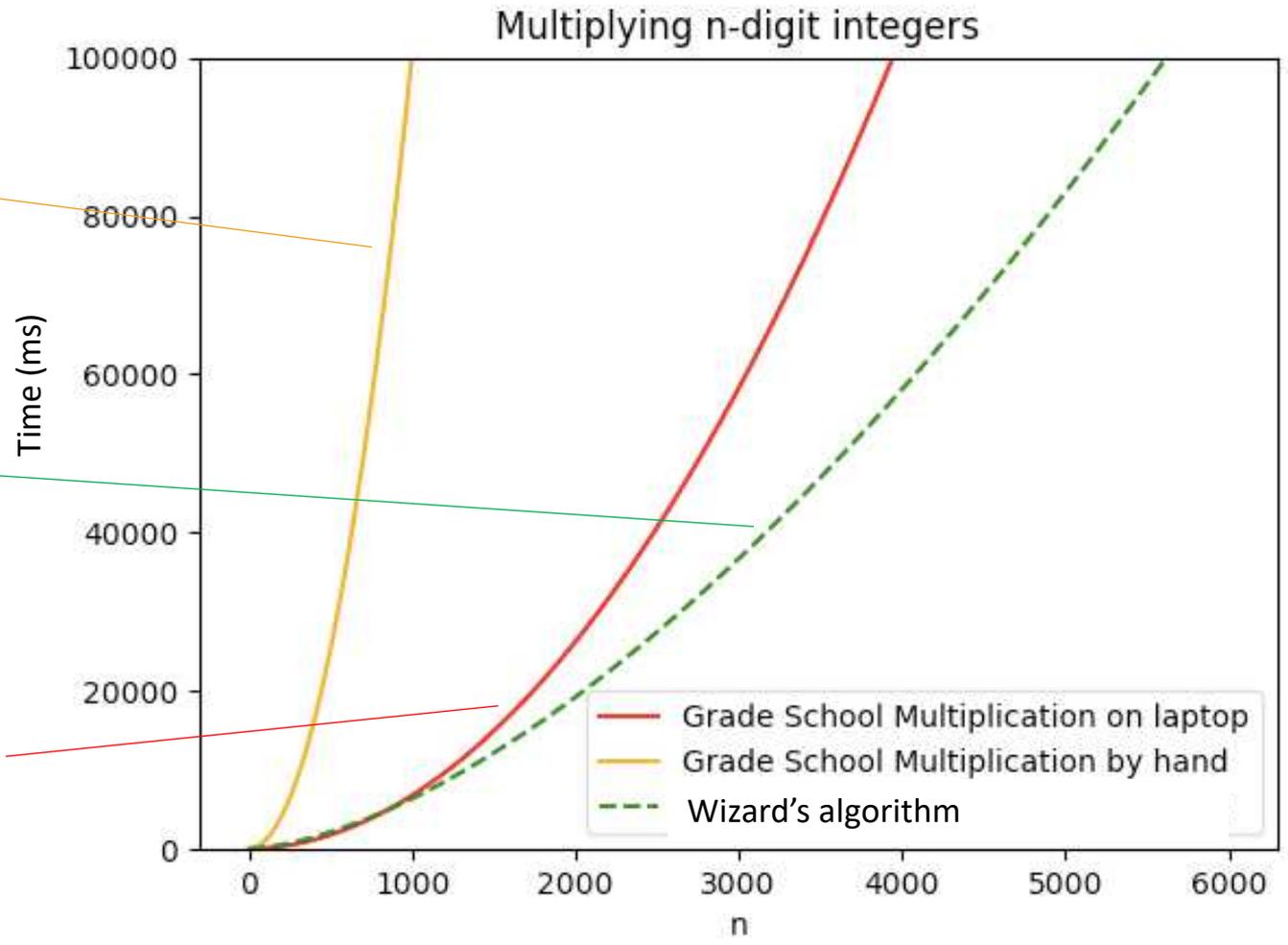
Let n get bigger...



$$\approx \frac{n^{1.6}}{10} + 100$$



$$\approx .0063n^2$$

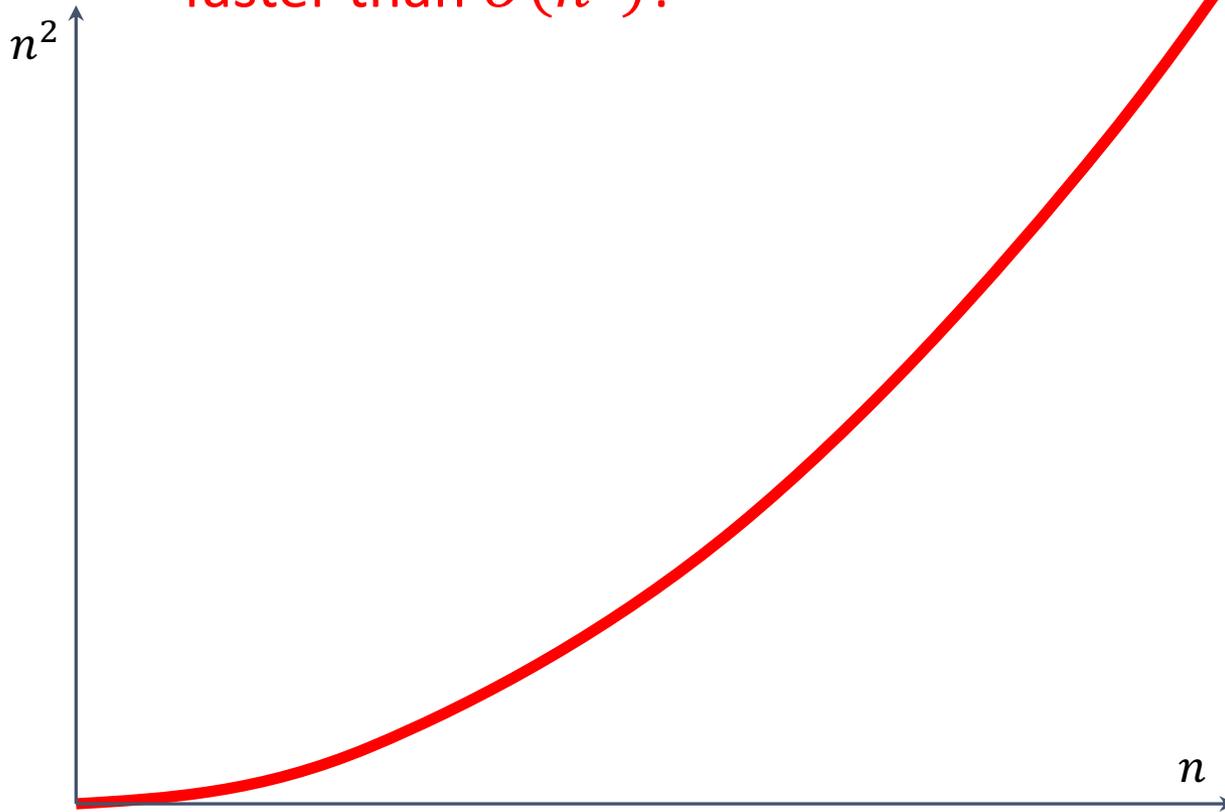


Take-away

- An algorithm that runs in time $O(n^{1.6})$ is “better” than an an algorithm that runs in time $O(n^2)$.
- So the question is...

Can we do better?

Can we multiply n -digit integers faster than $O(n^2)$?

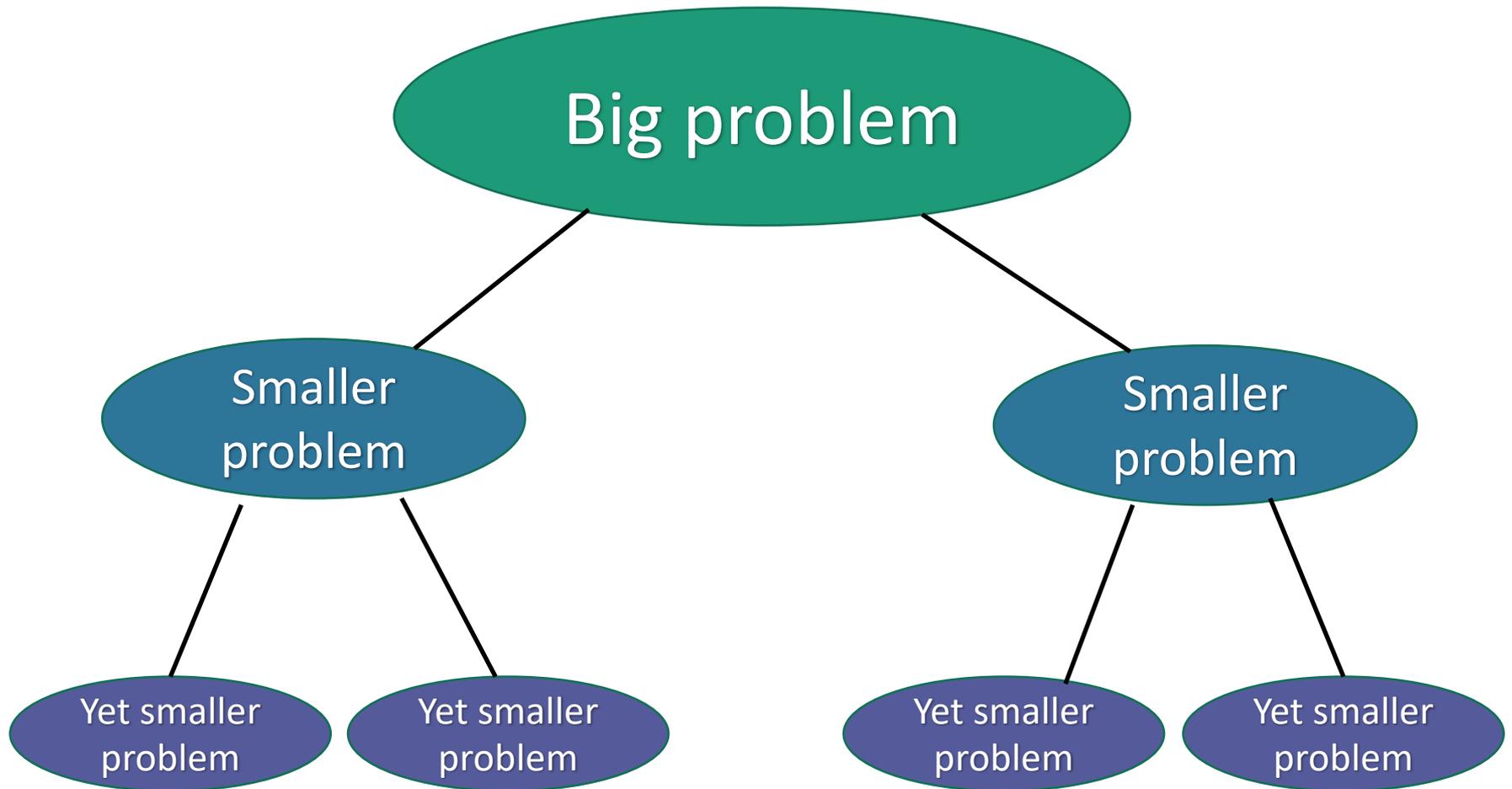


Let's dig in to our algorithmic toolkit...



Divide and conquer

Break problem up into smaller (easier) sub-problems



Divide and conquer for multiplication

Break up an integer:

$$1234 = 12 \times 100 + 34$$

$$1234 \times 5678$$

$$= (12 \times 100 + 34) (56 \times 100 + 78)$$

$$= (12 \times 56) 10000 + (34 \times 56 + 12 \times 78) 100 + (34 \times 78)$$



1



2



3



4

One 4-digit multiply



Four 2-digit multiplies

More generally

Suppose n is even



Break up an n -digit integer:

$$[x_1x_2 \cdots x_n] = [x_1x_2 \cdots x_{n/2}] \times 10^{n/2} + [x_{n/2+1}x_{n/2+2} \cdots x_n]$$

$$\begin{aligned} x \times y &= (a \times 10^{n/2} + b)(c \times 10^{n/2} + d) \\ &= \underbrace{(a \times c)}_1 10^n + \underbrace{(a \times d + c \times b)}_2 10^{n/2} + \underbrace{(b \times d)}_4 \end{aligned}$$

One n -digit multiply



Four $(n/2)$ -digit multiplies



Divide and conquer algorithm

not very precisely...

(Assume n is a power of 2...)

x, y are n -digit numbers

Multiply(x, y):

- **If** $n=1$:

- **Return** xy

Base case: I've memorized my
1-digit multiplication tables...



- Write $x = a 10^{\frac{n}{2}} + b$

a, b, c, d are
 $n/2$ -digit numbers

- Write $y = c 10^{\frac{n}{2}} + d$

- Recursively compute ac, ad, bc, bd :

- $ac = \mathbf{Multiply}(a, c)$, etc..

- Add them up to get xy :

- $xy = ac 10^n + (ad + bc) 10^{n/2} + bd$

Make this pseudocode
more detailed! How
should we handle odd n ?
How should we implement
"multiplication by 10^n "?

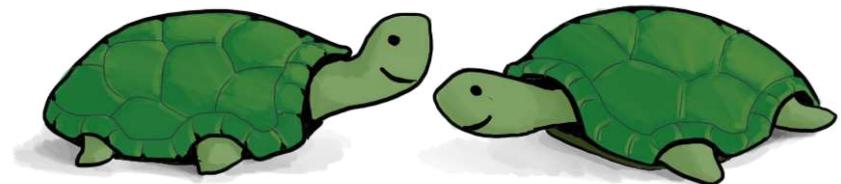


Think-Pair-Share

- We saw that this 4-digit multiplication problem broke up into four 2-digit multiplication problems

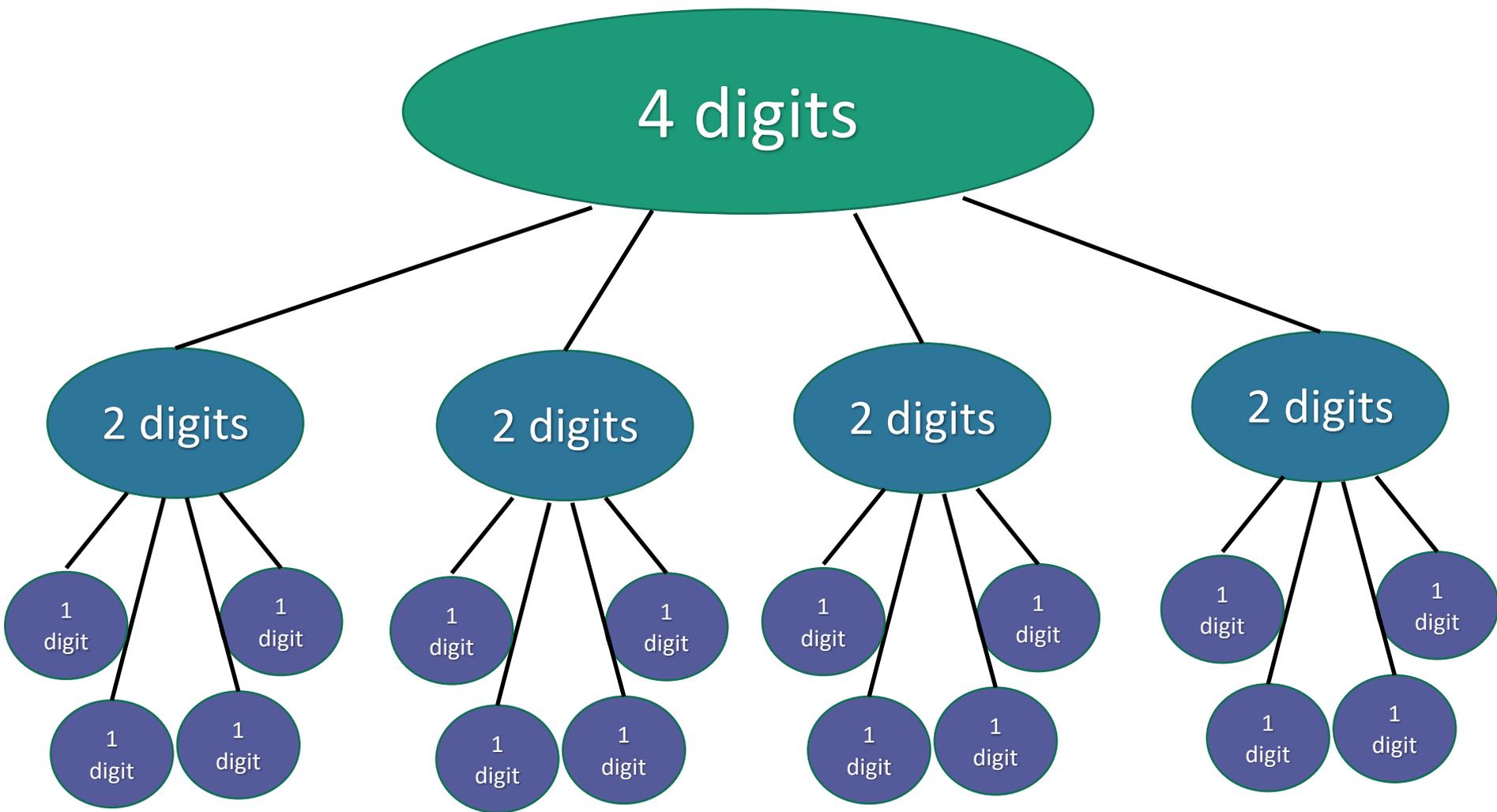
$$1234 \times 5678$$

- If you recurse on those 2-digit multiplication problems, how many 1-digit multiplications do you end up with total?



Recursion Tree

16 one-digit multiplies!



What is the running time?

- Better or worse than the grade school algorithm?
- How do we answer this question?
 1. Try it.
 2. Try to understand it analytically.

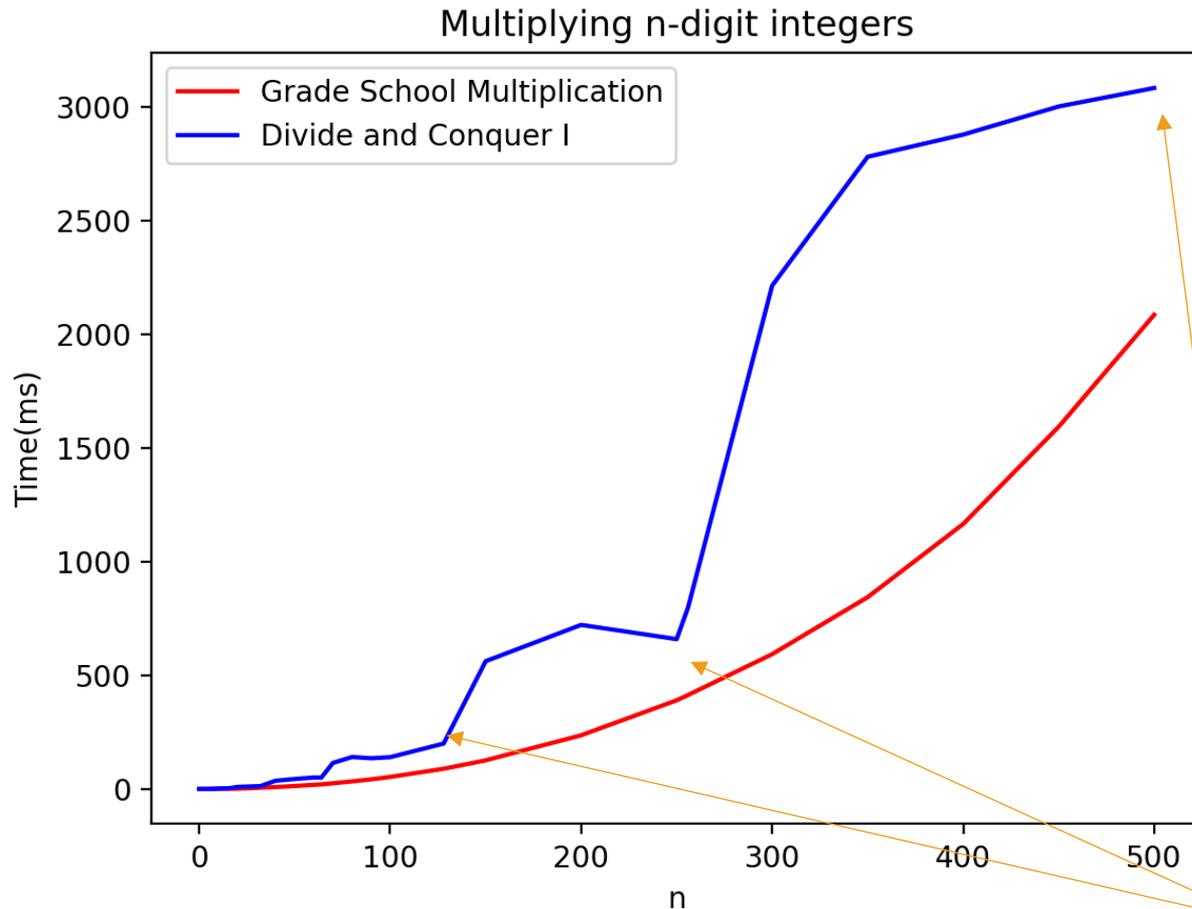
1. Try it.

Conjectures about running time?

Doesn't look too good but hard to tell...

Maybe one implementation is slicker than the other?

Maybe if we were to run it to $n=10000$, things would look different.



Something funny is happening at powers of 2...

2. Try to understand the running time analytically

- Proof by meta-reasoning:

It must be faster than the grade school algorithm, because we are learning it in an algorithms class.

Not sound logic!

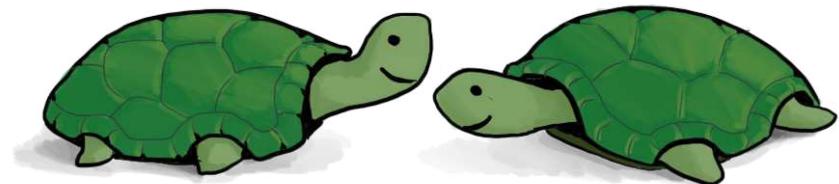


Plucky the Pedantic Penguin

2. Try to understand the running time analytically

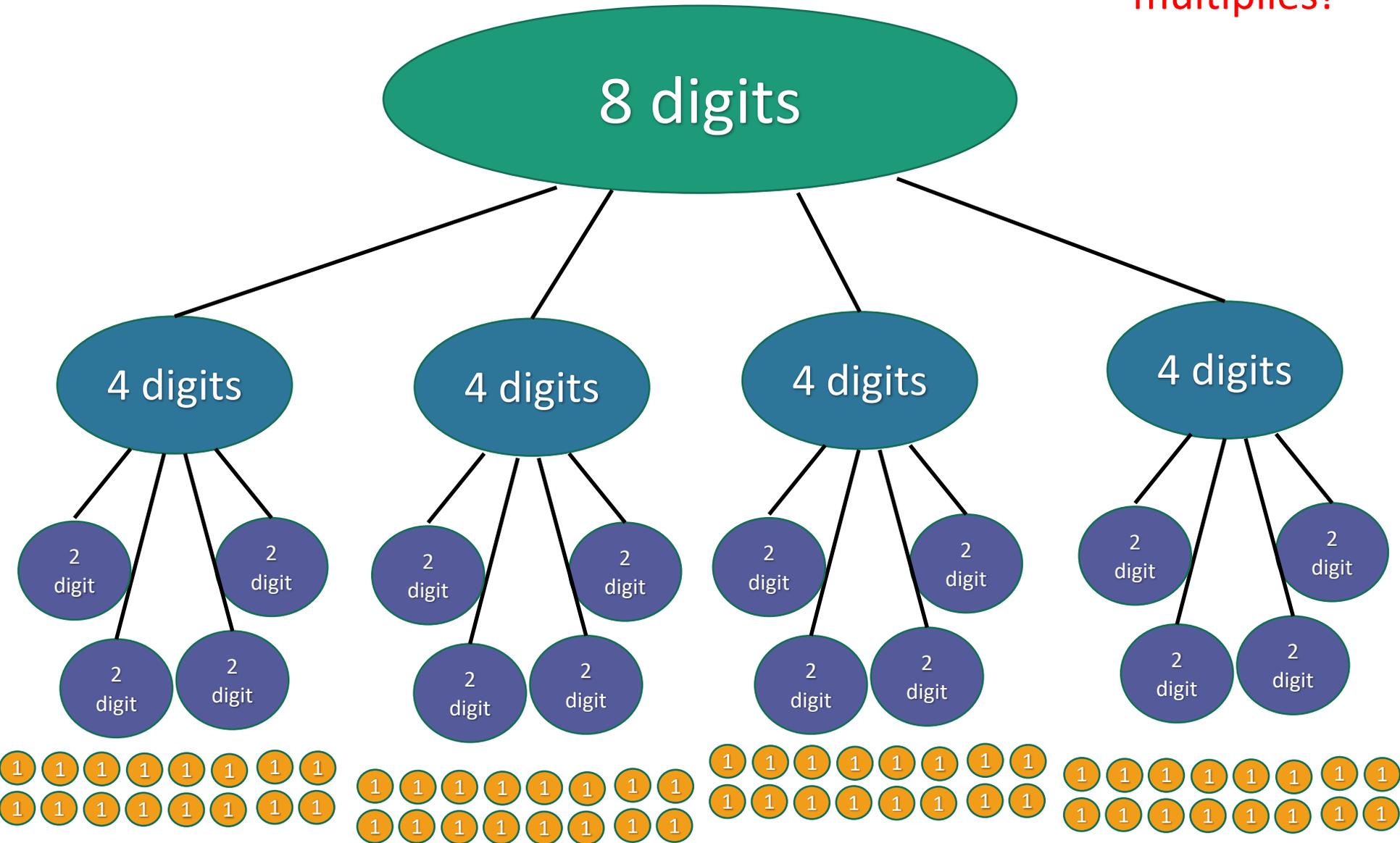
Think-Pair-Share:

- We saw that multiplying 4-digit numbers resulted in 16 one-digit multiplications.
- How about multiplying 8-digit numbers?
- What do you think about n -digit numbers?



Recursion Tree

$64 = 4^3$
one-digit
multiplies!



2. Try to understand the running time analytically

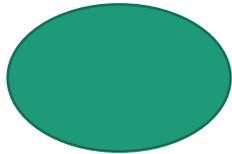
Claim:

We end up doing about n^2 one-digit multiplications

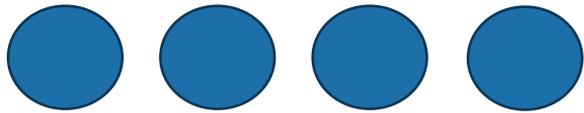
\Rightarrow

The running time of this algorithm is
AT LEAST n^2 operations.

There are n^2 1-digit problems

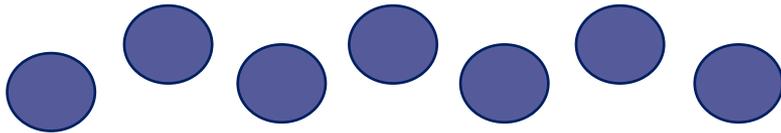


1 problem
of size n



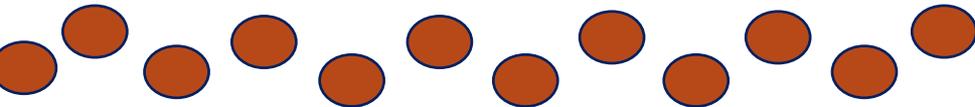
4 problems
of size $n/2$

...



4^t problems
of size $n/2^t$

...



n^2 problems
of size 1

- If you cut n in half $\log_2(n)$ times, you get down to 1.
- So at level $t = \log_2(n)$ we get...

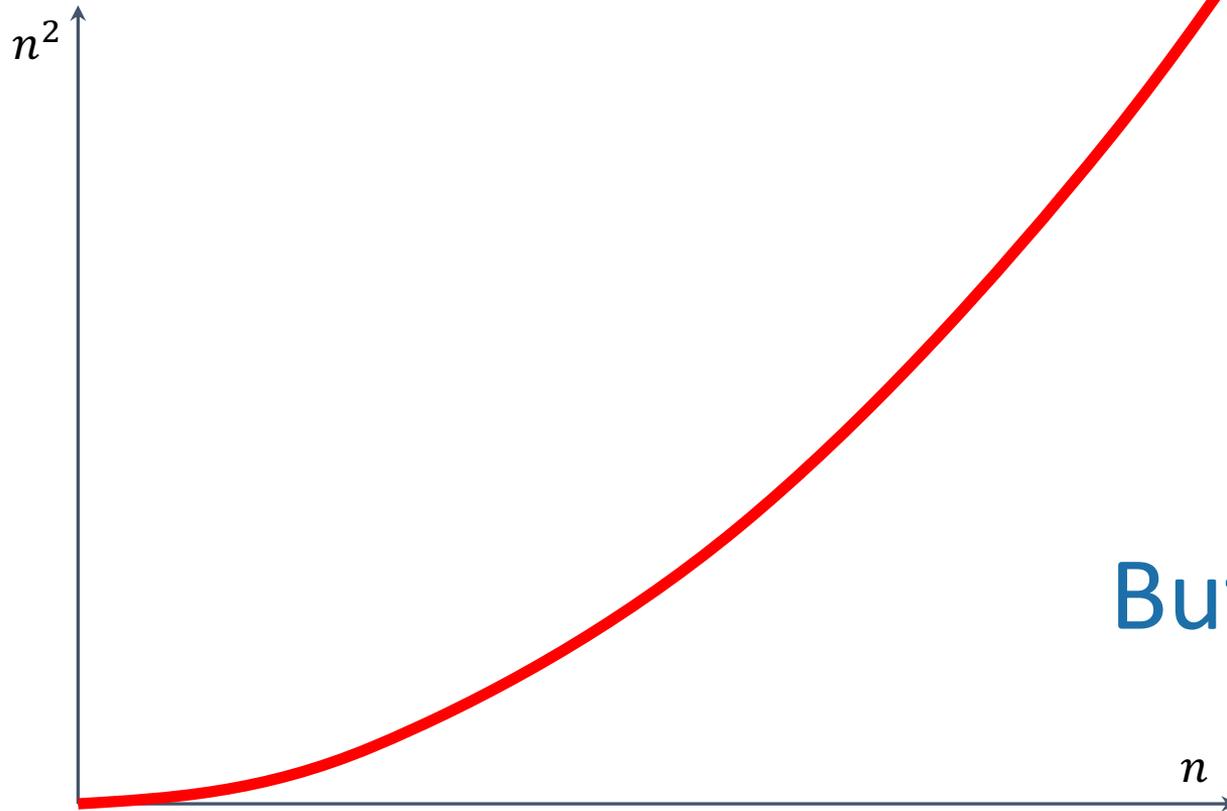
$$4^{\log_2 n} = n^{\log_2 4} = n^2$$

problems of size 1.

Note: this is just a cartoon – I'm not going to draw all 4^t circles!

That's a bit disappointing

All that work and still (at least) $O(n^2)$...



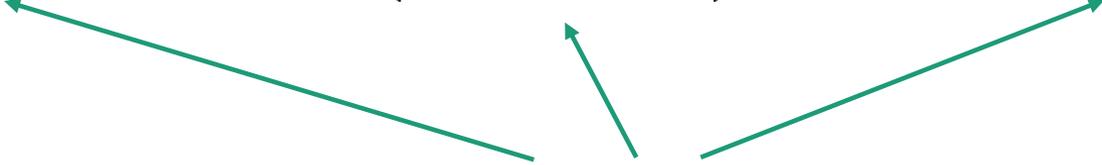
But wait!!

Divide and conquer **can** actually make progress

- Karatsuba figured out how to do this better!

$$\begin{aligned}xy &= (a \cdot 10^{n/2} + b)(c \cdot 10^{n/2} + d) \\ &= ac \cdot 10^n + (ad + bc)10^{n/2} + bd\end{aligned}$$

Need these three things



- If only we could recurse on three things instead of four...

Karatsuba integer multiplication

- Recursively compute these THREE things:

- ac
- bd
- $(a+b)(c+d)$

Subtract these off

get this

$$(a+b)(c+d) = ac + bd + bc + ad$$

- Assemble the product:

$$\begin{aligned}xy &= (a \cdot 10^{n/2} + b)(c \cdot 10^{n/2} + d) \\ &= ac \cdot 10^n + (ad + bc)10^{n/2} + bd\end{aligned}$$





How would this work?

x, y are n -digit numbers

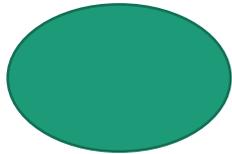
(Still not super precise, see IPython notebook for detailed code. Also, still assume n is a power of 2.)

Multiply(x, y):

- **If** $n=1$:
 - **Return** xy
- Write $x = a 10^{\frac{n}{2}} + b$ and $y = c 10^{\frac{n}{2}} + d$
- $ac =$ **Multiply**(a, c)
- $bd =$ **Multiply**(b, d)
- $z =$ **Multiply**($a+b, c+d$)
- $xy = ac 10^n + (z - ac - bd) 10^{n/2} + bd$
- **Return** xy

a, b, c, d are
 $n/2$ -digit numbers

What's the running time?

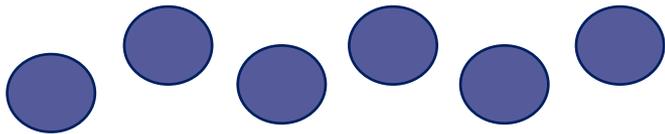


1 problem
of size n



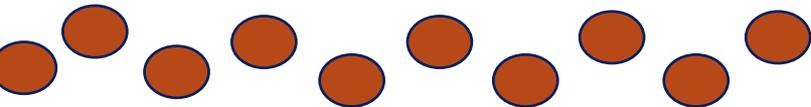
3 problems
of size $n/2$

...



3^t problems
of size $n/2^t$

...



Note: this is just a cartoon – I'm not going to draw all 3^t circles!

- If you cut n in half $\log_2(n)$ times, you get down to 1.
- So at level $t = \log_2(n)$ we get...

$$3^{\log_2 n} = n^{\log_2 3} \approx n^{1.6}$$

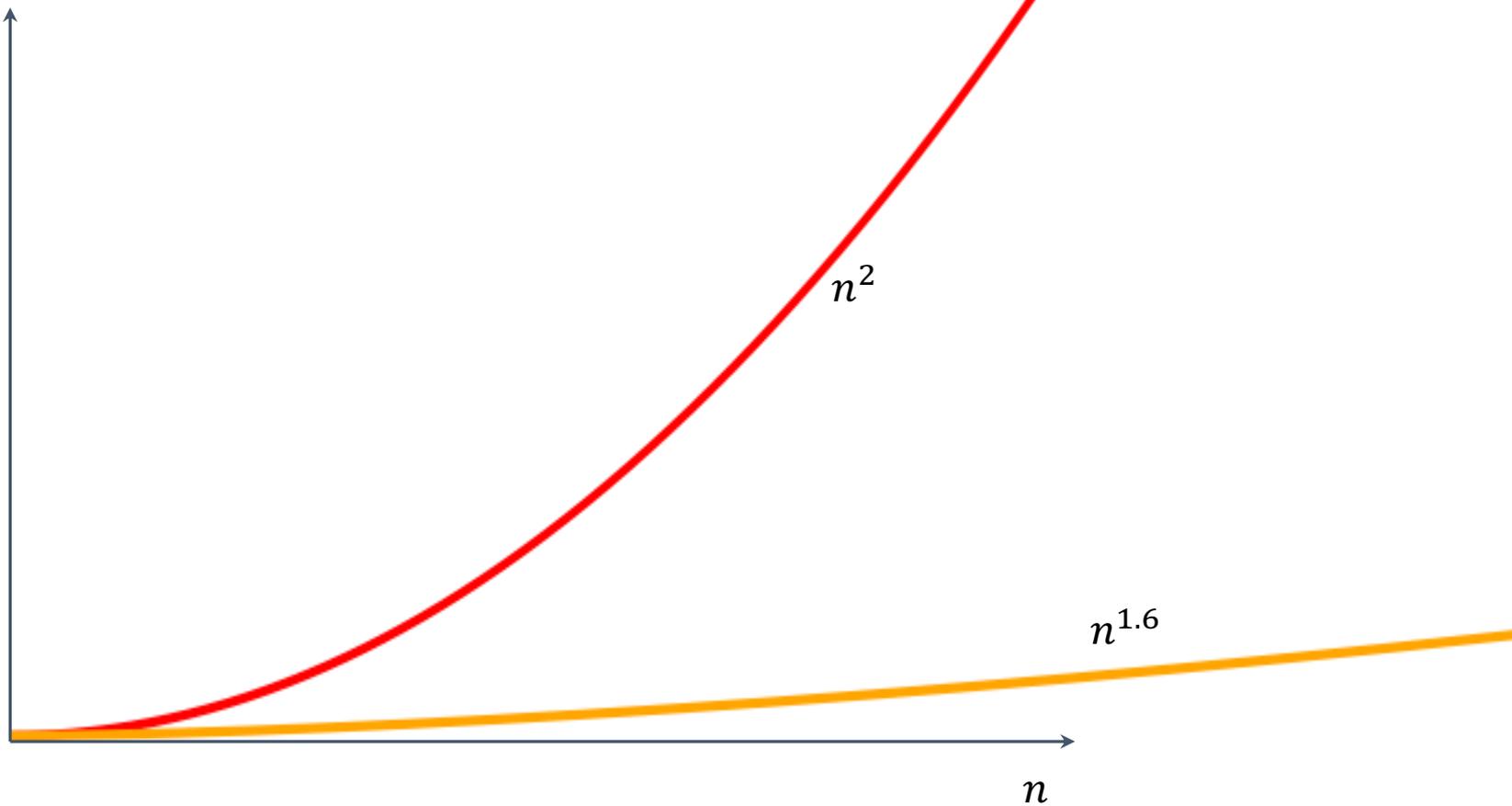
problems of size 1.

$n^{1.6}$
problems
of size 1

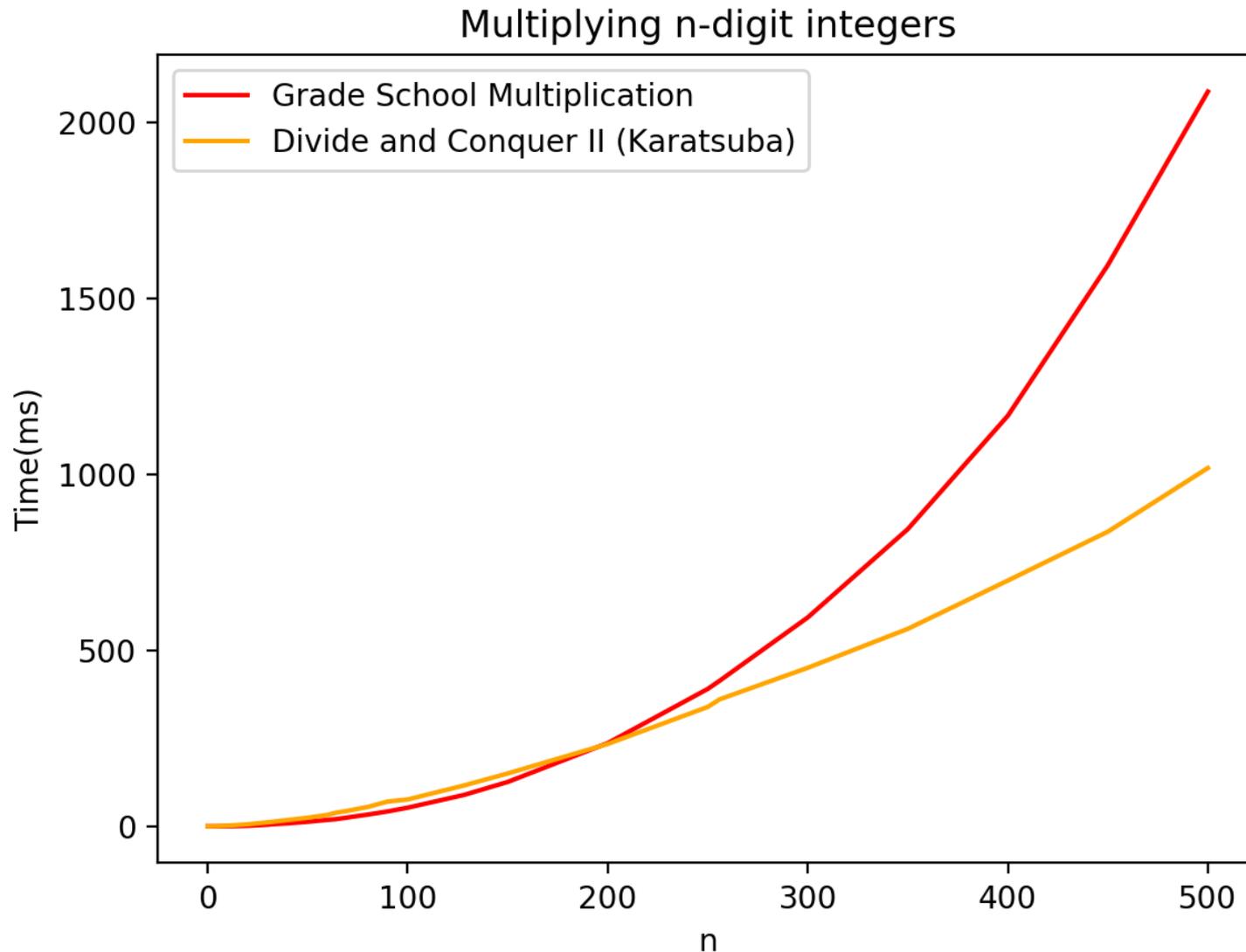
We aren't accounting for the work at the higher levels!
But we'll see later that this turns out to be okay.



This is much better!

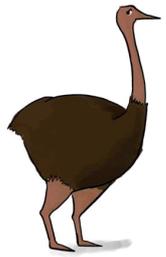


We can even see it in real life!



Can we do better?

- **Toom-Cook** (1963): instead of breaking into three $n/2$ -sized problems, break into five $n/3$ -sized problems.
 - Runs in time $O(n^{1.465})$



Try to figure out how to break up an n -sized problem into five $n/3$ -sized problems! (**Hint: start with nine $n/3$ -sized problems**).

Given that you can break an n -sized problem into five $n/3$ -sized problems, where does the 1.465 come from?



Siggi the Studious Stork

- **Schönhage–Strassen** (1971):
 - Runs in time $O(n \log(n) \log \log(n))$
- **Furer** (2007)
 - Runs in time $n \log(n) \cdot 2^{O(\log^*(n))}$
- **Harvey and van der Hoeven** (2019)
 - Runs in time $O(n \log(n))$

[This is just for fun, you don't need to know these algorithms!]

Ollie the Over-achieving Ostrich

Course goals

- Think **analytically** about algorithms
- Flesh out an “**algorithmic toolkit**”
- Learn to **communicate clearly** about algorithms

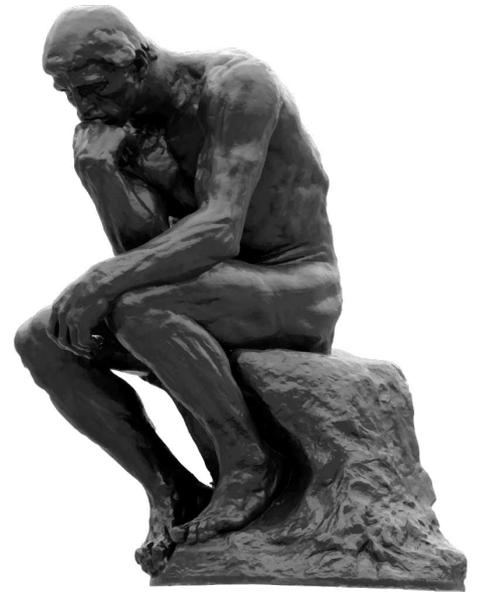
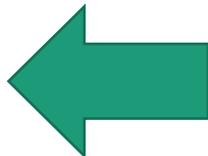
Today's goals

- Karatsuba Integer Multiplication
- Algorithmic Technique:
 - **Divide and conquer**
- Algorithmic Analysis tool:
 - **Intro to asymptotic analysis**



The big questions

- Who are we?
 - Professor, TA's, students?
- Why are we here?
 - Why learn about algorithms?
- What is going on?
 - What is this course about?
 - Logistics?
- Can we multiply integers?
 - And can we do it quickly?
- Wrap-up



Wrap up

- web.stanford.edu/class/cs161
- Algorithms are fundamental, useful and fun!
- In this course, we will develop both algorithmic intuition and algorithmic technical chops
- Karatsuba Integer Multiplication:
 - You can do better than grade school multiplication!
 - Example of divide-and-conquer in action
 - Informal demonstration of asymptotic analysis



Next time

- Sorting!
- Asymptotics and (formal) Big-Oh notation
- Divide and Conquer some more



BEFORE Next time

- ***Pre-lecture exercise!*** On the course website!
- Check out Ed!
- Get started on HW0! (On Gradescope)