## **MEMM** inference in systems

- For a Conditional Markov Model (CMM) a.k.a. a Maximum Entropy Markov Model (MEMM), the classifier makes a single decision at a time, conditioned on evidence from observations and previous decisions.
- A larger space of sequences is explored via search

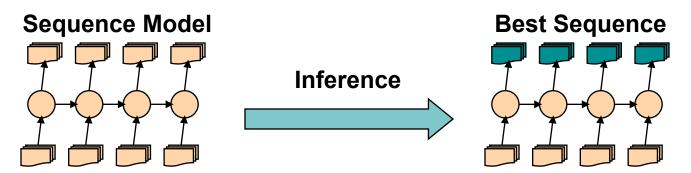
# -3 -2 -1 0 +1 DT NNP VBD ???? ???? The Dow fell 22.6 %

**Features** 

$W_0$	22.6
W <sub>+1</sub>	%
W <sub>-1</sub>	fell
T <sub>-1</sub>	VBD
T <sub>-1</sub> -T <sub>-2</sub>	NNP-VBD
hasDigit?	true

(Ratnaparkhi 1996; Toutanova et al. 2003, etc.)

#### **Beam Inference**



#### Beam inference:

- At each position keep the top k complete sequences.
- Extend each sequence in each local way.
- The extensions compete for the k slots at the next position.

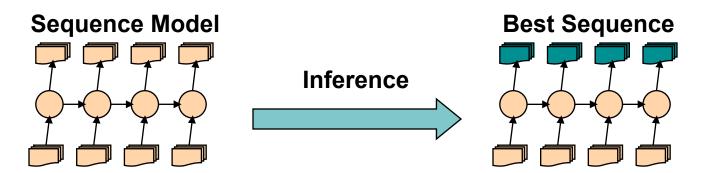
#### Advantages:

- Fast; and beam sizes of 3–5 are as good or almost as good as exact inference in many cases.
- Easy to implement (no dynamic programming required).

#### Disadvantage:

Inexact: the globally best sequence can fall off the beam.

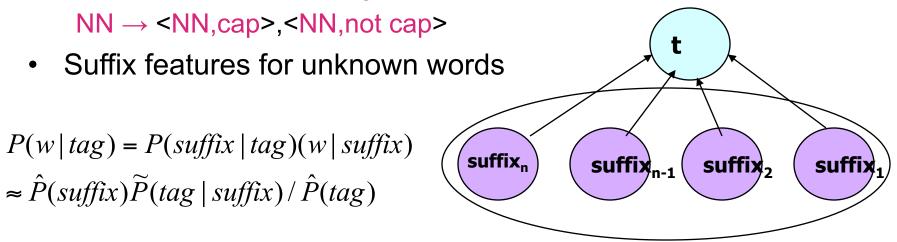
#### Viterbi Inference



- Viterbi inference:
  - Dynamic programming or memoization.
  - Requires small window of state influence (e.g., past two states are relevant).
- Advantage:
  - Exact: the global best sequence is returned.
- Disadvantage:
  - Harder to implement long-distance state-state interactions (but beam inference tends not to allow long-distance resurrection of sequences anyway).

# HMM Part-of-speech Tagging Models - Brants 2000

- Highly competitive with other state-of-the art models
- Trigram HMM with smoothed transition probabilities
- Capitalization feature becomes part of the state each tag state is split into two e.g.



$$\widetilde{P}(tag \mid suffix_n) = \lambda_1 \hat{P}(tag \mid suffix_n) + \lambda_2 \hat{P}(tag \mid suffix_{n-1}) + \dots + \lambda_n \hat{P}(tag)$$

# **MEMM Tagging Models -II**

- Ratnaparkhi (1996): local distributions are estimated using maximum entropy models
  - Previous two tags, current word, previous two words, next two words, suffix, prefix, hyphenation, and capitalization features for unknown words
- Toutanova et al. (2003)
  - Richer features, bidirectional inference, better smoothing, better unknown word handling

Model	Overall Accuracy	Unknown Words
HMM (Brants 2000)	96.7	85.5
MEMM (Ratn. 1996)	96.63	85.56
MEMM (T. et al 2003)	97.24	89.04

## CRFs [Lafferty, Pereira, and McCallum 2001]

- Another sequence model: Conditional Random Fields (CRFs)
- A whole-sequence conditional model rather than a chaining of local models.

$$P(c \mid d, \lambda) = \frac{\exp \sum_{i} \lambda_{i} f_{i}(c, d)}{\sum_{c'} \exp \sum_{i} \lambda_{i} f_{i}(c', d)}$$

- The space of c's is now the space of sequences
  - But if the features  $f_i$  remain local, the conditional sequence likelihood can be calculated exactly using dynamic programming
- Training is slow, but CRFs avoid causal-competition biases
- These (or a variant using a max margin criterion) are seen as the state-of-the-art these days

# **Summary of Tagging**

- For tagging, the change from generative to discriminative model does not by itself result in great improvement
- One profits from discriminative models for specifying dependence on **overlapping features of the observation** such as spelling, suffix analysis,etc
- A CMM allows integration of rich features of the observations, but can suffer strongly from assuming independence from following observations; this effect can be relieved by adding dependence on following words
- This additional power (of the CMM ,CRF, Perceptron models) has been shown to result in improvements in accuracy
- The **higher accuracy** of discriminative models comes at the price of **much slower training**

#### **Biomedical NER Motivation**

- The biomedical field contains a large body of information, which is growing rapidly.
  - MEDLINE, the primary research database serving the biomedical community, currently contains over 12 million abstracts, with 60,000 new abstracts appearing each month.
  - There is also an impressive number of biological databases containing information on genes, proteins, nucleotide and amino acid sequences, including *GenBank*, *Swiss-Prot*, and *Fly-Base*; each contains entries numbering from the thousands to the millions and are multiplying rapidly.

#### **Motivation**

- Currently, all of these resources are curated by hand by expert annotators at enormous expense.
- The information overload from the massive growth in the scientific literature has shown the necessity to automatically locate, organize and manage facts relating to experimental results
- Natural Language Processing can aid researchers and curators of biomedical databases by automating these tasks.

# **Named Entity Recognition**

General NER vs. Biomedical NER

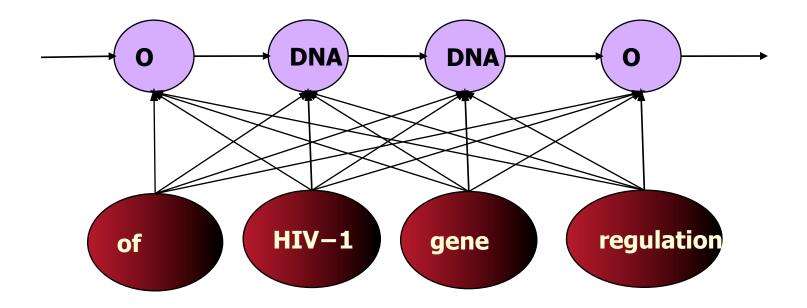
<PER> Christopher Manning </PER> is a professor at <ORG> Stanford University </ORG>, in <LOC> Palo Alto </LOC>.

<RNA> TAR 
/RNA> independent transactivation by <PROTEIN>
Tat </PROTEIN> in cells derived from the <CELL> CNS </CELL> - a
novel mechanism of <DNA> HIV-1 gene </DNA> regulation.

# Why is this difficult?

- The list of biomedical entities is growing.
  - New genes and proteins are constantly being discovered, so explicitly enumerating and searching against a list of known entities is not scalable.
  - Part of the difficulty lies in identifying previously unseen entities based on contextual, orthographic, and other clues.
- Biomedical entities don't have strict naming conventions.
  - Common English words such as period, curved, and for are used for gene names.
  - Entity names can be ambiguous. For example, in FlyBase, "clk" is the gene symbol for the "Clock" gene but it also is used as a synonym of the "period" gene.
- Biomedical entity names are ambiguous
  - Experts only agree on whether a word is even a gene or protein 69% of the time. (Krauthammer et al., 2000)
  - Often systematic polysemies between gene, RNA, DNA, etc.

## **Maximum Entropy Markov Model**



$$P(t \mid h) = \frac{\exp(\sum_{j=1}^{m} f_j(h, t)\lambda_j)}{\sum_{k=1}^{K} \exp(\sum_{j=1}^{m} f_j(h, t_k)\lambda_j)}$$

## **Interesting Features**

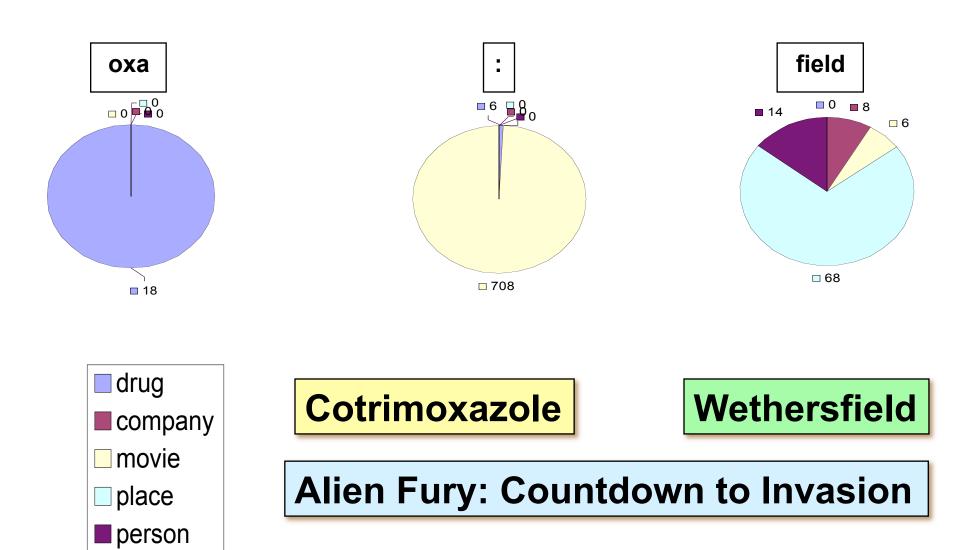
- Word, and surrounding context
- Word Shapes
  - Map words to simplified representation that encodes attributes such as length, capitalization, numerals, Greek letters, internal punctuation, etc.

Varicella-zoster	Xx-xxx
mRNA	xXXX
CPA1	XXXd

Character substrings

```
#Dpp#, #Dpp, Dpp#, #Dp, Dpp, pp#, #D,
Dp, pp, p#, D, p
```

### Features: What's in a Name?



## **Interesting Features**

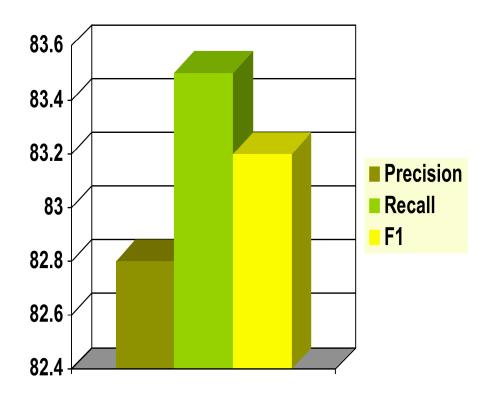
- Part-of-Speech tags
- Parsing information
- Searching the web for the word in a given context
  - X gene, X mutation, X antagonist
- Gazetteer
  - list words whose classification is known
- Abbreviation extraction (Schwartz and Hearst, 2003)
  - Identify short and long forms when occurring together in text

... Zn finger homeodomain 2 (Zfh 2)

- - -

## Finkel et al. (2004) Results

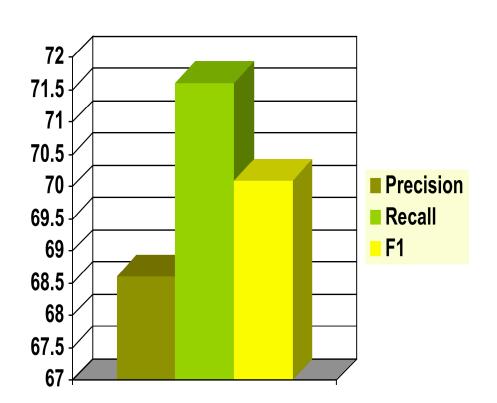
BioCreative task – Identify genes and proteins



Precision	Recall	F1	
81.3%	86.1%	83.6%	

# Finkel et al. (2004) Results

 BioNLP task – Identify genes, proteins, DNA, RNA, and cell types



Precision	Recall	F1
68.6%	71.6%	70.1%

# Information **Extraction and Integration**

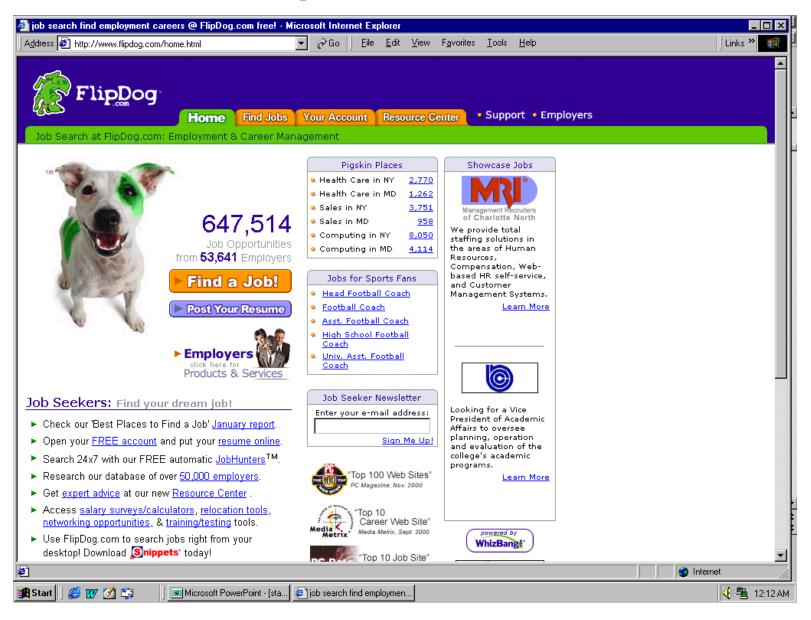
Following slides from:

William Cohen
Andrew McCallum
Eugene Agichtein
Sunita Sarawagi

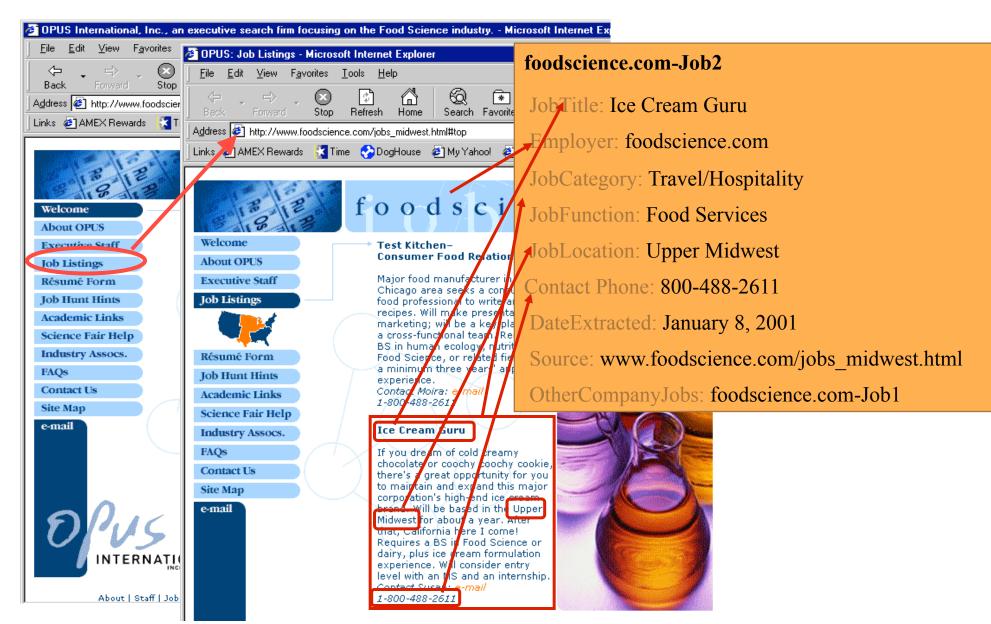
#### The Value of Text Data

- "Unstructured" text data is the primary source of humangenerated information
  - Citeseer, comparison shopping, PIM systems, web search, data warehousing
- Managing and utilizing text: information extraction and integration
- Scalability: a bottleneck for deployment
- Relevance to data mining community

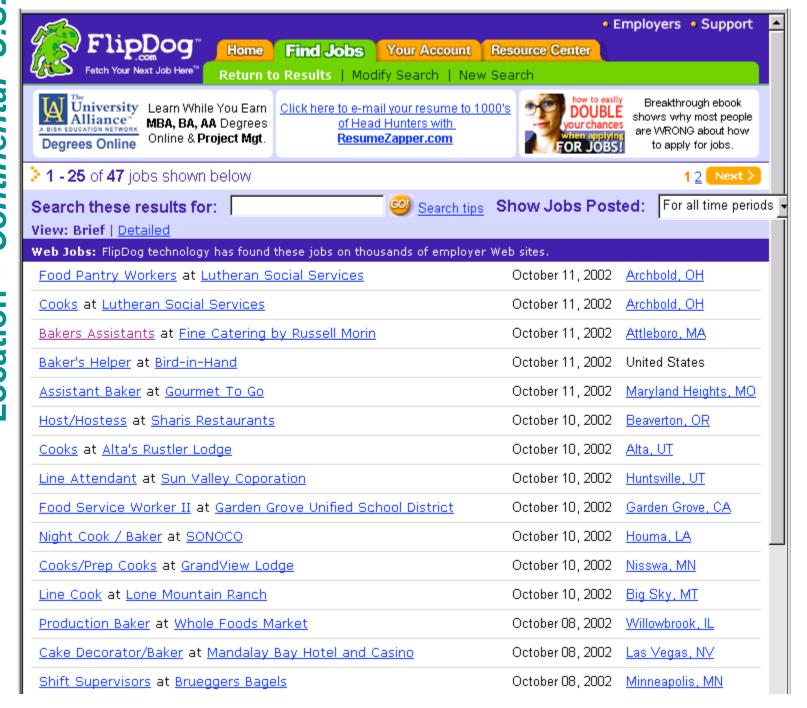
## **Example: A Solution**



## **Extracting Job Openings from the Web**



# Food Services **Continental** Baker **Openings** ation Category Keyword U



#### As a task:

Filling slots in a database from sub-segments of text.

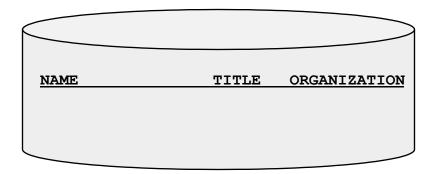
October 14, 2002, 4:00 a.m. PT

For years, Microsoft Corporation CEO Bill Gates railed against the economic philosophy of open-source software with Orwellian fervor, denouncing its communal licensing as a "cancer" that stifled technological innovation.

Today, Microsoft claims to "love" the opensource concept, by which software code is made public to encourage improvement and development by outside programmers. Gates himself says Microsoft will gladly disclose its crown jewels--the coveted code behind the Windows operating system--to select customers.

"We can be open source. We love the concept of shared source," said Bill Veghte, a Microsoft VP. "That's a super-important shift for us in terms of code access."

Richard Stallman, founder of the Free Software Foundation, countered saying...



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NAME	TITLE	ORGANIZATION
Bill Gates	CEO	Microsoft
Bill Veghte	VP	Microsoft
Richard Stallman	founder	Free Soft

# As a family of techniques:

Information Extraction = segmentation + classification + clustering + association

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<u>Richard Stallman</u>, <u>founder</u> of the <u>Free</u> <u>Software Foundation</u>, countered saying... **Microsoft Corporation** 

**CEO** 

**Bill Gates** 

**Microsoft** 

Gates aka "named entity

Microsoft extraction"

**Bill Veghte** 

**Microsoft** 

**VP** 

**Richard Stallman** 

founder

**Free Software Foundation** 

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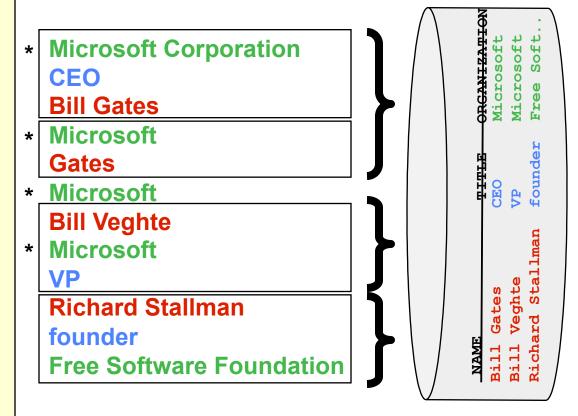
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### IE is different in different domains!

Example: on web there is less grammar, but more formatting & linking

Newswire

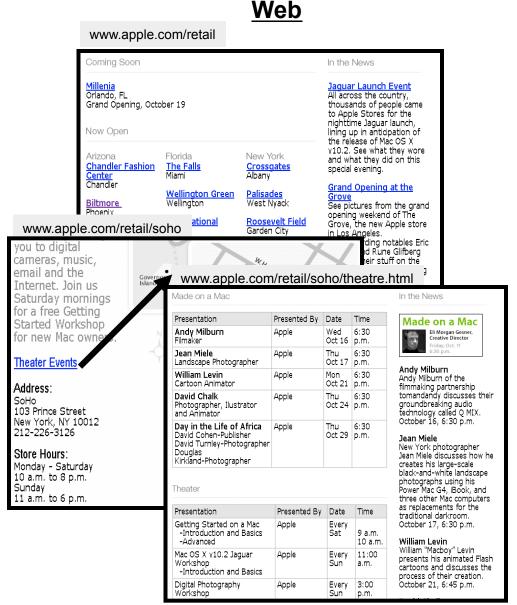
Web

Apple to Open Its First Retail Store in New York City

MACWORLD EXPO, NEW YORK--July 17, 2002--Apple's first retail store in New York City will open in Manhattan's SoHo district on Thursday, July 18 at 8:00 a.m. EDT. The SoHo store will be Apple's largest retail store to date and is a stunning example of Apple's commitment to offering customers the world's best computer shopping experience.

"Fourteen months after opening our first retail store, our 31 stores are attracting over 100,000 visitors each week," said Steve Jobs, Apple's CEO. "We hope our SoHo store will surprise and delight both Mac and PC users who want to see everything the Mac can do to enhance their digital lifestyles."

The directory structure, link structure, formatting & layout of the Web is its own new grammar.



# Landscape of IE Tasks (1/4): Degree of Formatting

# Text paragraphs without formatting

Astro Teller is the CEO and co-founder of BodyMedia. Astro holds a Ph.D. in Artificial Intelligence from Carnegie Mellon University, where he was inducted as a national Hertz fellow. His M.S. in symbolic and heuristic computation and B.S. in computer science are from Stanford University. His work in science, literature and business has appeared in international media from the New York Times to CNN to NPR.

# Non-grammatical snippets, rich formatting & links

Barto, Andrew G.	(413) 545-2109	barto@cs.umass.edu	CS276
Professor. Computational neurosciene motor control, artificial neu control, motor developmen	ural networks, adap		<b>1</b>
Berger, Emery D.	(413) 577-4211	emery@cs.umass.edu	CS344
Assistant Professor.			<b>1</b> (i)
Brock, Oliver	(413) 577-033	34 <u>oli@cs.umass.edu</u>	CS246
Assistant Professor.			<b>1</b>
Clarke, Lori A.	(413) 545-1328	clarke@cs.umass.edu	CS304
Professor. Software verification, testing and design.	ng, and analysis; so	ftware architecture	<b>1</b>
Cohen, Paul R.	(413) 545-3638	cohen@cs.umass.edu	CS278
Professor. Planning, simulation, natur intelligent data analysis, in			<b>1</b>

# Grammatical sentences and some formatting & links

Dr. Steven Minton - Founder/CTO Press Dr. Minton is a fellow of the American Contact Association of Artificial Intelligence and was General the founder of the Journal of Artificial information Intelligence Research. Prior to founding Fetch, Directions Minton was a faculty member at USC and a maps project leader at USC's Information Sciences Institute. A graduate of Yale University and Carnegie Mellon University, Minton has been a Principal Investigator at NASA Ames and taught at Stanford, UC Berkeley and USC. Frank Huybrechts - COO Mr. Huybrechts has over 20 years of

#### **Tables**

8:30 - 9:30 AM	Invited Talk: Plausibility Measures: A General Approach for Representing Uncertai Joseph Y. Halpem, Cornell University  Coffee Break  Technical Paper Sessions:				
9:30 - 10:00 AM					
10:00 - 11:30 AM					
Cognitive Robotics	Logic Programming	Natural Language Generation	Complexity Analysis	Neural Networks	Games
739: A Logical Account of Causal and Topological Maps Emilio Remolina and Benjamin Kuipers	116: A-System: Problem Solving through Abduction Marc Denecker, Antonis Kakas, and Bert Van Nuffelen	Generation for Machine-Translated Documents Rong Jin and Alexander G. Hauptmann	417: Let's go Nats: Complexity of Nested Circumscription and Abnormality Theories Marco Cadoli, Thomas Eiter, and Georg Gottlob	179: Knowledge Extraction and Comparison from Local Function Networks Kenneth McGarry, Stefan Wermter, and John MacIntyre	71: Iterative Widening Tristan Cazenave
549: Online-Execution of ccGolog Plans Henrik Grosskreutz and Gerhard Lakemeyer	131: A Comparative Study of Logic Programs with Preference Torsten Schaub and Kewen	246: Dealing with Dependencies between Content Planning and Surface Realisation in a Pipeline Generation	470: A Perspective on Knowledge Compilation Adnan Darwiche and Pierre Marquis	258: Violation-Guided Learning for Constrained Formulations in Neural-Network Time-Series	353: Temporal Difference Learning Applied to a High Performance Game-Playing

# Landscape of IE Tasks (2/4): Intended Breadth of Coverage

#### Web site specific

**Formatting** 

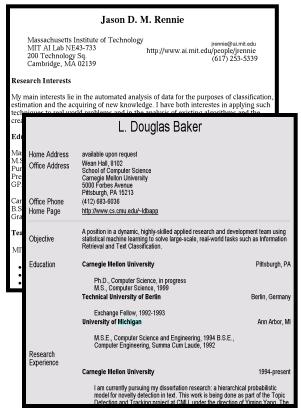
**Amazon.com Book Pages** 



#### **Genre specific**

Layout

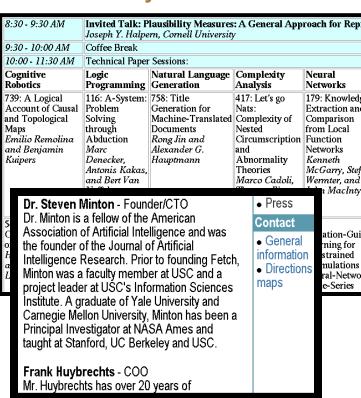
#### Resumes



#### Wide, non-specific

Language

#### **University Names**



# Landscape of IE Tasks (3/4): Complexity

#### E.g. word patterns:

#### **Closed set**

U.S. states

He was born in Alabama...

The big Wyoming sky...

#### **Complex pattern**

U.S. postal addresses

University of Arkansas P.O. Box 140
Hope, AR 71802

Headquarters: 1128 Main Street, 4th Floor Cincinnati, Ohio 45210

#### Regular set

U.S. phone numbers

Phone: <u>(413) 545-1323</u>

The CALD main office can be reached at 412-268-1299

Ambiguous patterns, needing context and many sources of evidence

#### **Person names**

...was among the six houses sold by <u>Hope Feldman</u> that year.

<u>Pawel Opalinski</u>, Software Engineer at WhizBang Labs.

# Landscape of IE Tasks (4/4): Single Field/Record

Jack Welch will retire as CEO of General Electric tomorrow. The top role at the Connecticut company will be filled by Jeffrey Immelt.

#### Single entity

Person: Jack Welch

Person: Jeffrey Immelt

Location: Connecticut

#### **Binary relationship**

Relation: Person-Title Person: Jack Welch

Title: CEO

Relation: Company-Location Company: General Electric

Location: Connecticut

#### N-ary record

Relation: Succession

Company: General Electric

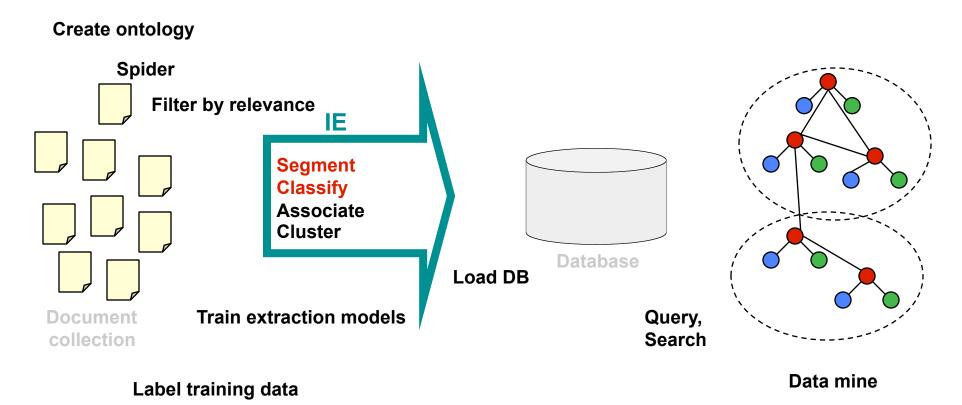
Title: CEO

Out: Jack Welsh In: Jeffrey Immelt

<sup>&</sup>quot;Named entity" extraction

#### **Broader View**

Up to now we have been focused on segmentation and classification



#### Steps 1 & 2: Hand Coded Rule Example: Conference Name

```
# These are subordinate patterns
$wordOrdinals="(?:first|second|third|fourth|fifth|sixth|seventh|eighth|ninth|tenth|eleventh|twelfth|thirteenth|
fourteenth|fifteenth)";
my $numberOrdinals="(?:\\d?(?:1st|2nd|3rd|1th|2th|3th|4th|5th|6th|7th|8th|9th|0th))";
my $ordinals="(?:$wordOrdinals|$numberOrdinals)";
my $confTypes="(?:Conference|Workshop|Symposium)";
my $words="(?:[A-Z]\\w+\\s*)"; # A word starting with a capital letter and ending with 0 or more spaces
my $confDescriptors="(?:international\\s+|[A-Z]+\\s+)"; # .e.g "International Conference ...' or the conference
name for workshops (e.g. "VLDB Workshop ...")
my $connectors="(?:on|of)";
my $abbreviations="(?:\\([A-Z]\\w\\w+[\\W\\s]*?(?:\\d\\d+)?\\))"; # Conference abbreviations like "(SIGMOD'06)"
# The actual pattern we search for. A typical conference name this pattern will find is
# "3rd International Conference on Blah Blah (ICBBB-05)"
my $fullNamePattern="((?:$ordinals\\s+$words*|$confDescriptors)?$confTypes(?:\\s+$connectors\\s+.*?|\\s+)
$abbreviations?)(?:\\n|\\r|\\.|<)";
# Given a <dbworldMessage>, look for the conference pattern
lookForPattern($dbworldMessage, $fullNamePattern);
# In a given <file>, look for occurrences of <pattern>
# <pattern> is a regular expression
sub lookForPattern {
 my (file, pattern) = @ ;
```

## **Machine Learning Methods**

- Sequence models: HMMs, CMMs/MEMMs, CRFs
- Can work well when training data is easy to construct and is plentiful
- Can capture complex patterns that are hard to encode with hand-crafted rules
  - e.g., determine whether a review is positive or negative
  - extract long complex gene names

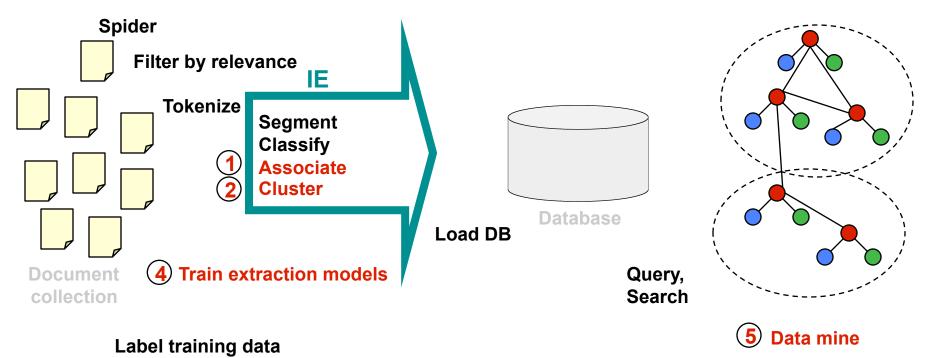
The human T cell leukemia lymphotropic virus type 1 Tax protein represses MyoD-dependent transcription by inhibiting MyoD-binding to the KIX domain of p300."

- Can be labor intensive to construct training data
  - Question: how much training data is sufficient?

#### **Broader View**

#### Now touch on some other issues

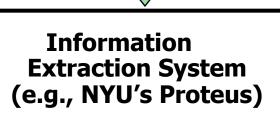
3 Create ontology



#### **Relation Extraction: Disease Outbreaks**

Extract structured relations from text

May 19 1995. Atlanta -- The Centers for Disease Control and Prevention, which is in the front line of the world's response to the deadly **Ebola** epidemic in **Zaire**, is finding itself hard pressed to cope with the crisis...



#### Disease Outbreaks in *The New York Times*

Date	Disease Name	Location
Jan. 1995	Malaria	Ethiopia
July 1995	Mad Cow Disease	U.K.
Feb. 1995	Pneumonia	U.S.

## **Example: Protein Interactions**

"We show that CBF-A and CBF-C interact with each other to form a CBF-A-CBF-C complex and that CBF-B does not interact with CBF-A or CBF-C individually but that it associates with the CBF-A-CBF-C complex."

#### **Relation Extraction**

- Typically requires Entity Tagging as preprocessing
- Knowledge Engineering
  - Rules defined over lexical items
    - "<company> located in <location>"
  - Rules defined over parsed text
    - "((Obj <company>) (Verb located) (\*) (Subj <location>))"
  - Proteus, GATE, ...
- Machine Learning-based
  - Learn rules/patterns from examples
     Dan Roth 2005, Cardie 2006, Mooney 2005, ...
  - Partially-supervised: bootstrap from "seed" examples
     Agichtein & Gravano 2000, Etzioni et al., 2004, ...
- Recently, hybrid models [Feldman2004, 2006]

## **Example Extraction Rule [NYU Proteus]**

```
;;; For <company> appoints <person> <position>
(defpattern appoint
   "np-sem(C-company)? rn? sa? vg(C-appoint) np-sem(C-person) ','?
    to-be? np(C-position) to-succeed?:
    company-at=1.attributes, sa=3.span, lv=4.span, person-at=5.attributes
    position-at=8.attributes |
(defun when-appoint (phrase-type)
    (let ((person-at (binding 'person-at))
       (company-entity (entity-bound 'company-at))
       (person-entity (essential-entity-bound 'person-at 'C-person))
       (position-entity (entity-bound 'position-at))
       (predecessor-entity (entity-bound 'predecessor-at))
       new-event)
    (not-an-antecedent position-entity)
    ;; if no company is specified for position, use agent
```

# **Example Extraction Patterns: Snowball [AG2000]**

ORGANIZATION {<'s 0.7> <in 0.7> LOCATION LOCATION

LOCATION

{<- 0.75>
<based 0.75>}

**ORGANIZATION** 

# (1) Association as Binary Classification

Christos Faloutsos conferred with Ted Senator, the KDD 2003 General Chair.

Person Person Role

Person-Role (Christos Faloutsos, KDD 2003 General Chair) → NO

Person-Role ( Ted Senator, KDD 2003 General Chair) → YES

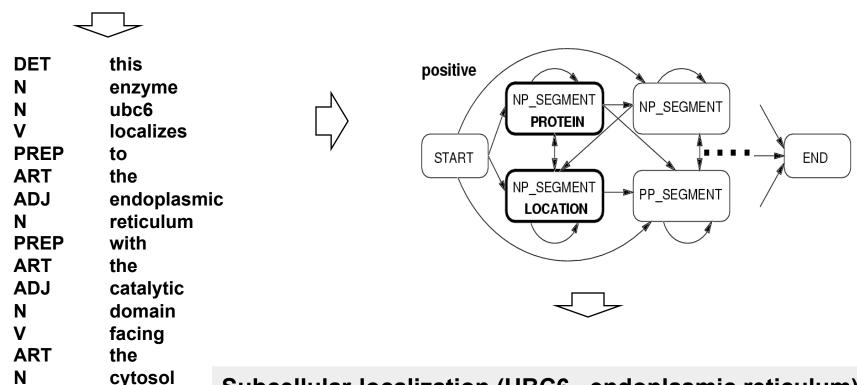
Do this with SVMs and tree kernels over parse trees.

[Zelenko et al, 2002]

# (1) Association with Finite State Machines

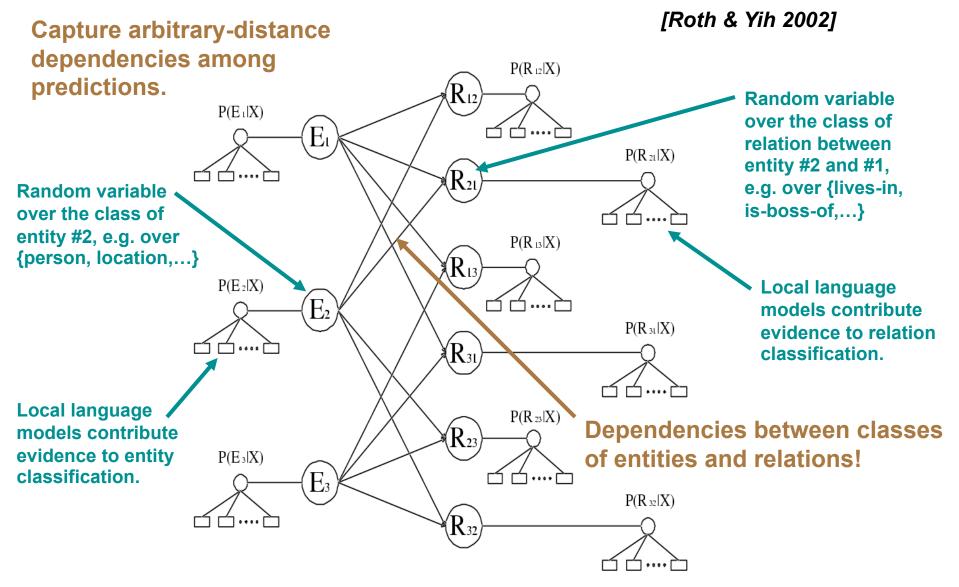
[Ray & Craven, 2001]

... This enzyme, UBC6, localizes to the endoplasmic reticulum, with the catalytic domain facing the cytosol. ...



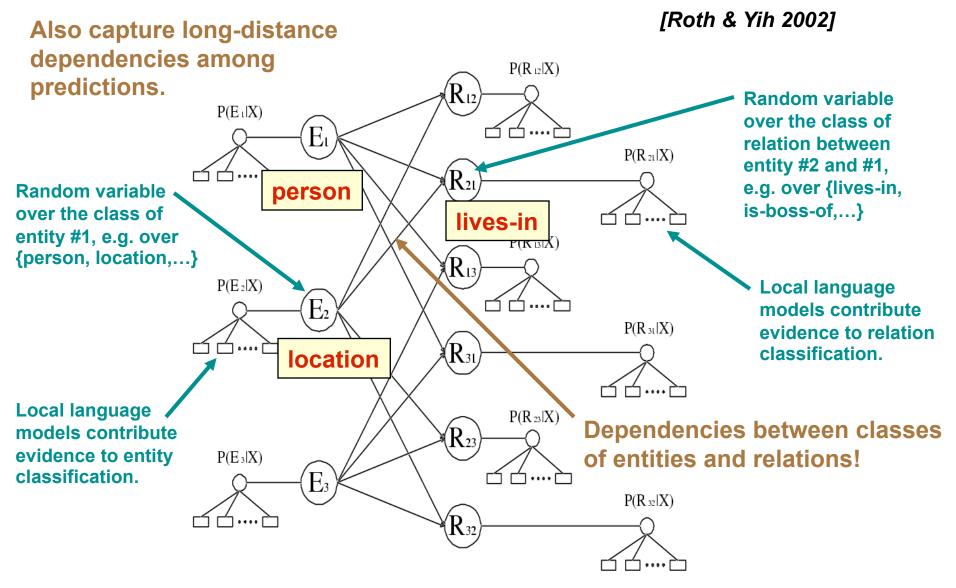
Subcellular-localization (UBC6, endoplasmic reticulum)

# (1) Association with Graphical Models



Inference with loopy belief propagation.

# (1) Association with Graphical Models



Inference with loopy belief propagation.

# **Accuracy of Information Extraction**

Information Type	Accuracy	
Entities	90-98%	
Attributes	80%	
Facts	60-70%	
Events	50-60%	

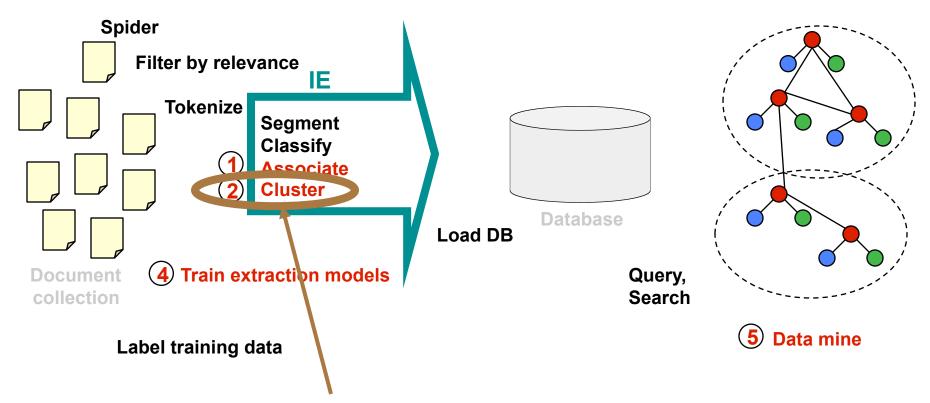
[Feldman, ICML 2006 tutorial]

- Errors cascade (error in entity tag → error in relation extraction)
- This estimate is optimistic:
  - Holds for well-established tasks
  - Many specific/novel IE tasks exhibit lower accuracy

#### **Broader View**

#### Now touch on some other issues

3 Create ontology



When do two extracted strings refer to the same object?

# **Extracted Entities: Resolving Duplicates**





**Document 1**: The Justice Department has officially ended its inquiry into the assassinations of **John F. Kennedy** and Martin Luther King Jr., finding `no persuasive evidence" to support conspiracy theories, according to department documents. The House Assassinations Committee concluded in 1978 that **Kennedy** was `probably" assassinated as the result of a conspiracy involving a second gunman, a finding that broke from the **Warren Commission** 's belief that Lee Harvey Oswald acted alone in **Pallas** on Nov. 22, 1963.

**Document 2**: In 1953, Massachusetts **Sep. John F. Kennedy** married Jacqueline Lee Bouvier in Newport, R.I. In 1960, Democratic presidential candidate **John F. Kennedy** confronted the issue of his Roman Catholic faith by telling a Protestant group in Houston, "I do not speak for my church on public matters, and the church does not speak for me."

**Document 3: David Kennedy** was born in Leicester, England in 1959. ... **Kennedy** coedited The New Poetry (Bloodaxe Books 1993), and is the author of New Relations: The Refashioning Of British Poetry 1980-1994 (Seren 1996).

[From Li, Morie, & Roth, Al Magazine, 2005]

## **Important Problem**

- Appears in numerous real-world contexts
- Plagues many applications
  - Citeseer, DBLife, AliBaba, Rexa, etc.

# (2) Information Integration

[Minton, Knoblock, et al 2001], [Doan, Domingos, Halevy 2001], [Richardson & Domingos 2003]

### Goal might be to merge results of two IE systems:

Name:	Introduction to		Title:	Intro. to Comp. Sci.
Computer Science			Num:	101
Number: CS 101				
			Dept:	Computer Science
Teacher:	M. A. Kludge		Teacher:	Dr. Klüdge
			reacher.	Di. Nidage
Time:	9-11am		TA:	John Smith
Name:	Data Structures in	$\rightarrow$	Topic:	Java Programming
	Java			_
Room:	5032 Wean Hall		Start time:	9:10 AM
	0002 11001111011			