Coreference Resolution

CS224n
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(borrows slides from Roger Levy, Altaf Rahman, Vincent Ng, Heeyoung Lee)

What is Coreference Resolution?
– Identify all noun phrases (mentions) that refer to the same real world entity

Barack Obama nominated Hillary Rodham Clinton as his secretary of state on Monday. He chose her because she had foreign affairs experience as a former First Lady.
A couple of years later, Vanaja met Akhila at the local park. Akhila’s son Prajwal was just two months younger than her son Akash, and they went to the same school. For the preschool play, Prajwal was chosen for the lead role of the naughty child Lord Krishna. Akhila was to be a tree. She resigned herself to make Akash the best tree that anybody had ever seen. She bought him a brown T-shirt and brown trousers to represent the tree trunk. Then she made a large cardboard cutout of a tree’s foliage, with a circular opening in the middle for Akash’s face. She attached red balls to it to represent fruits. It truly was the nicest tree.

From The Star by Shruthi Rao, with some shortening.

Reference Resolution

- Noun phrases refer to entities in the world, many pairs of noun phrases co-refer, some nested inside others
  - John Smith, CFO of Prime Corp, since 1986,
  - saw his pay jump 20% to $1.3 million
  - as the 57-year-old also became
  - the financial services co.’s president.

Kinds of Reference

- Referring expressions
  - John Smith
  - President Smith
  - the president
  - the company’s new executive
- Free variables
  - Smith saw his pay increase
- Bound variables
  - The dancer hurt herself.

Not all NPs are referring!

- Every dancer twisted her knee.
- (No dancer twisted her knee.)
- There are three NPs in each of these sentences; because the first one is non-referential, the other two aren’t either.

Coreference, anaphors, cataphors

- Coreference is when two mentions refer to the same entity in the world
- The relation of anaphora is when a term (anaphor) refers to another term (antecedent) and the interpretation of the anaphor is in some way determined by the interpretation of the antecedent
  - ... and traditionally the antecedent came first

Cataphora

“From the corner of the divan of Persian saddlebags on which he was lying, smoking, as was his custom, innumerable cigarettes, Lord Henry Wotton could just catch the gleam of the honey-sweet and honey-coloured blossoms of a laburnum…”

(Oscar Wilde – The Picture of Dorian Gray)
Anaphora vs. coreference

- Not all anaphoric relations are coreferential

We went to see a concert last night. The tickets were really expensive.

- This is referred to as bridging anaphora.

- Conversely, multiple identical full NP references are typically coreferential but not anaphoric.

Two different things...

- Anaphora
  - Text
  - World

- (Co)Reference
  - Text
  - World

Two different things...

- Something you might like to think about:
  - Do various models treat these two cases the same or differently?

  - Should we do more to treat them more differently?

Applications

- Full text understanding:
  - understanding an extended discourse

- Machine translation (if languages have different features of gender, number, etc.)

- Text summarization, including things like web snippets

- Tasks like information extraction and question answering, when some sentences have pronouns

  — He married Claudia Ross in 1971.

Traditional pronominal anaphora resolution: Hobbs’ naive algorithm

1. Begin at the NP immediately dominating the pronoun
2. Go up tree to first NP or S. Call this X, and the path p.
3. Traverse all branches below X to the left of p, left-to-right, breadth-first. Propose as antecedent any NP that has a NP or S between it and X
4. If X is the highest S in the sentence, traverse the parse trees of the previous sentences in the order of recency. Traverse each tree left-to-right, breadth first. When an NP is encountered, propose as antecedent. If X not the highest node, go to step 5.
5. From node X, go up the tree to the first NP or S. Call it X, and the path p.
6. If X is an NP and the path p to X came from a non-head phrase of X (a specifier or adj), such as a possessive, PP, apposition, or relative clause (propose X as antecedent)
   (The original said “did not pass through the N’ that X immediately dominates”, but the Penn Treebank grammar lacks N’ nodes…)
7. Traverse all branches below X to the left of the path, in a left-to-right, breadth first manner. Propose any NP encountered as the antecedent
8. If X is an S node, traverse all branches of X to the right of the path, but do not go below any NP or S encountered. Propose any NP as the antecedent.
9. Go to step 4

Hobbs’ naive algorithm

Actually still often used as a feature in ML systems!
Supervised Machine Learning

Pronominal Anaphora Resolution

• Given a pronoun and an entity mentioned earlier, classify whether the pronoun refers to that entity or not given the surrounding context (yes/no)

• Usually first filter out pleonastic pronouns like “It is raining.” (perhaps using handwritten rules)

• Use any classifier, obtain positive examples from training data, generate negative examples by pairing each pronoun with other (incorrect) entities

• This is naturally thought of as a binary classification (or ranking) task

Features for Pronominal Anaphora Resolution

• Constraints:
  – Number agreement
    • Singular pronouns (it/they/he/she/his/her/him) refer to singular entities and plural pronouns (we/they/you/our/us/their) refer to plural entities
  – Person agreement
    • He/She/Them etc. must refer to a third person entity
  – Gender agreement
    • He -> John; she -> Mary; it -> car
  – Certain syntactic constraints
    • John bought himself a new car. (himself -> John)
    • John bought him a new car. (him cannot be John)

Features for Pronominal Anaphora Resolution

• Preferences:
  – Recency: More recently mentioned entities are more likely to be referred to
    • John went to a movie. Jack went as well. He was not busy.
  – Grammatical Role: Entities in the subject position is more likely to be referred to than entities in the object position
    • John went to a movie with Jack. He was not busy.
  – Parallelism:
    • John went with Jack to a movie. Joe went with him to a bar.

Lee et al. (2010): Stanford deterministic coreference

• Cautious and incremental approach
• Multiple passes over text
• Precision of each pass is lesser than preceding ones
• Recall keeps increasing with each pass
• Decisions once made cannot be modified by later passes
• Rule-based (“unsupervised”)
The system consists of seven passes (or sieves):
- Exact Match
- Precise Constructs (appositives, predicate nominatives, ...)
- Strict Head Matching
- Strict Head Matching – Variant 1
- Strict Head Matching – Variant 2
- Relaxed Head Matching
- Pronouns

### Pass 6: Relaxed Head Matching

- American President George Bush
- He
- President Bush
- George

Both mention lists to be named entities of the same type

### Entity-mention model: Clusters instead of mentions

Clusters:
- m1
- m2
- m3
- m4
- m5
- m6
- m7

### Detailed Architecture

The system consists of seven passes (or sieves):
- Exact Match
- Precise Constructs (appositives, predicate nominatives, ...)
- Strict Head Matching
- Strict Head Matching – Variant 1
- Strict Head Matching – Variant 2
- Relaxed Head Matching
- Pronouns

### Passes 3 – 5: Examples

- **Pass 3**
  - Yes: "the Florida Supreme Court", "the Florida court"
  - No: "researchers", "two Chinese researchers"
- **Pass 4** (~Compatible Modifiers)
  - Yes: "President Clinton", [American President, American President Bill Clinton, Clinton]
- **Pass 5** (~Word Inclusion)

### Pass 7 – Pronoun Resolution

- Attributes agree
  - Number
  - Gender
  - Person
  - Animacy
- Assigned using POS tags, NER labels, static list of assignments for pronouns
- Improved further using Gender and Animacy dictionaries of Bergsma and Lin (2006), and 6 and Lin (2009)
Remarks

- This simple deterministic approach gives state of the art performance!
- Easy insertion of new features or models
- The idea of "easy first" model has also had some popularity in other (ML-based) NLP systems
  - Easy first POS tagging and parsing
- It’s a flexible architecture, not an argument that ML is wrong
  - Pronoun resolution pass would be easiest place to reinsert an ML model?

Machine learning models of coref

- Start with supervised data
  - positive examples that corefer
  - negative examples that don’t corefer
  - Note that it’s very skewed
  - The vast majority of mention pairs don’t corefer
- Usually learn some sort of discriminative model of phrases/clusters coreferring
  - Predict 1 for coreference, 0 for not coreferent
- But there is also work that builds clusters of coreferring expressions
  - E.g., generative models of clusters in (Haghighi & Klein 2007)

Evaluation

- B3 (B-CUBED) algorithm for evaluation
  - Precision & recall for entities in a reference chain
  - Precision: % of elements in a hypothesized reference chain that are in the true reference chain
  - Recall: % of elements in a true reference chain that are in the hypothesized reference chain
  - Overall precision & recall are the (weighted) average of per-chain precision & recall
  - Optimizing chain-chain pairings is a hard problem
    - In the computational NP-hard sense
    - Greedy matching is done in practice for evaluation

Evaluation

- B-CUBED algorithm for evaluation

Figure from Amigo et al 2009
Evaluation metrics

- MUC Score (Vilain et al., 1995)
  - Link based: Counts the number of common links and computes f-measure
- CEAF (Luo 2005); entity based
- BLANC (Recasens and Hovy 2011) Cluster RAND-index
- …

All of them are sort of evaluating getting coreference links/ clusters right and wrong, but the differences can be important
  - Look at it in PA3

Kinds of Models

- Mention Pair models
  - Treat coreference chains as a collection of pairwise links
  - Make independent pairwise decisions and reconcile them in some way (e.g. clustering or greedy partitioning)
- Mention ranking models
  - Explicitly rank all candidate antecedents for a mention
- Entity-Mention models
  - A cleaner, but less studied, approach
  - Posit single underlying entities
  - Each mention links to a discourse entity (Pasula et al. 03), (Luo et al. 04)

Mention Pair Models

- Most common machine learning approach
- Build a classifier over pairs of NPs
  - For each NP, pick a preceding NP or NEW
  - Or, for each NP, choose link or no-link
- Clean up non-transitivity with clustering or graph partitioning algorithms
  - E.g.: [Soon et al. 01], [Ng and Cardie 02]
  - Some work has done the classification and clustering jointly
  [McCallum and Wellner 03]
- Failures are mostly because of insufficient knowledge or features for hard common noun cases

Features: Grammatical Constraints

- Apposition
  - Nefertiti, Amenomfis the IVth's wife, was born in ...
- Predicatives/equatives
  - Sue is the best student in the class
  - It's questionable whether predicatives cases should be counted, but they generally are.

Features: Soft Discourse Constraints

- Recency
- Salience
- Focus
- Centering Theory [Grosz et al. 86]
- Coherence Relations

Other coreference features

- Additional features to incorporate aliases, variations in names etc., e.g. Mr. Obama, Barack Obama; Megabucks, Megabucks Inc.
- Semantic Compatibility
  - Smith had bought a used car that morning.
    - The dealership assured him it was in good condition.
    - The machine needed a little love, but the engine was in good condition.
But it’s complicated ... so weight features

• Common nouns can differ in number but be coreferent:
  – a patrol ... the soldiers

• Common nouns can refer to proper nouns
  – George Bush ... the leader of the free world

• Split antecedence
  – John waited for Sasha. And then they went out.

Pairwise Features

1. strict gender (true or false): True if there is a strict match in gender (e.g. male pronoun vs. male antecedent $P_1$).
2. compatible gender (true or false): True if $P_1$ and $P_2$ are normally compatible (e.g. male pronoun vs. antecedent $P_2$ of unknown gender).
3. strict number (true or false): True if there is a strict match in number (e.g. singular pronoun vs. singular antecedent).
4. compatible number (true or false): True if $P_1$ and $P_2$ are normally compatible (e.g. singular pronoun vs. antecedent $P_2$ of unknown number).
5. sentence distance $[1, 2, 3, \ldots]$ The number of sentences between pronoun and potential antecedent.
6. Holmes distance $[1, 2, 3, \ldots]$. The number of noun groups that the Holmes algorithm has to skip, starting backwards from the pronoun $P_1$, before the potential antecedent $P_2$ is found.
7. grammatical role [subject, object, prep]. Whether the potential antecedent is a syntactic subject, direct object, or associated with a preposition.
8. linguistic form [proper, definite, indefinite, pronoun]. Whether the potential antecedent $P_2$ is a proper noun, definite description, indefinite NP, or a pronoun.

Mention-Pair (MP) Model

• Soon et al. 2001; Ng and Cardie 2002
• Classifies whether two mentions are coreferent or not.
• Weaknesses
  – Insufficient information to make an informed coreference decision.

Barack Obama ...............Hillary Rodham Clinton .......his .......... secretary of state ...............He ..........her
Mention-Pair (MP) Model

- Soon et al. 2001; Ng and Cardie 2002
- Classifies whether two mentions are coreferent or not.
- Weaknesses
  - Insufficient information to make an informed coreferenced decision.
  - Each candidate antecedent is considered independently of the others.

An Entity Mention Model

- Example: [Luo et al. 04]
- Bell Tree (link vs. start decision list)
- Entity centroids, or not?
  - Not for [Luo et al. 04], see (Pasula et al. 03)
  - Some features work on nearest mention (e.g. recency and distance)
  - Others work on “canonical” mention (e.g. spelling match)
  - Lots of pruning, model highly approximate
  - (Actually ends up being like a greedy-link system in the end)

Entity-Mention (EM) Model

- Pasula et al. 2003; Luo et al. 2004; Yang et al. 2004
- Classifies whether a mention and a preceding, possibly partially formed cluster are coreferent or not.
- Strength
  - Improved expressiveness.
  - Allows the computation of cluster level features
- Weakness
  - Each candidate cluster is considered independently of the others.

Mention-Ranking (MR) Model

- Denis & Baldridge 2007, 2008
- Imposes a ranking on a set of candidate antecedents
- Strength
  - Considers all the candidate antecedents simultaneously
- Weakness
  - Insufficient information to make an informed coreference decision.