

Natural Language Processing with Deep Learning

CS224N/Ling284



Lecture 10:
Machine Translation,
Sequence-to-sequence and Attention

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Announcements

- Honor code issues: Assignment 2
- Assignment 3 released
- Azure credits released
- Default final project update:
 - New handout released
 - Submission instructions released
- Custom final project: you should receive feedback on your proposal this week
- Midterm grades: released after lecture

Happy Valentines Day!



RNN-generated candy hearts

Source: <http://aiweirdness.com/post/170820844947/more-candy-hearts-by-neural-network>

Welcome to the second half of the course!

- Remaining lectures are mostly geared towards **projects**
- We'll bring you to the **cutting-edge** of NLP+DL research
- Lectures will be more **high-level**
 - No more gradient computations!
 - Sometimes we'll sketch an overview – if you're interested in a topic, you can read more after class
- **However:** today's lecture will cover two **core** NLP Deep Learning techniques

Overview

Today we will:

- Introduce a new task: Machine Translation



is the primary use-case of

- Introduce a new neural architecture: sequence-to-sequence



is improved by

- Introduce a new neural technique: attention

Machine Translation

Machine Translation (MT) is the task of translating a sentence x from one language (the **source language**) to a sentence y in another language (the **target language**).

$x:$ *L'homme est né libre, et partout il est dans les fers*



$y:$ *Man is born free, but everywhere he is in chains*

1950s: Early Machine Translation

Machine Translation research began in the **early 1950s**.

- Mostly Russian → English (motivated by the Cold War!)



Source: <https://youtu.be/K-HfpsHPmvw>

- Systems were mostly **rule-based**, using a bilingual dictionary to map Russian words to their English counterparts
 - A cool by-product: Quicksort!

1990s-2010s: Statistical Machine Translation

- Core idea: Learn a **probabilistic model** from **data**
- Suppose we're translating French \rightarrow English.
- We want to find **best English sentence y** , given French sentence x

$$\operatorname{argmax}_y P(y|x)$$

- Use Bayes Rule to break this down into **two components** to be learnt separately:

$$= \operatorname{argmax}_y \underbrace{P(x|y)} \underbrace{P(y)}$$

Translation Model

Models how words and phrases should be translated.
Learnt from parallel data.

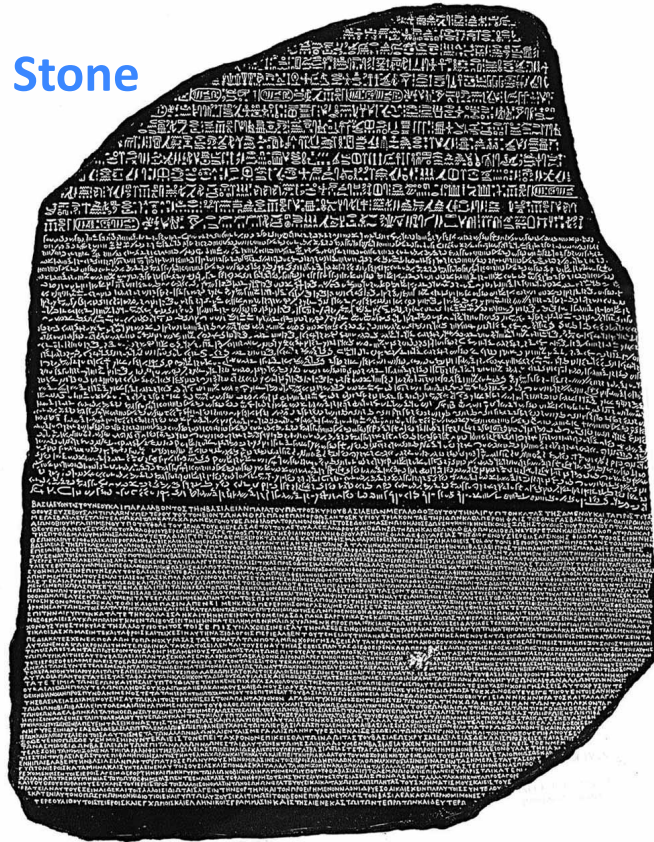
Language Model

Models how to write good English.
Learnt from monolingual data.

1990s-2010s: Statistical Machine Translation

- Question: How to learn translation model $P(x|y)$?
- First, need large amount of **parallel data** (e.g. pairs of human-translated French/English sentences)

The Rosetta Stone



Ancient Egyptian

Demotic

Ancient Greek

1990s-2010s: Statistical Machine Translation

- Question: How to learn translation model $P(x|y)$?
- First, need large amount of **parallel data** (e.g. pairs of human-translated French/English sentences)
- Break it down further: we actually want to consider

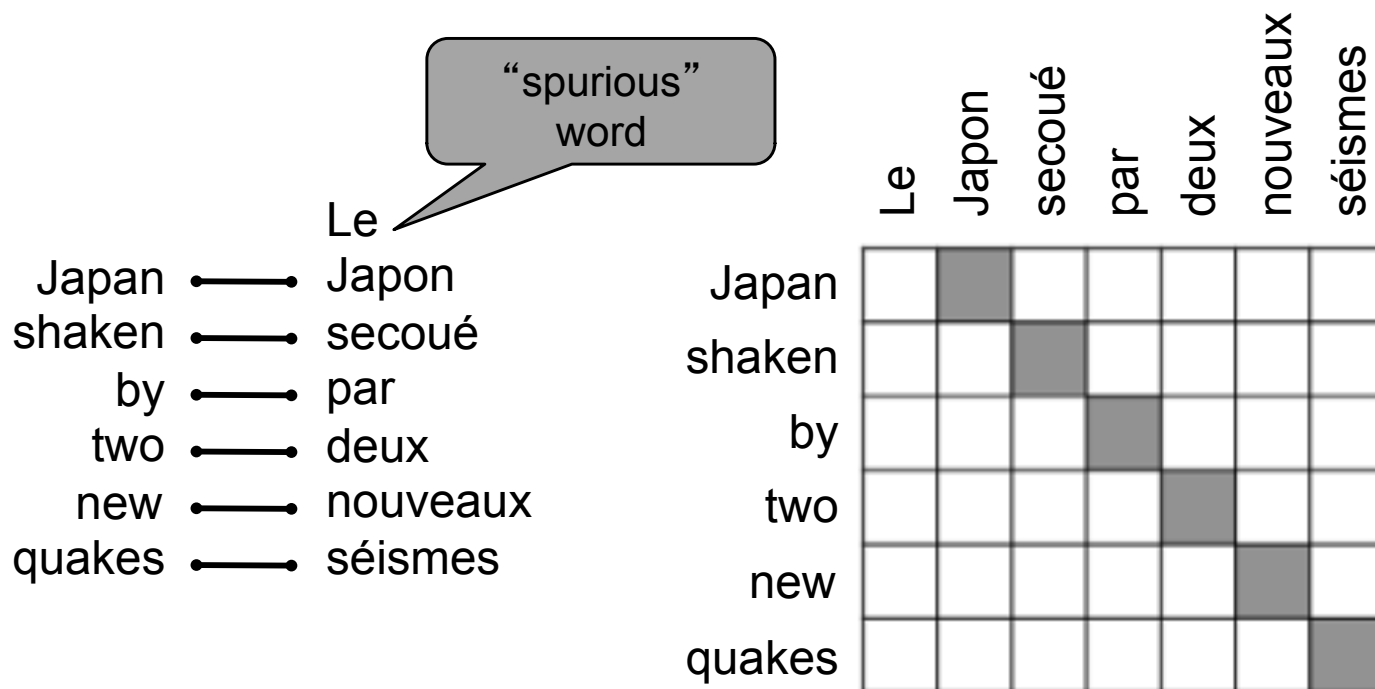
$$P(x, a|y)$$

where a is the **alignment**, i.e. word-level correspondence between French sentence x and English sentence y

What is alignment?

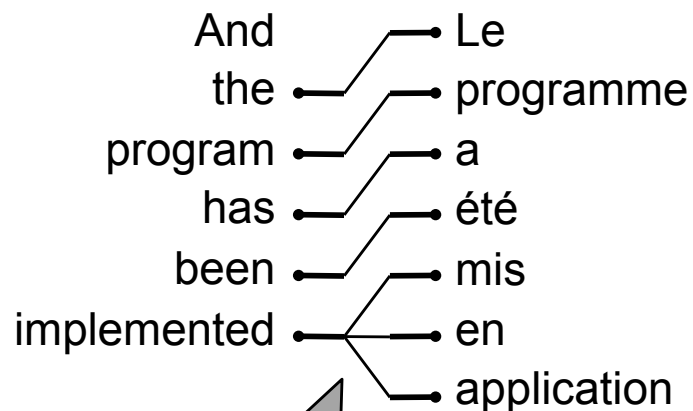
Alignment is the **correspondence between particular words** in the translated sentence pair.

- Note: Some words have **no counterpart**



Alignment is complex

Alignment can be one-to-many (these are “fertile” words)

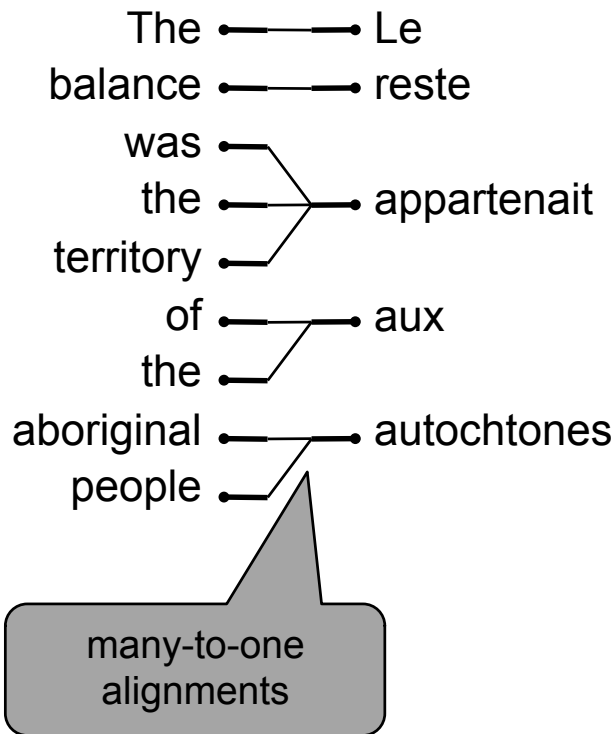


one-to-many alignment

| | Le | programme | a | été | mis | en | application |
|-------------|----|-----------|---|-----|-----|----|-------------|
| And | | | | | | | |
| the | ■ | | | | | | |
| program | | ■ | | | | | |
| has | | | ■ | | | | |
| been | | | | ■ | | | |
| implemented | | | | | ■ | ■ | ■ |

Alignment is complex

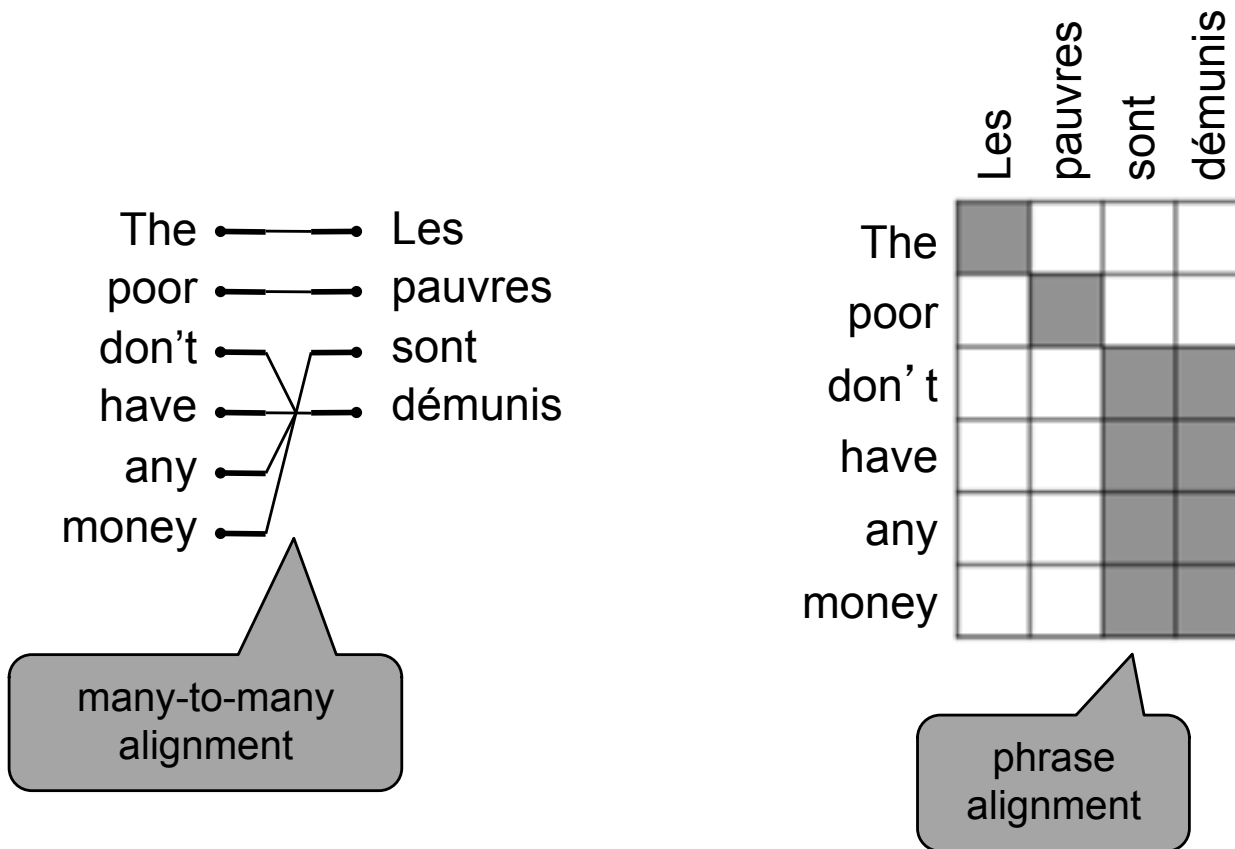
Alignment can be many-to-one



| | Le | reste | appartenait | aux | autochtones |
|------------|----|-------|-------------|-----|-------------|
| The | ■ | | | | |
| balance | | ■ | | | |
| was | | | ■ | | |
| the | | | ■ | | |
| territory | | | ■ | | |
| of | | | | ■ | |
| the | | | | ■ | |
| aboriginal | | | | | ■ |
| people | | | | | ■ |

Alignment is complex

Alignment can be many-to-many (phrase-level)



1990s-2010s: Statistical Machine Translation

- Question: How to learn translation model $P(x|y)$?
- First, need large amount of **parallel data** (e.g. pairs of human-translated French/English sentences)

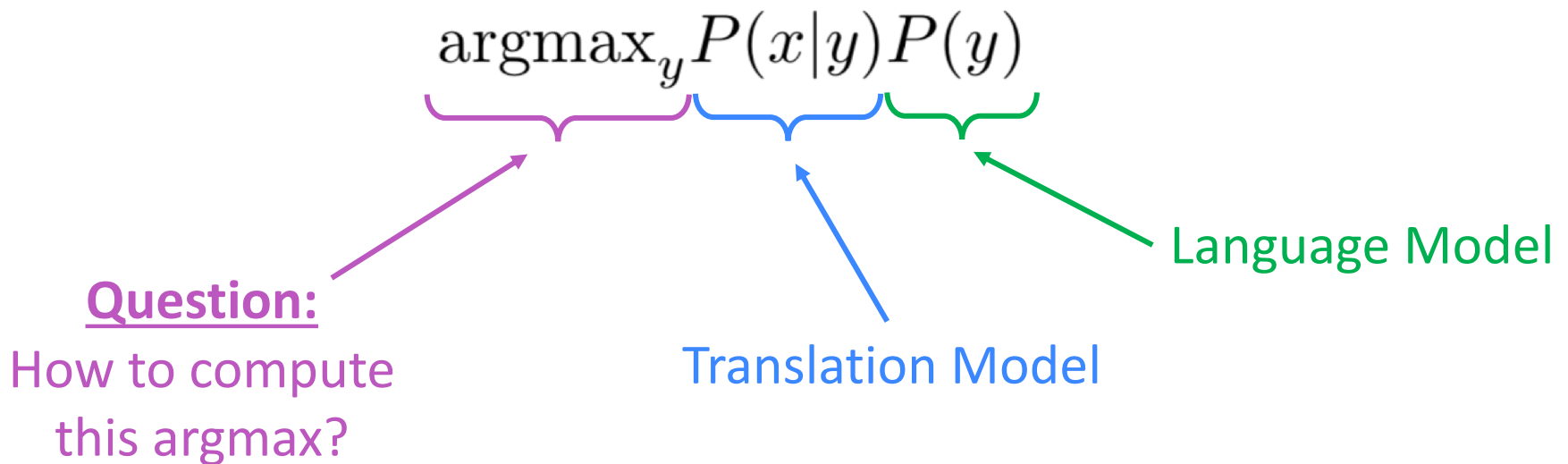
- Break it down further: we actually want to consider

$$P(x, a|y)$$

where a is the **alignment**, i.e. word-level correspondence between French sentence x and English sentence y

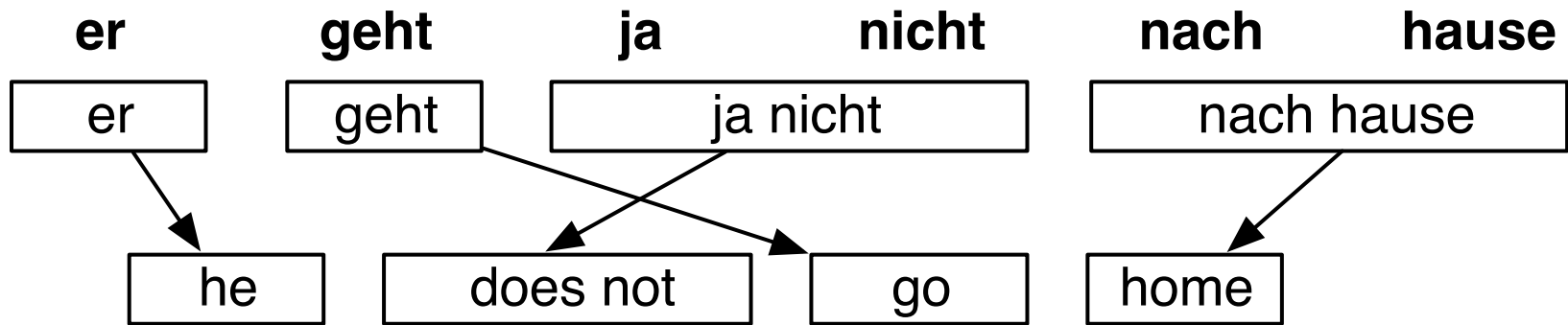
- We learn $P(x, a|y)$ as a combination of many factors, including:
 - Probability of particular words aligning
 - Also depends on position in sentence
 - Probability of particular words having particular fertility
 - Etc.

1990s-2010s: Statistical Machine Translation



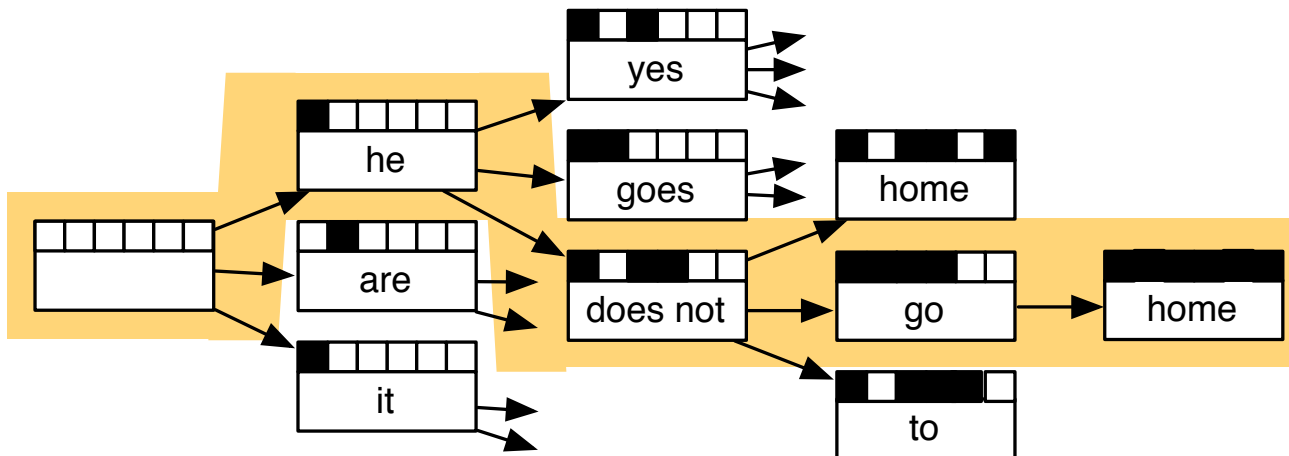
- We could enumerate every possible y and calculate the probability? → Too expensive!
- **Answer:** Use a **heuristic search algorithm** to gradually build up the the translation, discarding hypotheses that are too low-probability

Searching for the best translation



Searching for the best translation

| er | geht | ja | nicht | nach | hause |
|------------|--------------|-------------|-----------|--------------|---------|
| he | is | yes | not | after | house |
| it | are | is | do not | to | home |
| , it | goes | , of course | does not | according to | chamber |
| , he | go | , | is not | in | at home |
| it is | | not | | home | |
| he will be | | is not | | under house | |
| it goes | | does not | | return home | |
| he goes | | do not | | do not | |
| | is | | to | | |
| | are | | following | | |
| | is after all | | not after | | |
| | does | | not to | | |
| | not | | | | |
| | is not | | | | |
| | are not | | | | |
| | is not a | | | | |



1990s-2010s: Statistical Machine Translation

- SMT is a **huge research field**
- The best systems are **extremely complex**
 - Hundreds of important details we haven't mentioned here
 - Systems have many **separately-designed subcomponents**
 - Lots of **feature engineering**
 - Need to design features to capture particular language phenomena
 - Require compiling and maintaining **extra resources**
 - Like tables of equivalent phrases
 - Lots of **human effort** to maintain
 - Repeated effort for each language pair!

2014

(dramatic reenactment)

2014

Neural
Machine
Translation

MT research

(dramatic reenactment)

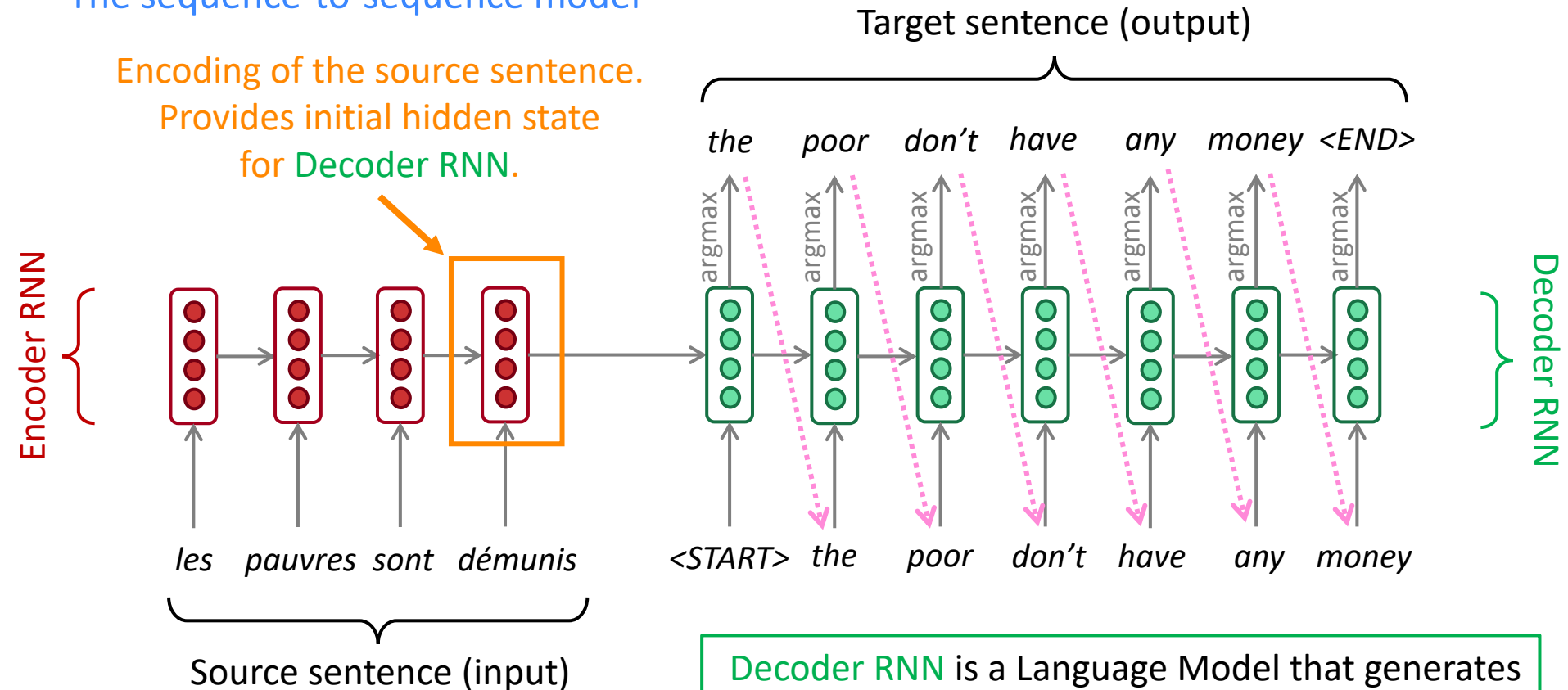
What is Neural Machine Translation?

- Neural Machine Translation (NMT) is a way to do Machine Translation with a *single neural network*
- The neural network architecture is called *sequence-to-sequence* (aka *seq2seq*) and it involves *two RNNs*.

Neural Machine Translation (NMT)

The sequence-to-sequence model

Encoding of the source sentence.
Provides initial hidden state
for Decoder RNN.



Source sentence (input)

<START> the poor don't have any money

Decoder RNN is a Language Model that generates target sentence conditioned on encoding.

Note: This diagram shows test time behavior: decoder output is fed in as next step's input

Encoder RNN produces an encoding of the source sentence.

Neural Machine Translation (NMT)

- The **sequence-to-sequence** model is an example of a **Conditional Language Model**.
 - **Language Model** because the decoder is predicting the next word of the target sentence y
 - **Conditional** because its predictions are *also* conditioned on the source sentence x

- NMT directly calculates $P(y|x)$:

$$P(y|x) = P(y_1|x) P(y_2|y_1, x) P(y_3|y_1, y_2, x) \dots, P(y_T|y_1, \dots, y_{T-1}, x)$$

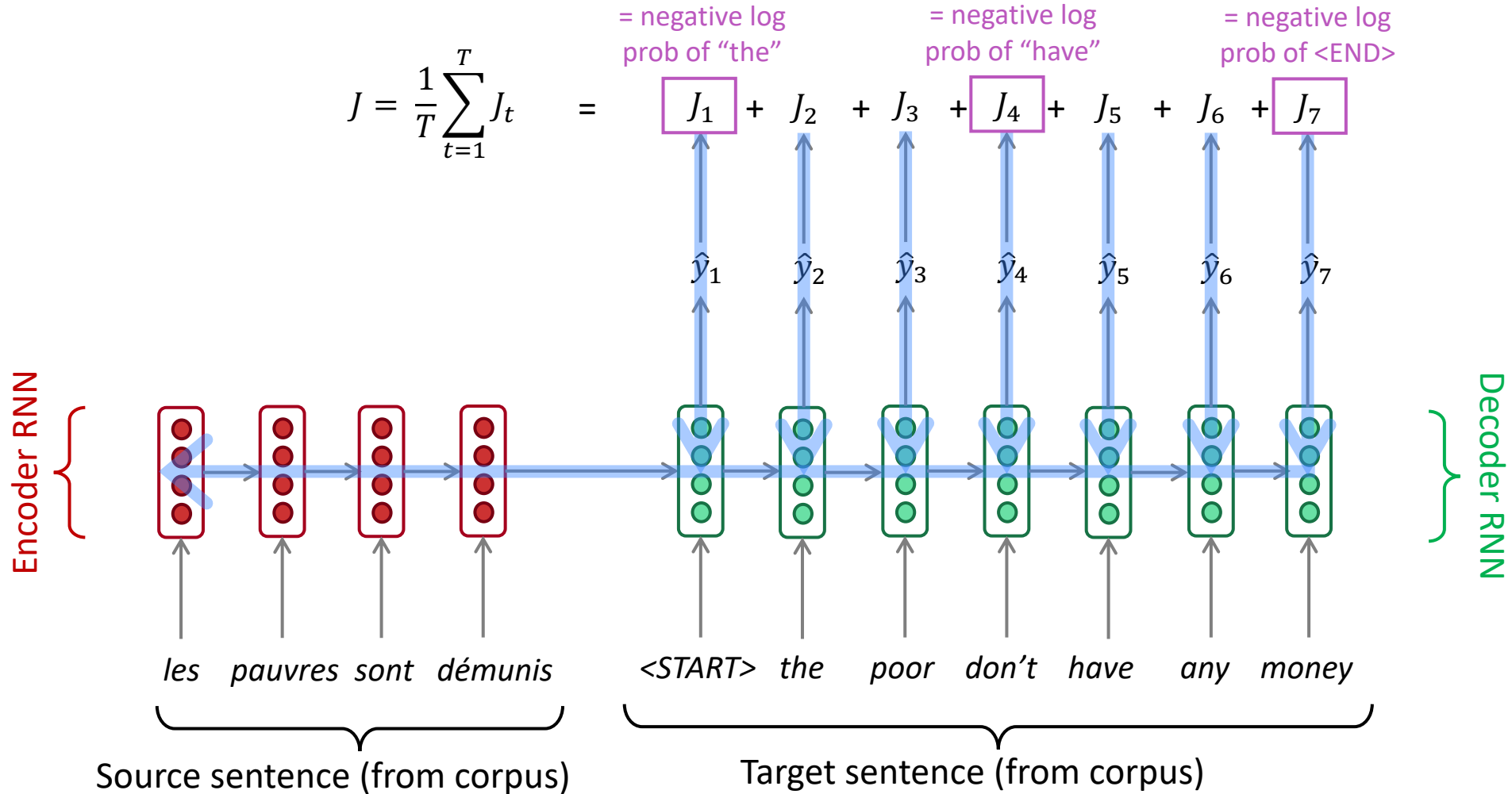
Probability of next target word, given target words so far and source sentence x

- **Question:** How to **train** a NMT system?
- **Answer:** Get a big parallel corpus...

Training a Neural Machine Translation system

$$J = \frac{1}{T} \sum_{t=1}^T J_t = J_1 + J_2 + J_3 + J_4 + J_5 + J_6 + J_7$$

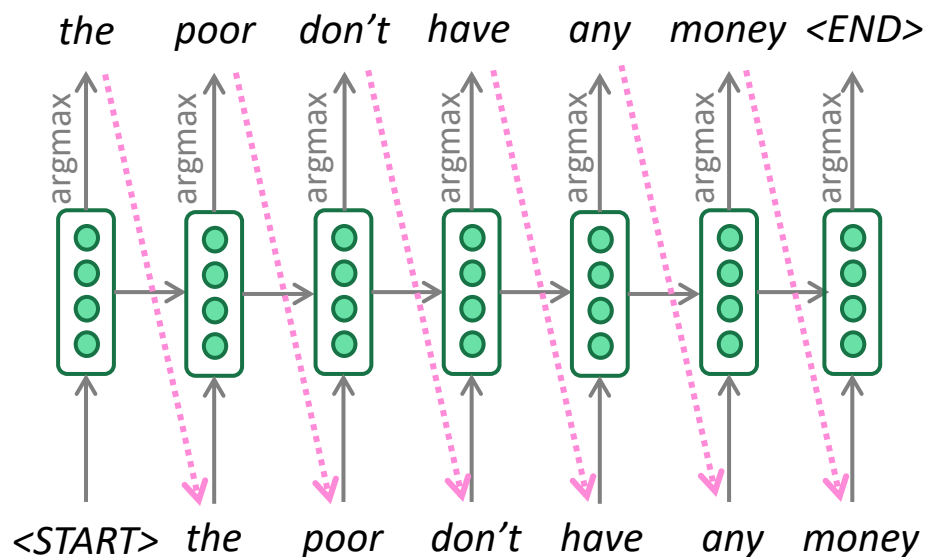
= negative log prob of "the" = negative log prob of "have" = negative log prob of <END>



Seq2seq is optimized as a **single system**.
 Backpropagation operates "end to end".

Better-than-greedy decoding?

- We showed how to generate (or “decode”) the target sentence by taking argmax on each step of the decoder



- This is **greedy decoding** (take most probable word on each step)
- **Problems?**

Better-than-greedy decoding?

- Greedy decoding has no way to undo decisions!
 - *les pauvres sont démunis (the poor don't have any money)*
 - → *the _____*
 - → *the poor _____*
 - → *the poor **are** _____*
- Better option: use **beam search** (a search algorithm) to explore *several* hypotheses and select the best one

Beam search decoding

- Ideally we want to find y that maximizes

$$P(y|x) = P(y_1|x) P(y_2|y_1, x) P(y_3|y_1, y_2, x) \dots, P(y_T|y_1, \dots, y_{T-1}, x)$$

- We could try enumerating all $y \rightarrow$ too expensive!
 - Complexity $O(V^T)$ where V is vocab size and T is target sequence length
- Beam search: On each step of decoder, keep track of the k most probable partial translations
 - k is the beam size (in practice around 5 to 10)
 - Not guaranteed to find optimal solution
 - But much more efficient!

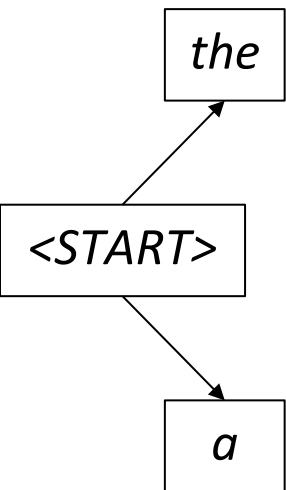
Beam search decoding: example

Beam size = 2

<START>

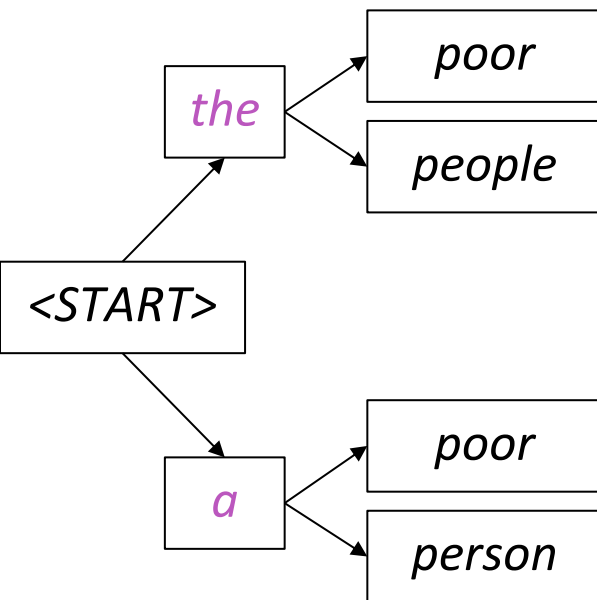
Beam search decoding: example

Beam size = 2



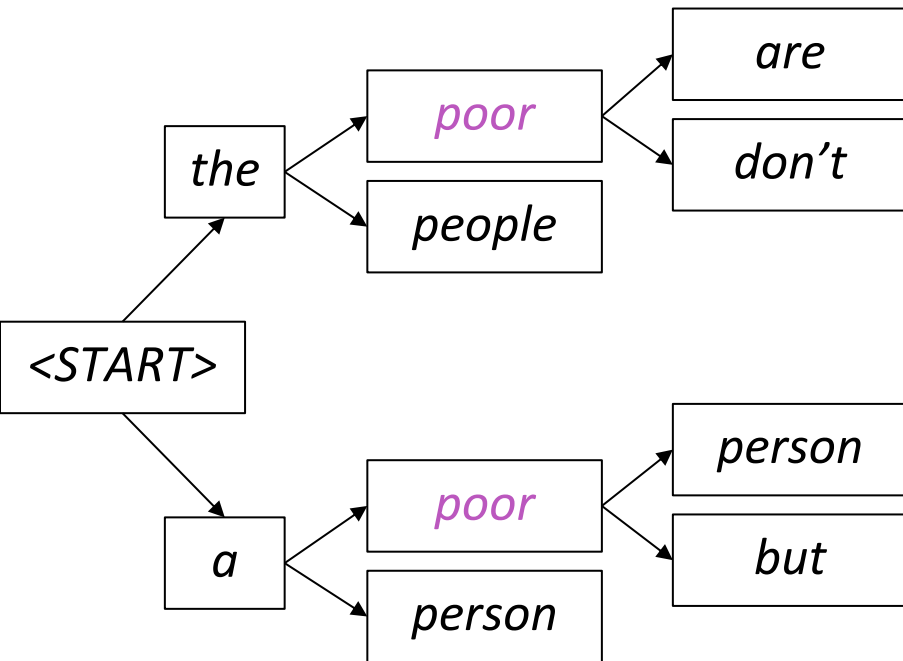
Beam search decoding: example

Beam size = 2



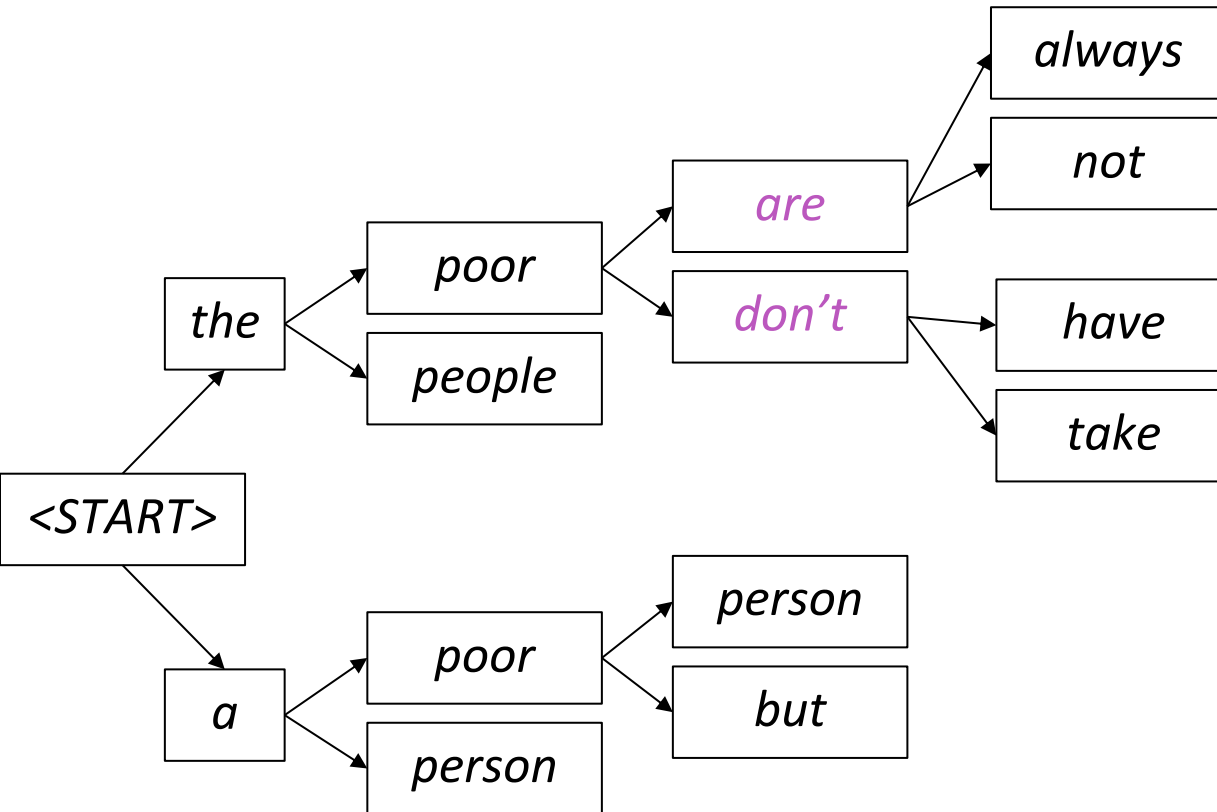
Beam search decoding: example

Beam size = 2



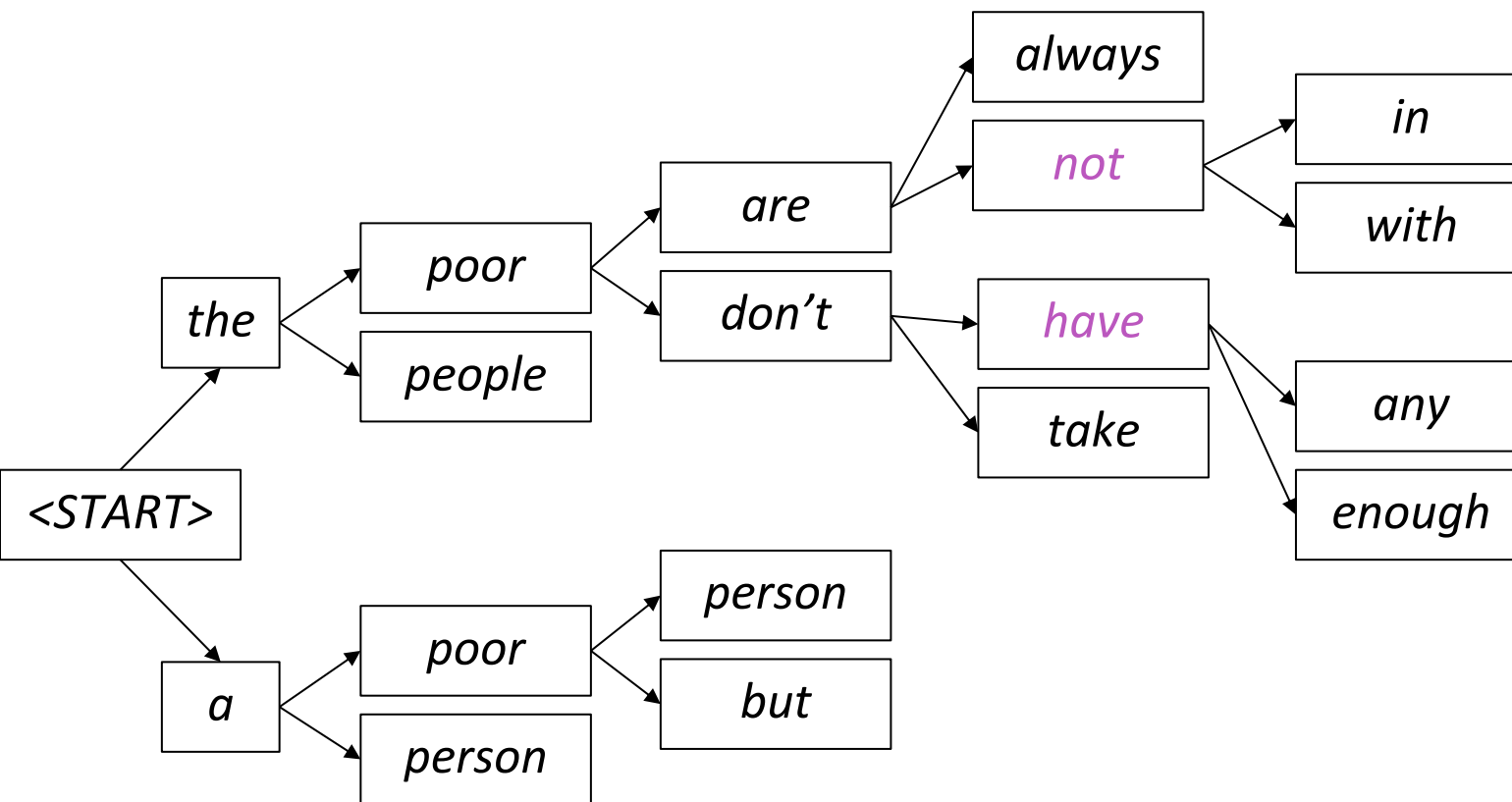
Beam search decoding: example

Beam size = 2



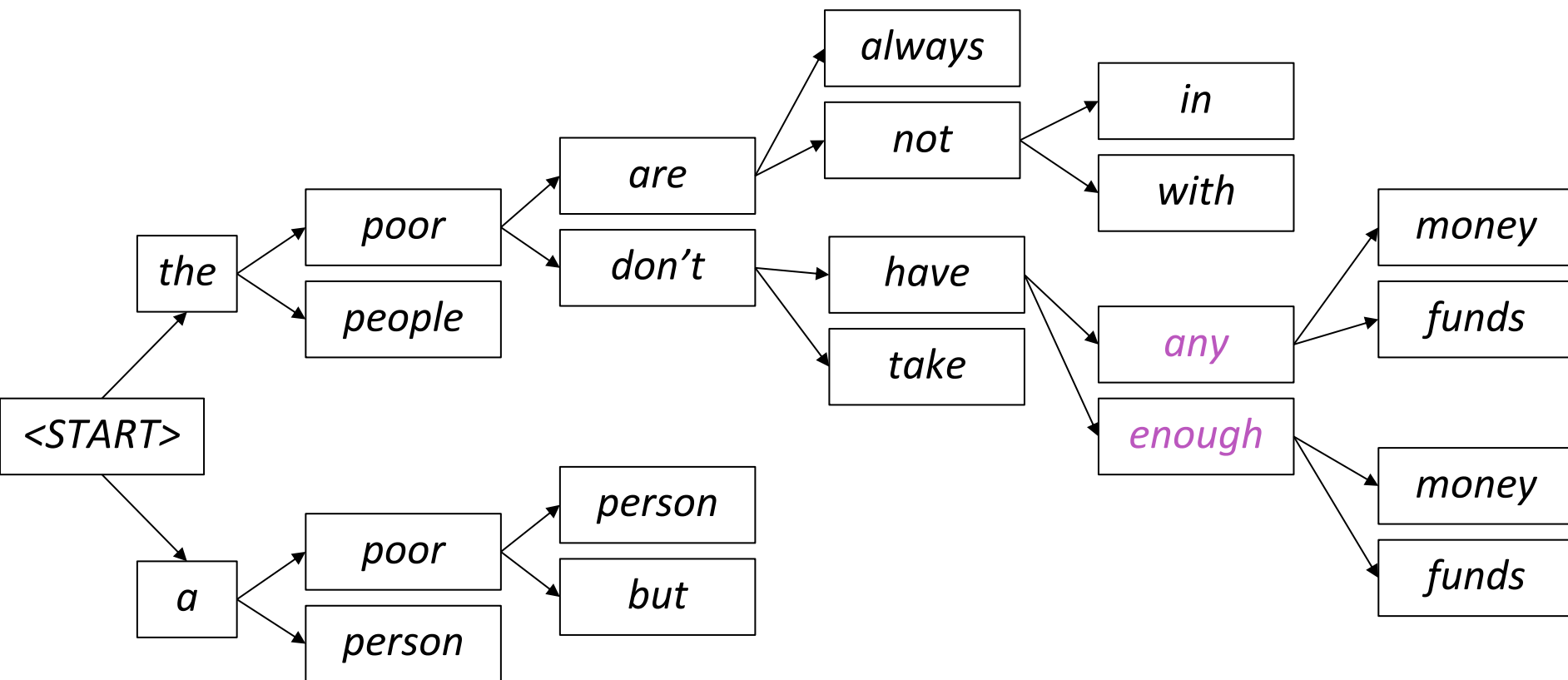
Beam search decoding: example

Beam size = 2



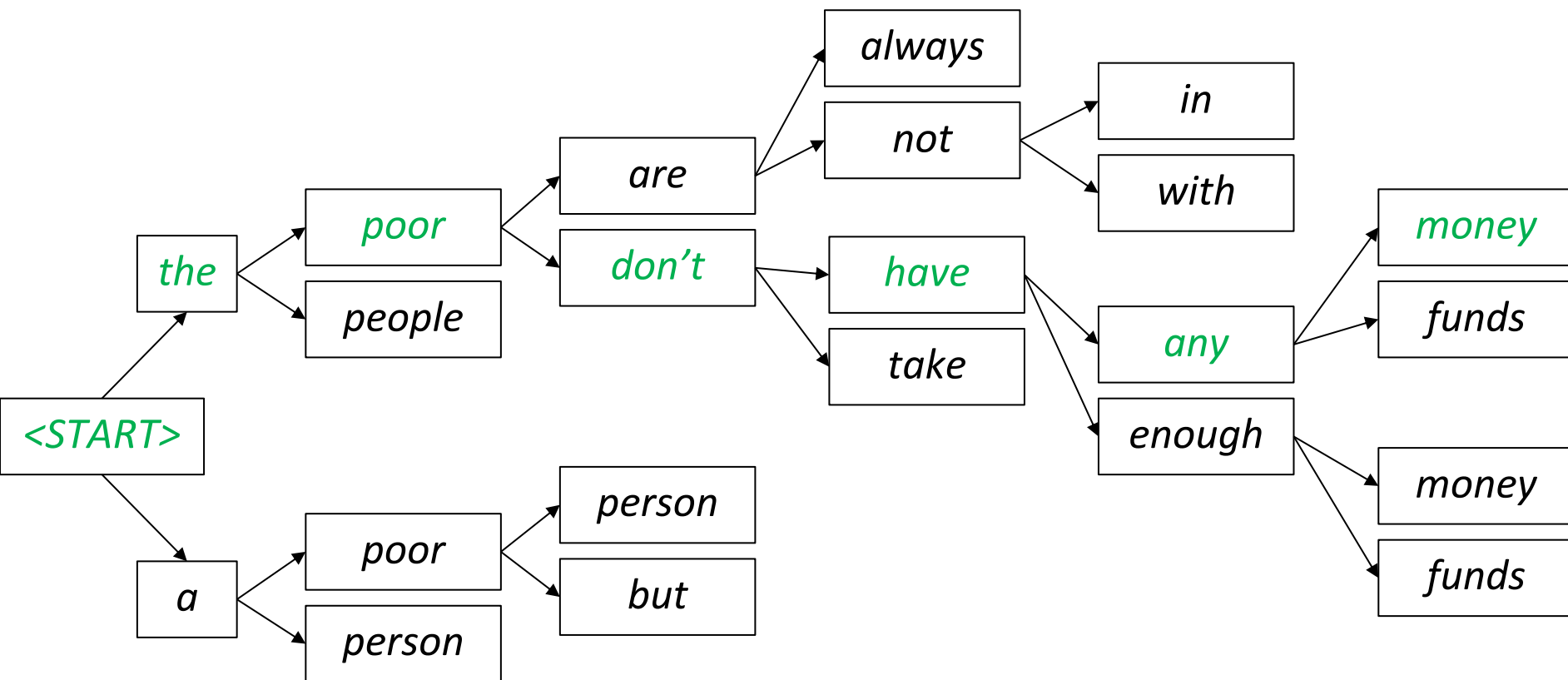
Beam search decoding: example

Beam size = 2



Beam search decoding: example

Beam size = 2



Advantages of NMT

Compared to SMT, NMT has many advantages:

- Better performance
 - More fluent
 - Better use of context
 - Better use of phrase similarities
- A single neural network to be optimized end-to-end
 - No subcomponents to be individually optimized
- Requires much less human engineering effort
 - No feature engineering
 - Same method for all language pairs

Disadvantages of NMT?

Compared to SMT:

- NMT is **less interpretable**
 - Hard to debug
- NMT is **difficult to control**
 - For example, can't easily specify rules or guidelines for translation
 - Safety concerns!

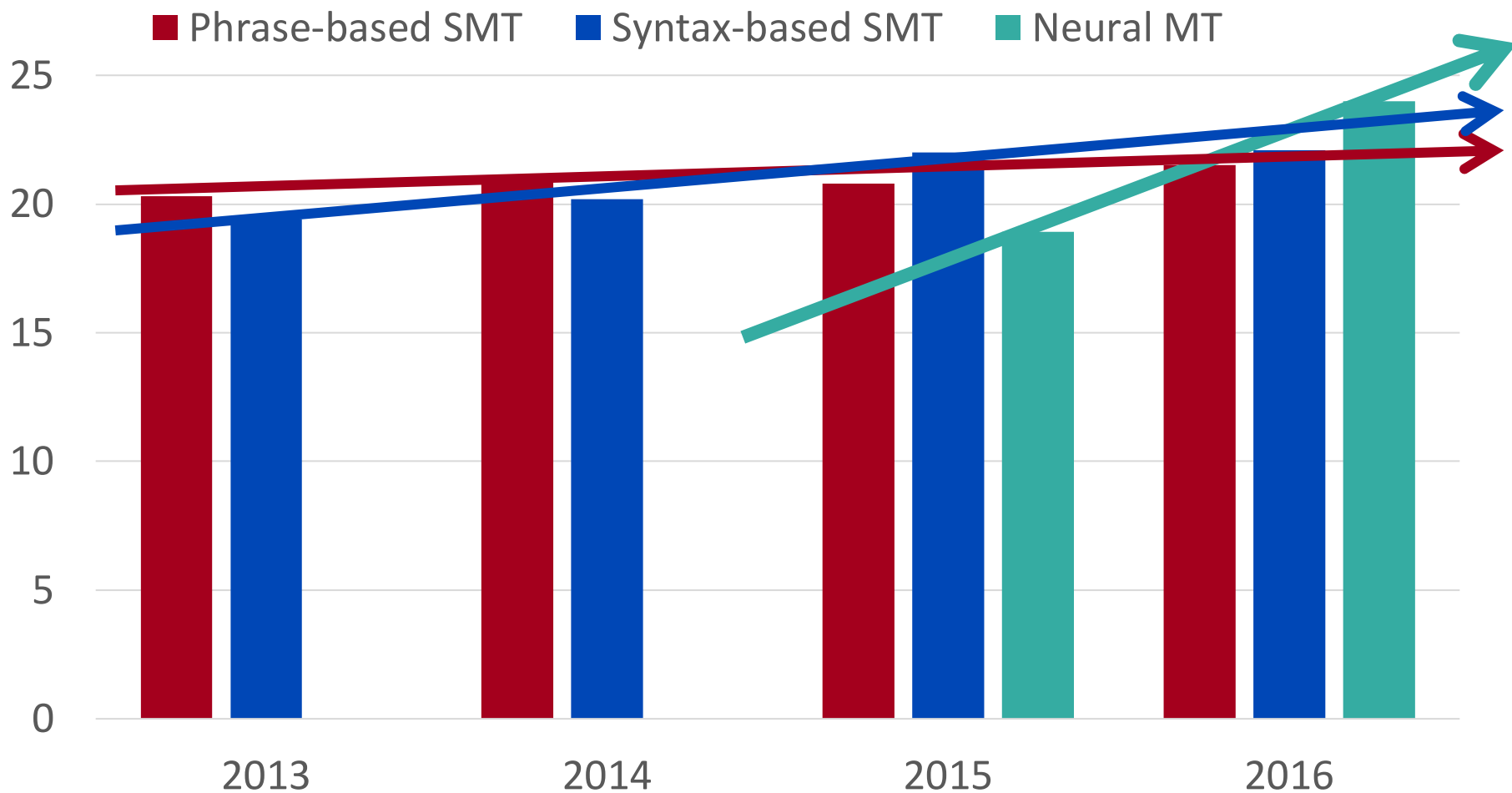
How do we evaluate Machine Translation?

BLEU (Bilingual Evaluation Understudy)

- BLEU compares the machine-written translation to one or several human-written translation(s), and computes a **similarity score** based on:
 - ***n*-gram precision** (usually up to 3 or 4-grams)
 - Penalty for too-short system translations
- BLEU is **useful** but **imperfect**
 - There are many valid ways to translate a sentence
 - So a **good** translation can get a **poor** BLEU score because it has low *n*-gram overlap with the human translation 😞

MT progress over time

[Edinburgh En-De WMT newstest2013 Cased BLEU; NMT 2015 from U. Montréal]



Source: http://www.meta-net.eu/events/meta-forum-2016/slides/09_sennrich.pdf

NMT: the biggest success story of NLP Deep Learning

Neural Machine Translation went from a fringe research activity in **2014** to the leading standard method in **2016**

- **2014**: First seq2seq paper published
- **2016**: Google Translate switches from SMT to NMT
- This is amazing!
 - **SMT** systems, built by hundreds of engineers over many years, outperformed by NMT systems trained by a handful of engineers in a few months

So is Machine Translation solved?

- **Nope!**
- Many difficulties remain:
 - Out-of-vocabulary words
 - Domain mismatch between train and test data
 - Maintaining context over longer text
 - Low-resource language pairs

So is Machine Translation solved?

- **Nope!**
- Using **common sense** is still hard



[Open in Google Translate](#)

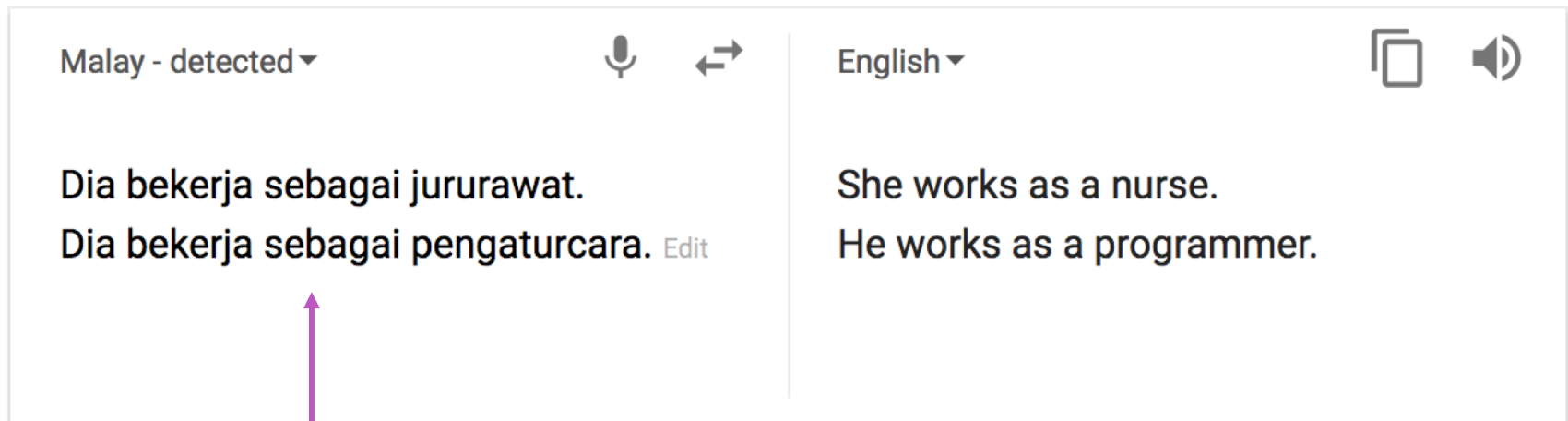
[Feedback](#)



?

So is Machine Translation solved?

- **Nope!**
- NMT picks up **biases** in training data



The screenshot shows a machine translation interface with two panels. The left panel is labeled 'Malay - detected' and contains the text: 'Dia bekerja sebagai jururawat.' and 'Dia bekerja sebagai pengaturcara. Edit'. The right panel is labeled 'English' and contains the text: 'She works as a nurse.' and 'He works as a programmer.'. A purple arrow points from the text 'Didn't specify gender' below to the Malay text 'Dia bekerja sebagai pengaturcara. Edit'.

Didn't specify gender

Source: <https://hackernoon.com/bias-sexist-or-this-is-the-way-it-should-be-ce1f7c8c683c>

So is Machine Translation solved?

- Nope!
- Uninterpretable systems do strange things

The screenshot shows a machine translation interface. On the left, there are language selection buttons for English, Spanish, Japanese, and Detect language. Below these buttons, the input text is a series of 14 lines of the Japanese character 'が' (ga), representing the word 'but'. On the right, there are language selection buttons for English, Spanish, and Arabic, and a blue 'Translate' button. Below the 'Translate' button, the output text is a list of 14 English phrases that are nonsensical translations of the input 'but'.

English Spanish Japanese Detect language

English Spanish Arabic Translate

が
ががが
がががが
ががががが
がががががが
ががががががが
がががががががが
ががががががががが
がががががががががが
ががががががががががが
がががががががががががが
がががががががががががが
ががががががががががががが

But
Peel
A pain is
I feel a strange feeling
My stomach
Strange feeling
Strange feeling
Having a bad appearance
My bad gray
Strong but burns
Strong but burns
There was a bad shape but a bad shape
It is prone to burns, but also a burn
Strong but burnished

☆ 📄 🔊 ↩

Source: <http://languagelog ldc.upenn.edu/nll/?p=35120#more-35120>

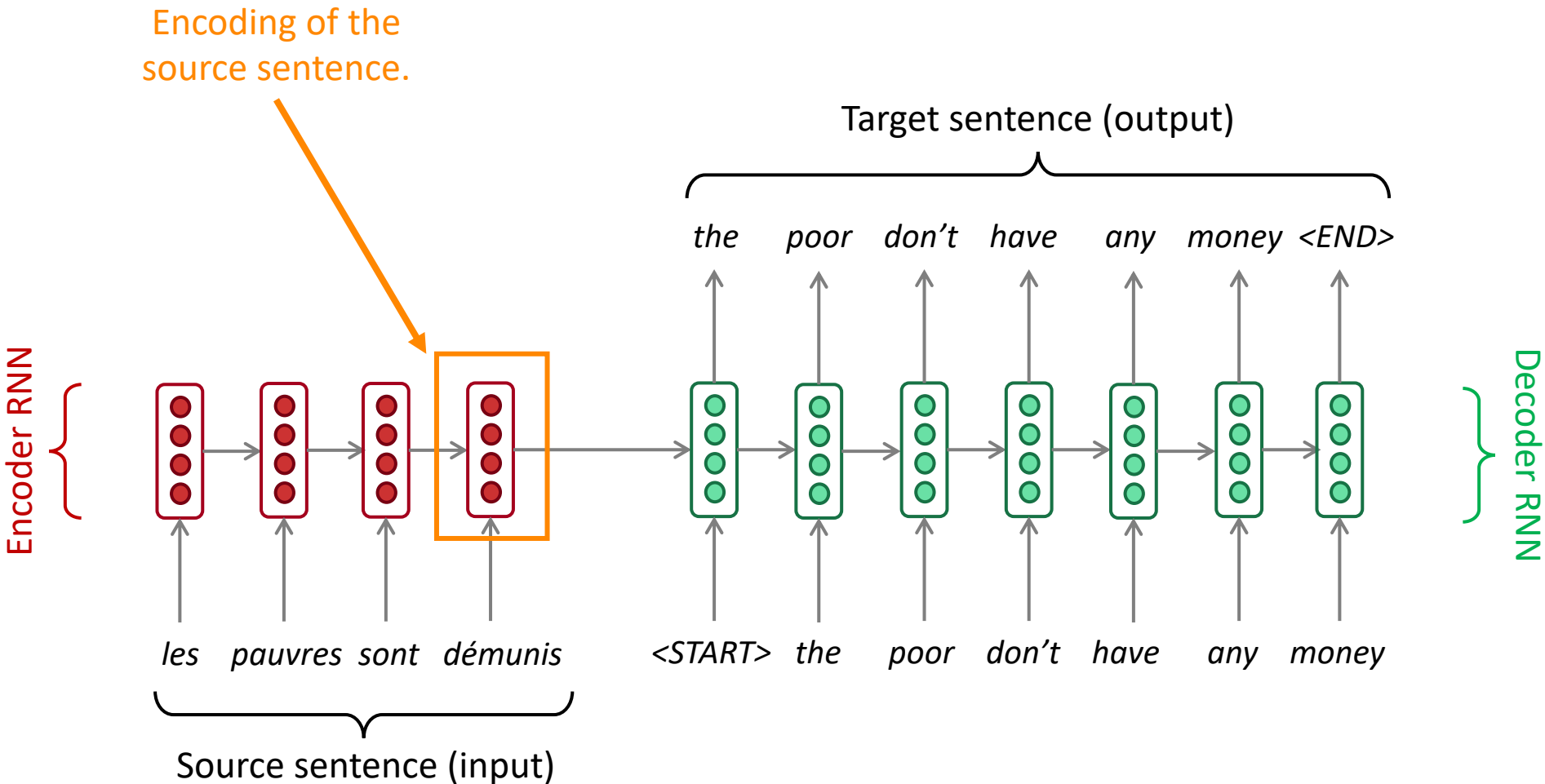
NMT research continues

NMT is the **flagship task** for NLP Deep Learning

- NMT research has **pioneered** many of the recent **innovations** of NLP Deep Learning
- In **2018**: NMT research continues to **thrive**
 - Researchers have found **many, many improvements** to the “vanilla” seq2seq NMT system we’ve presented today
 - But **one improvement** is so integral that it is the new vanilla...

ATTENTION

Sequence-to-sequence: the bottleneck problem



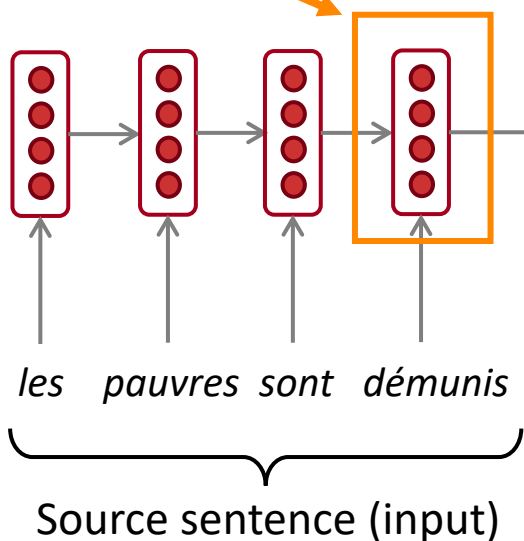
Problems with this architecture?

Sequence-to-sequence: the bottleneck problem

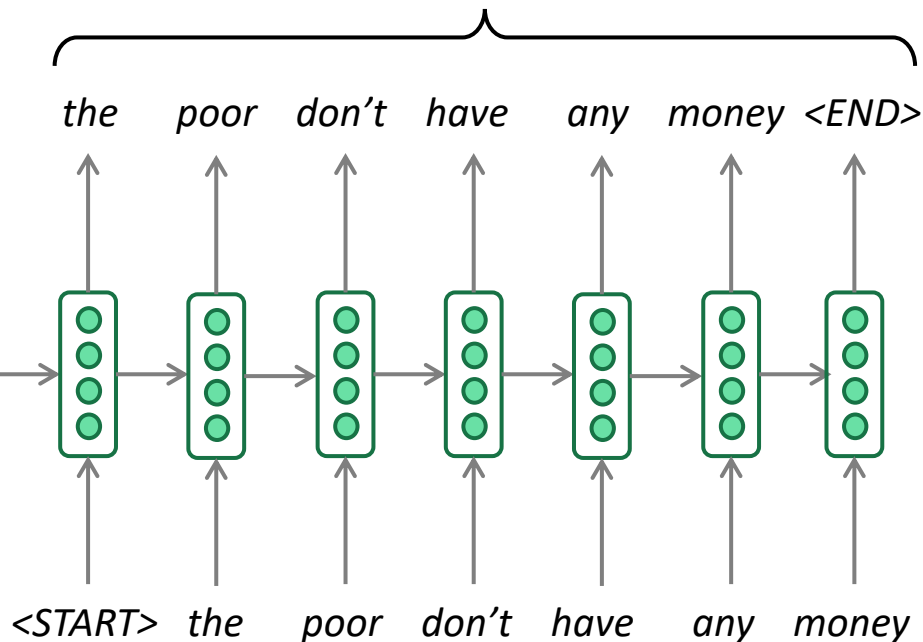
Encoding of the source sentence.

This needs to capture *all information* about the source sentence.
Information bottleneck!

Encoder RNN



Target sentence (output)



Decoder RNN

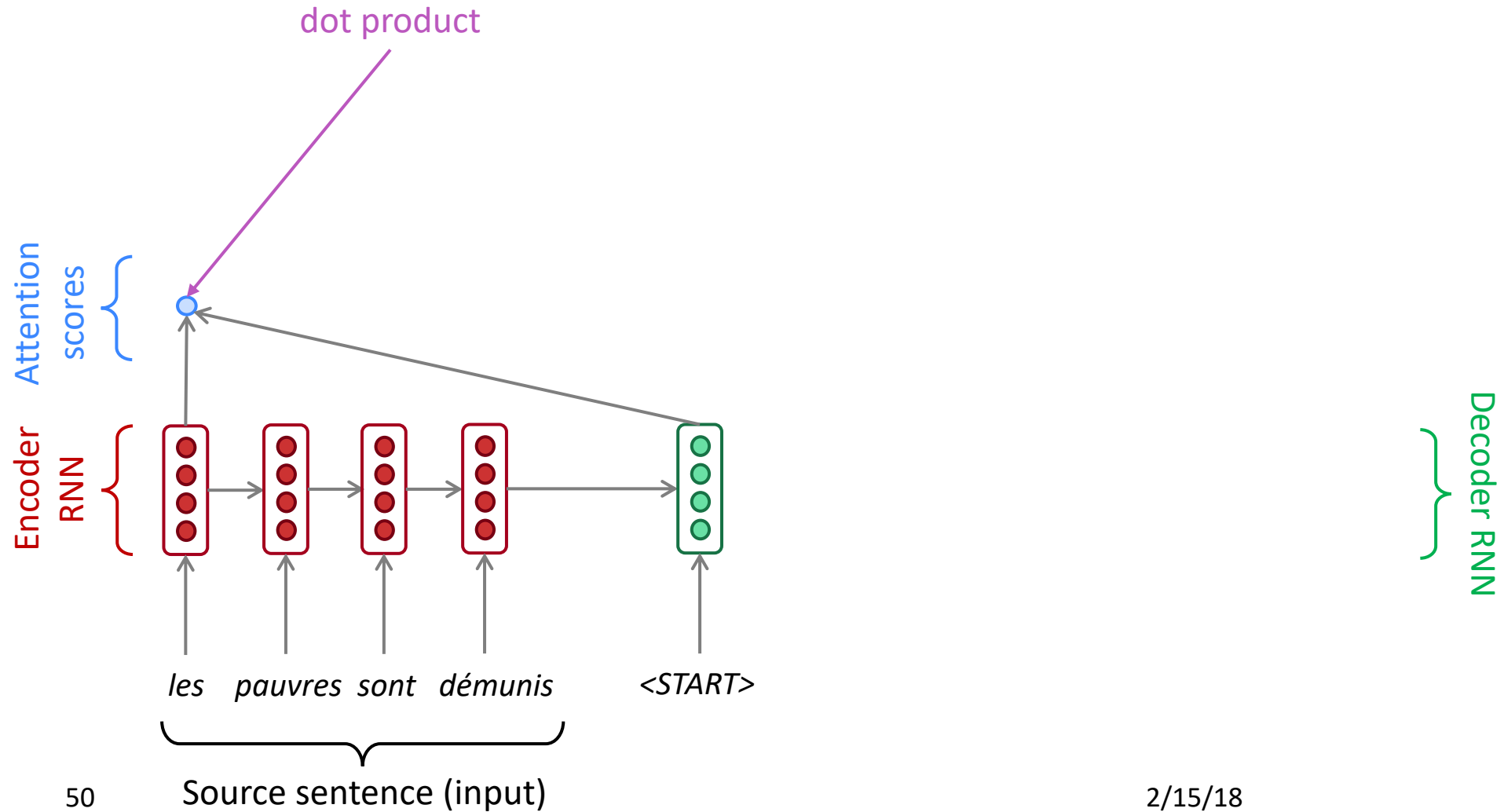
Attention

- **Attention** provides a solution to the bottleneck problem.
- Core idea: on each step of the decoder, *focus on a particular part* of the source sequence

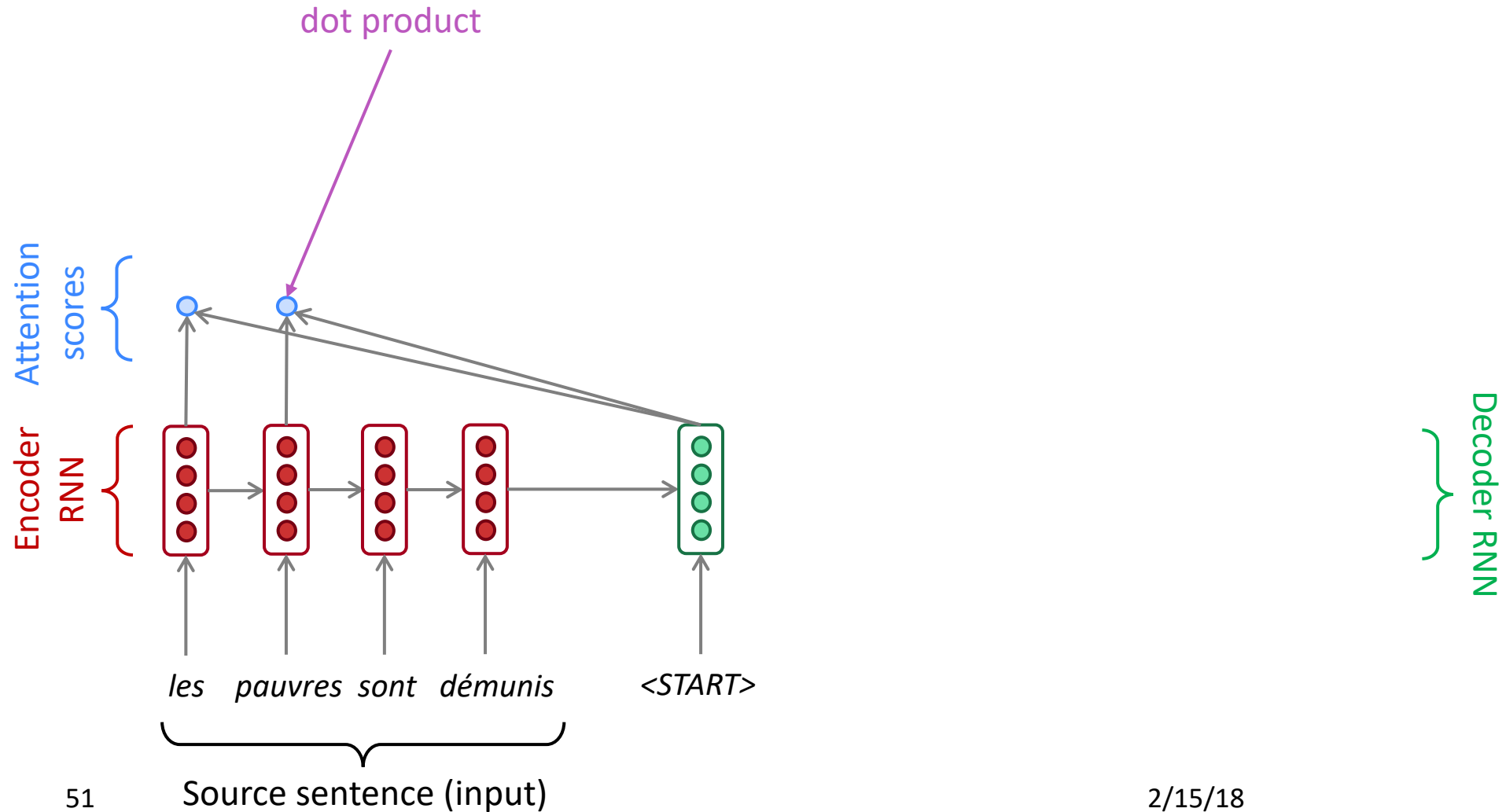


- First we will show via diagram (no equations), then we will show with equations

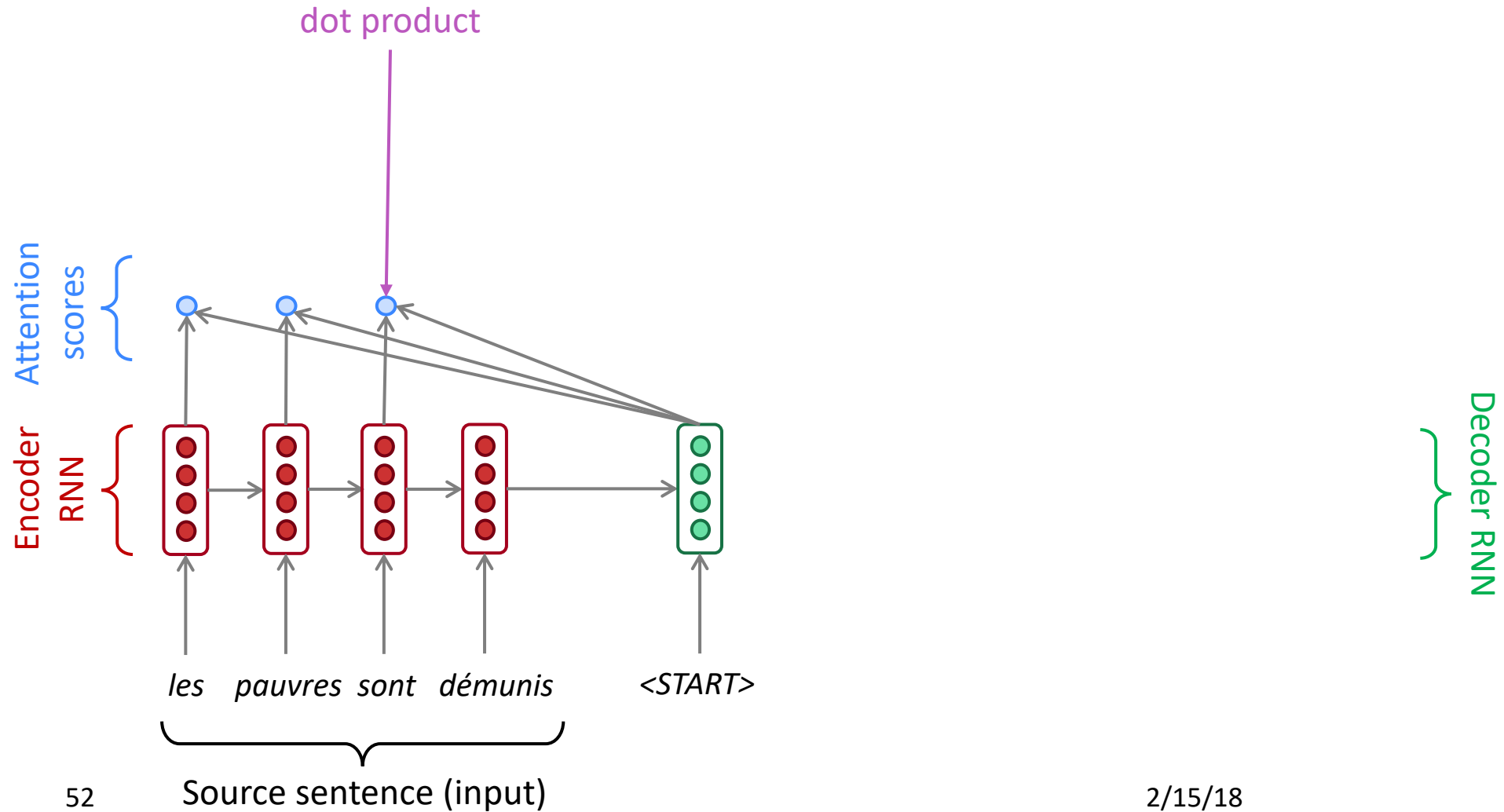
Sequence-to-sequence with attention



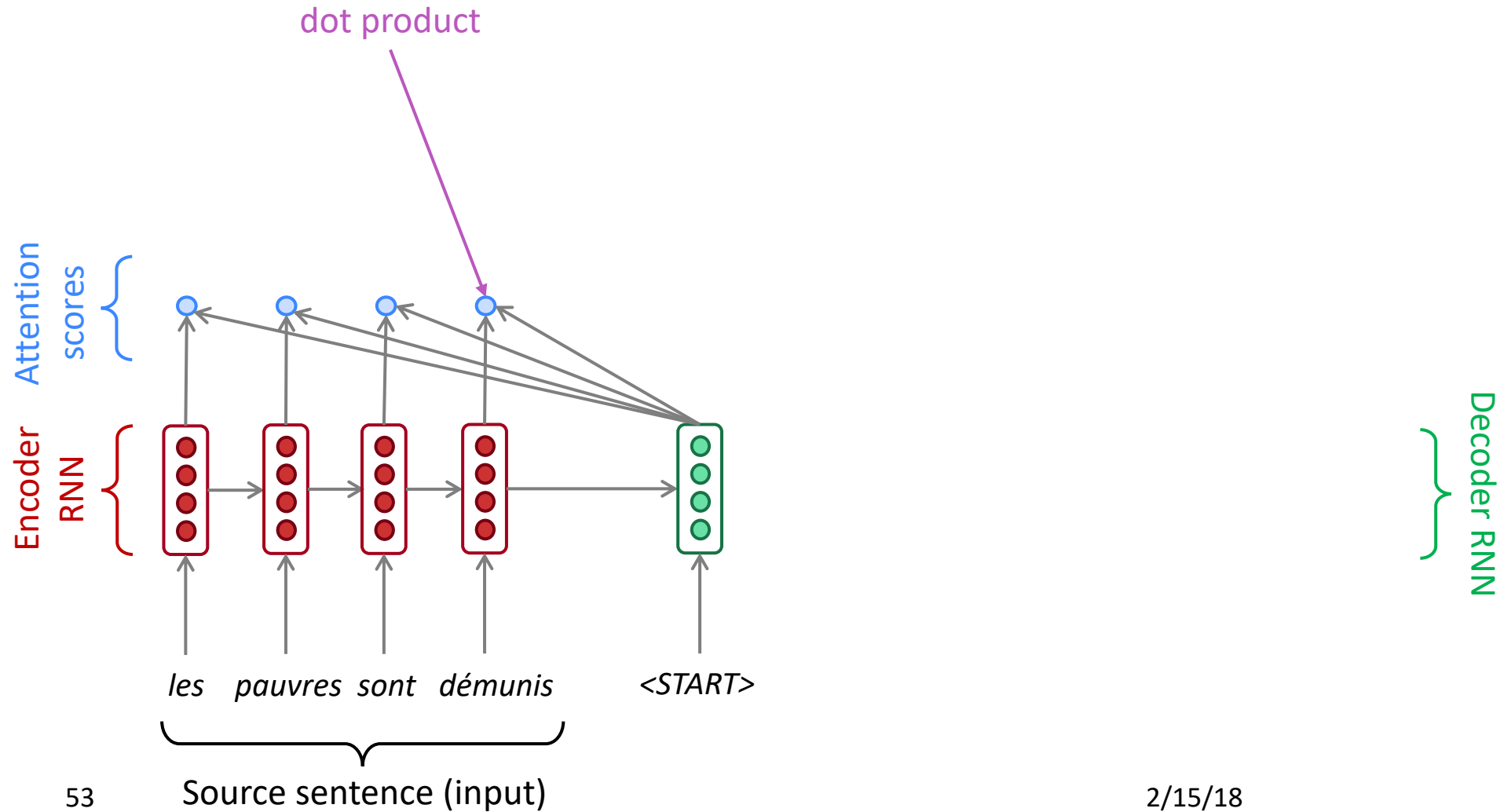
Sequence-to-sequence with attention



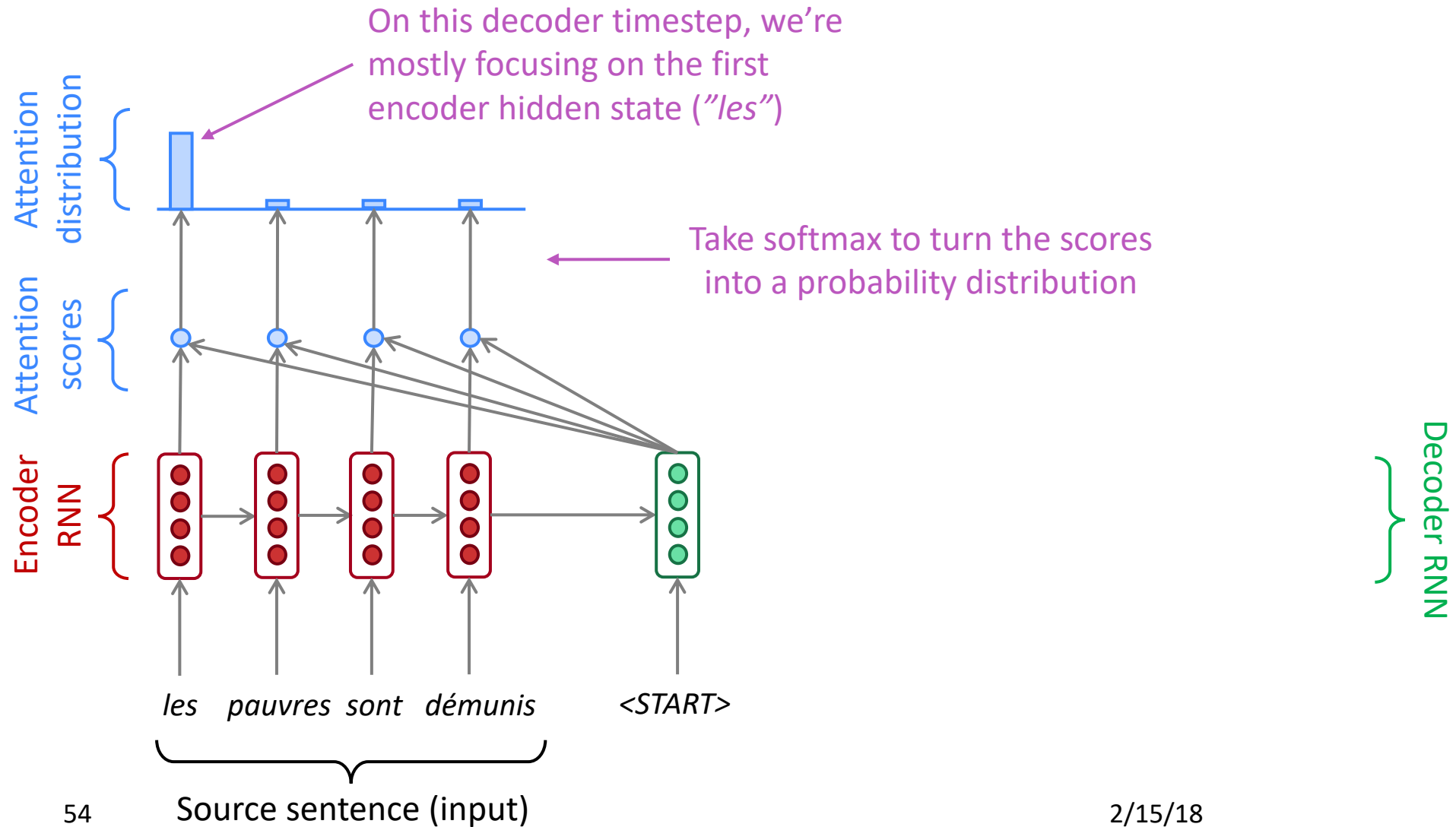
Sequence-to-sequence with attention



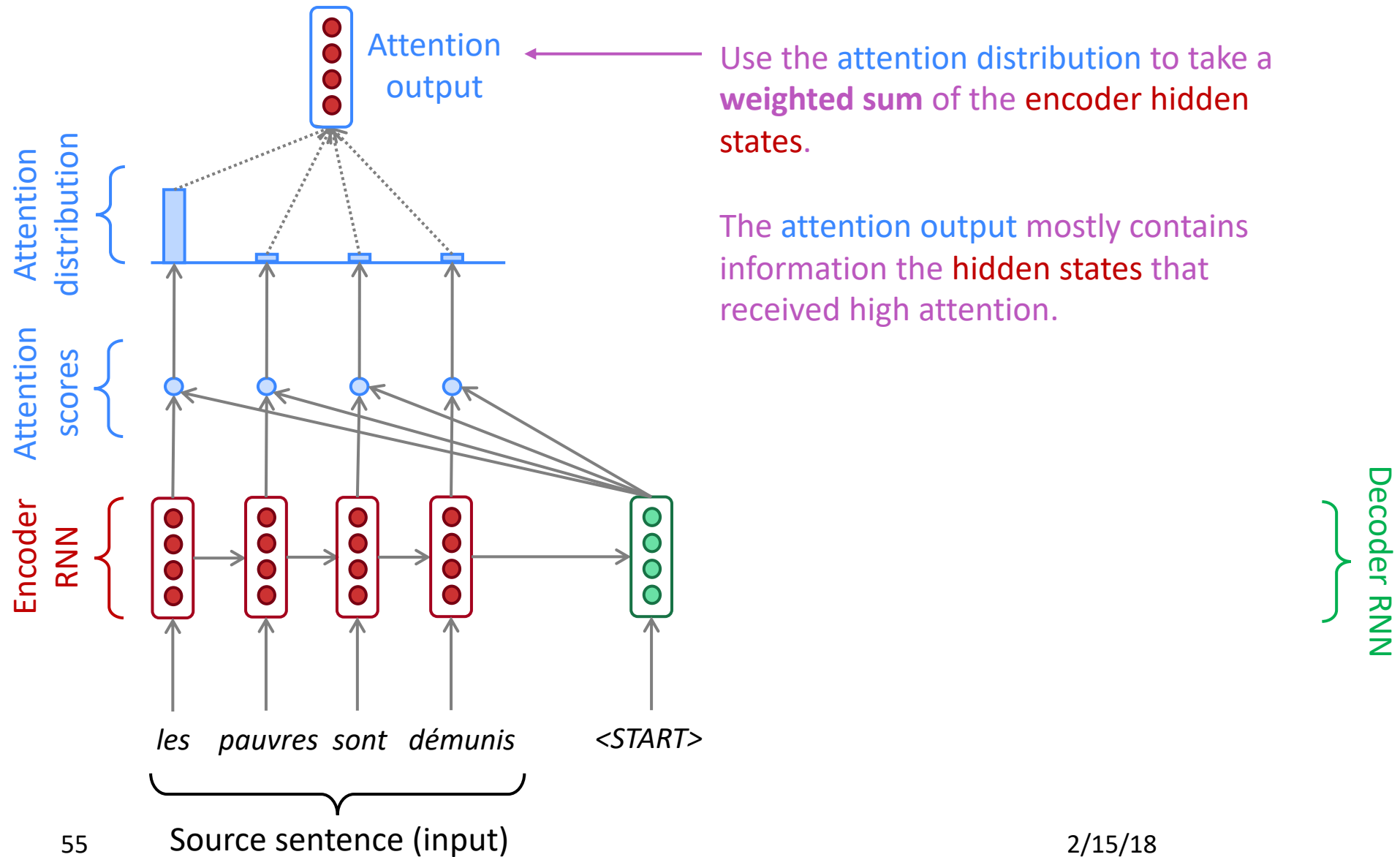
Sequence-to-sequence with attention



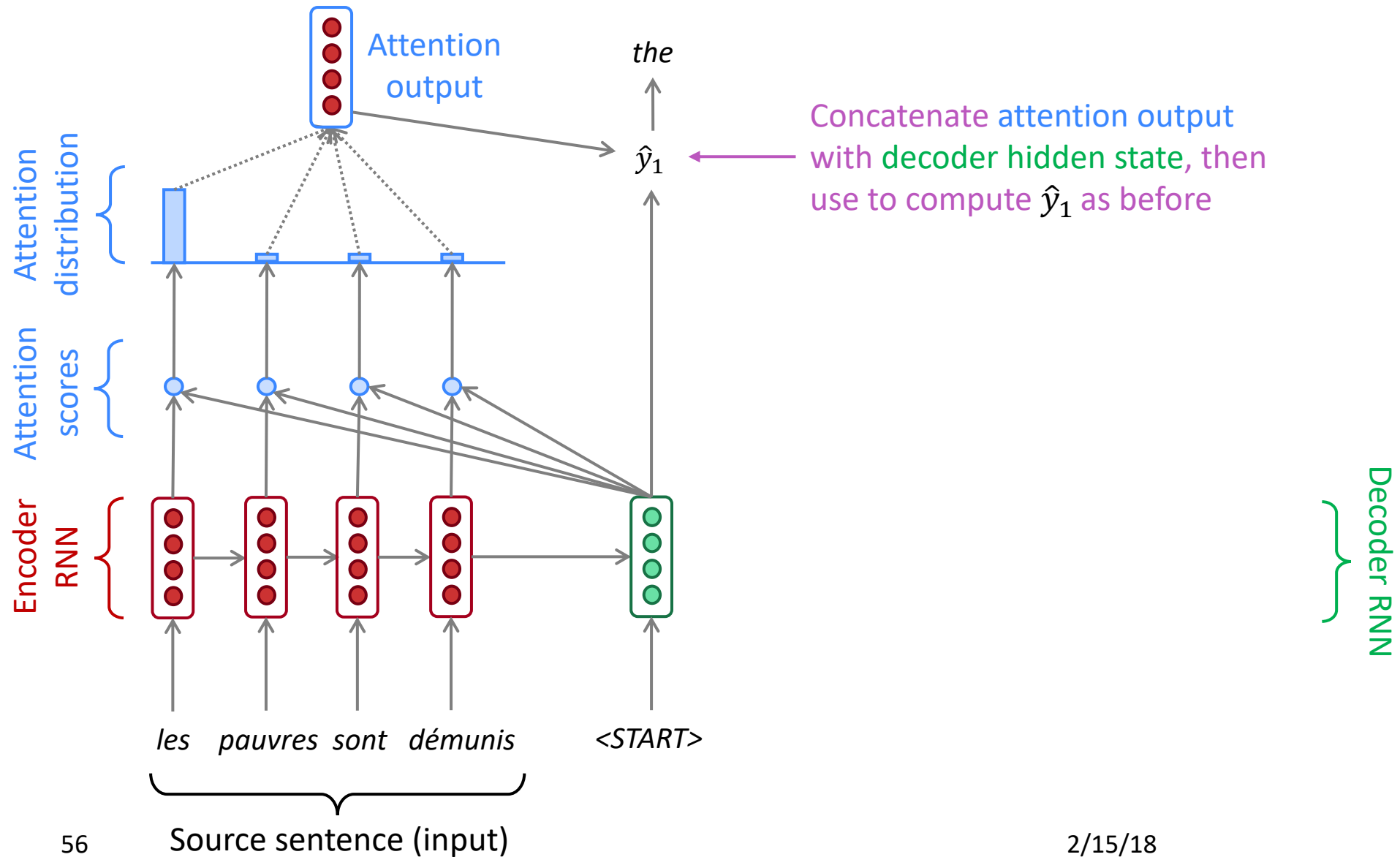
Sequence-to-sequence with attention



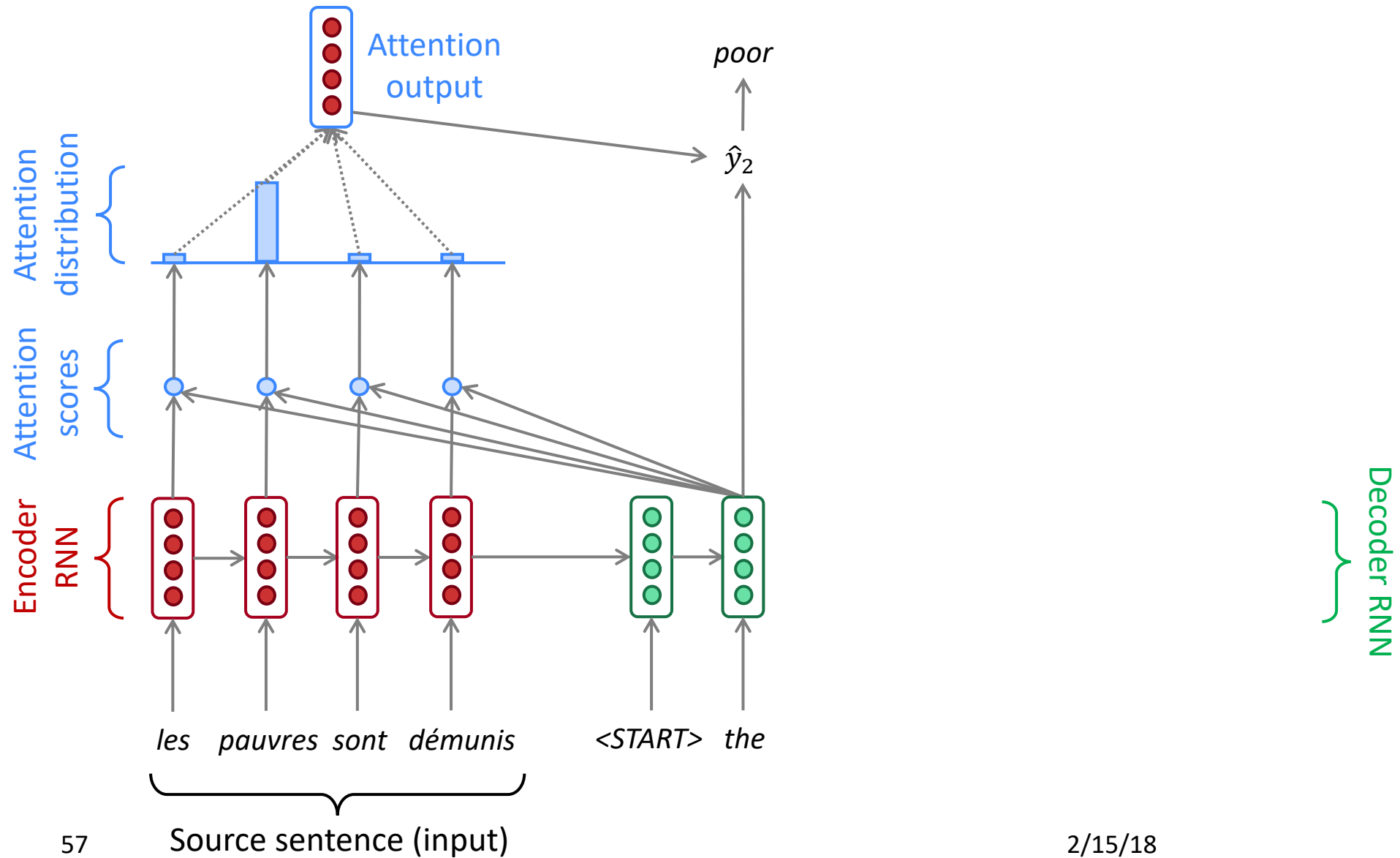
Sequence-to-sequence with attention



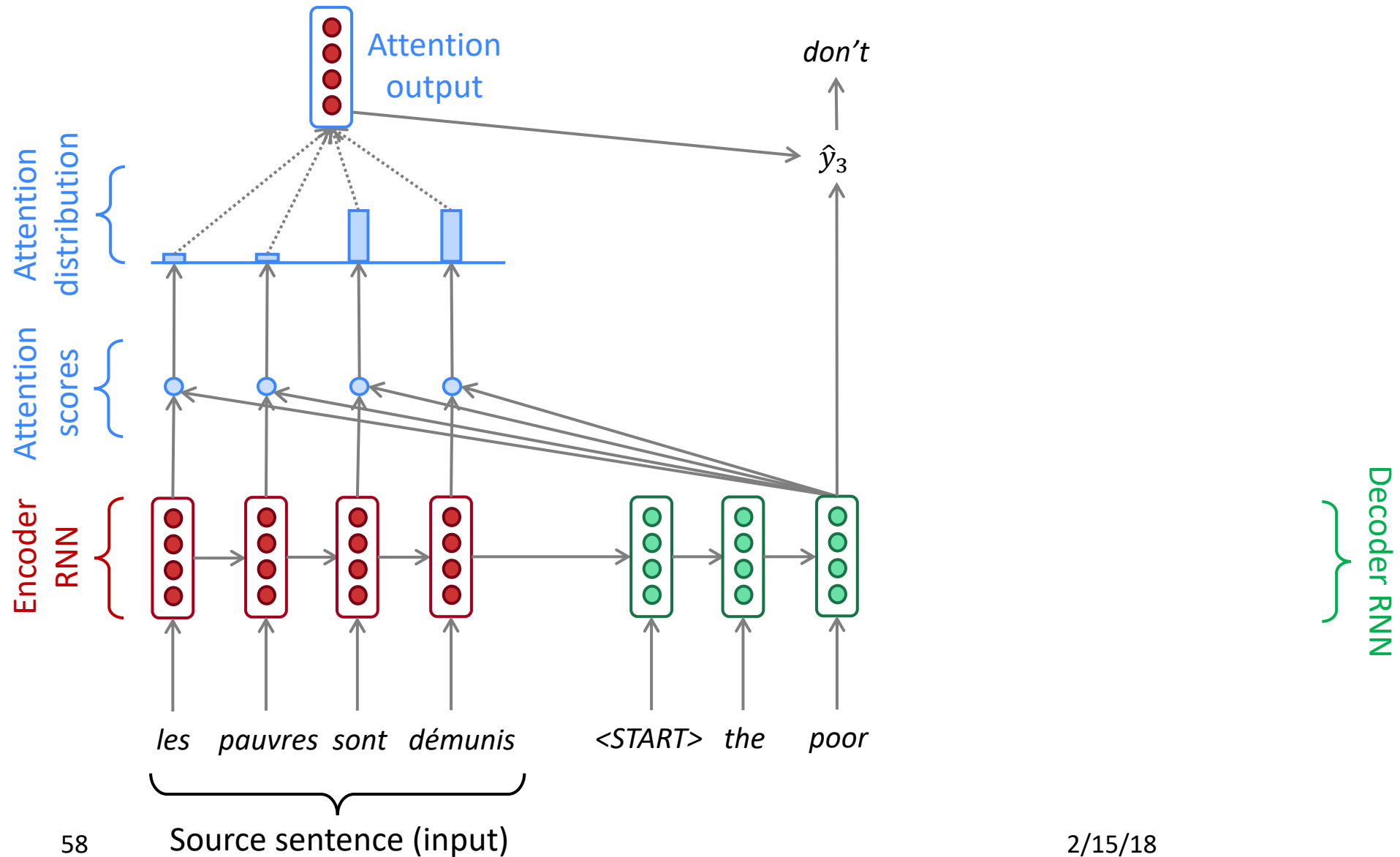
Sequence-to-sequence with attention



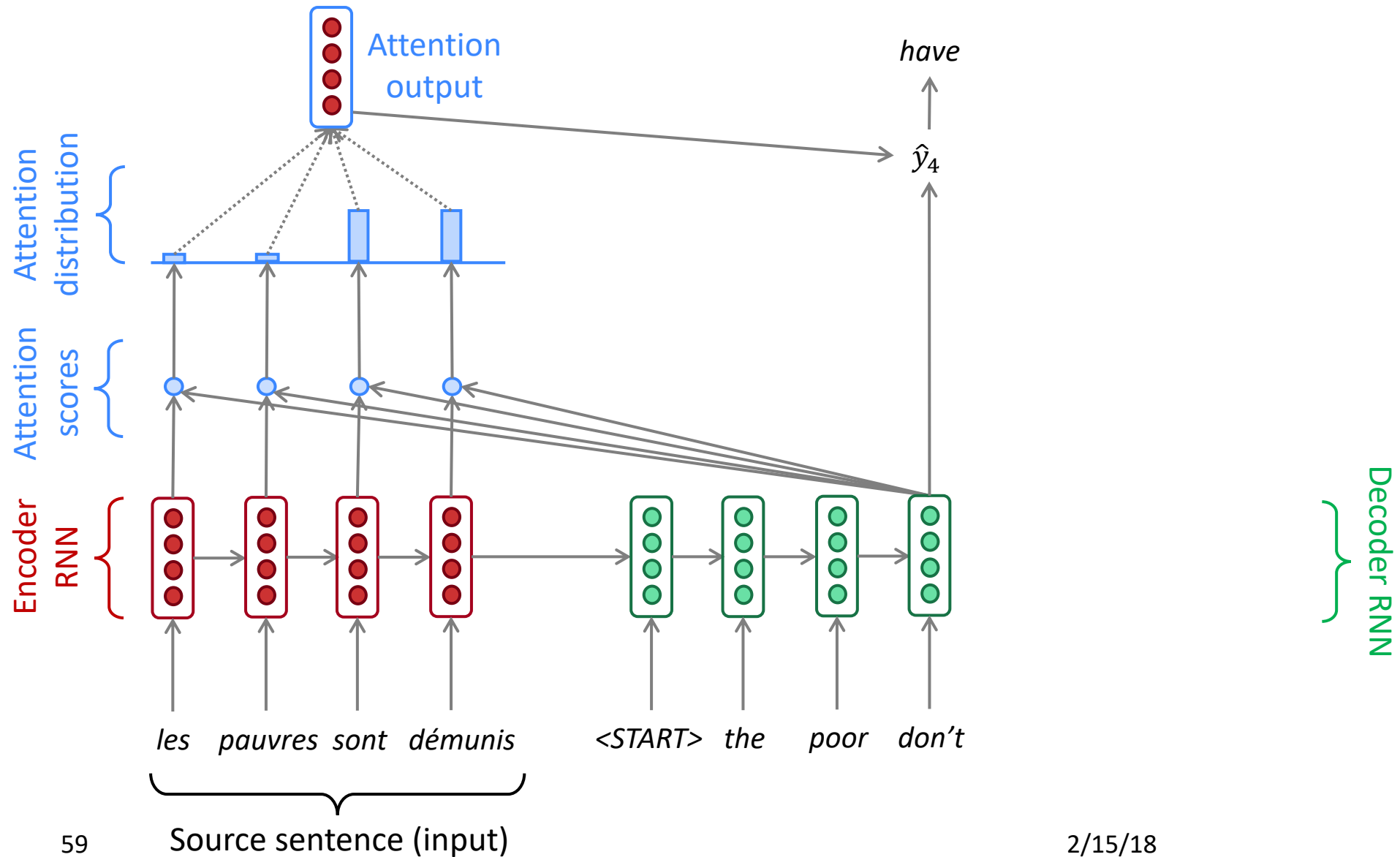
Sequence-to-sequence with attention



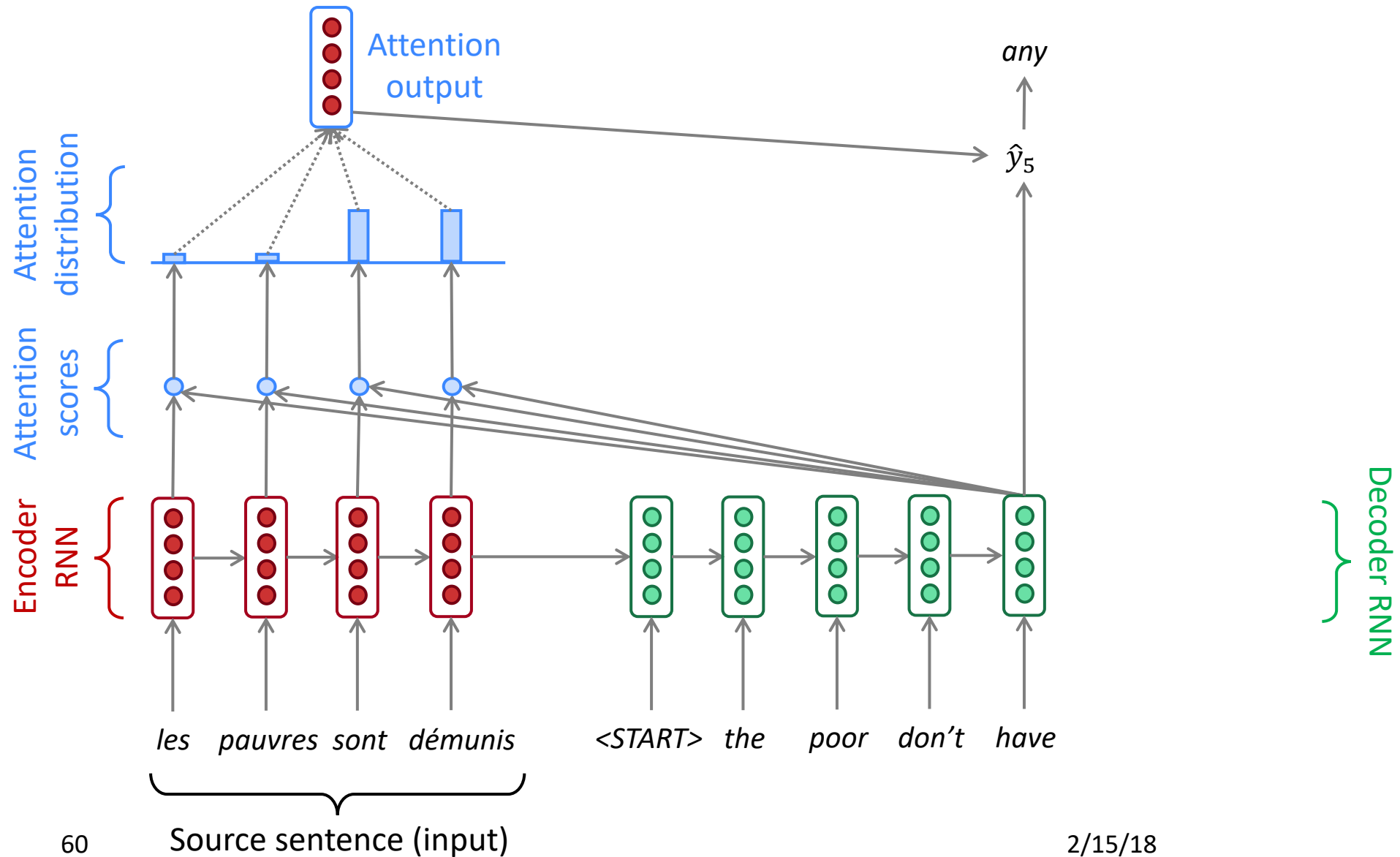
Sequence-to-sequence with attention



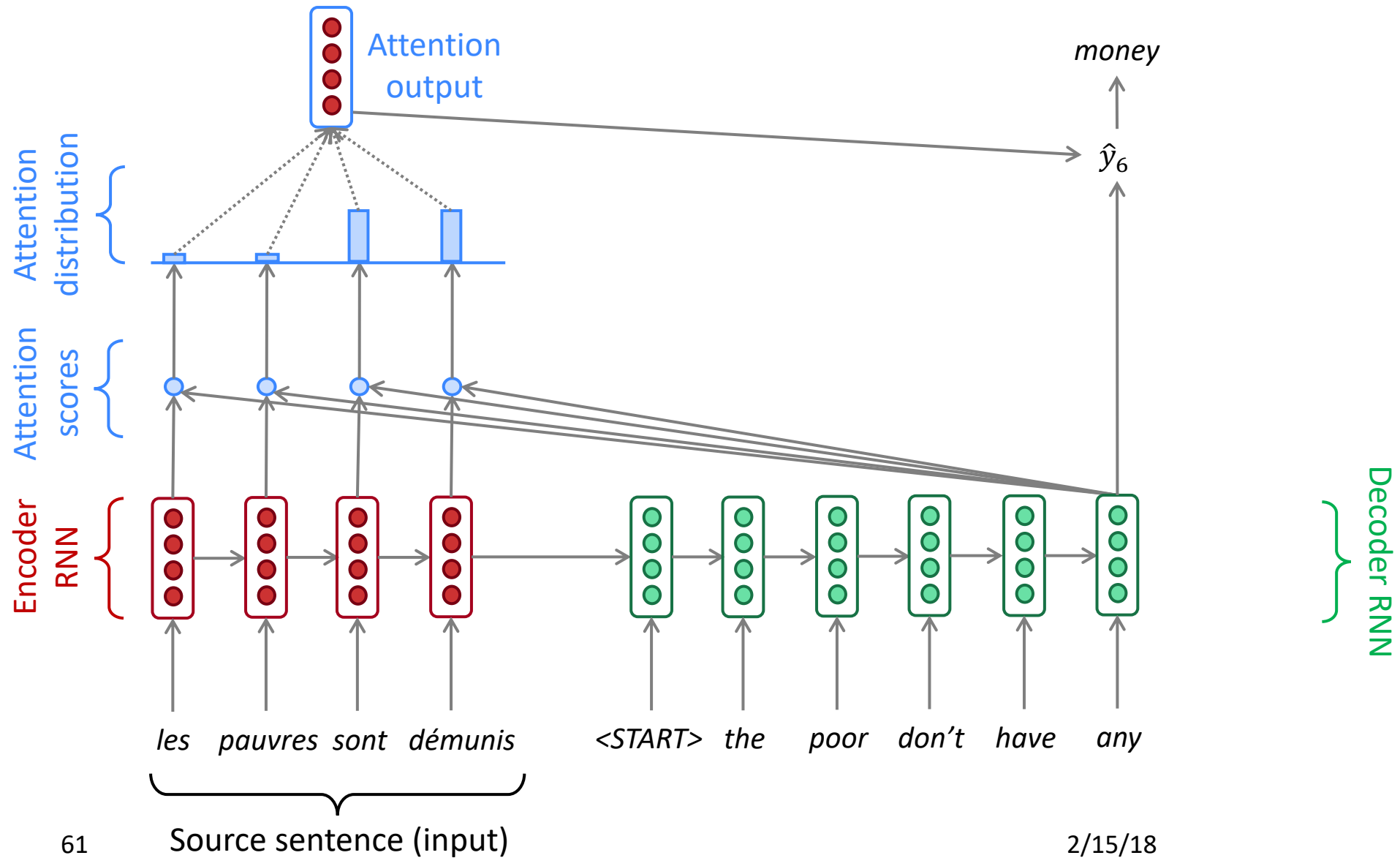
Sequence-to-sequence with attention



Sequence-to-sequence with attention



Sequence-to-sequence with attention



Attention: in equations

- We have encoder hidden states $\underline{h_1, \dots, h_N} \in \mathbb{R}^h$
- On timestep t , we have decoder hidden state $s_t \in \mathbb{R}^h$
- We get the attention scores e^t for this step:

$$e^t = [s_t^T h_1, \dots, s_t^T h_N] \in \mathbb{R}^N$$

- We take softmax to get the attention distribution $\underline{\alpha^t}$ for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \text{softmax}(e^t) \in \mathbb{R}^N$$

- We use α^t to take a weighted sum of the encoder hidden states to get the attention output \mathbf{a}_t

$$\mathbf{a}_t = \sum_{i=1}^N \alpha_i^t h_i \in \mathbb{R}^h$$

- Finally we concatenate the attention output \mathbf{a}_t with the decoder hidden state s_t and proceed as in the non-attention seq2seq model

$$[\mathbf{a}_t; s_t] \in \mathbb{R}^{2h}$$

Attention is great

- Attention significantly **improves NMT performance**
 - It's very useful to allow decoder to focus on certain parts of the source
- Attention **solves the bottleneck problem**
 - Attention allows decoder to look directly at source; bypass bottleneck
- Attention **helps with vanishing gradient problem**
 - Provides shortcut to faraway states
- Attention provides **some interpretability**
 - By inspecting attention distribution, we can see what the decoder was focusing on →
 - We get **alignment for free!**
 - This is cool because we never explicitly trained an alignment system
 - The network just learned alignment by itself

| | Les | pauvres | sont | démunis |
|-------|-----|---------|------|---------|
| The | ■ | | | |
| poor | | ■ | | |
| don't | | | ■ | ■ |
| have | | | ■ | ■ |
| any | | | ■ | ■ |
| money | | | ■ | ■ |

Recap

- We learned the history of Machine Translation (MT)
- Since 2014, **Neural MT** rapidly replaced intricate Statistical MT
- **Sequence-to-sequence** is the architecture for NMT (uses 2 RNNs)
- **Attention** is a way to *focus on particular parts* of the input
 - Improves sequence-to-sequence a lot!



Sequence-to-sequence is versatile!

- Sequence-to-sequence is useful for *more than just MT*
- Many NLP tasks can be phrased as sequence-to-sequence:
 - **Summarization** (long text → short text)
 - **Dialogue** (previous utterances → next utterance)
 - **Parsing** (input text → output parse as sequence)
 - **Code generation** (natural language → Python code)

Next time

- More **types** of attention
- More **uses** for attention
- More advanced sequence-to-sequence techniques