# Natural Language Processing with Deep Learning CS224N/Ling284



**Christopher Manning** 

Lecture 16: Coreference Resolution

#### **Announcements**

We plan to get HW5 grades back tomorrow before the add/drop deadline

Final project milestone is due this coming Tuesday

#### **Lecture Plan:**

#### Lecture 16: Coreference Resolution

- 1. What is Coreference Resolution? (15 mins)
- 2. Applications of coreference resolution (5 mins)
- 3. Mention Detection (5 mins)
- 4. Some Linguistics: Types of Reference (5 mins)

Four Kinds of Coreference Resolution Models

- Rule-based (Hobbs Algorithm) (10 mins)
- Mention-pair models (10 mins)
- 7. Mention ranking models (15 mins)
  - Including the current state-of-the-art coreference system!
- 8. Mention clustering model (5 mins only partial coverage)
- 9. Evaluation and current results (10 mins)

Identify all mentions that refer to the same real world entity

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Barack Obama nominated Hillary Rodham Clinton as his

secretary of state on Monday. He chose her because she

had foreign affairs experience



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A couple of years later, Vanaja met Akhila at the local park. Akhila's son Prajwal was just two months younger than her son Akash, and they went to the same school. For the pre-school play, Prajwal was chosen for the lead role of the naughty child Lord Krishna. Akash was to be a tree. She resigned herself to make Akash the best tree that anybody had ever seen. She bought him a brown T-shirt and brown trousers to represent the tree trunk. Then she made a large cardboard cutout of a tree's foliage, with a circular opening in the middle for Akash's face. She attached red balls to it to represent fruits. It truly was the nicest tree. From The Star by Shruthi Rao, with some shortening.

- Full text understanding
  - information extraction, question answering, summarization, ...
  - "He was born in 1961" (Who?)

- Full text understanding
- Machine translation
  - languages have different features for gender, number, dropped pronouns, etc.



- Full text understanding
- Machine translation
  - languages have different features for gender, number, dropped pronouns, etc.

she is a cook o bir aşçı o bir mühendis he is an engineer o bir doktor he is a doctor o bir hemşire she is a nurse o bir temizlikçi he is a cleaner o bir polis He-she is a police o bir asker he is a soldier o bir öğretmen She's a teacher o bir sekreter he is a secretary

- Full text understanding
- Machine translation
- Dialogue Systems

"Book tickets to see James Bond"

"Spectre is playing near you at 2:00 and 3:00 today. How many tickets would you like?"

"Two tickets for the showing at three"

# **Coreference Resolution in Two Steps**

1. Detect the mentions (easy)

```
"[I] voted for [Nader] because [he] was most aligned with [[my] values]," [she] said
```

- mentions can be nested!
- 2. Cluster the mentions (hard)

```
"[I] voted for [Nader] because [he] was most aligned with [[my] values]," [she] said
```

#### 3. Mention Detection

- Mention: span of text referring to some entity
- Three kinds of mentions:

#### 1. Pronouns

I, your, it, she, him, etc.

#### 2. Named entities

People, places, etc.

#### 3. Noun phrases

• "a dog," "the big fluffy cat stuck in the tree"

#### **Mention Detection**

- Span of text referring to some entity
- For detection: use other NLP systems

#### 1. Pronouns

Use a part-of-speech tagger

#### 2. Named entities

Use a NER system (like hw3)

#### 3. Noun phrases

Use a parser (especially a constituency parser – next week!)

# **Mention Detection: Not so Simple**

- Marking all pronouns, named entities, and NPs as mentions over-generates mentions
- Are these mentions?
  - It is sunny
  - Every student
  - No student
  - The best donut in the world
  - 100 miles

#### How to deal with these bad mentions?

- Could train a classifier to filter out spurious mentions
- Much more common: keep all mentions as "candidate mentions"
  - After your coreference system is done running discard all singleton mentions (i.e., ones that have not been marked as coreference with anything else)

# Can we avoid a pipelined system?

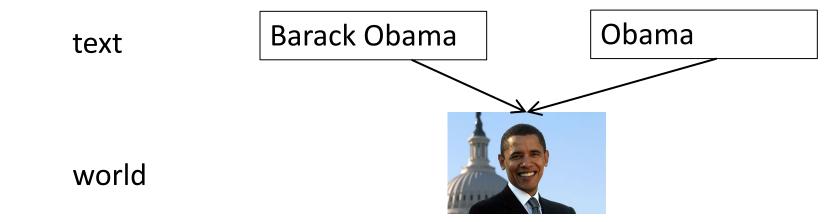
- We could instead train a classifier specifically for mention detection instead of using a POS tagger, NER system, and parser.
- Or even jointly do mention-detection and coreference resolution end-to-end instead of in two steps
  - Will cover later in this lecture!

# 4. On to Coreference! First, some linguistics

- Coreference is when two mentions refer to the same entity in the world
  - Barack Obama traveled to ... Obama
- A related linguistic concept is anaphora: when a term (anaphor) refers to another term (antecedent)
  - the interpretation of the anaphor is in some way determined by the interpretation of the antecedent
  - Barack Obama said he would sign the bill.
     antecedent anaphor

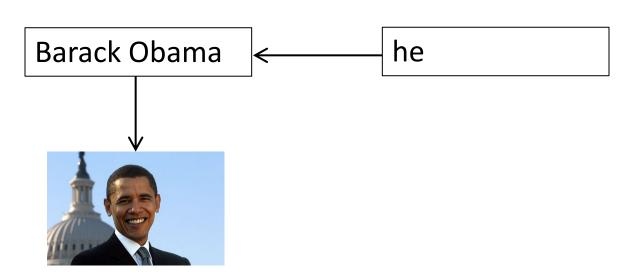
# **Anaphora vs Coreference**

Coreference with named entities



Anaphora text

world



# Not all anaphoric relations are coreferential

Not all noun phrases have reference

- Every dancer twisted her knee.
- No dancer twisted her knee.

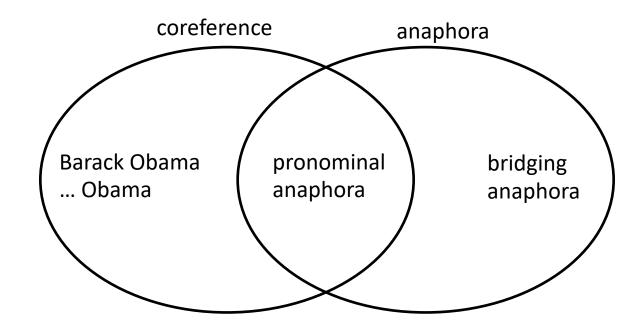
 There are three NPs in each of these sentences; because the first one is non-referential, the other two aren't either.

# **Anaphora vs. Coreference**

Not all anaphoric relations are coreferential

We went to see a concert last night. The tickets were really expensive.

This is referred to as bridging anaphora.



# **Anaphora vs. Cataphora**

 Usually the antecedent comes before the anaphor (e.g., a pronoun), but not always

# **Cataphora**

"From the corner of the divan of Persian saddle-bags on which he was lying, smoking, as was his custom, innumerable cigarettes, Lord Henry Wotton could just catch the gleam of the honey-sweet and honey-coloured blossoms of a laburnum..."

(Oscar Wilde – The Picture of

# **Four Kinds of Coreference Models**

- Rule-based (pronominal anaphora resolution)
- Mention Pair
- Mention Ranking
- Clustering

# 5. Traditional pronominal anaphora resolution: Hobbs' naive algorithm

- Begin at the NP immediately dominating the pronoun
- 2. Go up tree to first NP or S. Call this X, and the path p.
- 3. Traverse all branches below X to the left of p, left-to-right, breadth-first. Propose as antecedent any NP that has a NP or S between it and X
- 4. If X is the highest S in the sentence, traverse the parse trees of the previous sentences in the order of recency. Traverse each tree left-to-right, breadth first. When an NP is encountered, propose as antecedent. If X not the highest node, go to step 5.

# Hobbs' naive algorithm (1976)

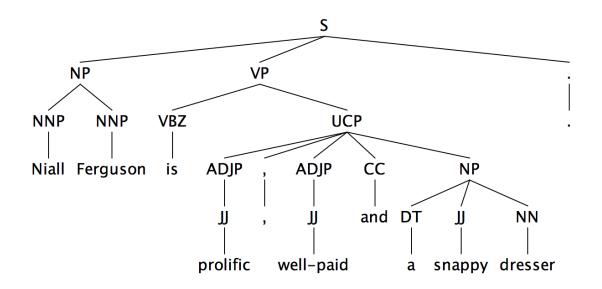
- 5. From node X, go up the tree to the first NP or S. Call it X, and the path p.
- 6. If X is an NP and the path p to X came from a non-head phrase of X (a specifier or adjunct, such as a possessive, PP, apposition, or relative clause), propose X as antecedent

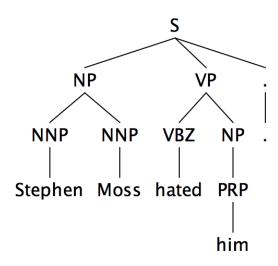
(The original said "did not pass through the N' that X immediately dominates", but the Penn Treebank grammar lacks N' nodes....)

- 7. Traverse all branches below X to the left of the path, in a leftto-right, breadth first manner. Propose any NP encountered as the antecedent
- 8. If X is an S node, traverse all branches of X to the right of the path but do not go below any NP or S encountered. Propose any NP as the antecedent.
- 9. Go to step 4

Until deep learning still often used as a feature in ML systems!

# **Hobbs Algorithm Example**





# **Knowledge-based Pronominal Coreference**

- She poured water from the pitcher into the cup until it was full
- She poured water from the pitcher into the cup until it was empty"
- The city council refused the women a permit because they feared violence.
- The city council refused the women a permit because they advocated violence.
  - Winograd (1972)
- These are called Winograd Schema
  - Recently proposed as an alternative to the Turing test
    - See: Hector J. Levesque "On our best behaviour" IJCAI 2013 <a href="http://www.cs.toronto.edu/~hector/Papers/ijcai-13-paper.pdf">http://www.cs.toronto.edu/~hector/Papers/ijcai-13-paper.pdf</a>
    - <a href="http://commonsensereasoning.org/winograd.html">http://commonsensereasoning.org/winograd.html</a>
  - If you've fully solved coreference, arguably you've solved AI





# Hobbs' algorithm: commentary

"... the naïve approach is quite good. Computationally speaking, it will be a long time before a semantically based algorithm is sophisticated enough to perform as well, and these results set a very high standard for any other approach to aim for.

"Yet there is every reason to pursue a semantically based approach. The naïve algorithm does not work. Any one can think of examples where it fails. In these cases it not only fails; it gives no indication that it has failed and offers no help in finding the real antecedent."

— Hobbs (1978), *Lingua*, p. 345

# 6. Coreference Models: Mention Pair

"I voted for Nader because he was most aligned with my values," she said.

I Nader he my she

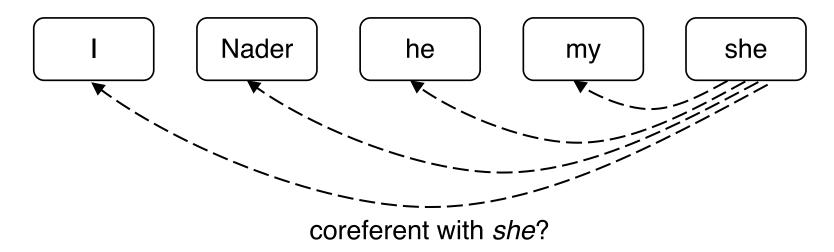
Coreference Cluster 1

Coreference Cluster 2

# **Coreference Models: Mention Pair**

- Train a binary classifier that assigns every pair of mentions a probability of being coreferent:  $p(m_i, m_j)$ 
  - e.g., for "she" look at all candidate antecedents (previously occurring mentions) and decide which are coreferent with it

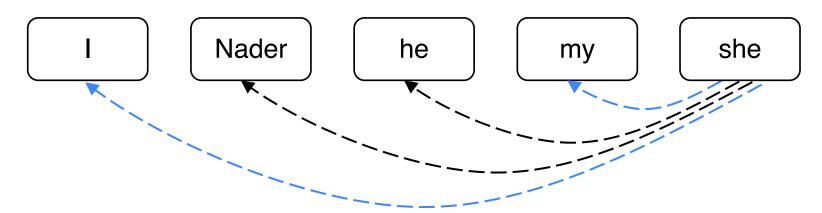
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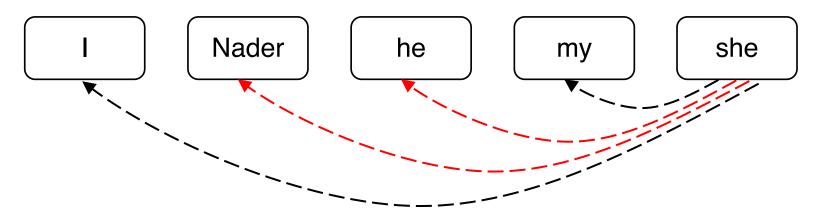


Positive examples: want  $p(m_i, m_j)$  to be near 1

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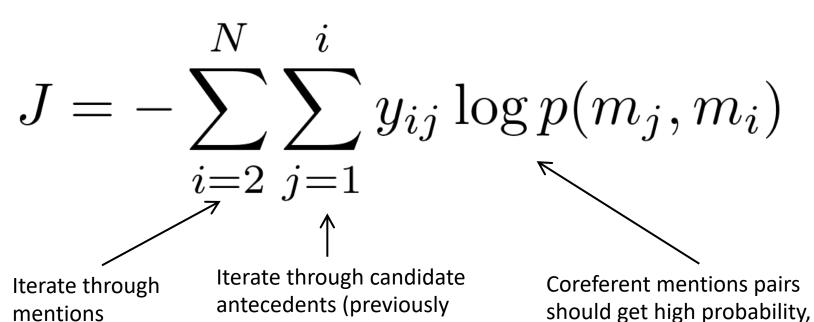
"I voted for Nader because he was most aligned with my values," she said.



Negative examples: want  $p(m_i, m_j)$  to be near 0

## **Mention Pair Training**

- N mentions in a document
- $y_{ij} = 1$  if mentions  $m_i$  and  $m_j$  are coreferent, -1 if otherwise
- Just train with regular cross-entropy loss (looks a bit different because it is binary classification)



others should get low

probability

occurring mentions)

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 Coreference resolution is a clustering task, but we are only scoring pairs of mentions... what to do?

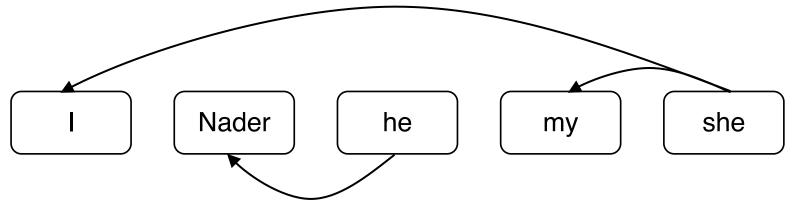
 I
 Nader

 he
 my

 she

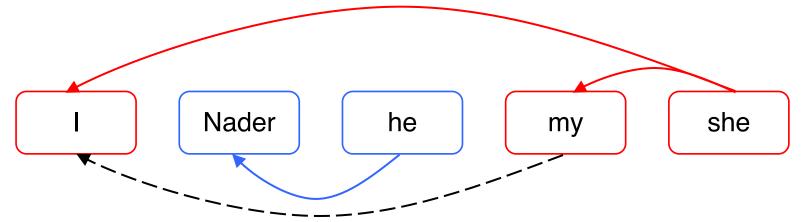
- Coreference resolution is a clustering task, but we are only scoring pairs of mentions... what to do?
- Pick some threshold (e.g., 0.5) and add **coreference links** between mention pairs where  $p(m_i, m_j)$  is above the threshold

"I voted for Nader because he was most aligned with my values," she said.



- Coreference resolution is a clustering task, but we are only scoring pairs of mentions... what to do?
- Pick some threshold (e.g., 0.5) and add **coreference links** between mention pairs where  $p(m_i, m_j)$  is above the threshold
- Take the transitive closure to get the clustering

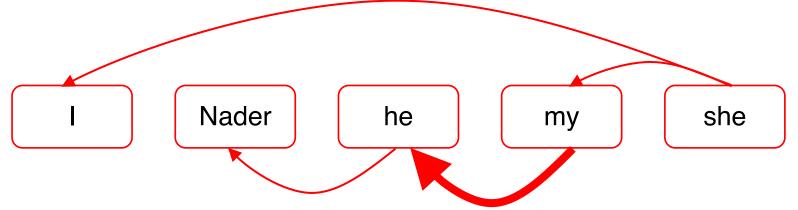
"I voted for Nader because he was most aligned with my values," she said.



Even though the model did not predict this coreference link, I and my are coreferent due to transitivity

- Coreference resolution is a clustering task, but we are only scoring pairs of mentions... what to do?
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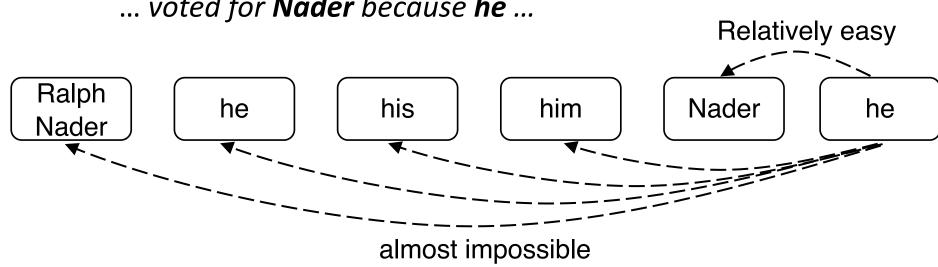
"I voted for Nader because he was most aligned with my values," she said.



Adding this extra link would merge everything into one big coreference cluster!

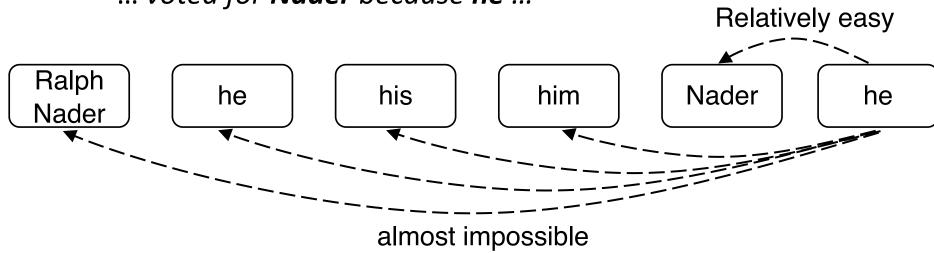
## **Mention Pair Models: Disadvantage**

- Suppose we have a long document with the following mentions
  - Ralph Nader ... he ... his ... him ... <several paragraphs>
     ... voted for Nader because he ...



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  - Ralph Nader ... he ... his ... him ... <several paragraphs>
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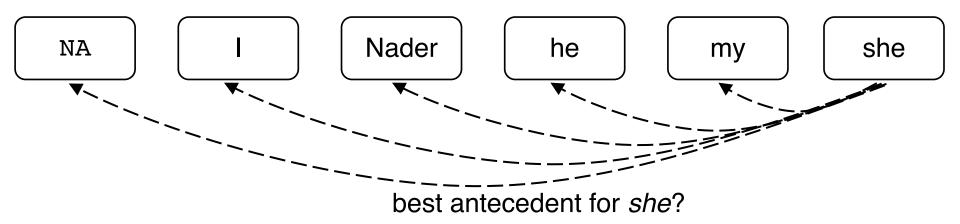


- Many mentions only have one clear antecedent
  - But we are asking the model to predict all of them
- Solution: instead train the model to predict only one antecedent for each mention
  - More linguistically plausible

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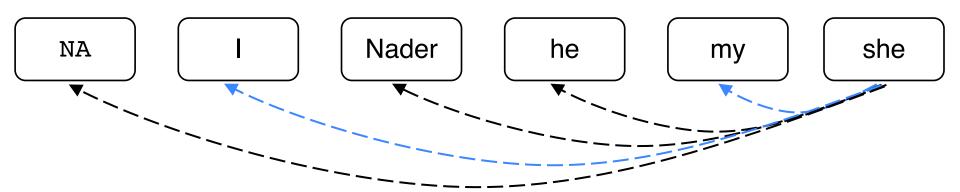
## 7. Coreference Models: Mention Ranking

- Assign each mention its highest scoring candidate antecedent according to the model
- Dummy NA mention allows model to decline linking the current mention to anything ("singleton" or "first" mention)



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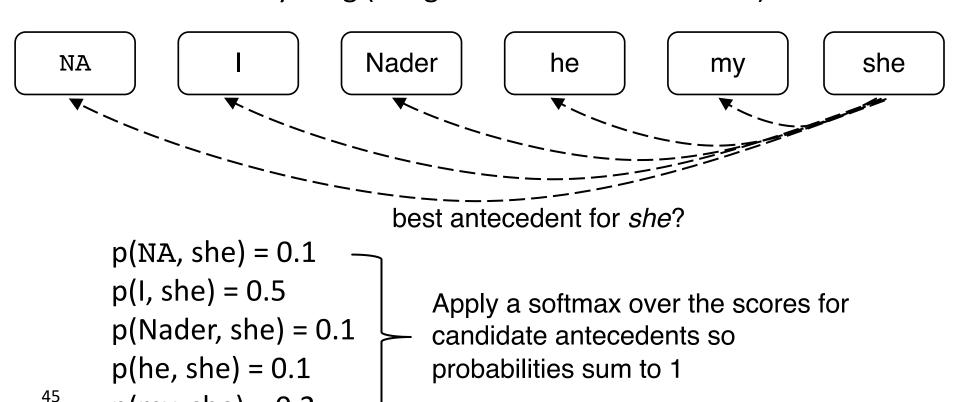


Positive examples: model has to assign a high probability to either one (but not necessarily both)

# **Coreference Models: Mention Ranking**

p(my, she) = 0.2

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# **Coreference Models: Mention Ranking**

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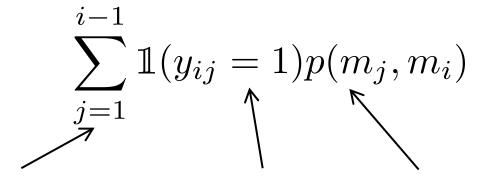
NA I Nader he my she

p(NA, she) = 0.1 p(I, she) = 0.5 p(Nader, she) = 0.1 p(he, she) = 0.1 p(my, she) = 0.2 only add highest scoring coreference link

Apply a softmax over the scores for candidate antecedents so probabilities sum to 1

# **Coreference Models: Training**

- We want the current mention m<sub>j</sub> to be linked to any one of the candidate antecedents it's coreferent with.
- Mathematically, we might want to maximize this probability:



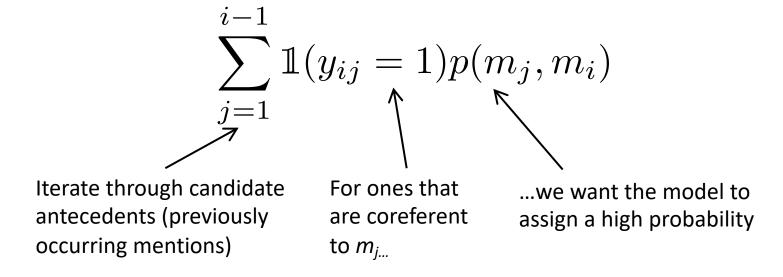
Iterate through candidate antecedents (previously occurring mentions)

For ones that are coreferent to  $m_{j...}$ 

...we want the model to assign a high probability

# **Coreference Models: Training**

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- Mathematically, we want to maximize this probability:



 The model could produce 0.9 probability for one of the correct antecedents and low probability for everything else, and the sum will still be large

# **Coreference Models: Training**

- We want the current mention m<sub>j</sub> to be linked to any one of the candidate antecedents it's coreferent with.
- Mathematically, we want to maximize this probability:

$$\sum_{j=1}^{i-1} \mathbb{1}(y_{ij} = 1) p(m_j, m_i)$$

Turning this into a loss function:

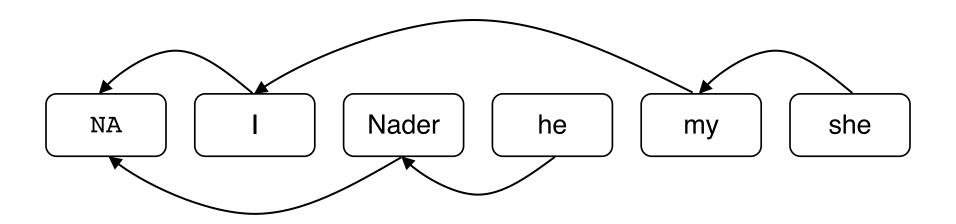
$$J = \sum_{i=2}^{N} -\log \left( \sum_{j=1}^{i-1} \mathbb{1}(y_{ij} = 1) p(m_j, m_i) \right)$$

Iterate over all the mentions in the document

Usual trick of taking negative log to go from likelihood to loss

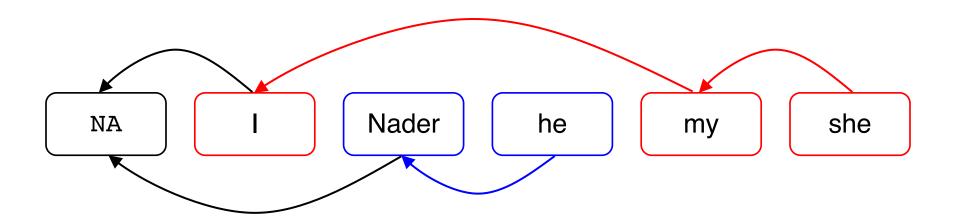
## **Mention Ranking Models: Test Time**

 Pretty much the same as mention-pair model except each mention is assigned only one antecedent



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 Pretty much the same as mention-pair model except each mention is assigned only one antecedent



# How do we compute the probabilities?

A. Non-neural statistical classifier

B. Simple neural network

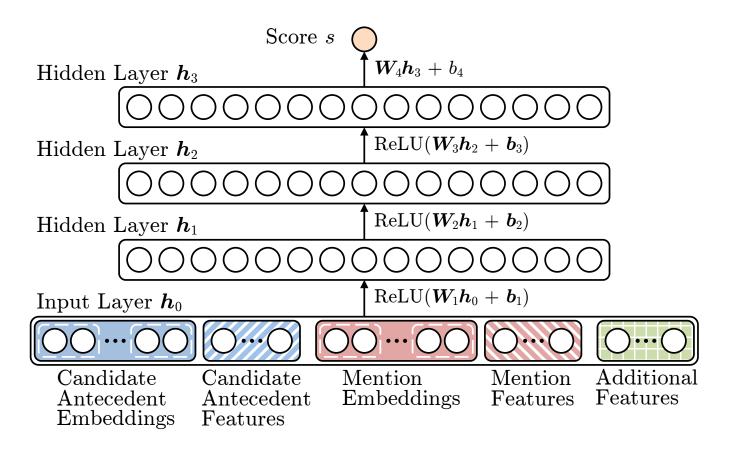
C. More advanced model using LSTMs, attention

#### A. Non-Neural Coref Model: Features

- Person/Number/Gender agreement
  - Jack gave Mary a gift. She was excited.
- Semantic compatibility
  - ... the mining conglomerate ... the company ...
- Certain syntactic constraints
  - John bought him a new car. [him can not be John]
- More recently mentioned entities preferred for referenced
  - John went to a movie. Jack went as well. He was not busy.
- Grammatical Role: Prefer entities in the subject position
  - John went to a movie with Jack. He was not busy.
- Parallelism:
  - John went with Jack to a movie. Joe went with him to a bar.
- •

### **B. Neural Coref Model**

- Standard feed-forward neural network
  - Input layer: word embeddings and a few categorical features



# **Neural Coref Model: Inputs**

- Embeddings
  - Previous two words, first word, last word, head word, ... of each mention
    - The **head** word is the "most important" word in the mention you can find it using a parser. e.g., The fluffy **cat** stuck in the tree
- Still need some other features:
  - Distance
  - Document genre
  - Speaker information

- Current state-of-the-art model for coreference resolution (Kenton Lee et al. from UW, EMNLP 2017)
- Mention ranking model
- Improvements over simple feed-forward NN
  - Use an LSTM
  - Use attention
  - Do mention detection and coreference end-to-end
    - No mention detection step!
    - Instead consider every span of text (up to a certain length) as a candidate mention
      - a span is just a contiguous sequence of words

 First embed the words in the document using a word embedding matrix and a character-level CNN

Word & character embedding (x)











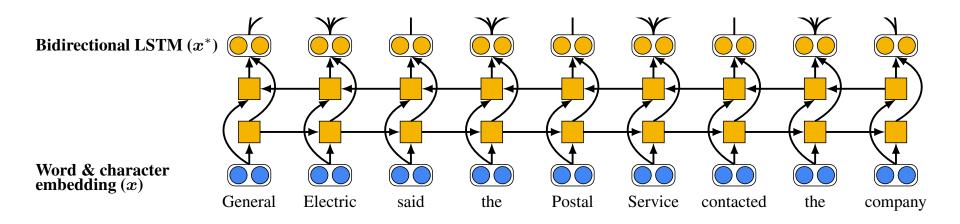




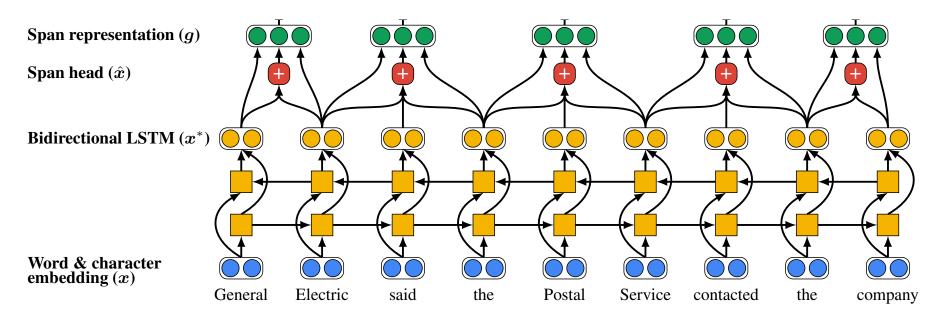




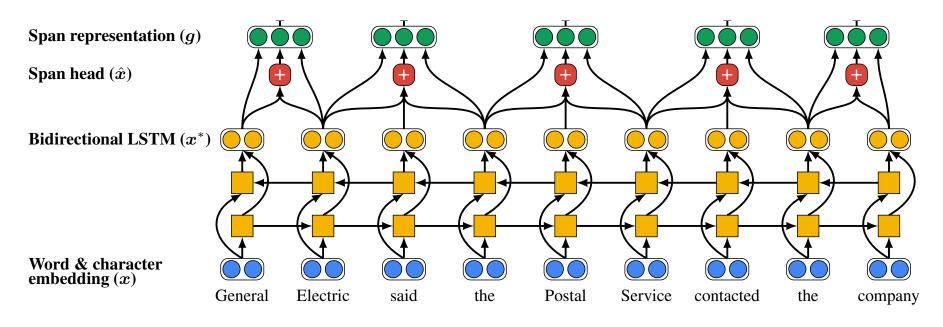
Then run a bidirectional LSTM over the document



Next, represent each span of text i going from START(i) to END(i) as a vector

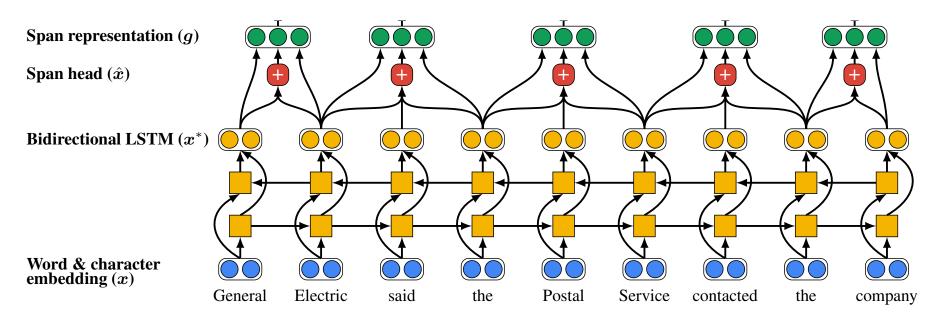


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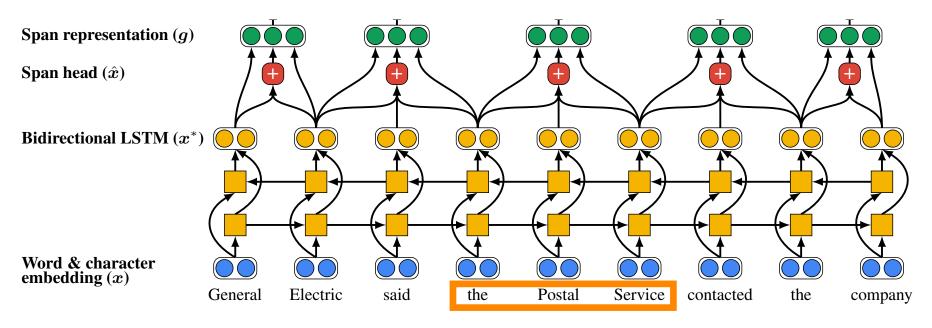
General, General Electric, General Electric said, ... Electric, Electric said, ... will all get its own vector representation

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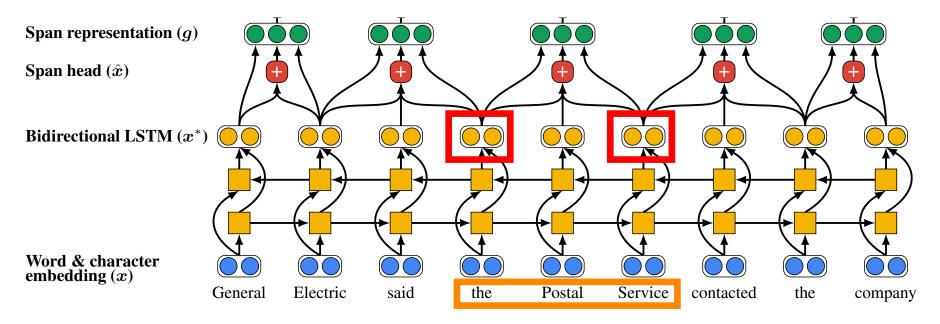
Span representation:  $m{g}_i = [m{x}^*_{ ext{START}(i)}, m{x}^*_{ ext{END}(i)}, \hat{m{x}}_i, \phi(i)]$ 

Next, represent each span of text i going from START(i) to END(i) as a vector. For example, for "the postal service"



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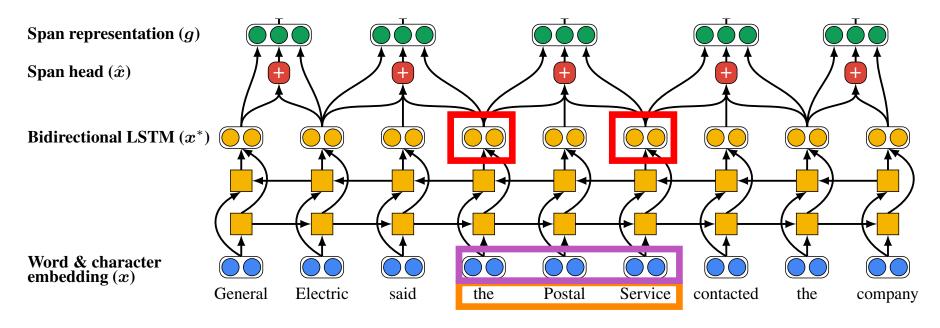


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*→* 

BILSTM hidden states for span's start and end

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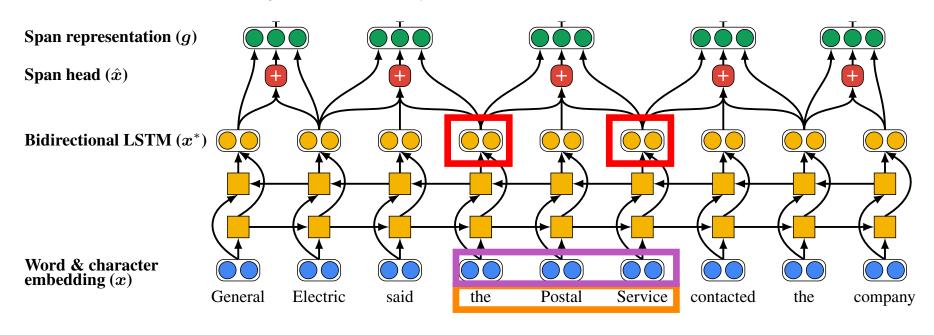


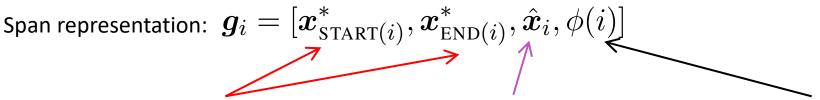
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Attention-based representation (details next slide) of the words in the span

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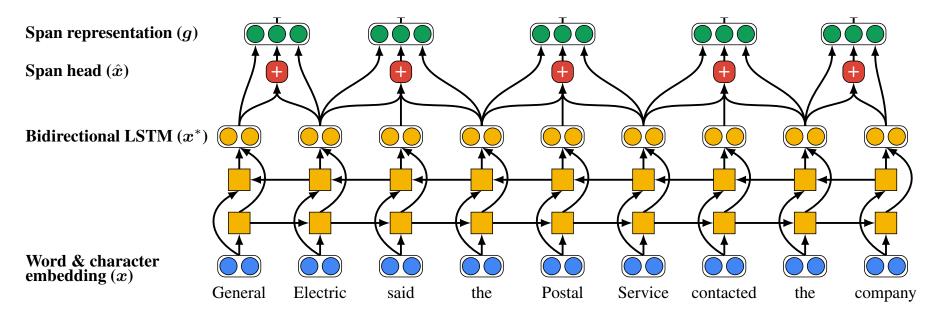


BILSTM hidden states for span's start and end

Attention-based representation (details next slide) of the words in the span

Additional features

•  $\hat{m{x}}_i$  is an attention-weighted average of the word embeddings in the span

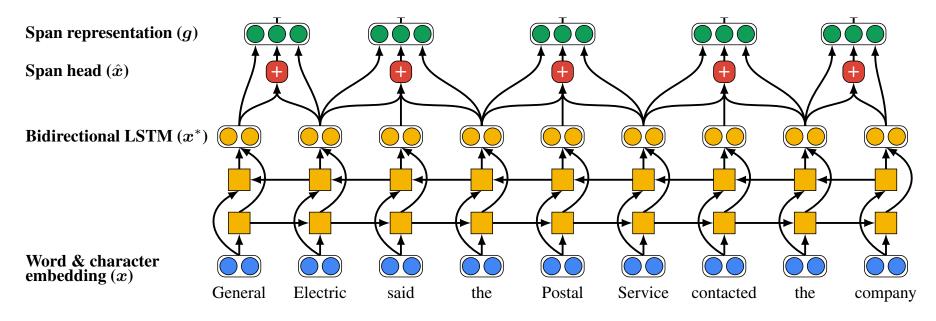


Attention scores

$$\alpha_t = oldsymbol{w}_{lpha} \cdot \text{FFNN}_{lpha}(oldsymbol{x}_t^*)$$

dot product of weight vector and transformed hidden state

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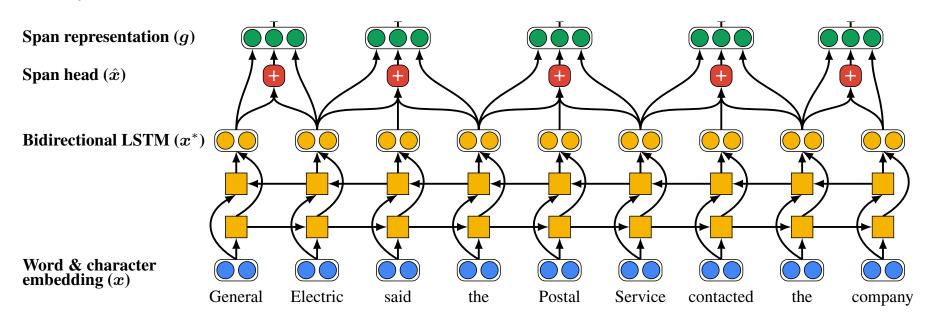
dot product of weight vector and transformed hidden state

Attention distribution

$$a_{i,t} = \frac{\exp(\alpha_t)}{\sum_{k=\text{START}(i)}^{\text{END}(i)} \exp(\alpha_k)}$$

just a softmax over attention scores for the span

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Attention scores

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Attention distribution

$$a_{i,t} = \frac{\exp(\alpha_t)}{\sum_{k = \text{START}(i)} \exp(\alpha_k)}$$

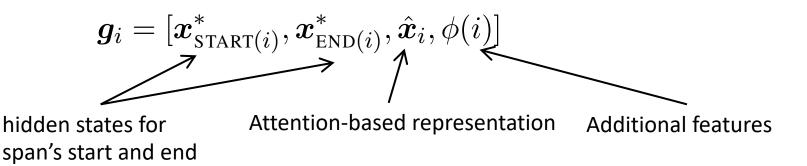
just a softmax over attention scores for the span

Final representation

$$\hat{oldsymbol{x}}_i = \sum_{t= ext{START}(i)}^{ ext{END}(i)} a_{i,t} \cdot oldsymbol{x}_t$$

Attention-weighted sum of word embeddings

Why include all these different terms in the span?

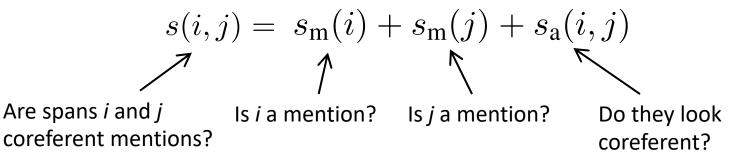


Represents the context to the left and right of the span

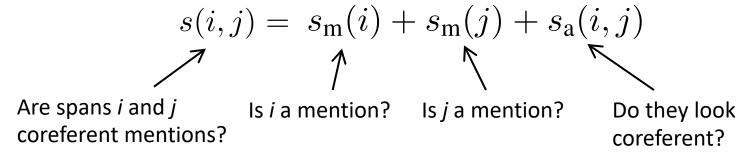
Represents the span itself

Represents other information not in the text

 Lastly, score every pair of spans to decide if they are coreferent mentions



 Lastly, score every pair of spans to decide if they are coreferent mentions

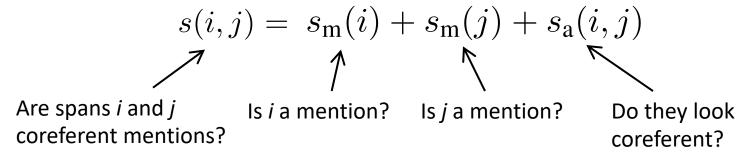


Scoring functions take the span representations as input

$$s_{\mathrm{m}}(i) = oldsymbol{w}_{\mathrm{m}} \cdot \mathrm{FFNN}_{\mathrm{m}}(oldsymbol{g}_{i})$$
  $s_{\mathrm{a}}(i,j) = oldsymbol{w}_{\mathrm{a}} \cdot \mathrm{FFNN}_{\mathrm{a}}([oldsymbol{g}_{i}, oldsymbol{g}_{j}, oldsymbol{g}_{i} \circ oldsymbol{g}_{j}, \phi(i,j)])$ 

#### **End-to-end Model**

 Lastly, score every pair of spans to decide if they are coreferent mentions



Scoring functions take the span representations as input

$$s_{\mathrm{m}}(i) = m{w}_{\mathrm{m}} \cdot \mathrm{FFNN}_{\mathrm{m}}(m{g}_i)$$
  $s_{\mathrm{a}}(i,j) = m{w}_{\mathrm{a}} \cdot \mathrm{FFNN}_{\mathrm{a}}([m{g}_i, m{g}_j, m{g}_i \circ m{g}_j, \phi(i,j)])$  include multiplicative again, we have some interactions between extra features the representations

#### **End-to-end Model**

- Intractable to score every pair of spans
  - O(T^2) spans of text in a document (T is the number of words)
  - O(T^4) runtime!
  - So have to do lots of pruning to make work (only consider a few of the spans that are likely to be mentions)
- Attention learns which words are important in a mention (a bit like head words)

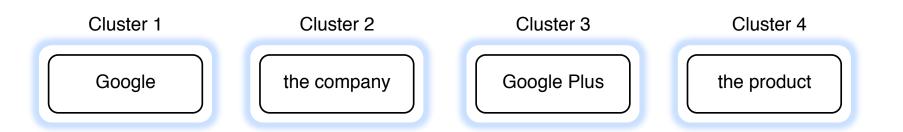
(A fire in a Bangladeshi garment factory) has left at least 37 people dead and 100 hospitalized. Most of the deceased were killed in the crush as workers tried to flee (the blaze) in the four-story building.

## 8. Last Coreference Approach: Clustering-Based

- Coreference is a clustering task, let's use a clustering algorithm!
  - In particular we will use agglomerative clustering
- Start with each mention in it's own singleton cluster
- Merge a pair of clusters at each step
  - Use a model to score which cluster merges are good

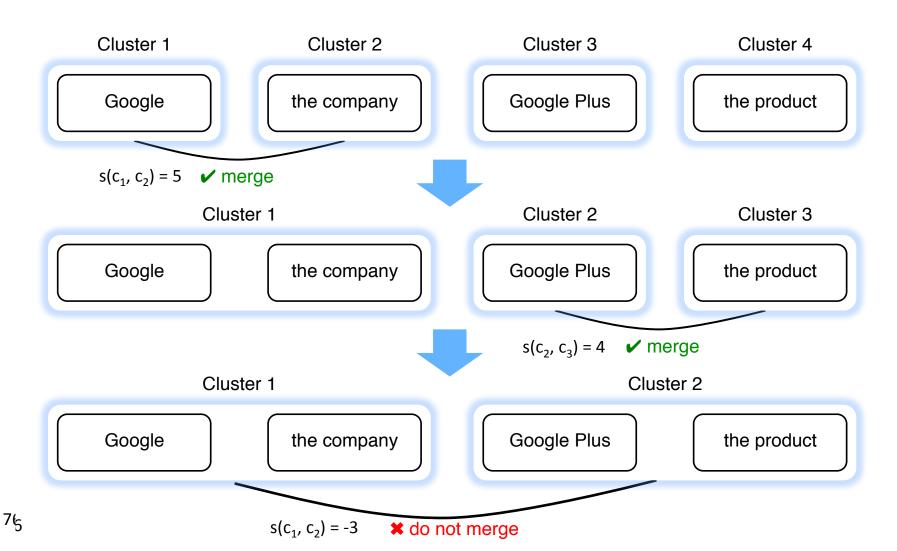
### **Coreference Models: Clustering-Based**

Google recently ... the company announced Google Plus ... the product features ...



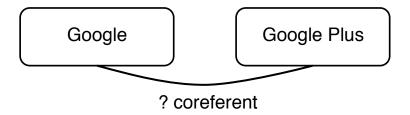
### **Coreference Models: Clustering-Based**

Google recently ... the company announced Google Plus ... the product features ...

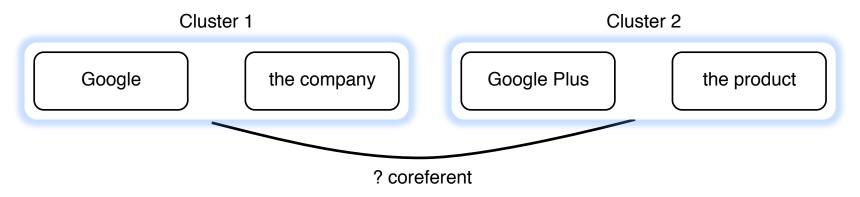


# **Coreference Models: Clustering-Based**

Mention-pair decision is difficult

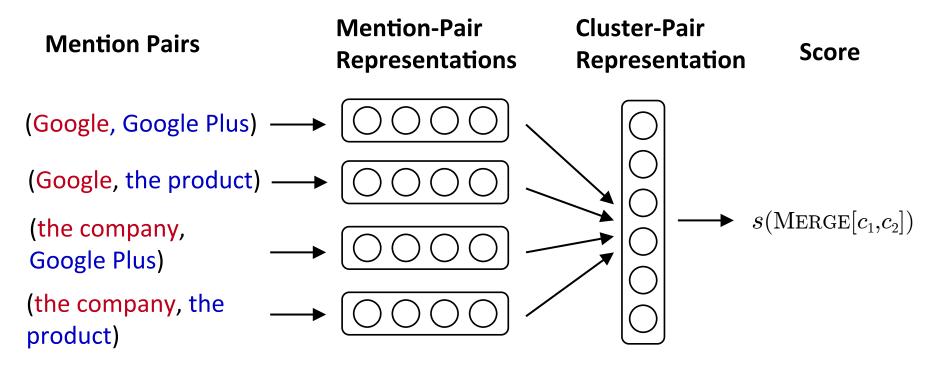


#### Cluster-pair decision is easier

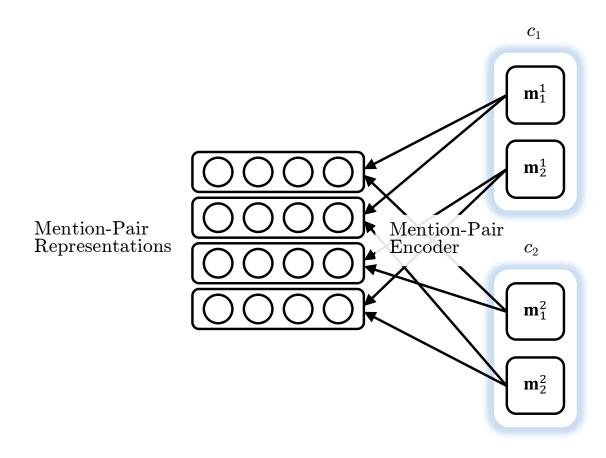


From Clark & Manning, 2016

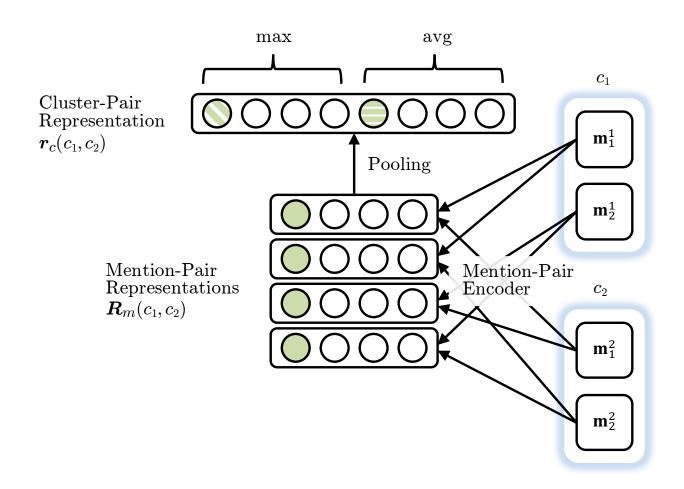
Merge clusters  $c_1 = \{Google, the company\}$  and  $c_2 = \{Google Plus, the product\}$ ?



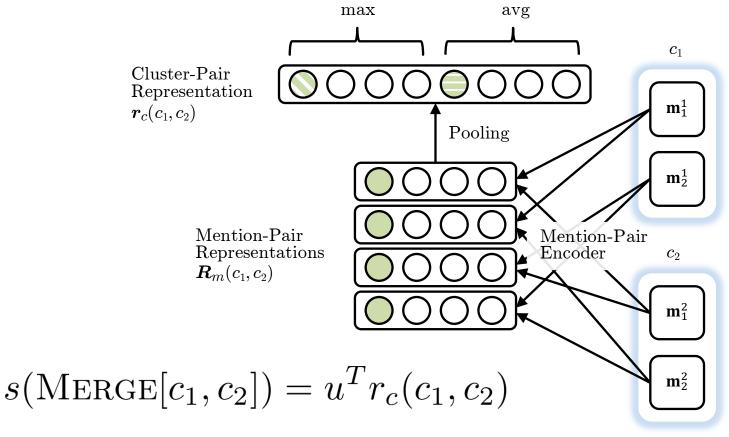
- First produce a vector for each pair of mentions
  - e.g., the output of the hidden layer in the feedforward neural network model



 Then apply a pooling operation over the matrix of mention-pair representations to get a cluster-pair representation



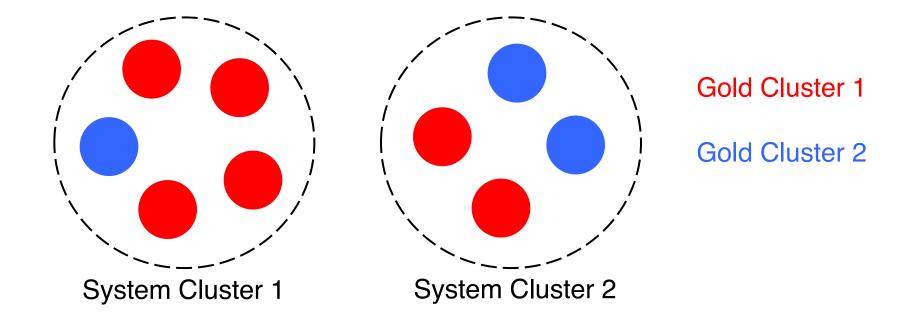
 Score the candidate cluster merge by taking the dot product of the representation with a weight vector



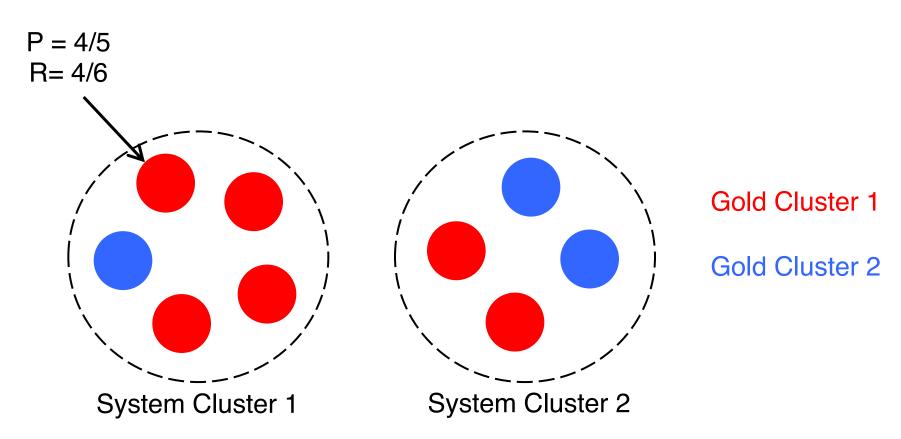
### **Clustering Model: Training**

- Current candidate cluster merges depend on previous ones it already made
  - So can't use regular supervised learning
  - Instead use something like Reinforcement Learning to train the model
    - Reward for each merge: the change in a coreference evaluation metric

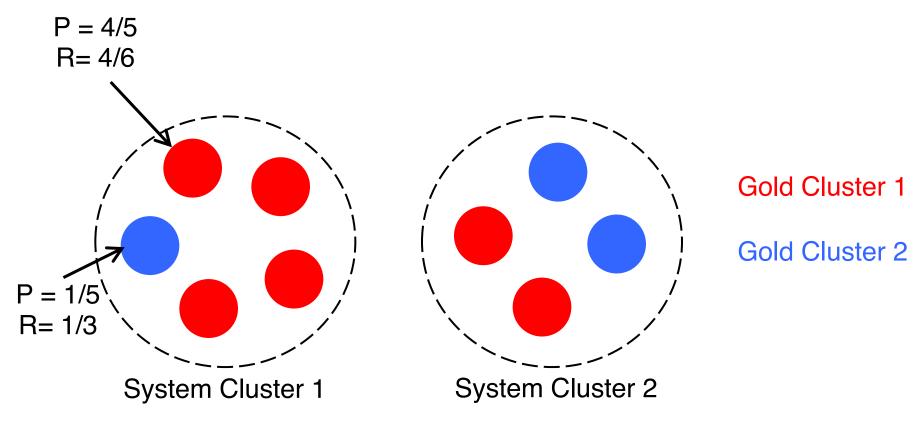
- Many different metrics: MUC, CEAF, LEA, B-CUBED, BLANC
  - Often report the average over a few different metrics



- An example: B-cubed
  - For each mention, compute a precision and a recall

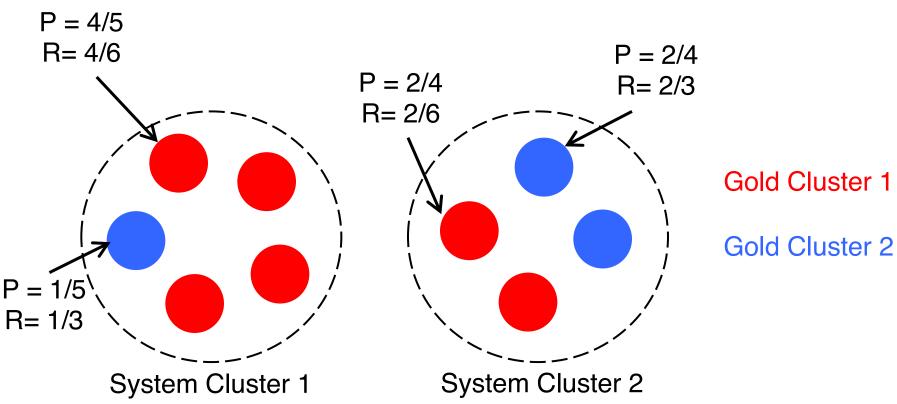


- An example: B-cubed
  - For each mention, compute a precision and a recall

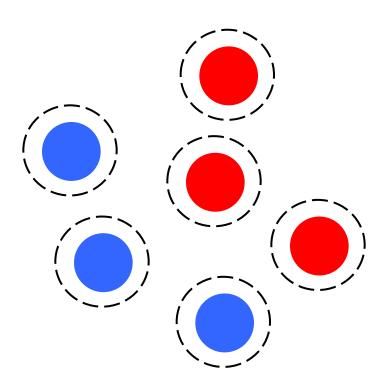


- An example: B-cubed
  - For each mention, compute a precision and a recall
  - Then average the individual Ps and Rs

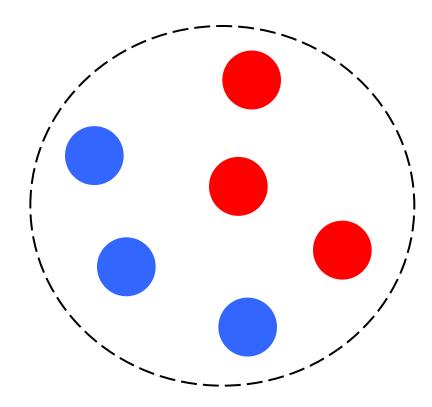
$$P = [4(4/5) + 1(1/5) + 2(2/4) + 2(2/4)] / 9 = 0.6$$



100% Precision, 33% Recall



50% Precision, 100% Recall,



## **System Performance**

- OntoNotes dataset: ~3000 documents labeled by humans
  - English and Chinese data
- Report an F1 score averaged over 3 coreference metrics

# **System Performance**

Model	English	Chinese	
Lee et al. (2010)	~55	~50	Rule-based system, used to be state-of-the-art!
Chen & Ng (2012) [CoNLL 2012 Chinese winner]	54.5	57.6	Non-neural machine
Fernandes (2012) [CoNLL 2012 English winner]	60.7	51.6	learning models
Wiseman et al. (2015)	63.3	_	Neural mention ranker
Clark & Manning (2016)	65.4	63.7	Neural clustering model
Lee et al. (2017)	67.2		End-to-end neural mention ranker

## Where do neural scoring models help?

Especially with NPs and named entities with no string matching.
 Neural vs non-neural scores:

 $18.9 F_1 \text{ vs } 10.7 F_1 \text{ on this type compared to } 68.7 \text{ vs } 66.1 F_1$ These kinds of coreference are hard and the scores are still low!

#### **Example Wins**

Anaphor	Antecedent
the country's leftist rebels	the guerillas
the company	the New York firm
216 sailors from the ``USS cole"	the crew
the gun	the rifle

### **Conclusion**

- Coreference is a useful, challenging, and linguistically interesting task
  - Many different kinds of coreference resolution systems
- Systems are getting better rapidly, largely due to better neural models
  - But overall, results are still not amazing
- Try out a coreference system yourself!
  - http://corenlp.run/ (ask for coref in Annotations)
  - https://huggingface.co/coref/