CS265, Fall 2020

Class 3: Agenda, Questions, and Links

1 Warm-Up

Go to http://PollEv.com/cs265.

Let $S_r = \{x \in \mathbb{Z}_n^* : x^r = \pm 1 \mod n\}$. Is S_r a group?

Group Work: Solutions

Yes, this is a group! Since it's a subset of $\mathbb{Z}_n^*$, it automatically satisfies most of the axioms. The only one that we still need to establish is closure: for any $x, y \in S_r$, $x \cdot y \in S_r$ also. This is true because for any such x, y,

$$(x \cdot y)^r = x^r y^r = (\pm 1) \cdot (\pm 1) = \pm 1 \mod n.$$

2 Announcements

- We have a new CA! Welcome Bryan Cai!
- The first HW was posted on Friday! It is due this coming Friday!
- Solutions to quizzes: we will make a separate dummy Gradescope assignment that has the solutions to the quizzes. It will become visible after the quiz is due, and it records who has "started" the assignment (aka, looked at the solutions) and when. You may still do the quizzes late, but we will not accept them for a grade if you've looked at the solutions first, obviously.
- At the moment, I will be releasing quiz grades after class. There was an announcement about possibly releasing them before class in which case they'd be due a bit earlier. Right now not enough people have filled it out that I feel comfortable making the quizzes due earlier, so if you have an opinion please fill out that form: https://forms.gle/jP2npZhDMvGfaNmFA. If a majority of the class registers wanting quizzes due earlier we will do that, otherwise we will stick with the current set-up.

3 Questions?

Any questions from the minilectures? (Group Theory 101; Primality Testing)

- Go into small groups and ask each other your questions.
- Ask any questions that the group can't resolve in the chat, or DM one of the course staff and we'll come to your room.

4 Miller-Rabin Algorithm

[Slides to introduce the Miller-Rabin Algorithm. Summary below.]

The main idea behind the Miller-Rabin algorithm is the fact (which is not obvious) that:

- If n is prime, then there are exactly two square roots of 1 in $\mathbb{Z}_n^*$, $+1$ and -1.
- If n is an odd composite number, not the power of a prime, then there are *more* than two square roots of 1 in $\mathbb{Z}_n^*$.

The following Proposition is true, check out the lecture notes (or think about it yourself if you finish the group work early!) for more details.

Proposition 1. *If n is prime, then there are exactly two square roots of 1 in $\mathbb{Z}_n^*$, $+1$ and -1.*

Consider the following way to generate a list of numbers, using n. (It should not be obvious at this point why we are doing this).

Procedure for generating some numbers

- Write $n - 1 = 2^k m$ where m is odd.
- Choose $x \in \{1, \ldots, n-1\}$ uniformly at random.
- Consider the list of numbers $x^m, x^{2m}, x^{2^2 m}, \ldots, x^{2^k m} \mod n$.

In the following group work, we'll play around with some examples.

4.1 Group work: Exploring this list of numbers

Group Work

Important: as you make progress on the question(s), one person in each room should record your progress on `http://PollEv.com/cs265`**.**
First, introduce yourselves to each other. Then work through the following questions. It might be a good idea to read each question silently and think about it on your own before coming together to confer.

In this breakout room sessions, we'll investigate the list of numbers generated according to the algorithm above.

1. Figure out how to generate these lists automatically. Here are ways you can do that.
   - Go to `http://mkwoot.pythonanywhere.com/` This just runs a python script that takes n and x and generates this list.
   - If you want to do it yourself, there's some python code at the end of this document that you can copy and paste.

Make sure that you can replicate the example we saw with $n = 21$, $x = 3$. You should get $12, 18, 9$.

2. Suppose that n is prime. What should you get as the *last* number generated in this list? (Hint, Fermat's little theorem). Verify your answer by trying it out.
3. Suppose that n is prime. What are the possible answers you could get as the *second-to-last* number generated in this list? (Hint, Proposition 1). Verify your answer by trying it out.
4. Suppose that $n = 561$. (Recall that this is the first Carmichael number—so it's not prime, but for any x with $gcd(x, n) = 1$, we have $x^{n-1} = 1 \mod n$.)
   - Choose $x = 23$. What do you get? Why does this answer prove to you that n is not prime? (Hint, previous question).
   - Choose $x = 13$. What do you get? Why does this answer prove to you that n is not prime? (Hint, Prop. 1).
   - Choose $x = 63$. What do you get? Why does this answer prove to you that n is not prime? (Hint, Fermat's little theorem).
   - Choose $x = 458$. Does this answer prove to you that n is not prime? Why or why not?
5. If you have time, come up with a candidate randomized algorithm to test primality based on these observations.

Group Work: Solutions

1. Works for me!
2. The last number will be 1, by Fermat's little theorem.
3. The second-to-last number can only be $\pm 1 \mod n$. This is because the second-to-last number, squared, gives us the last number, which is 1 by the first part. But if n is prime, then the only numbers in $\{1, \ldots, n-1\}$ so that $a^2 = 1 \mod n$ are $a = \pm 1$.
4.
   - For $x = 23$, we get [386, 331, 166, 67, 1]. This proves that n is *not* prime, since the second-to-last element is neither 1 nor $-1 \mod n$.
   - For $x = 13$, we get [208, 67, 1, 1, 1]. This proves that n is not prime, since $67^2 = 1$, but if n were prime then only 1 and 560 could square to 1.
   - For $x = 63$, we get [351, 342, 276, 441, 375]. This proves that n is not prime since $63^{n-1} \neq 1 \mod n$, so it violates Fermat's test.[a]
   - For $x = 458$, we get [560, 1, 1, 1, 1]. This is consistent with n being prime—we can't rule it out.

[a]You might be thinking...but I thought that the point of Carmichael numbers was that they did not fail Fermat's test?? That's true for numbers $x \in \mathbb{Z}_n^*$, but this x is not relatively prime to 561, so it can still fail Fermat's test.

4.2 Developing the Miller-Rabin Test

[On slides]

4.3 Group Work: Analyzing the Miller-Rabin Test

Now that we know the Miller-Rabin Test, we want to analyze it. The relevant part of the test[1] is:

Relevant part of Miller-Rabin Test

- Generate the list of k numbers as above.
- If the last number is not 1, output "composite!"
- If there's a number that's *not* equal to ± 1, but the next number is equal to 1, output "composite!"
- Otherwise, output "prime!"

[On slides, we will define the following set and make the following claims.]
Recall that we defined

$$S = \left\{ y \in \mathbb{Z}_n^* \, : \, y^{2^b m} = \pm 1 \mod n \right\},$$

where b is the largest value $i < k$ so that there exists an $x^{2^i m} = \pm 1 \mod n$. We made the following claims:

- Claim 1: For any x so that the algorithm says "Prime!", $x \in S$.
- Claim 2: S is a subgroup of $\mathbb{Z}_n^*$.
- Claim 3: If n is odd, composite, and not a prime power, $S \neq \mathbb{Z}_n^*$.

Group Work

Important: as you make progress on the question(s), one person in each room should record your progress on `http://PollEv.com/cs265`**.**

Assuming Claims 1,2, and 3, prove the following:

If n is odd, composite, and not a prime power, the algorithm above says "Composite!" with probability at least $1/2$.

[1]See lecture notes for the actual algorithm

(We have already waved our hands about this in class. What you're supposed to be doing now is explaining to each other and trying to write down a proof to make sure that all the pieces fit together.)

Hint: Recall that if S is a proper subgroup of $\mathbb{Z}_n^*$, then by Lagrange's theorem, $|S| \leq \frac{|\mathbb{Z}_n^*|}{2}$.

Once you are done **please fill out the pollEverywhere.**

If you have finished and other groups are still working, think about the following:

- Prove Proposition 1. (Hint: use the fact that $\mathbb{Z}_n^*$ is cyclic).
- Prove Claim 3. (Disclaimer: this is tricky!) (Hint: by the assumptions on n, we can write $n = s \cdot t$ where s and t are relatively prime. The *Chinese Remainder Theorem* implies the following. For any s and t that are relatively prim that for any $x \in \mathbb{Z}_n^*$, there exists a $y \in \mathbb{Z}_n^*$ so that $y = x \mod s$ and $y = 1 \mod t$. Show that such a y satisfies $y \in \mathbb{Z}_n^*$ but $y \notin S$.)

Group Work: Solutions

Suppose that n is odd, composite, and not a prime power. If the algorithm says "Prime!" then Claim 1 implies that $x \in S$. But Claims 2 and 3 together with Lagrange's theorem imply that $|S| \leq |\mathbb{Z}_n^*|/2$. Thus, if we choose x at random, the probability that the algorithm says "Prime!" is at most $1/2$.

5 Useful Code

Python code to generate the list of numbers, given x and n:

```
def generateList(n,x):
        # generate k and m
        k = 0
        m = n-1
        while m % 2 == 0:
                k += 1
                m = m/2
        # now generate x^m, x^(2m), x^(4m), ..., x^(2^k m) mod n
        ret = []
        for i in range(k+1):
                y = x**int(m) % n
                for j in range(i):
                        y = y**2 % n
                ret.append(int(y))
        return ret
```