Due: January 19 (Wednesday) at 11am (Pacific Time)

Please follow the homework policies on the course website.

1. (7 pt.) [Gotta catch 'em all?]

Let M be an unknown set of molecules of size |M| = n that are all present in a liquid solution. You want to identify the set M using an experiment. One run of your experiment on the solution can identify and output a uniformly random molecule from the set M. You can conduct multiple experiments on this solution. Assume that the result of each experiment is independent of the others.

- (a) (1 pt.) Give the best lower bound you can to the expected number of experiments you must run to identify all the n distinct molecules in M. To identify a molecule, it must appear as the output of at least one experiment. Use big Omega notation to report a simple answer.
- (b) (4 pt.) Suppose the set M of molecules is structured enough for the following to be possible. If you know any 0.99n of the items in M, you can infer the other 0.01n. Thus you will stop conducting experiments after identifying 0.99n distinct molecules. What is the expected number of experiments? Show your work and use big O notation to report a simple answer.

[**HINT:** Linearity of expectation is still your friend.]

(c) (2 pt.) Solution A contains molecules from a set S of size n. However, S has no helpful structure. To learn S from Solution A, you use Strategy 1.

Strategy 1: Run experiments on Solution A until each of the n molecules of S has been observed as the output of an experiment at least once.

On the other hand, Solution B contains molecules from a different and larger set S'. |S'| = 10n and one can infer the set S from S'. Moreover, S' is nicely structured. You can infer S' from any of its subsets of size 9.9n. To learn S from Solution B, you use Strategy 2.

Strategy 2:

- i. Run experiments on Solution B until at least 9.9n distinct molecules have appeared as the output of an experiment at least once each.
- ii. Infer the set S from the subset of S' of size 9.9n you now know.

Your goal is to find the set S and minimize the expected number of experiments you need to run. Do you choose Strategy 1 or 2?¹ Provide a sentence or two of justification for your answer.

(d) (0 pt.) [Optional: this won't be graded.]

Can you strengthen the argument for your answer to part (c) by coming up with high probability statements for parts (a) and (b) rather than statements in expectation? [**HINT:** *Try to compute an appropriate variance and use Chebyshev's inequality*]

¹This scenario is less contrived than you might think, and features in systems where information is stored in DNA. In these systems, enlarging the set from S to S' corresponds to using an error-correcting-code to add redundancy.

2. (12 pt.) [Tightness of Markov's and Chebyshev's Inequalities]

- (a) (4 pt.) Show that Markov's inequality is tight. Specifically, for each value c > 1, describe a distribution D_c supported on non-negative real numbers such that if the random variable X is drawn according to D_c then 1) $\mathbb{E}[X] > 0$ and 2) $\Pr[X \ge c\mathbb{E}[X]] = 1/c$.
- (b) (4 pt.)Show that Chebyshev's inequality is tight. Specifically, for each value c > 1, describe a distribution D_c supported on real numbers such that if the random variable X is drawn according to D_c then 1) $\mathbb{E}[X] = 0$ and $\operatorname{Var}[X] = 1$ and 2) $\Pr[|X \mathbb{E}[X]| \ge c\sqrt{\operatorname{Var}[X]}] = 1/c^2$.
- (c) (4 pt.) [One-sided version of Chebyshev's Inequality] Prove a one-sided bound on the distribution of a random variable X given its variance. That is, if $\operatorname{Var}[X] = 1$, what the best upper bound on $\Pr[X \mathbb{E}[X] \ge t]$? Give your answer in terms of t. Prove your bound (a) is true and (b) is tight by coming up with a variable X with distribution D_t and variance 1 for which $\Pr[X \mathbb{E}[X] \ge t]$ equals your answer.
- 3. (0 pt.) [This whole problem is optional and will not be graded.] In this problem, you'll analyze a different primality test than we saw in class. This one is called the *Agrawal-Biswas Primality test*.

Given a degree d polynomial p(x) with integer coefficients, for any polynomial q(x) with integer coefficients, we say $q(x) \equiv t(x) \mod (p(x), n)$ if there exists some polynomial s(x) such that $q(x) = s(x) \cdot p(x) + t(x) \mod n$. (Here, we say that $\sum_i c_i x^i = \sum_i c'_i x^i \mod n$ if and only if $c_i = c'_i \mod n$ for all i.) For example, $x^5 + 6x^4 + 3x + 1 \equiv 3x + 1 \mod (x^2 + x, 5)$, since $(x^3)(x^2 + x) + (3x + 1) = x^5 + x^4 + 3x + 1 \equiv x^5 + 6x^4 + 3x + 1 \mod 5$.

Agrawal-Biswas Primality Test.

Given n:

- If n is divisible by 2,3,5,7,11, or 13, or is a perfect power (i.e. $n = c^r$ for integers c and r) then output **composite**.
- Set d to be the smallest integer greater than $\log n$, and choose a random degree d polynomial with leading coefficient 1:

$$r(x) = x^{d} + c_{d-1}x^{d-1} + \ldots + c_{1}x + c_{0},$$

by choosing each coefficient c_i uniformly at random from $\{0, 1, \ldots, n-1\}$.

• If $(x+1)^n \equiv x^n + 1 \mod (r(x), n)$ then output **prime**, else output **composite**.

Consider the following theorem (you can assume this if you like, or for even more optional work, try to prove it!):

Theorem 1 (Polynomial version of Fermat's little theorem).

- If n is prime, then for any integer a, $(x-a)^n = x^n a \mod n$.
- If n is not prime and is not a power of a prime, then for any a s.t. gcd(a,n) = 1 and any prime factor p of n, $(x-a)^n \neq x^n a \mod p$.

First, show that if n is prime, then the Agrawal-Biswas primality test will always return **prime**.

Now, we will prove that if n is composite, the probability over random choices of r(x) that the algorithm successfully finds a witness to the compositeness of n (and hence returns **composite**) is at least $\frac{1}{4d}$.

(a) Using the polynomial version of Fermat's Little Theorem, and the fact that, for prime q, every polynomial over \mathbb{Z}_q that has leading coefficient 1 (i.e. that is "monic") has a unique factorization into irreducible monic polynomials, prove that the number of irreducible degree d factors that the polynomial $(x + 1)^n - (x^n + 1)$ has over \mathbb{Z}_p is at most n/d, where p is any prime factor of n. (A polynomial is irreducible if it cannot be factored, for example $x^2 + 1 = (x + 1)(x + 1) \mod 2$ is not irreducible over \mathbb{Z}_2 , but $x^2 + 1$ is irreducible over \mathbb{Z}_3 .)

[HINT: Even though this question sounds complicated, the proof is just one line...]

(b) Let f(d, p) denote the number of irreducible monic degree d polynomials over \mathbb{Z}_p . Prove that if n is composite, and not a power of a prime, the probability that r(x) is a witness to the compositeness of n is at least $\frac{f(d,p)-n/d}{p^d}$, where p is a prime factor of n.

[HINT: p^d is the total number of monic degree d polynomials over \mathbb{Z}_p .]

(c) Now complete the proof, and prove that the algorithm succeeds with probability at least 1/(4d), leveraging the fact that the number of irreducible monic polynomials of degree d over \mathbb{Z}_p is at least $p^d/d - p^{d/2}$. (You should be able to prove a much better bound, though 1/4d is fine.)

[HINT: You will also need to leverage the fact that we chose $d > \log n$ and also explicitly made sure that n has no prime factors less than 17.]