CS110 Lecture 8: Pipes and Interprocess Communication, Part 1

CS110: Principles of Computer Systems

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Stanford University

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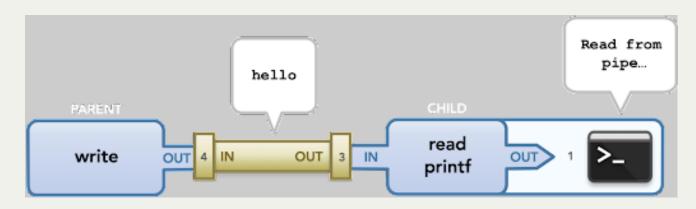
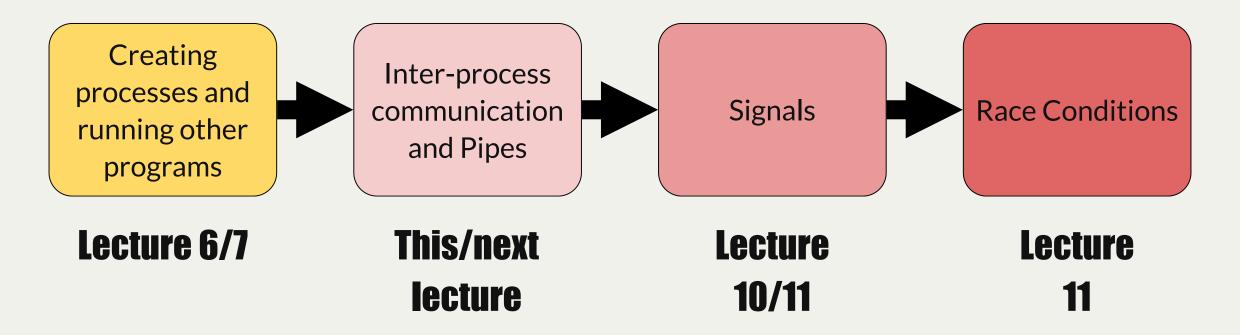


Illustration courtesy of Roz Cyrus.



CS110 Topic 2: How can our program create and interact with other programs?

Learning About Processes



assign3: implement multiprocessing programs like "trace" (to trace another program's behavior) and "farm" (parallelize tasks)

assign4: implement your own shell!

Learning Goals

- Get more practice with using fork() and execvp
- Learn about **pipe** and **dup2** to create and manipulate file descriptors
- Use pipes to redirect process input and output

Lecture Plan

- Review: our first shell
- Running in the background
- Introducing Pipes
 - What are pipes?
 - Pipes between processes

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fork()

- A system call that creates a new child process
- The "parent" is the process that creates the other "child" process
- From then on, both processes are running the code after the fork
- The child process is *identical* to the parent, except:
 - it has a new Process ID (PID)
 - for the parent, fork() returns the PID of the child; for the child, fork() returns 0
 - fork() is called once, but returns twice

```
1 pid_t pidOrZero = fork();
2 // both parent and child run code here onwards
3 printf("This is printed by two processes.\n");
```

waitpid()

A function that a parent can call to wait for its child to exit:

```
pid_t waitpid(pid_t pid, int *status, int options);
```

- pid: the PID of the child to wait on, or -1 to wait on any of our children
- **status:** where to put info about the child's termination (or NULL)
- **options:** optional flags to customize behavior (always 0 for now)

The function returns when the specified **child process** exits.

- the return value is the PID of the child that exited, or -1 on error (e.g. no child to wait on)
- If the child process has already exited, this returns immediately otherwise, it blocks
- It's important to wait on all children to clean up system resources

execvp()

execvp is a function that lets us run another program in the current process.

```
int execvp(const char *path, char *argv[]);
```

It runs the executable at the specified <u>path</u>, completely cannibalizing the current process.

- If successful, execvp never returns in the calling process
- If unsuccessful, execvp returns -1

To run another executable, we must specify the (NULL-terminated) arguments to be passed into its **main** function, via the <u>argv</u> parameter.

• For our programs, path and argv[0] will be the same

execvp has many variants (execle, execlp, and so forth. Type man execvp for more). We rely on execvp in CS110.

Revisiting mysystem

mysystem is our own version of the built-in function system.

- It takes in a terminal command (e.g. "ls -l /usr/class/cs110"), executes it in a separate process, and returns when that process is finished.
 - We can use fork to create the child process
 - We can use execvp in that child process to execute the terminal command
 - We can use waitpid in the parent process to wait for the child to terminate

Revisiting first-shell

```
1 int main(int argc, char *argv[]) {
       char command[kMaxLineLength];
       while (true) {
           printf("> ");
           fgets(command, sizeof(command), stdin);
           // If the user entered Ctl-d, stop
           if (feof(stdin)) {
               break;
12
           // Remove the \n that fgets puts at the end
           command[strlen(command) - 1] = '\0';
13
14
15
           int commandReturnCode = mysystem(command);
           printf("return code = %d\n", commandReturnCode);
16
17
18
19
       printf("\n");
20
       return 0;
21 }
```



Our **first-shell** program is a loop in **main** that parses the user input and passes it to **mysystem**.

Revisiting first-shell

```
1 static int mysystem(char *command) {
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           char *arguments[] = {"/bin/sh", "-c", command, NULL};
           execvp(arguments[0], arguments);
           // If the child gets here, there was an error
 6
           exitIf(true, kExecFailed, stderr, "execvp failed to invoke this: %s.\n", command);
 8
10
       // If we are the parent, wait for the child
11
       int status;
12
       waitpid(pidOrZero, &status, 0);
13
       return WIFEXITED(status) ? WEXITSTATUS(status) : -WTERMSIG(status);
14 }
```

first-shell Takeaways

- A shell is a program that repeats: read command from the user, execute that command
- In order to execute a program and continue running the shell afterwards, we fork off another process and run the program in that process
- We rely on **fork**, **execvp**, and **waitpid** to do this!
- Real shells have more advanced functionality that we will add going forward.
- For your fourth assignment, you'll build on this with your own shell, **stsh** ("Stanford shell") with much of the functionality of real Unix shells.

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Shells usually also let you run a command in the background by adding "&" at the end:

- e.g. sort myfile.txt & create a sort process and run it in the background
- only difference is specifying & with command
- shell immediately re-prompts the user
- process doesn't know "foreground" vs. "background"; the "&" just specifies whether or not the shell waits

Let's make an updated version of mysystem called executeCommand.

- Takes an additional parameter bool inBackground
 - If false, same behavior as mysystem (spawn child, execvp, wait for child)
 - If true, spawn child, execvp, but don't wait for child

```
1 static void executeCommand(char *command, bool inBackground) {
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           // If we are the child, execute the shell command
           char *arguments[] = {"/bin/sh", "-c", command, NULL};
           execvp(arguments[0], arguments);
           // If the child gets here, there was an error
           exitIf(true, kExecFailed, stderr, "execvp failed to invoke this: %s.\n", command);
10
11
       // If we are the parent, either wait or return immediately
12
       if (inBackground) {
13
           printf("%d %s\n", pidOrZero, command);
14
       } else {
15
           waitpid(pidOrZero, NULL, 0);
16
17 }
```

```
1 static void executeCommand(char *command, bool inBackground) {
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          char *arguments[] = {"/bin/sh", "-c", command, NULL};
          execvp(arguments[0], arguments);
          exitIf(true, kExecFailed, stderr, "execvp failed to invoke this: %s.\n", command);
      if (inBackground) {
          printf("%d %s\n", pidOrZero, command);
          waitpid(pidOrZero, NULL, 0);
```

<u>Line 1:</u> Now, the caller can optionally run the command in the background.



```
1 static void executeCommand(char *command, bool inBackground) {
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           char *arguments[] = {"/bin/sh", "-c", command, NULL};
           execvp(arguments[0], arguments);
           exitIf(true, kExecFailed, stderr, "execvp failed to invoke this: %s.\n", command);
11
       // If we are the parent, either wait or return immediately
12
       if (inBackground) {
13
           printf("%d %s\n", pidOrZero, command);
14
       } else {
15
           waitpid(pidOrZero, NULL, 0);
16
```

<u>Lines 11-16:</u> The parent waits on a foreground child, but not a background child.

```
main(int argc, char *argv[]) {
       char command[kMaxLineLength];
            fgets(command, sizeof(command), stdin);
           if (feof(stdin)) {
           command[strlen(command) - 1] = '\0';
15
           if (strcmp(command, "quit") == 0) break;
16
           bool isbg = command[strlen(command) - 1] == '&';
17
18
           if (isbq) {
                command[strlen(command) - 1] = ' \setminus 0';
19
20
21
22
           executeCommand(command, isbg);
       printf("\n");
       return 0;
```

In main, we add two additional things:

- Check for the "quit" command to exit
- Allow the user to add "&" at the end of a command to run that command in the background

Note that a background child isn't reaped!
This is a problem - one we'll learn how to fix soon.

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Is there a way that the parent and child processes can communicate?

Interprocess Communication

- It's useful for a parent process to communicate with its child (and vice versa)
- There are two key ways we will learn to do this: pipes and signals
 - Pipes let two processes send and receive arbitrary data
 - **Signals** let two processes send and receive certain "signals" that indicate something special has happened.

Interprocess Communication

- It's useful for a parent process to communicate with its child (and vice versa)
- There are two key ways we will learn to do this: pipes and signals
 - Pipes let two processes send and receive arbitrary data
 - **Signals** let two processes send and receive certain "signals" that indicate something special has happened.

Pipes

- How can we let two processes send arbitrary data back and forth?
- A core Unix principle is modeling things as files. Could we use a "file"?
- Idea: a file that one process could write, and another process could read?
- **Problem:** we don't want to clutter the filesystem with actual files every time two processes want to communicate.
- Solution: have the operating system set this up for us.
 - It will give us two new file descriptors one for writing, another for reading.
 - If someone writes data to the write FD, it can be read from the read FD.
 - It's not actually a physical file on disk we are just using files as an abstraction

pipe()

```
int pipe(int fds[]);
```

The **pipe** system call populates the 2-element array **fds** with two file descriptors such that everything written to **fds**[1] can be read from **fds**[0]. Returns 0 on success, or -1 on error.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
 2 int main(int argc, char *argv[]) {
       int fds[2];
       int result = pipe(fds);
       // Write message to pipe (assuming here all bytes written immediately)
       write(fds[1], kPipeMessage, strlen(kPipeMessage) + 1);
       close(fds[1]);
       // Read message from pipe
       char receivedMessage[strlen(kPipeMessage) + 1];
       read(fds[0], receivedMessage, sizeof(receivedMessage));
       close(fds[0]);
14
       printf("Message read: %s\n", receivedMessage);
15
16
       return 0;
17 }
```

Message read: Hello, this message is coming through a pipe.

Tip: you learn to read before you learn to write (read = fds[0], write = fds[1]).



\$./pipe-demo

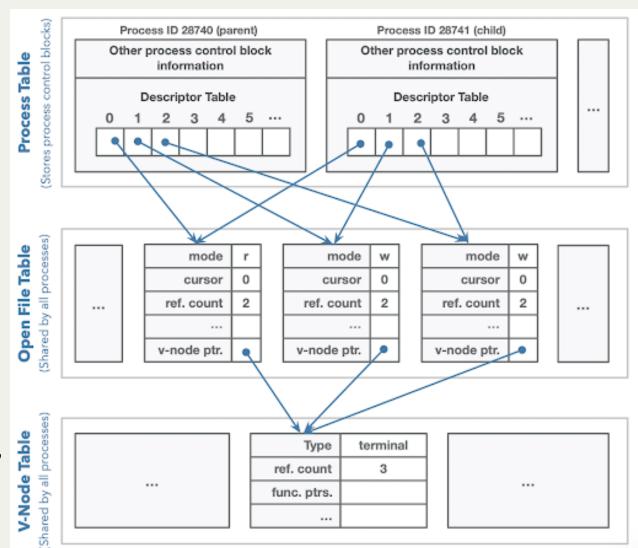
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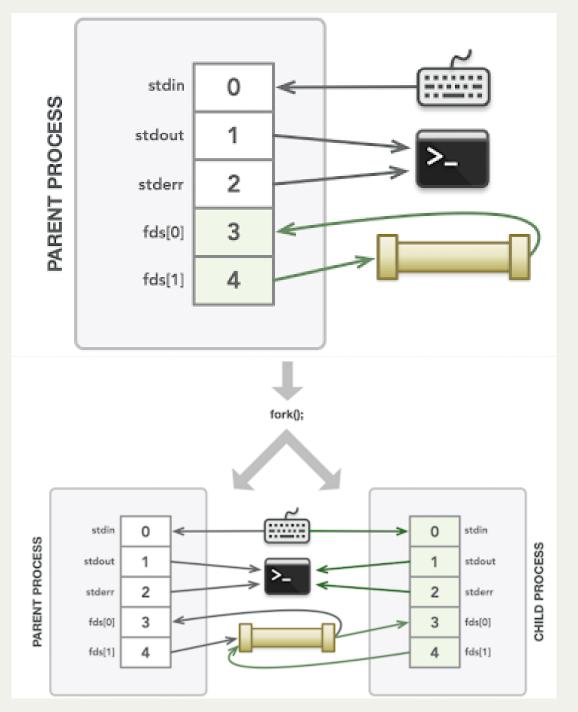
pipe()

pipe can allow processes to communicate!

- The parent's file descriptor table is replicated in the child - both have pipe access (increasing reference counts in open file table)
- E.g. the parent can write to the "write" end and the child can read from the "read" end
- Because they're file descriptors, there's no global name for the pipe (another process can't "connect" to the pipe).
- Each pipe is uni-directional (one end is read, the other write)



Let's write a program where the parent writes something to the pipe, and the child reads that from the pipe.



Key Idea: because the pipe file descriptors are duplicated in the child, we need to close the 2 pipe ends *in both the parent and the child.*

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
 2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           // In the child, we only read from the pipe
           close(fds[1]);
           char buffer[bytesSent];
12
           read(fds[0], buffer, sizeof(buffer));
13
           close(fds[0]);
14
           printf("Message from parent: %s\n", buffer);
15
           return 0;
16
17
18
       // In the parent, we only write to the pipe (assume everything is written)
19
       close(fds[0]);
       write(fds[1], kPipeMessage, bytesSent);
20
21
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
22
23
       return 0;
24 }
```

Here's an example program showing how pipe works across processes (full program link at bottom).

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

Make a pipe just like before.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
 2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           close(fds[1]);
           char buffer[bytesSent];
           read(fds[0], buffer, sizeof(buffer));
           close(fds[0]);
           printf("Message from parent: %s\n", buffer);
           return 0;
       close(fds[0]);
19
       write(fds[1], kPipeMessage, bytesSent);
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
       return 0;
```

The parent must close all its open FDs. It never uses the Read FD so we can close it here.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           close(fds[1]);
           char buffer[bytesSent];
           read(fds[0], buffer, sizeof(buffer));
           close(fds[0]);
           printf("Message from parent: %s\n", buffer);
           return 0;
       close(fds[0]);
20
       write(fds[1], kPipeMessage, bytesSent);
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
       return 0;
```

Write to the Write FD to send a message to the child.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           close(fds[1]);
           char buffer[bytesSent];
           read(fds[0], buffer, sizeof(buffer));
           close(fds[0]);
           printf("Message from parent: %s\n", buffer);
           return 0;
       close(fds[0]);
       write(fds[1], kPipeMessage, bytesSent);
21
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
       return 0;
```

We are now done with the Write FD so we can close it here.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

We wait for the child to terminate.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe."
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
         (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

Key Idea: when we call fork, the child gets a copy of the parent's file descriptor table. Any open FDs in the parent at the time fork is called must be closed in both the parent and the child.

This duplication means the child's file descriptor table entries point to the same open file table entries as the parent. Thus, the open file table entries for the two pipe FDs both have reference counts of 2

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

The child must close all its open FDs. It never uses the Write FD so we can close it here.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

Read from the Read FD to read the message from the parent.

Key Idea: read() blocks until the bytes are available or there is no more to read (e.g. end of file or pipe write end closed). If the parent hasn't written yet, the child's call to read() will wait.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe.";
2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           close(fds[1]);
           char buffer[bytesSent];
           read(fds[0], buffer, sizeof(buffer));
13
           close(fds[0]);
14
           printf("Message from parent: %s\n", buffer);
           return 0;
       close(fds[0]);
       write(fds[1], kPipeMessage, bytesSent);
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
       return 0;
```

We are now done with the Read FD so we can close it here. Also print the received message.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe."
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

Key Idea: the child gets a copy of the parent's file descriptor table. Any open FDs in the parent at the time fork is called must be closed in both the parent and the child.

Here, right before the **fork** call, the parent has 2 open file descriptors (besides 0-2): the pipe Read FD and Write FD.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe."
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

Key Idea: the child gets a copy of the parent's file descriptor table. Any open FDs in the parent at the time fork is called must be closed in both the parent and the child.

Therefore, when the child is spawned, it *also* has the same 2 open file descriptors (besides 0-2): the pipe Read FD and Write FD.

```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe."
2 int main(int argc, char *argv[]) {
       int fds[2];
       pipe(fds);
       size t bytesSent = strlen(kPipeMessage) + 1;
       pid t pidOrZero = fork();
       if (pidOrZero == 0) {
           close(fds[1]);
           char buffer[bytesSent];
           read(fds[0], buffer, sizeof(buffer));
           close(fds[0]);
           printf("Message from parent: %s\n", buffer);
           return 0;
       close(fds[0]);
19
       write(fds[1], kPipeMessage, bytesSent);
       close(fds[1]);
       waitpid(pidOrZero, NULL, 0);
       return 0;
```

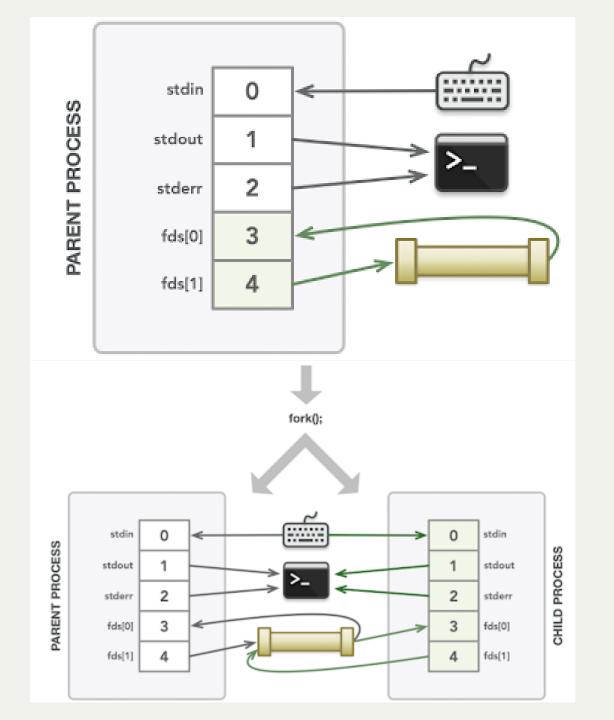
Key Idea: the child gets a copy of the parent's file descriptor table. Any open FDs in the parent at the time fork is called must be closed in both the parent and the child.

We should close FDs when we are done with them. The parent closes them here.

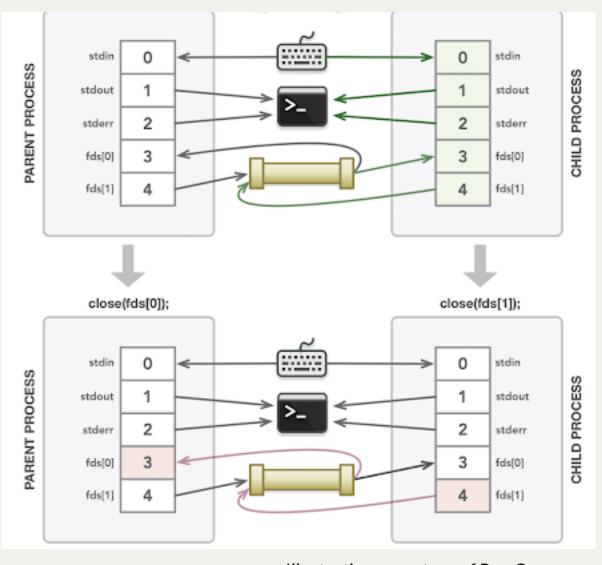
```
1 static const char * kPipeMessage = "Hello, this message is coming through a pipe."
2 int main(int argc, char *argv[]) {
      int fds[2];
      pipe(fds);
      size t bytesSent = strlen(kPipeMessage) + 1;
      pid t pidOrZero = fork();
      if (pidOrZero == 0) {
          close(fds[1]);
          char buffer[bytesSent];
          read(fds[0], buffer, sizeof(buffer));
          close(fds[0]);
          printf("Message from parent: %s\n", buffer);
          return 0;
      close(fds[0]);
      write(fds[1], kPipeMessage, bytesSent);
      close(fds[1]);
      waitpid(pidOrZero, NULL, 0);
      return 0;
```

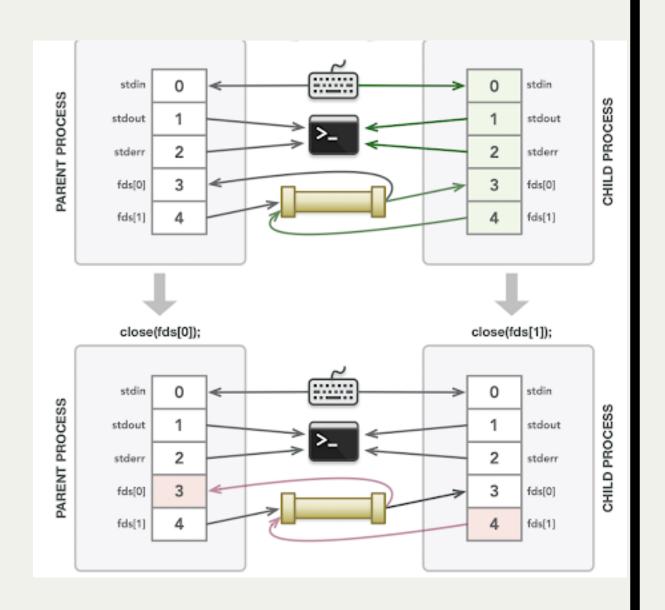
Key Idea: the child gets a copy of the parent's file descriptor table. Any open FDs in the parent at the time fork is called must be closed in both the parent and the child.

We should close FDs when we are done with them. The **child** closes them here.

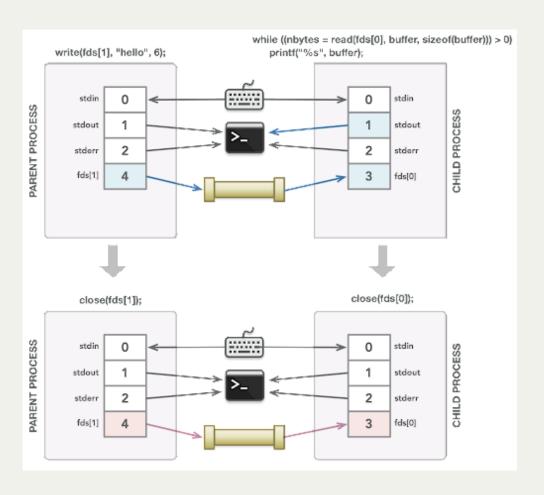


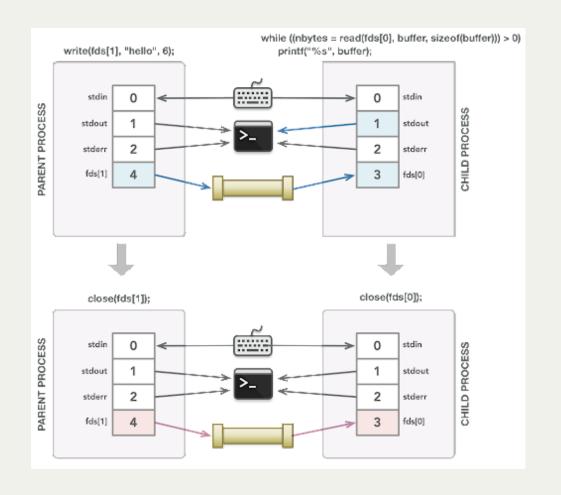
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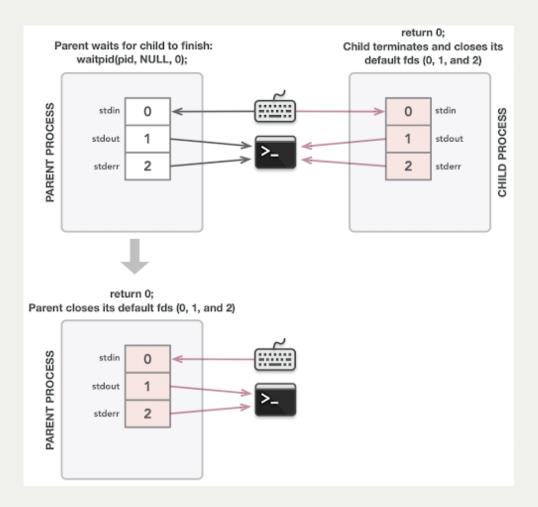


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DEMO: Parent-Child Communication



Pipes

This method of communication between processes relies on the fact that file descriptors are duplicated when forking.

- each process has its own copy of both file descriptors for the pipe
- both processes could read or write to the pipe if they wanted.
- each process must therefore close both file descriptors for the pipe when finished

This is the core idea behind how a shell can support piping between processes (e.g. cat file.txt | uniq | sort). Let's see how this works in a shell.

Lecture Recap

- Review: our first shell
- Running in the background
- Introducing Pipes
 - What are pipes?
 - Pipes between processes

Next time: more practice with pipes