

# Rasterization and Shading

# Lecture Outline

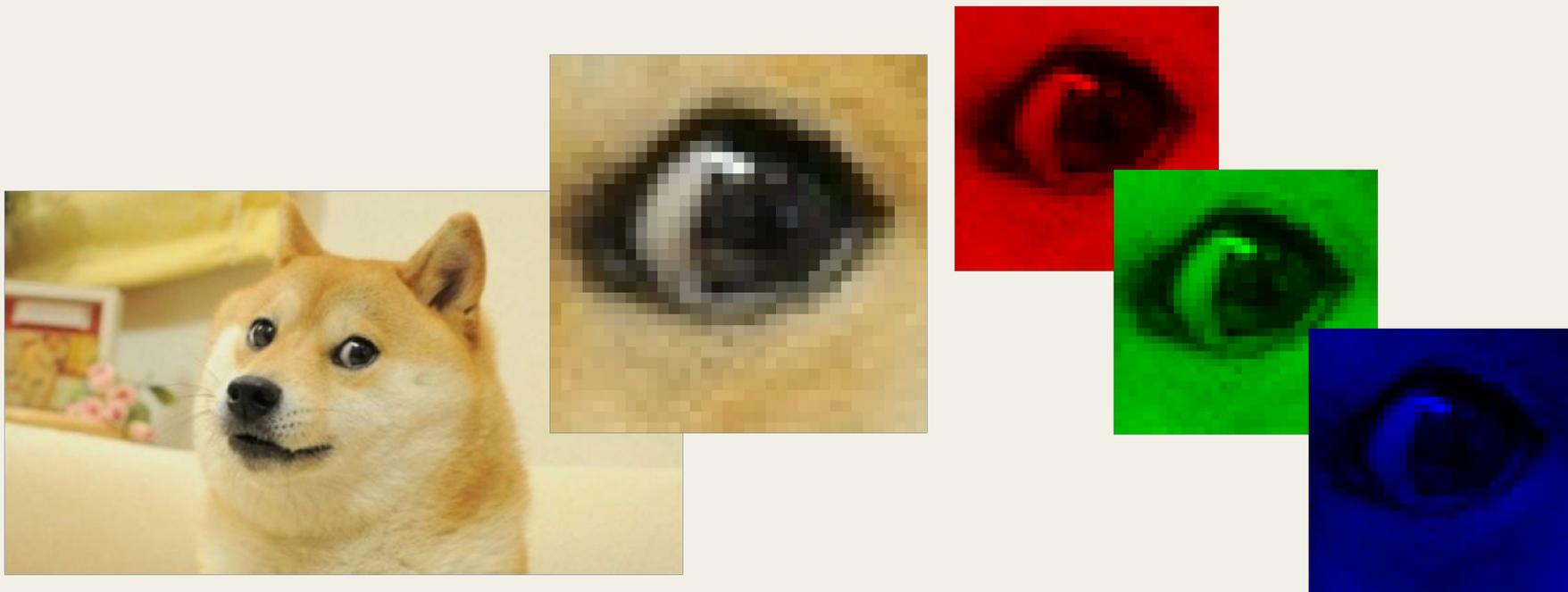
- Recall last lecture:
  - Representation of 3D geometry (meshes, splines, etc.)
- Now we have ways to store and describe objects...  
how do we make them show up on screen?

# Lecture Outline

- Image generation - the “simple/fast” version (1970s-)
  - How to draw a triangle - rasterization
  - How to color a triangle - surface normals, shading, barycentric interpolation
  - The OpenGL pipeline - vertex vs fragment shaders
  - Cameras
  - Comparison/segway to raytracing

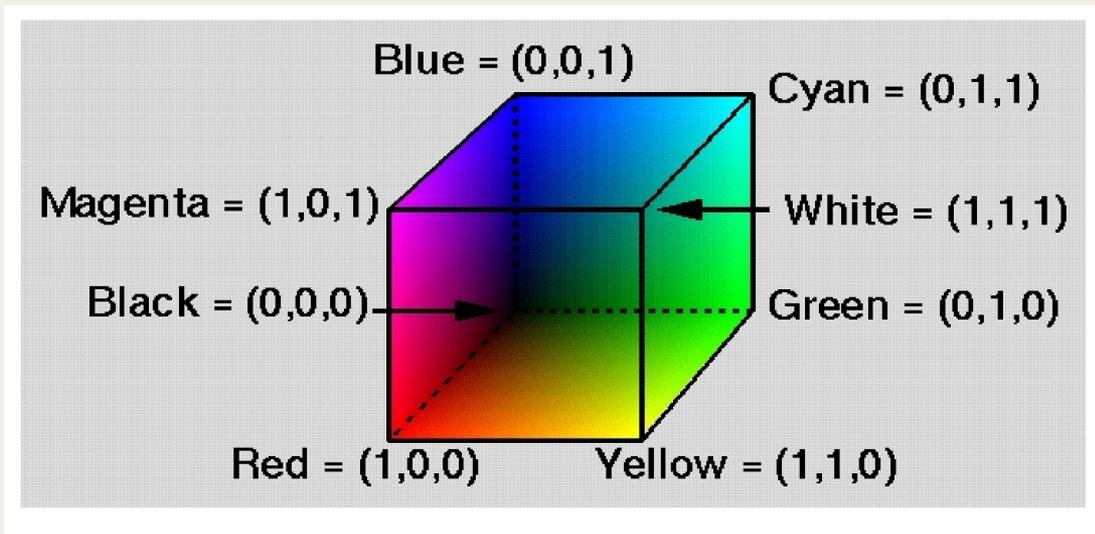
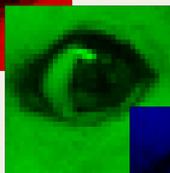
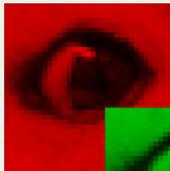
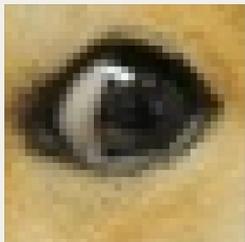
# Image Representation

- Images: represented as a 2D grid of colors (pixels)
- Often in RGB values (1 grid for each color channel)



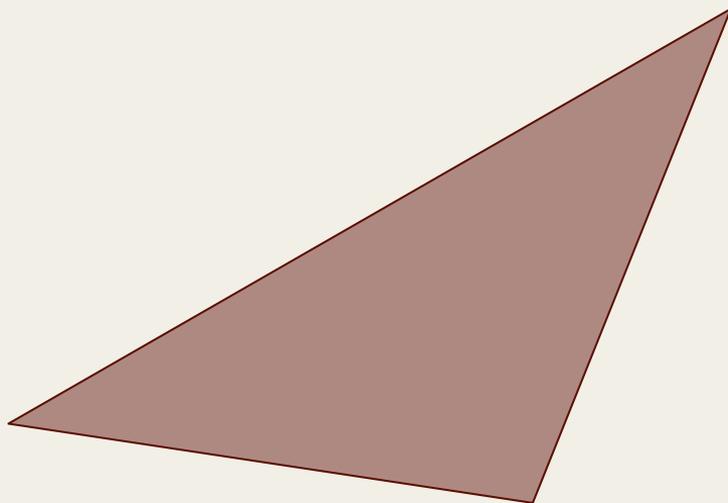
# RGB Color Space

- Each image  $I$  is a 2D spatial grid of colors where  $(0,0,0)$ =black,  $(1,1,1)$ =white
- Other color spaces exist – next lecture



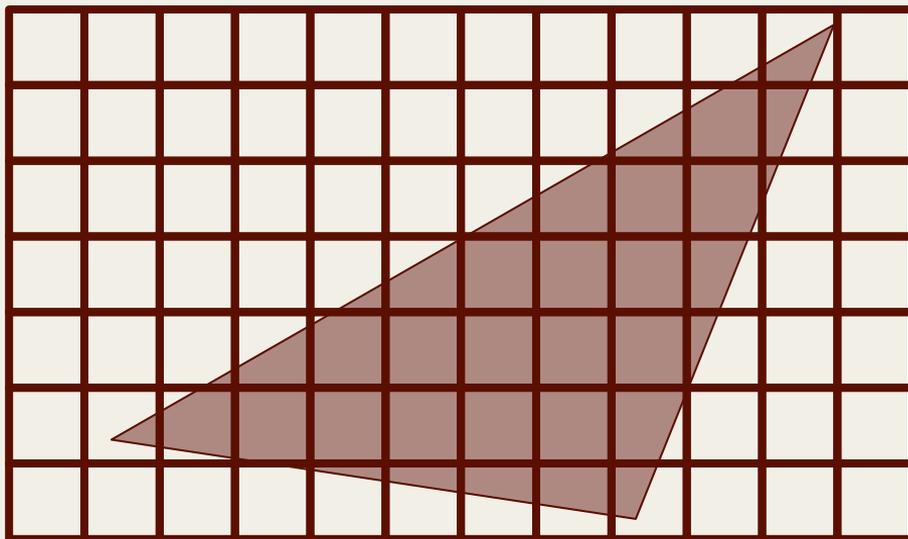
# How to draw a shape on the display?

- Triangles (recall last lecture: benefits of the triangle)



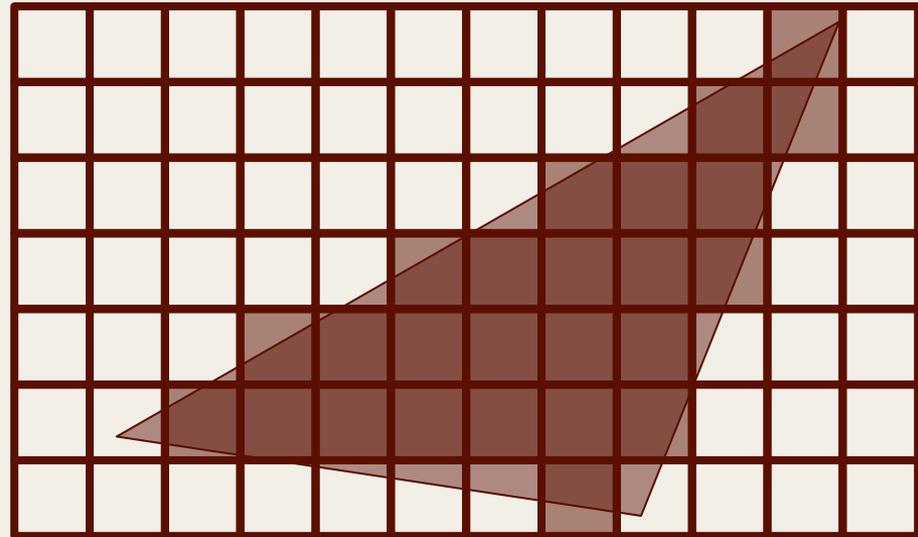
# How to draw a shape on the display?

- Triangles (recall last lecture: benefits of the triangle)
- One approach: go from “3 vertices” to RGB grid via **rasterization**



# Rasterization

- For each pixel, if the center of the pixel is inside the triangle, consider it part of the triangle (and color it with the triangle's color.)
- How to determine whether a pixel center is inside?



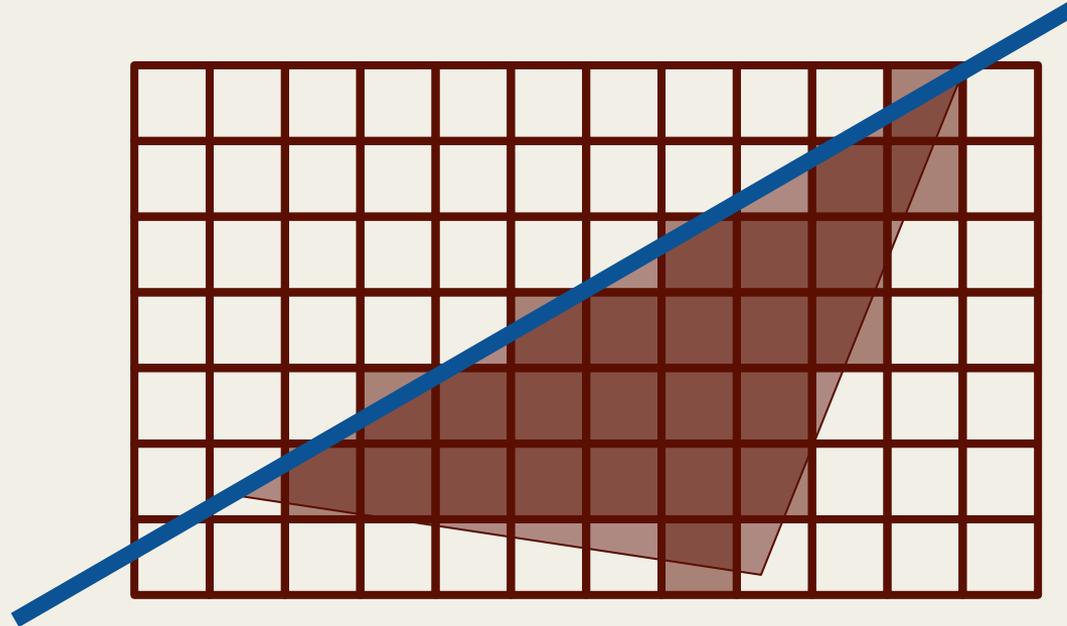
# Rasterization

- For each pixel, if the center of the pixel is inside the triangle, color it with the triangle's color.
- How to determine this?

High school algebra!

**$y=ax+b$  is also**

**$ax+b-y=0$**



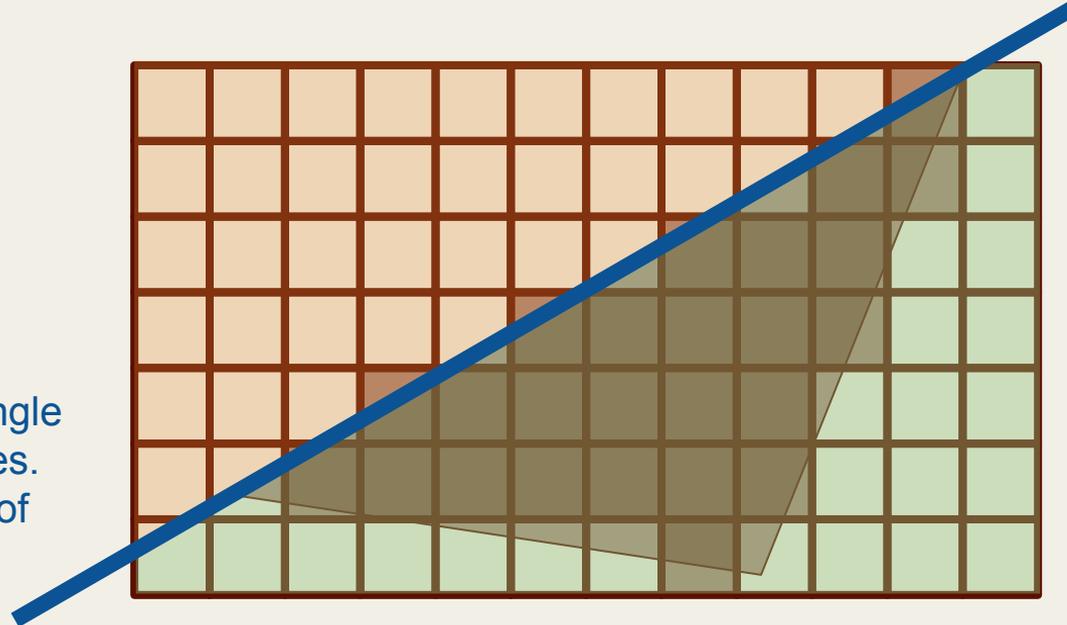
# Rasterization

- For each pixel, if the center of the pixel is inside the triangle, color it with the triangle's color.
- How to determine this?

$y=ax+b$  is also  
 $ax+b-y=0$

$ax+b-y>0$   
 $ax+b-y<0$

Each edge of the triangle  
splits space into halves.  
Take the intersection of  
3 half-planes!



# Rasterization

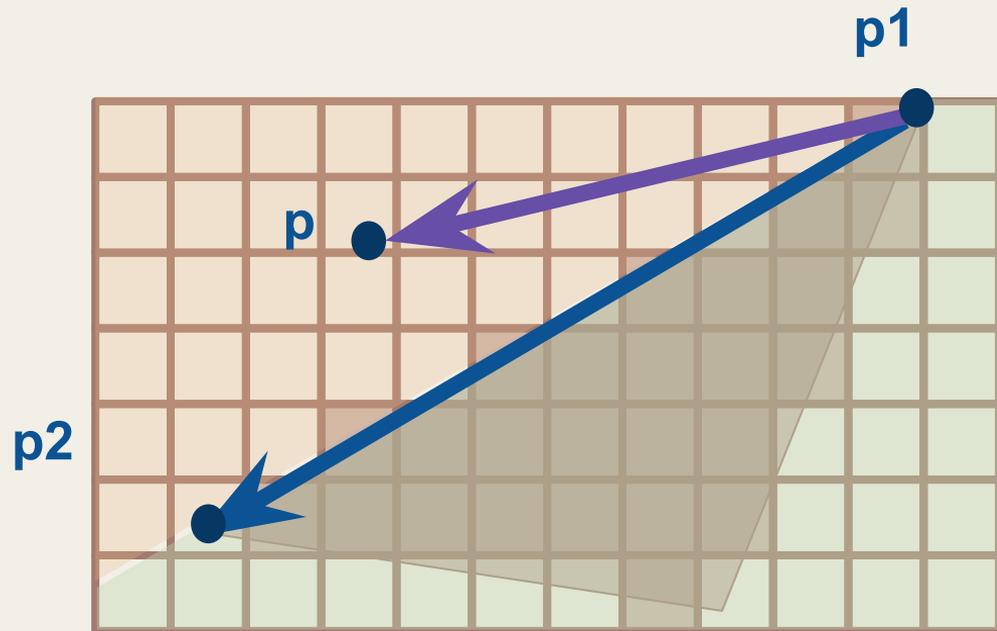
- For each pixel, if the center of the pixel is inside the triangle, color it with the triangle's color.
- How to determine this?

**more direct definition:  
use cross products!  
Remember: right-hand rule**

$$(\mathbf{p}-\mathbf{p}_1) \times (\mathbf{p}_2-\mathbf{p}_1) < 0$$

$$(\mathbf{p}-\mathbf{p}_1) \times (\mathbf{p}_2-\mathbf{p}_1) = 0$$

$$(\mathbf{p}-\mathbf{p}_1) \times (\mathbf{p}_2-\mathbf{p}_1) > 0$$



# Rasterization

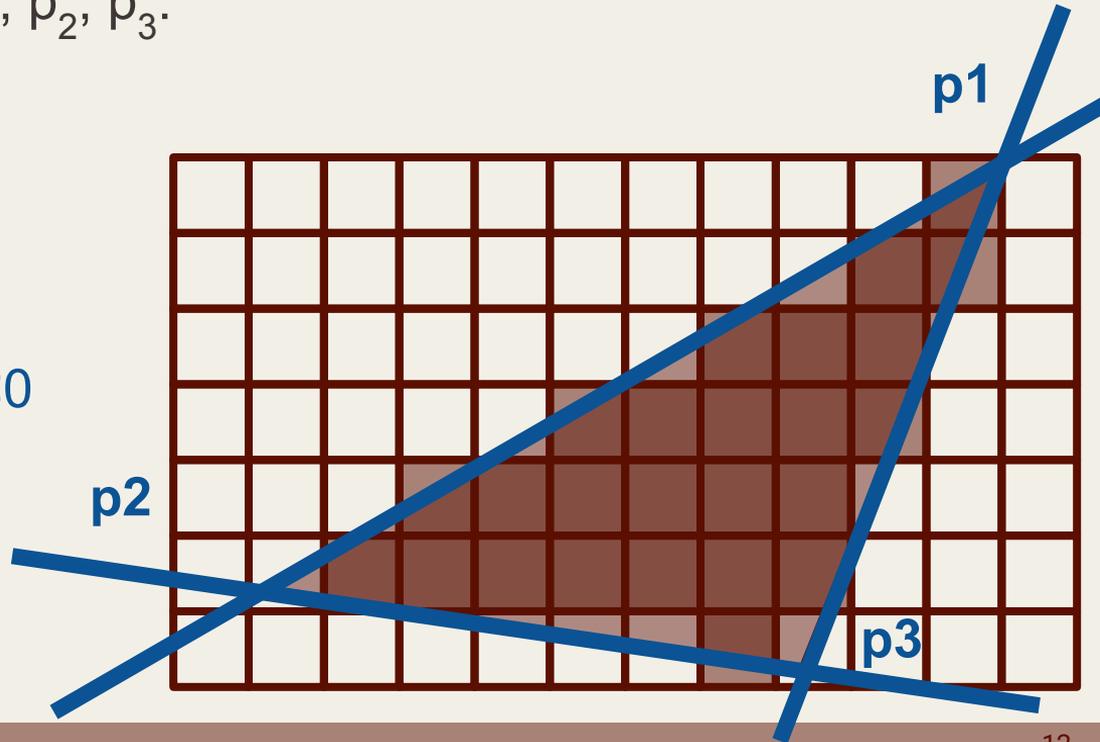
- Intersection of 3 half-planes  
Given triangle defined by  $p_1, p_2, p_3$ :

$$S1(p) = (p - p_1) \times (p_2 - p_1)$$

$$S2(p) = (p - p_2) \times (p_3 - p_2)$$

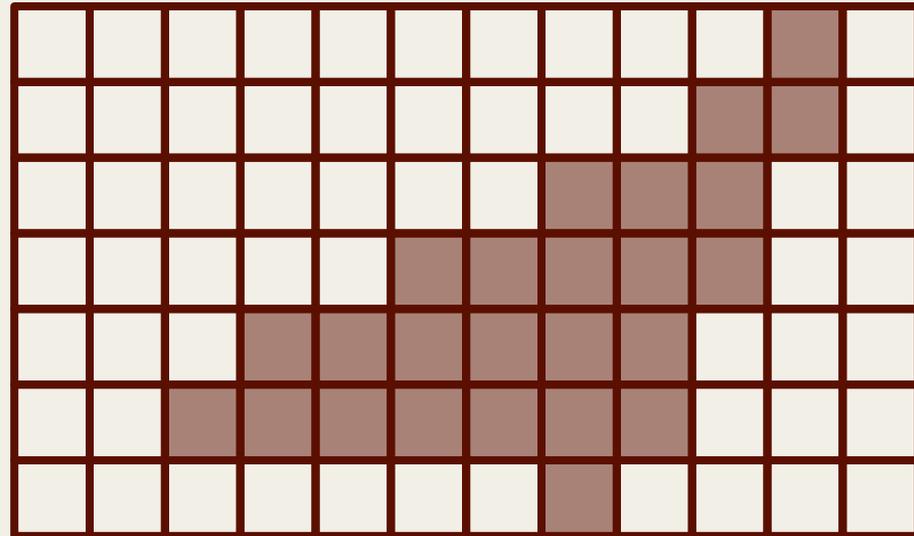
$$S3(p) = (p - p_3) \times (p_1 - p_3)$$

for all pixel centers  $p$ ,  
if  $\max(S1(p), S2(p), S3(p)) < 0$   
then  $p$  is inside triangle



# Rasterization

- Not perfect – lots of engineering details to consider
  - How to compute this most efficiently?
  - What happens when a bunch of triangles intersect at pixel center?
  - Make things less jagged, by computing how much of a pixel is within a triangle?
- We now know how to draw a 2D triangle.  
How did we get the 3D triangle to 2D in the first place?  
  
– topic of next lecture!



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  - The OpenGL pipeline - vertex vs fragment shaders
  - Cameras
  - Comparison/segway to raytracing

# How to shade a triangulated object?



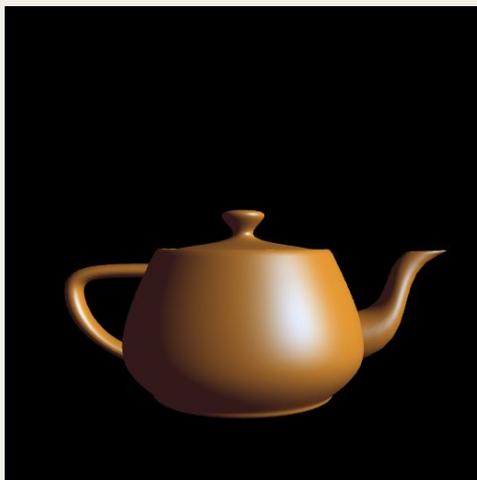
# How to shade a triangulated object?

- Fun Fact: The Utah teapot (1975) is the first implicit surface model
- University of Utah: Where a lot of graphics research first started.

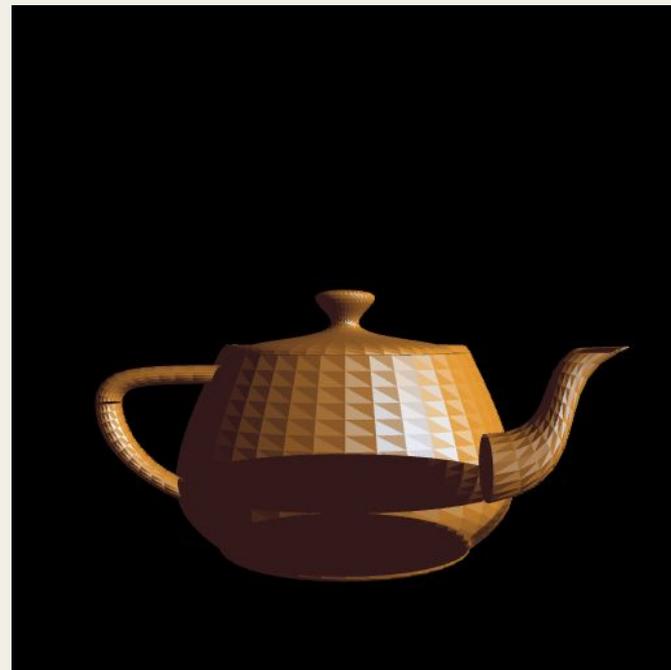
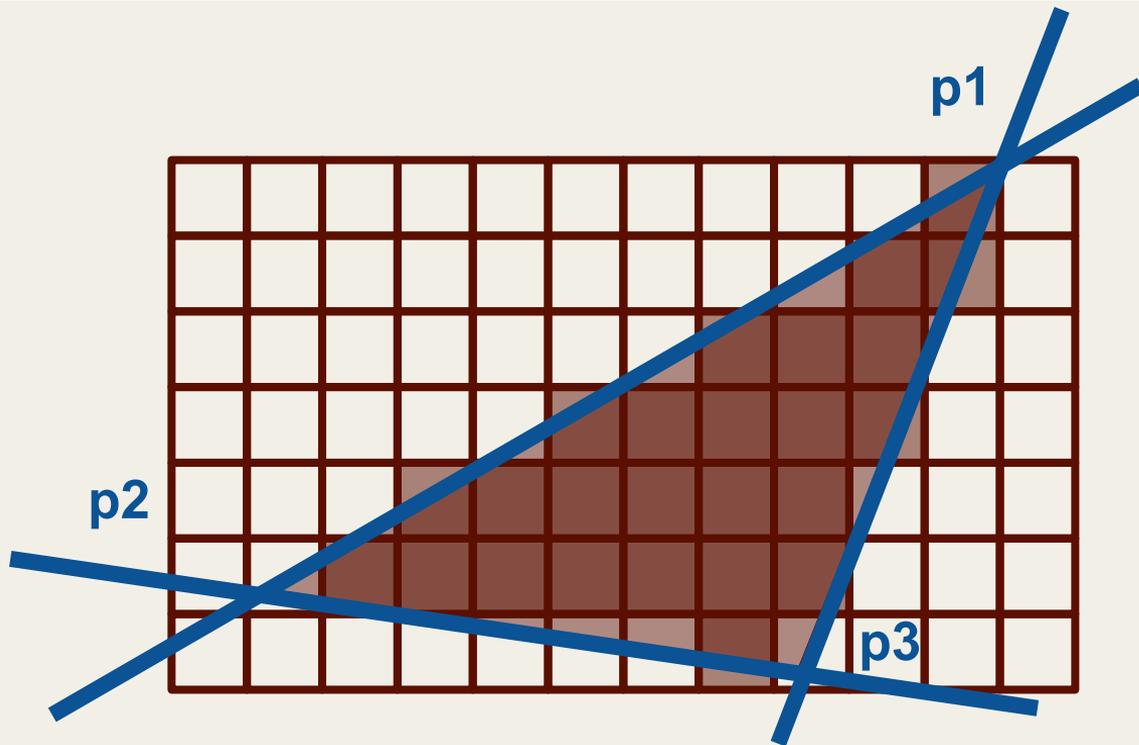
Ivan Sutherland

1962 - Sketchpad, precursor to CAD/drawing tablets

1968 - First VR headset

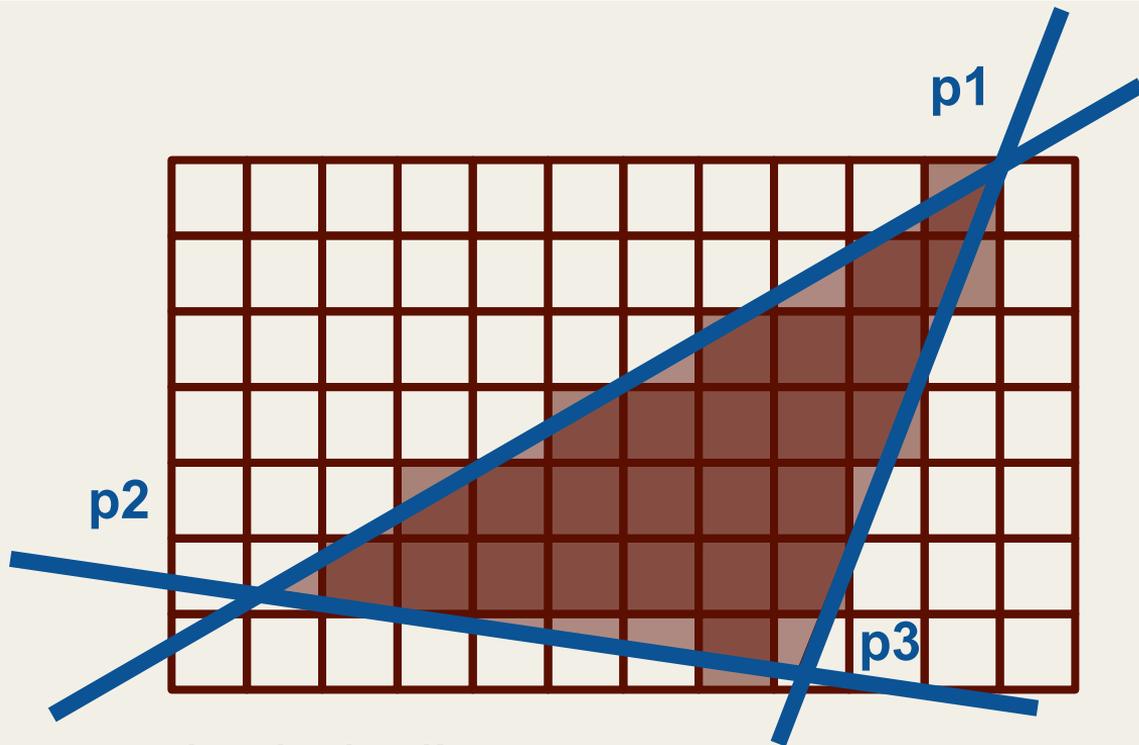


# Flat Shading



- Color every pixel inside a triangle with average of colors at vertices.

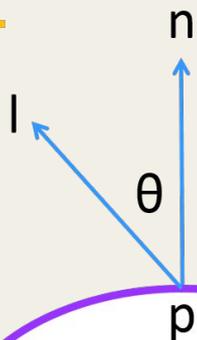
# Flat Shading



- Looks bad!
- Also storing colors at vertices is not robust – think change of light.

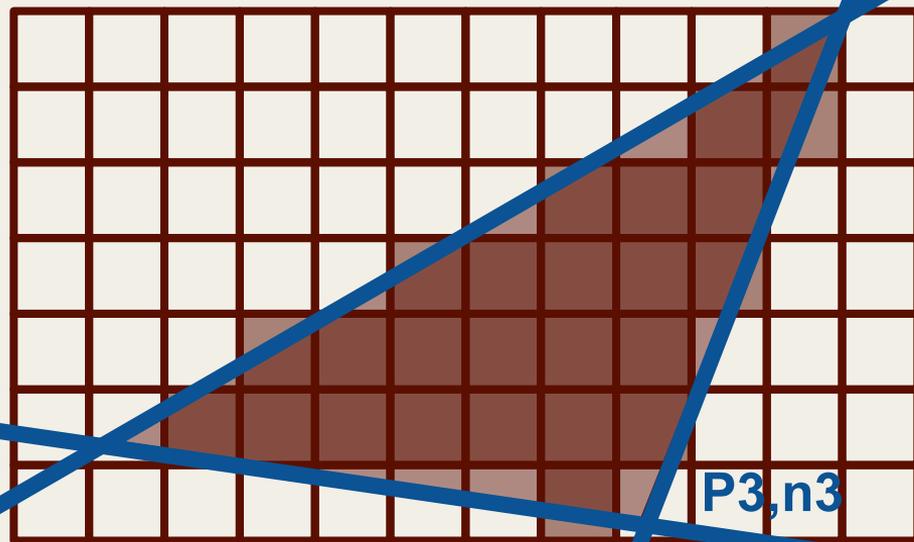
# Surface Normals

light



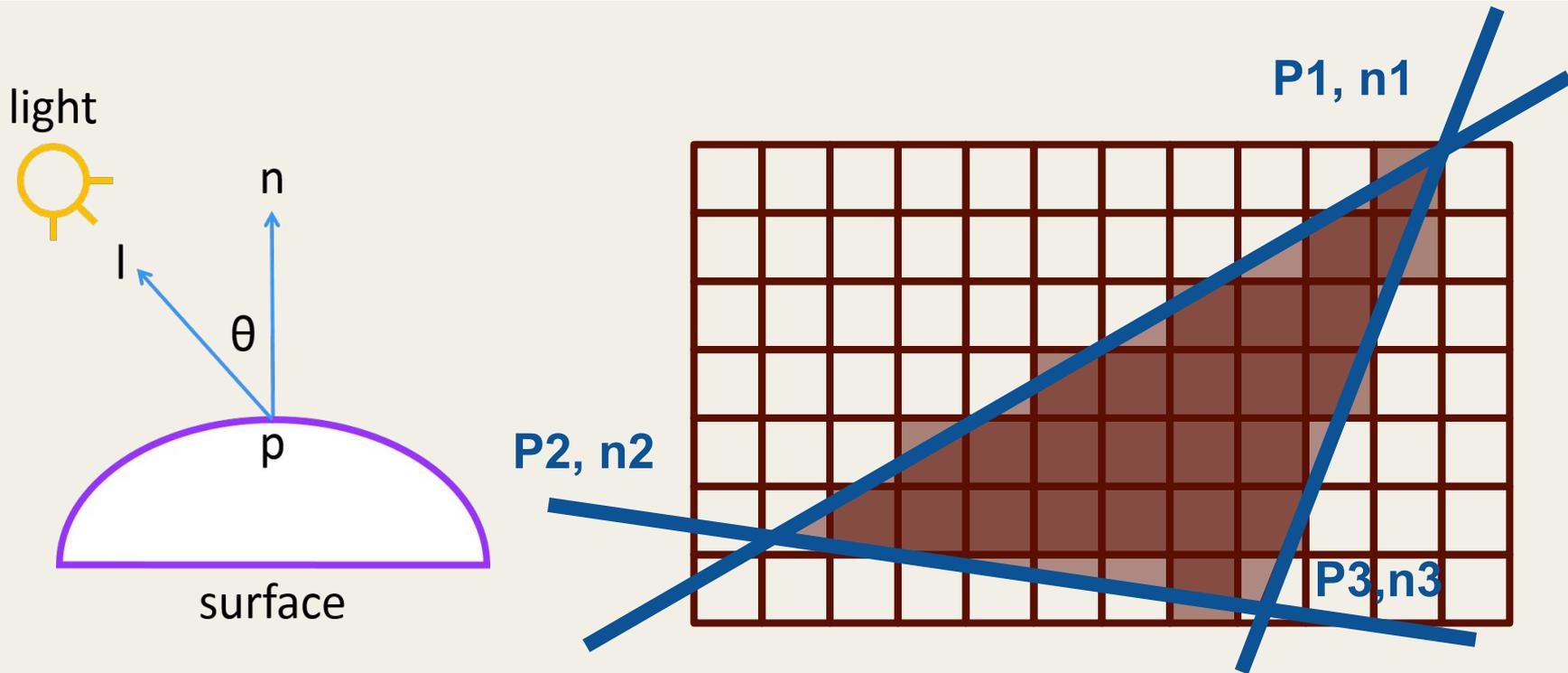
surface

$P_2, n_2$



- At each vertex, store the normal vector that points outwards from the object surface in 3D space at that vertex.

# Surface Normals



- Have  $n_1, n_2, n_3$  now in addition to  $p_1, p_2, p_3$ !
- OBJ files typically store both list of vertices and list of normals.

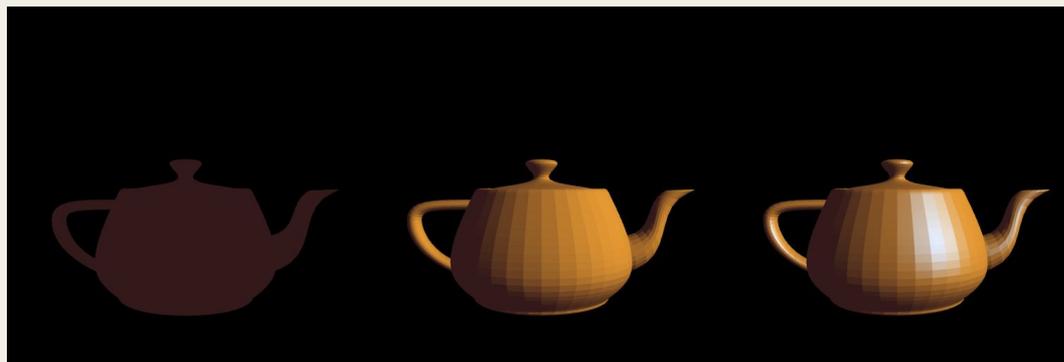
# Phong Reflection Model

Developed circa 1973 (again, at U. of Utah)  
We'll cover light/optics more rigorously later,  
but for now, let's talk heuristics!



# Phong Reflection Model

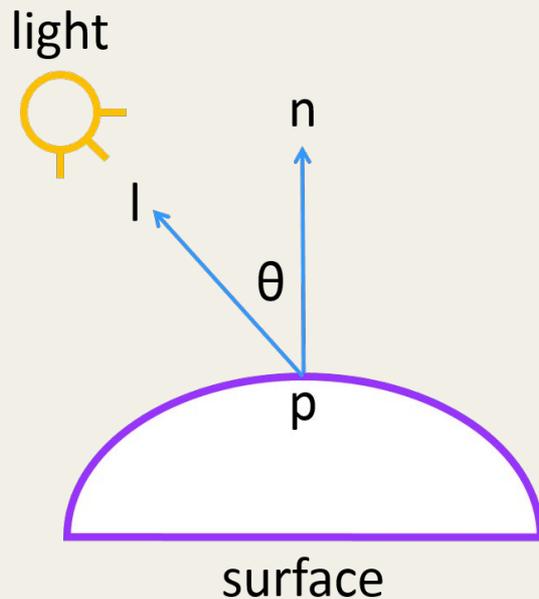
- **Ambient:** “Base color”. Light bounces around the environment. Even if you have little light, objects in shadow aren’t usually pure black.
- **Diffuse:** “Rough material”. Given the same light source, objects look brighter when hit “perpendicularly”. (Will talk about why later in Lights and Optics!)
- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.



$$C_i = \text{ambient} + \text{diffuse} + \text{specular}$$

# Phong Reflection Model - Diffuse

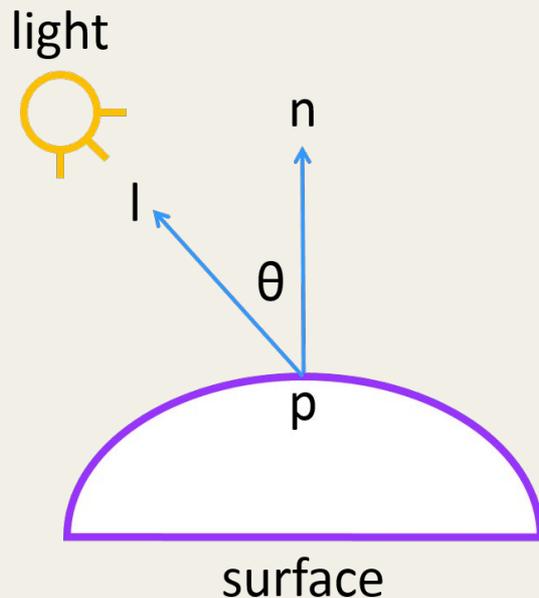
- **Diffuse:** “Rough material”. Given the same light source, objects look brighter when hit “perpendicularly”. (Will talk about why later in Lights and Optics!)
- Let  $c$  be the color we’re computing at  $p$
- Let the vector from  $p$  to the light be  $l$
- Let the normal vector at  $p$  be  $n$
- Lambert’s Cosine Law:  $c \propto \cos \theta$   
(from physicist Johann Heinrich Lambert (1760))



# Phong Reflection Model - Diffuse

- **Diffuse:** “Rough material”. Given the same light source, objects look brighter when hit “perpendicularly”. (Will talk about why later in Lights and Optics!)
- Remember dot products!  
The cosine of the angle between 2 **outward** pointing **UNIT** vectors at a point is also the dot product of the 2 vectors!

$$\begin{aligned} \text{So... } c &\propto \cos \theta \\ &= c \propto n \cdot l \end{aligned}$$



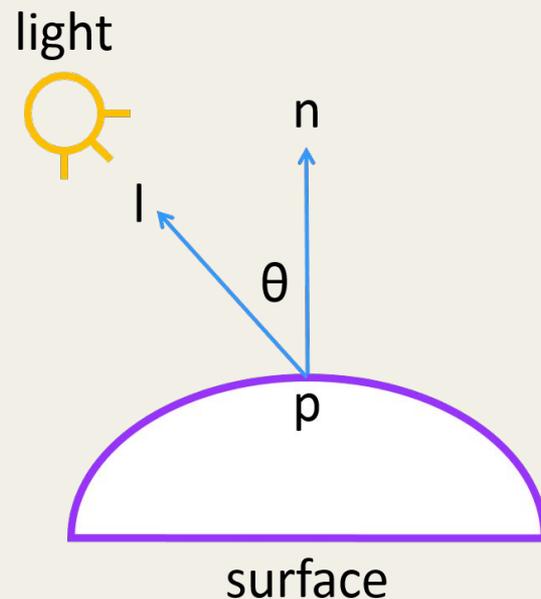
# Phong Reflection Model - Diffuse

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- So...  $c \propto \cos \theta$   
 $= c \propto n \cdot l$

- Define  $C_d$  and  $C_l$  to represent:
  - $C_d$ , the diffuse material of the surface (represent the “roughness” of the surface)
  - $C_l$ , the color of the light

- $c_{diffuse} = c_d c_l n \cdot l$



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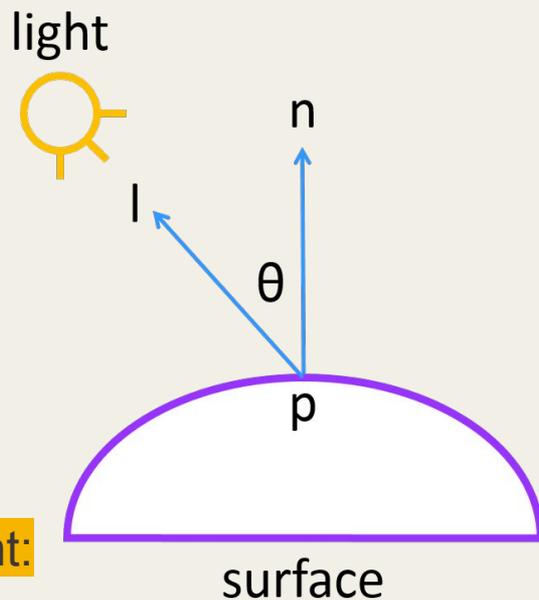
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- $c_{diffuse} = c_d c_l n \cdot l$

Need a MAX for objects facing away from the light:

$$c_{diffuse} = c_d c_l \max(0, n \cdot l)$$



# Phong Reflection Model

- **Ambient:** “Base color”. Light bounces around the environment. Even if you have little light, objects in shadow aren’t usually pure black.
- ~~**Diffuse:** “Rough material”. Given the same light source, objects look brighter when hit “perpendicularly”. (Will talk about why later in Lights and Optics!)~~
- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.



$$C_i = \text{ambient} + \text{diffuse} + \text{specular}$$

# Phong Reflection Model - Ambient

- **Ambient:** “Base color”. Light bounces around the environment. Even if you have little light, objects in shadow aren’t usually pure black.

- Very simplified concept – just define a term  $C_a$  to represent the color to give the object if no light!

- So...  $c_{ambient} = c_a$

- Putting ambient + diffuse together:

$$c = c_a + c_d c_l \max(0, n \cdot l) + \dots$$

where  $c$  represents our final color.



# Phong Reflection Model

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$$C_i = \text{ambient} + \text{diffuse} + \text{specular}$$

# Phong Reflection Model - Specular

- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.

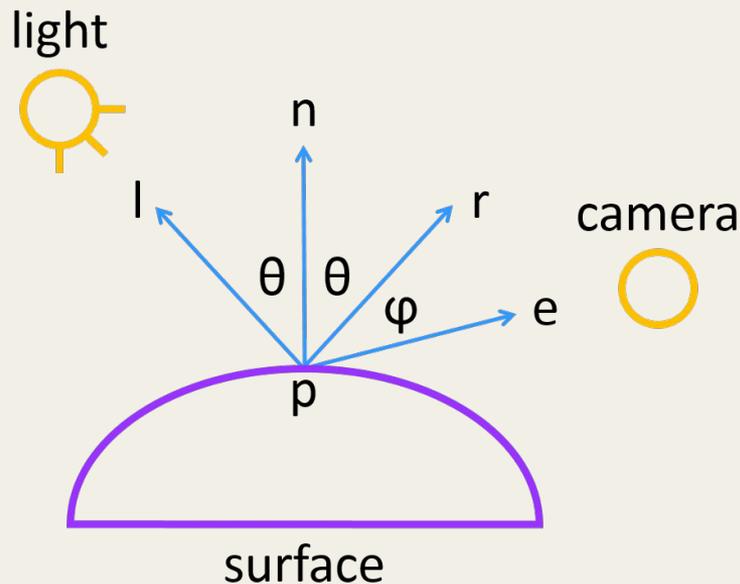
- Similar to diffuse, define  $C_s$  and  $C_l$ :
  - $C_s$ , the specular material of the surface (represent how well surface reflects light)
  - $C_l$ , the color of the light

- Let  $r$  be the reflection of the  $l$  across  $n$

Let  $e$  be a vector from  $p$  to the camera/eye

$$C_{\text{specular}} = c_s c_l e \cdot r$$

$$C_{\text{specular}} = c_s c_l \max(0, e \cdot r)$$



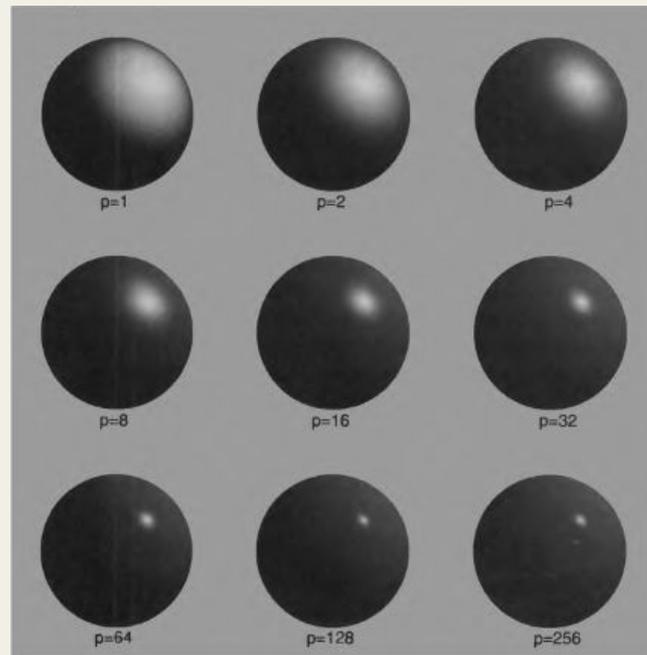
# Phong Reflection Model - Specular

- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.

- $c_{specular} = c_s c_l \max(0, e \cdot r)$
- Also introduce a “shininess value” called **the Phong exponent** that specifies how shiny we want to tune the material.

Denote as  $p$  or alpha:

$$c_{specular} = c_s c_l \max(0, e \cdot r)^p$$



# Phong Reflection Model - Specular

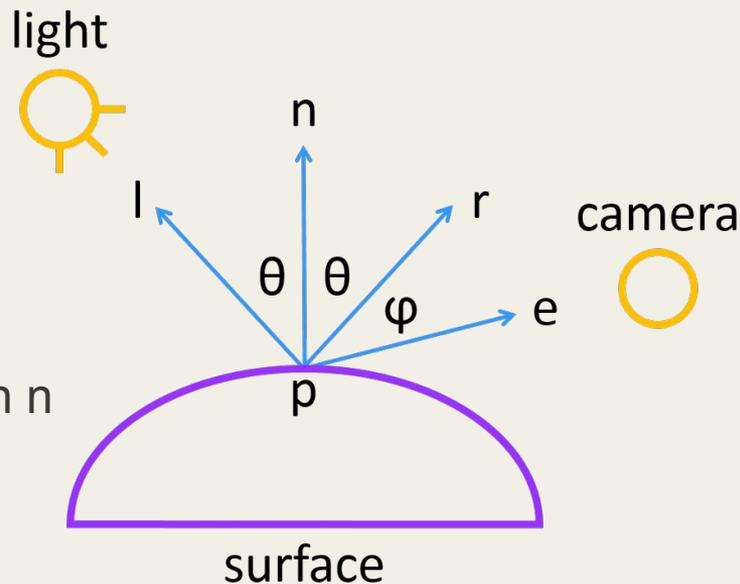
- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.

- $c_{specular} = c_s c_l \max(0, e \cdot r)^\alpha$

- Problem:  $r$  is not trivial to compute

Easier approach:

- Compute halfway vector between  $l$  and  $e$  as new vector  $h$
- When  $e$  lines up with  $r$ , then  $h$  lines up with  $n$
- Can approximate using the dot product of  $n$  and  $h$ , instead of  $e$  and  $r$



# Phong Reflection Model - Specular

- **Specular:** “Shiny material”. Bright highlights when light reflects into our eyes.

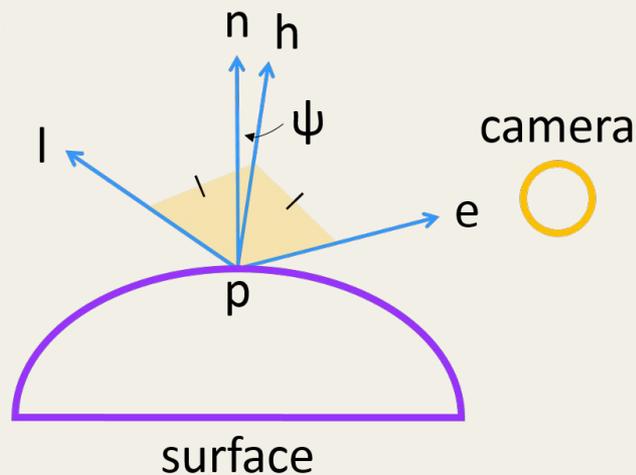
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$$h = \frac{e + l}{|e + l|}$$

$$c_{specular} = c_s c_l \max(0, n \cdot h)^\alpha$$

light



# Phong Reflection Model aka Lighting Model

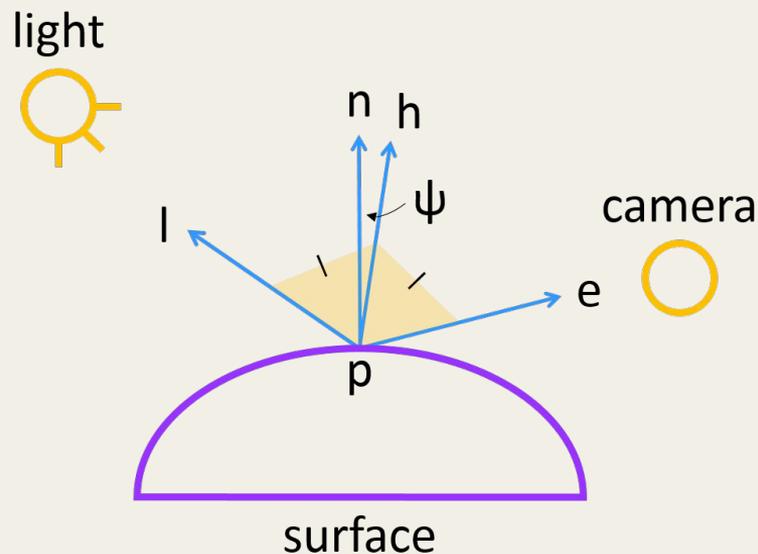


$$C_i = \text{ambient} + \text{diffuse} + \text{specular}$$

- Putting it all together:

$$c = c_{\text{ambient}} + c_{\text{diffuse}} + c_{\text{specular}}$$

$$c = c_a + c_d c_l \max(0, n \cdot l) + c_s c_l \max(0, n \cdot h)^\alpha$$



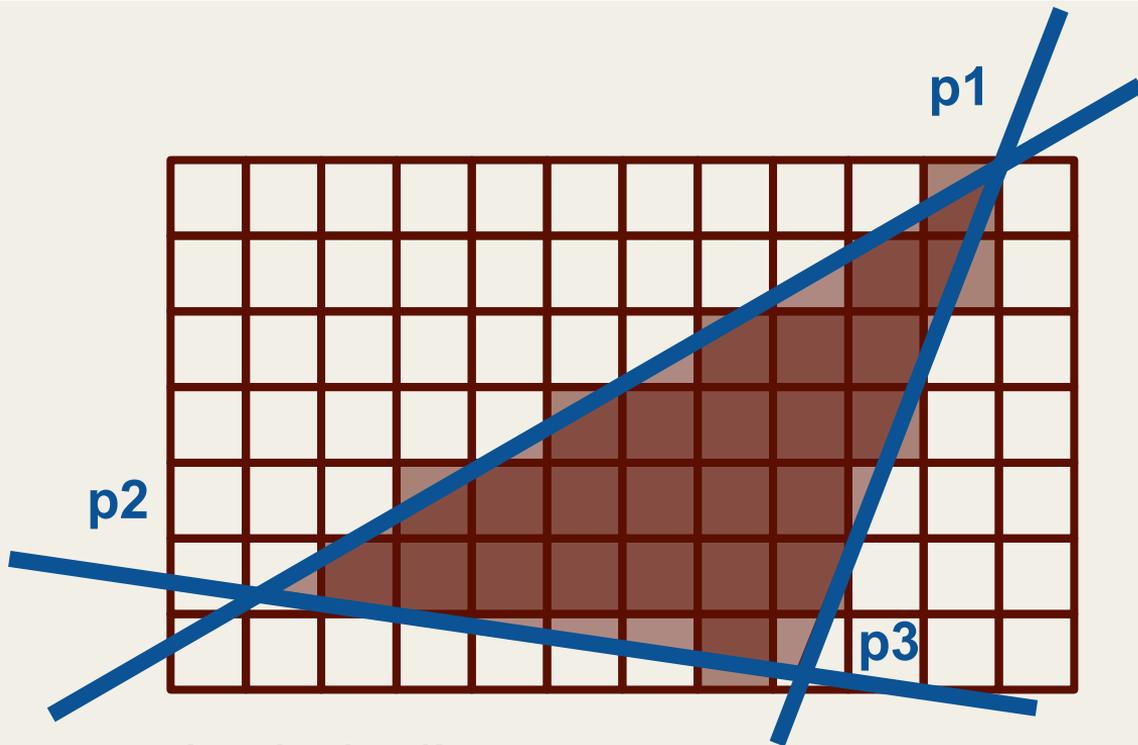
# Phong Reflection Model

- **One last step...**
- Our model computes color based on light well at each **vertex**... but what about the part of the triangle inbetween the vertices?



$$C_i = \text{ambient} + \text{diffuse} + \text{specular}$$

# Flat Shading



- Looks bad!
- ~~Also storing colors at vertices is not robust — think change of light.~~

# Smooth vs Flat shading

How do we make it look smooth?  
(Approximating smooth geometry with triangles)



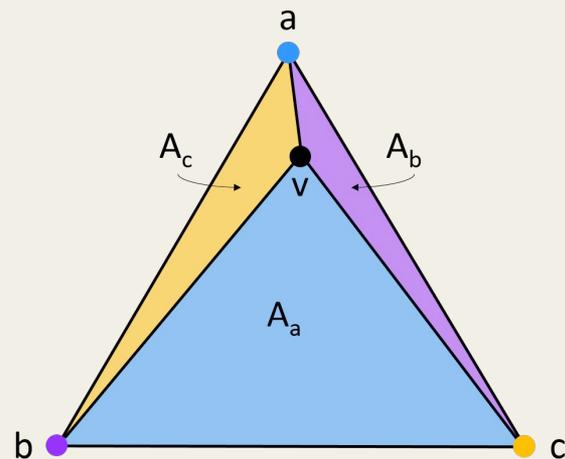
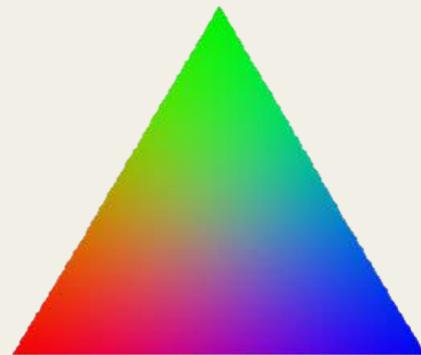
# Barycentric Interpolation

- Suppose you have color values computed at each vertex a, b, and c of your triangle.
- For any point v inside your triangle, divide the triangle into 3 triangles with separate areas

The interpolation for the color at v is then:

$$c_v = \frac{A_a}{A_{total}} c_a + \frac{A_b}{A_{total}} c_b + \frac{A_c}{A_{total}} c_c$$

- This is called Gouraud Shading!



# Barycentric Interpolation

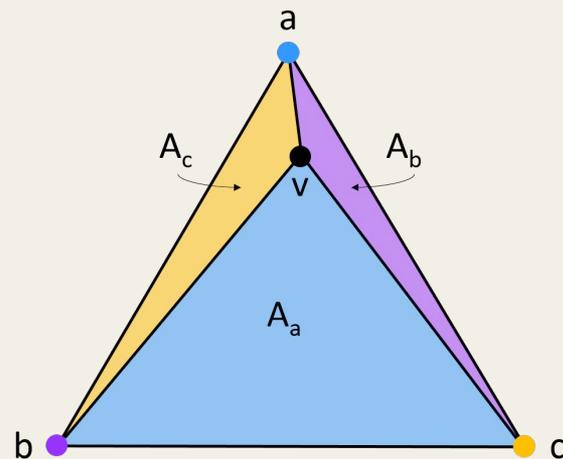
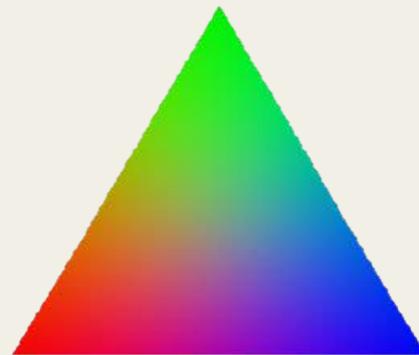
- Alternatively...  
suppose you have **normals** computed at each vertex a, b, and c of your triangle.
- For any point v inside your triangle, divide the triangle into 3 triangles with separate areas

The interpolation for the **normal** at v is then:

$$n_v = \frac{A_a}{A_{total}} n_a + \frac{A_b}{A_{total}} n_b + \frac{A_c}{A_{total}} n_c$$

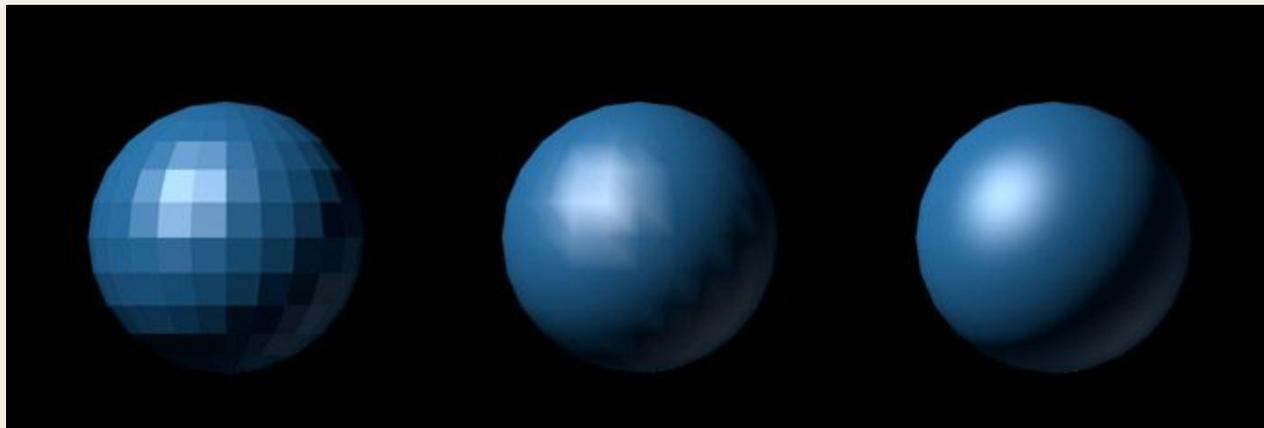
Then, use the Phong Reflection Model to compute the color at the interpolated normal!

- This is called Phong Shading!



# Flat vs. Gourad vs. Phong Shading

- Flat: shade the triangle using the average of the colors computed at each vertex – simple, fast, but looks bad.
- Gourad: shade the triangle by interpolating the colors across each vertex – good balance between speed and visual result
- Phong: interpolate the normal across each vertex, then compute the color for each point in the triangle – expensive but best look!

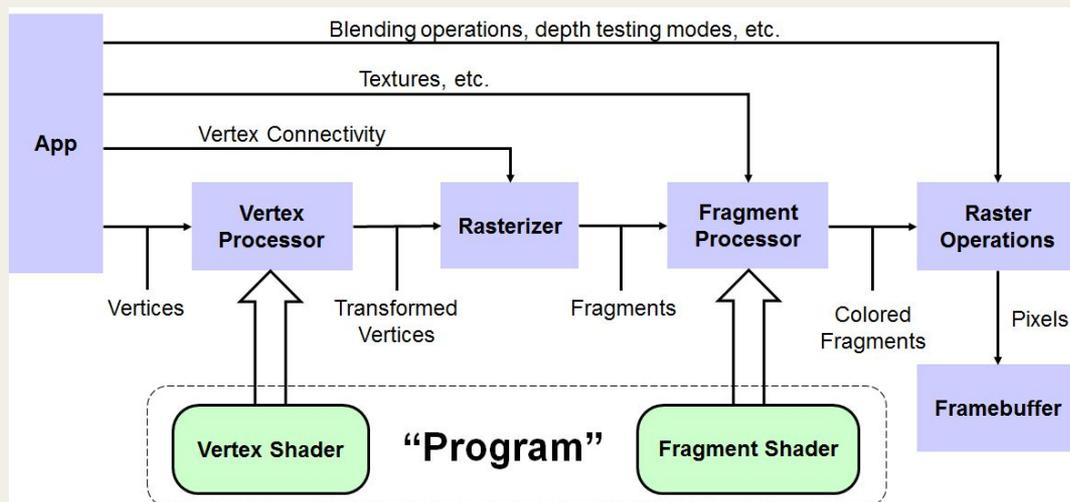
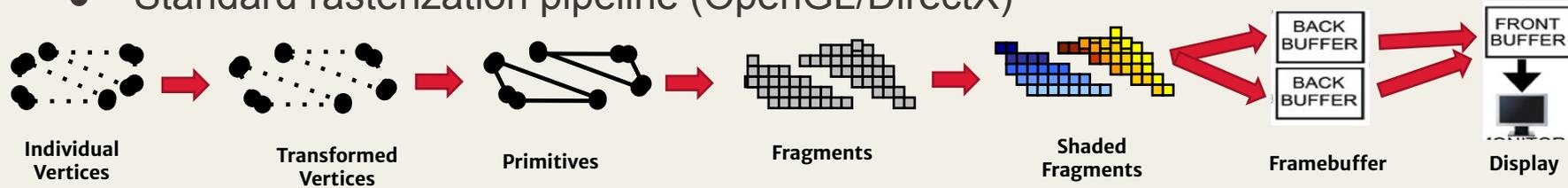


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  - ~~How to draw a triangle - rasterization~~
  - ~~How to color a triangle - surface normals, shading, barycentric interpolation~~
  - The OpenGL pipeline - vertex vs fragment shaders
  - Cameras
  - Comparison/segway to raytracing

# Tying everything together...

- Standard rasterization pipeline (OpenGL/DirectX)



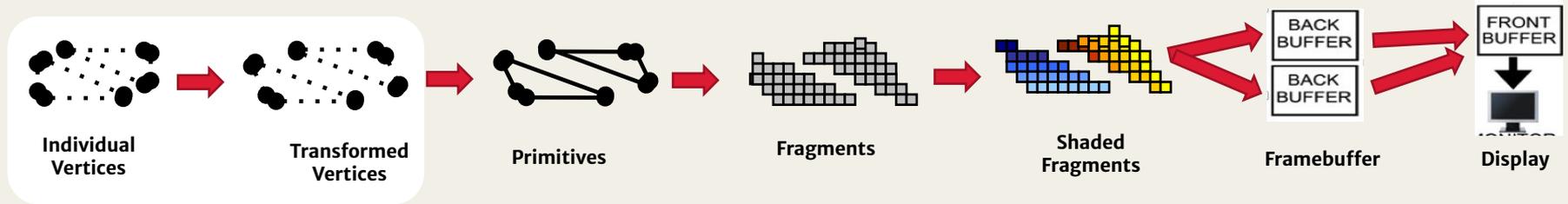
Cornell CS4620

Taught by Kayvon Fatahalian in Spring:  
<https://gfxcourses.stanford.edu/cs348k/spring25>

# Tying everything together...

- Step 1: Apply transformations to each vertex. This includes transformations as mentioned last lecture and also camera transformations from the next lecture.

This all happens in what we call the **vertex shader** for per-vertex operations.

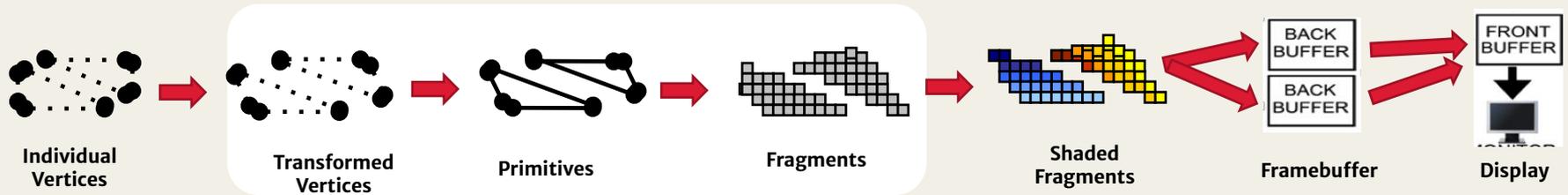


# Tying everything together...

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- Step 2: Interpolate all per-vertex quantities (like the normal!) across every triangle for every pixel in the triangle..



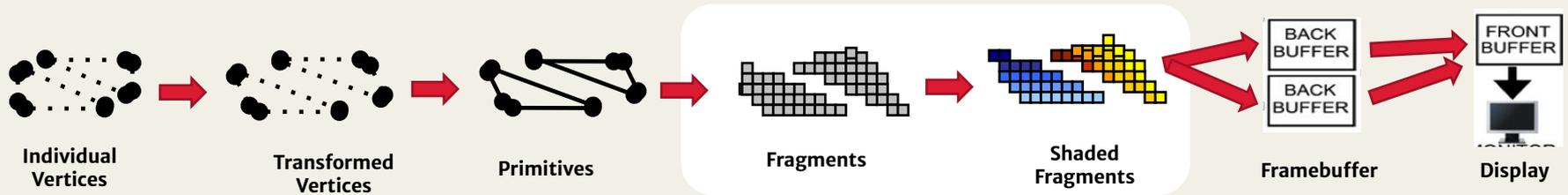
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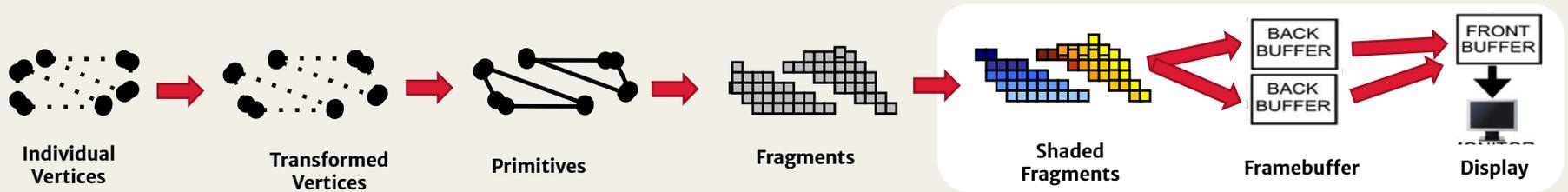
- Step 2: Interpolate all per-vertex quantities (like the normal!) across every triangle, **then compute the color for every pixel in a triangle.**

**This all happens in what we call the fragment shader for per-pixel operations.**



# Tying everything together...

- Step 1: Apply transformations to each vertex. This includes transformations as mentioned last lecture and also camera transformations from the next lecture. This all happens in what we call the **vertex shader** for per-vertex operations.
- Step 2: Interpolate all per-vertex quantities (like the normal!) across every triangle, then compute the color for every pixel in a triangle. This all happens in what we call the **fragment shader** for per-pixel operations.
- Last step for next class...



# Limitations

- Rasterization = taping triangle shaped stickers onto a screen
  - How do you do transparency?
  - How do you do shadows/self-occlusions?
  - How do you handle complex phenomena/light transport?
- Some of these we can “hack” by doing multiple-passes of rasterization
  - Shadow mapping
- More physically-based way: raytracing and pathtracing
- Speed is still a big advantage:  
Real-time graphics, pre-viz for more expensive rendering methods