

# The Calculus of Computation

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*It is reasonable to hope that the relationship between **computation** and **mathematical logic** will be as fruitful in the next century as that between **analysis** and **physics** in the last. The development of this relationship demands a concern for both applications and mathematical elegance.*

John McCarthy  
*A Basis for a Mathematical Theory of Computation*, 1963

## Assignment #1

- ▶ 1.1 e, f [10 points each]
- ▶ 1.2 s, x [10 points each]
- ▶ 1.3 (note typo: the last  $\vee$  should be a  $\wedge$ ) [30 points]
- ▶ 1.5 d [30 points]

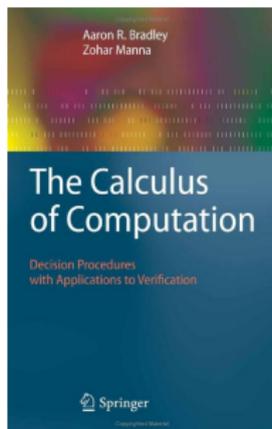
## Textbook

### THE CALCULUS OF COMPUTATION: Decision Procedures with Applications to Verification

by  
Aaron Bradley  
Zohar Manna

Springer 2007

There are two copies in CS-Math Library and you could also use `socrates.stanford.edu` to read the book according to its policy.



## Topics: Overview

1. First-Order logic
2. Specification and verification
3. Satisfiability decision procedures

## Part I: Foundations

1. Propositional Logic
2. First-Order Logic
3. First-Order Theories
4. Induction
5. Program Correctness: Mechanics  
Inductive assertion method, Ranking function method

7. Quantified Linear Arithmetic  
Quantifier elimination for integers and rationals
8. Quantifier-Free Linear Arithmetic  
Linear programming for rationals
9. Quantifier-Free Equality and Data Structures
10. Combining Decision Procedures  
Nelson-Oppen combination method
11. Arrays  
More than quantifier-free fragment

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## Motivation

### Motivation I

Decision Procedures are algorithms to decide formulae.  
These formulae can arise

- ▶ in software verification.
- ▶ in hardware verification

Consider the following program:

---

```

for
  @  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 
  (int  $i := \ell; i \leq u; i := i + 1$ ) {
    if ( $a[i] = e$ )  $rv := true$ ;
  }

```

---

How can we decide whether the **formula** is a loop invariant?

### Motivation II

Prove:

---

```

assume  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 
assume  $i \leq u$ 
assume  $a[i] = e$ 
 $rv := true$ ;
 $i := i + 1$ 
@  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 

```

---

## Motivation III

---

```

assume  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 
assume  $i \leq u$ 
assume  $a[i] \neq e$ 
 $i := i + 1$ 
@  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 

```

---

A Hoare triple  $\{P\} S \{Q\}$  holds, iff

$$P \rightarrow wp(S, Q)$$

(wp denotes “weakest precondition”)

## Motivation IV

For assignments wp is computed by substitution:

---

```

assume  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 
assume  $i \leq u$ 
assume  $a[i] = e$ 
 $rv := \text{true};$ 
 $i := i + 1$ 
@  $\ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e)$ 

```

---

Substituting  $\top$  for  $rv$  and  $i + 1$  for  $i$ , the postcondition (denoted by the @ symbol) holds if and only if:

$$\begin{aligned} & \ell \leq i \leq u \wedge (rv \leftrightarrow \exists j. \ell \leq j < i \wedge a[j] = e) \wedge i \leq u \wedge a[i] = e \\ \rightarrow & \ell \leq i + 1 \leq u \wedge (\top \leftrightarrow \exists j. \ell \leq j < i + 1 \wedge a[j] = e) \end{aligned}$$

## Motivation V

We need an algorithm that decides whether this formula holds. If the formula does not hold, the algorithm should give a counterexample; e.g.,

$$\ell = 0, i = 1, u = 1, rv = \text{false}, a[0] = 0, a[1] = 1, e = 1.$$

We will discuss such algorithms in later lectures.

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## Chapter 1: Propositional Logic (PL)

# Propositional Logic (PL)

## PL Syntax

Atom truth symbols  $\top$  ("true") and  $\perp$  ("false")  
propositional variables  $P, Q, R, P_1, Q_1, R_1, \dots$

Literal atom  $\alpha$  or its negation  $\neg\alpha$

Formula literal or application of a

logical connective to formulae  $F, F_1, F_2$

$\neg F$  "not" (negation)

$F_1 \wedge F_2$  "and" (conjunction)

$F_1 \vee F_2$  "or" (disjunction)

$F_1 \rightarrow F_2$  "implies" (implication)

$F_1 \leftrightarrow F_2$  "if and only if" (iff)

## Example:

formula  $F : (P \wedge Q) \rightarrow (T \vee \neg Q)$

atoms:  $P, Q, T$

literals:  $P, Q, T, \neg Q$

subformulae:  $P, Q, T, \neg Q, P \wedge Q, T \vee \neg Q, F$

abbreviation

$$F : P \wedge Q \rightarrow T \vee \neg Q$$

## PL Semantics (meaning of PL)

Formula  $F$  + Interpretation  $I$  = Truth value  
(true, false)

Interpretation

$$I : \{P \mapsto \text{true}, Q \mapsto \text{false}, \dots\}$$

Evaluation of  $F$  under  $I$ :

$F$	$\neg F$	where 0 corresponds to value	false
0	1	1	true
1	0		

$F_1$	$F_2$	$F_1 \wedge F_2$	$F_1 \vee F_2$	$F_1 \rightarrow F_2$	$F_1 \leftrightarrow F_2$
0	0	0	0	1	1
0	1	0	1	1	0
1	0	0	1	0	0
1	1	1	1	1	1

## Example:

$$F : P \wedge Q \rightarrow P \vee \neg Q$$

$I : \{P \mapsto \text{true}, Q \mapsto \text{false}\}$  i.e.,  $I[P] = \text{true}, I[Q] = \text{false}$

$P$	$Q$	$\neg Q$	$P \wedge Q$	$P \vee \neg Q$	$F$
1	0	1	0	1	1

1 = true

0 = false

$F$  evaluates to true under  $I$ ; i.e.,  $I[F] = \text{true}$ .

## Inductive Definition of PL's Semantics

$I \models F$  if  $F$  evaluates to true under  $I$   
 $I \not\models F$  false

### Base Case:

$I \models \top$      $I \not\models \perp$   
 $I \models P$  iff  $I[P] = \text{true}$ ; i.e.,  $P$  is true under  $I$   
 $I \not\models P$  iff  $I[P] = \text{false}$

### Inductive Case:

$I \models \neg F$  iff  $I \not\models F$   
 $I \models F_1 \wedge F_2$  iff  $I \models F_1$  and  $I \models F_2$   
 $I \models F_1 \vee F_2$  iff  $I \models F_1$  or  $I \models F_2$  (or both)  
 $I \models F_1 \rightarrow F_2$  iff  $I \models F_1$  implies  $I \models F_2$   
 $I \models F_1 \leftrightarrow F_2$  iff,  $I \models F_1$  and  $I \models F_2$ ,  
 or  $I \not\models F_1$  and  $I \not\models F_2$

### Note:

$I \models F_1 \rightarrow F_2$  iff  $I \not\models F_1$  or  $I \models F_2$ .  
 $I \not\models F_1 \rightarrow F_2$  iff  $I \models F_1$  and  $I \not\models F_2$ .  
 $I \not\models F_1 \vee F_2$  iff  $I \not\models F_1$  and  $I \not\models F_2$ .

Page 21 of 53

## Example of Inductive Reasoning:

$F: P \wedge Q \rightarrow P \vee \neg Q$

$I: \{P \mapsto \text{true}, Q \mapsto \text{false}\}$

- $I \models P$  since  $I[P] = \text{true}$
- $I \not\models Q$  since  $I[Q] = \text{false}$
- $I \models \neg Q$  by 2 and  $\neg$
- $I \not\models P \wedge Q$  by 2 and  $\wedge$
- $I \models P \vee \neg Q$  by 1 and  $\vee$
- $I \models F$  by 4 and  $\rightarrow$  Why?

Thus,  $F$  is true under  $I$ .

Note: steps 1, 3, and 5 are nonessential.

Page 22 of 53

## Satisfiability and Validity

$F$  satisfiable iff there exists an interpretation  $I$  such that  $I \models F$ .

$F$  valid iff for all interpretations  $I$ ,  $I \models F$ .

$F$  is valid iff  $\neg F$  is unsatisfiable

Goal: devise an algorithm to decide validity or unsatisfiability of formula  $F$ .

Page 23 of 53

## Method 1: Truth Tables

Example  $F: P \wedge Q \rightarrow P \vee \neg Q$

$P$	$Q$	$P \wedge Q$	$\neg Q$	$P \vee \neg Q$	$F$
0	0	0	1	1	1
0	1	0	0	0	1
1	0	0	1	1	1
1	1	1	0	1	1

Thus  $F$  is valid.

Example  $F: P \vee Q \rightarrow P \wedge Q$

$P$	$Q$	$P \vee Q$	$P \wedge Q$	$F$
0	0	0	0	1
0	1	1	0	0
1	0	1	0	0
1	1	1	1	1

← satisfying  $I$   
 ← falsifying  $I$

Thus  $F$  is satisfiable, but invalid.

Page 24 of 53

- ▶ Assume  $F$  is not valid and  $I$  a falsifying interpretation:  
 $I \not\models F$
- ▶ Apply proof rules.
- ▶ If no contradiction reached and no more rules applicable,  
 $F$  is invalid.
- ▶ If in every branch of proof a contradiction reached,  
 $F$  is valid.

$$\frac{I \models \neg F}{I \not\models F}$$

$$\frac{I \not\models \neg F}{I \models F}$$

$$\frac{I \models F \wedge G}{I \models F}$$

$$I \models G \quad \leftarrow \text{and}$$

$$\frac{I \not\models F \wedge G}{I \not\models F \mid I \not\models G}$$

$$\searrow \text{or}$$

$$\frac{I \models F \vee G}{I \models F \mid I \models G}$$

$$\frac{I \not\models F \vee G}{I \not\models F}$$

$$I \not\models G$$

## Proof Rules for Semantic Arguments II

$$\frac{I \models F \rightarrow G}{I \not\models F \mid I \models G}$$

$$\frac{I \not\models F \rightarrow G}{I \models F}$$

$$I \not\models G$$

$$\frac{I \models F \leftrightarrow G}{I \models F \wedge G \mid I \not\models F \vee G}$$

$$\frac{I \not\models F \leftrightarrow G}{I \models F \wedge \neg G \mid I \models \neg F \wedge G}$$

$$I \models F$$

$$I \not\models F$$

$$I \models \perp$$

## Example: Prove

$F: P \wedge Q \rightarrow P \vee \neg Q$  is valid.

Let's assume that  $F$  is not valid and that  $I$  is a falsifying interpretation.

1.  $I \not\models P \wedge Q \rightarrow P \vee \neg Q$  assumption
2.  $I \models P \wedge Q$  1 and  $\rightarrow$
3.  $I \not\models P \vee \neg Q$  1 and  $\rightarrow$
4.  $I \models P$  2 and  $\wedge$
5.  $I \not\models P$  3 and  $\vee$
6.  $I \models \perp$  4 and 5 are contradictory

Thus  $F$  is valid.

Example: Prove

$F: (P \rightarrow Q) \wedge (Q \rightarrow R) \rightarrow (P \rightarrow R)$  is valid.

Let's assume that  $F$  is not valid.

- 1.  $I \not\models F$  assumption
- 2.  $I \models (P \rightarrow Q) \wedge (Q \rightarrow R)$  1 and  $\rightarrow$
- 3.  $I \not\models P \rightarrow R$  1 and  $\rightarrow$
- 4.  $I \models P$  3 and  $\rightarrow$
- 5.  $I \not\models R$  3 and  $\rightarrow$
- 6.  $I \models P \rightarrow Q$  2 and  $\wedge$
- 7.  $I \models Q \rightarrow R$  2 and  $\wedge$

- 6.  $I \models P \rightarrow Q$  2 and  $\wedge$
- 7.  $I \models Q \rightarrow R$  2 and  $\wedge$
- 8a.  $I \not\models P$  6 and  $\rightarrow$  (case a)
- 9a.  $I \models \perp$  4 and 8
- 8b.  $I \models Q$  6 and  $\rightarrow$  (case b)
- 9ba.  $I \not\models Q$  7 and  $\rightarrow$  (subcase ba)
- 10ba.  $I \models \perp$  8b and 9ba
- 9bb.  $I \models R$  7 and  $\rightarrow$  (subcase bb)
- 10bb.  $I \models \perp$  5 and 9bb
- 9b.  $I \models \perp$  10ba and 10bb
- 8.  $I \models \perp$  9a and 9b

Example 3: Is

$F: P \vee Q \rightarrow P \wedge Q$

valid? Assume  $F$  is not valid:

- 1.  $I \not\models P \vee Q \rightarrow P \wedge Q$  assumption
- 2.  $I \models P \vee Q$  1 and  $\rightarrow$
- 3.  $I \not\models P \wedge Q$  1 and  $\rightarrow$
- 4a.  $I \models P$  2,  $\vee$  (case a)
- 5aa.  $I \not\models P$  3,  $\vee$  (subcase aa)
- 6aa.  $I \models \perp$  4a, 5aa
- 5ab.  $I \not\models Q$  3,  $\vee$  (subcase ab)
- 6ab. ?
- 5a. ?

- 4b.  $I \models Q$  2,  $\vee$  (case b)
- 5ba.  $I \not\models P$  3,  $\vee$  (subcase ba)
- 6ba. ?
- 5bb.  $I \not\models Q$  3,  $\vee$  (subcase bb)
- 6bb.  $I \models \perp$  4b, 5bb
- 5b. ?

We cannot derive a contradiction in both cases (4a and 4b), so we cannot prove that  $F$  is valid. To demonstrate that  $F$  is not valid, however, we must find a falsifying interpretation (here are two):

$I_1: \{P \mapsto \text{true}, Q \mapsto \text{false}\}$      $I_2: \{Q \mapsto \text{true}, P \mapsto \text{false}\}$

Note: we have to derive a contradiction in all cases for  $F$  to be valid!

## Equivalence

$F_1$  and  $F_2$  are equivalent ( $F_1 \Leftrightarrow F_2$ )

iff for all interpretations  $I$ ,  $I \models F_1 \leftrightarrow F_2$

To prove  $F_1 \Leftrightarrow F_2$ , show  $F_1 \leftrightarrow F_2$  is valid, that is, both  $F_1 \rightarrow F_2$  and  $F_2 \rightarrow F_1$  are valid.

$F_1$  entails  $F_2$  ( $F_1 \Rightarrow F_2$ )

iff for all interpretations  $I$ ,  $I \models F_1 \rightarrow F_2$

Note:  $F_1 \Leftrightarrow F_2$  and  $F_1 \Rightarrow F_2$  are not formulae!!

## Normal Forms

### 1. Negation Normal Form (NNF)

$\neg, \wedge, \vee$  are the only boolean connectives allowed.

Negations may occur only in literals of the form  $\neg P$ .

To transform  $F$  into equivalent  $F'$  in NNF, apply the following template equivalences recursively (and left-to-right):

$$\begin{aligned} \neg\neg F_1 &\Leftrightarrow F_1 & \neg\top &\Leftrightarrow \perp & \neg\perp &\Leftrightarrow \top \\ \neg(F_1 \wedge F_2) &\Leftrightarrow \neg F_1 \vee \neg F_2 \\ \neg(F_1 \vee F_2) &\Leftrightarrow \neg F_1 \wedge \neg F_2 \\ F_1 \rightarrow F_2 &\Leftrightarrow \neg F_1 \vee F_2 \\ F_1 \leftrightarrow F_2 &\Leftrightarrow (F_1 \rightarrow F_2) \wedge (F_2 \rightarrow F_1) \end{aligned} \quad \left. \begin{array}{l} \\ \\ \\ \end{array} \right\} \text{De Morgan's Law}$$

“Complete” syntactic restriction: every  $F$  has an equivalent  $F'$  in NNF.

Example: Show

$$P \rightarrow Q \Leftrightarrow \neg P \vee Q$$

i.e.

$$F : (P \rightarrow Q) \leftrightarrow (\neg P \vee Q) \text{ is valid.}$$

Assume  $F$  is not valid, then we have two cases:

Case a:  $I \models \neg P \vee Q$ ,

$$I \models P \rightarrow Q$$

Case b:  $I \models \neg P \vee Q$ ,

$$I \not\models P \rightarrow Q$$

Derive contradictions in both cases.

Example: Convert

$$F : \neg(P \rightarrow \neg(P \wedge Q))$$

to NNF.

$$\begin{aligned} F' &: \neg(\neg P \vee \neg(P \wedge Q)) && \rightarrow \\ F'' &: \neg\neg P \wedge \neg\neg(P \wedge Q) && \text{De Morgan's Law} \\ F''' &: P \wedge P \wedge Q && \neg\neg \end{aligned}$$

$F'''$  is equivalent to  $F$  ( $F''' \Leftrightarrow F$ ) and is in NNF.

## 2. Disjunctive Normal Form (DNF)

Disjunction of conjunctions of literals

$$\bigvee_i \bigwedge_j \ell_{i,j} \quad \text{for literals } \ell_{i,j}$$

To convert  $F$  into equivalent  $F'$  in DNF, transform  $F$  into NNF and then use the following template equivalences (left-to-right):

$$\left. \begin{aligned} (F_1 \vee F_2) \wedge F_3 &\Leftrightarrow (F_1 \wedge F_3) \vee (F_2 \wedge F_3) \\ F_1 \wedge (F_2 \vee F_3) &\Leftrightarrow (F_1 \wedge F_2) \vee (F_1 \wedge F_3) \end{aligned} \right\} \text{dist}$$

**Note:** formulae can grow exponentially as the distributivity laws are applied.

## 3. Conjunctive Normal Form (CNF)

Conjunction of disjunctions of literals

$$\bigwedge_i \bigvee_j \ell_{i,j} \quad \text{for literals } \ell_{i,j}$$

To convert  $F$  into equivalent  $F'$  in CNF, transform  $F$  into NNF and then use the following template equivalences (left-to-right):

$$\begin{aligned} (F_1 \wedge F_2) \vee F_3 &\Leftrightarrow (F_1 \vee F_3) \wedge (F_2 \vee F_3) \\ F_1 \vee (F_2 \wedge F_3) &\Leftrightarrow (F_1 \vee F_2) \wedge (F_1 \vee F_3) \end{aligned}$$

A disjunction of literals is called a clause.

## Example: Convert

$$F : (Q_1 \vee \neg Q_2) \wedge (\neg R_1 \rightarrow R_2)$$

into equivalent DNF

$$F' : (Q_1 \vee Q_2) \wedge (R_1 \vee R_2) \quad \text{in NNF}$$

$$F'' : (Q_1 \wedge (R_1 \vee R_2)) \vee (Q_2 \wedge (R_1 \vee R_2)) \quad \text{dist}$$

$$F''' : (Q_1 \wedge R_1) \vee (Q_1 \wedge R_2) \vee (Q_2 \wedge R_1) \vee (Q_2 \wedge R_2) \quad \text{dist}$$

$F'''$  is equivalent to  $F$  ( $F''' \Leftrightarrow F$ ) and is in DNF.

## Example: Convert

$$F : P \leftrightarrow (Q \rightarrow R)$$

to an equivalent formula  $F'$  in CNF.

First get rid of  $\leftrightarrow$  :

$$F_1 : (P \rightarrow (Q \rightarrow R)) \wedge ((Q \rightarrow R) \rightarrow P)$$

Now replace  $\rightarrow$  with  $\vee$  :

$$F_2 : (\neg P \vee (\neg Q \vee R)) \wedge (\neg(\neg Q \vee R) \vee P)$$

Drop unnecessary parentheses and apply De Morgan's Law:

$$F_3 : (\neg P \vee \neg Q \vee R) \wedge ((\neg\neg Q \wedge \neg R) \vee P)$$

Simplify double negation (now in NNF):

$$F_4 : (\neg P \vee \neg Q \vee R) \wedge ((Q \wedge \neg R) \vee P)$$

Distribute disjunction over conjunction (now in CNF):

$$F' : (\neg P \vee \neg Q \vee R) \wedge (Q \vee P) \wedge (\neg R \vee P)$$

# Equisatisfiability

## Definition

$F$  and  $F'$  are *equisatisfiable*, iff

$F$  is satisfiable if and only if  $F'$  is satisfiable

Every formula is equisatisfiable to either  $\top$  or  $\perp$ .

Goal: Decide satisfiability of PL formula  $F$

Step 1: Convert  $F$  to equisatisfiable formula  $F'$  in CNF

Step 2: Decide satisfiability of formula  $F'$  in CNF

# Step 1: Convert $F$ to equisatisfiable formula $F'$ in CNF I

There is an *efficient conversion* of  $F$  to  $F'$  where

- ▶  $F'$  is in CNF and
- ▶  $F$  and  $F'$  are equisatisfiable

Note: efficient means polynomial in the size of  $F$ .

Basic Idea:

- ▶ Introduce a new variable  $P_G$  for every subformula  $G$  of  $F$ , unless  $G$  is already an atom.

# Step 1: Convert $F$ to equisatisfiable formula $F'$ in CNF II

- ▶ For each subformula

$$G : G_1 \circ G_2,$$

produce a small formula

$$P_G \leftrightarrow P_{G_1} \circ P_{G_2}.$$

Here  $\circ$  denotes an arbitrary connective ( $\neg, \vee, \wedge, \rightarrow, \leftrightarrow$ ); if the connective is  $\neg$ ,  $G_1$  should be ignored.

# Step 1: Convert $F$ to equisatisfiable formula $F'$ in CNF III

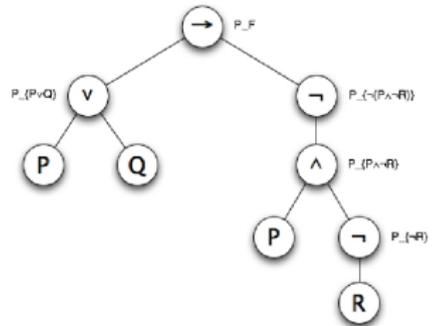


Figure: Parse tree for  $F : P \vee Q \rightarrow \neg(P \wedge \neg R)$

## Step 1: Convert $F$ to equisatisfiable formula $F'$ in CNF IV

- Convert each of these (small) formulae separately to an equivalent CNF formula

$$\text{CNF}(P_G \leftrightarrow P_{G_1} \circ P_{G_2}).$$

Let  $S_F$  be the set of all non-atom subformulae  $G$  of  $F$  (including  $F$  itself). The formula

$$P_F \wedge \bigwedge_{G \in S_F} \text{CNF}(P_G \leftrightarrow P_{G_1} \circ P_{G_2})$$

is equisatisfiable to  $F$ . (Why?)

The number of subformulae is linear in the size of  $F$ .  
The time to convert one small formula is constant!

## Example: CNF I

Convert

$$F: P \vee Q \rightarrow P \wedge \neg R$$

to an equisatisfiable formula in CNF.

Introduce new variables:  $P_F, P_{P \vee Q}, P_{P \wedge \neg R}, P_{\neg R}$ .

Create new formulae and convert them to equivalent formulae in CNF separately:

$$\triangleright F_1 = \text{CNF}(P_F \leftrightarrow (P_{P \vee Q} \rightarrow P_{P \wedge \neg R})):$$

$$(\neg P_F \vee \neg P_{P \vee Q} \vee P_{P \wedge \neg R}) \wedge (P_F \vee P_{P \vee Q}) \wedge (P_F \vee \neg P_{P \wedge \neg R})$$

$$\triangleright F_2 = \text{CNF}(P_{P \vee Q} \leftrightarrow P \vee Q):$$

$$(\neg P_{P \vee Q} \vee P \vee Q) \wedge (P_{P \vee Q} \vee \neg P) \wedge (P_{P \vee Q} \vee \neg Q)$$

## Example: CNF II

$$\triangleright F_3 = \text{CNF}(P_{P \wedge \neg R} \leftrightarrow P \wedge P_{\neg R}):$$

$$(\neg P_{P \wedge \neg R} \vee P) \wedge (\neg P_{P \wedge \neg R} \vee P_{\neg R}) \wedge (P_{P \wedge \neg R} \vee \neg P \vee \neg P_{\neg R})$$

$$\triangleright F_4 = \text{CNF}(P_{\neg R} \leftrightarrow \neg R):$$

$$(\neg P_{\neg R} \vee \neg R) \wedge (P_{\neg R} \vee R)$$

$P_F \wedge F_1 \wedge F_2 \wedge F_3 \wedge F_4$  is in CNF and equisatisfiable to  $F$ .

## Step 2: Decide the satisfiability of PL formula $F'$ in CNF

### Boolean Constraint Propagation (BCP)

If a clause contains one literal  $\ell$ ,

Set  $\ell$  to  $\top$ :

Remove all clauses containing  $\ell$ :

Remove  $\neg \ell$  in all clauses:

based on the unit resolution

$$\frac{\ell \quad \neg \ell \vee C}{C} \leftarrow \text{clause}$$

### Pure Literal Propagation (PLP)

If  $P$  occurs only positive (without negation), set it to  $\top$ .

If  $P$  occurs only negative set it to  $\perp$ .

Then do the simplifications as in Boolean Constraint Propagation

## Davis-Putnam-Logemann-Loveland (DPLL) Algorithm

Decides the satisfiability of PL formulae in CNF

Decision Procedure DPLL: Given  $F$  in CNF

```

let rec DPLL  $F$  =
  let  $F'$  = BCP  $F$  in
  let  $F''$  = PLP  $F'$  in
  if  $F'' = \top$  then true
  else if  $F'' = \perp$  then false
  else
    let  $P$  = CHOOSE vars( $F''$ ) in
      (DPLL  $F''\{P \mapsto \top\}$ )  $\vee$  (DPLL  $F''\{P \mapsto \perp\}$ )

```



Page 49 of 53

## Simplification

Simplify according to the template equivalences (left-to-right)  
[exercise 1.2]

$$\begin{array}{lll}
 \neg \perp \Leftrightarrow \top & \neg \top \Leftrightarrow \perp & \neg \neg F \Leftrightarrow F \\
 F \wedge \top \Leftrightarrow F & F \wedge \perp \Leftrightarrow \perp & \dots \\
 F \vee \top \Leftrightarrow F & F \vee \perp \Leftrightarrow F & \dots
 \end{array}$$



Page 50 of 53

## Example I

Consider

$$F : (\neg P \vee Q \vee R) \wedge (\neg Q \vee R) \wedge (\neg Q \vee \neg R) \wedge (P \vee \neg Q \vee \neg R).$$

Branching on  $Q$

On the first branch, we have

$$F\{Q \mapsto \top\} : (R) \wedge (\neg R) \wedge (P \vee \neg R).$$

By unit resolution,

$$\frac{R \quad (\neg R)}{\perp}$$

so  $F\{Q \mapsto \top\} = \perp \Rightarrow$  false.



Page 51 of 53

## Example II

Recall

$$F : (\neg P \vee Q \vee R) \wedge (\neg Q \vee R) \wedge (\neg Q \vee \neg R) \wedge (P \vee \neg Q \vee \neg R).$$

On the other branch, we have

$$F\{Q \mapsto \perp\} : (\neg P \vee R).$$

Furthermore, by PLP,

$$F\{Q \mapsto \perp, R \mapsto \top, P \mapsto \perp\} = \top \Rightarrow \text{true}$$

Thus  $F$  is satisfiable with satisfying interpretation

$$I : \{P \mapsto \text{false}, Q \mapsto \text{false}, R \mapsto \text{true}\}.$$



Page 52 of 53

## Example

$$F : (\neg P \vee Q \vee R) \wedge (\neg Q \vee R) \wedge (\neg Q \vee \neg R) \wedge (P \vee \neg Q \vee \neg R)$$

