Natural Language Processing with Deep Learning
CS224N/Ling284

Richard Socher
Lecture 1: Introduction
Lecture Plan

1. What is Natural Language Processing? The nature of human language (15 mins)
2. What is Deep Learning? (15 mins)
3. Course logistics (15 mins)
4. Why is language understanding difficult (10 mins)
5. Intro to the application of Deep Learning to NLP (20 mins)

Buffer: 5 mins
1. What is Natural Language Processing (NLP)?

• **Natural language processing** is a field at the intersection of
  • computer science
  • artificial intelligence
  • and linguistics.

• **Goal:** for computers to process or “understand” natural language in order to perform tasks that are useful, e.g.,
  • Performing Tasks, like making appointments, buying things
  • Language translation
  • Question Answering
    • Siri, Google Assistant, Facebook M, Cortana ...

• Fully **understanding and representing** the **meaning** of language (or even defining it) is a difficult goal.

• Perfect language understanding is AI-complete
NLP Levels

- Phonetic/Phonological Analysis
- OCR/Tokenization
  - Morphological analysis
  - Syntactic analysis
  - Semantic Interpretation
  - Discourse Processing
(A tiny sample of) NLP Applications

Applications range from simple to complex:

• Spell checking, keyword search, finding synonyms

• Extracting information from websites such as
  • product price, dates, location, people or company names

• Classifying: reading level of school texts, positive/negative sentiment of longer documents

• Machine translation
• Spoken dialog systems
• Complex question answering
NLP in industry ... is taking off

- Search (written and spoken)
- Online advertisement matching
- Automated/assisted translation
- Sentiment analysis for marketing or finance/trading
- Speech recognition
- Chatbots / Dialog agents
  - Automating customer support
  - Controlling devices
  - Ordering goods
What’s special about human language?

A human language is a system specifically constructed to convey the speaker/writer’s meaning

• Not just an environmental signal, it’s a deliberate communication
• Using an encoding which little kids can quickly learn (amazingly!) and which changes

A human language is mostly a discrete/symbolic/categorical signaling system

• rocket = 🚀 ; violin = 🎻
• Presumably because of greater signaling reliability
• Symbols are not just an invention of logic / classical AI!
What’s special about human language?

The categorical symbols of a language can be encoded as a signal for communication in several ways:

- Sound
- Gesture
- Writing/Images

The symbol is invariant across different encodings!
What’s special about human language?

A human language is a *symbolic/categorical signaling system*

However, a brain encoding appears to be a continuous pattern of activation, and the symbols are transmitted via continuous signals of sound/vision.

The large vocabulary, symbolic encoding of words creates a problem for machine learning – *sparsity*!

We will explore a continuous encoding pattern of thought.
2. What’s Deep Learning (DL)?

- **Deep learning** is a subfield of **machine learning**
- Most machine learning methods work well because of **human-designed representations** and **input features**
  - For example: features for finding named entities like locations or organization names (Finkel et al., 2010):
  - Machine learning becomes just optimizing weights to best make a final prediction

### Table 3.1: Features used by the CRF for the two tasks: named entity recognition (NER) and template filling (TF).

<table>
<thead>
<tr>
<th>Feature</th>
<th>NER</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current Word</td>
<td>✓</td>
</tr>
<tr>
<td>Previous Word</td>
<td>✓</td>
</tr>
<tr>
<td>Next Word</td>
<td>✓</td>
</tr>
<tr>
<td>Current Word Character n-gram</td>
<td></td>
</tr>
<tr>
<td>Current POS Tag</td>
<td>✓</td>
</tr>
<tr>
<td>Surrounding POS Tag Sequence</td>
<td>✓</td>
</tr>
<tr>
<td>Current Word Shape</td>
<td>✓</td>
</tr>
<tr>
<td>Surrounding Word Shape Sequence</td>
<td>✓</td>
</tr>
<tr>
<td>Presence of Word in Left Window</td>
<td>size 4</td>
</tr>
<tr>
<td>Presence of Word in Right Window</td>
<td>size 4</td>
</tr>
</tbody>
</table>
Machine Learning vs. Deep Learning

Machine Learning in Practice

Describing your data with features a computer can understand

Domain specific, requires Ph.D. level expertise

Learning algorithm

Optimizing the weights on features
What’s Deep Learning (DL)?

- In contrast to standard machine learning,
- Representation learning attempts to automatically learn good features or representations
- Deep learning algorithms attempt to learn (multiple levels of) representations (here: $h^1, h^2, h^3$) and an output ($h^4$)
- From “raw” inputs $x$ (e.g. sound, pixels, characters, or words)
On the history of “Deep Learning”

- We will focus on different kinds of **neural networks**
- The dominant model family inside deep learning

- Only clever terminology for stacked logistic regression units?
  - Maybe, but interesting modeling principles (end-to-end) and actual connections to neuroscience in some cases.
  - Recently: Differentiable Programming – becomes clear later

- We will not take a historical approach but instead focus on methods which work well on NLP problems now
- For a long history of deep learning models (starting ~1960s), see: [Deep Learning in Neural Networks: An Overview](#) by Jürgen Schmidhuber
Reasons for Exploring Deep Learning

- Manually designed features are often over-specified, incomplete and take a long time to design and validate

- Learned Features are easy to adapt, fast to learn

- Deep learning provides a very flexible, (almost?) universal, learnable framework for representing world, visual and linguistic information.

- Deep learning can learn unsupervised (from raw text) and supervised (with specific labels like positive/negative)
Reasons for Exploring Deep Learning

• In ~2010 deep learning techniques started outperforming other machine learning techniques. Why this decade?
  
• Large amounts of training data favor deep learning
• Faster machines and multicore CPU/GPUs favor Deep Learning
• New models, algorithms, ideas
  • Better, more flexible learning of intermediate representations
  • Effective end-to-end joint system learning
  • Effective learning methods for using contexts and transferring between tasks
  • Better regularization and optimization methods
→ Improved performance (first in speech and vision, then NLP)
Deep Learning for Speech

- The first breakthrough results of “deep learning” on large datasets happened in speech recognition

- Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition
  Dahl et al. (2010)

<table>
<thead>
<tr>
<th>Acoustic model and WER</th>
<th>RT03S</th>
<th>Hub5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Traditional features</td>
<td>27.4</td>
<td>23.6</td>
</tr>
<tr>
<td>Deep Learning</td>
<td>18.5 -33%</td>
<td>16.1 -32%</td>
</tr>
</tbody>
</table>
Deep Learning for Computer Vision

First major focus of deep learning groups was computer vision

3. Course logistics in brief

• Instructor: Richard Socher
• Head TAs: Kevin Clark and Abigail See
• TAs: Many wonderful people!
• Time: TuTh 4:30–5:50, Nvidia Aud (→ video)

• Other information: see the class webpage
  • Syllabus, office hours (I will start today, rest start next week), “handouts”, TAs, Piazza
  • Slides uploaded before each lecture
Prerequisites

- Proficiency in Python
  - All class assignments will be in Python.
  - Python refresh session: 3:00-4:20pm, January 19!
- Multivariate Calculus, Linear Algebra (e.g., MATH 51, CME 100)
- Basic Probability and Statistics (e.g. CS 109 or other stats course)
- Fundamentals of Machine Learning (e.g., from CS229 or CS221)
  - loss functions
  - taking simple derivatives
  - performing optimization with gradient descent
A note on your experience :)

- This is a hard, advanced, graduate level class
- I and all the TAs really care about your success in this class
- Give Feedback. Visit refresh sessions.

Come to office hours (early, often and off-cycle)  

“Terrible class”
“Don’t take it”
“Instructors don’t care”
“Too much work”

“Best class at Stanford”
“Changed my life”
“Obvious that instructors care”
“Learned a ton”
“Hard but worth it”

1/9/18
What do we hope to teach?

1. An understanding of and ability to use the effective modern methods for deep learning
   • Basics first, then key methods used in NLP: Recurrent networks, attention, etc.

2. Some big picture understanding of human languages and the difficulties in understanding and producing them

3. An understanding of and ability to build systems (in TensorFlow) for some of the major problems in NLP:
   • Word similarities, parsing, machine translation, entity recognition, question answering, sentence comprehension
Grading Policy

• 3 Assignments: 15% x 3 = 45%
• Midterm Exam: 20%
• Final Course Project or PSet4 (1–3 people): 35%
  • Including for final project doing: project proposal, milestone, interacting with mentor
• Final poster session (must be there: 12:15–3:15): 2% of the 35%
• Late policy
  • 6 free late days – use as you please
  • Afterwards, 10% off per day late
  • Assignments not accepted after 3 late days per assignment
• Collaboration policy: Read the website and the Honor Code! Understand allowed ‘collaboration’ and how to document it
High Level Plan for Problem Sets

- Beginning PSets and final project are hard (in different ways)

- PSet 1 is written work and pure python code (numpy etc.) to really understand the basics

- Released on January 11 (this Thursday!)

- PSet 2 & 3 will be in TensorFlow, a library for putting together neural network models quickly (➔ special lecture)

- Libraries like TensorFlow are becoming standard tools
  - Also: PyTorch, Theano, Chainer, CNTK, Paddle, MXNet, Keras, Caffe, ...
High Level Plan for PSet4 and Final Project

- You can propose a final project
- Requires instructor sign-off
- Or we give you one: PSet 4,
  - Earlier release (after PSet 2, 2 weeks before project proposal),
  - Improved, easier, a good default for most
  - Open ended but with an easier start

- Can use any language and/or deep learning framework for project but starter code for PSet4 will be in TensorFlow again

- We encourage teams of 2 people (and with exceptions 3)
  - Start finding a partner soon.
4. Why is NLP hard?

- Complexity in representing, learning and using linguistic/situational/contextual/world/visual knowledge
- But interpretation depends on these

- Human languages are ambiguous (unlike programming and other formal languages)

- E.g. “I made her duck.”
Why NLP is difficult:
Real newspaper headlines/tweets

1. The Pope’s baby steps on gays

2. Boy paralyzed after tumor fights back to gain black belt

3. Enraged cow injures farmer with axe

4. Juvenile Court to Try Shooting Defendant
5. Deep NLP = Deep Learning + NLP

Combine ideas and goals of NLP with using representation learning and deep learning methods to solve them

Several big improvements in recent years in NLP

- **Linguistic levels**: (speech), words, syntax, semantics
- **Intermediate tasks/tools**: parts-of-speech, entities, parsing
- **Full applications**: sentiment analysis, question answering, dialogue agents, machine translation
Word meaning as a neural word vector – visualization

\[
\text{expect} = \begin{pmatrix}
0.286 \\
0.792 \\
-0.177 \\
-0.107 \\
0.109 \\
-0.542 \\
0.349 \\
0.271 \\
0.487
\end{pmatrix}
\]
Nearest words to *frog*:

1. frogs
2. toad
3. litoria
4. leptodactylidae
5. rana
6. lizard
7. eleutherodactylus

[Image of frogs, toads, and other amphibians]

[Link to Stanford NLP GloVe project]

1/9/18
Representations of NLP Levels: Morphology

- Traditional: Words are made of morphemes
  - prefix stem suffix
  - un interest ed

- DL:
  - every morpheme is a vector
  - a neural network combines two vectors into one vector
  - Luong et al. 2013

**Figure 1:**

```
unfortunatelySTM
  / \                / \                / \
 unPRE fortunateSTM  lySUF
```

- The objective function is then simply the sum of all individual costs over training examples, plus a regularization term, which we try to minimize:
  \[
  J(\theta) = \sum_{i=1}^{N} p(x_i) r_i + \lambda \| \theta \|^2_2
  \]
  - \( r_i \) is an intercept vector.
  - \( \lambda \) is an or-tactic information, the word structure, as we train.
  - \( \theta \) be useful to explicitly model another kind of syn-
  - the semantic and syntactic information, it would.
  - from an NLM could, in general, blend well both.
  - vectors might result in a bad model with param-
  - to construct exactly those poorly-estimated word
  - frequent (35323 and 26080 respectively). Trying
  - in Wikipedia documents, even though their corre-
  - are very rare, occurring only 141 and 340 times
  - For example, “distinctness” and “unconcerned”
  - words which might have been poorly estimated.
  - no chance of improving representations for rare
  - solely from an embedding. However, it is lim-
  - to attest if morphemic semantics could be learned
  - The cimRNN model, though simple, is interesting
  - 3.2 Context-sensitive Morphological RNN
  - a regularization term, which we try to minimize:
NLP Tools: Parsing for sentence structure

- Neural networks can accurately determine the grammatical structure of sentences
- This supports interpretation and may help in disambiguation
Representations of NLP Levels: Semantics

- **Traditional**: Lambda calculus
  - Carefully engineered functions
  - Take as inputs specific other functions
  - No notion of similarity or fuzziness of language
- **DL**:
  - Every word and every phrase and every logical expression is a vector
  - a neural network combines two vectors into one vector
  - Bowman et al. 2014
NLP Applications: Sentiment Analysis

- Traditional: Treat sentence as a bag-of-words (ignore word order); consult a curated list of "positive" and "negative" words to determine sentiment of sentence. Need hand-designed features to capture negation! --> Ain’t gonna capture everything 😞
- Same deep learning model that could be used for morphology, syntax and logical semantics \( \rightarrow \) RecursiveNN (aka TreeRNNs)
Question Answering

- Traditional: A lot of feature engineering to capture world and other knowledge, e.g., regular expressions, Berant et al. (2014)

<table>
<thead>
<tr>
<th>Condition</th>
<th>Regular Exp.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wh- word subjective?</td>
<td>AGENT</td>
</tr>
<tr>
<td>Wh- word object?</td>
<td>THEME</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Condition</th>
<th>Regular Exp.</th>
</tr>
</thead>
<tbody>
<tr>
<td>default</td>
<td>(ENABLE</td>
</tr>
<tr>
<td>DIRECT</td>
<td>(ENABLE</td>
</tr>
<tr>
<td>PREVENT</td>
<td>(ENABLE</td>
</tr>
</tbody>
</table>

- DL: Again, a deep learning architecture can be used!
- Facts are stored in vectors
Dialogue agents / Response Generation

• A simple, successful example is the auto-replies available in the Google Inbox app
• An application of the powerful, general technique of Neural Language Models, which are an instance of Recurrent Neural Networks
Machine Translation

- Many levels of translation have been tried in the past:

- Traditional MT systems are very large complex systems

- What do you think is the interlingua for the DL approach to translation?
Neural Machine Translation

Source sentence is mapped to vector, then output sentence generated [Sutskever et al. 2014, Bahdanau et al. 2014, Luong and Manning 2016]

Die Proteste waren am Wochenende eskaliert. The protests escalated over the weekend.

Now live for some languages in Google Translate (etc.), with big error reductions!
Conclusion: Representation for all levels? Vectors

We will study in the next lecture how we can learn vector representations for words and what they actually represent.

Next week: how neural networks work and how they can use these vectors for all NLP levels and many different applications
...ANYWAY, I COULD CARE LESS.

I THINK YOU MEAN YOU COULDN'T CARE LESS. SAYING YOU COULDN'T CARE LESS IMPLIES YOU CARE AT LEAST SOME AMOUNT.

I DUNNO.

WE'RE THESE UNBELIEVABLY COMPLICATED BRAINS DRIFTING THROUGH A VOID TRYING IN VAIN TO CONNECT WITH ONE ANOTHER BY BLINDLY FLING WORDS OUT INTO THE DARKNESS.

EVERY CHOICE OF PHRASING AND SPELLING AND TONE AND TIMING CARRIES COUNTLESS SIGNALS AND CONTEXTS AND SUBTEXTS AND MORE, AND EVERY LISTENER INTERPRETS THOSE SIGNALS IN THEIR OWN WAY. LANGUAGE ISN'T A FORMAL SYSTEM. LANGUAGE IS GLORIOUS CHAOS.

YOU CAN NEVER KNOW FOR SURE WHAT ANY WORDS WILL MEAN TO ANYONE.

ALL YOU CAN DO IS TRY TO GET BETTER AT GUESSING HOW YOUR WORDS AFFECT PEOPLE, SO YOU CAN HAVE A CHANCE OF FINDING THE ONES THAT WILL MAKE THEM FEEL SOMETHING LIKE WHAT YOU WANT THEM TO FEEL. EVERYTHING ELSE IS POINTLESS.

I ASSUME YOU'RE GIVING ME TIPS ON HOW YOU INTERPRET WORDS BECAUSE YOU WANT ME TO FEEL LESS ALONE. IF SO, THEN THANK YOU. THAT MEANS A LOT.

BUT IF YOU'RE JUST RUNNING MY SENTENCES PAST SOME MENTAL CHECKLIST SO YOU CAN SHOW OFF HOW WELL YOU KNOW IT, THEN I COULD CARE LESS.

https://xkcd.com/1576/
Randall Munroe CC BY NC 2.5