These are all of the answers to the sample questions. However, they are given without a clear mapping from question to answer. A necessary (but far from sufficient) condition for being prepared for the quiz is to be able to connect the questions and answers yourself.

1. A weakness of the VMS system is that applications only get as many pages as fit in their resident set limit, even if they could profitably use more. Increasing the free list size will let them effectively use more than that since they can fill up the freelist with their pages which are rescued on use. So, one workload that runs better: many small applications and one large one that requires more than its resident set limit (everyone’s resident set limit will be smaller, giving the large application a larger free list to rescue pages from.) One workload that runs worse: a single application that needs more than the new resident set limit (it will pay the cost of more rescues).

2. The global lockset will always be strictly larger than the local one so you will get false negatives, depending on the scheduling. For example, the following contrived execution sequence would prevent eraser from flagging that T2 does an unprotected access to variable x:

```
T1       T2
lock(a);
--- switch -->
    x++;
<--- switch <---
```
x++;
unlock(a);

3. If a monitor sleeps holding the lock waiting on a condition it must release it otherwise no other thread can enter the monitor to make the condition true. This is also true in Linux with the addition that there is no other monitor, so it must always release the lock since otherwise the OS cannot run at all. So at each sleep point, the kernel releases the BLK and then when the thread wakes up, reacquires it. The problem this causes is that this breaks the critical section, which can cause a race condition.

4. Eraser will notice the access to the shared variable without lock and will report an error.

There is no race condition in the code because the threads implicitly synchronize using the pointer value stored in q.

5. There were a bunch of different possible answers:

(a) lack of mechanism to follow up reports at AeCL
(b) assertion that no other accidents had happened
(c) printout feature disabled, so no hard copy of the data
(d) reporting regulations only applied to manufacturers rather than users
(e) error messages
(f) AeCL could not reproduce accidents
(g) letter to users did not warn that patient injury was involved
(h) overdoses not acknowledged.
(i) audio/video off
(j) slow letters
(k) lack of information propagation for complaints by users
6. The first part is easy: the larger the limit, the more calls you can do before checking that you’ve exceeded the stack. The right way to look at the second part is that as you increase the depth of the call chain between checks, the chance that there is a worst case path that will exceed your current stack increases, forcing you to allocate another stack.

7. Very bad: if slow moving was low, and an application started using an enormous amount of memory ESX would keep its active set small, causing the application to have way too little memory and do very bad things. Not as probable, but still bad: if the application went through cycles of being busy and then during idle the fast average will go low, dragging the entire average down, causing ESX to take back memory (paging it out, etc) that it will then have to immediately have to give back.

8. \( \frac{W_a(t)}{S_a} = \frac{W_b(t)}{S_b} \) implies:

   (a) \( S_b \ W_a = S_a \ W_b \).
   (b) \( t = W_a(t) + W_b(t) \).
   (c) \( \text{Sum}(S_i) = S_a + S_b \)

   We need to show that given these that the error is zero. I.e.:

   \[
   0 = W_a(t) - t \cdot \frac{S_a}{\text{Sum}(S_i)} \\
   = W_a - \frac{(W_a+W_b)\cdot S_a}{S_a+S_b} \\
   = \frac{(S_a+S_b)W_a - (S_a \ W_a + S_b \ W_b)}{S_a+S_b} \\
   = \frac{S_a \ W_a + S_b \ W_a - (S_a \ W_a - S_b \ W_b)}{S_a+S_b} \\
   = S_b \ W_a - S_a \ W_b \\
   = S_a \ W_b - S_a \ W_b \\
   = 0.
   \]

9. Worse: if you didn’t demote and only one base page out of the superpage was being used, you waste memory. Better: if you didn’t demote and all pages were being heavily used, you save useless faults and repromotions.

10. Linked list with lock acquired on every element; lock on each record.
11. This question is very open-ended. Setting RSS to 0 will probably have the worst overhead. First, since the system will likely break immediately. Second, even if it does not break, every single memory reference will require a list removal and insertion. This would happen every few instructions (if not every instruction given that instructions reside in memory). Assuming 10ms disk access time, then the paper states that a rescue takes 200usec, which means that if we do it more than 50 times we pay more than for a single disk access. The alternative would be setting RSS to the size of memory, degenerating to FIFO, but from the graphs FIFO will most likely not cause us to take a page fault every 50 memory references so would still be better.

12. Set-up Test depends on Lmtchk running between the increment of Class3 and the check of f$\exists$mal, but there is nothing in the code to enforce this.

5 pts: Answer given in solution. 2 pts: Answer talking about overflow on class 3 variable

13. VMS uses linear page tables with 32-bit entries. Pages are 512 bytes long, which means they can hold 128 PTE entries. So a bad address space layout would be to allocate every 128th page forcing it to use an entire page to hold a single PTE. An even worse one would be to maximize the overhead of the system page table as well, which needs one entry to map a page of a P0 or P1 page table. We can similarly maximize overhead by having it map “empty” PTEs by allocating pages $128 \times 128$th page. This is really bad since the system page table resides in physical memory and so cannot be page.

14. The figure is right. Looking at the later description of the implementation, any write will take it to shared-modified. Once it is shared it is running the lockset algorithm without giving warnings, which means that the per-variable shadow area contains the lockset pointer, so it can no longer be keeping track of the thread number of the original writer. We can also reason from what it should do. If anyone is writing into a variable that at least one other thread has been reading from, we have a possibility of a race, so we had better we raising alerts if the locking protocol is violated. (a legalistic reading of the text can claim that it is technically accurate; it is true that a write access from a new thread
in the Shared state does take it to the Shared-Modified state; they just
didn’t bother to mention that a write access from the old thread in the
Shared state also takes the variable to the Shared-Modified state. Un-
der that interpretation the sin is that the authors forgot to mention one
important case.))

15. Eraser cannot detect if your critical sections are big enough (ex1), if
the compiler performs optimizations that mask reads/writes to memory
(ex2), or initialization. E.g.,

```c
// ex 1
lock(l);
t = x;
unlock(l);
lock(l);
t++;
unlock(l);
lock(l);
x = t;
unlock(l);

// ex 2
lock(l);
t = x;
unlock(l);
x++;
lock(l);
// if x is in a register, Eraser misses this
x++;
unlock(l);

// ex 3
int *g = 0;
void run() {
    int *p = malloc(4);
    *p = 0;
    g = p;
    process();
}
```
void process() {
    (*p)++; // race condition
}

it will not catch when you make a decision based on information that could be stale (because it was not protected by a lock). Possible fixes: For ex 1 let user annotate that two variables should be protected by the same lockset; For ex2, the registers could be checked along with the memory that is loaded to it; For ex3, an annotation could be inserted to indicate when initialization is finished.

16. The most straightforward: Navarro puts closed files on the inactive list where they can be moved to the cache list under continuity pressure. A simple workload would be (1) a program that runs repeatedly and accesses the same files running concurrently with (2) an application that needs large superpages (e.g., FFTW) and hence steals these pages for its own ends.

17. Unfortunately no: while the balloon application could easily “claim” memory from other applications by calling malloc and then frantically touching it, the OS still owns the memory and because the application cannot pin it, the OS can decide to use it for other purposes and page it to disk. The balloon drivers in contrast allocated and pinned memory, making sure that the OS (1) knew it did not own it and (2) would not page it out.

18. alloc spikes, which means the application is using more memory. The active spike tracks that of alloc closely, which means it is a good estimation of alloc. And the balloon goes down inversely, which means that (1) this application is getting memory (most likely because it has a lower idle count than other ones) and (2) it had a reasonable amount of memory in its balloon and (3) the memory it is using is coming by unballooning. If the system had 2GB then the active line would be the same, the alloc line would be flat (all applications would have their max) and the balloon line would be flat as a result.
19. Runs when an allocation request fails or under memory pressure. Running it adds (1) CPU overhead of moving pages between the inactive to cache list and (2) will increasingly favor continuity over LRU, thereby hurting cache effectiveness (usually).

20. Wakeup semantics. No recursive monitors. “Locks with clause” object not protected from modification. Does not worry much about fairness on locks “in a properly designed system should not be many processes waiting for locks.”

21. If there is enough space: will reserve a 512K reservation either directly or by pre-empting another 512K reservation.

If there is not enough space, the continuity daemon will run, trying to move enough memory to the cache and free list to make room. If it finds 512K free, it will make the reservation and immediately go to sleep. If not it will finish and then make the next smallest reservation: either 64K or 8K.

22. Since there are so few threads involved we know it has nothing to do with the fact that all threads have stacks. The most plausible reason (which we discussed in class) is that (1) since the other systems use preemptive multitasking they protect the shared producer-consumer queue with a lock and (2) the scheduler does not know about this lock and frequently interrupts and blocks threads that hold it. When (2) occurs no other thread can make progress and throughput drops through the floor. In contrast, Capriccio has manually-inserted yield points in the code (this is what “cooperative multitasking” means) and it looks like they carefully avoided putting these in where the shared queue was being manipulated. In my opinion, this is a dishonest experiment since the performance win has nothing to do with capriccio itself, just with the ability to say “do not interrupt me here,” which is an idea which has been around for awhile.

23. If a thread T2 with a higher priority is waiting on c, then when c is signaled by T1, the system will switch from the current thread T1 to T2, which will immediately block when attempting to acquire the monitor lock, be put on the lock queue, and then the system will eventually switch back to T1.
The compiler can release the monitor lock before the signal if signal is the last instruction in the monitor function.

24. To detect deadlock, to detect when you should be using a monitor.

25. Consider the following contrived program:

```plaintext
T1 T2
lock(a);
--- switch ---
x;
unlock(a);
```

Here, T2 never acquires the lock a thus eraser will not flag the access of x since it is protected by a.

5 pts: Answer given in the solution (or equivalent scenario). 2 pts: Some mention of missing locks

26. Uncaught exceptions in forked procedure causes system to go to debugger.

27. It's sort of true and sort of false. What they mean by localized reasoning: the waiter checks exactly the condition they were waiting on at the wait-site rather than having to look at all the signal-sites in the monitor code and make sure the invariant it needs is actually guaranteed there. In contrast, Hoare semantics would require the waiter to look at all the signal-sites to make sure they only signaled when the exact same condition was true. So in this sense it is simpler and more localized. However, it may be less simple in that if the wait condition is false, the waiter will have to issue another signal or do something (use broadcast) to make sure that if it cannot make progress it wakes up another waiter who might be able to — the allocation example in the mesa paper gives an example bug of where the waiter does not do this.

28. You can wrap up system calls in a user-level veneer that retries as long as the system call is interrupted. Sort of a lo-tech, synchronous scheduler activation. This invalidates the example but not necessarily the argument.
29. Something about a mix of applications; heavy paging, light paging, varying memory sizes, varying the parameters used to control the lists.

30. Input timing; no locks.

31. The real definition of a race condition is a concurrent access that causes an application invariant to be violated. There are many ways to concurrently modify shared memory with/without holding a lock that do/do not violate any invariant.

    // too strong: unprotected modification of shared statistics
    // variable
    count_packets++;

    lock(a);
    stack[n] = x; // add element to a stack
    unlock(a);

    // invariant violated at this point: n != number of elements in // stack.
    lock(a);
    n++; // increment element count
    unlock(a);

    Lots of other examples are possible.

32. You have to open a secret channel with ESX. The most straightforward is probably just open a socket connection. The application would malloc a big region and pin it. It would then unpin it to shrink. Downsides: (1) the application must run before it can pin/unpin memory, which will be much less under ESX’s control than a device driver (2) pages still might not be reused quickly by OS when unpinned if they are considered “accessed” (3) the application will have to signal to ESX which pages it pinned — possibly by writing special values into the pages (a little shady), finding a way to walk the page tables yourself to do the virtual to physical translation, or augmenting ESX to do it by inspecting
the guest’s page table when it received communications over its secret channel.

33. The replacement should have no effect for well-formed mesa programs that use a while loop to recheck their wait condition. Such programs will work (albeit possibly more slowly) even in the presence of completely random wakeups.

34. There are a few:

(a) If we run one copy of VMS on ESX: VMS could be running many copies of the same program, in which case page sharing could help.

(b) Multiple VMS copies on top of ESX vs one VMS: VMS uses static resident set limits even if different processes are not making good use of their memory. If this was causing problems, ESX could reapportion memory between the different systems.

35. This isn’t very smart. The touched pages will be used by the guest OS (unlike balloon pages, which are “fake”), thereby increasing rather than reducing its memory pressure. Additionally, keeping them active will displace other more useful pages.

36. As with the previous question, it would allow you to change the size of the resident set limit based on how actively the applications were using their pages. The most straightforward way would be to recalculate the resident set limit on page fault time based on the taxation calculation.

37. Main overhead of capriccio: dynamic checks. Main benefit: smaller stack. So we need to find cases where the stack size of static and Capriccio is the same, and Capriccio has to pay the overhead of dynamic checks. First example: a deep sequential callchain where each function only calls the function below it and then stays and does a lot of work at the leaf. Second example: similarly deep callchain where functions call a large function and a small function, but wind up doing all the work in the large function.
38. Without the “slow” average, the system will react too quickly to changes. E.g., assume the guest OS runs two applications, the first memory intensive, the second CPU bound. Each app gets 10ms to run (the scheduling quanta). Then when the CPU bound one is scheduled, it will use little memory, most of the guest’s memory will be judged as idle and the entire guest system will be penalized.

39. When packets arrive at a sufficient rate, transmit does not get to run. In 6-3, all work happens in the kernel and cannot get interrupted. In 6-4, screend runs in user space and so can get interrupted by transmit.

40. Infinite queue = infinite latency if the incoming packet rate is high enough. Jitter is also a problem.

41. Process packets as long as they come in; the point of polling was for fairness. To get full credit you needed to mention how polling with no quota specifically causes livelock (not just, “packets getting dropped at output queue”).

42. Suspends receive processing; if the packet was not for screend you are not happy.

Two points were given for correctly describing the hack. The rest of the points were dependent on the description of the hack’s behavior in a multi-process system.

43. No livelock; -1 point if you didn’t give a reason why. Underutilization is a problem.

44. New connection requests are not flow-controlled. Additionally, poor video performance will causes people to push “reload,” which causes more connection requests, which are not flow-controlled. And people could always launch denial-of-service attacks. We also accepted the answer that video streams are almost always UDP, so even though the initiation of a stream might be through TCP, the stream could be use UDP, and thus no (or poor) flow control can lead to livelock.

45. Problem is multiple sources of starvation:
   (a) If eth1 is busy, eth2 will starve.
(b) If receive busy, send will starve
(c) If net busy, app will starve.

Starvation = a scheduling problem where (1) a job can run too long or
(2) some set of jobs are consistently selected over others. To solve the
first problem we give each job a time-slice (in this case a quota of the
number of packets we’re willing to let them process). To solve the first
problem we round robin (fair) schedule between all different jobs.

46. Both will shut off work generation when processing packets. The first by
setting a flag and disabling interrupts, the second by doing everything in
the interrupt handler, also preventing further interrupts from occurring
until it is finished.

47. Eraser only ignores locations explicitly indexed off the stack pointer;
otherwise it tracks them — passing the address of a var means that
it won’t be indexed off the stack pointer. The FP happens because of
memory reuse: stack memory is re-used on different function calls,
but eraser doesn’t notice this and so doesn’t clear its bookkeeping data
structures. they would need to tell eraser each stack record was being
reused, but they don’t seem willing to do so.

48. Initialization: start giving errors as soon as multiple threads could reach
(this eliminates a class of false negatives). You should only give errors
when multiple threads can reach a location; this eliminates numerous
of their false positives. Some students ignored the fact that you can still
end up with pointer aliasing problems

49. (a) Can get switched out at any point if another higher priority thread
is running
(b) Will get switched if it calls M when someone else already holds
M’s lock.
(c) Will get switched if it returns and releases M’s lock, and a higher
priority thread is blocked waiting for the lock.
(d) Will get switched if it calls signal and wakes up higher priority
(assumption: woken up thread gets put on run queue; this is not
true if it gets put on monitor lock queue).
50. If you have a larger buffer cache, you would plausibly see more buffer cache hits. However, as more memory is used to cache files, there will be relatively less available for virtual memory caching. This means you can plausibly have more page faults. Capriccio only handles explicitly blocking system calls, it does nothing for loads or stores that page fault. In this case, the entire capriccio process will be blocked and no capriccio threads will make progress.

51. (a) They only do one application, simulated. Better to run SPEC for real.
(b) Do not measure paging overhead, need to figure out total cost of the increased rescuing that happens more and more memory devoted to free list.
(c) Want to know the real impact on runtime.

There were lots of accepted solutions here, the above is just an example.

52. This wasn't a very clear question... Sorry about that. Basically if you gave the correct formulas, taxation amount, etc you got credit.

53. Memory pressure: demote to base pages. Write: demote to largest subset of papers. Differs because memory pressure wants to get a fine grained view of what is in use. Repromotion occurs in first case when all pages referenced. In second, when all are marked dirty.

54. (a) p could be deallocated.
(b) foo modifies p but mapped r/o.
(c) p could be a pointer that gets freed when the extension returns, but foo could demand long term pointers.

55. Safe-C gives the ability to detect fine-grained illegal reads or writes, so this would allow us to detect more faults, reducing the number of non-fatal extension failures and raising the number of system crashes for the non-nooks (each detection = reboot system).

56. Network should be much better: stateless device, and the code that uses it already does retransmission and checksumming to recover from lost data and detect corrupt data. Disk should be worse case: disk is dealing
with persistent state, and writing out bad data to disk is generally not a hot idea.

57. A process with few shares can then dramatically overshoot its fair share, giving large error. Extreme: A and B have the same VT, A has 1000 shares, B has 1 share. Doing B first will give huge error. Doing by VFT gives the smallest possible increase in error.

58. WFQ can run processes in any order. VTRR can only run processes in the order they are initially sorted in.

59. Traffic differentiation: One big improvement would be to track which application a packet was for and if its queue was full or it had used too much CPU discard the packet. Right now if any application has a full queue all receive processing is shut down. I took off 1 point for people who still had a single queue, but only discarded packets for backlogged applications. This way a backlogged application can still starve others for queue space, just not completely.