CS244

Advanced Topics in Networking

Switching

Nick McKeown

"High-speed switch scheduling for local-area networks"

[Tom Anderson, Susan Owicki, James Saxe, Chuck Thacker. 1993]

Spring 2025

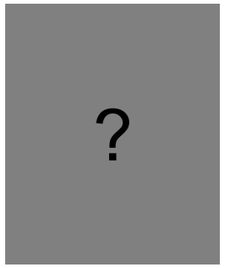
Context

Tom Anderson

At the time: DEC SRC (Palo Alto)
Professor of CS, University of Washington
Previously: UC Berkeley, EECS

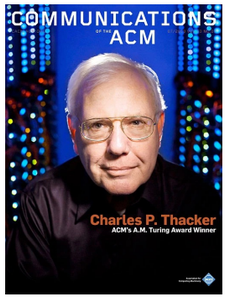
Susan Owicki

At the time: DEC SRC (Palo Alto)
Before that: Prof of EE & CS, Stanford
Today: Marriage and Family Therapist, Palo Alto



James B. Saxe

At the time: DEC SRC (Palo Alto)
After that: Compaq and HP Labs



Chuck Thacker (d. 2017)

At the time: DEC SRC (Palo Alto)
Before that: Xerox PARC (“Alto”)
After that: Microsoft
2010 Turing Award Winner

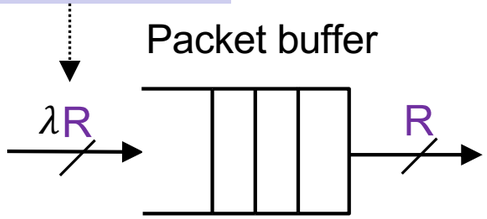
At the time the paper was written…

- WWW was new, and Internet traffic was growing fast
- Fastest Ethernet networks ran at 100Mb/s
- Lots of interest in building faster switches and routers
- Lively debate about an alternative to the Internet, called “ATM”

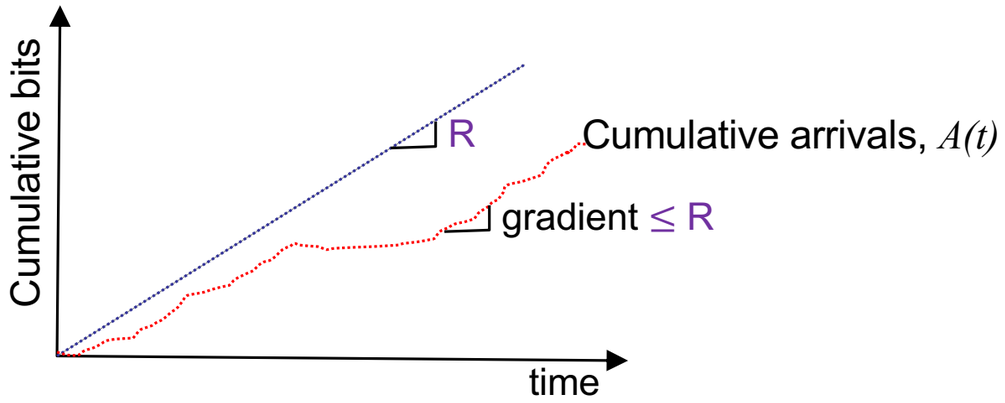
But first…

A few words about packet queues…

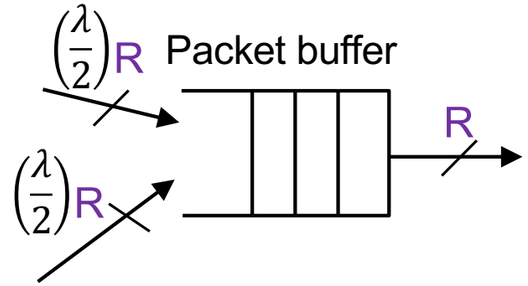
R = line rate.
e.g. 100M bit/s, 10Gb/s



Q: For any "load" $\lambda \leq 1$, what arrival pattern leads to the most customers in the queue?



Observation: With one arrival "line" at the same rate, the queue is always empty (or at most one store-and-forward packet). The arrival process is deterministically "bounded" by R.



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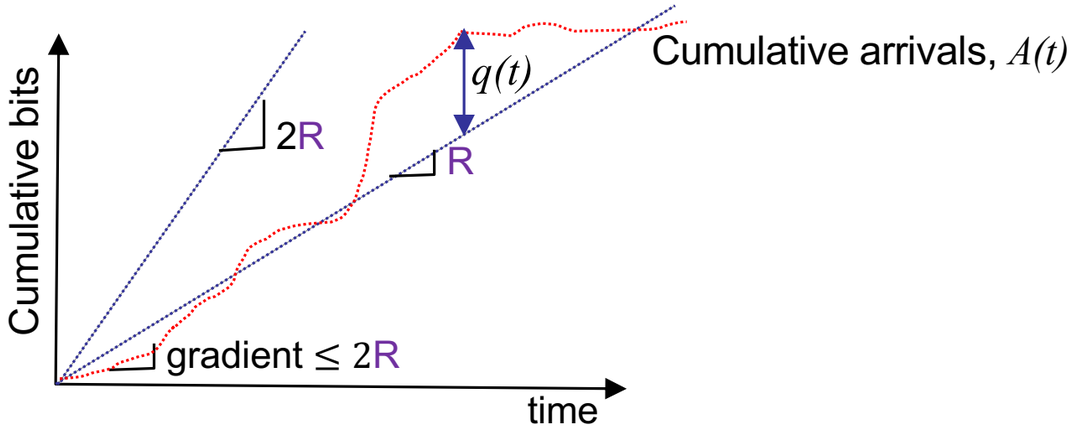
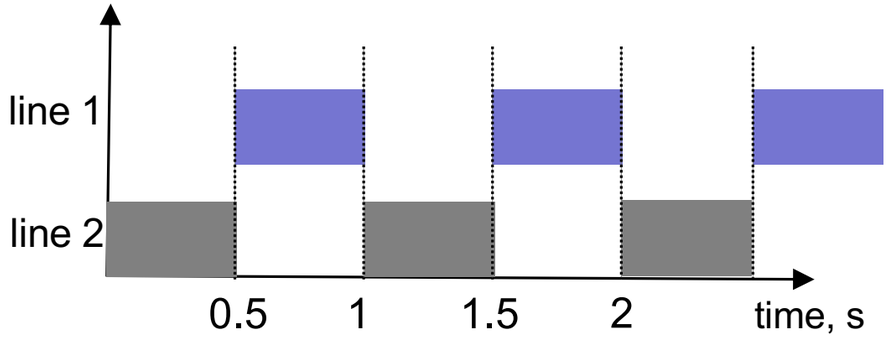


Observation: The arrival rate is "bounded" by R on average. Instantaneously, it can reach 2R. The queue size is unbounded.

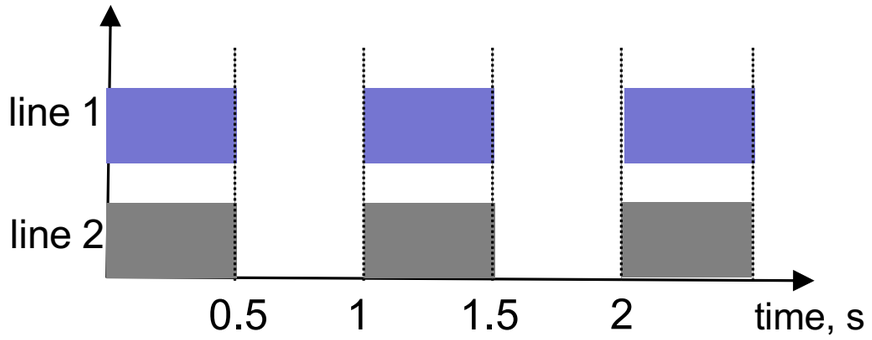
Different cases for $\lambda = 1$

1



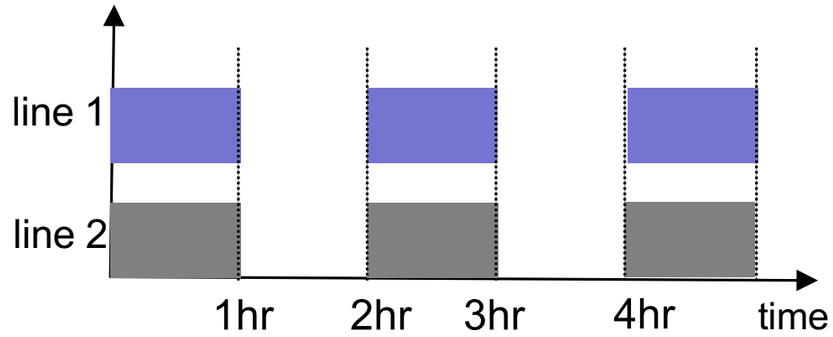
Q: How big does the buffer need to be?

2



Q: How big does the buffer need to be?

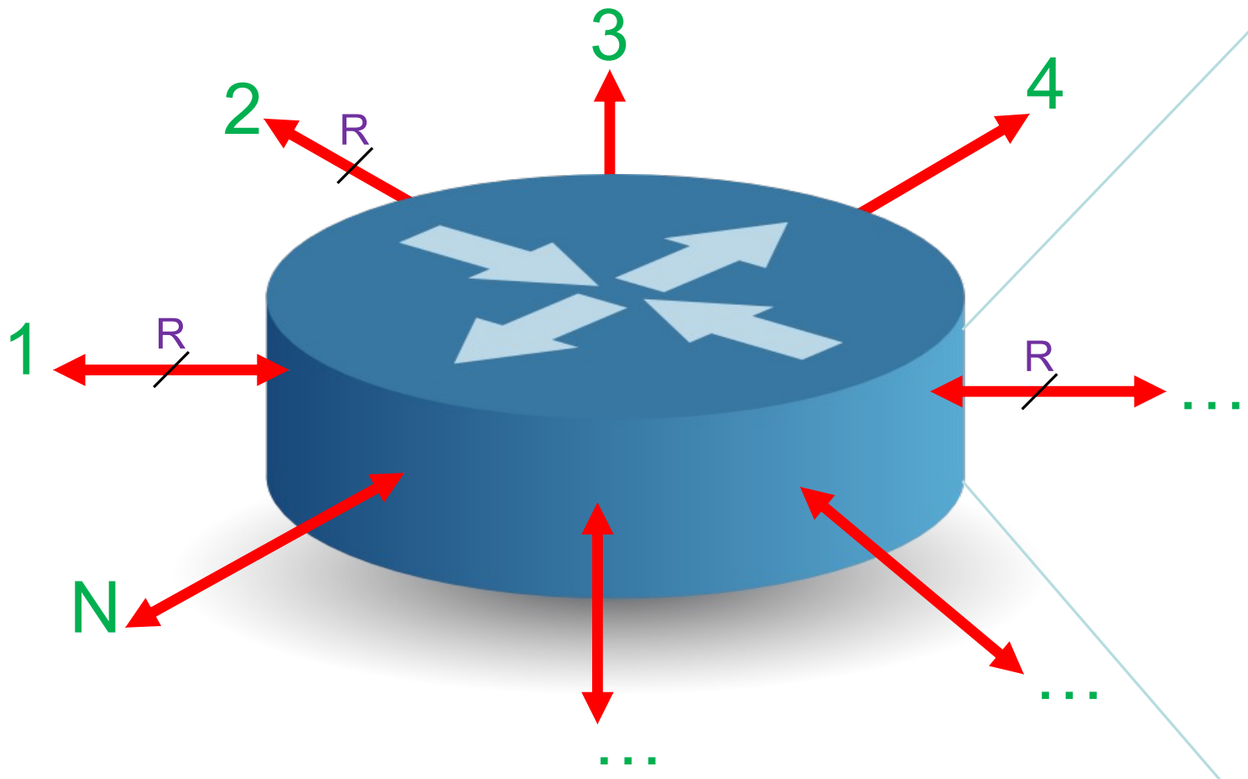
3

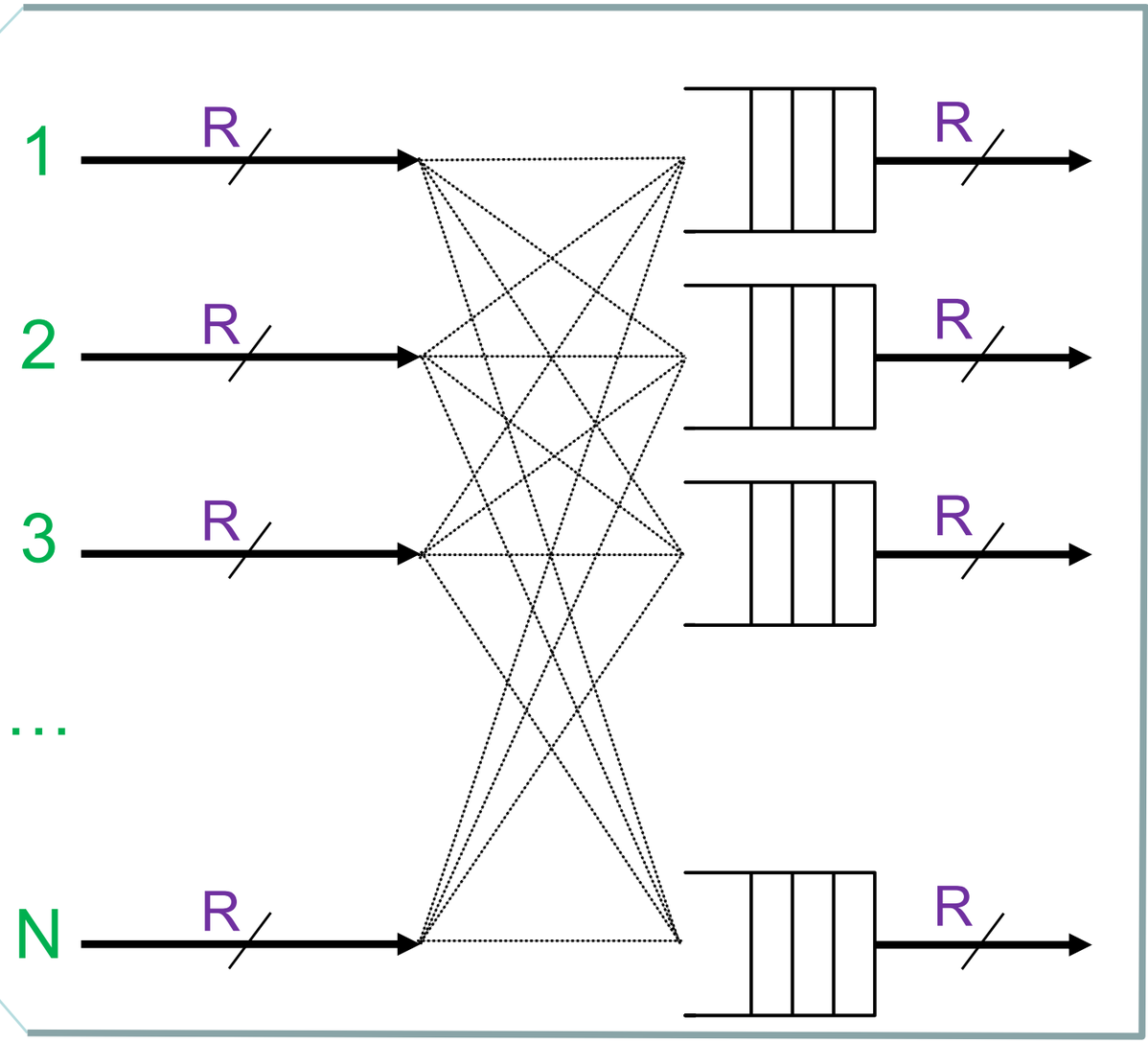


Q: How big does the buffer need to be?

Observation: For a given arrival rate, in order to know the queueing delay, we need to know the pattern (or "process") of arrivals.

Background





A switch, or router, with N “ports”.
Each port runs at rate R b/s.
We say the “switching capacity” is N x R b/s.

You said…

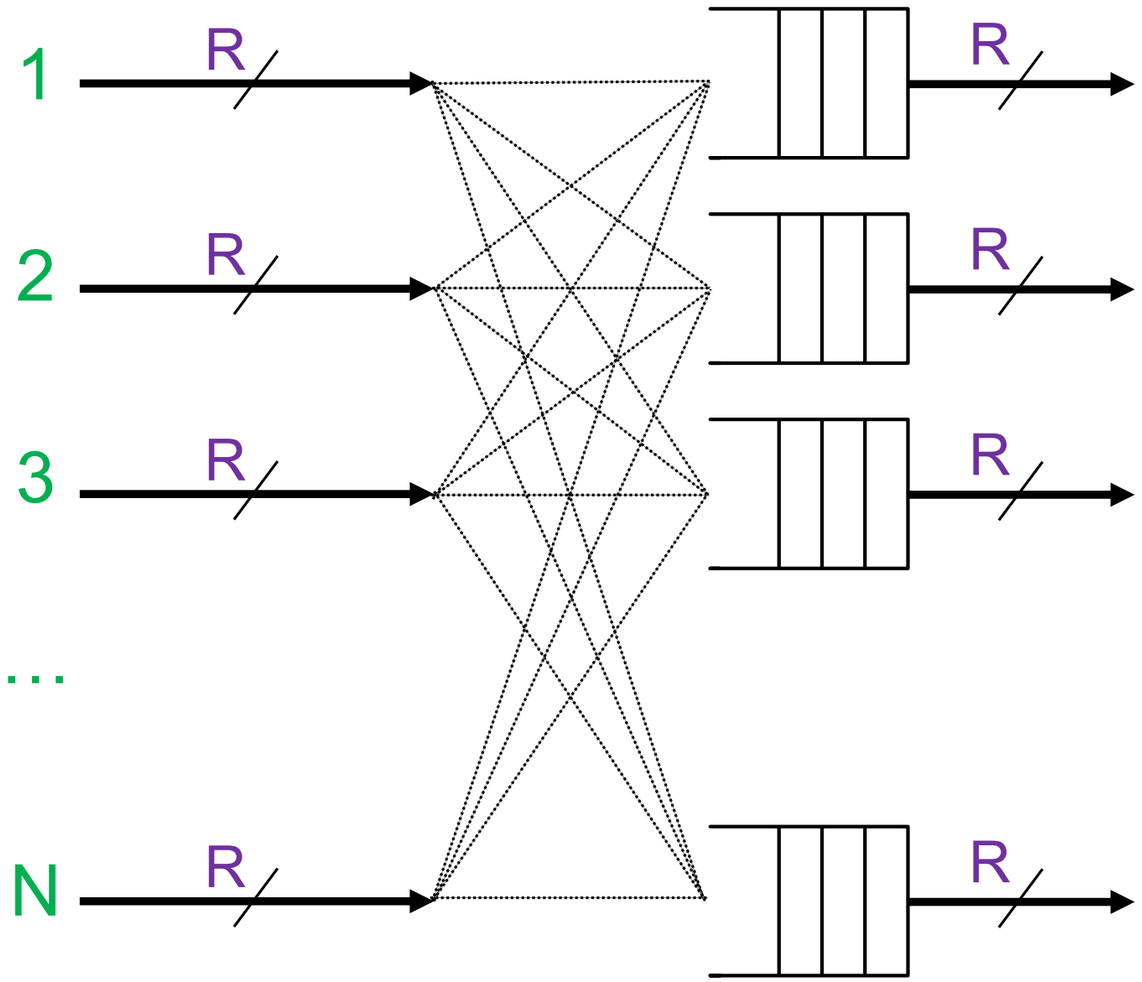
Onkar Deshpande

While the scheduling algorithms are novel and theoretically sound, **certain assumptions now seem outdated**. The **use of fixed-length cells**, for instance, appears less relevant in modern networks where packet sizes vary widely. Modern datacenter traffic includes a mix of full-MTU packets (often 1500 bytes) and much smaller control packets.

Hannah Dunn

The authors' evaluation seemed unbiased and sound, though I did wonder about the phrase, "this leads to latency bounds that seem acceptable for multimedia applications," because **to whom do these bounds "seem" acceptable**?

An output-queued (OQ) switch



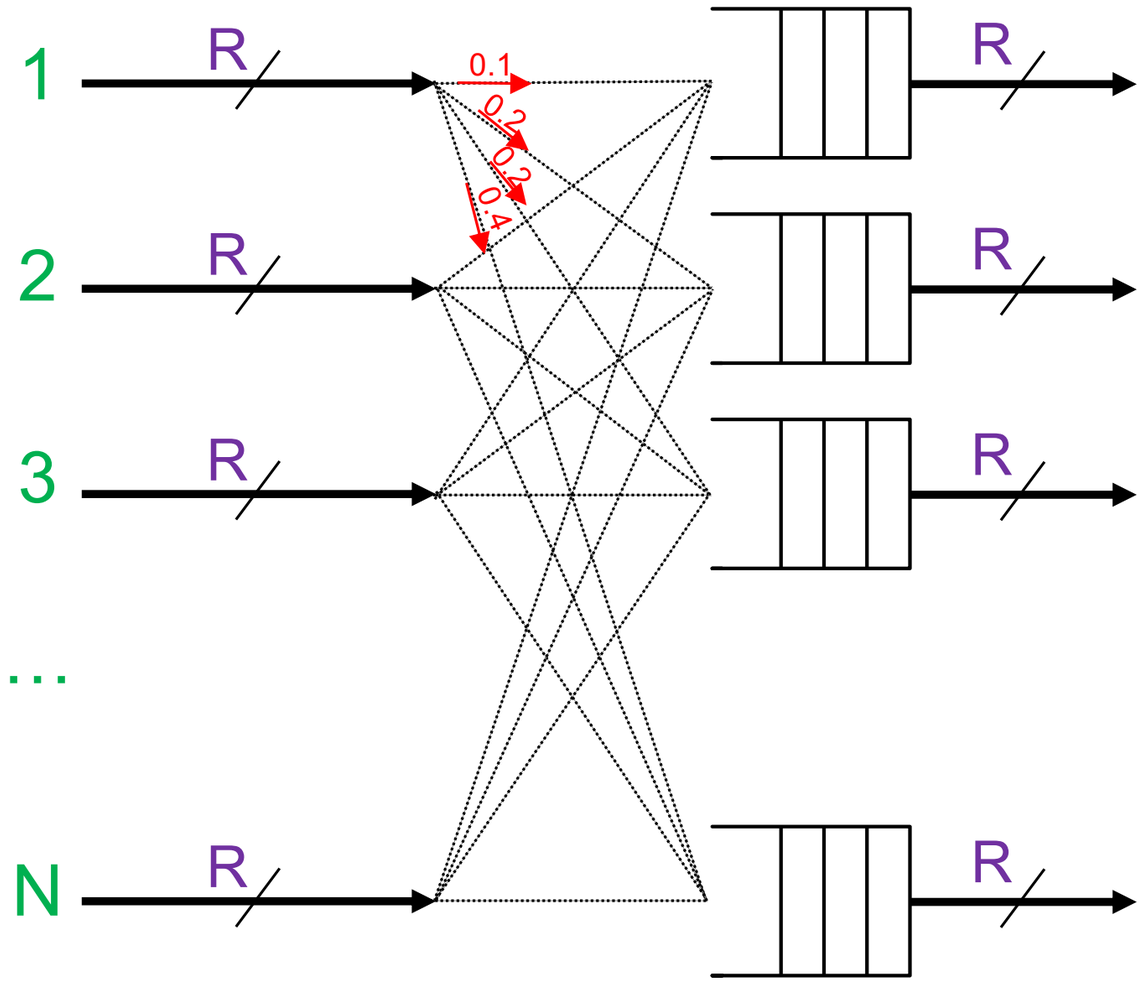
Properties of an OQ switch

- All buffering takes place at the output.
- Output queues must be able to write packets at rate N x R.

Consequences

- "Work conserving": Whenever there is a packet in the system, its output is busy sending a packet. No unnecessary idling.
- Average delay is minimized.
- But memory bandwidth limits the switching capacity.

Traffic Matrix



Traffic matrix, $\Lambda = [\lambda_{i,j}]$

$\lambda_{i,j}$ is the fraction of traffic from input i to output j

For example:

$$\Lambda = \begin{bmatrix} 0.1 & 0.2 & 0.2 & 0.4 \\ 0.2 & 0.3 & 0.1 & 0.1 \\ 1.0 & 0.0 & 0.0 & 0.0 \\ 0.1 & 0.4 & 0.3 & 0.1 \end{bmatrix}$$

Note that the row (input) sum: $\sum_j \lambda_{i,j} \leq 1, \forall i$

Non-oversubscribed TM:

Total traffic rate to each output is ≤ 1

$$\sum_i \lambda_{i,j} \leq 1, \forall j$$

and still: $\sum_j \lambda_{i,j} \leq 1, \forall i$

Uniform Traffic Matrix:

$$\Lambda = \lambda \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$where: \lambda \leq 1/N$

OQ Switches and “100% Throughput”

If we send traffic according to any non-over-subscribed traffic matrix to an OQ switch *(with infinite buffers)* then the output rates correspond to the column sums.

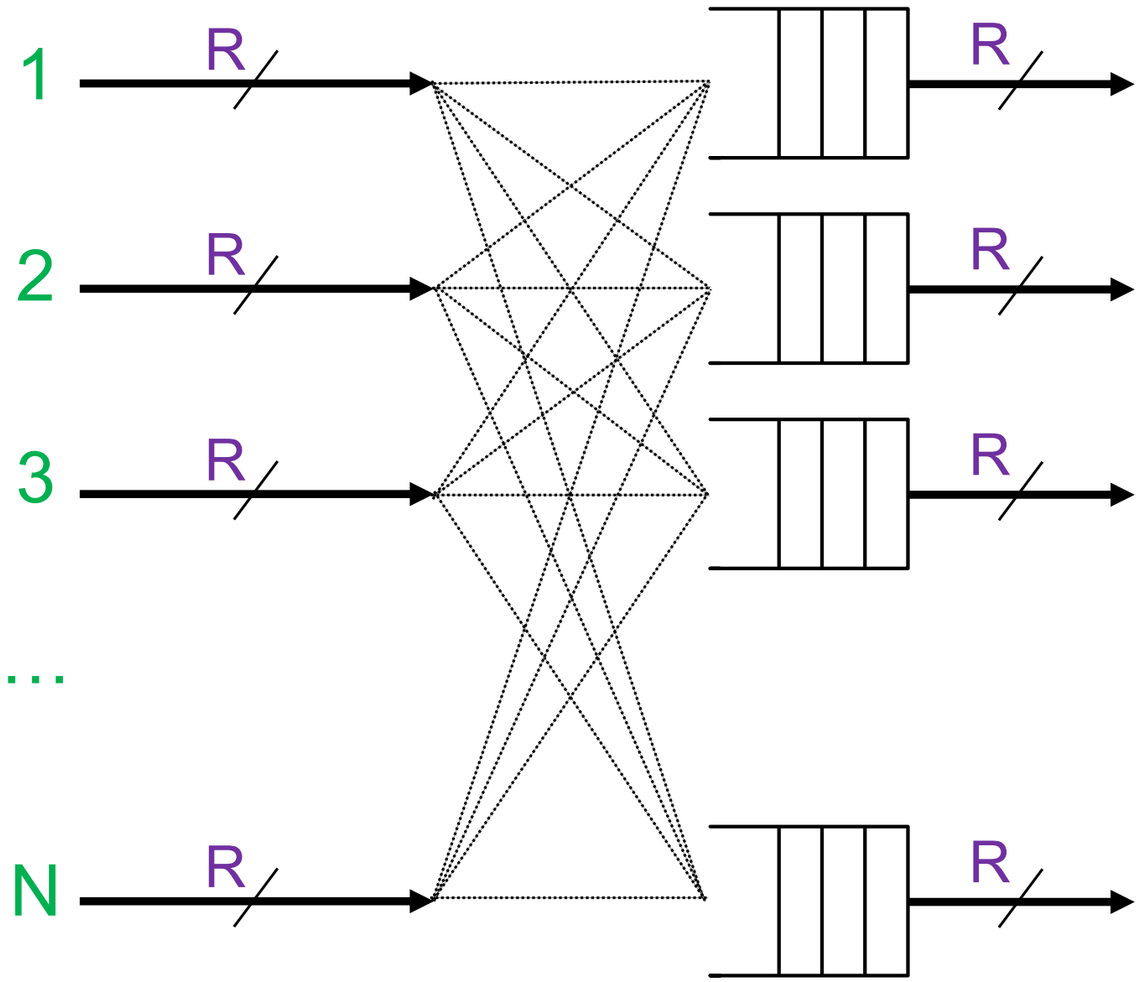
i.e. The traffic rate at output $j = R\sum_i \lambda_{i,j} \leq R$

Put another way, an OQ switch can “keep up” with any reasonable traffic matrix we throw at it.

We often say an OQ switch can “sustain 100% throughput”.

Q: What happens if the buffers are finite?

An input-queued (IQ) switch



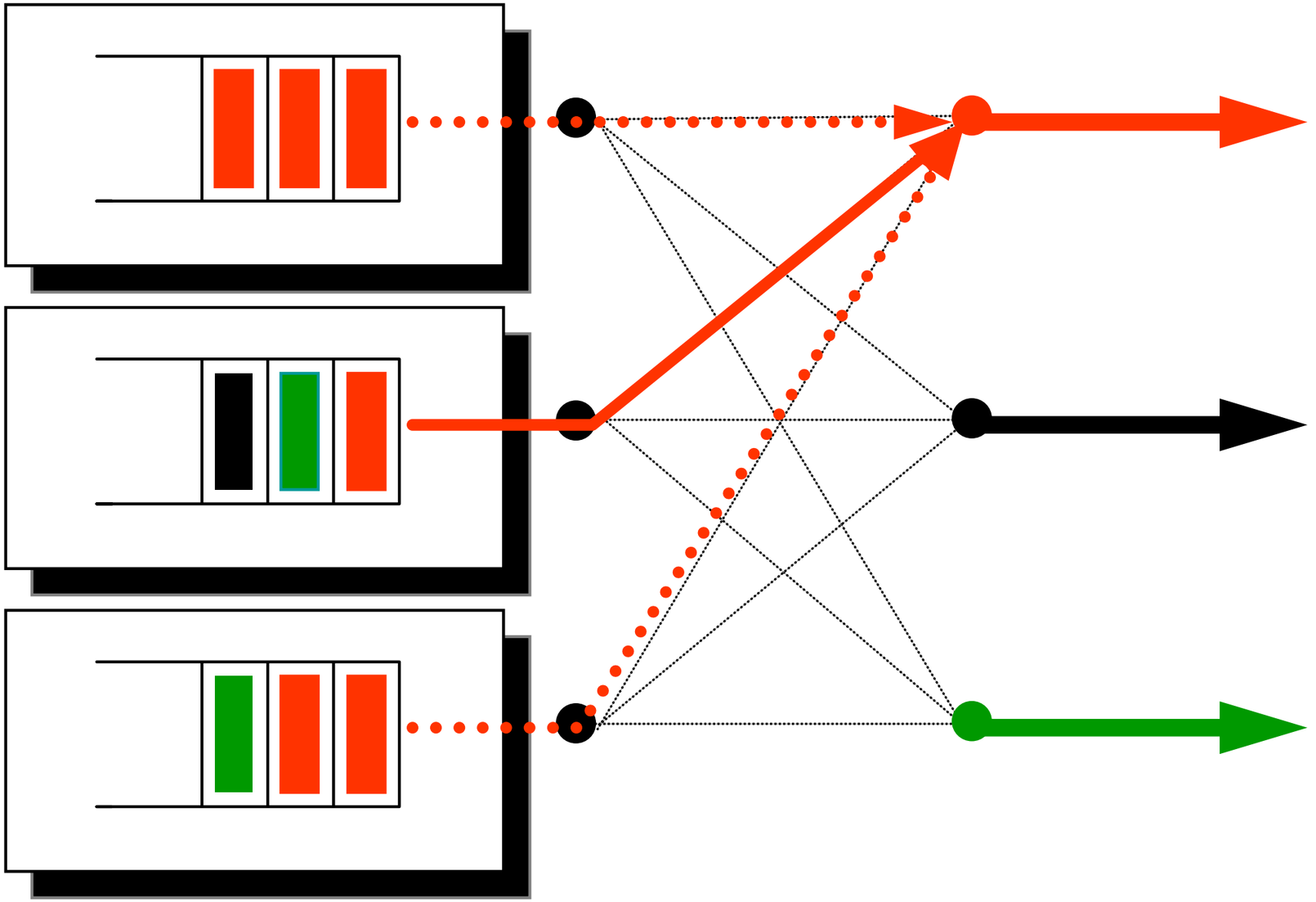
Properties of an IQ switch

- All buffering takes place at the input.
- Input queues only need to be able to write packets at rate R (instead of N x R).

Consequences

- Can build a switch N times faster.
- But, a packet can be held up by packet ahead destined to a different output.
- Hence an IQ switch is not “work conserving”. It can unnecessarily idle.
- May not achieve “100% throughput”.
- Average delay is not minimized.

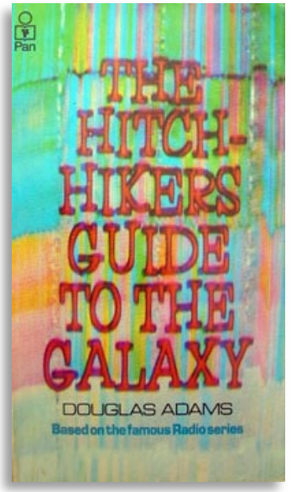
Head of Line Blocking

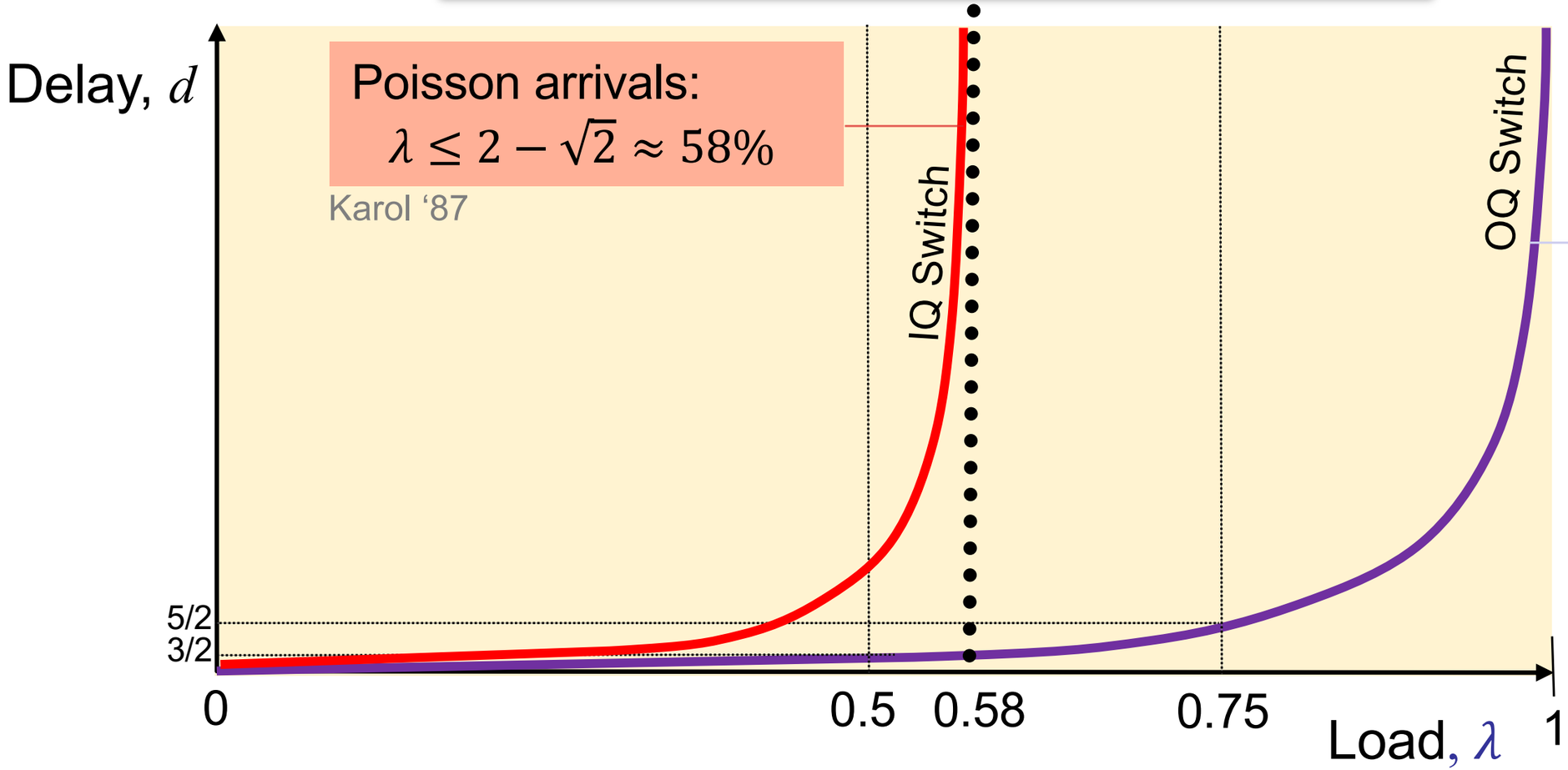


Head of Line Blocking

IQ switch with uniform traffic matrix, $\lambda \leq 1$

Observation: HOL Blocking means we lose 42% of the switching capacity

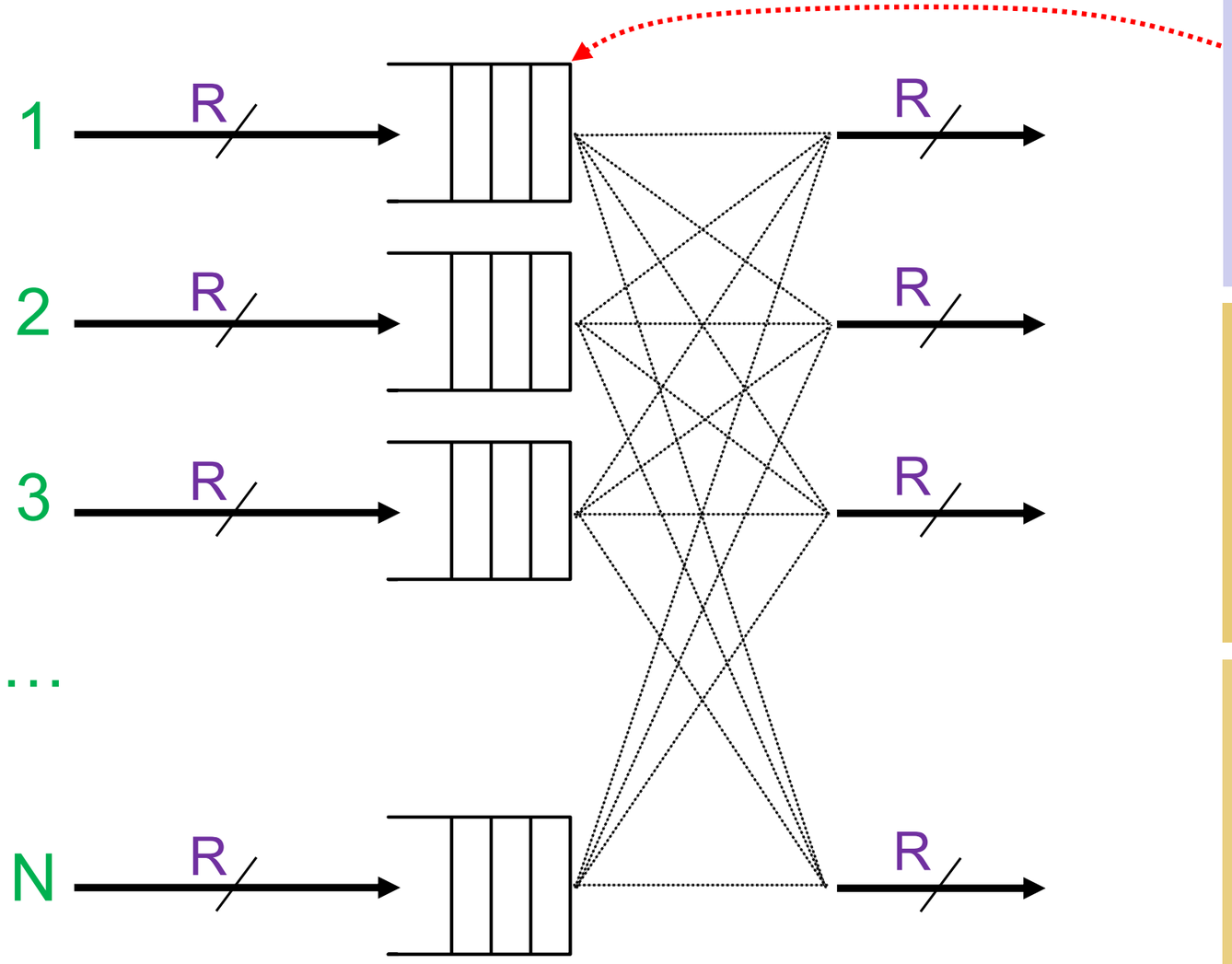




Poisson arrivals:

$$E(d) = \frac{1}{2}\left(\frac{2-\lambda}{1-\lambda}\right)$$

What does the “58%” result mean?



Arrival rate λR → Departure rate μR

$\lambda, \mu \leq 1$

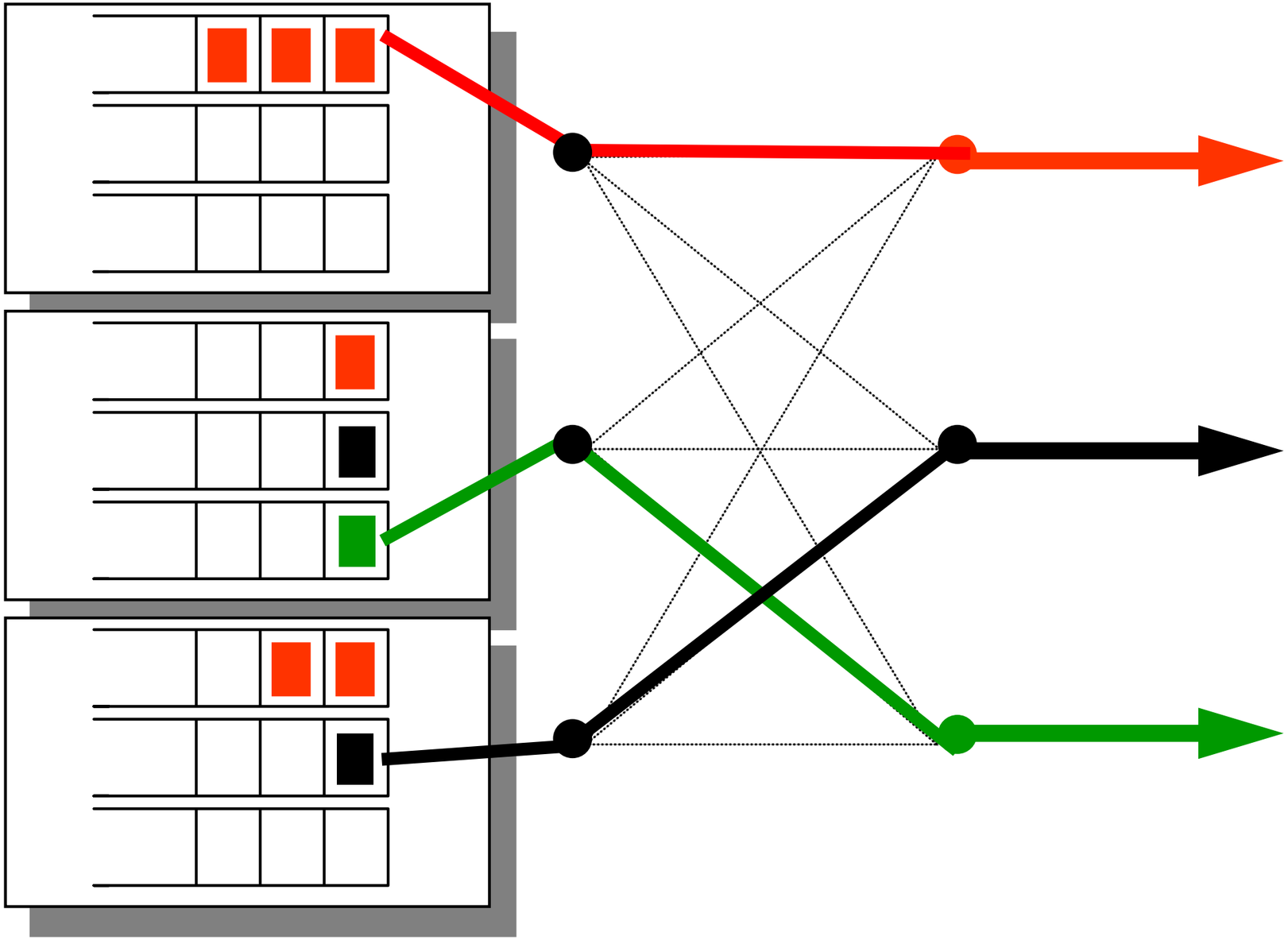
OQ switch

Arrival rate λR → Departure rate R

IQ switch uniform TM, Poisson

Arrival rate λR → Departure rate $\leq 0.58R$

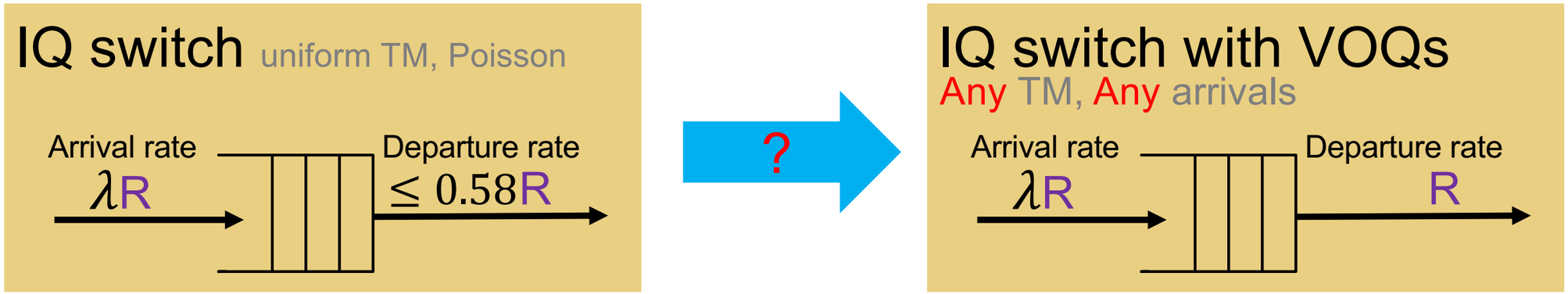
Virtual Output Queues (VOQs)



Basic idea

With a VOQ, a packet cannot be held up by a packet in front of it, destined to a different output.

Q: With VOQs, does/can 58% become 100% throughput?



100% Throughput

Reminder: "100% throughput" is equivalent to

For a non over-subscribing traffic matrix, queues don't grow without bound.

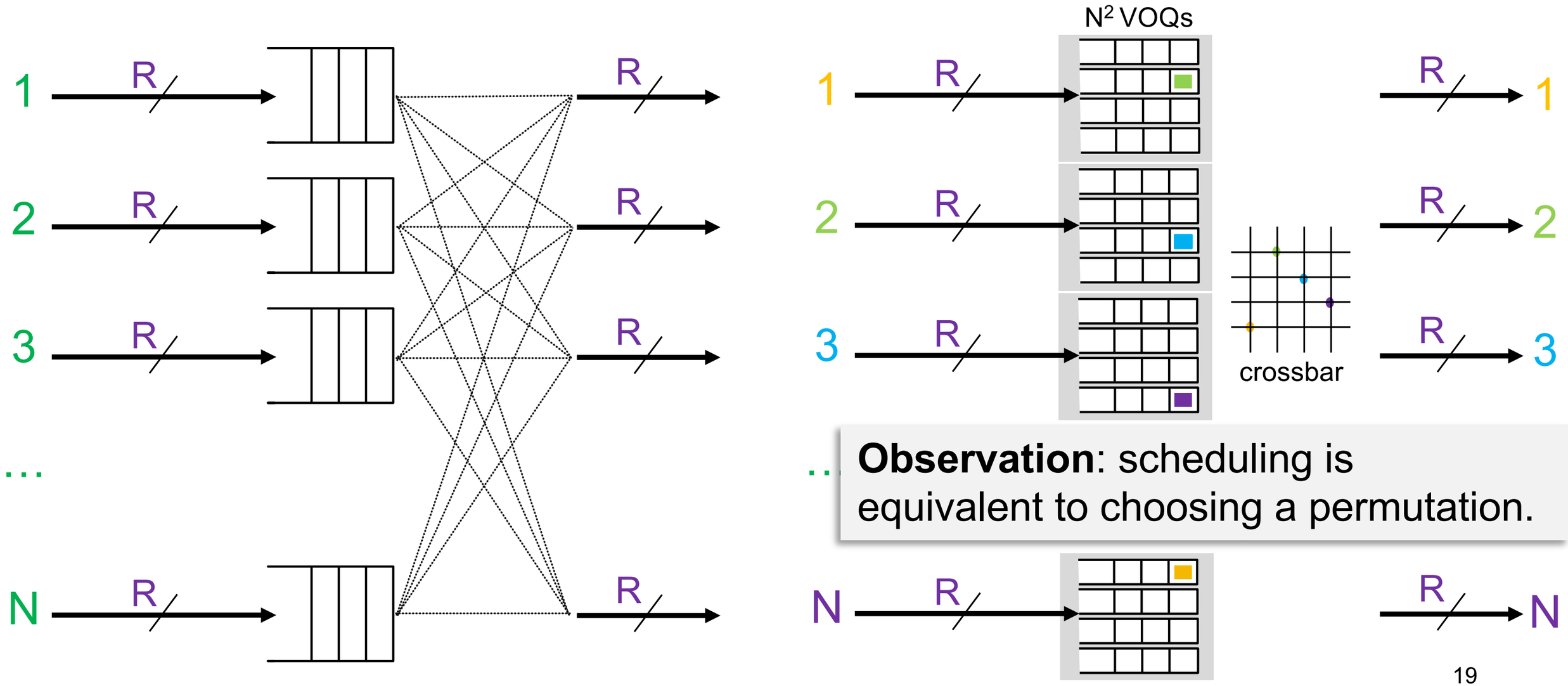
i.e. $\mu \geq \lambda$ for every queue in the system.

Observations:

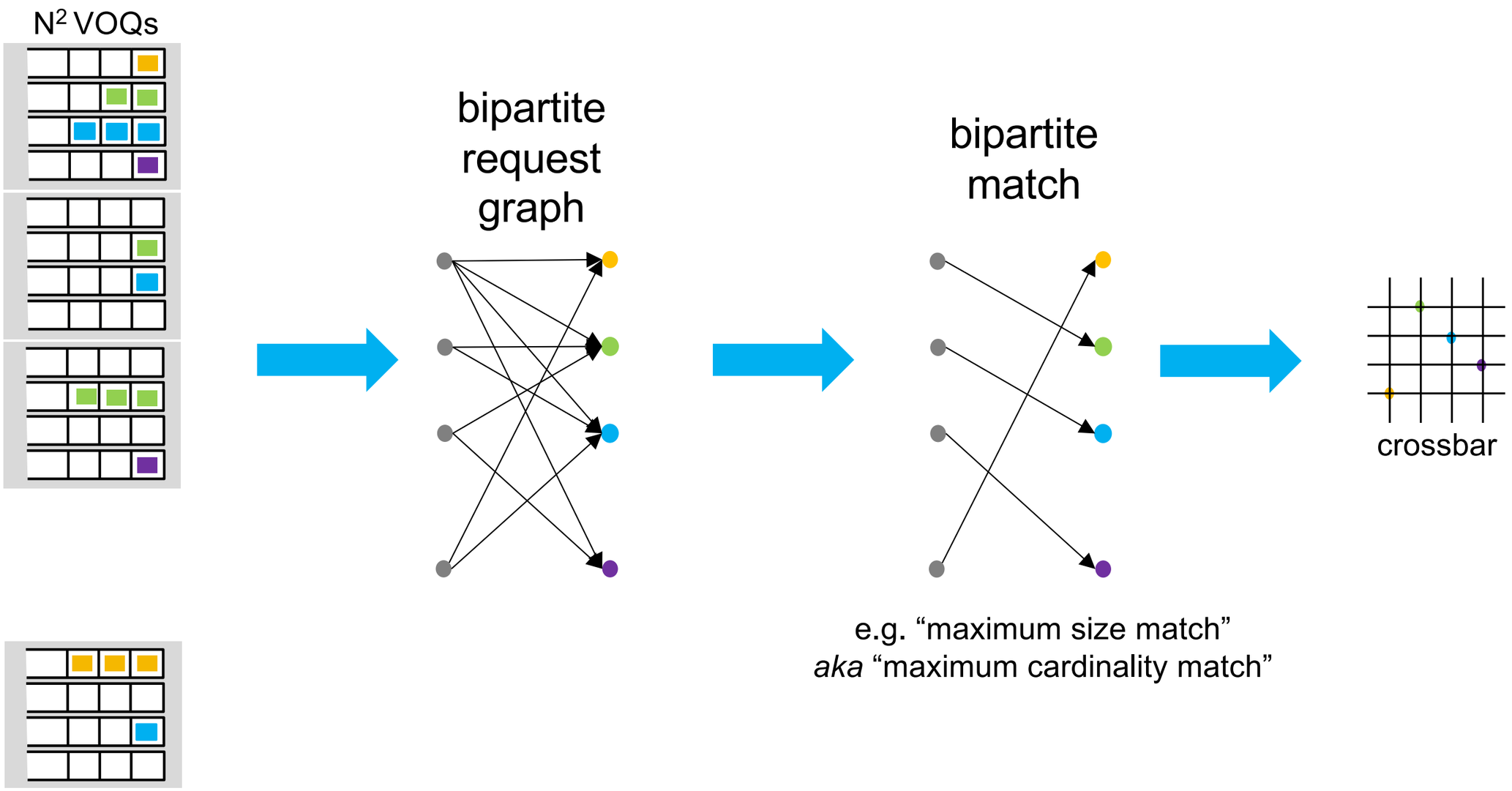
1. Burstiness of arrivals does not affect throughput
2. For a uniform Traffic Matrix, solution is trivial!

An input-queued (IQ) switch

with VOQs and a crossbar

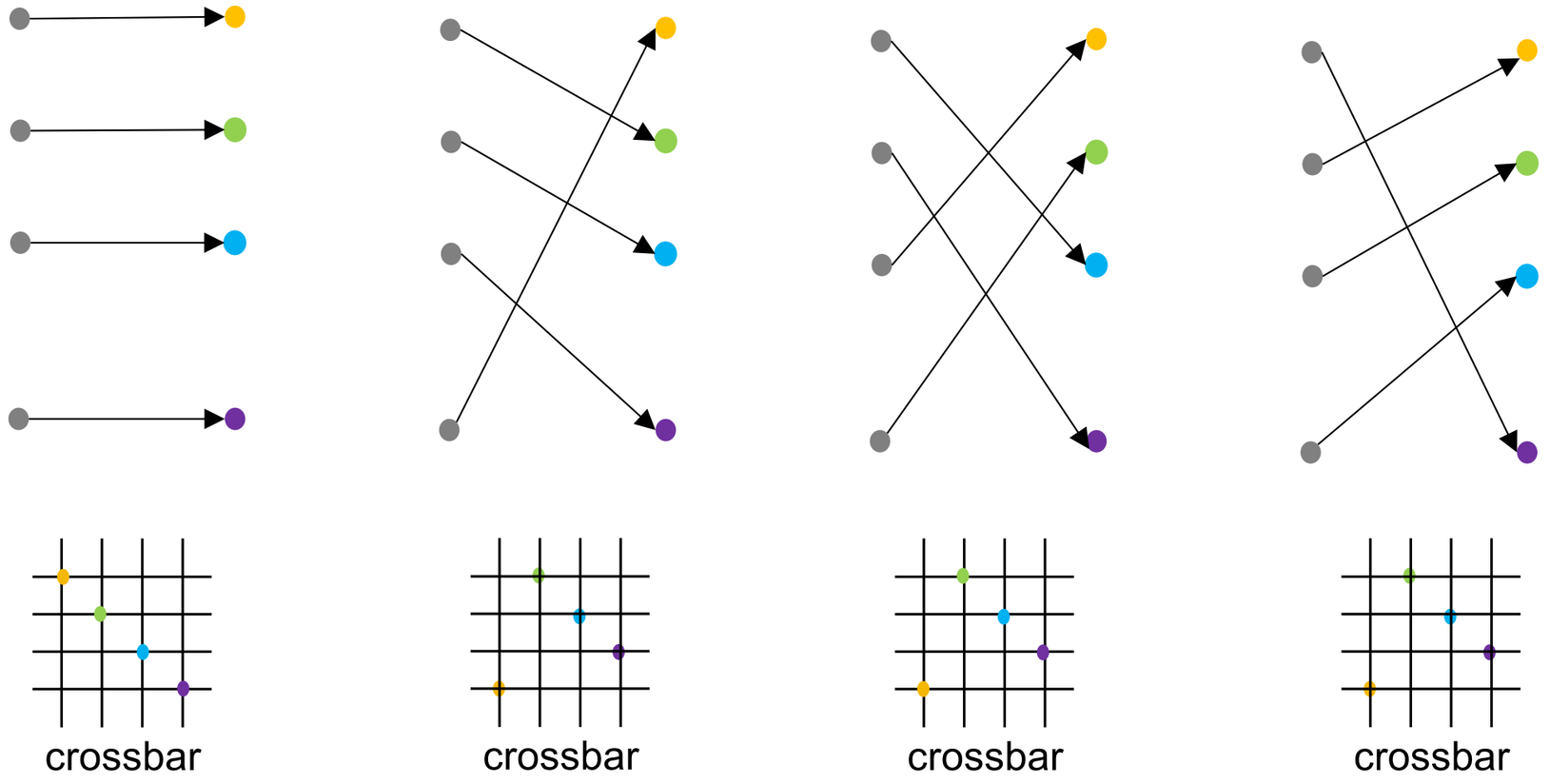


Observation: scheduling is equivalent to choosing a permutation.

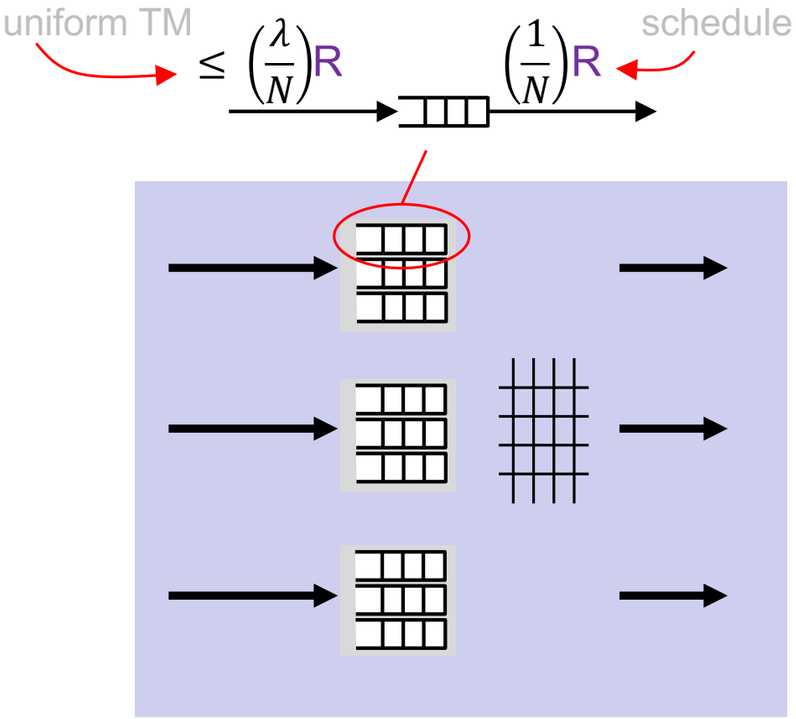

N2 VOQs
bipartite request graph
bipartite match
crossbar
e.g. “maximum size match”
aka “maximum cardinality match”

Crossbar schedule

Fixed cycle of permutations:



$\lambda \leq 1$, therefore
arrival rate ≤ departure rate.
True for all VOQs, therefore
100% throughput for uniform TM



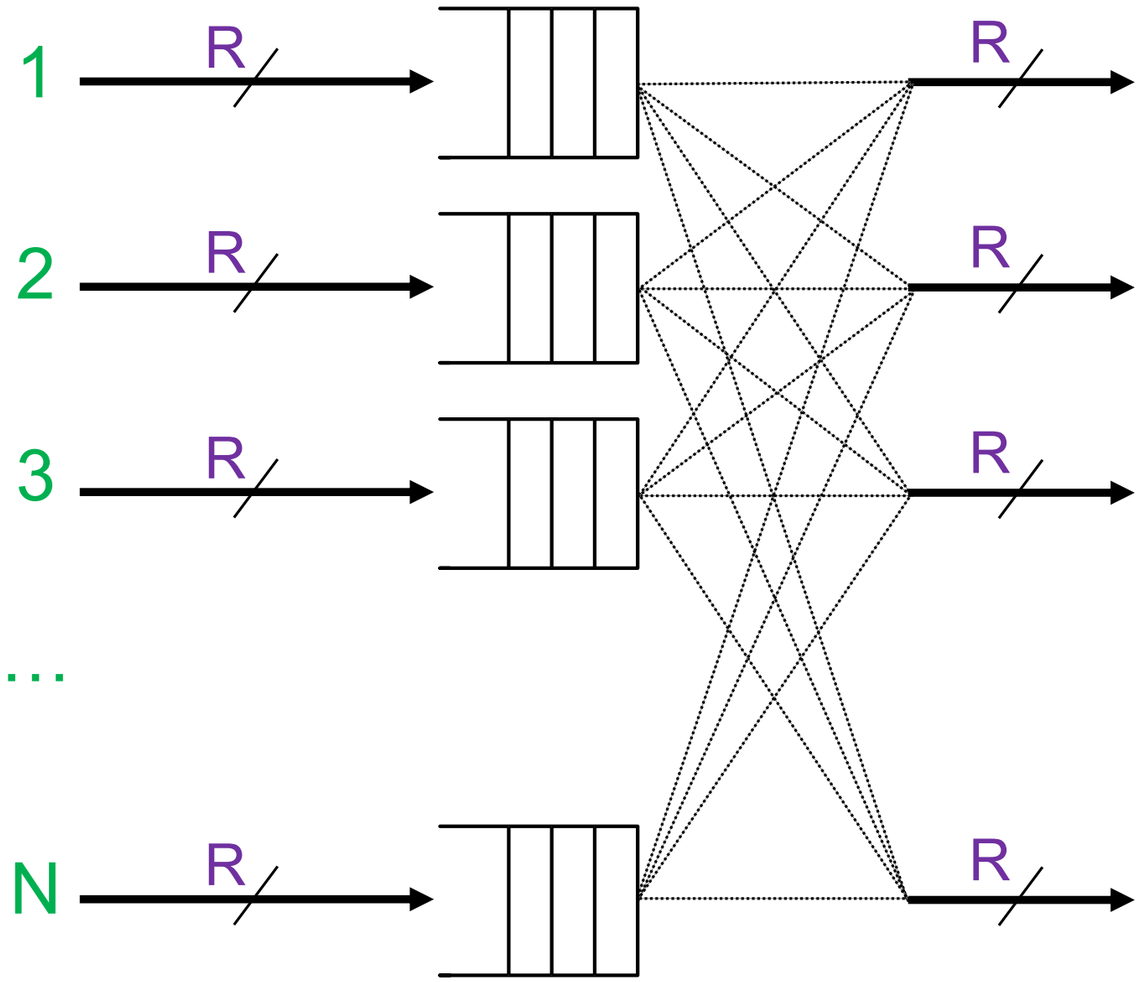
100% throughput for uniform traffic

Four (trivial) algorithms for a uniform traffic matrix:

1. Cycle through permutations in “round-robin” (i.e. previous slide).
2. Each time, randomly pick one of the permutations in (1).
3. Each time, pick a permutation uniformly and at random from all possible N! permutations.
4. Wait until all VOQs are non-empty, then pick any algorithm above.

Quick recap so far

An input-queued (IQ) switch



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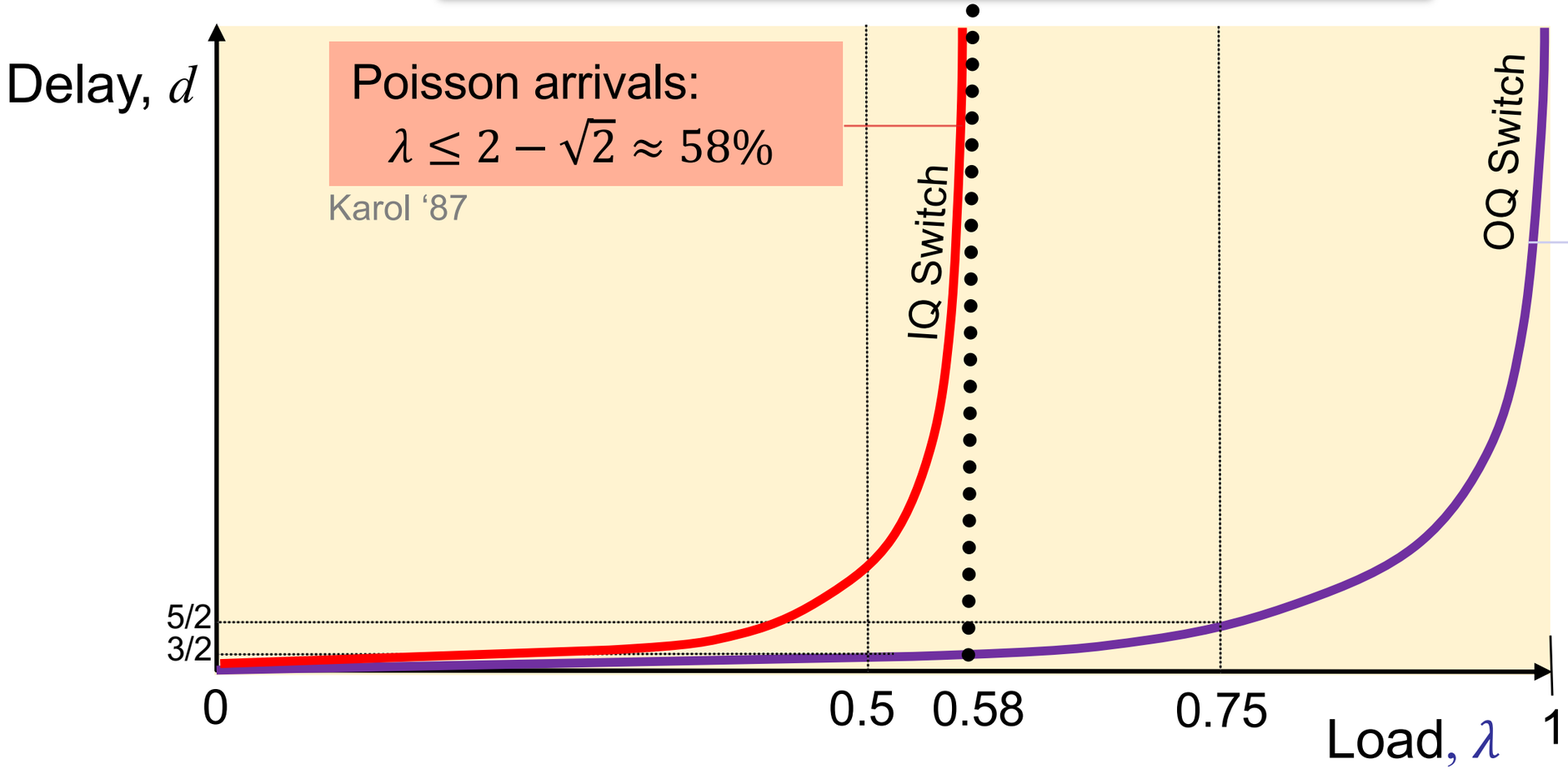
Head of Line Blocking

IQ switch with uniform traffic matrix, $\lambda \leq 1$

Observation: HOL Blocking means we lose 42% of the switching capacity



Poisson arrivals:

$$E(d) = \frac{1}{2}\left(\frac{2-\lambda}{1-\lambda}\right)$$

100% throughput easy for uniform traffic

Four (trivial) algorithms for a uniform traffic matrix:

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2. Each time, randomly pick one of the permutations in (1).
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4. Wait until all VOQs are non-empty, then pick any algorithm above.

Q: So why did the authors need Parallel Iterative Matching (PIM)?

Because in practice, arrivals are not uniform.

(If we know the matrix, we can still create a cycle of permutations to serve every VOQ at the rate in the traffic matrix).

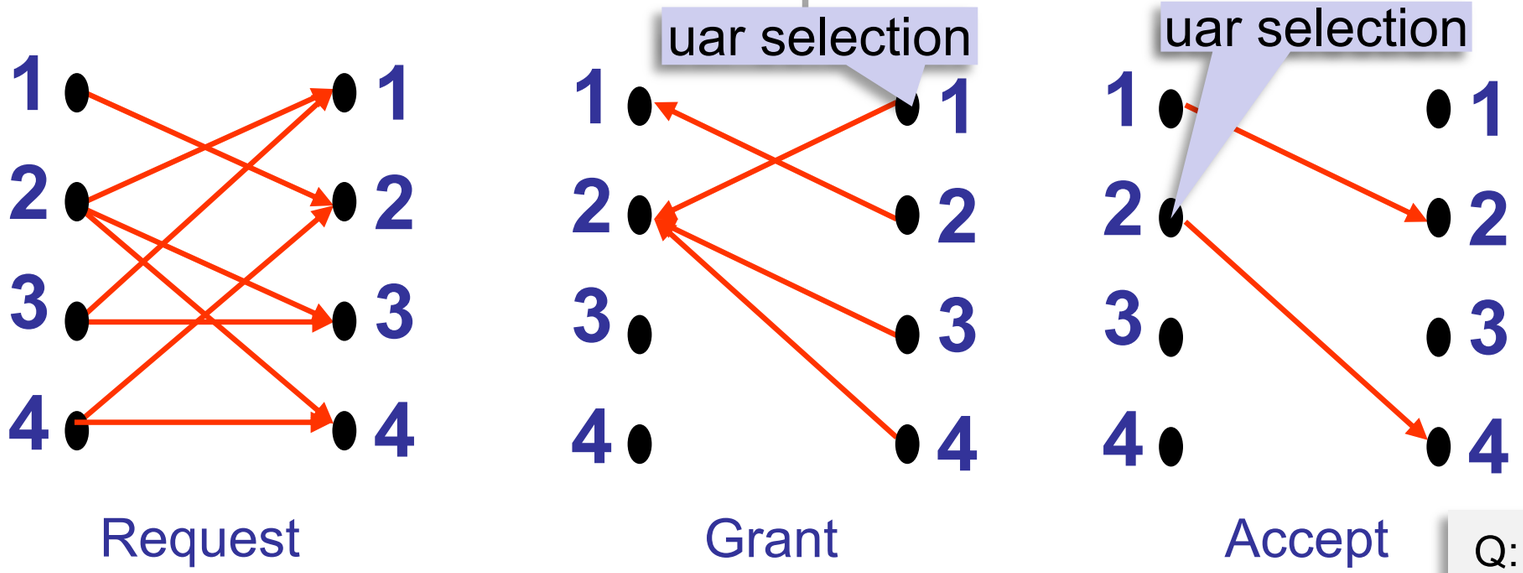
In practice we don't know the traffic matrix.

Hence, PIM….

Parallel Iterative Matching

A maximal bipartite match

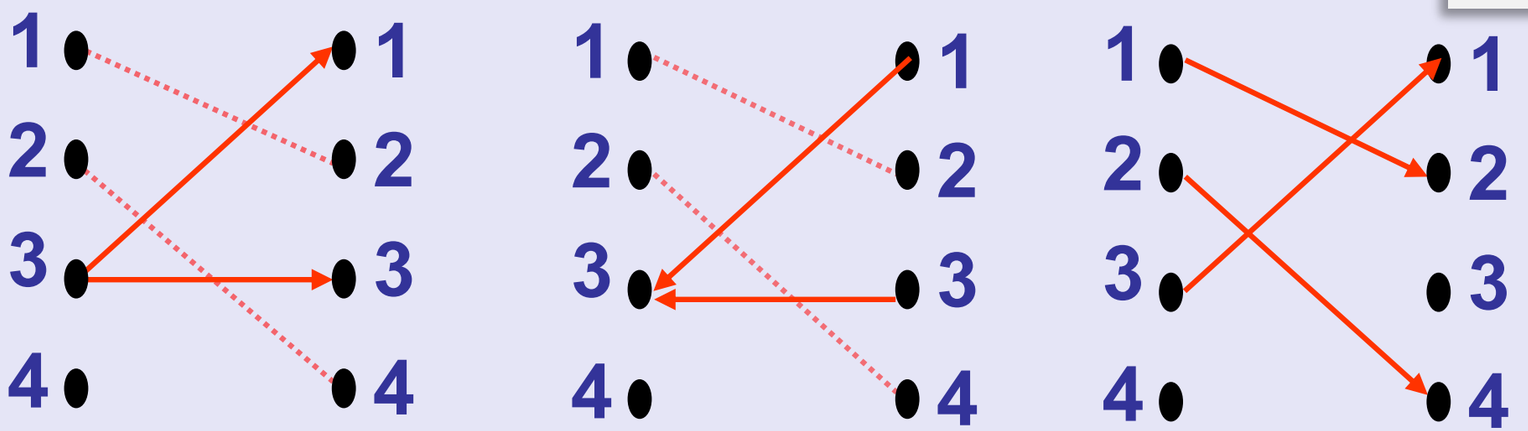
Iteration 1:



Request Grant Accept

Q: Are we done?
Q: Is a larger match possible?

Iteration 2:



⋮

PIM Properties

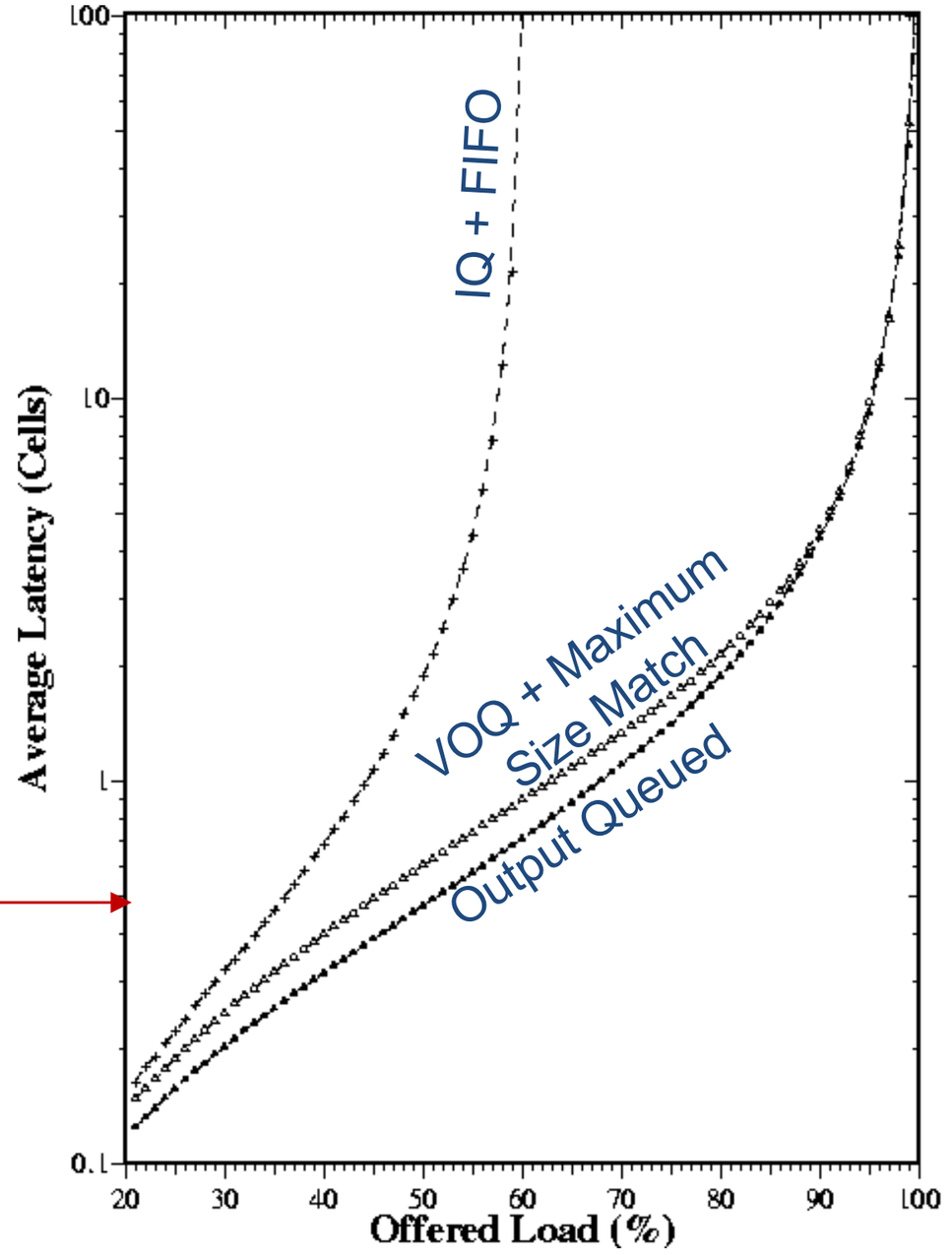
1. Inputs and outputs make decisions independently and in parallel.
2. Guaranteed to find a maximal match in at most N iterations.
3. Typically completes in much fewer than N iterations.

Q: How large is a maximal match compared to a maximum match?

A maximal match is guaranteed to be at least half the cardinality (size) of a maximum match.

Q: Uh-oh, does that mean throughput is limited to 50%??

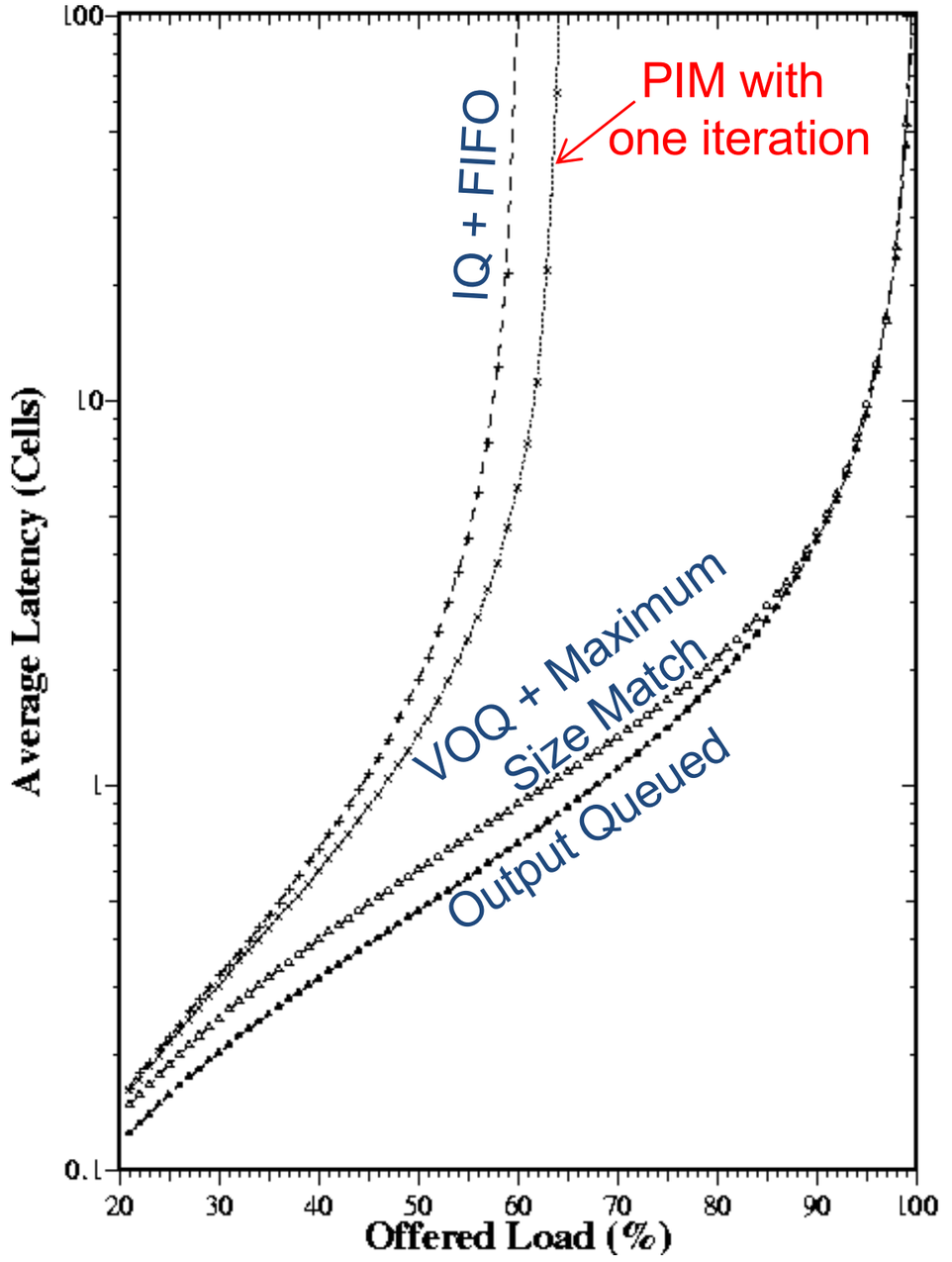
Parallel Iterative Matching



Note log scale

Simulation
16-port switch
Uniform traffic matrix

Parallel Iterative Matching



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16-port switch
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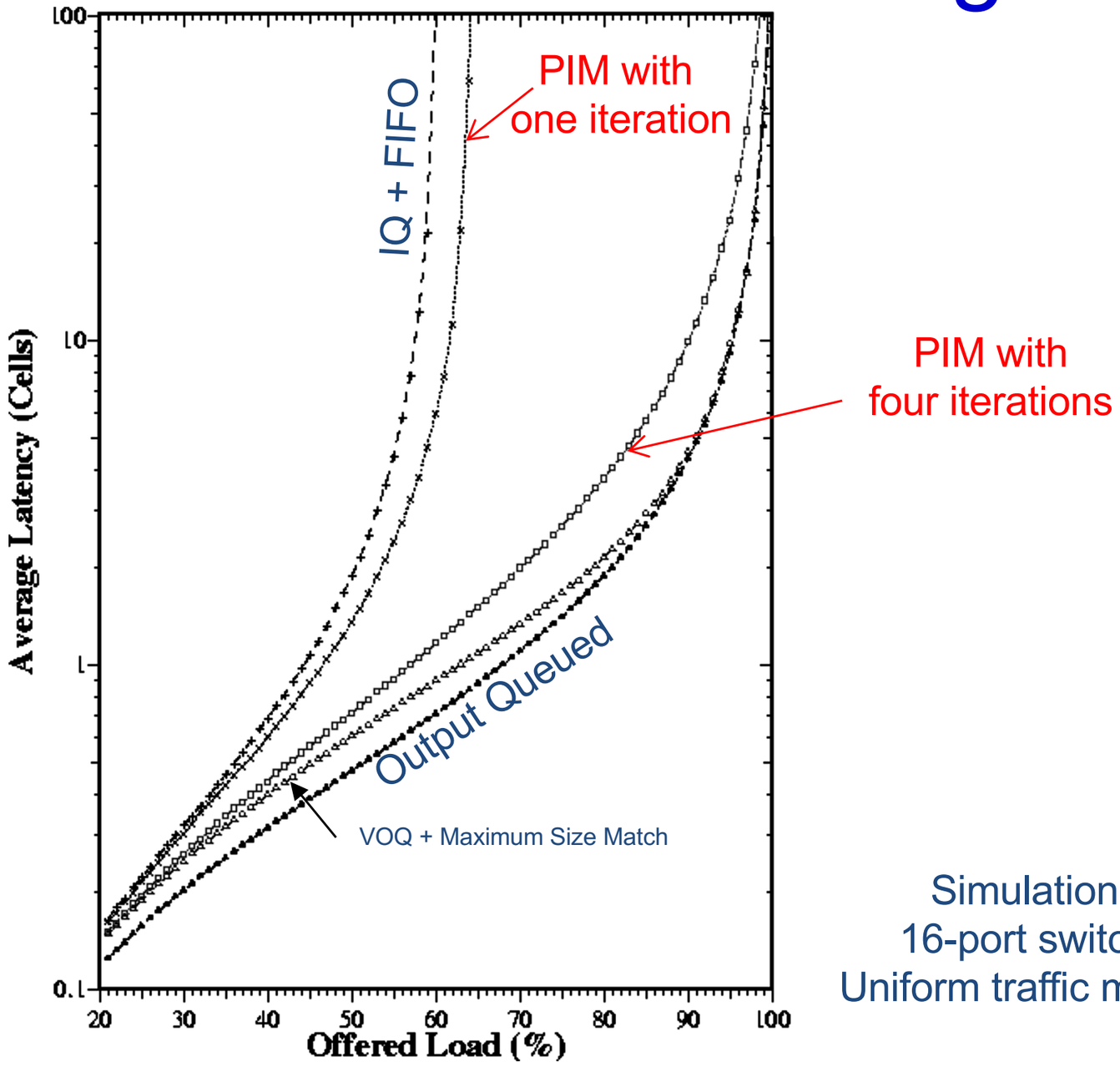
Parallel Iterative Matching



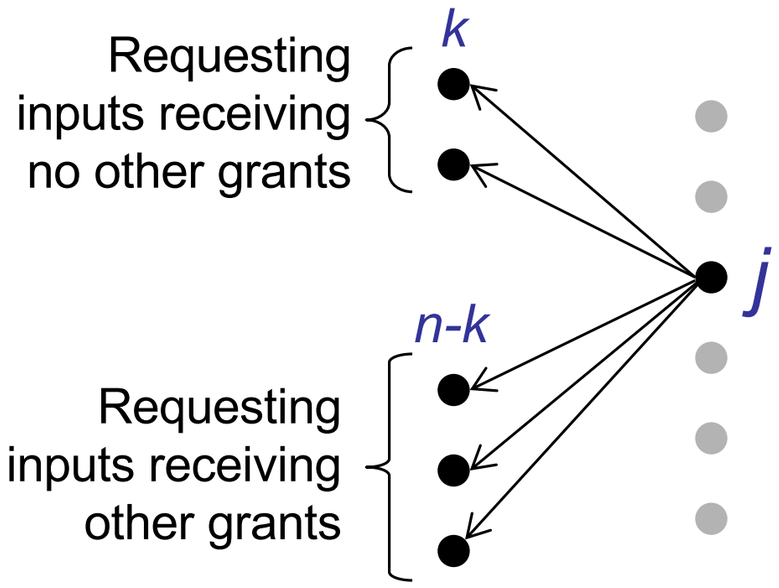
Simulation
16-port switch
Uniform traffic matrix

How many PIM iterations should be run?

Parallel Iterative Matching

Number of iterations

Consider the *n* requests to output *j*



$$w.p.\begin{cases}\frac{k}{n}, \text{ all requests to } j \text{ are resolved}\\ 1-\frac{k}{n}, \text{ at most } k \text{ remain unresolved}\end{cases}$$

$$E\left[Num\ unresolved\ requests\right] \le \frac{k}{n}\cdot 0 + \left(1-\frac{k}{n}\right)\cdot k$$

$$\le \frac{n}{4}, \text{ because } (1-a)\cdot a \le \frac{1}{4}, \text{ when } a<1$$

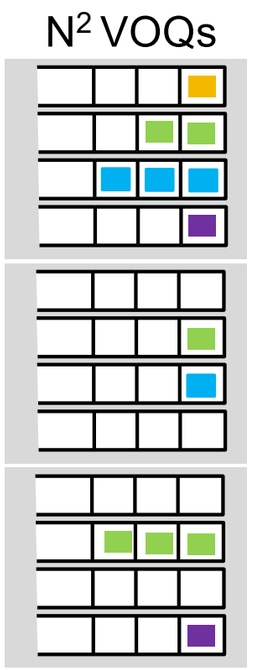
Therefore, 3/4 of all requests are resolved each iteration.

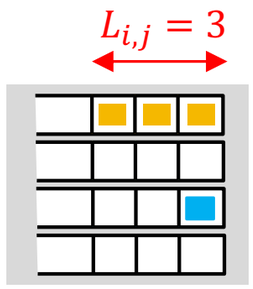
(It follows that the number of iterations $\le \log_2 N + \frac{4}{3}$)

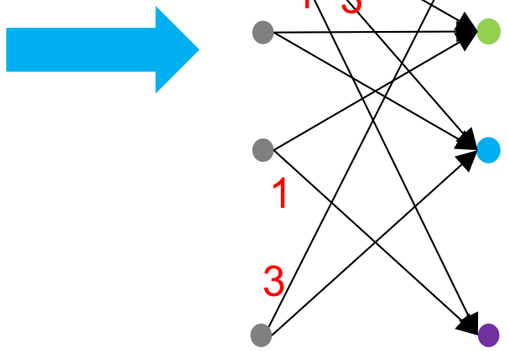
Known methods for non-uniform traffic

1. 100% throughput is now known to be theoretically possible with:
   - IQ switch, with VOQs, and
   - An arbiter to pick a permutation to maximize the total matching weight (e.g. weight is VOQ occupancy)

M, Walrand and Anantharam, 1996



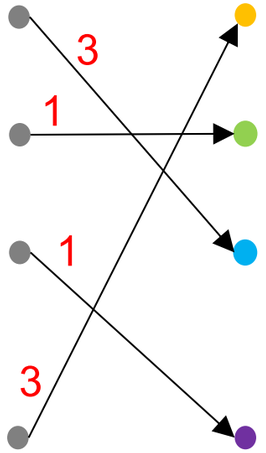


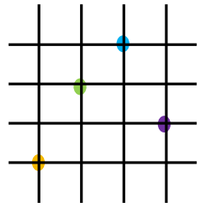


bipartite request graph

Choose matching M
that maximizes $\sum_{i,j \in M} L_{i,j}$

bipartite match





crossbar

"maximum WEIGHT match"

Observation: give preference to longer VOQs
Leads to 100% throughput for any traffic matrix.

Known methods for non-uniform traffic

2. It is practically possible with:
   - IQ switch, VOQs, all running *twice as fast* (i.e. choose and transfer two cells per cell time)
   - An arbiter running a *maximal* match (e.g. PIM)

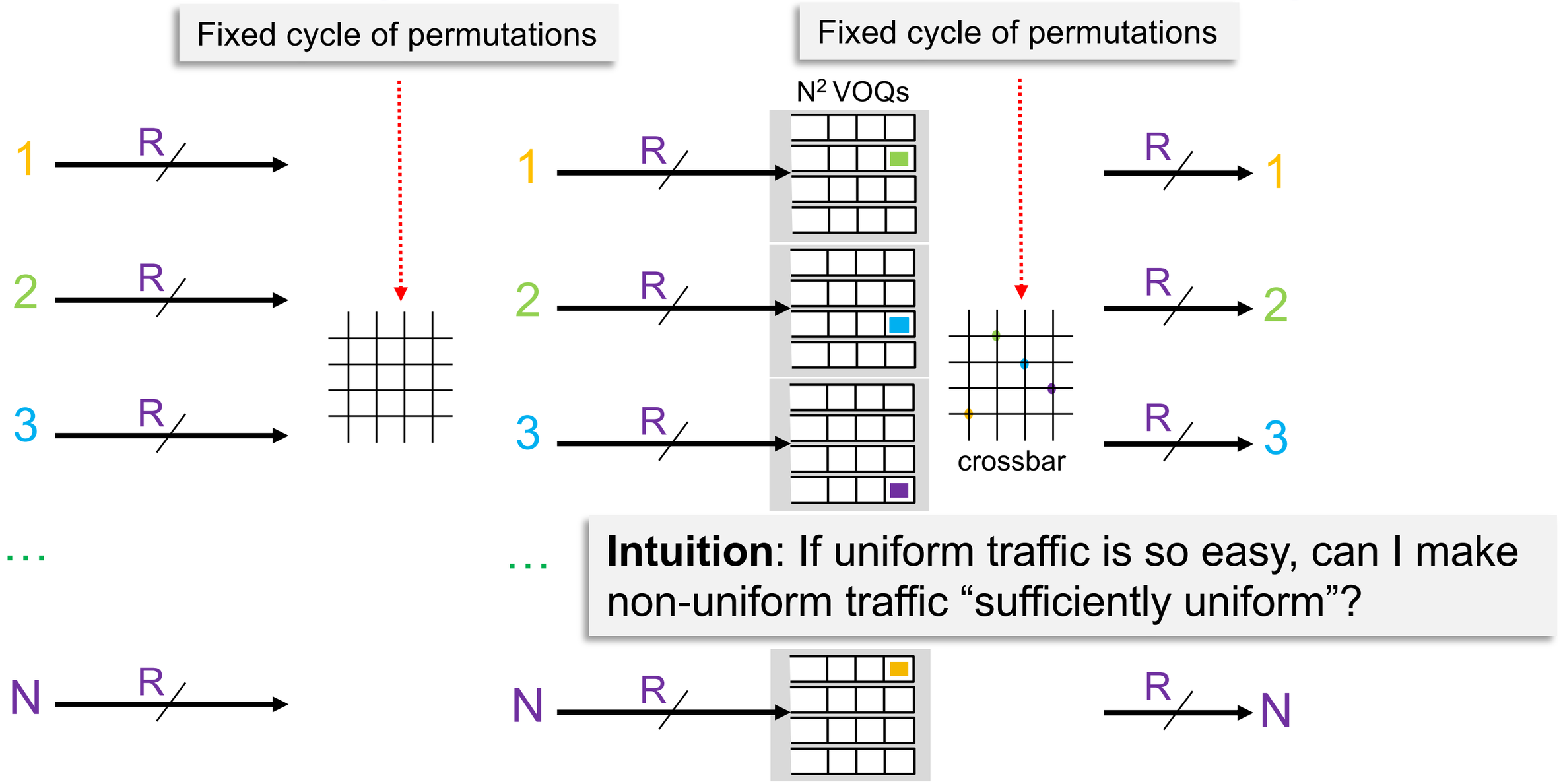
Intuition: Because maximal match is at least half the size of a maximum match, running twice as fast compensates for it.

Dai and Prabhakar, 2000

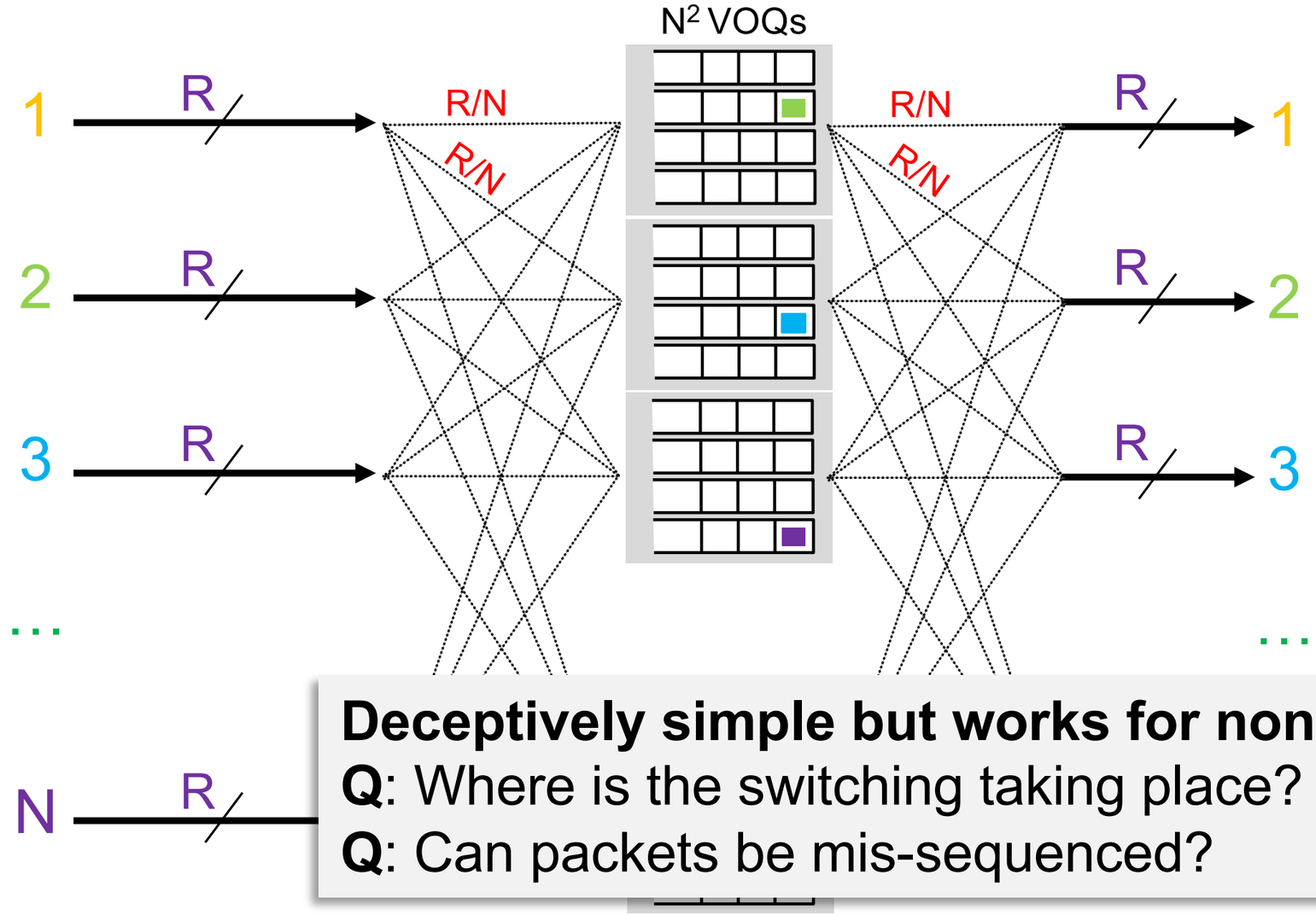
Known methods for non-uniform traffic

3. 2 switch stages with a fixed schedule of permutations!

A 2-stage "Valiant" Load-balancing switch



A 2-stage “Valiant” Load-balancing switch



Deceptively simple but works for non-uniform traffic!

Q: Where is the switching taking place?

Q: Can packets be mis-sequenced?

<End>

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