CS250/EE387 - Lecture 2 - Linear Codes and Finite Fields.

Agenda
(0) RECAP from LAST TIME
(1) Linear Algebra over $\{0,1\}$ ?
(2) Finite Fields
(3) Linear codes

Today's Octopus Fact:
The oldest known octopus fossil is from an animal that lived almost
300 million years ago!
(0) Recall all this notation we had from last time:
$n$ : block length
$k$ : message length $(k \leq n)$
$d$ : distance $\quad(d \leq n)$
$\Sigma$ : alphabet
A CODE is a subset $C \subseteq \sum^{n}$. Its elements are called CODEWORDS.
If $|C|=|\Sigma|^{k}$, the RATE of $C$ is $\mathrm{k} / \mathrm{n}$.
Question from last time:
What is the best trade-off between rate and distance?
(Still pen!)

In particular, recall EXAMPLE 3 from last time:

$$
\begin{aligned}
& \text { ENC: \{0,1\} }{ }^{4} \rightarrow\{0,1\}^{7}, \text { given by: } \\
& \text { ENC: }\left(x_{1}, x_{2}, x_{3}, x_{4}\right) \longmapsto\left(x_{1}, x_{2}, x_{3}, x_{4}, x_{2}+x_{3}+x_{4} \bmod 2, x_{1}+x_{3}+x_{4} \operatorname{mad} 2, x_{1}+x_{2}+x_{4}\right) \\
& \operatorname{mad}) \\
& C:=1 \text { mage }(E N C) .
\end{aligned}
$$

$C$ is a binary code of length 7, message length 4, distance 3, rate $R=4 / 7$. We say it is a $(7,4,3)_{2}$ code.

$$
x_{n} k^{2} d^{5} c_{12}
$$

We called this the HAMMING CODE (ofecengh7) and we sew that it was optimal in that it met the HAMMING Bound.


We ass came up (sort of) with a decoding algorithm for this code:

Algonthm Sketch:
View the code
like this:



$$
\text { Rate }=\frac{k}{n} \leqslant 1-\frac{\log _{8}\left(\operatorname{Vol}_{6}\left(\left\lfloor\frac{d-1}{2}\right\rfloor, n\right)\right)}{n}
$$

which followed from the fact that you can't pack too many Hamming balls in $\Sigma^{n}$ :


Then identify which circles don't sum to $\mathrm{O}(\bmod 2)$ and flip the unique bit that ameliorates the situation.

We waved our hands at how this sort of argument can also show that the distance is at least 3 .

But this was all a bit unsatisfying. While clever, this construction feels a bit ad hoc.
How can we generalize this construction?
How can we generalize this algonthm/distance argument?
Today weill see an important framework in coding theory, that of LINEAR CODES, which will help us put this example in context.

Aside So far we've mentioned the Hamming model, Hamming bound, Hemming distance, Hamming balls, and Hamming codes. Who was this guy Hamming?
Richard Hamming (1915-1998) was working at Bell labs starting in the late 1940's, where he was colleagues with Claucle Shannon (of the "Shannon model" which we also mentioned).

Hamming was working on old-school computers (calculbingmadhines), and they would rehum an error if even one bit was entered in error.
This was extremely frustrating, and inspired Hemming to study this rate-vs-distance question, and to come up with Hamming codes.
(1) Linear Algebra over $\{0,1\}$ ?

EXAMPLE 3 (from now on, THE HAMMING CODE) has a really nice form:
ENC: $\left(x_{1}, x_{2}, x_{5}, x_{4}\right) \mapsto\left(x_{1}, x_{2}, x_{3}, x_{4}, x_{2}+x_{3}+x_{4}, x_{1}+x_{3}+x_{4}, x_{1}+x_{2}+x_{4}\right)$
ENC: $\vec{x} \longmapsto(\vec{x}$, some linear fro $x(\bmod 2))$.
aka, we can write this as $x \mapsto G x(\bmod 2)$, where $G$ is some matrix.


SUPPOSE FOR NOW that "linear algebra works mod 2". Then this view is pretty useful. aka, $G$ is short and fat. In this class, generator matrices are tall and skinny.

Why is this a useful way to look at thing?
Let us pretend that linear algebra "works" mod 2, and see what we can do.
LINEAR CODES
C has a very nice property: it is closed under addition: if $c \in C, c^{\prime} \in C$, then $c+c^{\prime} \in C$. This view makes that very clear:


Aka, $\quad C=\operatorname{span}(\operatorname{cols}(G))$ is a LINEAR SUBSPACE, of DIMENSION 4.
$\substack{\text { VERY } \\ \text { OBSERYMGTOW }}$ If $C$ is LINEAR, then distance $(C)=\min \omega t(C)$. Indeed, $\Delta\left(G x, G x^{\prime}\right)=\Delta(G(x-x), 0)$
Parity check matrices.
The other way we looked at this example was


We observed that all the circles summed to $0 \bmod 2$. Another way of writing that:


Aka, $c \in C \Rightarrow H c=O \cdot(\bmod 2)$
Aka, $\quad C \subseteq \operatorname{Ker}(H)$.

Question: Does $C=\operatorname{Ker}(H)$ ?

ANSWER YES, $C=\operatorname{Ker}(H)$.
Why? Dimension counting! $\operatorname{dim}(C)=4$.

$$
\text { - } \operatorname{dim}(\operatorname{Ker}(H))=7-\operatorname{dim}(\operatorname{rowspan}(H))=7-3=4
$$ is just siting there.

- So $C \subseteq \operatorname{Ker}(H)$, and $\operatorname{dim}(C)=\operatorname{dim}(\operatorname{Ker}(H))$,

$$
\Rightarrow C=\operatorname{Ker}(H)
$$



Again, it's easy to see $\operatorname{dim}($ rowspan $(H))=3$
PARITY-CHECK MATRICES are USEFUL.
(1) It makes it easier to see the distance of $C$.
because of the identity.

CLAIM: $\operatorname{dist}(C)=3$
Proof:
As before, suffices to show $\min \omega t(c)=3$.

$$
c \in C \mid\{0\}
$$

Suppose $c \in C$ has wt 1 or 2. Then
But then either one column of $H$ is $O$ (NOPE) or the sum of two columns of $H$ is $0 \bmod 2$ aka there is a repeated column (NOPE)


So $\forall c \in C, \quad \omega t(c) \geq 3$.
Now, the codeword 0101010 has weight exactly 3, 50 this is tight 3
(2) It gives us a nice decoding algorithm.

Puzzle: Given $\tilde{c}=0111010$ which has suffered ore bit flip, what is $c$ ?

Solution to Puzzle: Write $\tilde{c}=c+z \bmod 2$ ERROR vector which haswt 1.


ON THE OTHER HAND:


Since $\begin{aligned} & 1 \\ & 1 \\ & 0\end{aligned}$ is the $3^{\text {rd }}$ column of $H$, the error occurred in position 3.
So this gives us an efficient decoding alg for $C$ !
Thisis a much nicer way of seeing our circle-based algorithm.
"Which circles fail or sum to 1" is the same as "which bits of $H(x+z)$ are 1", and it picks out which bit we needed to flip.

THE POINT SO FAR:
Assuming that "linear algebra works" in $\{0,1\} \bmod 2$, this linear-algebraic view of things is very useful!

THE QUESTION: Does linear algebra"make sense" over $\{0,1\} \bmod 2 ?$
(And what does that mean?)

What's the problem? Why wouldn't it work?
To see the (potential) issue, consider what happens for $\{0,1,2,3\} \bmod 4$.
NON-EXAMPLE (WARNING! FALSE STATEMENTS BELOW)
Let $G=\left[\begin{array}{ll}2 & 0 \\ 0 & 2 \\ 1 & 1\end{array}\right]$ be a generator matrix, mod 4 .
Define $C=\left\{G \cdot x \mid x \in\{0,1,2,3\}^{2}\right\}=\operatorname{codspan}(G)$.
So $\operatorname{dim}(C)=2$. (The columns are not scalar multiples of each other, aka, they arelinearly independent)
But consider

$$
\begin{array}{r}
H=\left[\begin{array}{lll}
2 & 0 & 0 \\
0 & 2 & 0
\end{array}\right] \text {. Now we have }\left[\begin{array}{lll}
2 & 0 & 0 \\
0 & 2 & 0
\end{array}\right]\left[\begin{array}{ll}
2 & 0 \\
0 & 2 \\
1 & 1
\end{array}\right] \\
G
\end{array}=\left[\begin{array}{ll}
0 & 0 \\
0 & 0
\end{array}\right]
$$

And $H$ certainly seems to have rank 2 also.
(The rows are not scalar multiples of each other).
So then by the same argument, $\quad C=\operatorname{colspan}(G)=\operatorname{Ker}(H)$.
So $2=\operatorname{dim}(C)=\operatorname{dim}(\operatorname{Ker}(H))=3-\operatorname{dim}(\operatorname{rouspan}(H))=3-2=1$
OH NO!!

WHY WAS THIS A NON-EXAMPLE?
What went wrong? Linear algebra does not "work" over $\{0,1,2,3\} \operatorname{mol} 4$.

- In particular, several times in that example we said (something like):
"nonzero vectors $V$ and $W$ are linearly independent iff there is no $\lambda$ st. $V=\lambda W$.
- Another definition of linear independence:
- nonzero vectors $V$ and $w$ are linearly inclependent iff

ASIDE:
You can make it work a little bit.
The algebra buzzword is "module." there is nu nonzero $\lambda_{1}, \lambda_{2}$ s.t. $\lambda_{1} v+\lambda_{2} w=0$.

- Over $\mathbb{R}$, these are the same:

Prof: $\left[\begin{array}{l}\text { Suppose } \exists \lambda_{1}, \lambda_{2} \neq 0 \text { sit. } \lambda_{1} V+\lambda_{2} w=0 \text {. Then } V=\left(\frac{-\lambda_{2}}{\lambda_{1}}\right) w \text {. } \\ \text { Conversely, if } \exists \lambda \text { s.t. } V=\lambda W \text { then choose } \lambda_{2}=\lambda, \lambda_{1}=-1\end{array}\right.$
Conversely, if $\exists \lambda$ s.t. $V=\lambda W$, then choose $\lambda_{2}=\lambda, \lambda_{1}=-1$ and $\lambda_{1} v+\lambda_{2} W=0$.

- But over $\{0,1,2,3\} \bmod 4$, these are not the same.

$$
2 \cdot\left[\begin{array}{l}
2 \\
0 \\
1
\end{array}\right]+2 \cdot\left[\begin{array}{c}
0 \\
2 \\
1
\end{array}\right]=\left[\begin{array}{l}
4 \\
4 \\
4
\end{array}\right]=\left[\begin{array}{l}
0 \\
0 \\
0
\end{array}\right] \bmod 4,
$$

even though $v$ and $w$ are not scalar multiples of each other.
-The Proof above breaks: What does $\left(\frac{-\lambda_{2}}{\lambda_{1}}\right)$ mean?
(3/2 mod 4 does not immediately make sense).

This does not bode well for algebraic coding theory if even linear algebra doesn't work...
(2) Finite Fields

Fortunately, all that stuff that we did $\bmod 2$ actually was $O K$ !
The difference between $\{0,1,2,3\} \bmod 4$ and $\{0,1\} \bmod 2$ is that $\{0,1\} \bmod 2$ is a FINITE FIELD.

Informal definition of a field:
A FIELD is any set of elements that you can add, subtract, multiply and divide like you want to.

Formal definition of a field:
DEF A HELD $\mathbb{F}$ is a set of elements, along with operations $t, x$, ("addition" and "multiplication") so that:
$\forall x, y, z \in \mathbb{F}$ :

- (Associanvity) $(x+y)+z=x+(y+z)$

$$
(x \times y) \times z=x \times(y \times z)
$$

- (Commutativity) $\quad x+y=y+x . \quad x \times y=y \times x$.
- (DISTRIBUTVITY) $x \times(y+z)=(x \times y)+(x \times z)$
- (Identities) There is an element "O" and an element" 1 " so that

$$
\begin{array}{ll}
x+0=x & \forall x \in \mathbb{F} \\
x \cdot 1=x & \forall x \in \mathbb{F}
\end{array}
$$

- (INVERSES) $\forall x \in \mathbb{F}, \exists y$ st. $x+y=0 \quad$ (Let's call this $y^{\prime \prime}-x^{\prime \prime}$ )

$$
\underset{x \neq 0}{\forall x \in \overline{\mathbb{F}},} \exists y \text { sit. } \quad x \cdot y=1 \quad \text { L Lets call this } y \text { " } 1 \frac{1}{x} \text { "or " } x^{-14} \text { ) }
$$

Familiar examples of fields: $\mathbb{R}, \mathbb{C}$.
A FINITE FIELD is a finite field. (aka, a field that is finite).
Familiar example: $\{0,1\} \bmod 2$.
(The only thing to check is the inverses: $-0=0,-1=1,\left.\right|^{-1}=1$. sowe'regood!)
Familiar non-example: $\{0,1,2,3\} \bmod 4$.
( 2 has no multiplicative inverse:
$0.2=0$
There's no way to get 1!)
$1 \cdot 2=2$
$2 \cdot 2=4$
$2 \cdot 2=4 \equiv 0 \bmod 4$
$3 \cdot 2=6 \equiv 2 \bmod 4$
"THEOREM:" Linear algebra "works" over finite fields.
ENOUGH

There are some things that don't.
Most notably, orthogonality doesn't mean what you think it means.
The vector $\binom{1}{1}$ is orthogonal to itself over $(\{0,1\} \bmod 2)!$ WEIRD.
Before we go into more details, WHEN DO FINITE FIELDS EXIST? are we stuck in $\{0,1\} \bmod 2$ ?

Theorem. For every prime power $p^{t}$, there is a unique finite field with $p^{t}$ elements. We call this field $\mathbb{F}_{p t}$.

There are no other finite fields.

Proof. Exercise.

C not really - Ill post some reading if you are interested, but if you are not you can take this Chm on faith.

EXAMPLE $\mathbb{F}_{5}=\{0,1,2,3,4\} \bmod 5$.
Again, the only interesting part is the inverses:

$$
\begin{aligned}
& 1 \cdot 1=1 \\
& 2 \cdot 3=1 \\
& 3 \cdot 2=1 \\
& 4 \cdot 4=1 \quad(6 \operatorname{mot} 5) \\
& 4 \cdot 4 \bmod 5)
\end{aligned}
$$

So, for example, $\frac{1}{2}=3 \bmod 5$

$$
\begin{aligned}
& 0+0=0 \\
& 1+4=0 \\
& 2+3=0 \\
& 3+2=0 \\
& 4+1=0
\end{aligned}
$$

So, for example, $-1=4 \bmod 5$.

More generally, $\mathbb{F}_{p}=\{0,1, \ldots, p-1\} \bmod p$.

EXAMPLE $\quad \mathbb{F}_{4}$ is NOT $\{0,1,2,3\} \bmod 4$.
Instead, it is $\left\{0,1, \gamma, \gamma^{2}\right\}$, with:

| + | 0 | 1 | $\gamma$ | $\gamma^{2}$ |
| :--- | :--- | :--- | :--- | :--- |
| 0 | 0 | 1 | $\gamma$ | $\gamma^{2}$ |
| 1 | 1 | 0 | $\gamma^{2}$ | $\gamma$ |
| $\gamma$ | $\gamma$ | $\gamma^{2}$ | 0 | 1 |
| $\gamma^{2}$ | $\gamma^{2}$ | $\gamma$ | 1 | 0 |$\quad$| $x$ | 0 | 1 | $\gamma$ | $\gamma^{2}$ |  |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 0 | 0 | 0 | 0 | 0 |  |
| 1 | 0 | 1 | $\gamma$ | $\gamma^{2}$ |  |
|  | $\gamma$ | 0 | $\gamma$ | $\gamma^{2}$ | 1 |
| $\gamma^{2}$ | 0 | $\gamma^{2}$ | 1 | $\gamma$ |  |

FuN EXERCISE: Check that this satisfies all the axioms.

More generally, $\mathbb{F}_{p^{t}}$ is NOT the same as $\left\{0,1, \ldots, p^{t-1}\right\} \bmod p^{t}$ when $t>1$.
Fun EXERCISE: If you haven's seen finite fields before, prove both of the "more generally" statements.
(3) LINEAR CODES

Now that we have the appropriate language about finite fields, we can formally define the things we were talking about before with the Hamming code.

All the definitions you know + love for linear algebra over $\mathbb{R}$ make sense over finite fields:
Let If be a finite field. Then:


- The DIMENSION of a subspace $V$ is the number of elements in any basis of $V$.
< Fun Exercise: Prove that this is well- dined. (ley, all boss have the same size).
DEF. A LINEAR CODE $C$ of length $n$ and dimension $k$ over a finite field $\mathbb{F}$ is a $k$-dimensional linear subspace of $\mathbb{F}^{n} .\left(\begin{array}{c}\text { weaphapdtof } \\ C \\ i\end{array}=\mathbb{F}=\mathbb{F}\right)$

Note: We have overloaded $k$ (message length ${ }^{\prime}$ dimension).
In fact this makes sense. If $C$ is a $k$-dimensional subspace over $\mathbb{F}$, then $|C|=|\mathbb{F}|^{k}$, hence $k=\log _{|\mathbb{F}|}|C|=\log _{|\Sigma|}|C|=$ message length.

Why? Every $c \in C$ has a unique representation as $\sum_{i=1}^{k} \lambda_{i} v_{i}$ for a basis $v_{1}, \ldots, v_{k}$. That's $|\mathbb{F}|^{k}$ choices for the $\lambda_{i}$.

OBSERVATION. If $C$ is a linear code, wee $\mathbb{F}$, then there is a matrix $G \in \mathbb{F}^{n \times k}$ so that $C=\left\{G \cdot x: x \in \mathbb{F}^{k}\right\}=:$ colspan $(G)$.
pronounced "column span." The span of the columns of $G$
Proof of OBSERVATION: Choose the column of $G$ to be basis of $C$.
$\Gamma$
DEF. A matrix $G \in \mathbb{F}^{n \times k}$ so that $C=\left\{G \cdot x: x \in \mathbb{F}^{k}\right\}$ is called a GENERATOR MATRIX for $C$.

Note: There can be many generator matrices for the same code.
They all describe the same code, but they implicitly describe different encoding maps. For example,

$G=$| 1 | 0 | 0 | 0 |
| :--- | :--- | :--- | :--- |
| 0 | 1 | 0 | 0 |
| 0 | 0 | 1 | 0 |
| 0 | 0 | 0 | 1 |
| 0 | 1 | 1 | 1 |
| 1 | 0 | 1 | 1 |
| 1 | 1 | 0 | 1 |


are both generator matrices for the Hamming code.
(Fun exercise: Check!)
However, some generator matrices may be more useful than others. For example, $G$ above corresponds to a SYSTEMATC encodingmap. This means that $E n c_{G}:\left(x_{1}, x_{2}, x_{3}, x_{4}\right) \mapsto\left(x_{1}, x_{2}, x_{3}, x_{4}\right.$, stuff $)$. The message shows up as the first part of the codeword.
$G^{\prime}$ still corresponds to a legit encoding map, but it's not systematic.

DEF. If $C \subseteq \mathbb{F}^{n}$ is a linear code over $\mathbb{F}$, then $C^{\perp}$ is the DUAL CODE:

$$
C^{\perp}=\{v \in \mathbb{F}^{n}: \underbrace{\langle v, c\rangle}=0 \forall c \in C\} .
$$

This is the standard inner product: $\qquad$ $\langle v, e\rangle=\sum_{i=1}^{n} v_{i} \cdot c_{i}$
NOTE: If $\operatorname{dim}(C)=k$, then $\operatorname{dim}\left(C^{\perp}\right)=n-k$. (Just likeover $\mathbb{R}$.

OBSERVATION. If $C$ is a linear code of climension $k$ over $\mathbb{F}$, then there is a matrix $H \in \mathbb{F}^{n-k \times n}$ so that

$$
C=\left\{c \in \mathbb{F}^{n}: H_{c}=0\right\} \quad \text { aka } C=\operatorname{Ker}(H) .
$$

Proof of OBSERVATION: Let $H$ be a matrix whose rows are a basis for $C^{\perp}$.
DEF. A matrix $H \in \mathbb{F}^{(n-k) \times n}$ so that $C=\left\{c \in \mathbb{F}^{n}: H \cdot c=0\right\}$ is called a PARITY CHECK matrix for $C$.

The rows of $H$ (or any vector $v$ s.t. $\langle v, c\rangle=0 \forall c \epsilon C$ ) are called PARITY CHECCK.
NOTE: Again, there is not a unique parity check matrix for a code $e$.

SUME FACTS: (FUN EXERCISE: Verify thees!)
If $C \subseteq \mathbb{F}^{n}$ is a linear code over $\mathbb{F}$ of dimension $k \omega /$ generator matrix $G$ and parity-check matrix $H$, then:

- $H \cdot G=O$
- $C^{\perp}$ is a linear code of dimension $n-k$ with genentur matrix $H^{\top}$ and parity-check matrix $G^{\top}$.
- The distance of $C$ is the minimum weight of any nonzero codeword in $C: \operatorname{dist}(C)=\min _{c \in \mathcal{C} \mid\{0\}} \sum_{i=1}^{n} \mathbb{I}\left\{c_{i} \neq 0\right\}$.
- The distance of $C$ is the smallest number $d$ so that $H$ has d linearly dependent columns.

$$
\xrightarrow[\text { because: }]{ } O=H
$$

That's all for today!
Questions to Ponder
(1) Dues there always exist a generator matrix $G$ so that $G$ looks like If so, how would you find it efficiently?
What about nonlinear codes? is there always an encoding map so that the message $x$ appears as part of $\operatorname{ENC}(x)$ ?
(2) How would you structure a linear code if you wanted to decode it efficiently from $\left\lfloor\frac{d-1}{2}\right\rfloor$ errors?
(What about generalizing the Hamming code that we saw?)

