## CS250/EE387 - LECTURE 2 - Linear Codes and Finite Fields.

Agenda	TODAY'S OCTOPUS FACT:
RECAP from LAST TIME	The oldest known octopus fossil
(1) LINEAR ALGEBRA OVER {0,13?	is from an animal that lived almost
2 FINITE FIELDS	300 million years ago! (Kids these
3 LINEAR (ODES	days
• Recall all this notation we had from Ic	ust time:
n : block length <sup>p</sup> k : message length (k≤n) cl : distance (d≤n)	
te: message length (k≤n)	
d: distance (d <n)< td=""><td></td></n)<>	
Z: alphabet	
A CODE is a subset $C \subseteq \Sigma^m$ . Its elements	s are called CODEWORDS.
If $ C  =  \Sigma ^k$ , the RATE of C is $k/n$ .	
QUESTION from last time:	
What is the best trade-off between	on cate and distance?
	(Skill open!)
In particular, recall EXAMPLE3 from last time	
ENC: $\{0,13^4 \rightarrow \{0,13^7\}, \text{ given by}:$	
$ENC: (X_1, X_2, X_3, X_4) \mapsto (X_1, X_2, X_3, Y_4)$	$Y_{,1}$ , $\chi_{2}+\chi_{2}+\chi_{4}$ mod 2, $\chi_{1}+\chi_{2}+\chi_{4}$ mod 2, $\chi_{1}+\chi_{2}+\chi_{4}$
C := Image (ENC).	mod 2
C is a binary code of length 7, message len	19th 4, distance 3, rate $R=4/z$ .
We say it is a $(7, 4, 3)_2$ code.	0 ,
n k d <sup>3</sup> ξ <sub> Σ </sub>	

We called this the HAMMING CODE (of length 7) and we saw that it was optimal in that it met the HAMMING BOUND.

We also came up (sort of) with a decoding algorithm for this code : Ngorthm Sketch: Niew the code  $\chi_{1} = \chi_{1} + \chi_{3} + \chi_{4}$ Like this:  $\chi_{2} = \chi_{1} + \chi_{3} + \chi_{4}$   $\chi_{3} = \chi_{2} + \chi_{3} + \chi_{4}$  $\chi_{3} = \chi_{3} + \chi_{4} + \chi_{4}$ 

Then identify which circles don't sum to 0 (mod 2) and flip the unique bit that ameliorates the situation.

We waved our hands at how this sort of argument can also show that the distance is at least 3.

But this was all a bit unsatisfying. While clever, this construction feels a bit ad hoc. How can we generalize this construction? How can we generalize this algorithm/distance argument?

Today we'll see an important framework in coding theory, that of LINEAR CODES, which will help us put this example in context.

ASIDE So far we've mentioned the Hamming model, Hamming bound, Hamming distance, Hamming balls, and Hamming codes. Who was this guy Hamming?

Richard Hamming (1915-1998) was working at Bell labor starting in the late 1940's, where he was colleagues with Claude Shannon (of the "Shannon model" which we also mentioned).

Hamming was working on old-school computers (calculating machines), and they would return an error if even one bit was entered in error. This was extremely frustrating, and inspired Hamming to study this rate-vs-distance question, and to come up with Hamming codes.

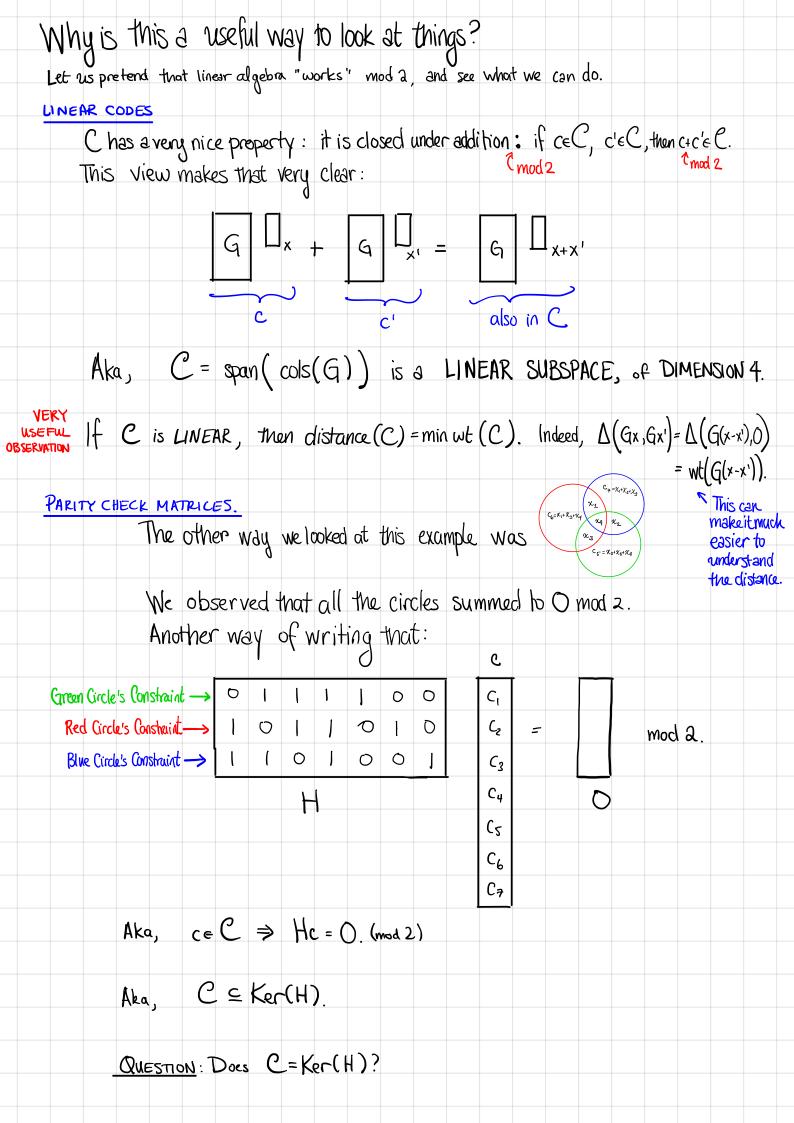
(1) LINEAR ALGEBRA over {0,13?

EXAMPLE 3 (from now on, THE HAMMING CUDE) has a really nice form:

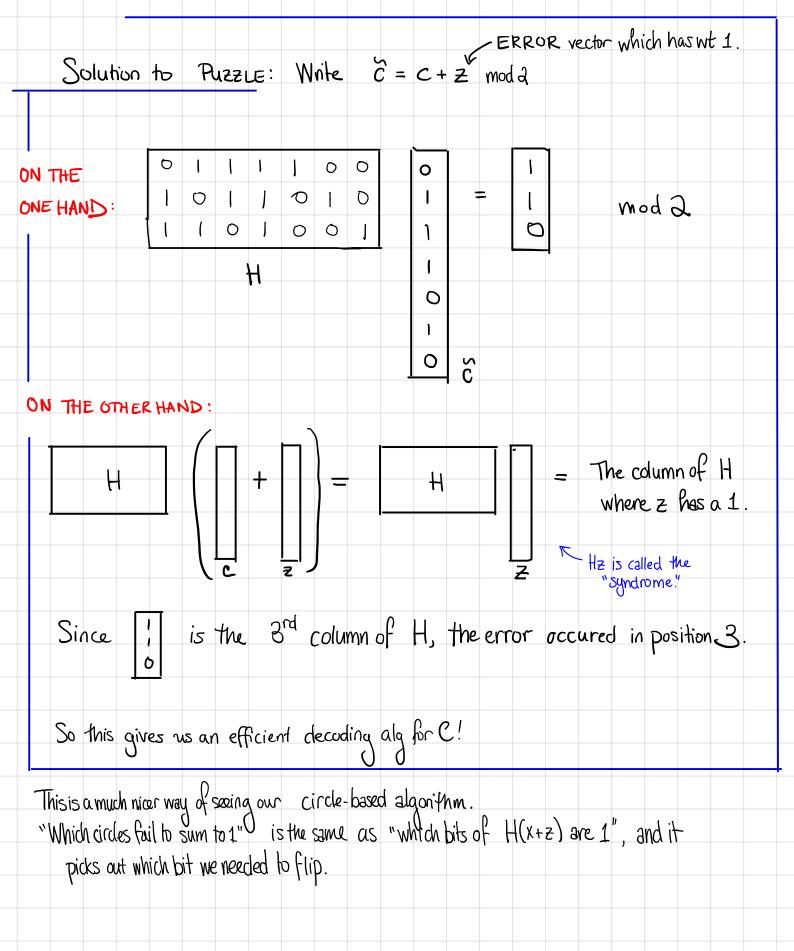
 $ENC: (X_1, X_2, X_3, X_4) \mapsto (X_1, X_2, X_3, X_4, X_2 + X_3 + X_4, X_1 + X_3 + X_4, X_1 + X_2 + X_4)$  $ENC: \vec{X} \mapsto (\vec{X}, \text{ some linear fn of } X \pmod{2}).$ 

ake, we can write this as  $\chi \mapsto G\chi \pmod{2}$ , where G is some matrix.

$ENC(x) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ x_{4} \\ x_{4} \end{bmatrix}$	(mod 2)
$G \xrightarrow{1 1 0 1}$	NOTE: Some people write
G is called a GENERATOR MATRIX.	
SUPPOSE FOR NOW that "linear elgebra works mod 2."	aka, G is short and fat.
Then this view is pretty useful.	In this class, generator matrices are
	tall and skinny.



ANSNER  
May?Yes, 
$$C = Ker(H).$$
Image: Construction of the identity matrix is a construction of the identity matrix is just sitting there...



THE POINT SO FAR:

Assuming that "linear algebra works" in EO,13 mod 2, this linear-algebraic view of things is very useful!

THE QUESTION: Does linear algebra "make sense" over EO, 13 mod 2? (And what does that mean?)

What's the problem? Why wouldn't it work? To see the (potential) issue, consider what happens for {0,1,2,3} mod 4.

- NON-EXAMPLE (WARNING! FALSE STATEMENTS BELOW)

Let 
$$G = \begin{vmatrix} 2 & 0 \\ 0 & 2 \end{vmatrix}$$
 be a generator matrix, mod 4

Define 
$$C = \{G, \chi \mid \chi \in \{0, 1, 2, 3\}^2\} = colspan(G).$$

$$H = \begin{bmatrix} 2 & 0 \\ 0 & 2 & 0 \end{bmatrix}.$$
 Now we have  $\begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \end{bmatrix} \begin{bmatrix} 2 & 0 \\ 0 & 2 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 2 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ 

So then by the same argument, 
$$C = colspan(G) = ker(H)$$
.

So 
$$2 = \dim(C) = \dim(Ker(H)) = 3 - \dim(rowspan(H)) = 3 - 2 = 1$$

OH NO !!

#### WHY WAS THIS A NON-EXAMPLE?

What went wrong? Linear algebra does not "work" over {0,1,2,33 m	ocl 4.
	ASIDE :
· In particular, several times in that example we said (something like):	You can make
a nonzero vectors v and w are linearly independent iff	it work a
there is no $\lambda$ s.t. $V = \lambda W$ .	little bit.
Lattere definition of linear independence.	The algebra
· Another definition of linear independence:	Dursemord is
" nonzero vectors V and W are linearly independent iff	"module"
there is no nonzero $\lambda_1, \lambda_2$ s.t. $\lambda_1 V + \lambda_2 W = O$ .	
· Over TR, these are the same:	
	( )
$\exists \lambda_1, \lambda_2 \neq 0 \text{ s.t. } \lambda_1 \vee \lambda_2 W = 0. \text{ [here ]}$	$V = \left(\frac{-\lambda_1}{\lambda_1}\right) W$
Proof: Suppose $\exists \lambda_1, \lambda_2 \neq 0$ s.t. $\lambda_1 \vee + \lambda_2 \vee = 0$ . Then Conversely, if $\exists \lambda$ s.t. $\vee = \lambda \vee \vee_1$ then choose $\lambda_2 = \lambda_1$ .	$\lambda_1 = -1$
and	$\lambda_1 V + \lambda_2 W = 0.$
· But over {0,1,2,3} mod 4, these are not the same.	
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	
2 $2$ $2$ $-4$ $0$ mod $4$	
$\mathbf{v}$ $\mathbf{w}$	
even though v and w are not scalar multiples of each other.	
CIGITIVOUGHT V CILL VV BIC TIOU SCHOOL THUITIPUES OF COVE OF COVE	
• The Proof above preaks: What does $\left(\frac{-\lambda_2}{\lambda_1}\right)$ mean?	
( 3/2 mod 4 cloes not immediately mo	uke sense).
The last $f$ is the set of $f$ is the set of $f$ is the set of $f$	
This does not bode well for algebraic coding theory if e linear algebra doesn't work	Ver l
linear algebra doesn't work	

linear algebra doesn't work ...

### 2 FINITE FIELDS

FORTUNATELY, all that stuff that we did mod 2 actually was OK!

The difference between  ${\{0, 1, 2, 3\}} \mod 4$  and  ${\{0, 1\}} \mod 2$ is that  ${\{0, 1\}} \mod 2$  is a FINITE FIELD.

Informal definition of a field:

A FIELD is any set of elements that you can add, subtract, multiply and divide like you want to.

Formal definition of a field: DEF A FIELD IF is a set of elements, along with operations +, x, ( "addition" and "multiplication") So that: ∀ x,y,zeF: • (Associativity) (X+y)+z = x + (y+z) $(X \times Y) \times Z = X \times (Y \times Z)$ • (COMMUTATIVITY) X+Y=Y+X.  $X\times y=Y\times X$ . • (DISTRIBUTIVITY)  $\chi^{(y+z)} = (\chi^{y}) + (\chi^{z})$ • (IDENTITIES) There is an element "O" and an element "1" so that  $\chi + 0 = \chi \quad \forall \chi \in \mathbb{F}$  $\chi \cdot 1 = \chi \quad \forall x \in F$ • (INVERSES)  $\forall x \in \widehat{H}, \exists y s.t. \forall x \neq y = 0$  (Let's call this y = -x'')  $\forall x \in \widehat{H}, \exists y s.t. \forall x = 1$  (Let's call this  $y = \frac{1}{x} \circ r''$ )  $\chi_{\neq 0}$ (Let's call this y "1" or "X") Familiar examples of fields: R, C

#### A FINITE FIELD is a finite field. (aka, a field that is finite).

tamiliar example: {0,1} mod 2. (The only thing to check is the inverses: -0=0, -1=1, 1<sup>-1</sup>=1. so we're good!)

Familiar non-example:  $\{0, 1, 2, 3\}$  mod 4. (2 has no multiplicative inverse: 0.2 = 0 There's no way to get 1!) 1.2 = 2  $2.2 = 4 \equiv 0 \mod 4$  $3.2 = 6 \equiv 2 \mod 4$ 

"THEOREM:" Linear algebra "Works" over finite fields. ENOUGH

There dre some things that don't. Most notably, orthogonality doesn't mean what you think it means. The vector (1) is orthogonal to itself over (So,13 mod 2) WEIRD.

Before we go into more details, WHEN DO FINITE FIELDS EXIST? ARE WE STUCK IN {0,13 mod 2?

Theorem. For every prime power  $p^t$ , there is a unique\* finite field with  $p^t$  elements. We call this field  $F_{p^t}$ .

There are no other finite fields. \* Up to appropriate similarities Proof. Exercise.

Call it GF(p<sup>t</sup>). GF stands for "Galois Field." I might use this Somehimes.

Not really — I'll post some reading if you are interested, but if you are not you can take this Thm on faith.

#### **EXAMPLE** $\mathbb{H}_{5} = \{0, 1, 2, 3, 4\} \mod 5.$

Again, the only interesting part is the inverses:
 
$$0+0=0$$
 $1+1 = 1$ 
 $1+4=0$ 
 $2+3 = 1$ 
 $1+4=0$ 
 $3+2 = 1$ 
 $3+2=0$ 
 $4+4 = 1$ 
 $(16 \mod 5)$ 
 $4+1 = 0$ 

 So, br example,  $\frac{1}{2} = 3 \mod 5$ 
 So, br example,  $-1=4 \mod 5$ .

 More generally,  $F_p = \{0, 1, ..., p-1\}$  mod p.

 EXAMPLE
  $F_4$  is NOT  $\{0, 1, 2, 3\}$  mod 4.

 Instead, it is  $\{0, 1, 7, 8^2\}$ , with:

  $\frac{+}{0}$ 
 $\frac{8}{8^2}$ 
 $0$ 
 $\frac{8}{8^2}$ 
 $1$ 
 $0$ 
 $\frac{1}{8}$ 
 $\frac{8}{8}$ 
 $\frac{1}{8^2}$ 
 $\frac{1}{9}$ 
 $\frac{1}{8}$ 
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 $\frac{1}{9}$ 

FUN EXERCISE: Check that this satisfies all the exioms.

More generally, Fige is NOT the same as {0,1,..., pt-1} mod pt when t>1.

FUN EXERCISE: If you haven't seen finite fields before, prove both of the "more generally" statements.

#### 3 LINEAR CODES

Now that we have the appropriate language about finite fields, we can formally define the things we were talking about before with the Hamming code.

All the definitions you know + love for linear algebra over IR make sense over finite fields:

Let IF be a finite field. Then:

 $\left\{ \bullet \mathbb{F}^{n} = \left\{ (x_{1}, -, x_{n}) : x_{i} \in \mathbb{F} \right\}.$ FUN EXERCISE : Check that F "and any subspace VSFF" • A SUBSPACE VE F " is a subset that is closed under addition & scalar multiplication. is a vector space aka, Vv,WEV, VxEF, V+XWEV. over IF (in the sense that they · Vectors V, ..., V, & FT are LINEARLY INDEPENDENT if Y a, ..., a, E F that Satisfy the axioms of a  $\exists re not all O, \sum_i \lambda_i V_i \neq O.$ Vector space that you know and love). For V<sub>1</sub>, -, v<sub>t</sub> ∈ F<sup>n</sup>, their SPAN is Span(V<sub>1</sub>,..., v<sub>t</sub>) = {Σ<sub>i</sub>λ<sub>i</sub>v<sub>i</sub> : λ<sub>i</sub> ∈ F}
A BASIS for a subspace V⊆F<sup>n</sup> is a collection of vectors V<sub>1</sub>,..., v<sub>t</sub> ∈ V s.t. and/or just read on Wikipedia: Vector\_space #Definition - V1, ..., VE are linearly independent  $- V = \text{span}(V_{1}, \dots, V_{t}).$ · The DIMENSION of a subspace V is the number of elements in any basis of V. FUN EXERCISE : Prove that this is well-defined. (eg, all bases have the same size). LINEAR CODE C of length n and dimension k over a DEF. A Finite field IF is a k-dimensional linear subspace of F'' (The eliphabet of C is Z = F) We have overloaded & (message length & dimension). NOTE : In fact this makes sense. If C is a k-dimensional subspace over IF, then  $|C| = |F|^k$ , hence  $k = |Og_{|F|}|C| = \log_{|\mathcal{Z}|}|C| = message length.$ Why? Every  $c \in C$  has a unique representation as  $\sum_{i=1}^{k} \lambda_i V_i$  for a basis  $V_1, \dots, V_k$ . That's ITF1 the choices for the ai

OBSERVATION. If C is a linear code of climension k over $F$ , then there is a matrix $H \in F^{n-k \times n}$ so that $C = \{ c \in F^n : Hc = 0 \}$ as $C = Ker(H)$ .
PROOF of OBSERVATION: Let H be a matrix whose rows are a basis for $C^{\perp}$ .
DEF. A matrix $H \in \mathbb{F}^{(n-k) \times n}$ so that $C = \{c \in \mathbb{F}^n : H \cdot c = 0\}$ is called a <u>PARITY CHECK</u> matrix for C.
The rows of H (or any vector v s.t. $\langle v_1 c \rangle = 0$ $\forall c \in C$ ) are called <u>PARITY CHECKS</u> .
NOTE : Again, there is not a runique parity check matrix fir a code C.
SUME FACTS: (FUN EXERCISE: Verify those!) If $C = F^n$ is a linear code over $F$ of dimension $k \omega$ /generator matrix $G$ and parity-check matrix $H$ , then:
<ul> <li>H·G = O</li> <li>C<sup>⊥</sup> is a linear code of dimension n-k with generator matrix H<sup>T</sup> and parity-check matrix G<sup>T</sup>.</li> </ul>
• The distance of C is the minimum weight of any nonzero codeword in C: dist(C) = min $\sum_{i=1}^{n} 1\{c_i \neq 0\}$ .
<ul> <li>The distance of C is the smallest number d so that H has d linearly dependent columns.</li> <li>I linearly dependent columns.</li> <li>I linearly dependent columns.</li> <li>I linearly dependent columns.</li> </ul>

# QUESTIONS TO PONDER

Does there always exist a generator matrix G so that G looks like [1,1] }k If so, how would you find it efficiently?
What about nonlinear codes? Is there always an encoding map so that the message x appears as part of ENC(x)?

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of Energy

(2) How would you structure a linear code if you wanted to decode it <u>efficiently</u> from L<sup>d-1</sup>/<sub>2</sub> ] errors?
(What about generalizing the Hamming code that we saw?)