

# Basic Graph Algorithms

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# Outline

## Graphs

Adjacency Matrix and Adjacency List

Special Graphs

Depth-First and Breadth-First Search

Topological Sort

Eulerian Circuit

Minimum Spanning Tree (MST)

Strongly Connected Components (SCC)

## Graphs

- ▶ An abstract way of representing connectivity using nodes (also called vertices) and edges
- ▶ We will label the nodes from 1 to  $n$
- ▶  $m$  edges connect some pairs of nodes
  - Edges can be either one-directional (directed) or bidirectional
- ▶ Nodes and edges can have some auxiliary information

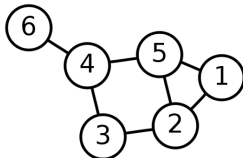


Figure from Wikipedia

## Why Study Graphs?

- ▶ Lots of problems formulated and solved in terms of graphs
  - Shortest path problems
  - Network flow problems
  - Matching problems
  - 2-SAT problem
  - Graph coloring problem
  - Traveling Salesman Problem (TSP): *still unsolved!*
  - and many more...

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## Storing Graphs

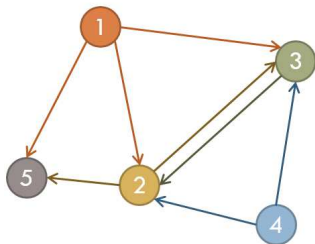
- ▶ Need to store both the set of nodes  $V$  and the set of edges  $E$ 
  - Nodes can be stored in an array
  - Edges must be stored in some other way
- ▶ Want to support operations such as:
  - Retrieving all edges incident to a particular node
  - Testing if given two nodes are directly connected
- ▶ Use either adjacency matrix or adjacency list to store the edges

## Adjacency Matrix

- ▶ An easy way to store connectivity information
  - Checking if two nodes are directly connected:  $O(1)$  time
- ▶ Make an  $n \times n$  matrix  $A$ 
  - $a_{ij} = 1$  if there is an edge from  $i$  to  $j$
  - $a_{ij} = 0$  otherwise
- ▶ Uses  $\Theta(n^2)$  memory
  - Only use when  $n$  is less than a few thousands,
  - *and* when the graph is dense

## Adjacency List

- ▶ Each node has a list of outgoing edges from it
  - Easy to iterate over edges incident to a certain node
  - The lists have variable lengths
  - Space usage:  $\Theta(n + m)$



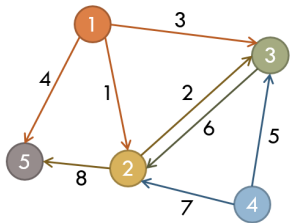
From	To		
1	2	3	5
2	3	5	
3	2		
4	2	5	
5			



## Implementing Adjacency List

- ▶ Solution 1. Using linked lists
  - Too much memory/time overhead
  - Using dynamic allocated memory or pointers is bad
- ▶ Solution 2. Using an array of vectors
  - Easier to code, no bad memory issues
  - But very slow
- ▶ Solution 3. Using arrays (!)
  - Assuming the total number of edges is known
  - Very fast and memory-efficient

## Implementation Using Arrays



ID	To	Next Edge ID
1	2	-
2	3	-
3	3	1
4	5	3
5	3	-
6	2	-
7	2	5
8	5	2

From	1	2	3	4	5
Last Edge ID	4	8	6	7	-

## Implementation Using Arrays

- ▶ Have two arrays  $E$  of size  $m$  and  $LE$  of size  $n$ 
  - $E$  contains the edges
  - $LE$  contains the starting pointers of the edge lists
- ▶ Initialize  $LE[i] = -1$  for all  $i$ 
  - $LE[i] = 0$  is also fine if the arrays are 1-indexed
- ▶ Inserting a new edge from  $u$  to  $v$  with ID  $k$ 
  - $E[k].to = v$
  - $E[k].nextID = LE[u]$
  - $LE[u] = k$

## Implementation Using Arrays

- ▶ Iterating over all edges starting at  $u$ :

```
for(ID = LE[u]; ID != -1; ID = E[ID].nextID)
    // E[ID] is an edge starting from u
```

- ▶ Once built, it's hard to modify the edges
  - The graph better be static!
  - But adding more edges is easy

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# Tree

- ▶ A connected acyclic graph
- ▶ Most important type of special graphs
  - Many problems are easier to solve on trees
- ▶ Alternate equivalent definitions:
  - A connected graph with  $n - 1$  edges
  - An acyclic graph with  $n - 1$  edges
  - There is exactly one path between every pair of nodes
  - An acyclic graph but adding any edge results in a cycle
  - A connected graph but removing any edge disconnects it

## Other Special Graphs

- ▶ Directed Acyclic Graph (DAG): the name says what it is
  - Equivalent to a partial ordering of nodes
  
- ▶ Bipartite Graph: Nodes can be separated into two groups  $S$  and  $T$  such that edges exist between  $S$  and  $T$  only (no edges within  $S$  or within  $T$ )

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# Graph Traversal

- ▶ The most basic graph algorithm that visits nodes of a graph in certain order
- ▶ Used as a subroutine in many other algorithms
  
- ▶ We will cover two algorithms
  - Depth-First Search (DFS): uses recursion (stack)
  - Breadth-First Search (BFS): uses queue

## Depth-First Search

DFS( $v$ ): visits all the nodes reachable from  $v$  in depth-first order

- ▶ Mark  $v$  as visited
- ▶ For each edge  $v \rightarrow u$ :
  - If  $u$  is not visited, call DFS( $u$ )
  
- ▶ Use non-recursive version if recursion depth is too big (over a few thousands)
  - Replace recursive calls with a stack

## Breadth-First Search

BFS( $v$ ): visits all the nodes reachable from  $v$  in breadth-first order

- ▶ Initialize a queue  $Q$
- ▶ Mark  $v$  as visited and push it to  $Q$
- ▶ While  $Q$  is not empty:
  - Take the front element of  $Q$  and call it  $w$
  - For each edge  $w \rightarrow u$ :
    - ▶ If  $u$  is not visited, mark it as visited and push it to  $Q$

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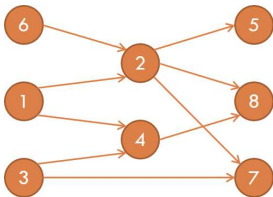
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## Topological Sort

- ▶ Input: a DAG  $G = (V, E)$
- ▶ Output: an ordering of nodes such that for each edge  $u \rightarrow v$ ,  $u$  comes before  $v$
- ▶ There can be many answers
  - e.g., both  $\{6, 1, 3, 2, 7, 4, 5, 8\}$  and  $\{1, 6, 2, 3, 4, 5, 7, 8\}$  are valid orderings for the graph below



# Topological Sort

- ▶ Any node without an incoming edge can be the first element
  - ▶ After deciding the first node, remove outgoing edges from it
  - ▶ Repeat!
- 
- ▶ Time complexity:  $O(n^2 + m)$ 
    - Too slow...

## Topological Sort (faster version)

- ▶ Precompute the number of incoming edges  $\text{deg}(v)$  for each node  $v$
- ▶ Put all nodes  $v$  with  $\text{deg}(v) = 0$  into a queue  $Q$
- ▶ Repeat until  $Q$  becomes empty:
  - Take  $v$  from  $Q$
  - For each edge  $v \rightarrow u$ :
    - ▶ Decrement  $\text{deg}(u)$  (essentially removing the edge  $v \rightarrow u$ )
    - ▶ If  $\text{deg}(u) = 0$ , push  $u$  to  $Q$
- ▶ Time complexity:  $\Theta(n + m)$

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## Eulerian Circuit

- ▶ Given an undirected graph  $G$
- ▶ Want to find a sequence of nodes that visits every edge exactly once and comes back to the starting point
  
- ▶ Eulerian circuits exist if and only if
  - $G$  is connected
  - *and* each node has an even degree

## Constructive Proof of Existence

- ▶ Pick any node in  $G$  and walk randomly without using the same edge more than once
- ▶ Each node is of even degree, so when you enter a node, there will be an unused edge you exit through
  - Except at the starting point, at which you can get stuck
- ▶ When you get stuck, what you have is a cycle
  - Remove the cycle and repeat the process in each connected component
  - Glue the cycles together to finish!

## Related Problems

- ▶ Eulerian path: exists if and only if the graph is connected and the number of nodes with odd degree is 0 or 2.
  
- ▶ Hamiltonian path/cycle: a path/cycle that visits every *node* in the graph exactly once. Looks similar but very hard (still unsolved)!

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## Minimum Spanning Tree (MST)

- ▶ Given an undirected weighted graph  $G = (V, E)$
- ▶ Want to find a subset of  $E$  with the minimum total weight that connects all the nodes into a tree
  
- ▶ We will cover two algorithms:
  - Kruskal's algorithm
  - Prim's algorithm

## Kruskal's Algorithm

- ▶ Main idea: the edge  $e^*$  with the smallest weight has to be in the MST
- ▶ Simple proof:
  - Assume not. Take the MST  $T$  that doesn't contain  $e^*$ .
  - Add  $e^*$  to  $T$ , which results in a cycle.
  - Remove the edge with the highest weight from the cycle.
    - ▶ The removed edge cannot be  $e^*$  since it has the smallest weight.
  - Now we have a better spanning tree than  $T$
  - Contradiction!

# Kruskal's Algorithm

- ▶ Another main idea: after an edge is chosen, the two nodes at the ends can be merged and considered as a single node (supernode)
- ▶ Pseudocode:
  - Sort the edges in increasing order of weight
  - Repeat until there is one supernode left:
    - ▶ Take the minimum weight edge  $e^*$
    - ▶ If  $e^*$  connects two different supernodes, then connect them and merge the supernodes (use union-find)
  - Otherwise, ignore  $e^*$  and try the next edge

## Prim's Algorithm

- ▶ Main idea:
  - Maintain a set  $S$  that starts out with a single node  $s$
  - Find the smallest weighted edge  $e^* = (u, v)$  that connects  $u \in S$  and  $v \notin S$
  - Add  $e^*$  to the MST, add  $v$  to  $S$
  - Repeat until  $S = V$
  
- ▶ Differs from Kruskal's in that we grow a single supernode  $S$  instead of growing multiple ones at the same time



## Prim's Algorithm Pseudocode

- ▶ Initialize  $S := \{s\}$ ,  $D_v := \text{cost}(s, v)$  for every  $v$ 
  - If there is no edge between  $s$  and  $v$ ,  $\text{cost}(s, v) = \infty$
- ▶ Repeat until  $S = V$ :
  - Find  $v \notin S$  with smallest  $D_v$ 
    - ▶ Use a priority queue or a simple linear search
  - Add  $v$  to  $S$ , add  $D_v$  to the total weight of the MST
  - For each edge  $(v, w)$ :
    - ▶ Update  $D_w := \min(D_w, \text{cost}(v, w))$
- ▶ Can be modified to compute the actual MST along with the total weight

## Kruskal's vs Prim's

- ▶ Kruskal's Algorithm
  - Takes  $O(m \log m)$  time
  - Pretty easy to code
  - Generally slower than Prim's
- ▶ Prim's Algorithm
  - Time complexity depends on the implementation:
    - ▶ Can be  $O(n^2 + m)$ ,  $O(m \log n)$ , or  $O(m + n \log n)$
  - A bit trickier to code
  - Generally faster than Kruskal's

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## Strongly Connected Components (SCC)

- ▶ Given a *directed* graph  $G = (V, E)$
- ▶ A graph is *strongly connected* if all nodes are reachable from every single node in  $V$
- ▶ Strongly connected components of  $G$  are maximal strongly connected subgraphs of  $G$
- ▶ The graph below has 3 SCCs:  $\{a, b, e\}$ ,  $\{c, d, h\}$ ,  $\{f, g\}$

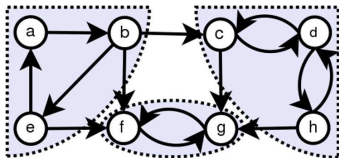


Figure from Wikipedia

## Kosaraju's Algorithm

- ▶ Initialize counter  $c := 0$
- ▶ While not all nodes are labeled:
  - Choose an arbitrary unlabeled node  $v$
  - Start DFS from  $v$ 
    - ▶ Check the current node  $x$  as visited
    - ▶ Recurse on all unvisited neighbors
    - ▶ After the DFS calls are finished, increment  $c$  and set the label of  $x$  as  $c$
- ▶ Reverse the direction of all the edges
- ▶ For node  $v$  with label  $n, n - 1, \dots, 1$ :
  - Find all reachable nodes from  $v$  and group them as an SCC

## Kosaraju's Algorithm

- ▶ We won't prove why this works
- ▶ Two graph traversals are performed
  - Running time:  $\Theta(n + m)$
  
- ▶ Other SCC algorithms exist but this one is particularly easy to code
  - and asymptotically optimal