

**ENGR 76**

**Information Science and Engineering**

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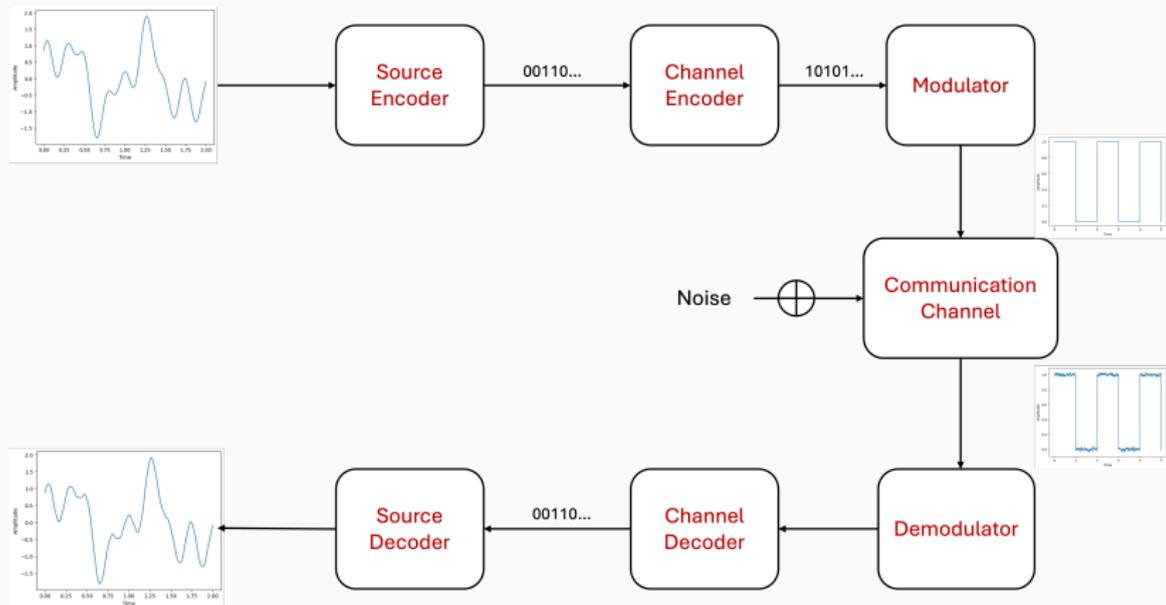
Lecture 13: Error Correction Codes

Siddharth Chandak

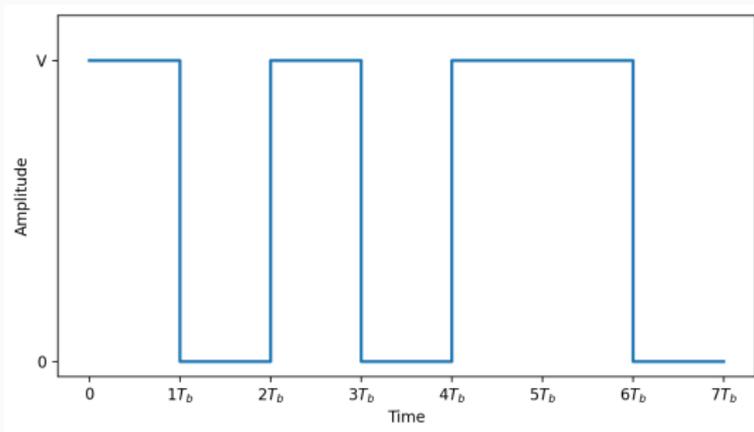
## Recap

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# Digital Communication



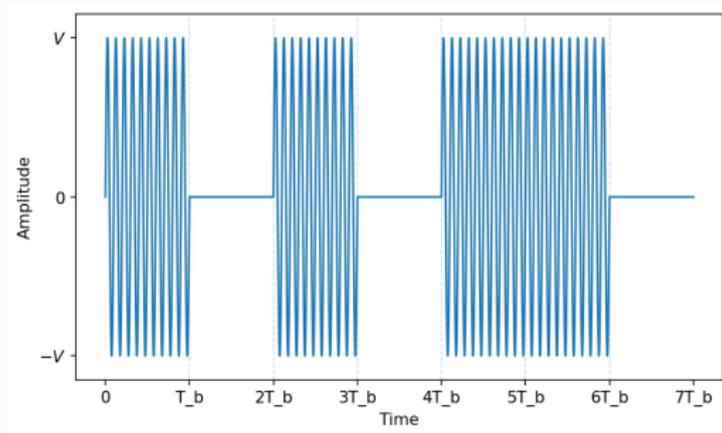
## Modulation: On-Off Keying



- Bit Duration:  $T_b$
- Bit Rate  $R_b = \frac{1}{T_b}$

## Modulation: Passband Signal

- $x(t)$  generated using on-off keying with bit duration  $T_b$
- Let  $s(t) = x(t) \times \sin(2\pi f_c t)$

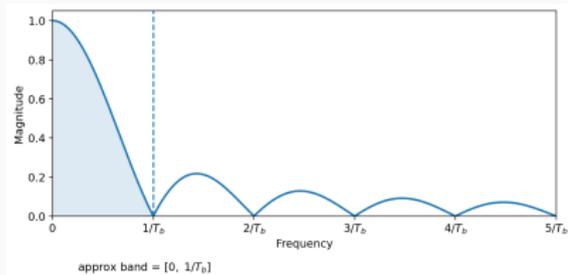


## Carrier Wave and Carrier Frequency

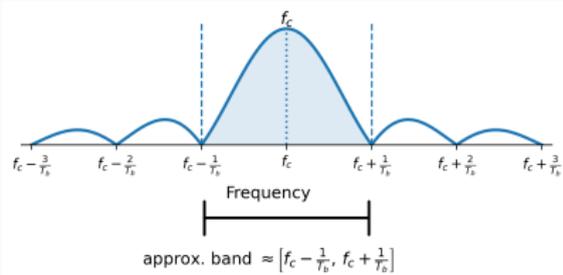
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- $x(t)$  is the message signal
- $\sin(2\pi f_c t)$  'carries' it at high frequencies: **Carrier Wave**
- $f_c$ : **Carrier Frequency**
  - Typically  $f_c \gg 1/T_b$

# Spectrum



(a) Spectrum of  $x(t)$



(b) Spectrum of  $s(t)$

- For the same rate  $1/T_b$ , bandwidth of baseband signal is  $1/T_b$  and of passband signal is  $2/T_b$

## Demodulation: Power Detection and Thresholding

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1. Divide time into bit intervals of length  $T_b$ , i.e.,

$$[(m-1)T_b, mT_b], \quad m = 1, 2, \dots$$

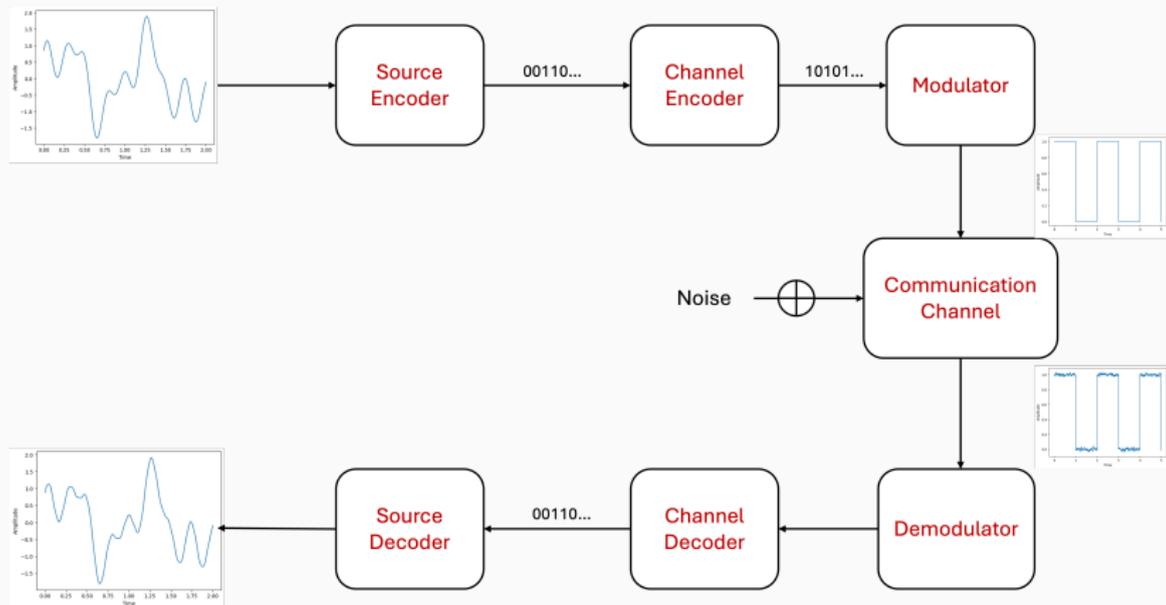
2. For each bit interval, compute the average received power

$$p_m = \frac{1}{T_b} \int_{(m-1)T_b}^{mT_b} y^2(t) dt.$$

3. Compare the power to a threshold and decide the bit:

$$\hat{b}_m = \begin{cases} 1, & p_m > p_{\text{thresh}}, \\ 0, & p_m \leq p_{\text{thresh}}. \end{cases}$$

# Digital Communication



# Error Correction Codes

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# Error Correction Codes

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- Adding redundancy (extra bits) so that errors caused by the channel can be handled at the receiver
- Two goals: Detect vs Correct?

## Detection:

- Receiver checks consistency
  - Could this have been transmitted?
- If not valid: error declared
- Typical action: discard and request retransmission

## Correction:

- Receiver finds the most likely transmitted codeword
  - What was actually transmitted?
- Can correct errors up to a certain limit
- No retransmission required
- Requires more redundancy as compared to detection

## Example: Error Detection in Internet Packet

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Ethernet II

Destination MAC 6 Bytes	Source MAC 6 Bytes	Type 2 Bytes	Data 46 – 1500 Bytes	Frame Check Sequence 4 Bytes
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## Example: Error Detection in Bar Codes



## Example: Error Correction in QR Codes

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## Example: Error Correction in CDs

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## Example: Error Correction

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- Cellular Communication: corrects bit errors due to noise
- Satellite Communication:
  - Retransmission impractical due to long delays
  - Strong error correction

# Compression vs Error-Correction

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- Both map messages to codewords
- **Compression**
  - Remove *statistical* redundancy to represent using fewer number of bits
- **Error-Correction**
  - Add *structured* redundancy to protect against channel noise

## Repetition Codes

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## Simplest Scheme

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- You want to transmit just one bit
  - Only two messages: 0 or 1
- Simplest idea for adding redundancy?

# Repetition Codes

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- Map 0 to 000
- Map 1 to 111
- Example:
  - Information Sequence: 1011
  - Encoded Sequence: 111000111111

## How will we decode?

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- Suppose received sequence is: 101100111100
- Decoding:
  - Divide into blocks of 3
  - Map each block to “closest” codeword
  - Map decoded codeword to message

## How will we decode?

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- Information Sequence: 1011
- Encoded Sequence: 111000111111
- Received Sequence: 101100111100
- Decoded Sequence: 111000111000
- Decoded Information: 1010

## Why did we repeat by 3?

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- Suppose we map 0 to 00 and 1 to 11
- Can we correct one bit flip?
- Can we detect one bit flip?

## Why did we repeat by 3?

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- Suppose we map 0 to 00 and 1 to 11
- Can we correct one bit flip?
  - No!
  - Suppose we receive 01 - then we have no idea to know what the transmitted bits were
- Can we detect one bit flip?
  - Yes!
  - If we receive 01 or 10, we can detect an error

## Why did we repeat by 3?

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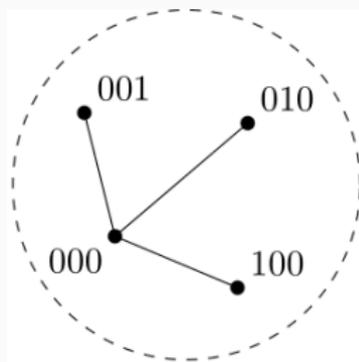
- Suppose we map 0 to 000 and 1 to 111
- Can we correct one bit flip?
- Can we correct two bit flips?

## Why did we repeat by 3?

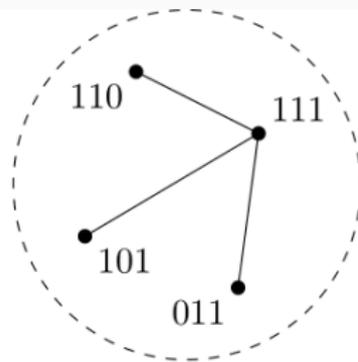
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- Suppose we map 0 to 000 and 1 to 111
- Can we correct one bit flip?
  - Yes!
  - One bit flip can lead 000 to 100, 010, or 001
  - All of these are “closer” to 000 than 111
  - Decoded sequence will be 000
- Can we correct two bit flips?
  - No!
  - Two bit flips can lead 000 to 011 (for example)
  - Closer to 111 than 000
  - Decoded sequence will be 111 and decoded bit will be 1 - error not corrected
- Formalization?

## Noise Balls



noise ball around 000



noise ball around 111

- Noise balls of 'radius' 1

# Hamming Distance and Error-Correcting Capabilities

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# Hamming Distance

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## Definition (Hamming Distance)

For two binary vectors of equal length, the Hamming distance is the number of positions where they differ.

## Examples

- $d_H(000, 111)$
- $d_H(01110, 10101)$

# Hamming Distance

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## Definition (Hamming Distance)

For two binary vectors of equal length, the Hamming distance is the number of positions where they differ.

## Examples

- $d_H(000, 111) = 3$
- $d_H(01110, 10101) = 4$

# Minimum Distance of the Code

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- The minimum Hamming distance between any two codewords
- Denoted by  $d_{min}$
- Example:
  - Suppose  $\mathcal{C} = \{00000, 00111, 11100, 11011\}$

# Minimum Distance of the Code

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- The minimum Hamming distance between any two codewords
- Denoted by  $d_{min}$
- Example:
  - Suppose  $\mathcal{C} = \{00000, 00111, 11100, 11011\}$ 
    - $d_H(00000, 00111) = 3$
    - $d_H(00000, 11100) = 3$
    - $d_H(00000, 11011) = 4$
    - $d_H(00111, 11100) = 4$
    - $d_H(00111, 11011) = 3$
    - $d_H(11100, 11011) = 3$
  - $d_{min} = 3$

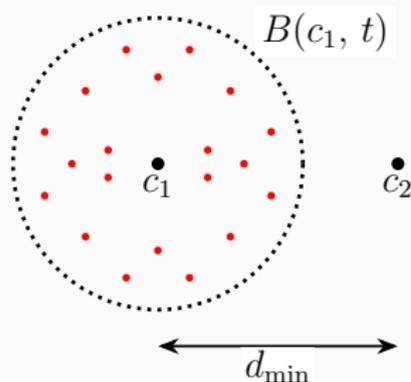
## Alternate perspectives

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- So far: adding redundancy to messages
- Equivalent view: a code is a subset of binary strings
- Good codes have codewords that are far apart
- Larger  $d_{min} \implies$  better error-correction capabilities

## Error Detection

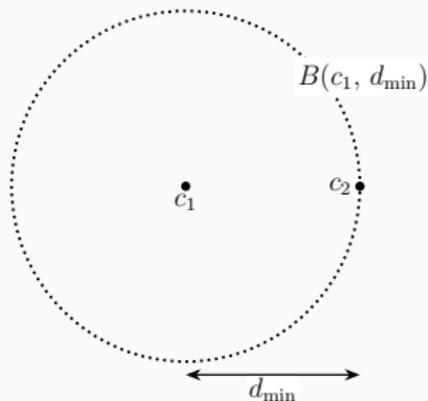
- How many bit flips can a code with minimum distance  $d_{min}$  detect?



- $c_1$  and  $c_2$  are two codewords
- $B(c_1, t)$  is Hamming ball of radius  $t$
- Red points inside the ball: Bit strings at most  $t$  bits away from  $c_1$ 
  - Not a part of the code
- Any received word in this ball cannot equal another codeword  $\implies$  error detected

## Error Detection

- How many bit flips can a code with minimum distance  $d_{min}$  detect?



- If  $d_{min}$  bit flips happen, then we can receive  $c_2$  even though  $c_1$  was transmitted
- Since  $c_2 \in \mathcal{C}$ , no error is detected
- Hence, we can detect at most  $d_{min} - 1$  bit flips

### Theorem (Error Detection)

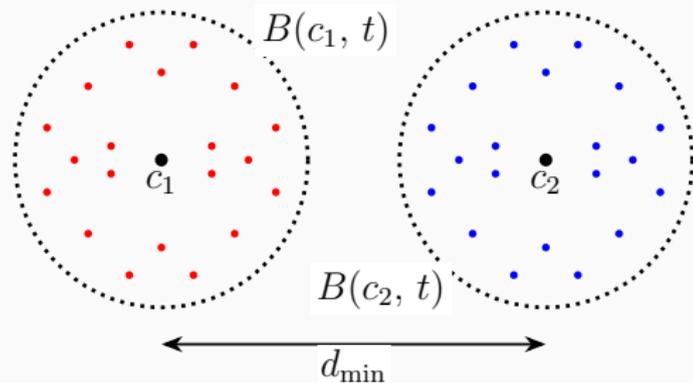
A code can detect up to  $t$  bit flips if and only if

$$d_{\min} \geq t + 1.$$

Equivalently, a code with minimum distance  $d_{\min}$  can detect up to  $d_{\min} - 1$  bit flips.

## Error Correction

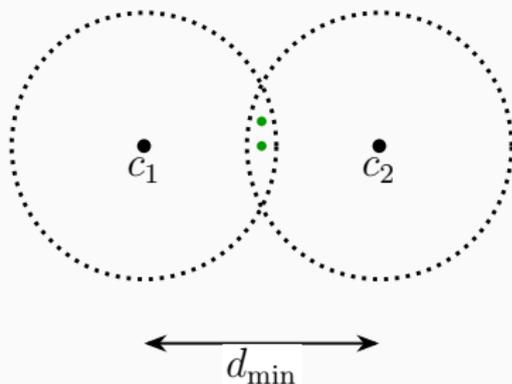
- How many bit flips can a code with minimum distance  $d_{\min}$  correct?



- Red points: bit strings within Hamming distance  $t$  of  $c_1$
- Blue points: bit strings within Hamming distance  $t$  of  $c_2$
- We can correct up to  $t$  bit flips as long as these balls do not intersect

## Error Correction

- How many bit flips can a code with minimum distance  $d_{min}$  correct?



- Cannot correct errors in case of intersection
- Non-intersection requires  $d_{min} > 2t$

## Error Correction

### Theorem (Error Correction)

A code can correct up to  $t$  bit flips if and only if

$$d_{\min} \geq 2t + 1.$$

Equivalently, a code with minimum distance  $d_{\min}$  can correct up to

$$\left\lfloor \frac{d_{\min} - 1}{2} \right\rfloor$$

bit flips.

- Here  $\lfloor x \rfloor$  denotes the floor operator, largest integer smaller than or equal to  $x$
- $\lfloor 1 \rfloor = 1$  and  $\lfloor 1.5 \rfloor = 1$

## Figures of Merit

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# Figures of Merit

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- $n$ : Number of bits in each codeword
- $k$ : Number of information bits
  - $M = 2^k$  is the number of messages or codewords
- $d_{min}$ : Minimum distance of the code
- Rate =  $\frac{k}{n}$ 
  - Ratio of information bits to the total number of bits in a codeword

## Examples

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- Repetition code with 2 messages:
  - $0 \rightarrow 000$  and  $1 \rightarrow 111$
- Repetition code with 4 messages:
  - $00 \rightarrow 000000$ ,  $01 \rightarrow 010101$ ,  $10 \rightarrow 101010$ ,  $11 \rightarrow 111111$
- Above example -
  - $00 \rightarrow 00000$ ,  $01 \rightarrow 00111$ ,  $10 \rightarrow 11100$ ,  $11 \rightarrow 11011$

## Examples

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- Repetition code with 2 messages:
  - $0 \rightarrow 000$  and  $1 \rightarrow 111$
- $n = 3$  (number of bits in each codeword)
- $k = 1$  (one information bit)
- $M = 2$  (2 messages or 2 codewords)
- Rate =  $1/3$
- $d_{min} = 3$  (distance between codewords)

## Examples

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- Repetition code with 4 messages:
  - $00 \rightarrow 000000, 01 \rightarrow 010101, 10 \rightarrow 101010, 11 \rightarrow 111111$
- $n = 6$  (number of bits in each codeword)
- $k = 2$  (two information bits)
- $M = 4$  (4 codewords)
- Rate =  $1/3$
- $d_{min} = 3$  (distance between codewords)

## Examples

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- $00 \rightarrow 00000, 01 \rightarrow 00111, 10 \rightarrow 11100, 11 \rightarrow 11011$
- $n = 5$  (number of bits in each codeword)
- $k = 2$  (two information bits)
- $M = 4$  (4 codewords)
- Rate =  $2/5$
- $d_{min} = 3$  (distance between codewords)

# Design Goals

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We would like:

- Larger  $k$  and  $M$ : more information bits
- Small  $n$ : Want smaller transmissions
- Larger rate
- Larger  $d_{min}$ : Better error correction capabilities

# Exam

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# Exam

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- Will be held in class on February 26
  - Regular class time and location
  - Will start precisely at 9am
- **Syllabus:** Lectures 1-12 (inclusive)
  - Questions similar to Mini-PSets (1-6) and practice problems from PSet Discussions
- Allowed to bring one two-sided hand-written sheet for reference
  - No calculators
- Will release sample exam today

## OAE Accommodations

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- If you plan to use OAE accommodations for the exam, please email us by February 22
  - **Important:** You must email us this week even if you have already shared your OAE letter earlier in the quarter
  - Please include the specific accommodations you will require for this exam
  - Requests received after February 22 may not be possible to accommodate

**Thank You!**