Transient Ru-methyl formate intermediates generated with bifunctional transfer hydrogenation catalysts

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Desorption electrospray ionization (DESI) coupled to high-resolution Orbitrap mass spectrometry (MS) was used to study the reactivity of a (β-amino alcohol)(arene)RuCl transfer hydrogenation catalytic precursor in methanol (CH₃OH). By placing [(p-cymene)RuCl]₂ on a surface and spraying a solution of a β-amino alcohol in methanol, two unique transient intermediates having lifetimes in the submillisecond to millisecond range were detected.

These intermediates were identified as Ru (II) and Ru (IV) complexes incorporating methyl formate (HCOOCH₃). The Ru (IV) intermediate is not observed when the DESI spray solution is sparged with Ar gas, indicating that O₂ dissolved in the solvent is necessary for oxidizing Ru (II) to Ru (IV). These proposed intermediates are supported by high-resolution and high mass accuracy measurements and by comparing experimental to calculated isotope profiles. Additionally, analyzing the bulk reaction mixture using gas chromatography-MS and nuclear magnetic resonance spectroscopy confirms the formation of HCOOCH₃. These results represent an example that species generated from the (β-amino alcohol)(arene)RuCl (II) catalytic precursor can selectively oxidize CH₃OH to HCOOCH₃. This observation leads us to propose a pathway that can compete with the hydrogen transfer catalytic cycle. Although bifunctional hydrogen transfer with Ru catalysts has been well-studied, the ability of DESI to intercept reactive species without prior sample preparation (30–36) prevented unambiguous identification of the ionic species.

Although Ru complexes have been well-investigated for CTH, herein we provide evidence for unique reaction intermediates derived from methyl formate that were not observed in earlier mechanistic studies when methanol is used as the hydrogen donor. These intermediates were intercepted on the millisecond time scale using DESI (36) and then detected with a high-resolution Orbitrap (37–39) mass spectrometer. The observation of these intermediates indicates that reactive species generated from activation of (β-amino alcohol)(arene)Ru (II) complexes can selectively oxidize CH₃OH to HCOOCH₃ (40–43). Additionally, when trace oxygen (O₂) is present in the CH₃OH, we find that Ru (II) is converted to a Ru (IV) hydroxo species complexed to HCOOCH₃. These observations attest to both the high reactivity of (β-amino alcohol)(arene)Ru (II) complexes as well as their sensitivity and reactivity toward adventitious O₂.

**Results and Discussion**

To characterize the intermediates and products formed upon reaction of CH₃OH with complexes derived from the RuCl₂ precursor, a DESI experiment was carried out as previously described (36). An aliquot (5 µL) of [RuCl₂(p-cymene)]₂ (Fig. 1; 1; 10⁻² M in CH₃Cl₂) was deposited on paper affixed to a glass slide and a CH₃OH solution containing (1R, 2S)-cis-1-amino-2-indanol (Fig. 1; 2; 10⁻⁴ M) was sprayed onto the surface by a DESI source (SI Appendix, Fig. S1; 5 kV or 0 kV spray voltage; unless specified otherwise; all data presented is for 5 kV). The solution containing Fig. 1, 2 was continuously supplied to the DESI source at a rate of 20 µL/min using a syringe pump and the spray was produced by sheath gas (N₂) flowing at a rate of 0.6 L/min. The reaction is initiated when the spray containing Fig. 1, 2 impacts Fig. 1, 1 on the surface. The reactive species are generated in the liquid-phase environment of the secondary microdroplets (approximately 2 µm in diameter) (44) as they travel to the inlet
the formation of gas-phase ions (36).

short distance from the impact site to the mass spectrometer inlet (0.5 cm) results in a reaction time of a few milliseconds before reaction is quenched by the formation of gas-phase ions (36).

Transition states for the concerted hydrogen transfers have been indicated in this figure but not labeled.

The resulting DESI mass spectrum (Fig. 2) shows ions in the m/z range 500–650 corresponding to [Ru]−OCH2 and [Ru]−OH species (SI Appendix, Fig. S2; square brackets represent other coordinations and bonds to the metal) formed from reaction of Fig. 1, 1 with CH3OH and adventitious H2O with loss of HCl. The assignments of these reaction intermediates are supported by experiments using CD3OD and D2O showing mass shifts corresponding to the number of −OH and −OCH2 groups bonded to Ru (SI Appendix, Figs. S3 and S4). The predominant ion at m/z = 576.9360 corresponds to [1 – Cl]+ (C138H19Cl2Ru; 1.1 ppm) and the intact sodiated Ru complex, [1 + Na]+, is observed at m/z 634.8921 (C138H19Cl2NaRuC; 1.4 ppm). In addition, intermediates formed in the first few milliseconds of the reaction that generates Ru−Cl Fig. 1, 3 are detected (see SI Appendix, Fig. S5 for proposed structures). These species include the initial association of Fig. 1, 1 with Fig. 1, 2 ([1 + 2 + H]+; m/z 763.9937; 0.2 ppm) followed by subsequent losses of HCl ([1 + 2 – Cl]+, m/z 726.0178, 0.7 ppm; [1 + 2 – 2Cl − H]+, m/z 690.0424, 0.4 ppm), which agrees with proposed associative mechanisms (5). From this point forward, specific species will be referred to using the m/z value of the most intense peak of the isotope distribution. Although these intermediates are predicted, their high reactivity and short lifetimes prevented isolation and detection (5, 11, 15) prior to this study.

Ions that are generated include complex Fig. 1, 3 at m/z 420.0670 [C10H24ONRuCl]+ and Fig. 1, 4 at m/z 384.0902 [C10H30ONRu]+ (Figs. 1 and 24), which agree with previous ESI-MS (15) and DESI-MS (36) results. The [Ru]−H Fig. 1, 5 was observed by NMR (Fig. 3) (45, 46) but not by DESI-MS (Fig. 24). In addition to Fig. 1, 3 and 4, the high mass accuracy and resolving power of the Orbitrap allowed identification of two transient species at m/z 444.1118 (Fig. 4, 6) and m/z 460.1064 (Fig. 4, 7) (Figs. 2 and 4).

When potassium hydroxide (KOH; 10−3 M in CH3OH) is added to the reagent spray the same reactive species are observed (but with different relative intensities; SI Appendix, Fig. S7) along with corresponding potassium adducts such as [1 + K]+ at m/z 650.8669 (C138H20Cl2KRu; 3.3 ppm). KOH is typically added to solutions of Fig. 1, 1 and 2 to facilitate dehydrohalogenation (5). In DESI experiments that do not have KOH in the reagent spray (Fig. 2), it is likely that adventitious H2O displaces the Cl ligands of Fig. 1, 1 and 3, thereby activating the catalyst precursor. (47). In addition, when no spray voltage is applied (i.e., 0 kV) the same transient species are observed but the sensitivity is approximately three times lower (36), which indicates that these species are generated without applying any potential to the solution.

The first step toward identifying the structures of the intermediates at m/z 444.1118 and m/z 460.1064 is to determine their elemental compositions from high-resolution Orbitrap mass spectra (Fig. 2). The observation that the species at m/z 460.1064 contains Fig. 1, 2 (36), coupled with the known reagent structures and compositions (Fig. 1), high mass accuracy and high resolution of Orbitrap mass spectra, and isotope profiles showing that the species at m/z 460.1064 is singly charged (viz. the charge comes from Ru+, Na+, or H+) with only one Ru atom (Fig. 2B), which indicates that there are only 17 possible elemental compositions. The calculation parameters are shown in SI Appendix, Table S1, and the possible elemental formulas are listed in SI Appendix, Table S2.

Isotope ratios are calculated by using Qual Browser software from Thermo Fisher Scientific and only peaks with signal-to-noise ratio greater than three were considered. Each error bar represents one standard deviation calculated from three measurements, with 10 spectra averaged per measurement.
Of the possible elemental compositions for the ion at \( m/z \) 460.1064 (see SI Appendix for a description of the calculations), this species is assigned the elemental composition of \([C_2H_2O_2NRu]^+\) (formula 17 in SI Appendix, Table S2; exact mass of 460.1062 Da, which is 0.3 ppm from the experimental value). The calculated isotopic abundances for \( C_{21}H_{22}O_2NRu \) have an average deviation of 2 ± 1% from the experimental values (Fig. 2B), providing further support for this assignment. For all the identified species in this study, the average deviations are less than 5% and mass accuracy is better than 5 ppm. Performing similar analyses for the intermediate at \( m/z \) 444.1118 produces nine elemental compositions (SI Appendix, Table S3, formulas 18–26), of which only \([C_2H_2O_2NRu]^+\) is feasible. Complexes Fig. 1, 3 and 4 (12), as well as the species at \( m/z \) 460.1046 and \( m/z \) 444.1118, contain p-cymene and a \([Ru]=O\) ligand bond. After accounting for the ligand (C\(_2\)H\(_4\)NO) and p-cymene (C\(_{10}\)H\(_{14}\)) in \([C_2H_2O_2NRu]^+\) and \([C_2H_2O_2NRu]^+\), the remaining elemental composition \((C_2H_2O_3 and C_2H_2O_2)\) represent other organic substituents bound to the \((C_2H_3NO) (p\text{-cymene}) Ru base structure of \( m/z \) 460.1064 and \( m/z \) 444.1118.

For \( m/z \) 444.1118, the elemental composition of \([C_2H_2O_2NRu]^+\) is most reasonably formulated as compound Fig. 4, 6, the coordination of HCOOCH\(_3\) to a cationic Ru fragment formed by protonation of the amide Fig. 4, 4. The amide Fig. 1, 4 is evident in the DESI spectrum of the p-cymene dimer Fig. 1, 1 and ligand Fig. 1, 2 with CH\(_3\)OH as the reagent spray solution (Fig. 2A); the observation of the Ru–HCOOCH\(_3\) intermediate Fig. 4, 6 indicates that oxidation of CH\(_3\)OH is occurring on the millisecond time scale of the DESI experiment. For \( m/z \) 460.1064, the elemental composition of \([C_21H_{22}O_2NRu]^+\) is formulated as Fig. 4, 7, a Ru (IV) hydroxo species complexed to HCOOCH\(_3\).

We posit that adventitious oxygen might be responsible for the formation of species Fig. 4, 7. To test this, we repeated the DESI experiment under conditions where the CH\(_3\)OH spray solution was previously degassed by bubbling Ar through the spray solution \((10^{-4} \text{ M of Fig. 1, 2 in CH}_{3}\text{OH})\) for 5 min prior to reaction with Fig. 1, 1 by DESI. Under these conditions, the peak at \( m/z \) 460.1046 disappears whereas peaks for other reactive species remain relatively unchanged compared to when the solution is not degassed (Fig. 5). This result indicates that O\(_2\) dissolved in the solvent is essential to form \( m/z \) 460,1064.

To complement the DESI experiments and obtain further information on the nature of the organic species that might be generated in the presence of CH\(_3\)OH under inert conditions, Fig. 1, 3 \((0.024 \text{ mmol})\) was treated with 5 equivalents (eq.) of KOH and 10 eq. of dried CH\(_3\)OH and monitored by \(^1H\) NMR in deuterated acetonitrile (CD\(_3\)CN). The resulting NMR spectra of the mixture after 1 h (Fig. 3) and 24 h (SI Appendix, Fig. S8) show chemical shifts at 8.05 ppm and 3.68 ppm, which correspond to the H=O and H=C=O protons of HCOOCH\(_3\). This assignment was confirmed by gas chromatography-mass spectrometry of the reaction mixture showing a peak at \( m/z \) 60 (SI Appendix, Fig. S9), and by comparison of the chemical shifts to that of pure HCOOCH\(_3\) in CD\(_3\)CN (SI Appendix, Fig. S10). Coupling of the signals at 8.05 ppm and 3.68 ppm in two-dimensional correlation NMR spectroscopy (COSY) indicate that these protons are within a few chemical bonds, providing additional support for the identification of HCOOCH\(_3\) (SI Appendix, Fig. S11). In addition to HCOOCH\(_3\), the resonance at –5.86 ppm (Fig. 3) confirms the formation of the metal hydride Fig. 1, 5 in solution. Catalytic dehydrogenation of CH\(_3\)OH by Ru (II) complexes to form HCOOCH\(_3\) has been observed previously by Shinoda and coworkers (13, 14), but to our knowledge selective dehydrogenation of CH\(_3\)OH to HCOOCH\(_3\) with species known to catalyze transfer hydrogenation has not been previously demonstrated. Although an ion signal for the Ru-H Fig. 1, 5 (exact mass is 386.1058 Da) was not observed in acquired DESI mass spectra, the observation that HCOOCH\(_3\) and the Ru-H Fig. 1, 5 are generated in the NMR experiments would suggest that the dehydrogenation of

![Image](https://www.pnas.org/doi/10.1073/pnas.1118934109)
methanol is mediated by the Ru-amide Fig. 1, 4, as proposed for transfer hydrogenation.

A number of homogenous catalysts are known to oxidatively dehydrogenate CH$_3$OH to HCOOCH$_3$, (13, 14, 40–43) and formaldehyde (CH$_2$O) was proposed as a key intermediate (48–52). Although CH$_3$OH has been investigated previously as a hydrogen donor in CTH (12), the nature of the oxidized products from CH$_3$OH has not been previously characterized. Moreover, these previous observations when coupled with the structural information obtained from NMR provides important insights on the nature of the ions formed at m/z 444.1118 and m/z 460.1064 as observed by DESI.

Based on these discoveries we propose a mechanism similar to that of Pearson and Waymouth (40) involving initial oxidation of CH$_3$OH to form CH$_2$O, condensation of CH$_2$O and CH$_3$OH to produce a methyl hemiformal intermediate, and subsequent dehydrogenation of the hemiformal by Fig. 4, 4 to generate Fig. 4, 5 and HCOOCH$_3$, (Figs. 4 and 6). HCOOCH$_3$ can then coordinate with protonated amide Fig. 4, 4 to yield Fig. 4, 5. In the presence of oxygen, competitive oxidation occurs. Although the mechanism by which this occurs is not yet clear, studies of aerobic oxidation with analogous Ir, Rh, and Ru transfer hydrogenation catalysts (53–56) allow some reasonable hypotheses to be made. One possibility is that oxidation of the Ru-H Fig. 4, 5 by dissolved O$_2$ generates a hydroperoxy-[Ru] intermediate (HOO-[Ru]). This intermediate could then undergo protonolysis with OÆO bond heterolysis to yield a [Ru(IV)]-OH species with loss of H$_2$O (57). The formation of high-valent metal-hydroperoxo complexes via metal-hydroperoxo intermediates from reaction with O$_2$ is a known pathway for various transition metals such as Fe (57, 58), Mn (59, 60), and Ru (61–63). Finally, reaction of the [Ru(IV)]-OH species with HCOOCH$_3$ would yield Fig. 4, 7.

The optimized structures of Fig. 4, 6 and 7 have low frequencies in density functional theory calculations [DFT; calculations performed using Gaussian 09 (64); spin unrestricted orbitals and exchange correlation was treated at the B3LYP (Becke, three-parameter, Lee–Yang–Parr) hybrid functional level (65, 66); DGDDZVP (density Gauss double-zeta with polarization functions) (67)] electronic spectrum was used with the density Gaussian fitting basis set DGA1 (67, 68)], indicating that the proposed structures for these intermediates are feasible (see SI Appendix for DFT parameters). Optimized structures for Fig. 4, 6 and 7 have $\sigma$-p-cymene configurations (SI Appendix, Fig. S12) and the calculated distances for the [Ru]=O and [Ru]=N bonds (SI Appendix, Table S4) agree with the proposed structures shown in Fig. 4. In addition, tandem MS experiments show that the species at nominal m/z 444 and m/z 460 both dissociate to yield an isotope distribution at nominal m/z 384, corresponding to the protonated form of Fig. 4, 4, which is the base structure for Fig. 4, 6 and 7 (SI Appendix, Fig. S13). The dissociation profile for Fig. 4, 6 and 7 as a function of normalized collision energy (SI Appendix, Fig. S14) indicates that Fig. 4, 6 is less stable compared to Fig. 4, 7, which is expected because the organic substituent is more weakly bound in Fig. 4, 6. All these results provide support for the identification of intermediates that are formed from reaction intermediates that react with HCOOCH$_3$, (i.e., Fig. 4, 6 and 7).

The results described in this study show that Ru-methyl formate intermediates are formed when methanol reacts with (β-amino alcohol)(arene)RuCl complexes. The short reaction time in microdroplets from DESI allowed these transient species to be intercepted on the millisecond time scale (36) and the use of a high-resolution mass spectrometer permits unambiguous identification. These discoveries provide information on unique transient intermediates in transfer hydrogenation as well as possible pathways that inhibit the catalytic cycle in solution, thereby facilitating the design and optimization of more efficient CTH catalysts. This report also shows that DESI is a simple and powerful way to follow complex reactions on short time scales that recommends itself for many other applications.

**Methods**

**Desorption Electrospray Ionization Mass Spectrometry.** The amino alcohol (IR, 2S)-cis-1-amin-2-indanol (Fig. 1, 2, 10, 4 M in CH$_3$OH) was infused through a fused silica (FS) capillary tubing (100 μm i.d., 360 μm o.d.) of a custom-built DESI source at a rate of 20 μl/min. Unless specified otherwise all chemicals were purchased from Sigma-Aldrich and used without further processing. For experiments with base a solution containing 10−2 M KOH; 10−3 M Fig. 1, 2; and CH$_3$OH was used as the DESI spray. The FS passes through the 180° openings of a 1/16 inch stainless steel (SS) tee. At the opening closest to the solvent reservoir, the FS is held in place using a vesel ferrule (400 μm i.d.). A stainless steel tubing (approximately 500 μm i.d., 1/16 inch o.d., 5 cm length) is attached to the other 180° opening of the SS tee making a sheath that surrounds the FS. The FS protrudes from the SS tube by approximately 1 mm–2 mm. The N$_2$ source is attached to the 90° opening of the SS tee. The N$_2$ flow rate is approximately 1 L/min. The DESI source is positioned at a 45° angle to the surface, 2 mm above the surface, and at a distance of 5 mm from the inlet of an Orbitrap mass spectrometer (SI Appendix, Fig. S1). The RuCl$_2$i-$\mu$-cymene dimer (Fig. 1, 1, 10, 2 M in CH$_3$OH) was deposited (5 μl) on paper that is affixed to a glass slide. When the primary droplets containing Fig. 1, 2 (5 kV or 0 kV spray voltage) impact Fig. 1, 1 on the surface the reaction is initiated and then proceeds in secondary microdroplets (SI Appendix, Fig. S1). The Orbitrap ion transfer capillary was held at 200 °C; resolution was set to 100,000 at m/z 444, and data were collected in full scan mode (m/z 150−m/z 1,500). MS/MS experiments were carried out using an LCQ Deca XP quadrupole ion trap mass spectrometer (resolution = 6 m/z units; activation time = 100 ms; q = 0.25; Thermo Fisher Scientific). MS/MS spectra were recorded for m/z 444 and m/z 460 as a function of normalized collision energy, which was varied from 0−50%.

**Nuclear Magnetic Resonance and Gas Chromatography-Mass Spectrometry Analysis of the Bulk Reaction Mixture.** Anhydrous CH$_3$OH was purchased from Sigma-Aldrich, dried over CaH$_2$, vacuum transferred, and stored under argon and activated 3 Å molecular sieves. CD$_3$CN was purchased from Cambridge Isotope Laboratories and was dried over calcium hydride (CaH$_2$), transferred under reduced pressure, and stored in an inert atmosphere over activated 3 Å molecular sieves. CD$_3$CN and CH$_3$OH (6.8 μl, 0.167 mmol) were added to the reaction mixture. The reaction mixture was taken from the NMR tube and transferred to the NMR tube. CD$_3$CN (0.5 mL) and CH$_3$OH (6.8 μl, 0.167 mmol) were added to the NMR tube. The reaction was monitored via H NMR (field strength = 600 MHz; temperature = 25 °C). A portion of the NMR sample was diluted with diethyl ether, filtered, and analyzed by GC-MS (single quadrupole mass analyzer; electron impact ionization; 70 eV electron energy; model 7890/5795 from Agilent Technologies). The GC column (0.25 mm i.d.; model HP-5MS from Agilent Technologies) was 30 m long and contained (5% phenyl)-methylpolysiloxane (25 μm film thickness). A standard sample of HCOOCH$_3$, in diethyl ether was analyzed by GC-MS using the parameters described above.

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