

Self-configuring complex photonic circuits

David Miller
Stanford University

Self-configuring silicon photonics



Specific architectures

can correct, stabilize, and configure themselves

using simple progressive algorithms

with local single-parameter feedback loops

and can adapt to the problem in real time

Applications



These meshes give optical systems
that are universal in a way that is
beyond previous optics

They open new opportunities in
sensing
communications
and information processing, e.g.,
neural networks
solving equations
in both classical and quantum systems

Nulling a Mach-Zehnder output

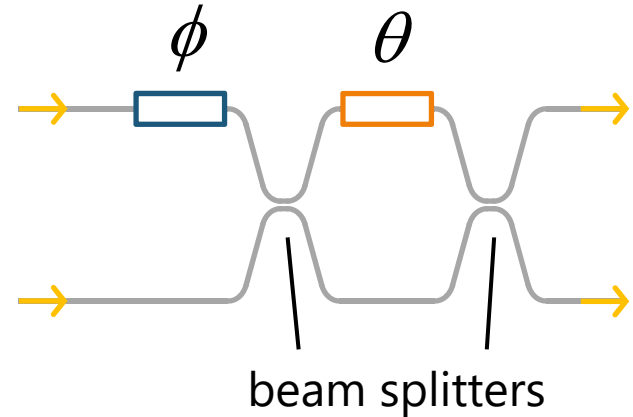
Consider a waveguide Mach-Zehnder interferometer (MZI)

formed from two "50:50" beam splitters

and at least two phase shifters

one, ϕ , to control the relative phase of the two inputs

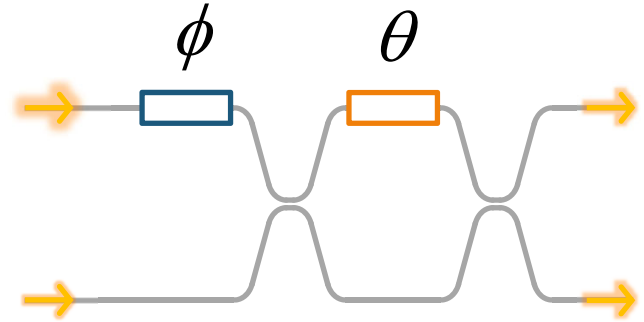
a second, θ , to control the relative phase on the interferometer "arms"



Nulling a Mach-Zehnder output

Suppose we shine (mutually coherent) light
into both interferometer inputs
with possibly different amplitudes
and phases

We can adjust ϕ to minimize the
power at, say, the bottom output



Nulling a Mach-Zehnder output

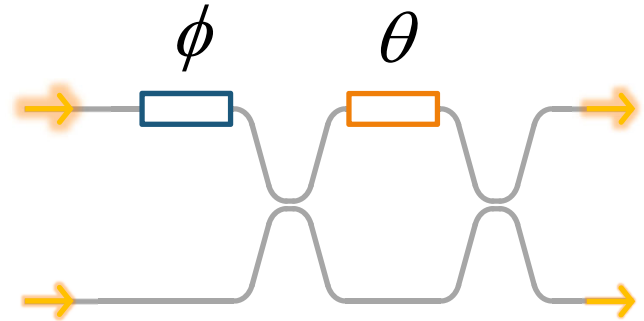
Suppose we shine (mutually coherent) light

into both interferometer inputs

with possibly different amplitudes and phases

We can adjust ϕ to minimize the power at, say, the bottom output

The fields from the two inputs are now in “antiphase” at the bottom output



Nulling a Mach-Zehnder output

Adjusting θ

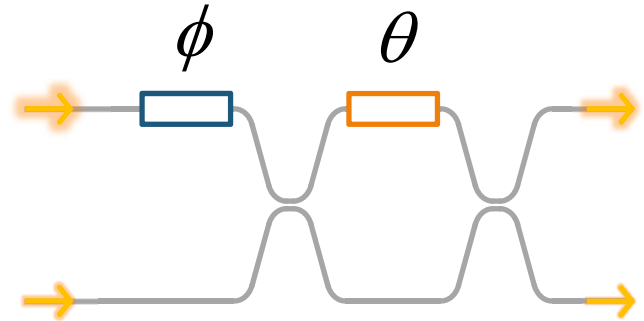
sets the “split ratio” of the MZI

that is, how the power from one input would be split between the outputs

Interestingly, for 50:50 beamsplitters

adjusting θ does *not* change the relative phase with which the two inputs mix at an output

That is controlled *only* by ϕ



Nulling a Mach-Zehnder output

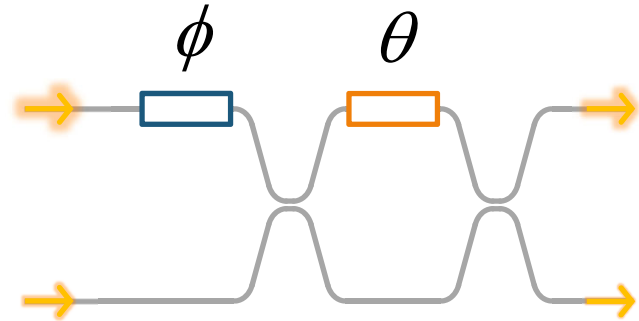
So, since we have already minimized the bottom output power by adjusting ϕ

if we now adjust θ

we will be able to minimize that power to zero

because the contributions from the two inputs

are already in antiphase at the bottom output



Nulling a Mach-Zehnder output

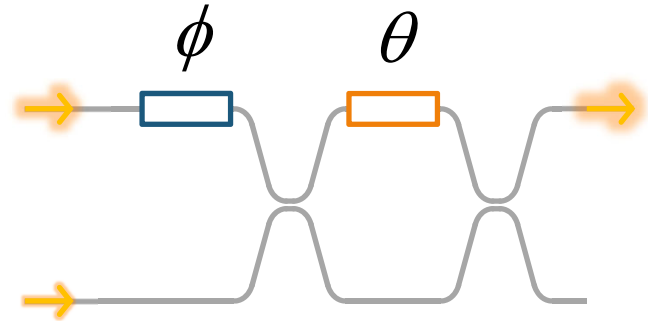
So, since we have already minimized the bottom output power by adjusting ϕ

if we now adjust θ

we will be able to minimize that power to zero

because the contributions from the two inputs

are already in antiphase at the bottom output



Nulling a Mach-Zehnder output

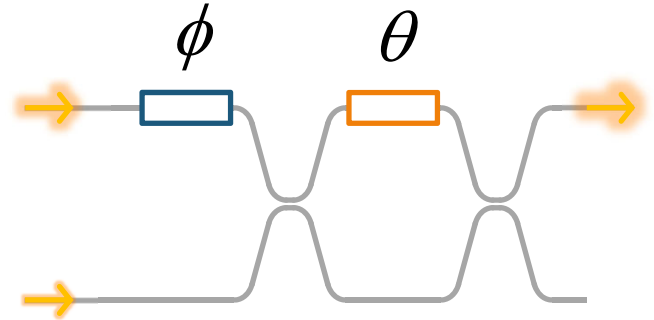
So, in an MZI with 50:50 beamsplitters
for any relative input amplitudes and
phases

we can “null” out the power at the
bottom output

by two successive single-
parameter power minimizations

first, using ϕ

second, using θ



Nulling a Mach-Zehnder output

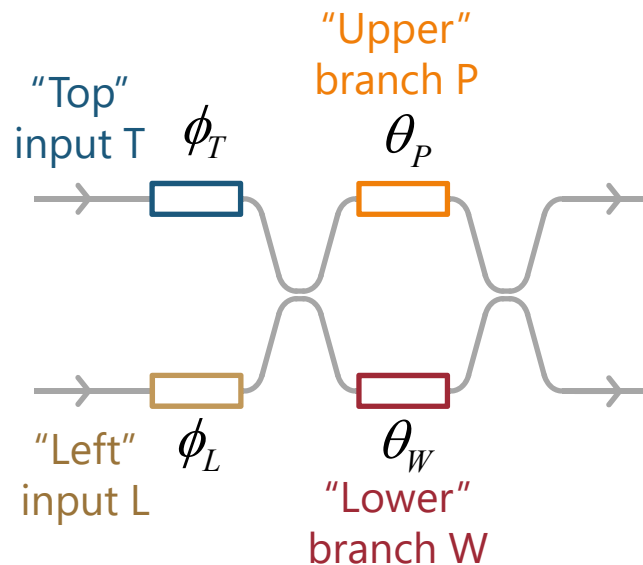
In fact

in making meshes of MZIs

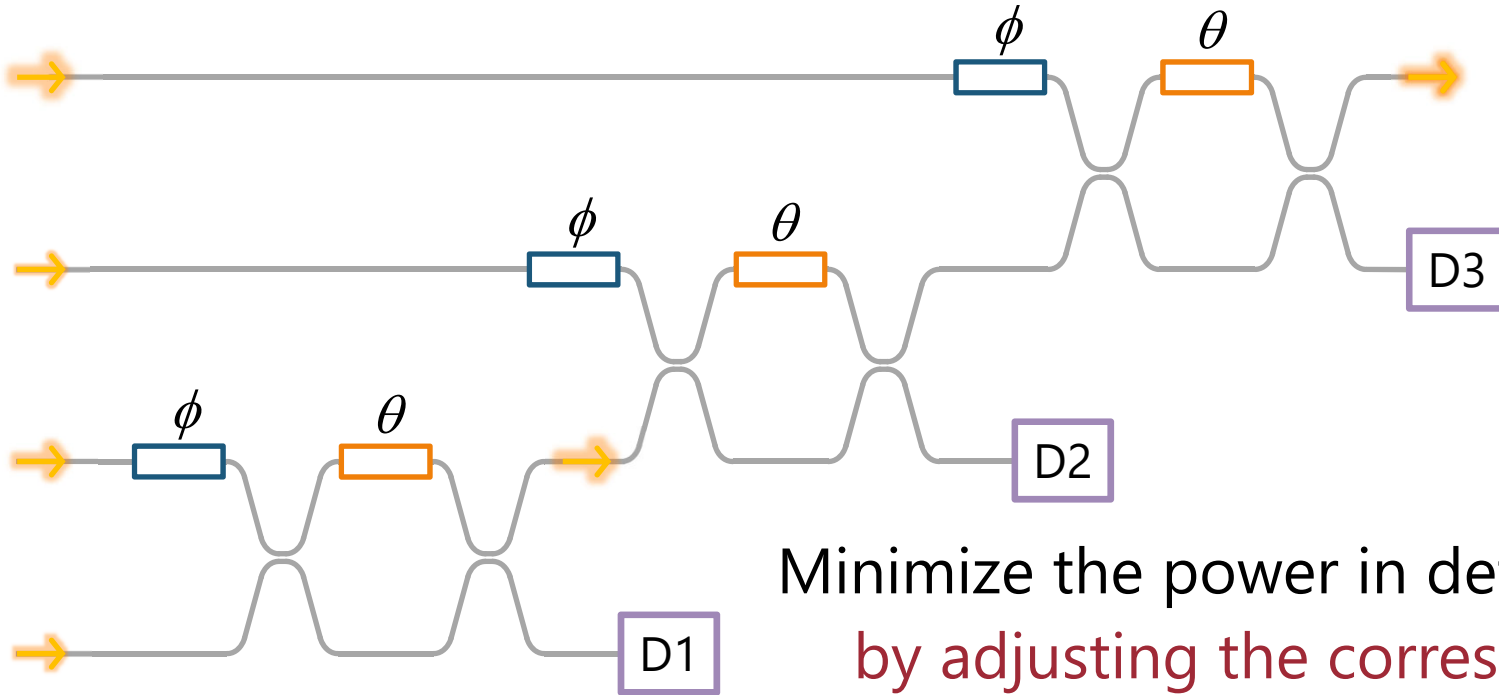
we can use MZI blocks with
phase shifters

in any two of these four
locations

as long as at least one phase
shifter is on an
interferometer arm



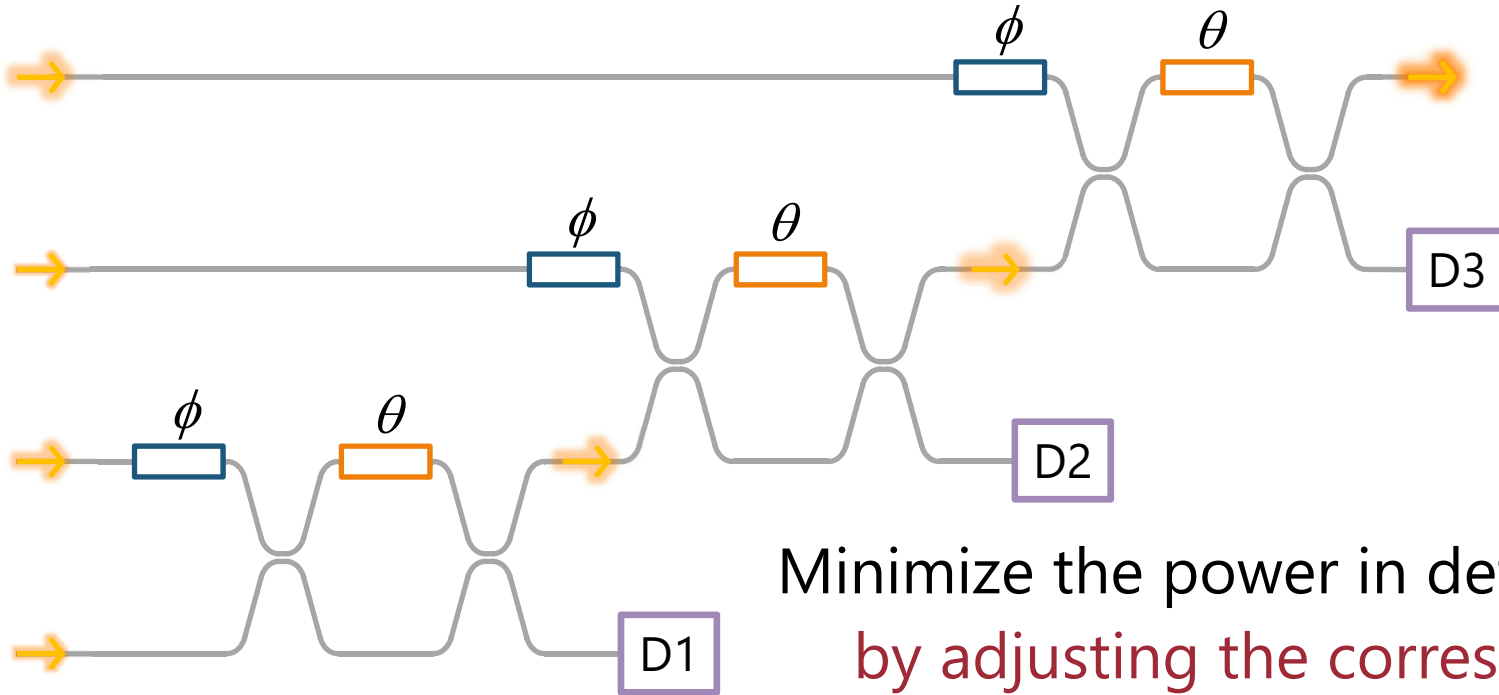
"Diagonal line" self-aligning coupler



"Self-aligning universal beam coupler," Opt. Express 21, 6360 (2013)

Minimize the power in detector D1
by adjusting the corresponding ϕ
and then θ
putting all power in the upper output

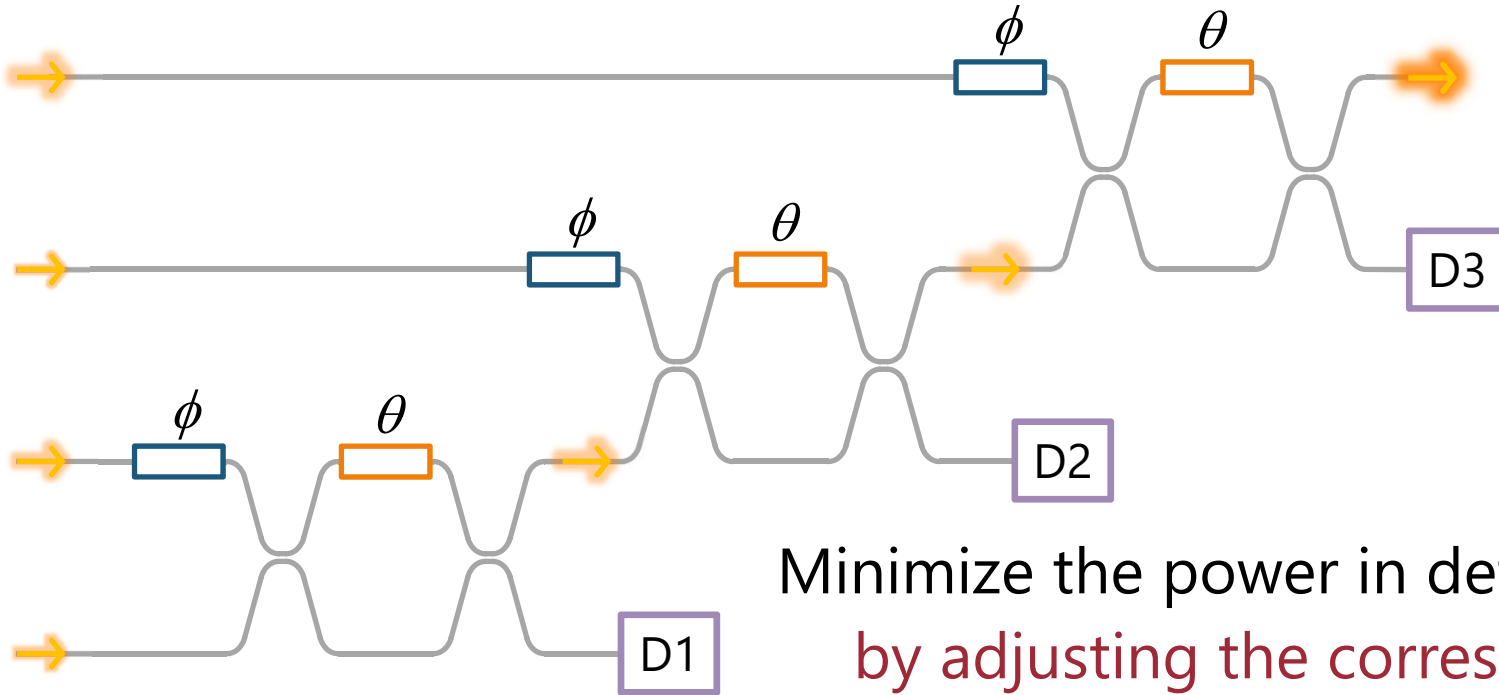
"Diagonal line" self-aligning coupler



"Self-aligning universal beam coupler," Opt. Express 21, 6360 (2013)

Minimize the power in detector D2
by adjusting the corresponding ϕ
and then θ
putting all power in the upper output

"Diagonal line" self-aligning coupler



"Self-aligning universal
beam coupler," Opt. Express
21, 6360 (2013)

Minimize the power in detector D3
by adjusting the corresponding ϕ
and then θ
putting all power in the upper output

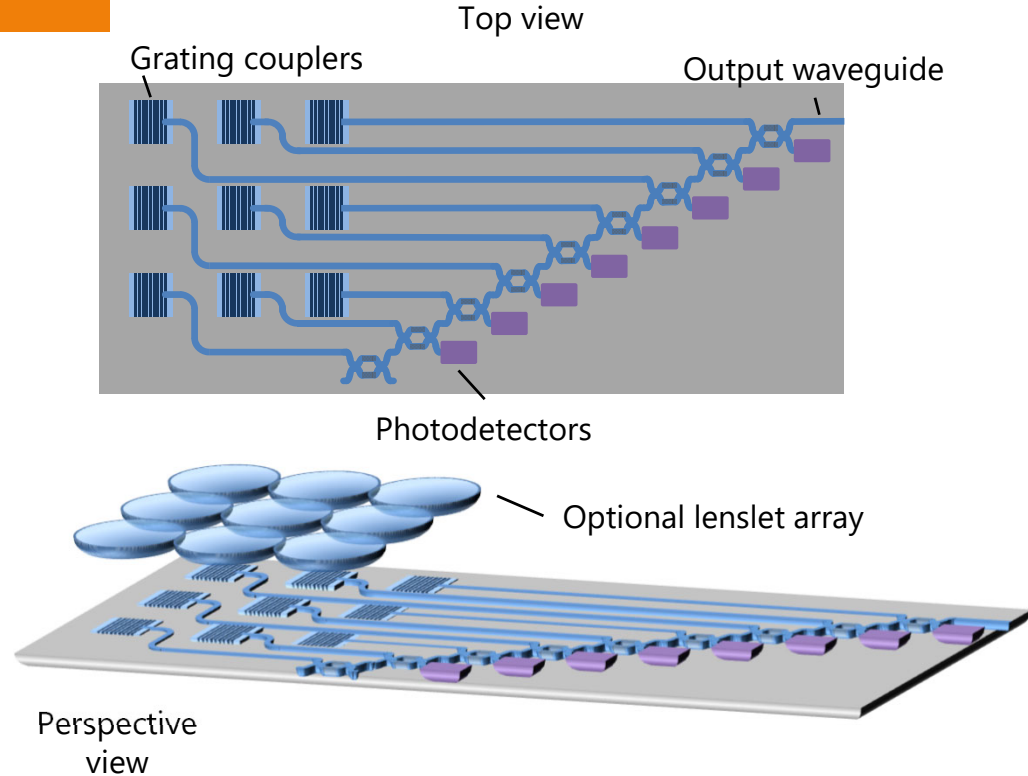
Self-aligning beam coupler

Grating couplers could couple a free-space beam to a set of waveguides to a set of waveguides

Then

we could automatically couple all the power to the one output guide

This could run continuously tracking changes in the beam



"Self-aligning universal beam coupler," Opt. Express **21**, 6360 (2013)

Binary tree self-aligning coupler

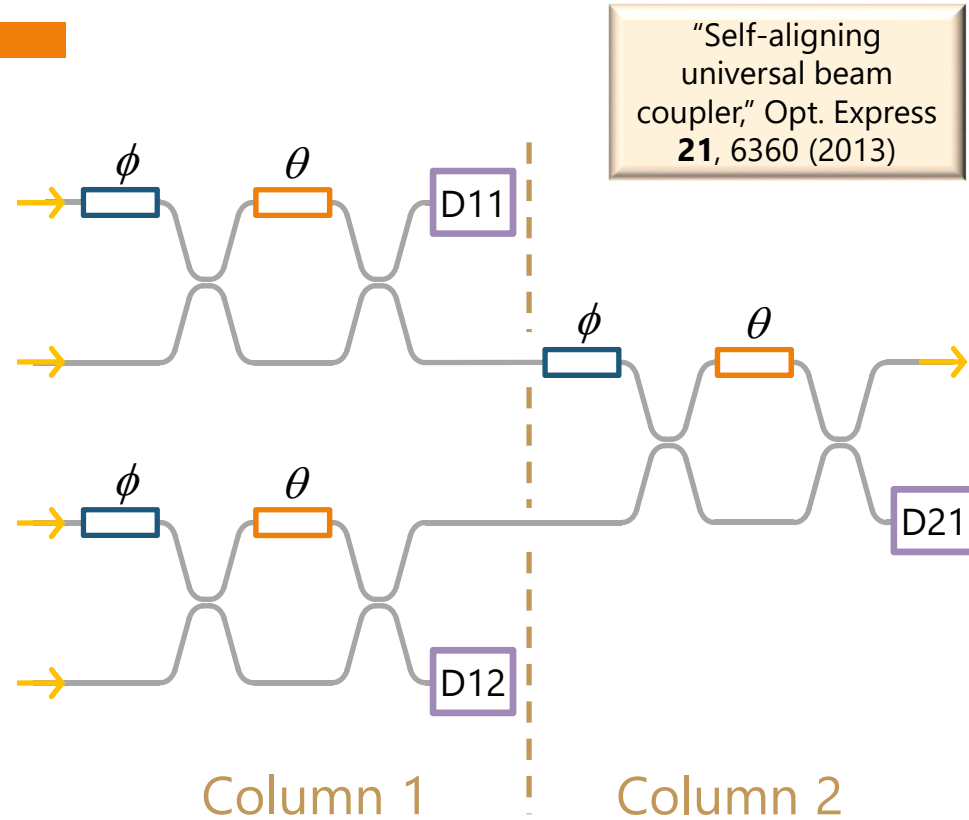
A “binary tree” also supports self-alignment

It uses same number of MZIs in each path

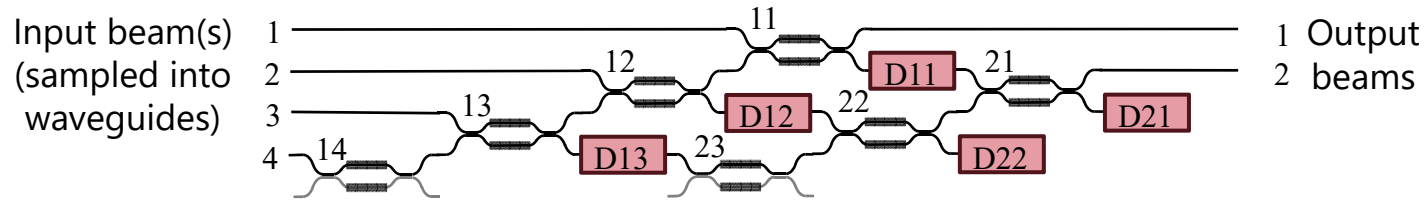
and is the “shortest” possible self-aligning coupler mesh

Each “column” of MZIs can be optimized in parallel

allowing faster self-configuration



Separating multiple orthogonal beams



"Self-aligning universal beam coupler," Opt. Express **21**, 6360 (2013)

Once we have aligned beam 1 to output 1 using detectors D11 – D13
an orthogonal input beam 2 would pass entirely into the detectors
D11 – D13

If we make these detectors mostly transparent

this second beam would pass into the second diagonal "row"

where we self-align it to output 2 using detectors D21 – D22

separating two overlapping orthogonal beams to separate outputs

Separating free-space modes

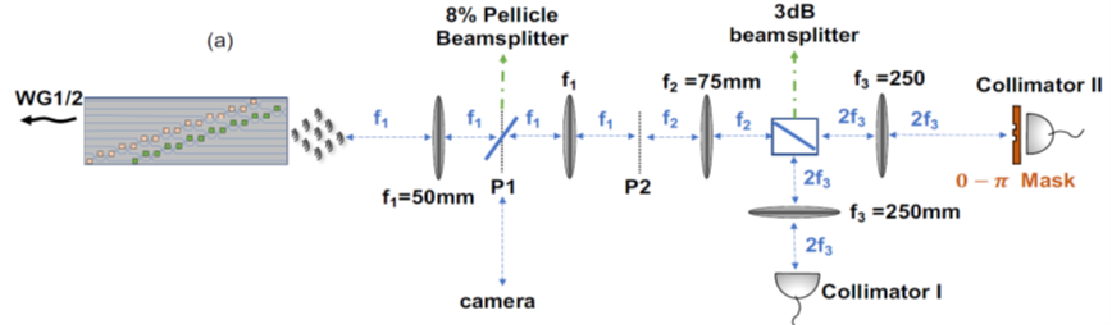
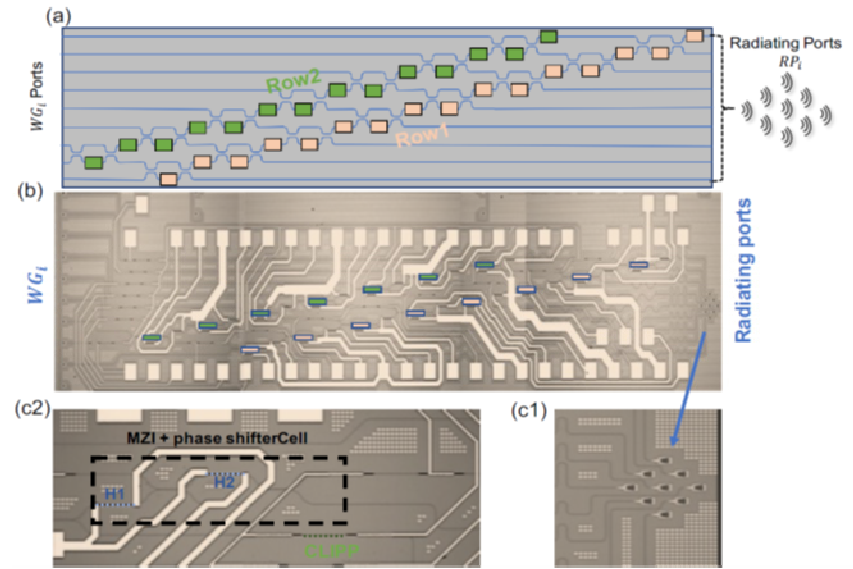
9x2 diagonal line mesh

separates two orthogonal free-space input modes

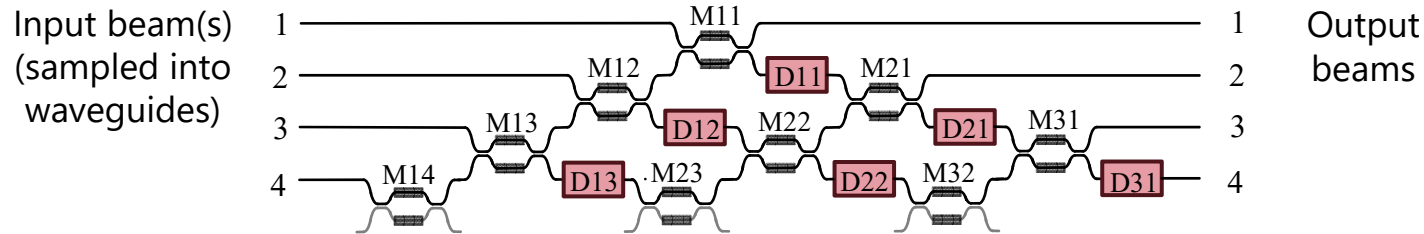
automatically by self-configuration

M. Milanizadeh, S. SeyedinNavadeh, G. Benci, C. Klitis, M. Sorel, F. Zanetto, G. Ferrari, D. A. B. Miller, A. Melloni, and F. Morichetti, "Multimode free space optical link enabled by SiP integrated meshes," ECOC 21, 13-16 September, 2021, Bordeaux, France, Paper Tu2G.1

S. SeyedinNavadeh, M. Milanizadeh, G. Benci, C. De Vita, C. Klitis, M. Sorel, F. Zanetto, G. Ferrari, D. A. B. Miller, A. Melloni, and F. Morichetti, "Self-Configuring Silicon-Photonic Receiver for Multimode Free Space Channels," IEEE GFP 2021, 7-10 Dec. 2021, Paper TuE2



Separating multiple orthogonal beams



"Self-aligning
universal beam
coupler," Opt.
Express **21**, 6360
(2013)

Adding more rows and self-alignments

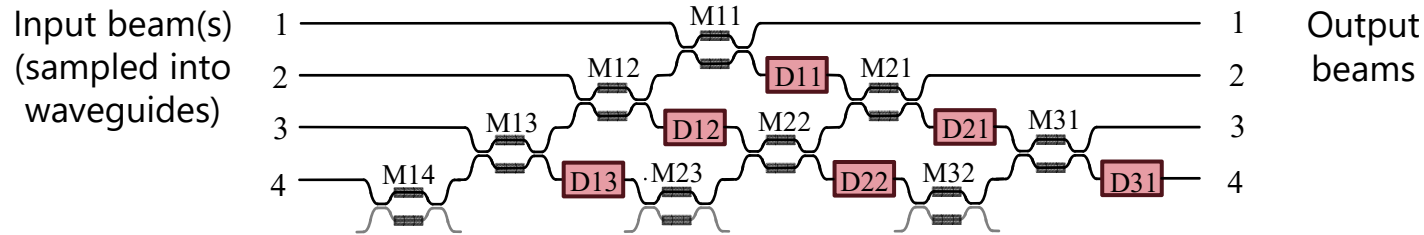
separates a number of orthogonal beams

equal to the number of beam "segments", here, 4

Note: it is possible to set this up with only detectors at the outputs

though then we may need to "tear down" the network to reconfigure it

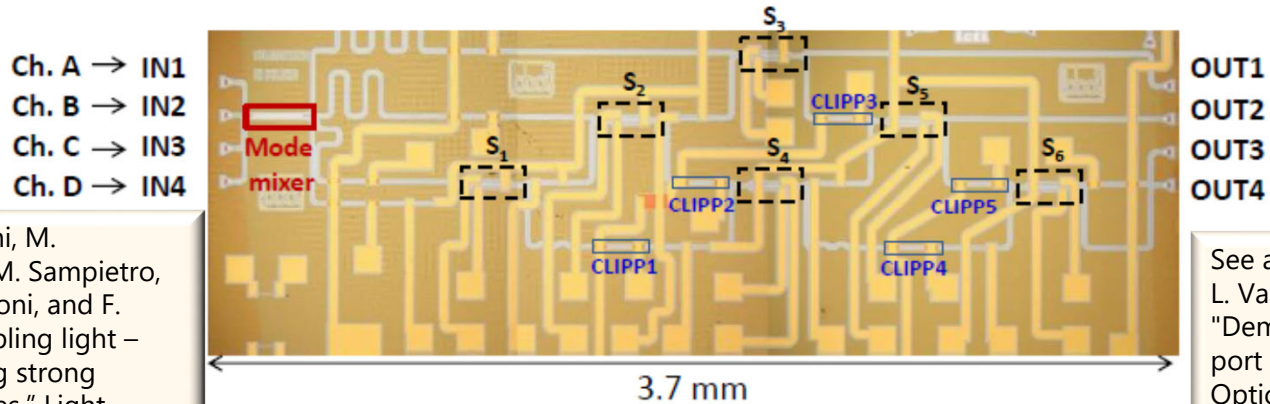
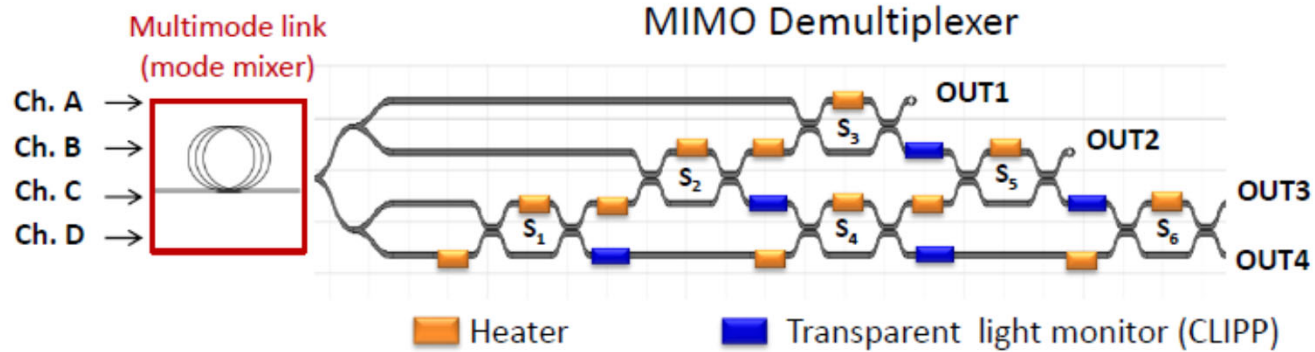
Separating multiple orthogonal beams



"Self-aligning
universal beam
coupler," Opt.
Express **21**, 6360
(2013)

If we put identifying "tones" on each orthogonal input "beam"
and have the corresponding diagonal row of detectors look for that tone
then the mesh can continually adapt to the orthogonal inputs
even when they are all present at the same time
and even if they change

Integrated MIMO demultiplexer: technology



A. Annoni, E. Guglielmi, M. Carminati, G. Ferrari, M. Sampietro, D. A. B. Miller, A. Melloni, and F. Morichetti, "Unscrambling light – automatically undoing strong mixing between modes," *Light Science & Applications* 6, e17110 (2017)

See also A. Ribeiro, A. Ruocco, L. Vanacker, and W. Bogaerts, "Demonstration of a 4 × 4-port universal linear circuit," *Optica* 3, 1348-1357 (2016)

- Transparent detectors required for sequential tuning
- CLIPP-assisted circuit reconfiguration & feedback control

Perfect optics from imperfect components



But what if the Mach-Zehnder interferometers are not perfect?

In particular

the split ratio in the beamsplitters may not be 50:50

Without 50:50 split ratio in the beamsplitters

we cannot in general get perfect cancellation at the outputs

limiting the functionality

Perfect optics from imperfect components



However

there is an algorithm for adjusting
the split ratios after fabrication

based only on maximizing or
minimizing power in detectors

to set both beamsplitters to 50:50
after initial fabrication

Perfect optics from imperfect components



Importantly

this does not require any calibrated components

or balanced detectors to equalize powers

If we use MZIs themselves as effective variable beamsplitters

the fixed, fabricated split ratios can be as bad as 85:15

Self-correcting Mach-Zehnder

Using our algorithm to adjust the effective beamsplitter ratios

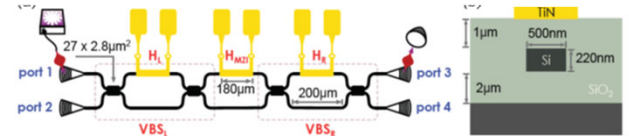
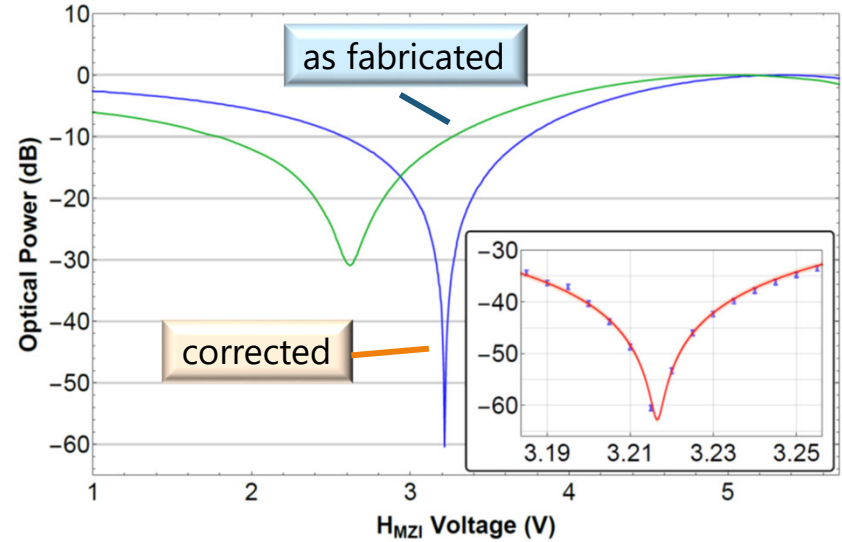
we can improve the rejection ratio from -30 dB to -60 dB

No calibration or calculations are required

This is based only on

power minimization or maximization

in an output detector



Optica **2**, 747-750 (2015)

C. M. Wilkes, X. Qiang, J. Wang, R. Santagati, S. Paesani, X. Zhou, D. A. B. Miller, G. D. Marshall, M. G. Thompson, and J. L. O'Brien, "60 dB high-extinction auto-configured Mach-Zehnder interferometer," Opt. Lett. 41, 5318-5321 (2016)

Analyzing multimode fields



Suppose we have a field with amplitudes in various different modes

How do we analyze that automatically?

There are various ways to separate modes

which could give us the relative magnitudes

But how would we get the relative phases?

Analyzing multimode fields



We could interfere with a coherent reference beam

and perform some additional calculations

But we may not have such a beam

For example, if we are looking at a remote source

or one that is broadband or of limited coherence

Analyzing multimode fields



Here we show how do this

without a coherent reference beam

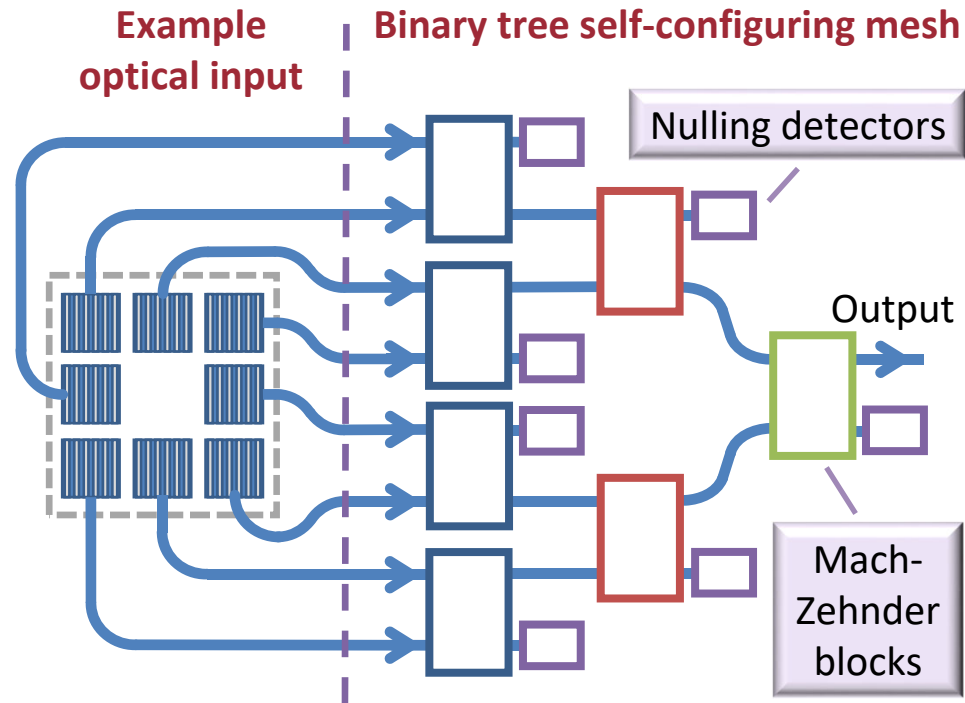
We repurpose our self-aligning
beam coupler

which can perform all the
relevant interferences

between all the parts of the
beam

Analyzing a multimode field automatically

If we shine in the beam
and have this mesh
network self-align
then from the settings of
the phase shifters in the mesh
we can simply deduce all
the relative amplitudes
and phases of the inputs

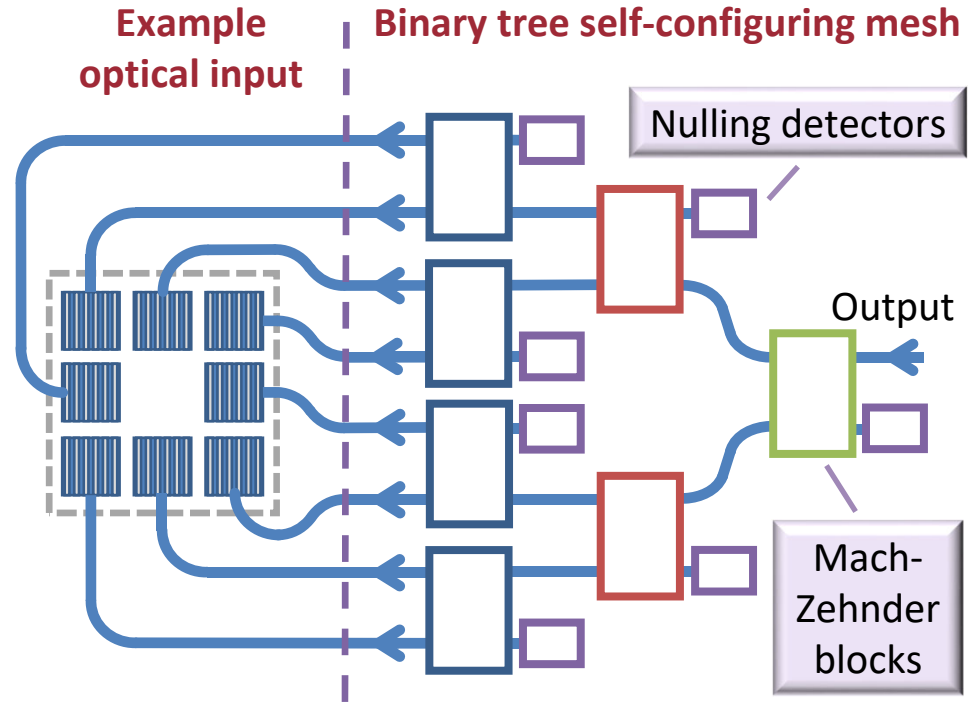


Generating an arbitrary multimode field

We can also run this network in reverse

shining light backwards into the output

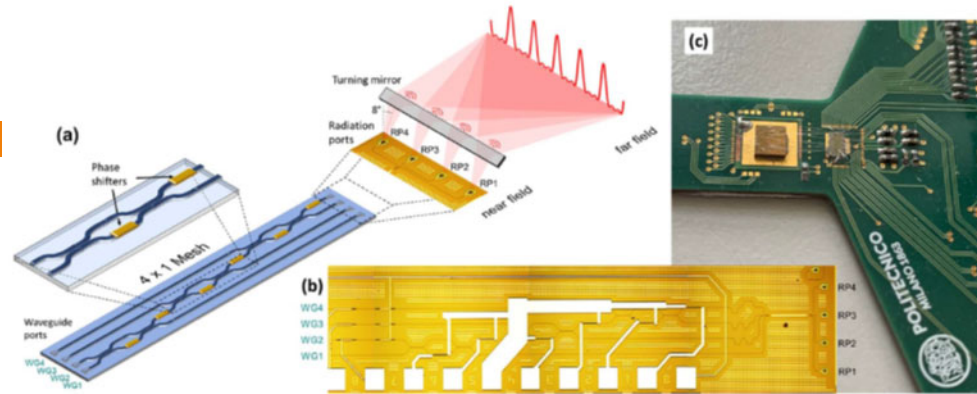
to controllably generate any desired multimode field backwards on the left



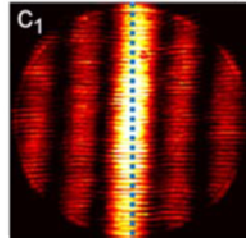
Pre-compensating a beam

Removing the effects of a diffusing mask with a mesh

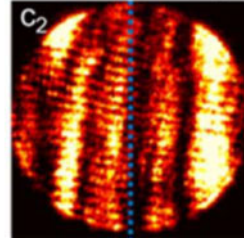
1. optimize the mesh to maximize intensity in the center of the camera



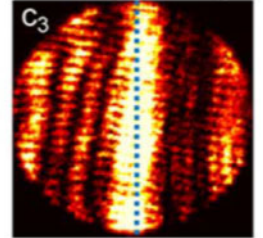
No mask (mesh off)



Mask (mesh off)



Mask (mesh on)

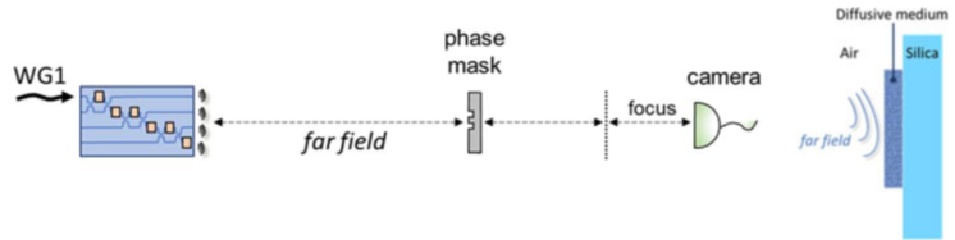
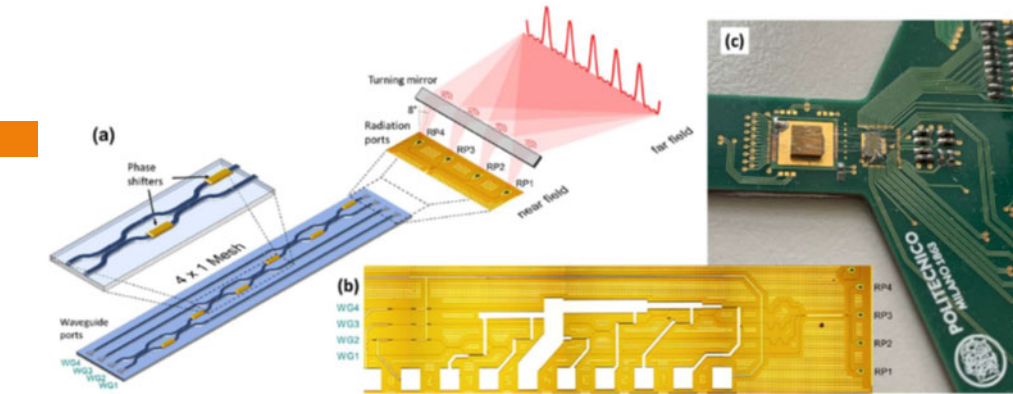


M. Milanizadeh, F. Toso, G. Ferrari, T. Jonuzi, D. A. B. Miller, A. Melloni, and F. Morichetti, "Coherent self-control of free-space optical beams with integrated silicon photonic meshes,". Photonics Research 9, 2196-2204 (2021)

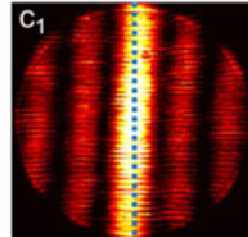
Pre-compensating a beam

Removing the effects of a diffusing mask with a mesh

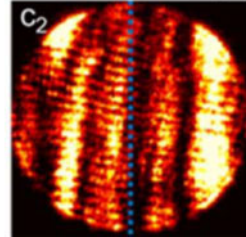
1. optimize the mesh to maximize intensity in the center of the camera
2. introduce a diffusing phase mask
3. re-optimize the mesh settings to restore the central maximum



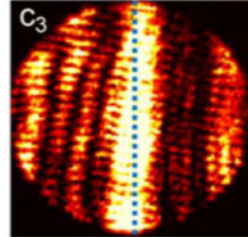
No mask (mesh off)



Mask (mesh off)



Mask (mesh on)



M. Milanizadeh, F. Toso, G. Ferrari, T. Jonuzi, D. A. B. Miller, A. Melloni, and F. Morichetti, "Coherent self-control of free-space optical beams with integrated silicon photonic meshes,". Photonics Research 9, 2196-2204 (2021)

Optical setup machines



Quite generally

we can use a self-aligning beam coupler

as an “optical setup machine”

A system that can essentially calibrate itself

and can be used in reverse

to controllably generate arbitrary multimode fields

Setting up other forward networks



We can use such an optical setup machine

to calibrate and set up other, arbitrary “forward” optical mesh circuits

including ones that are not self-configuring, e.g.,

- lattice filters
- rectangular or hexagonal meshes

Setting up other forward networks



The key trick is to

imagine running the desired
network backwards

with imaginary light shone into
just one port of an MZI

The “Reversed Local Light
Interference Method” (RELLIM)

Opt. Express **25**, 29233 (2017)

Parallel RELIM (PRELLIM)

We can also parallelize this

Generally a forward-only network can be reorganized into columns

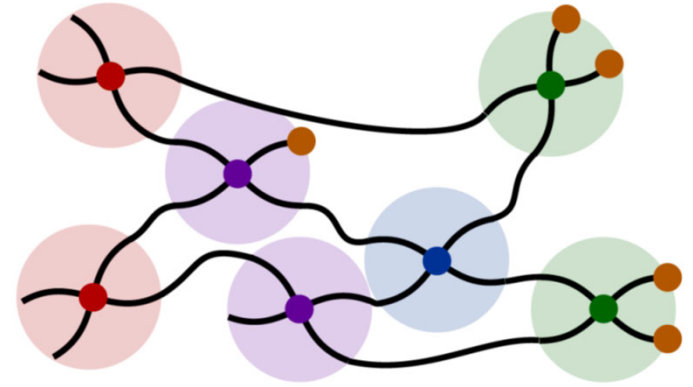
while retaining the same topology

All the nodes in a given column

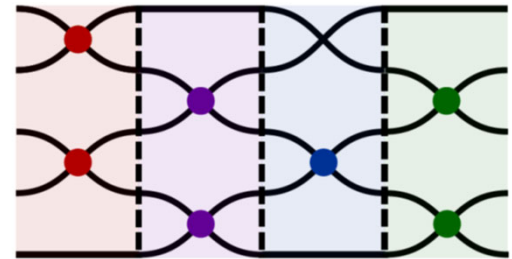
can be set in the same time-step in parallel

reducing configuration time

S. Pai et al., "Parallel programming of an arbitrary feedforward photonic network," in IEEE J. Sel. Top. Quantum Electron. 25, 6100813 (2020)



1 2 3 4



Universal self-configuring photonics



Universal architectures

e.g., based on singular value decomposition (SVD)

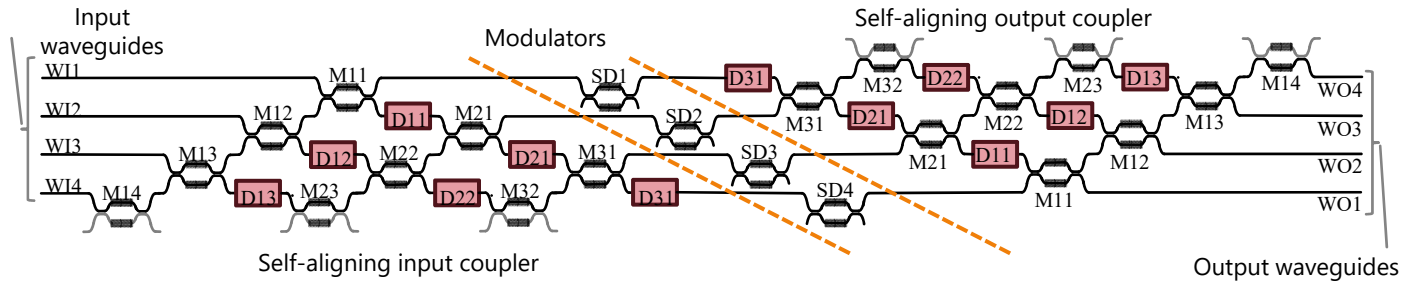
allow any matrix multiplication

for arbitrary linear optics, neural networks, classical or quantum processing

and can be self-configured

and hence offer universal field-programmable linear arrays

General multiple mode converter



"Self-configuring universal linear optical component,"
Photon. Res. **1**, 1
(2013)

The self-aligning input coupler mesh on the left can couple any four orthogonal inputs

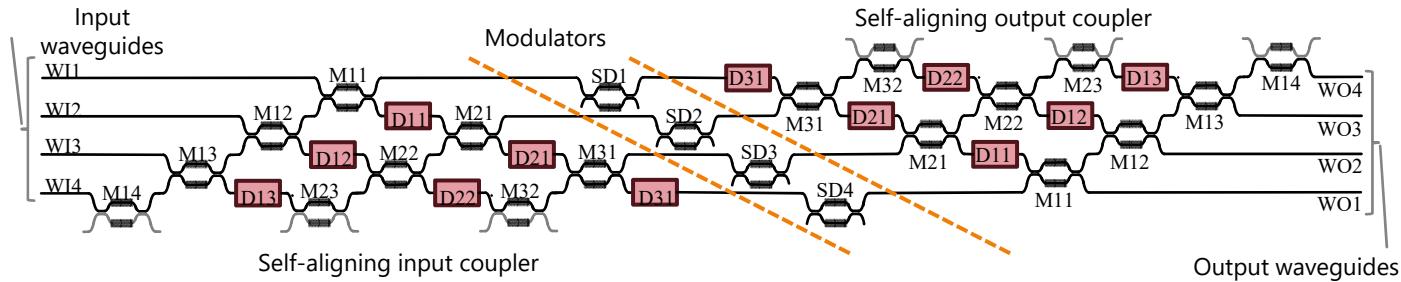
each to different single waveguides in the middle

Light in those single waveguides can be converted into any other set of four orthogonal outputs on the right

by the self-aligning output coupler mesh on the right

The amplitude and phase of this conversion can be controlled by the line of modulators in the middle

General multiple mode converter



"Self-configuring universal linear optical component,"
Photon. Res. **1**, 1
(2013)

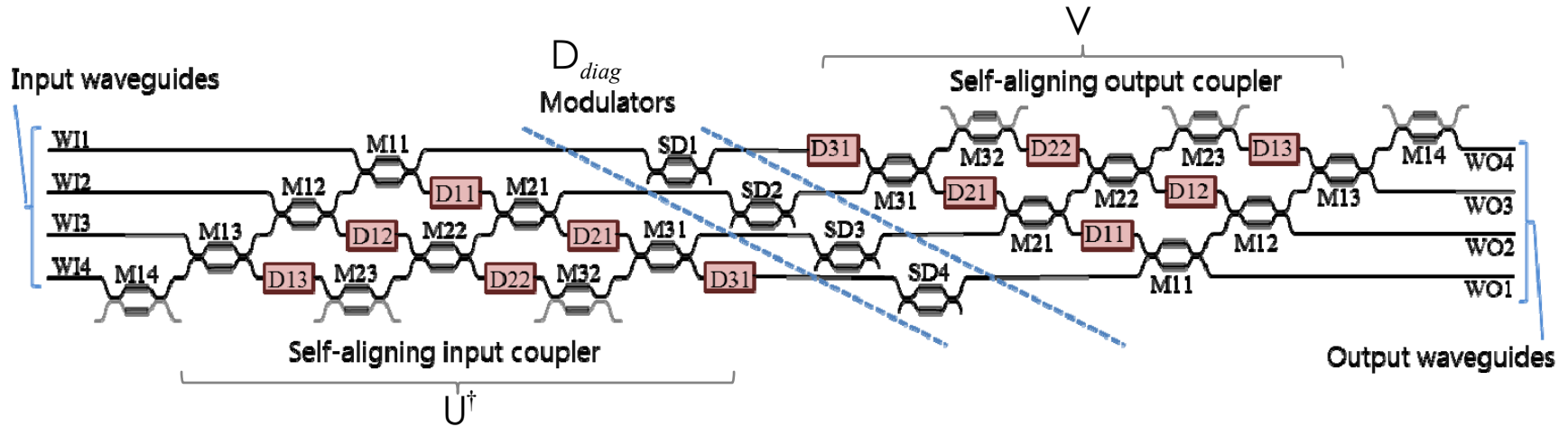
This kind of universal mode conversion, with such modulation

corresponds to being able to implement

an arbitrary (and non-unitary) matrix with such a mesh (at least if we do not require gain)

so this mesh is fully universal for performing any linear transformation

General multiple mode converter



The mathematical reason why this works is because

we can always perform the “singular value decomposition” of a matrix

which means a matrix D can always be written in the form

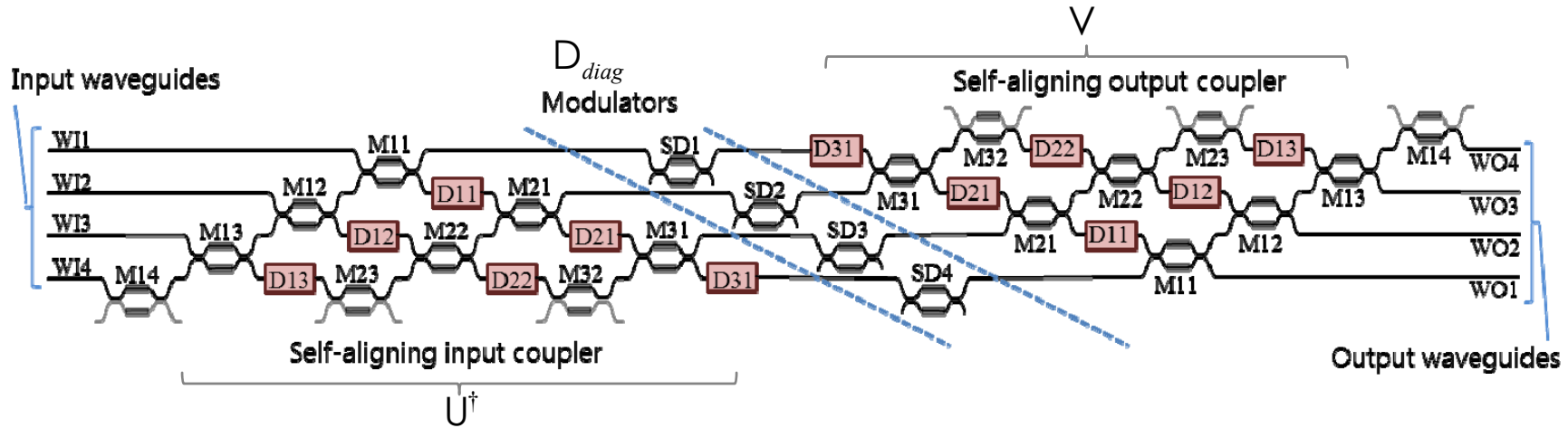
$$D = VD_{diag}U^\dagger$$

where U and V are “unitary” (lossless) matrices

and D_{diag} is a diagonal matrix

“Self-configuring
universal linear
optical component,”
Photon. Res. **1**, 1
(2013)

General multiple mode converter



The optical "units" in the mesh implement the singular value decomposition $D = VD_{diag}U^\dagger$

This is the first proof that any linear optical component is possible
and that any linear optical system can be factored into a set of
2-beam interferences

This can be used in thought experiments for fundamental proofs

"Self-configuring
universal linear
optical component,"
Photon. Res. **1**, 1
(2013)

Decomposing optical systems



We can also flip this logic around

We can always perform the singular value decomposition of an optical component or system

So any linear optical system can be described as a mode-converter

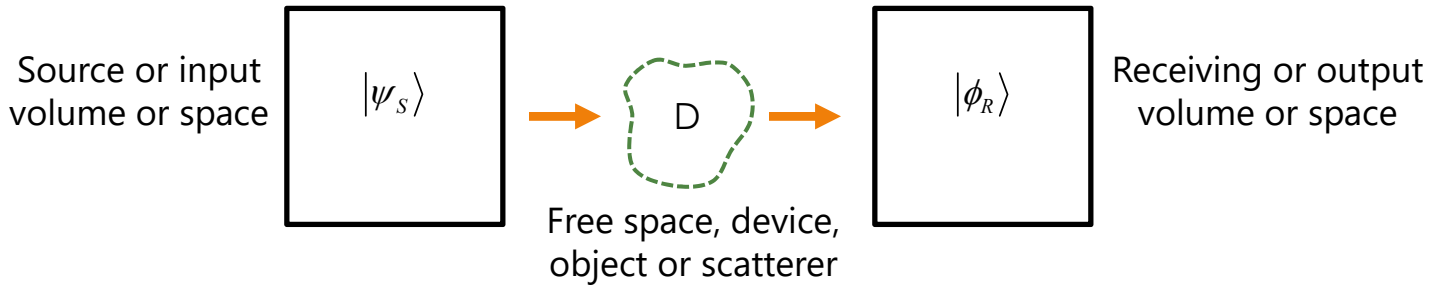
Opt. Express **20**, 23985 (2012)

These sets of modes turn out to have basic physical significance

Adv. Opt. Photon. 11, 679 (2019)

Mode-converter basis sets

"Waves, modes, communications and optics"
Adv. Opt. Photon. 11, 679-825 (2019)



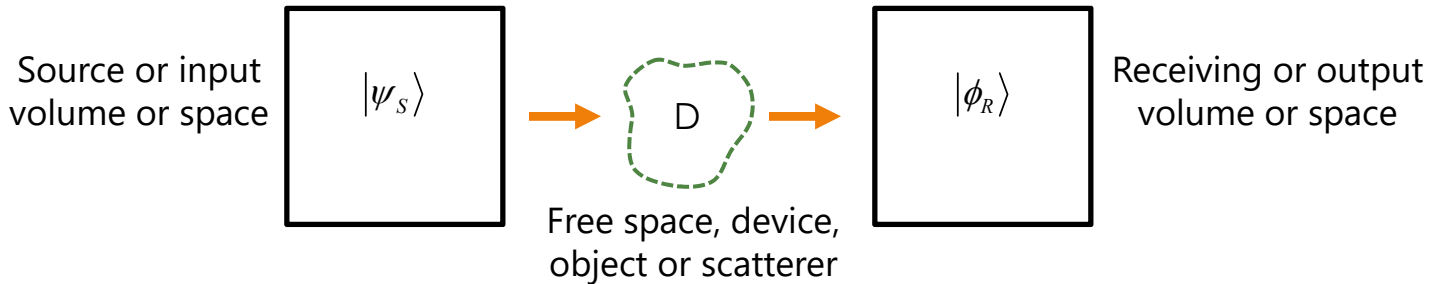
When we think of how a source function $|\psi_S\rangle$ in a source space gives rise to a received wave $|\phi_R\rangle$ in a receiving space for free-space communications, or for any scatterer, optical device, or object between the spaces

there is just some linear operator D that relates the two

so, mathematically, $|\phi_R\rangle = D|\psi_S\rangle$

Mode-converter basis sets

"Waves, modes, communications and optics"
Adv. Opt. Photon. 11, 679-825 (2019)



Because we can perform the singular value decomposition (SVD) of any linear operator D

we have what we can call

the **mode-converter basis sets** of functions

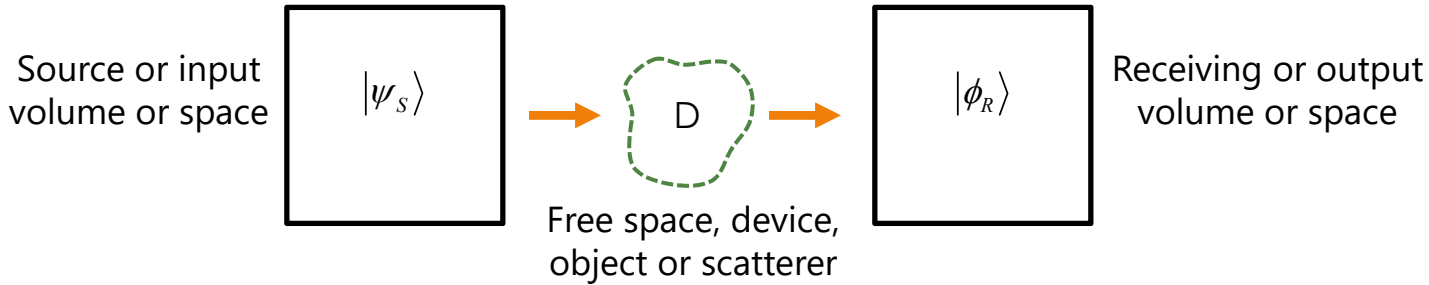
a set of orthogonal source functions $|\psi_{Sj}\rangle$

that lead, one by one

to a set of corresponding orthogonal received waves $|\phi_{Rj}\rangle$

"All linear optical devices are mode converters," Opt. Express **20**, 23985 (2012)

Mode-converter basis sets



In turn, that means that

there is a set of orthogonal channels

for communication through space

or through any linear scatterer or device

which are given by these mode-converter input and output function pairs

These are the unique and best possible choices

Waves, modes, communications and optics

For any linear optical system

singular value decomposition gives

an optimal, orthogonal set of “input” functions that map, one-by-one, to an optimal orthogonal set of “output” functions

“Waves, modes, communications and optics”
Adv. Opt. Photon. 11, 679-825 (2019)

These allow

- ❑ A rigorous “communications mode” counting of communications channels including the conclusion that there is always a finite number of usable channels
 - including specific new limits for various optical systems
- ❑ A general form of diffraction theory, valid for all sizes and shapes of objects
- ❑ The most economical “mode-converter basis” description of any linear optics
- ❑ New versions of Kirchhoff’s radiation laws, valid for all objects
 - including nanophotonics and non-reciprocal systems ...
- ❑ A new, “mode by mode” version of Einstein’s A & B coefficient argument
- ❑ A new quantization of the radiation field in any volume

Conclusions



Self-configuring photonics enables
complex circuits for new optics

The algorithms to calibrate and use
these circuits are

simple and fast

We are just beginning to understand
the many uses of these ideas

Funding from Air Force Office of
Scientific Research FA9550-17-1-0002

For a copy of these viewgraphs,
please e-mail dabm@stanford.edu

Self-configuring optics references for this talk

- Milanizadeh et al., "Coherent self-control of free-space optical beams with integrated silicon photonic meshes,". *Photonics Research* 9, 2196-2204 (2021)
- G. Wetzstein, A. Ozcan, S. Gigan, Shanhui Fan, D. Englund, M. Soljačić, C. Denz, D. A. B. Miller and D. Psaltis, "Inference in artificial intelligence with deep optics and photonics," *Nature* **588**, 39-47 (2020)
- W. Bogaerts, D. Pérez, J. Capmany, D. A. B. Miller, J. Poon, D. Englund, F. Morichetti and A. Melloni, "Programmable photonic circuits," *Nature* **586**, 207–216 (2020).
- "Analyzing and generating multimode optical fields using self-configuring networks," *Optica* **7**, 794-801 (2020)
- Pai et al., "Parallel programming of an arbitrary feedforward photonic network," *IEEE J. Sel. Top. Quantum Electron.* **25**, 6100813 (2020)
- Milanizadeh et al., "Recursive MZI mesh for integral equation implementation," ECIO 2020 (online conference), Session 10 – Programmable, Reconfigurable Integrated Photonics and Neural Networks, June 24, 2020
- Choutagunta et al., "Adapting Mach-Zehnder Mesh Equalizers in Direct-Detection Mode-Division-Multiplexed Links," *J. Lightwave Technol.* **38**, 723 (2020)
- Milanizadeh et al., "Manipulating Free-space Optical Beams with a Silicon Photonic Mesh," 2019 IEEE Photonics Society Summer Topical Meeting Series (SUM), Fort Lauderdale, Florida, 8-10 July 2019, Paper WE1.1
- "Setting up meshes of interferometers – reversed local light interference method," *Opt. Express* **25**, 29233 (2017)
- DM, L. Zhu, and S. Fan, "Universal modal radiation laws for all thermal emitters," *PNAS* **114**, 4336 (2017)
- Annoni et al., "Unscrambling light – automatically undoing strong mixing between modes," *Light Science & Applications* **6**, e17110 (2017)
- Wilkes et al., "60 dB high-extinction auto-configured Mach-Zehnder interferometer," *Opt. Lett.* **41**, 5318 (2016)
- "Perfect optics from imperfect components," *Optica* **2**, 747 (2015)
- "Sorting out light," *Science* **347**, 1423 (2015)
- "Establishing optimal wave communication channels automatically," *J. Lightwave Technol.* **31**, 3987 (2013)
- "Reconfigurable add-drop multiplexer for spatial modes," *Opt. Express* **21**, 20220 (2013)
- "Self-configuring universal linear optical component," *Photon. Res.* **1**, 1 (2013)
- "Self-aligning universal beam coupler," *Opt. Express* **21**, 6360 (2013)
- "All linear optical devices are mode converters," *Opt. Express* **20**, 23985 (2012)
- See also "Waves, modes, communications and optics" *Adv. Opt. Photon.* **11**, 679-825 (2019)
- For an overview, including all these links, see <https://www-miller.stanford.edu/self-configure>

For a copy of these slides, please e-mail dabm@stanford.edu