

1 TITLE: Quantifying the link between crop production and mined groundwater irrigation in China

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25 ABSTRACT

26 In response to increasing demand for food, Chinese agriculture has both expanded and  
27 intensified over the past several decades. Irrigation has played a key role in increasing crop  
28 production, and groundwater is now an important source of irrigation water. Groundwater  
29 abstraction in excess of recharge (i.e., groundwater mining) has resulted in declining  
30 groundwater levels and could eventually restrict groundwater availability. In this study we used  
31 a hydrological model, WBMplus, in conjunction with a process based crop growth model,  
32 DNDC, to evaluate Chinese agriculture's recent dependence upon mined groundwater, and to  
33 quantify mined groundwater-dependent crop production across a domain that includes variation  
34 in climate, crop choice, and management practices. This methodology allowed for the direct  
35 attribution of crop production to irrigation water from rivers and reservoirs, shallow (renewable)  
36 groundwater, and mined groundwater. Simulating 20 years of weather variability and circa year  
37 2000 crop areas, we found that mined groundwater fulfilled 20% - 49% of gross irrigation water  
38 demand, assuming all demand was met. Mined groundwater accounted for 15% - 27% of  
39 national total crop production. There was high spatial variability across China in irrigation water  
40 demand and crop production derived from mined groundwater. We find that climate variability  
41 and mined groundwater demand do not operate independently, but rather magnify one another in  
42 hot and dry years with increased irrigation demand and limited surface water supply for  
43 irrigation.

44  
45 1. INTRODUCTION

46 Increasing global demand for food over the past several decades has forced agriculture to expand  
47 into water-scarce regions and increase irrigation water use substantially [Molden *et al.*, 2007].

48 With little additional land available for agricultural expansion except in tropical rainforests,  
49 future increases in crop production will likely rely on increases in irrigation and intensification,  
50 both in China and globally [Molden *et al.*, 2007]. Historically, China's agriculture was  
51 concentrated in the wetter southern half of the country, but significant expansion over the past 50  
52 years has led to over 50% of current national crop production occurring in the dry northern  
53 regions [Ma, 2006]. Irrigated agriculture has expanded significantly in China in the past 75  
54 years, increasing by more than 35 million hectares since 1939 to 51 Mha of planted land and 79  
55 Mha of harvested land in 2000 [Calow *et al.*, 2009; Portmann *et al.*, 2010]. Groundwater  
56 exploitation has underpinned the agricultural intensification of northern China since the 1990's,  
57 where groundwater accounts for up to 40% of irrigation water [Wada *et al.* 2012]. Declining  
58 groundwater levels are threatening to limit the irrigation water supply for China's crop  
59 production [Kang *et al.*, 2009; Aeschbach-Hertig and Gleeson, 2012; Syed *et al.*, 2008]. There  
60 has been a 15 m drop in groundwater levels in the North China Plain since 1960 [Calow *et al.*,  
61 2009], and the current rate of groundwater depletion across China is approximately 1m per year  
62 [Aeschbach-Hertig and Gleeson, 2012]. This heavy reliance on groundwater for irrigation is  
63 driven largely by lack of sufficient surface water supplies [Wisser *et al.*, 2008; Wada *et al.*,  
64 2012], and Northern China is now considered to be a region of physical water scarcity, i.e., more  
65 than 75% of river discharge is abstracted [Molden *et al.*, 2007]. Global multi-model projections  
66 of irrigation water availability show significant reduction in Northern China for irrigation  
67 potential from renewable surface water by 2100 due to climate change (using a scenario of high  
68 greenhouse gas emissions (RCP8.5) [Elliott *et al.*, 2013]. Aquifer depletion could also  
69 significantly decrease irrigation water availability in the future. Despite the importance of  
70 groundwater and groundwater depletion for the future of Chinese agriculture, it is currently

71 unknown how much food is produced as a direct result of irrigation with non-renewable  
72 groundwater mining.  
73  
74 Large-scale surface water balance models can simulate the use of both surface water and  
75 groundwater for irrigation. Several model-based estimates of irrigation water demand in China  
76 have been made, ranging from 220 to 850 km<sup>3</sup> yr<sup>-1</sup>, circa 2000 [Wisser *et al.*, 2008], with most  
77 estimates in the range of 350 – 500 km<sup>3</sup> yr<sup>-1</sup> [Döll and Siebert, 2002; Siebert and Döll, 2007; Liu  
78 and Yang, 2010; Wada *et al.*, 2012]. The proportion of irrigation water demand fulfilled by  
79 mined groundwater pumping is less well constrained. Groundwater (both renewable and mined  
80 in excess of recharge) provides up to 40% of China's irrigation water, and model results from  
81 Wada *et al.* [2012] show that 20 km<sup>3</sup> yr<sup>-1</sup> (5% of irrigation demand) is drawn from non-  
82 renewable groundwater.  
83  
84 Water supply alone does not determine food production; cropped areas, crop choice, soil quality,  
85 and management practices all contribute [Tilman *et al.*, 2002; Foley *et al.*, 2012]. Agriculture's  
86 vulnerability to changes in water supply will necessarily also depend on these factors, which all  
87 vary spatially across China. Crop water productivity, the crop yield gained from one unit of  
88 water, also varies spatially, even within individual watersheds [Cai *et al.*, 2011]. Global-scale  
89 studies of unsustainable water supplies and food production have used an empirical method to  
90 determine the relationship between water use and crop yields [Siebert and Döll, 2010], but this  
91 method is not suited for higher-resolution analysis [Siebert and Döll, 2010]. An alternative  
92 approach is to employ a process-based crop growth model that can capture these important  
93 spatially-variable production factors [Müller *et al.* 2013].

94

95 In this study, we used a hydrological model in conjunction with a process based crop growth  
96 model to evaluate Chinese agriculture's dependence upon mined groundwater, and quantify  
97 mined groundwater-dependent crop production across a domain that includes variation in  
98 climate, crop choice, and management practices. We computed irrigation water demand, as well  
99 as sources of water supply, across 20 years of climate variability. Using these two models  
100 allowed us to quantify the amount of food produced as a direct result of irrigation with  
101 groundwater mined in excess of recharge. We also defined an index of vulnerability to loss of  
102 mined groundwater resources that is a function of the amount of mined groundwater required for  
103 irrigation and the productivity of a crop irrigated from that water source.

104

## 105 2. METHODS

106 We used two models to simulate irrigation water demand, irrigated and rainfed crop yields, and  
107 crop production due to mined groundwater. A grid-based water balance model [WBMplus,  
108 *Wisser et al., 2010*] calculated daily fluxes and storage of water between and within different  
109 water storage pools (Figure 1). WBMplus was used to estimate the irrigation water demand of  
110 different crop types based on weather variables, soil properties, and crop parameters, and tracked  
111 the sources of irrigation water available to meet that demand [e.g., *Wisser et al., 2008*]. DNDC  
112 [*Li et al., 1992; 2007*], a process-based crop growth and agroecosystem biogeochemistry model,  
113 was used to simulate fully-irrigated and rainfed crop yields for individual crops and multi-  
114 cropping systems for all counties in China. WBMplus provided an estimate of the irrigated crop  
115 area dependent upon mined groundwater. By applying DNDC's difference between irrigated  
116 crop yields and rainfed crop yields to these areas, we estimated the portion of total crop yields

117 directly resulting from groundwater mining.

118

### 119 2.1 Water Balance Model

120 WBMplus computed a daily water balance for each 0.5 degree grid cell. Water was input  
121 through precipitation and irrigation, and outputs were evapotranspiration, runoff, and shallow  
122 rechargeable groundwater (Figure 1). Water was stored as soil moisture and in the shallow  
123 groundwater pool. Surface runoff and baseflow from shallow groundwater were transported  
124 downstream through the STN-30p river network [*Vorosmarty et al., 2000*] taking into account  
125 the storage of water in large reservoirs. A detailed description of WBMplus's fundamental  
126 processes is given by *Wisser et al. [2010]*. Here we describe WBMplus's method of irrigation  
127 and crop water use (Section 2.1.1), and WBMplus's updated method of simulating operation of  
128 large reservoirs (Section 2.1.2).

129

#### 130 2.1.1 Irrigation and crop ET

131 Irrigation was simulated by abstracting water from rivers, reservoirs, and groundwater, then  
132 moving that water to the soil water pool. The amount of water abstracted was determined by an  
133 irrigation water demand that was based on root zone soil moisture, crop evapotranspiration, and  
134 irrigation efficiency. Evapotranspiration depended on each crop's planting date, growing season  
135 length, growth stages, rooting depth, and a crop water use coefficient. Crop coefficients, growth  
136 stages, rooting depths, and depletion factors were from *Siebert and Döll [2010]*, and the  
137 coefficient method used was based on *FAO recommendations [Allen, 1998]*. Each crop's  
138 evapotranspiration was calculated as:

139

$$140 E_c = K_c ET_0 \quad (1)$$

141

142 Where  $E_c$  [mm day<sup>-1</sup>] is the crop's evapotranspiration,  $Kc$  [-] is a dimensionless crop- dependent  
143 and growth stage-dependent coefficient for crop  $c$ , and  $ET_0$  [mm day<sup>-1</sup>] is a reference-surface  
144 potential evapotranspiration.  $ET_0$  was calculated using the Hamon method [Hamon, 1963;  
145 Vorosmarty *et al.*, 1998].

146

147 Crop evapotranspiration and percolation removed water from the soil moisture pool. When  
148 water inputs to the soil moisture pool brought the soil moisture stock above field capacity, the  
149 excess was diverted in equal proportions to surface runoff and percolation. Percolated water  
150 moved to the shallow groundwater storage pool (implemented as a linear reservoir); water from  
151 the groundwater storage pool flowed to the river network as baseflow. A daily soil moisture  
152 accounting was done in irrigated areas with inputs from precipitation, irrigation water, and  
153 snowmelt, and with evapotranspiration and percolation as outputs from the soil storage. If soil  
154 moisture fell below a crop-dependent threshold,  $C_t$  [mm], irrigation water was applied to bring  
155 the soil moisture up to field capacity. The crop-dependent threshold for soil moisture was  
156 calculated as:

157

$$158 \quad C_t = C_s * R_d (F_{cap} - W_{pt}) \quad (2)$$

159

160 Where  $C_s$  [-] is a crop-specific scalar that represents a crop's inability to remove all water from  
161 the soil,  $R_d$  [mm] is the crop's rooting depth,  $F_{cap}$  [-] is the field capacity of the soil, and  $W_{pt}$  [-] is  
162 the wilting point of the soil. Crop-specific parameters  $C_s$  and  $R_d$  were from Siebert and Döll  
163 [2010], and  $F_{cap}$  and  $W_{pt}$  are from FAO/UNESCO [2003].

164

165 When soil moisture was below the crop-specific threshold, the crop had a positive irrigation  
166 demand (otherwise demand was zero). For each grid cell, a net irrigation demand,  $I_{net}$  [mm day<sup>-1</sup>],  
167 was calculated daily as the area-weighted water demand of all the crops [26 crop types; taken  
168 from Portmann *et al.*, 2010] in the grid cell. Daily net irrigation demand was calculated as:

169

$$170 \quad I_{net} = \sum_{c=1}^n A_c \cdot a_c \cdot I_c \quad (3)$$

171

172 where  $A_c$  [-] is the portion of the grid cell's area equipped for irrigation,  $a_c$  [-] is the portion of the  
173 irrigated area containing crop  $c$  (Table 1),  $I_c$  [mm day<sup>-1</sup>] is the irrigation demand of crop  $c$ , and  $n$   
174 is the number of crops.

175

176 Rice paddies require additional irrigation water due to inundation-induced percolation. We  
177 assumed that irrigation water was applied to rice paddies to maintain a 50 mm flooding depth  
178 throughout the growing season. To achieve this, irrigation water was applied on the first day of  
179 the paddy rice-growing season to fill the soil moisture pool 50 mm above field capacity, and  
180 each subsequent day water was applied to account for percolation plus evapotranspiration losses  
181 minus precipitation gains. Rice paddy percolation was assumed to occur at a constant rate that is  
182 determined by the soil drainage class [e.g., Wisser *et al.*, 2008], estimated spatially from the  
183 FAO/UNESCO soil map of the world [FAO/UNESCO, 2002].

184

185 In each grid cell, water for irrigation could be withdrawn from large reservoir storage (see §2.1.2  
186 below), if present, rivers flowing through the grid cell, shallow renewable groundwater, and

187 mined groundwater (modeled as a distinct pool of water, Figure1). These water sources defined  
 188 the water volume available for irrigation. WBMplus applied sufficient irrigation water to each  
 189 grid cell to fulfill the irrigation demand, bringing the soil in the grid cell's irrigated area up to  
 190 field capacity. This water was first removed from the (renewable) shallow groundwater pool,  
 191 then from the river discharge and reservoirs. If these water sources were not sufficient to fulfill  
 192 demand, then mined groundwater was added from a limitless pool to make up the difference.  
 193 While the shallow groundwater pool was recharged through infiltration, the mined groundwater  
 194 pool received no recharge. The mined groundwater pool represented groundwater abstractions in  
 195 excess of recharge. We assumed that all irrigation demand was met, though in practice this may  
 196 not always be the case.

197

198 Delivery of water from an irrigation water source to the irrigated field is inefficient. An  
 199 efficiency factor,  $E_{eff}$  [0.34 for China [Döll and Siebert, 2002]], was applied to account for these  
 200 losses. Gross irrigation demand,  $I_{gross}$  [mm day<sup>-1</sup>] was:

201

$$202 \quad I_{gross} = \frac{I_{net}}{E_{eff}} \quad (4)$$

203

204 Only the efficient portion of irrigation water (i.e., the water equal to irrigation demand) was  
 205 added to the soil moisture pool. The daily “inefficient” water ( $I_{gross}-I_{net}$ ) was split three ways and  
 206 returned to other pools or fluxes in each grid cell: evaporation, groundwater water recharge, and  
 207 surface runoff. The amount of inefficient water that evaporated,  $I_{evap}$ , is:

208

$$209 \quad I_{evap} = \begin{cases} PET - AET & \text{if } (I_{gross} - I_{net}) \geq (PET - AET) \\ I_{gross} - I_{net} & \text{if } (I_{gross} - I_{net}) < (PET - AET) \end{cases} \quad (5)$$

210

211 If  $(I_{gross}-I_{net}) > PET-AET$ , then the remaining inefficient water was divided evenly between  
 212 groundwater recharge and river discharge.

213

214 The sources of irrigation water, both the efficient and inefficient portions, were recorded by the  
 215 model. We assumed that the contribution of each water source to the total irrigation water  
 216 amount was the same for both the efficient and the inefficient portions.

217

#### 218 2.1.2 Large reservoirs

219 Large reservoirs were represented in the model as river grid cells with large river water storage  
 220 capacity.. Unlike other river grid cells, the rules for outgoing discharge were governed by  
 221 reservoir operation rules. The model applied the same set of rules to all reservoirs. If the  
 222 reservoir storage was below 80% full, then water was released as the log of the reservoir level,  
 223 and if the reservoir storage was above 80% full, then water was released as the exponent of the  
 224 reservoir level; release at 80% capacity was equal to annual mean river discharge in that grid  
 225 cell. Minimum allowed reservoir release was 20% of the 5-year average annual discharge  
 226 [Prusevich *et al.*, 2013].

227

#### 228 2.2 Crop Yield Model

229 The DeNitrification-DeComposition (DNDC) model, a process-based model of carbon and  
 230 nitrogen biogeochemistry in agroecosystems, simulated irrigated and rainfed crop yields (kg

231 C/ha/yr). The model simulates soil temperature and moisture regimes, soil carbon and nitrogen  
232 dynamics, crop growth and yield, nitrogen leaching, and emissions of trace gases for both  
233 individual crops and multi-cropping systems, and requires as inputs soil properties, daily  
234 weather, and crop management details (e.g., crop type and rotation, fertilization, irrigation,  
235 tillage, planting and harvest dates) [Li, 2007a]. DNDC has been used extensively for studies of  
236 both Chinese and global agriculture [e.g., Zhang *et al.*, 2002; Wang *et al.*, 2008; Qiu *et al.*, 2009;  
237 Li *et al.*, 2010; Deng *et al.*, 2011; Han *et al.*, 2014].

238

239 Unlike the gridded spatial structure of WBMplus, DNDC modeled crop growth on a county-  
240 based (polygon) system. The model was run from 1981 to 2000 to capture 20 years of weather-  
241 driven crop yield and irrigation water demand variability for each county in China. NASA's  
242 MERRA climate reanalysis product [Rienecker *et al.*, 2011] was used for the temperature and  
243 precipitation inputs to DNDC, matching each county to the MERRA grid cell closest to the  
244 county polygon center. The average county size in China is similar to the size of a MERRA grid  
245 cell [~2,500 km<sup>2</sup>]. Data on crops grown in single- and multiple-crop rotations for each of  
246 China's ~2400 counties came from Qiu *et al.* [2003]. County soil properties used by DNDC  
247 (texture, bulk density, pH and carbon content) were from digitization of the Chinese Third  
248 National Soil Survey maps [Shi *et al.*, 2004; Tang *et al.*, 2006]. All crops in all counties were  
249 simulated with both full irrigation (no water stress) and no irrigation (rainfed) for all 20 years, to  
250 capture weather-driven interannual variability in rainfed and irrigated crop yields. For the  
251 simulations presented here, to represent general changes in fertilization across China, we applied  
252 a constant increase (~2% yr<sup>-1</sup>) in crop-specific fertilizer application rates across China from 1981  
253 to 2000, and a step decrease (36%) in manure application rate in 1990 [NBS, 2008]. The crop

254 distribution represented circa 2000 conditions, and simulated crop yields were de-trended with  
255 respect to increases in fertilizer and decrease in manure application rates to achieve c.2000 crop  
256 yield rates for all 20 years of the simulation, so yield interannual variability resulted from  
257 weather effects only. DNDC planting and harvest dates are from Cui *et al.* [1994], and crop-  
258 specific growth parameters are reported in Li [2007b].

259

260

### 261 2.3 Data

262 Both WBMplus and DNDC used daily climate drivers of temperature and precipitation from the  
263 MERRA NASA reanalysis product, years 1981 - 2000. Soil and non-crop vegetation data were  
264 from the UNESCO/FAO soil map of the world [FAO/UNESCO, 2003], and the GRanD database  
265 of global dams and reservoirs [Lehner *et al.*, 2011] was used for the location and storage capacity  
266 of ~7000 large reservoirs globally.

267

268 The MIRCA2000 gridded crop maps and crop calendars were used to determine crop areas,  
269 planting month, and harvest months in WBMplus for each of 26 crop classes, and up to 4  
270 subcrop types [Portmann *et al.*, 2010]. Crop class coefficients (*K<sub>c</sub>*), rooting depths (*R<sub>d</sub>*), and  
271 proportional lengths of growing stages were from Siebert and Döll [2010]. Crop calendars (for  
272 planting and harvesting dates) were downscaled from monthly to daily values by assuming mid-  
273 month planting and harvesting dates.

274

275

### 276 2.4 Analysis

277 While the Qiu *et al.* [2003] and MIRCA2000 crop distributions for China had substantial

278 overlap, there were enough differences that two data analysis steps were necessary to harmonize  
 279 the DNDC model output with the WBMplus output and the MIRCA2000 crop maps. First,  
 280 county-based DNDC yield data were gridded to the resolution of the WBMplus model (0.5  
 281 degree) assigning a crop yield value to each grid cell equal to the area-weighted yields of all  
 282 counties that overlapped with the grid cell. Second, for each grid cell, the individual crop yields  
 283 were aggregated to produce one average annual irrigated crop yield value and one average  
 284 rainfed crop yield value, both in units of  $\text{kgCha}^{-1}\text{yr}^{-1}$  (note that multiple crop yields in a single  
 285 year were aggregated to a single annual average crop yield). All crop production results are  
 286 therefore based on the composition of irrigated and rainfed crops in DNDC's crop maps, as  
 287 opposed to MIRCA2000 crop maps. This aggregation allowed for a comparison of land under  
 288 irrigated versus rainfed cropping.

289  
 290 Both models were run for 20-year periods, 1981 - 2000, to capture impacts of variability in  
 291 temperature and precipitation on irrigated and rainfed crop yields and irrigation water demand.  
 292 The crop areas, irrigated areas, and growing season were static, representing year 2000  
 293 agriculture [Portmann *et al.*, 2008; Qiu *et al.*, 2003], and all crop yields were de-trended to year-  
 294 2000 values with respect to time-varying DNDC model inputs (fertilizer and manure). All time  
 295 series results therefore show variability in water demand and crop yields as a function of climate,  
 296 and are not meant to be representative of historical trends in crop yields. From 1981 – 2000, the  
 297 NASA-MERRA climate product reports an average of 640 mm of rainfall per year over  
 298 agricultural land in China. The driest year for Chinese agriculture was 1992, in which  
 299 precipitation averaged 596 mm over agricultural land; the wettest year was 1990 with an average  
 300 of 695 mm of precipitation over agricultural land. The range in inter-annual variation in

301 individual counties or grid cells was greater than the national aggregate of ~10%.

302  
 303 Surface water and mined groundwater use for irrigation in each grid cell were assumed to be  
 304 spread among all irrigated crops proportional to demand. Crop yields directly due to the  
 305 application of mined groundwater were determined for each grid cell by applying the difference  
 306 between irrigated and rainfed crop yields to the irrigated area supplied by the mined  
 307 groundwater:

$$309 \quad Y_{MinedGW_j} = f_{MinedGW_j} \cdot A_{I_j} \cdot (Y_{I_j} - Y_{RF_j}) \quad (6)$$

310  
 311 Where  $f_{MinedGW_j}$  is mined groundwater as a fraction of total irrigation water demand for grid cell  $j$ ,  
 312  $A_{I_j}$  is irrigated area [ha] in grid cell  $j$ , and  $Y_{I_j}$  and  $Y_{RF_j}$  are irrigated and rainfed crop yields  
 313 [ $\text{kgCha}^{-1} \text{yr}^{-1}$ ] in grid cell  $j$ . Gridded results were aggregated to 31 provincial totals.

314  
 315 Crop yield per unit area due to mined groundwater is a function of both mined groundwater  
 316 demand and the difference between irrigated and rainfed crop yields. Both of these variables are  
 317 climate-dependent, but also vary in different ways based on other factors such as local surface  
 318 water storage, soil properties, crop composition, and management practices. The combined  
 319 effects of these variables determine how vulnerable each province's crop production is to  
 320 groundwater depletion. To capture the combined effects of these two variables, we defined crop  
 321 groundwater productivity,  $CGP$  [ $\text{kgC ha}^{-1} \text{mm}^{-1}$ ] to describe the yield gains per unit of mined  
 322 groundwater for each province  $p$ , based on province-level data:

323

324 
$$CGP_p = \frac{Y_{MinedGW_p}}{MinedGW_p} \quad (7)$$

325

326 where  $CGP_p$  is the crop groundwater productivity for province  $p$  [ $\text{kgC ha}^{-1} \text{mm}^{-1}$ ],  $Y_{MinedGW_p}$  is the  
 327 20-year average crop yield due to mined groundwater in province  $p$  [ $\text{kgC ha}^{-1} \text{yr}^{-1}$ ], and  
 328  $MinedGW_p$  is the 20-year average amount of mined groundwater demand in province  $p$  [ $\text{mmyr}^{-1}$ ].  
 329  $CGP$  provides a method for directly comparing provinces' combined reliance on both mined  
 330 groundwater use and yield impact for crop production to each other.

331

### 332 3. RESULTS

#### 333 3.1 Irrigation Water Demand and Supply

334 Over the 20 years simulated by WBMplus, Chinese agriculture required an average of  $330 \text{ km}^3$   
 335  $\text{yr}^{-1}$  irrigation water withdrawals in order to fulfill gross irrigation demands (Table 2). Irrigation  
 336 water demand standard deviation over the 20-year simulation was  $33 \text{ km}^3 \text{year}^{-1}$ , or 10% of  
 337 demand. This deviation is significantly higher than the deviation in annual average rainfall over  
 338 cropped land, which is only 5% of the mean. Irrigation water demand varies significantly across  
 339 China, but generally follows patterns of irrigated area (Figure 2).

340

341 WBMplus tracks the sources of irrigation water, grouping them into three categories: 1) rivers  
 342 and reservoirs, 2) renewable groundwater, and 3) mined/fossil groundwater mining (Figure 3).  
 343 Over the 20-year simulation period, the nationally aggregated amount of irrigation water  
 344 available from the first two sources are very steady. Rivers and reservoirs supply  $115 \text{ km}^3 \text{yr}^{-1}$ ,  
 345 with a standard deviation of only  $7 \text{ km}^3 \text{yr}^{-1}$  and a range of  $105 - 134 \text{ km}^3 \text{yr}^{-1}$ . Similarly, the  
 346 average groundwater recharge supplied to irrigation is  $107 \text{ km}^3 \text{yr}^{-1}$ , with a standard deviation of

347  $7 \text{ km}^3 \text{yr}^{-1}$  and a range of  $96$  to  $125 \text{ km}^3 \text{yr}^{-1}$ . Due to the extremely steady supply of river and  
 348 reservoir water as well as groundwater recharge, most of the variability in irrigation water  
 349 demand leads to widely ranging fossil groundwater mining demands. Average mined  
 350 groundwater demand is  $125 \text{ km}^3 \text{yr}^{-1}$ , only slightly over one third of the total average irrigation  
 351 water demand. However, at its maximum demand of  $209 \text{ km}^3 \text{yr}^{-1}$ , mined irrigation water makes  
 352 up 49% of that year's total irrigation water demand, and at its minimum demand of  $58 \text{ km}^3 \text{yr}^{-1}$  it  
 353 makes up only 20% of total irrigation water demand.

354

355 Demand for mined groundwater varies significantly across China, both in absolute volume and in  
 356 its relative fraction of total irrigation water demand (Figure 4 and Table 3). These two measures  
 357 of the importance of mined groundwater do not always vary together. Anhui, the province with  
 358 the largest irrigated area in MIRCA2000, also has the greatest demand for mined groundwater  
 359 both in terms of absolute volume ( $32 \text{ km}^3 \text{yr}^{-1}$ ) and fraction of the province's total irrigation  
 360 water demand (58%). In contrast, Fujian province has a relatively low mined groundwater  
 361 demand ( $3 \text{ km}^3 \text{yr}^{-1}$ ), yet this demand makes up 64% of its total irrigation water demand. Yet a  
 362 different pattern appears in Shandong province, which has the greatest total irrigation water  
 363 demand ( $33 \text{ km}^3 \text{yr}^{-1}$ ), but only 20% of this demand is from mined groundwater.

364

#### 365 3.2 Crop Yields

366 We estimate an average total national crop production of 495 mega-tonnes (Mt)C  $\text{yr}^{-1}$  (Table 2)  
 367 if all irrigation requirements are fully met on irrigated cropland (as defined by MIRCA2000  
 368 irrigated crop maps). Irrigated land produces 315 Mt C, and rainfed land produces 180 Mt C.  
 369 Grid-cell crop yields for irrigated and rainfed crops are area-weighted averages across all crops

370 and rotations occurring in the grid cell (Figure 5). These yields are direct results from the DNDC  
371 model, and reflect the spatial variability of crop maps, soil quality, climate, and management  
372 practices, in particular single- vs. multi-cropping. The difference in yields follows a general  
373 north-south pattern (Figure 5), with the largest differences in the relatively dry north, and the  
374 smallest differences in the wetter south.

375

### 376 3.3 Crop Production from Mined Groundwater

377 When all irrigation water demands are fully met, mined groundwater directly contributes to a  
378 large portion of China's national crop production (Figure 6). We estimate an average of 102 Mt  
379 C over the 20 year period modeled, with a wide range varying from 79 to 130 Mt C per year due  
380 to weather variability (Table 2). The maximum contribution is seen in the driest weather year,  
381 and makes up 27% of the total national production; the minimum occurs in the wettest weather  
382 year and is 15% of the total national production. Without mined groundwater, the average  
383 national crop production is 393 Mt C/year (Figure H), which is 79% of the 20-year average crop  
384 production with mined groundwater used as needed.

385

386 There are significant regional differences in crop yields produced from irrigation water (Figure 5  
387 & Table 5). Of all the major agricultural provinces, Sichuan has the highest crop irrigation water  
388 productivity, gaining 26 kgC/ha/mm (Table 5). There is a decline in crop irrigation water  
389 productivity with an increase in precipitation (Figure 7), which indicates that rainfed crop yields  
390 in the southern high-precipitation regions of China are close to the maximum yield possible  
391 given soil quality, crop selection, and management choices.

392

393 We use the crop groundwater productivity, *CGP* (Eq. 7), to compare the vulnerability of  
394 provinces to the loss of unsustainably mined groundwater. Xinjiang Province had the maximum  
395 20-year average mined groundwater demand, 596 mm/year, and Tianjin Province had the  
396 maximum 20-year average crop yield due to mined groundwater, 3,300 kgCha<sup>-1</sup>. Tianjin also  
397 had the highest *CGP*, 11.6 kgCha<sup>-1</sup>mm<sup>-1</sup>. Beijing and Xinjiang have a *CGP* of 0 kgC ha<sup>-1</sup> mm<sup>-1</sup>  
398 <sup>1</sup>because simulated use of mined groundwater is zero. Of the major agricultural producers (red  
399 in Table 4), Henan, Shandong, and Sichuan have the highest *CGPs* (Figure 8), 7.3, 5.3, and 3.9  
400 kgC ha<sup>-1</sup> mm<sup>-1</sup>, respectively. Demand for mined groundwater and crop irrigation water  
401 productivity in these provinces were also the most variable based on changes in climate over the  
402 20-years of climate input modeled. The relative importance of mined groundwater versus crop  
403 yield gains due to mined groundwater vary between provinces; Sichuan Province has a high *CGP*  
404 mainly due to its high mined groundwater demand, while Henan Province has a high *CGP*  
405 because of its large crop yield gains due to mined groundwater. However, all provinces fall on a  
406 roughly linear trend between low *CGP* and high *CGP* (Figure 8). This trend is not unexpected,  
407 as both variables contributing to *CGP* are affected by climate; dry regions have a high demand  
408 for mined groundwater and a large crop irrigation water productivity, while wet regions have the  
409 opposite (Figure 8).

410

411

## 412 4. DISCUSSION

### 413 4.1 Comparison to other studies

#### 414 4.1.1 Irrigation water demand and supply

415 Our results for China's irrigation water demand are similar to other model-based estimates;

416 previous results range from 220 to 850 km<sup>3</sup> yr<sup>-1</sup>, with most studies estimating an average of 350  
417 – 400 km<sup>3</sup> yr<sup>-1</sup> circa 2000. These results assume that gross irrigation water demand was always  
418 fulfilled and that the infrastructure is in place to change the source of water depending on the  
419 availability. While global irrigation water demand estimates also vary, this study's estimate of  
420 330 km<sup>3</sup> yr<sup>-1</sup> is roughly 10% - 20% of world-wide demand [Döll and Siebert, 2002; Wisser et al.,  
421 2008; Wada et al., 2012]. FAO's AQUASTAT database reports China's irrigation water  
422 withdrawals to be 358 km<sup>3</sup> yr<sup>-1</sup>, and Döll and Siebert [2002] report an irrigation water demand of  
423 364 km<sup>3</sup> yr<sup>-1</sup> for all of East Asia. Wada et al. [2014] use the PCR-GLOBWB model, which is  
424 similar in structure to WBMplus, and report China's irrigation water demand to be 519 km<sup>3</sup> yr<sup>-1</sup>  
425 when the model is driven with the MERRA climate product. Previous studies also report that  
426 China's groundwater withdrawals for irrigation are ~100 km<sup>3</sup> yr<sup>-1</sup> (Table 6), however the only  
427 study that distinguishes groundwater recharge from groundwater mining estimates a significantly  
428 smaller amount of mined groundwater, 17 - 27 km<sup>3</sup> yr<sup>-1</sup> [Wada et al., 2012], than this study (58 –  
429 209 km<sup>3</sup> yr<sup>-1</sup>). Wada et al. [2012] also estimate a “nonlocal water resource” demand of 11 km<sup>3</sup>  
430 yr<sup>-1</sup>, a water supply that is represented in this study's mined groundwater accounting. The  
431 remainder of the difference is likely due to the use of different methods for estimating renewable  
432 groundwater, and for partitioning of inefficient irrigation water, which has the potential to  
433 significantly alter the groundwater recharge. Wada et al. [2012] allow inefficient irrigation water  
434 to contribute to groundwater recharge, limited only by the hydraulic conductivity of the soils  
435 underlying irrigated areas. In this study, inefficient irrigation water first evaporates to fulfill the  
436 difference between AET and PET, then any remaining water is divided equally between  
437 groundwater recharge and the river network (Eq. 5).

438

#### 439 4.1.2 Crop production

440 This study estimates a similar total crop production as the National Bureau of Statistics of China,  
441 which reports year 2000 crop production was 500 Mt C. This estimate is significantly lower than  
442 the year-2000 total crop production of 715 Mt C reported by FAO (Table 6). The difference  
443 between the FAO's annual production and this study's production is mainly due to differences in  
444 rainfed crops, as the irrigated crop production estimates are comparable at 315 MtC (this study)  
445 versus 323 Mt C [FAO]. Our results were consistent with other crop productivity studies that  
446 show crop production increases by slightly less than two times due to irrigation [Siebert and  
447 Döll, 2010; Molden et al., 2007], since irrigated and rainfed harvested areas in China are roughly  
448 equal [MIRCA2000; Table 2].

449

450 In a study by Ye et al [2013], the CERES crop models (one for each crop simulated) are used to  
451 estimate baseline (years 1961 – 1990) crop production in China, as well as future (2030 – 2050)  
452 crop production under various climate change scenarios. Baseline crop yields match well with  
453 this study at 532 MtC, while future estimates show increasing yields but make no considerations  
454 of future water use or availability. Siebert and Döll [2010] use a gridded hydrology model that is  
455 similar in structure to WBMplus, and input the MERRA climate product to simulate global crop  
456 water demand. They then use an empirical method that relates the simulated AET/PET ratio to  
457 the relative ratio between irrigated and rainfed crop yields to calculate crop production due to  
458 consumptive irrigation water [Siebert and Döll, 2010]. While they do not report values for  
459 China, their results show that total crop production across all of East Asia is 545 MtC, and 78.2%  
460 (or 457 Mt) is from irrigated agriculture.

461

462 4.2 Groundwater depletion and crop production

463 In an analysis with multiple global climate models, gridded crop models, and hydrological  
464 models of renewable surface water resources, Elliot et al. [2014] simulated future crop  
465 production (up to 2090) and found significant decreases due to a combination of climate change  
466 and reduced water supply. However, Elliot et al. [2014] included only surface water supplies in  
467 their analysis. We explicitly separated the fossil mined groundwater irrigation from renewable  
468 groundwater irrigation and surface water irrigation so that we can estimate irrigation water  
469 coming from a non-renewable source. Therefore, our estimates of crop yields due to irrigation  
470 from mined groundwater show that without this water source, China's total annual crop  
471 production would decrease by 15% - 27%, bringing it down to 352 – 448 MtC (Table 2). Annual  
472 grain production in China was ~ 350 MtC in the early 1980s, and ~450 MtC in the early to mid  
473 1990s [NBS, 2008]. Our results assume that groundwater mining occurred at the level necessary  
474 to fulfill irrigation water demand after renewable water sources were used. While it is likely that  
475 not all irrigated areas are always provided with 100% of their irrigation water demand, there is  
476 abundant evidence, both observational and modeling studies, that groundwater depletion is  
477 occurring in China, particularly in the North China Plain [Wada et al., 2012b; Aeschbach-Hertig  
478 and Gleeson, 2012; Syed et al., 2008; Tang et al., 2013]. Results from the Gravity Recovery and  
479 Climate Experiment (GRACE) satellite show contemporary groundwater depletion rates of 8.4 –  
480 14 mm yr<sup>-1</sup> in the North China Plain, and further analysis by Tang et al [2013] shows these rates  
481 may underestimate depletion by ~7.6 mm yr<sup>-1</sup>.

482

483 The North China Plain includes much of Henan, Hebei, Shandong, northern Jiangsu, and  
484 northern Anhui provinces, all of which are significant agricultural producers (Table 4). These

485 five provinces collectively produce 152 Mt Cyr<sup>-1</sup> (31% of total national production). Anhui and  
486 Jiangsu rely on mined groundwater for 42% and 49% of their total irrigation water supplies, and  
487 Henan, Hebei, and Shandong rely even more heavily on mined groundwater, requiring on  
488 average 73%, 77%, and 80% of their annual irrigation water to come from groundwater mining.  
489 Without the use of mined groundwater, crop production in the North China Plain would drop to  
490 101 MtC yr<sup>-1</sup>, a 10% loss in national production.

491

492 Groundwater depletion is also occurring in the northern-most and western-most parts of China  
493 [Aeschbach-Hertig and Gleeson, 2012; Syed et al., 2008], affecting Heilongjiang, Jilin, Nei  
494 Mongol, and Xinjiang Provinces, which are all relatively small agricultural producers (Table 4).  
495 Of these smaller agricultural producers, Jilin has the lowest relative reliance on mined  
496 groundwater, at 70%, and Xinjiang has the highest at 80%. Northern provinces also have  
497 extremely large differences between irrigated and rainfed crop yields (Figure 5), so that even a  
498 small loss of irrigation water causes a substantial decrease in their crop production.

499

500 We used the crop groundwater productivity, *CGP* (see Eq.7), to assess which provinces are  
501 vulnerable to the loss of unsustainably mined groundwater. Vulnerability can be due to either a  
502 high reliance on mined groundwater, significant crop yields dependent upon mined groundwater,  
503 or a combination of both these factors. Provinces that have a high *CGP* and are large agricultural  
504 producers fall along a band of precipitation with precipitation roughly between 1000 and 1500  
505 mmyr<sup>-1</sup> (Fig.8 inset). South of this band, provinces have low reliance on mined groundwater and  
506 small increases to crop yields due to use of mined groundwater. North of this band, provinces  
507 have high *CGPs*, but they are not large agricultural producers. Therefore we expect that if  
508 groundwater levels continue to drop, the provinces in this precipitation band will have decreased

509 crop yields unless they can secure alternative water supplies or significantly increase crop water  
510 use efficiency [e.g., *Liu et al.*, 2010]. China's southern provinces, many of which are significant  
511 agricultural producers, are less at risk of aquifer depletion than northern provinces [*Döll et al.*,  
512 2012], and their *CGPs* are low.

513

#### 514 4.3 Limitations and uncertainties

515 Uncertainties, sensitivity analysis, and model validation for WBMplus are discussed in Wisser et  
516 al [2010], and for DNDC in Li et al [1992a], Li et al [1994], and Wang et al [2008]. Additional  
517 uncertainty introduced in this study arises from combining the two models' results, which are  
518 based on different crop maps. While the national total irrigated and rainfed areas are similar,  
519 MIRCA2000 (WBMplus input) and DNDC's crop maps disagree on which type of crops are  
520 grown on 63% of irrigated areas, and 31% of rainfed areas. DNDC also represents crop rotations  
521 at a more detailed level than MIRCA2000 [*Qiu et al.*, 2003]. This uncertainty does not  
522 significantly impact the results of this study because both sets of model results have been  
523 validated or tested against other studies, and are both predicting results consistent with  
524 observational data and other modeling studies. Our conclusions and interpretations only address  
525 the total water demand and crop production of all irrigated and rainfed crops, and we do not  
526 make any interpretations about individual crop types; this type of analyses would require crop  
527 maps with better agreement.

528

529 Paddy rice is a major consumer of irrigation water and so representation of paddy water  
530 management and water balance has significant impact on WBMplus results for China. There  
531 was a significant change in rice paddy water management in China during 1980-2000, with most

532 farmers adopting a mid-season draining or drying management scheme [*Li et al.*, 2002]. This  
533 practice was adopted to save labor and energy, and may also conserve water (we are not aware of  
534 any studies that have quantified water savings impacts). Paddy mid-season draining/drying has  
535 not been implemented in WBMplus, so paddy irrigation water use may be overestimated in our  
536 analysis. This over-estimation may be offset by an under-estimation of the water amounts  
537 required to initially flood rice paddies. WBMplus's rice paddy flooding implementation applies  
538 50mm of water above soil field capacity, which would be insufficient to inundate many field  
539 soils. Finally, macro-scale modeling that relates flooded paddy percolation losses to soil texture  
540 may overestimate losses in paddies that have been in managed use for centuries (e.g., the  
541 Sichuan Basin), where paddy percolation losses may be lower than would be estimated from  
542 regional soil properties.

543

#### 544 5. Conclusions

545 This study is the first to combine a hydrologic model with a process-based crop growth model to  
546 simulate national-scale agricultural yield and irrigation water use. This methodology allows for  
547 the direct attribution of crop yields to irrigation water from rivers and reservoirs, groundwater,  
548 and fossil mined groundwater (Figure 2), as well as computation of the spatially varying crop  
549 water productivity from total irrigation water, and the crop groundwater productivity. We find  
550 that mined groundwater fulfills 20% - 49% of China's national irrigation water demand, which  
551 directly leads to 15% - 27% of national crop production. Crop water productivity and mined  
552 groundwater demand vary spatially across China, with the combination leading to a smaller  
553 percentage of crop yields dependent upon mined groundwater than the percent of total irrigation  
554 water demand fulfilled by mined groundwater. I.e., while mined groundwater fulfills 20% - 49%

555 of irrigation water demand, crops irrigated with mined groundwater only account for 15% - 27%  
556 of national crop production, which indicates that the regions with high crop yields are not all  
557 directly dependent upon mined groundwater. This study calculated a crop groundwater  
558 productivity to determine which provinces would be most vulnerable to the loss of access to  
559 mined groundwater. We found that provinces across central China are most vulnerable due to  
560 their combination of significant agricultural production, high demand for mined groundwater,  
561 and high crop yield gains from the use of mined groundwater.

562  
563 China, like all major world agricultural producers, has increased irrigation to the point of over-  
564 exploitation of water resources in order to achieve greater levels of food production. While  
565 irrigation is typically employed to reduce agriculture's vulnerability to weather and climate  
566 variability, in the case of water over-exploitation irrigated agriculture may be vulnerable to  
567 changes in the water supply caused by both climate variability and diminishing water resources.  
568 We find that these two factors – climate variability and mined groundwater demand – do not  
569 operate independently, but rather magnify one another by increasing the demand for irrigation  
570 water in a hot and dry year while simultaneously reducing the water available for irrigation use.  
571 Due to this magnification, the amount of food production dependent upon irrigation from  
572 unsustainable water supplies varies significantly from year to year.

573  
574 Understanding the sources of irrigation water supply and their relative importance to crop  
575 production across China will help provide context for water resource management in China,  
576 especially with regards to groundwater storage and the South-North Water Transfer Project.  
577 Global depletion of groundwater aquifers, including the North China Plain, will require future

578 groundwater use to achieve greater levels of sustainability than are seen today. Simultaneously,  
579 crop production will need to increase to feed the growing world population. Increases in crop  
580 water productivity are required to achieve these two goals. A major finding of this research is  
581 that crop irrigation water productivity varies significantly across China and that inter annual  
582 weather variability results in widely ranging responses in crop irrigation water productivity, as  
583 well as in relative reliance on mined groundwater. The wide range in crop water productivity  
584 can help identify the regions in China where climate, soil conditions, and management practices  
585 work together to achieve high levels of “crop per drop”. Increasing cropping efficiency will  
586 likely be a key component of feeding the world’s growing population in a sustainable manner  
587 [Brauman *et al.*, 2013]. Similarly, the distinction between crop yield losses due primarily to  
588 yield differences versus reliance on mined groundwater illustrates that different strategies (e.g.,  
589 increasing rainfed yields versus reducing reliance on mined groundwater) will be best suited to  
590 different regions in order to achieve the future requisite increases in crop productivity within the  
591 constraints of future water availability.

592  
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TABLES

Table 1: Data sets input to WBM and DNDC.

Data Type	Variables	WBM Source	DNDC Source
Climate Drivers	Temperature Precipitation	MERRA	MERRA, (Reinecker et al. 2011)
Soil Properties	Field Capacity Wilting Point Non-crop rooting depth Soil drainage class	FAO Soil Map of the World	Third National Soil Survey (Shi et al. 2004)
Crop Distribution	Irrigated crops and areas Rainfed crops and areas	MIRCA 2000	Qiu et al. 2003
Crop Calendar	Plant Date Harvest Date	MIRCA 2000	Cui et al 1984
Crop water use parameters	Rooting Depth Crop Coefficient Growth Stages	Siebert and Doll (2010)	Li 2007b

Table 2: China national 20-year mean and range of irrigated and rainfed areas, annual irrigation water demand, crop yields, and the difference between sustainable and unsustainable yields.

Variable	Mean	Range
Irrigated area (ha)	79,100,000	
Rainfed area (ha)	76,600,000	
Irrigation water demand (km <sup>3</sup> /yr)	330	270 - 420
Mined groundwater demand (km <sup>3</sup> /yr)	125	58 - 209
Fully irrigated yield (MMTC/yr)	315	308 - 321
Rainfed yield (MMTC/yr)	180	164 - 207
Sum: Irrigated + Rainfed yields	495	474 - 527
Sustainable irrigated yield (MMTC/yr)	127	114 - 142
Sustainable rainfed yield (MMTC/yr)	265	238 - 305
Sum: Irrigated + Rainfed yields	393	352 - 448
Unsustainable minus Sustainable Yields (Mt C/yr)	102 (21%)	79 – 130 (15% - 27%)

Table 3: Province summary of irrigation water demand, and mined groundwater demand.

Province	Harvested Irrigated Area (Mha)	Irrigation Demand (km <sup>3</sup> /yr)	Mined Groundwater Demand (km <sup>3</sup> /yr)	Mined Groundwater Demand (fraction total)
Anhui	6.8	32	13	0.42
Jiangsu	5.2	26	13	0.49
Hunan	4.9	19	6	0.33
Jiangxi	3.6	16	5	0.33
Zhejiang	2.7	12	4	0.35
Hubei	3.4	13	5	0.39
Shandong	6.6	33	26	0.80
Henan	7.1	25	28	0.73
Guangdong	2.2	8	3	0.33
Guangxi	2.5	8	3	0.33
Hebei	4.6	24	18	0.77
Fujian	1.3	5	2	0.33
Guizhou	1.3	5	2	0.33
Yunnan	2.2	5	2	0.33
Sichuan	2.6	15	10	0.69
Chongqing	1.0	6	4	0.65
Jilin	2.2	6	4	0.70
Liaoning	2.2	6	4	0.69
Xinjiang	3.9	29	23	0.80
Uyghur				
Heilongjiang	2.5	6	4	0.70
Shaanxi	2.1	7	5	0.75
Hainan	0.18	1	0.3	0.33
Shanghai	0.14	1	0.4	0.43
Shanxi	1.45	3	2	0.83
Nei Mongol	3.3	13	10	0.81
Tianjin	0.54	2	2	0.77
Gansu	1.3	4	3	0.80
Ningxia Hui	0.51	3	2	0.82
Qinghai	0.49	1	0.8	0.75
Beijing	0.15	0	0	
Xizang	0.16	0	0	

Table 4: China province 20-year average sustainable and unsustainable crop yields, with 20-year minimum and maximum yields in parentheses.

Province	Total Crop Yield [Mt C]	Crop Yield without mined groundwater [Mt C]	Cumulative percent of national total crop yield	Cumulative percent of national total crop yield without mined groundwater
Hunan	39 (37, 41)	38 (36, 40)	8	8
Henan	37 (33, 42)	23 (14, 34)	16	13
Anhui	36 (33, 39)	31 (23, 37)	24	20
Shandong	29 (25, 32)	14 (5, 25)	30	23
Jiangsu	28 (27, 30)	24 (19, 31)	36	28
Jiangxi	27 (26, 29)	27 (25, 29)	42	33
Sichuan	26 (23, 28)	22 (19, 25)	47	38
Guangdong	25 (24, 26)	25 (24, 26)	53	43
Guangxi	24 (23, 25)	23 (22, 24)	58	48
Hubei	23 (21, 26)	21 (17, 24)	63	53
Guizhou	22 (20, 23)	21 (20, 22)	67	57
Hebei	22 (20, 24)	9 (3, 16)	72	59
Zhejiang	16 (16, 17)	16 (15, 17)	75	63
Chongqing	15 (13, 17)	13 (10, 15)	79	65
Yunnan	14 (14, 15)	13 (13, 14)	81	68
Fujian	14 (12, 14)	13 (12, 14)	84	71
Shaanxi	13 (10, 13)	7 (4, 10)	87	72
Jilin	10 (8, 12)	7 (5, 10)	89	74
Liaoning	9 (7, 10)	6 (5, 8)	91	75
Nei Mongol	9 (9, 10)	2 (1, 4)	93	76
Xinjiang	9 (8, 9)	2 (2, 4)	95	75
Heilongjiang	7 (6, 9)	5 (4, 7)	96	77
Shanxi	5 (4, 6)	3 (1, 4)	97	77
Gansu	4 (4, 5)	1 (1, 3)	98	77
Tianjin	3 (3, 3)	1 (0, 2)	99	78
Ningxia Hui	2 (2, 2)	0 (0, 1)	99	78
Beijing	1 (0, 1)	0 (0, 0)	99	78
Hainan	1 (1, 2)	1 (1, 2)	100	78
Qinghai	1 (1, 1)	0 (0, 1)	100	78
Shanghai	1 (1, 1)	1 (0, 1)	100	78
Xizang	0 (0, 0)	0 (0, 0)	100	78

\*Provinces are ordered from largest to smallest average annual yields. Color coding on the left indicates: red = top 50% of cumulative national yields; blue = top 75% of cumulative yields; yellow = top 95% of cumulative yields. See Figure S01.

Table 5: Crop yield gains per mm of irrigation water for each of the provinces that contribute to the top 50% of cumulative national yields.

Province	Irrigation Water (mm/yr)	Irr Yield – RF Yield (kgC/ha)	Yield Gain per mm Irrigation Water (kgC/ha/mm)
Sichuan	31	810	26
Henan	135	2164	16
Shandong	253	1854	7
Anhui	219	1416	6
Hunan	92	535	6
Jiangsu	267	1413	5
Guangdong	49	182	4
Jiangxi	93	279	3

Table 6: Estimates of irrigation water use, groundwater abstraction, and crop production from this study and others.

China Irrigation Water Demand (km <sup>3</sup> )	Groundwater Abstraction (km <sup>3</sup> )	Year	Source
270 – 420	58 – 209	2000	This study
403	97 (20 mined)	2000	Wada et al. 2012
537	95	2000	Wada et al. 2014
358	101	2005	FAO AQUASTAT
220 – 850	-nr-	2000	Wisser et al. 2008
358	-nr-	2005	Jiang 2009
364*	-nr-	1995	Doll and Siebert 2002
China annual crop production (Mt C)	China irrigated annual crop production (Mt C)	Year	Source
474 – 527	315	2000	This study
500	-nr-	2000	China Statistical Yearbook
532	-nr-	1961 - 1990	Ye et al. 2012
715	323	2000	FAO AQUASTAT
545*	457*	1998 - 2002	Siebert and Doll 2010
474**	-nr-	2009	Fan et al. 2012

-nr- results not reported.

\*Estimates for all of East Asia

\*\*Cereal production only

### 738 Figure Captions

739

740 **Figure 1:** Water stocks and flows in one grid cell of the Water Balance Model (WBM).

741 Irrigation water flows are shown in orange, and inefficient irrigation water returns are shown in  
742 gray. Each grid cell can have up to 26 irrigated and rainfed crop types, each with unique root  
743 depths. The arrows into and out of the river & reservoir water stock represent water flowing into  
744 and out of the grid cell by way of the Simulated Topological River Network.

745

746 **Figure 2:** a) Irrigation area (ha) in each 0.5° grid cell from MIRCA2000, and b) simulated

747 average irrigation water demand ( $I_{gross}$  in Eq. 4, mm yr<sup>-1</sup>).

748

749 **Figure 3:** (top) Annual precipitation (mm yr<sup>-1</sup>) over all cropped areas in China from 1981 – 2000.

750 (middle) Annual national crop yield (Mt Cyr<sup>-1</sup>) under fully irrigated conditions (purple) and  
751 under surface-water-use-only conditions, i.e., without mined groundwater (green). (bottom)

752 China's annual irrigation water demand for 1981 – 2000 (interannual variation from weather  
753 variability, not changes in crop or irrigation areas). Irrigation demand is partitioned into supply  
754 from rivers and reservoirs (blue), shallow groundwater recharge (orange), and the mined  
755 groundwater (black hashed) required to fulfill all irrigated crop demands. Note that plotted  
756 yields are based on c.2000 cropping area and management, and only represent interannual  
757 variability in weather. Actual grain yields in China increased by about 2.5% - 3% per year  
758 during most of 1980 to 2000, due to improved management.

759

760 **Figure 4:** Mean annual mined groundwater required to meet total grid cell irrigation demand (a)

761 in mm, and (b) as fraction of total irrigation demand.

762

763 **Figure 5:** DNDC-simulated grid-cell mean (a) irrigated crop yield, (b) rainfed crop yield, and(c)  
764 difference between irrigated and rainfed yields (all  $\text{kgCha}^{-1}\text{yr}^{-1}$ ). Averages are area-weighted  
765 across all crops under irrigation (a) or rainfed (b) systems, and for multiple-cropping, yields are  
766 the area-weighted total annual yield per hectare. Where the difference is negative, rainfed yields  
767 are higher than irrigated yields because different crops are grown under rainfed and irrigated  
768 conditions; in these areas the rainfed crops produce higher yields than the irrigated crops.

769

770 **Figure 6:** Mean annual grid-cell crop yield ( $\text{kgCyr}^{-1}$ ) attributed to mined groundwater irrigation.

771

772 **Figure 7:** (a) For each province, a line connects the rainfed crop yield (lower dot on the y-axis),  
773 the river, reservoir, and shallow groundwater irrigated crop yield (middle dot), and the fully  
774 irrigated crop yield (high dot on the y-axis); all yields in  $\text{kgCha}^{-1}\text{yr}^{-1}$ . For the rainfed yields, the  
775 water axis (x-axis) is mean annual precipitation over the cropped area in each province. For the  
776 irrigated yields, the water axis is the mean annual precipitation [ $\text{mmyr}^{-1}$ ] over all cropped area,  
777 plus the mean annual irrigation water [ $\text{mmyr}^{-1}$ ] applied to irrigated areas. The colors of the lines  
778 follow the color scheme for cumulative yields in Table 4, with the black dots and dashed black  
779 lines representing the white color-coded provinces from Table 4. Note that the linear relationship  
780 between rainfed, surface-water irrigated, and fully-irrigated yields illustrates the assumptions  
781 made by integrating the results from WBM and DNDC; these relationships may in reality be  
782 non-linear. (b) The slope of lines in part (a) [ $\text{kgCha}^{-1}\text{mm}^{-1}$ ] versus annual precipitation over  
783 cropland in each province. The slope of the lines in (a) represent the crop yield gain due to

784 irrigation water.

785

786 **Figure 8:** (top) Provinces with high crop groundwater productivity (*CPG*) and high annual crop  
787 yields fall in a band of moderate annual precipitation (inset). Each province's crop groundwater  
788 productivity (*CPG*) is indicated by the size of the filled circles, and their cumulative yield is  
789 indicated by the gray shading. (bottom) *CPG* is a function of both mined groundwater demand  
790 and crop yields due to mined groundwater; all provinces fall along a general linear trend when  
791 their crop yield gain due to mined groundwater is plotted against mined groundwater demand.  
792 Size of symbols proportional to total provincial crop yield, and coloring follows cumulative yield  
793 scheme in Table 4.

794

795 **Figure S01:** China provinces. Color indicates the cumulative crop yield categories shown in  
796 Table 4: red = top 50% of cumulative national yields; blue = top 75% of cumulative yields;  
797 yellow = top 95% of cumulative yields

Figure 1

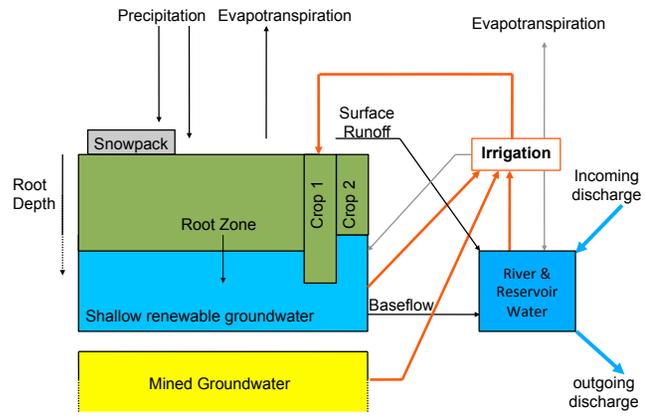


Figure 2

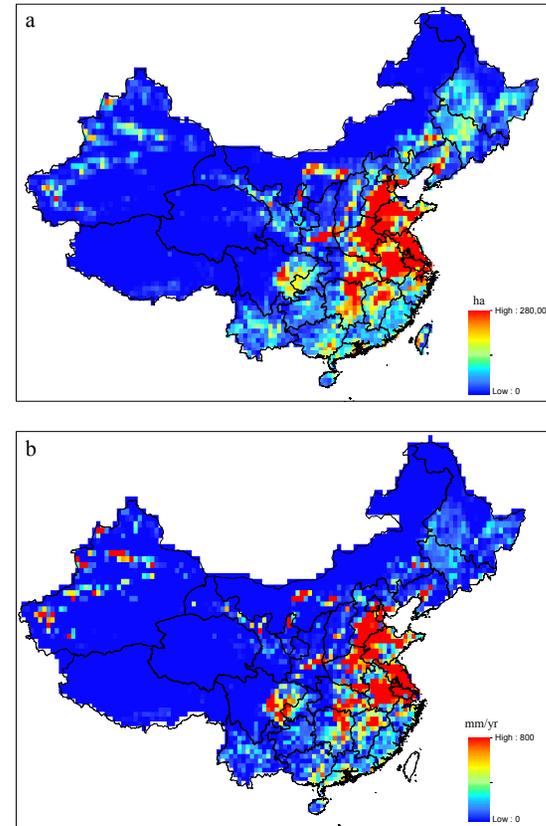


Figure 3

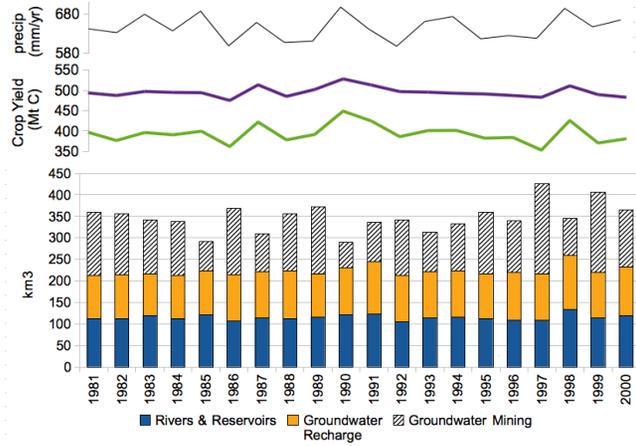


Figure 4

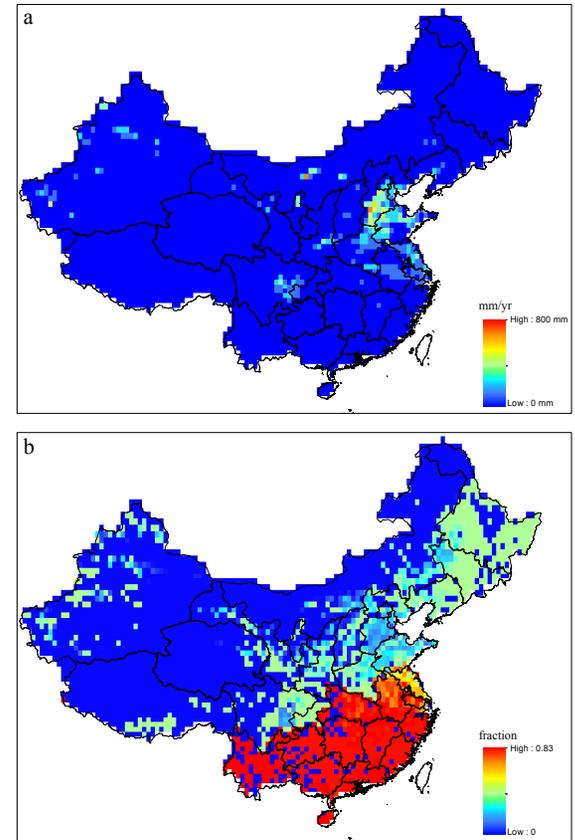


Figure 5

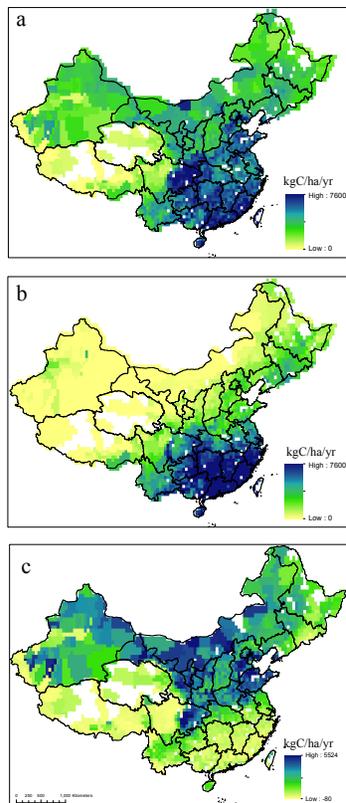


Figure 6

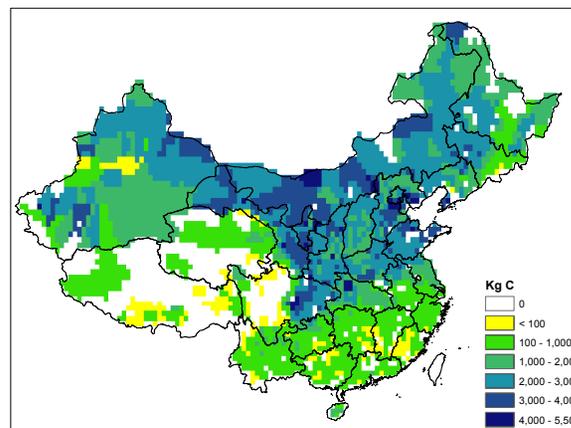


Figure 7

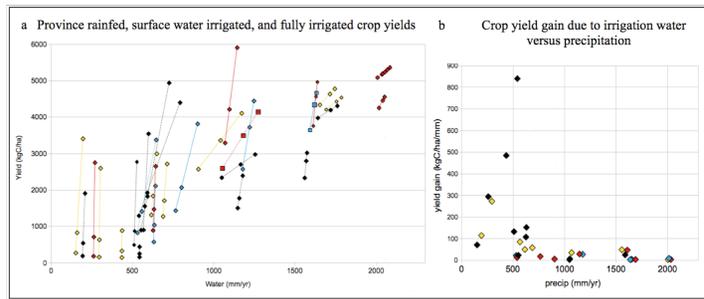


Figure 8

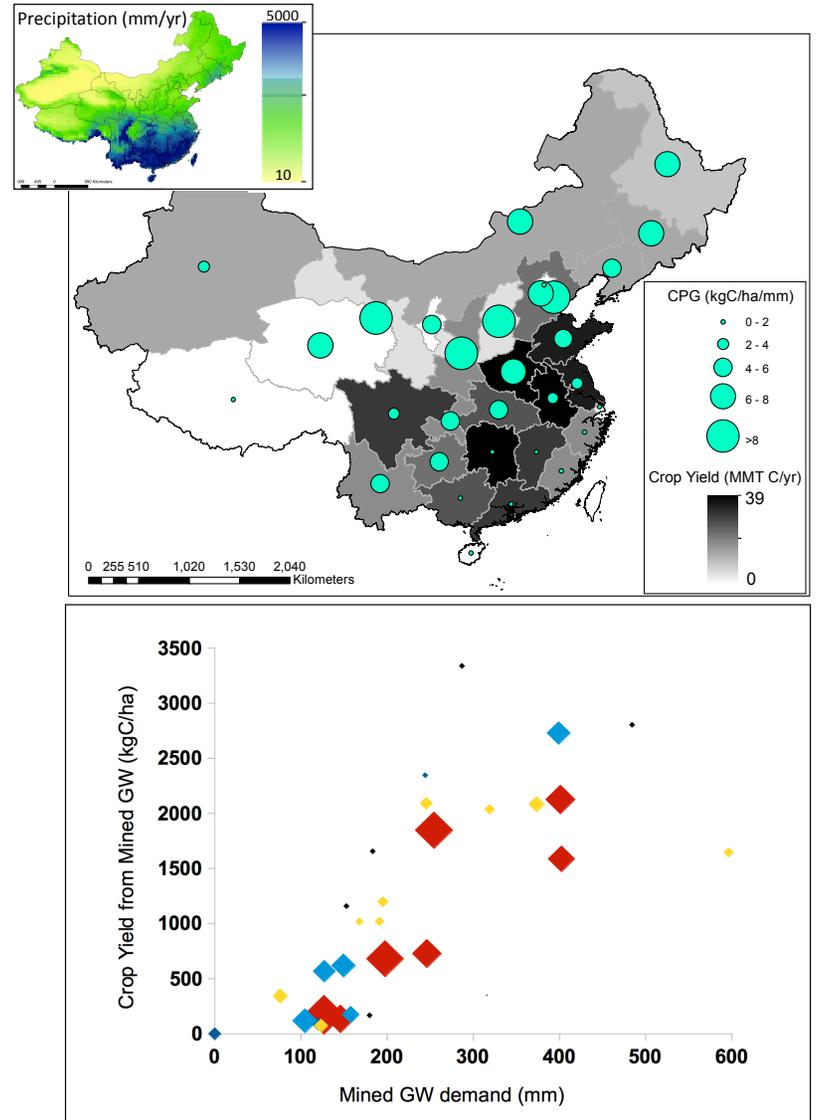


Figure S01

